



**AUBURN UNIVERSITY**  
**OFFICE OF THE PRESIDENT**

10/31/2017

**MEMORANDUM TO:** Board of Trustees

**SUBJECT:** November 9-10, 2017 Board of Trustees Workshop and Meeting

Enclosed are materials that comprise the proposed agenda for the Thursday, November 9 workshop and the Friday, November 10, 2017 meeting of the Board of Trustees. Listed below is the tentative schedule; times and locations are subject to adjustment depending on length of individual meetings.

Thursday, November 9, 2017

1:00 p.m. Workshop (**President's Office Board Room, 107 Samford Hall**)

Friday, November 10, 2017 (Student Center Ballroom, 255 Heisman Drive)

9:00 a.m. Property and Facilities Committee

9:30 a.m. Institutional Advancement Committee

9:45 a.m. Executive Committee

9:50 a.m. Trustee Reports

10:00 a.m. Regular Meeting of the Board of Trustees (Student Center Ballroom)

**(Proposed Executive Session – Student Center, Room #3163)**

10:45 a.m. Reconvened Meeting of the Board of Trustees (Student Center Ballroom)

11:30 a.m. Lunch (Student Center Ballroom)

We appreciate all that you do for Auburn University and look forward to seeing you on Thursday, November 9, 2017 and Friday, November 10, 2017. Please call me if you have questions regarding the agenda. Also, please let Grant Davis, Sherri Williams, or me know if you need assistance with travel and/or lodging arrangements.

Sincerely,

Steven Leath  
President

GD/smw

Enclosure

c: President's Cabinet (w/encl.)  
Mr. Grant Davis (w/encl.)

**SCHEDULE & AGENDA  
AUBURN UNIVERSITY BOARD OF TRUSTEES  
STUDENT CENTER BALLROOM  
255 HEISMAN DRIVE  
AUBURN UNIVERSITY**

**THURSDAY, NOVEMBER 9, 2017**

1:00 P.M.      Workshop (President's Office Board Room, 107 Samford Hall)

**FRIDAY, NOVEMBER 10, 2017**

I.      Meetings (Student Center Ballroom, 255 Heisman Drive)

(Meetings will begin at 9:00 a.m. - - all other meetings are subject to change in starting time, depending upon the length of individual meetings.)

A.      Property and Facilities Committee/Chairperson Roberts/9:00 a.m.

**Project Approvals:**

1.    Miller Gorrie Center Laboratory Renovations, Final Project Approval (Dan King/Vini Nathan)
2.    Equestrian Facility Improvements Phase I – Infrastructure and Equine Barn, Final Project Approval (Dan King/Jay Jacobs)
3.    Jordan-Hare Stadium North Endzone Videoboard Improvements, Final Project Approval (Dan King/Jay Jacobs)
4.    Plainsman Park Player Development Improvements, Approval of the Project Architect Selection (Dan King/Jay Jacobs)
5.    Jane B. Moore Softball Complex Player Development Improvements, Approval of the Project Architect Selection (Dan King/Jay Jacobs)
6.    Auburn Arena Locker Room Enhancement, Approval of the Project Architect Selection (Dan King/Jay Jacobs)
7.    Poultry Research Farm Unit Relocation Phases III - VI, Approval of Project Initiation (Dan King/Paul Patterson)
8.    Graduate Business Building, Approval of Additional Scope and Budget Increase (Dan King/Bill Hardgrave)

**Informational Reports:**

9.    Jordan-Hare Stadium Restroom Improvements, *For Information Only*

10. Status Updates: (*For Information Only*)

- a.      Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater
- b.      Quarterly Report for Projects Costing More than \$500,000 but Less than \$1,000,000 –4<sup>th</sup> QTR Fiscal Year 2017
- c.      Project Status Report

B. Institutional Advancement Committee/Chairperson Wayne Smith/9:30 a.m.

1. Auburn University Endowment Portfolio Update (Kelli Shomaker/Jane Parker)

C. Executive Committee/Chairperson McCrary/9:45 a.m.

1. Naming of Provost and Vice President for Academic Affairs (Steven Leath)
2. Proposed Awards and Namings (Jane Parker)

D. Trustee Reports/9:50 a.m.

1. Academic Affairs Committee/Sarah Newton, Chair
2. Agriculture and Natural Resources Committee/Jimmy Sanford, Chair
3. Audit and Compliance Committee/Bob Dumas, Chair
4. AUM Committee/Clark Sahlie, Chair
5. Executive Committee/Charles McCrary, Chair
6. Finance Committee/Raymond Harbert, Chair
7. Governmental Affairs Committee/Jimmy Rane, Chair
8. Institutional Advancement Committee (Development and AU Foundation)/Wayne Smith, Chair
9. Property and Facilities Committee/B.T. Roberts, Chair
10. Research and Technology Committee (Economic Development)/Jim Pratt, Chair
11. Student Affairs Committee/Quentin Riggins, Chair
12. Alumni/Lloyd Austin, Lead Trustee
13. Athletics/Gaines Lanier, Lead Trustee
14. Legal/Elizabeth Huntley, Lead Trustee

II. REGULAR MEETING OF THE BOARD OF TRUSTEES/10:00 A.M.  
(Student Center Ballroom, 255 Heisman Drive)

(Proposed Executive Session – Room #3163 of the Student Center)

III. RECONVENED MEETING OF THE BOARD OF TRUSTEES/10:45 A.M.  
(Student Center Ballroom, 255 Heisman Drive)  
(Agenda items are determined primarily based upon committee actions.)

11:30 A.M. - Lunch (Student Center Ballroom)

RESOLUTION

APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the Reconvened Meeting on Friday, September 15, 2017 have been distributed to all members of this Board of Trustees for review.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees that the minutes of its Friday, September 15, 2017 meeting are hereby approved as distributed.



## RESOLUTION

### AWARDING OF DEGREES

WHEREAS, Auburn University confers appropriate degrees upon those individuals who have completed requirements previously approved by this Board of Trustees and stated in University Catalogs.

NOW, THEREFORE, BE IT RESOLVED as follows:

1. That all degrees to be awarded by the faculty of Auburn University and Auburn University at Montgomery at the end of Fall Semester 2017, complying with the requirements heretofore established by the Board of Trustees, be and the same are hereby approved.
2. That a list of the degrees awarded be filed in the Book of Exhibits and made a part of this resolution and of these minutes.

## **Executive Summaries**

**November 10, 2017**

### **A. Property and Facilities Committee**

#### **1. Miller Gorrie Center Laboratory Renovations: Final Project Approval**

Project Summary: The Miller Gorrie Center Laboratory Renovations project will renovate 2,900 square feet of existing space in the first floor of the Miller Gorrie Center to create a new virtual design and construction laboratory. The renovated space will include a new visualization laboratory and student competition rooms. This renovation did not originate as a project that would require Board of Trustees approval since it was expected to be below the Board of Trustees approval threshold. The latest design was estimated to cost slightly over \$1.0 million. Since Board of Trustees, policy stipulates that all new construction, renovation, and adaptation projects over \$1.0 million require Board of Trustees approval; the Miller Gorrie Laboratory Renovations project now requires Board of Trustees approval.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the project program, site, budget, funding plan, and schematic design for the Miller Gorrie Center Laboratory Renovations project.

The estimated total project cost of the Miller Gorrie Center Laboratory Renovations project is \$1.05 million, to be financed by College of Architecture, Design and Construction funds.

Previous Approvals: At its previous meeting on September 15, 2017, the Board of Trustees adopted a resolution that approved the initiation and architect selection for the Miller Gorrie Center Laboratory Renovations project.

Project Execution Schedule: Spring 2018– summer 2018

#### **2. Equestrian Facility Improvements Phase I – Infrastructure and Equine Barn: Final Project Approval**

Project Summary: The Equestrian Facility Improvements Phase I – Infrastructure and Equine Barn project will construct a one-story 10,000 square foot equine barn for Auburn University's National Champion Equestrian team. Program requirements include the demolition and replacement of the existing barn with a new barn designed specifically for equine use. The project will also include utilities infrastructure along with site work.

The Equestrian Facility Improvements Phase II project will be presented for final project approval to the Board of Trustees later to include the construction of a new team support facility and public restrooms. The current estimated cost for both phases is \$7.65 million.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the project program, site, budget, funding plan, and schematic design for the Equestrian Facility Improvements Phase I – Infrastructure and Equine Barn project.

The estimated total project cost of the Equestrian Facility Improvements Phase I – Infrastructure and Equine Barn project is \$2.9 million, to be financed by Athletics Department funds.

Previous Approvals: At its previous meeting on February 3, 2017, the Board of Trustees adopted a resolution that approved the initiation and architect selection for the Equestrian Facility Improvements Phase I – Infrastructure and Equine Barn project.

Project Execution Schedule: Spring 2018 – Fall 2018

3. **Jordan-Hare Stadium North End Zone Videoboard Improvements: Final Project Approval**

Project Summary: The Jordan-Hare Stadium North End Zone Videoboard Improvements project will replace the existing scoreboard with a new 34,000 square foot videoboard, which will enhance the Game day experience for fans at Jordan-Hare Stadium. Program requirements include the demolition of the existing 19-year-old scoreboard and the installation of a new high definition videoboard system. The target schedule for this project is to complete the installation of the videoboard prior to the start of the 2018 football season.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the project program, site, budget, funding plan, and schematic design for the Jordan-Hare Stadium North End zone Videoboard Improvements project.

The estimated total project cost of the Jordan-Hare Stadium North End zone Videoboard Improvements project is \$6.3 million, to be financed by revenues generated from the project and Athletics Department funds.

Previous Approvals: At its previous meeting on September 15, 2017, the Board of Trustees adopted a resolution that approved the initiation and engineer selection for the Jordan-Hare Stadium North End zone Videoboard Improvements project.

Project Execution Schedule: Winter 2017– summer 2018

4. **Plainsman Park Player Development Improvements: Approval of the Project Architect Selection**

Project Summary: The Athletics Department has proposed the construction of a new player development building at Plainsman Park. This project will promote player development by providing indoor batting cages and general Game day operations improvements in support of the Auburn Baseball program. This project will also allow currently utilized batting cage space for other player development uses.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the selection of the firm M. Arthur Gensler Jr. & Associates, of Washington, District of Columbia, as the project architect for the Plainsman Park Player Development Improvements project.

Previous Approvals: At its previous meeting on September 15, 2017, the Board of Trustees adopted a resolution that approved the initiation of the Plainsman Park Player Development Improvements project and authorized the commencement of the architect and construction manager selection processes.

5. **Jane B. Moore Softball Complex Player Development Improvements: Approval of the Project Architect Selection**

Project Summary: The Athletics Department has proposed the construction of a new player development building at the Jane B. Moore Softball Complex. This project will promote player development by providing an indoor practice infield and batting cages, and will install additional seating in support of the Auburn Softball program.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the selection of the firm Rosser International, of Atlanta, Georgia, as the project architect for the Jane B. Moore Softball Complex Player Development Improvements project.

Previous Approvals: At its previous meeting on September 15, 2017, the Board of Trustees adopted a resolution that approved the initiation of the Jane B. Moore Softball Complex Player Development Improvements project and authorized the commencement of the architect and construction manager selection processes.

6. **Auburn Arena Locker Room Enhancement Approval of the Project Architect Selection**

Project Summary: The Athletics Department has proposed the enhancement of the Men's and Women's Locker Rooms at the Auburn Arena. The project will enhance the current team support spaces for Men's Basketball to include a new entry lobby, expanded locker room area, team meeting space, and team lounge. The project will also include a new Women's Basketball entry lobby, expanded team space, and improved graphics.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the selection of the firm M. Arthur Gensler Jr. & Associates, of Washington, District of Columbia, as the project architect for the Auburn Arena Locker Room Renovation project.

Previous Approvals: At its previous meeting on September 15, 2017, the Board of Trustees adopted a resolution that approved the initiation of the Auburn Arena Locker Room Renovation project and authorized the commencement of the architect and construction manager selection processes.

7. **Poultry Research Farm Unit Relocation Phases III – VI: Approval of Project Initiation and Authorization to Commence the Project Architect Selection Process**

Project Summary: As part of the 2005 North Auburn Master Land Use Plan, the University established the strategic goal to relocate the College of Agriculture Poultry Research Farm Unit from the Auburn University Research Park to the North Auburn Campus. Because of the master planning efforts, the Department of Poultry Science conducted a programming study to define the building requirements that would support the program's future growth at the North Auburn Campus and determined that the relocation would be executed in several phases.

At this time, the College of Agriculture proposes that the Poultry Research Farm Unit Relocation Phases III – VI be presented to the Board of Trustees for approval of project initiation. The third phase is proposed to include a new 13,500 square foot facility

dedicated to the advancement of research and educational efforts related to poultry food safety, food quality, animal welfare, processing efficiency, and other areas of study as it relates to Poultry Science. The fourth and fifth phases are proposed to include new Poultry Barns and other buildings that will be similar and complementary to the Phase I project. The sixth phase is proposed to demolish the existing Poultry Barns and other buildings within the existing Auburn University Research Park.

Requested Action: It is requested that the Board of Trustees approve the initiation of the Poultry Research Farm Unit Relocation Phases III – VI and authorize the commencement of the project architect and construction manager selection processes.

Previous Approvals: None

8. **Graduate Business Building: Approval of Additional Project Scope and Budget Increase**

Project Summary: The original project scope of the Graduate Business Building project included the construction of an 80,000 square foot building to include student support areas classrooms, offices, and open, collaborative areas. However, after a comprehensive review of the academic, executive education, and student support programs within the Harbert College of Business, the proposed building size has increased to 105,000 square feet to include a new floor level with additional case study and hybrid classrooms, larger undergraduate and graduate student commons spaces, and office space. Because of the increase in size of the facility, the Graduate Business Building project is now estimated to cost \$45.0 million, thus necessitating a budget increase of \$15.0 million.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the additional scope of work to include the new floor level with additional case study and hybrid classrooms, larger common spaces, and office space, and adopt a resolution to approve the budget increase of \$15.0 million, to be financed by a combination of gift and University general funds.

The estimated total cost of the project is \$45.0 million, to be financed by a combination of gift and University general funds.

Previous Approvals: At its meeting on April 11, 2014, the Board of Trustees adopted a resolution that approved the project initiation and project architect selection for the Graduate Business Building.

At its meeting of September 16, 2016, the Board of Trustees approved the project program, site, budget, funding plan, and schematic design for the Graduate Business Building project.

Project Execution Schedule: Fall 2017– Spring 2019

9. **Jordan-Hare Stadium Restroom Improvements (For Information Only)**

An informational report will be given on the Jordan-Hare Stadium Restroom Improvements.

**10. Status Updates (For Information Only)**

- a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater
- b. Quarterly Report for Projects Costing More than \$500,000 but Less than \$1,000,000 –4<sup>th</sup> QTR Fiscal Year 2017
- c. Project Status Report

**B. Institutional Advancement Committee**

**1. Auburn University Endowment Portfolio Update (For Information Only)**

Time will be allocated for presentation on the Auburn University Endowment Portfolio.

**C. Executive Committee**

**1. Naming of Provost and Vice President for Academic Affairs**

Time will be allocated for naming of the Provost and Vice President for Academic Affairs.

**2. Proposed Awards and Namings**

Time will be allocated for discussion of any awards and namings.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

MILLER GORRIE CENTER LABORATORY RENOVATIONS

FINAL PROJECT APPROVAL

WHEREAS, at its previous meeting on September 15, 2017, the Board of Trustees adopted a resolution that approved the initiation and architect selection for the Miller Gorrie Center Laboratory Renovations project; and

WHEREAS, the project will renovate 2,900 square feet of existing space in the Miller Gorrie Center to create a new virtual design and construction laboratory space; and

WHEREAS, the renovated space will include a new visualization laboratory and student competition rooms; and

WHEREAS, the estimated total project cost for the Miller Gorrie Center Laboratory Renovations is \$1.05 million, to be financed by College of Architecture, Design and Construction funds; and

WHEREAS, the renovation will take place inside the Miller Gorrie Center, located on West Samford Avenue.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Miller Gorrie Center Laboratory Renovations project is approved and that Steven Leath, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a budget for the Miller Gorrie Center Laboratory Renovations project in the amount of \$1.05 million, to be financed by College of Architecture, Design and Construction funds; and
2. Direct the consultants to complete the required plans for the project; and
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.

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**TO:** STEVEN LEATH, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
**MILLER GORRIE CENTER LABORATORY RENOVATIONS: FINAL  
PROJECT APPROVAL**  
**DATE:** OCTOBER 30, 2017

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 10, 2017.

**Proposal:**

Consistent with standing policy, it is proposed that the Miller Gorrie Center Laboratory Renovations project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that provides final approval of the project. If approved, the resolution would authorize the President to direct Auburn University Facilities Management to complete the project design, solicit bids, and award a contract for construction.

**Review and Consultation:**

This renovation did not originate as a project that would require Board of Trustees approval since it was expected to be below the Board of Trustees approval threshold. The latest design was estimated to cost slightly over \$1.0 million. Since Board of Trustees policy stipulates that all new construction, renovation, and adaptation projects over \$1.0 million require Board of Trustees approval, the Miller Gorrie Laboratory Renovations project now requires Board of Trustees approval.

At its previous meeting on September 15, 2017, the Board of Trustees adopted a resolution that approved the initiation and architect selection for the Miller Gorrie Center Laboratory Renovations project.

The project team has completed the schematic design for the Miller Gorrie Center Laboratory Renovations. The project details are provided below:

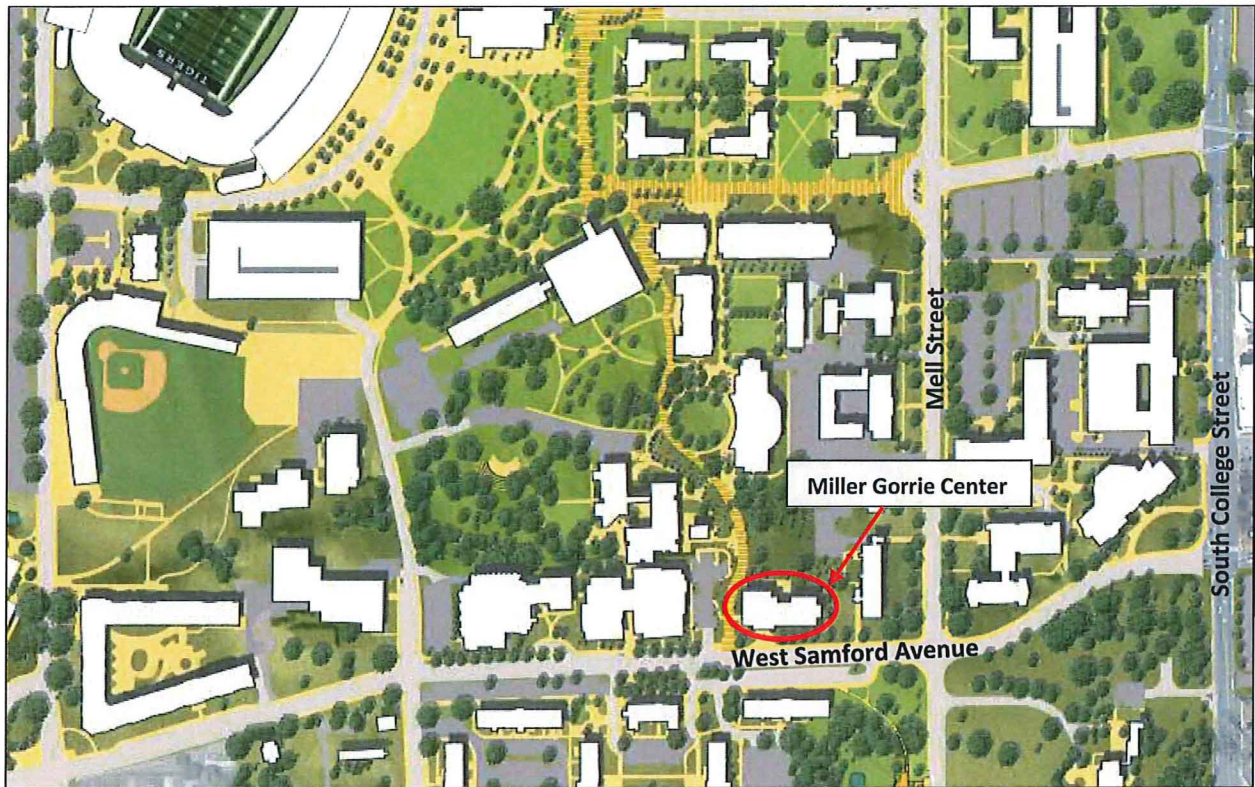
- **Program Requirements:** The Miller Gorrie Center Laboratory Renovations project will renovate 2,900 square feet of existing space in the first-floor of the Miller Gorrie Center to create a new virtual design and construction laboratory. The renovated space will include a new visualization laboratory and student competition rooms. Refer to Attachment 1 for the site map and rendering of the Miller Gorrie Center Laboratory Renovations.



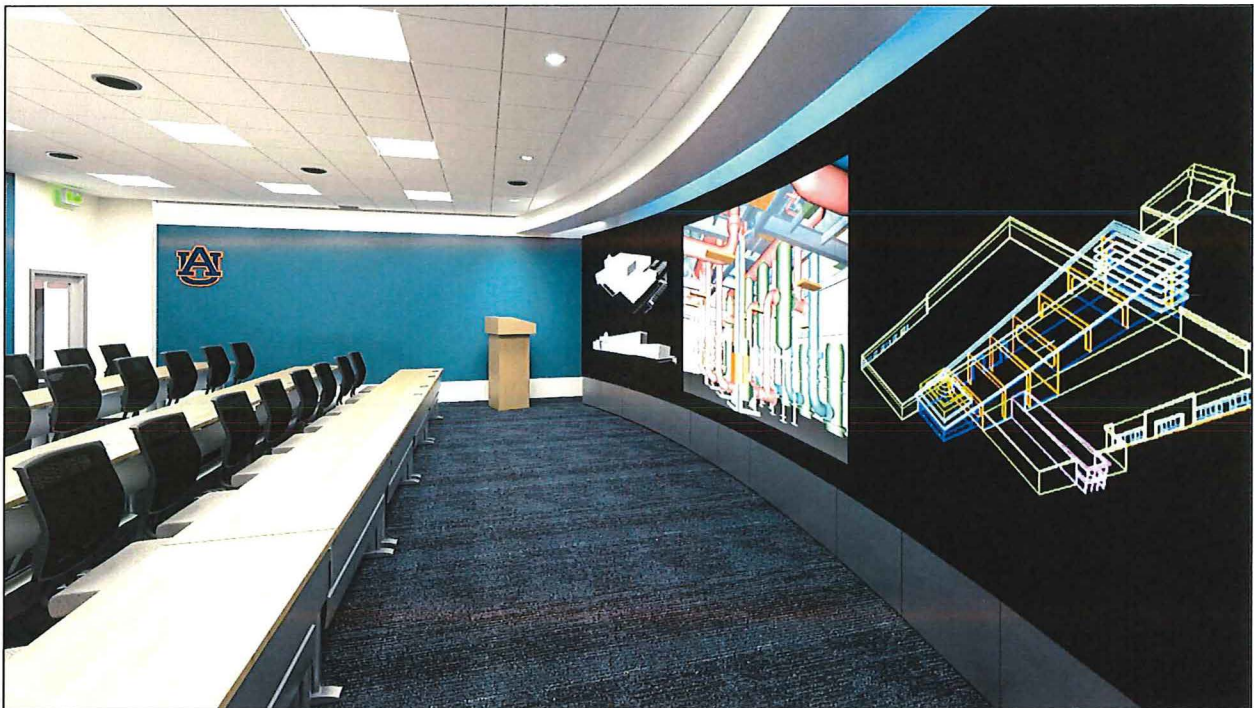
- Budget: The estimated total project cost of the Miller Gorrie Center Laboratory Renovations project is \$1.05 million, to be financed by College of Architecture, Design and Construction funds.
- Project Location: The renovation will take place inside the Miller Gorrie Center, located on West Samford Avenue.

If you concur, it is proposed that a resolution providing final approval of the Miller Gorrie Center Laboratory Renovations project be presented to the Board of Trustees for consideration at its meeting scheduled for November 10, 2017.

**Attachment 1**  
**Miller Gorrie Center Laboratory Renovations**  
**Site Map & Architectural Rendering**



**Existing Miller Gorrie Center Site Map**



**Visualization Lab at the Miller Gorrie Center**

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

EQUESTRIAN FACILITY IMPROVEMENTS PHASE I –  
INFRASTRUCTURE AND EQUINE BARN

FINAL PROJECT APPROVAL

WHEREAS, at its previous meeting on February 3, 2017, the Board of Trustees adopted a resolution that approved the initiation and architect selection for the Equestrian Facility Improvements project; and

WHEREAS, the first phase of the project will construct a one-story 10,000 square foot barn which will replace the existing barn with a barn specifically for equine use by Auburn University's National Champion Equestrian Team; and

WHEREAS, the project team has completed the schematic design of the first phase of the project, which will include utilities infrastructure along with site work in support of the equine barn and future facilities to be presented to the Board of Trustees in the second phase of the project; and

WHEREAS, the estimated total project cost for the Equestrian Facility Improvements Phase I – Infrastructure and Equine Barn is \$2.9 million, to be financed by Athletics Department funds; and

WHEREAS, the site for the new equine barn and its associated infrastructure will be located at the Auburn University Equine Teaching & Performance Center which is located at 1235 Wire Road.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Equestrian Facility Improvements Phase I – Infrastructure and Equine Barn project is approved and that Steven Leath, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a budget for the Equestrian Facility Improvements Phase I – Infrastructure and Equine Barn project in the amount of \$2.9 million, to be financed by Athletics Department funds; and
2. Direct the consultants to complete the required plans for the project; and
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.



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**TO:** STEVEN LEATH, President

**THROUGH:** DONALD L. LARGE, Executive Vice President

**FROM:** DAN KING, Associate Vice President for Facilities

**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**EQUESTRIAN FACILITY IMPROVEMENTS PHASE I – INFRASTRUCTURE AND  
EQUINE BARN: FINAL PROJECT APPROVAL**

**DATE:** OCTOBER 30, 2017

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 10, 2017.

**Proposal:**

Consistent with standing policy, it is proposed that the Equestrian Facility Improvements Phase I – Infrastructure and Equine Barn project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that provides final approval of the project. If approved, the resolution would authorize the President to direct Auburn University Facilities Management to complete the project design, solicit bids, and award a contract for construction.

**Review and Consultation:**

At its previous meeting on February 3, 2017, the Board of Trustees adopted a resolution that approved the initiation and architect selection for the Equestrian Facility Improvements project. The project includes the construction of a new horse barn, team support facility, and public restrooms, as well as the utilities infrastructure to support these facilities.

Since that time, the project team has completed the schematic design for the Equestrian Facility Improvements Phase I – Infrastructure and Equine Barn. The Equestrian Facility Improvements Phase II project will be presented for final project approval to the Board of Trustees at a later date to include the construction of a new team support facility and public restrooms. The current estimated cost for both phases is \$7.65 million.

The project details for the Equestrian Facility Improvements Phase I – Infrastructure and Equine Barn are provided below:

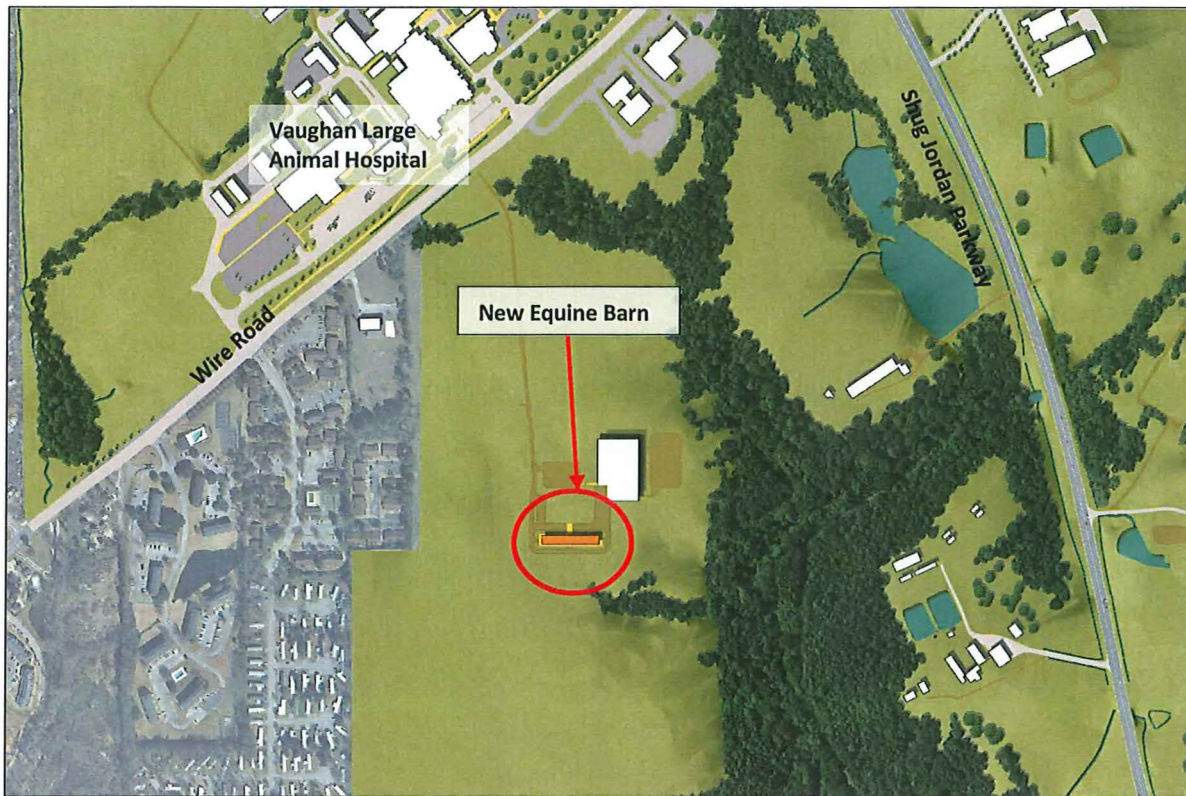
- **Program Requirements:** The first phase of the project will construct a one-story 10,000 square foot equine barn for Auburn University's National Champion Equestrian team. Program requirements include the demolition and replacement of the existing barn with a new barn designed specifically for equine use. The project will also include utilities infrastructure along with site work. Refer to Attachments 1 and 2 for the site map and

renderings of the Equestrian Facility Improvements Phase I – Infrastructure and Equine Barn.

- Budget: The estimated total project cost of the Equestrian Facility Improvements Phase I – Infrastructure and Equine Barn project is \$2.9 million, to be financed by Athletics Department funds.
- Project Location: The infrastructure and new equine barn will be located at the Auburn University Equine Teaching & Performance Center which is located at 1235 Wire Road.

If you concur, it is proposed that a resolution providing final approval of the Equestrian Facility Improvements project be presented to the Board of Trustees for consideration at its meeting scheduled for November 10, 2017.

**Attachment 1**  
**Equestrian Facility Improvements Phase I – Infrastructure and Equine Barn**  
**Site Map**



**Equine Barn Site Map**



**Attachment 2**  
**Equestrian Facility Improvements Phase I – Infrastructure and Equine Barn**  
**Architectural Rendering & Photograph of Existing Barn**



**Rendering of the New Equine Barn**



**Photograph of Existing Barn to be demolished**

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

JORDAN-HARE STADIUM NORTH ENDZONE VIDEOBOARD IMPROVEMENTS

FINAL PROJECT APPROVAL

WHEREAS, at its previous meeting on September 15, 2017, the Board of Trustees adopted a resolution that approved the initiation and engineer selection for the Jordan-Hare Stadium North Endzone Videoboard Improvements project; and

WHEREAS, the project proposes to replace the existing scoreboard with a new 34,000 square foot videoboard which will enhance the Gameday experience for fans at Jordan-Hare Stadium; and

WHEREAS, the program requirements for the project include the demolition of the existing 19-year-old scoreboard and the installation of a new high definition videoboard system; and

WHEREAS, the target schedule for this project is to complete the construction and installation of the new videoboard prior to the start of the 2018 football season; and

WHEREAS, the estimated total project cost for the Jordan-Hare Stadium North Endzone Videoboard Improvements project is \$6.3 million, to be financed by revenues generated from the project and Athletics Department funds; and

WHEREAS, the videoboard will be located at the North Endzone of Jordan-Hare Stadium along Heisman Drive.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Jordan-Hare Stadium North Endzone Videoboard Improvements project is approved and that Steven Leath, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a budget for the Jordan-Hare Stadium North Endzone Videoboard Improvements project in the amount of \$6.3 million, to be financed by revenues generated from the project and Athletics Department funds; and
2. Direct the consultants to complete the required plans for the project; and
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.



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**TO:** STEVEN LEATH, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
**JORDAN-HARE STADIUM NORTH ENDZONE VIDEOBOARD IMPROVEMENTS:  
FINAL PROJECT APPROVAL**  
**DATE:** OCTOBER 30, 2017

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 10, 2017.

**Proposal:**

Consistent with standing policy, it is proposed that the Jordan-Hare Stadium North Endzone Videoboard Improvements project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that provides final approval of the project. If approved, the resolution would authorize the President to direct Auburn University Facilities Management to complete the project design, solicit bids, and award a contract for construction.

**Review and Consultation:**

At its previous meeting on September 15, 2017, the Board of Trustees adopted a resolution that approved the initiation and engineer selection for the Jordan-Hare Stadium North Endzone Videoboard Improvements project.

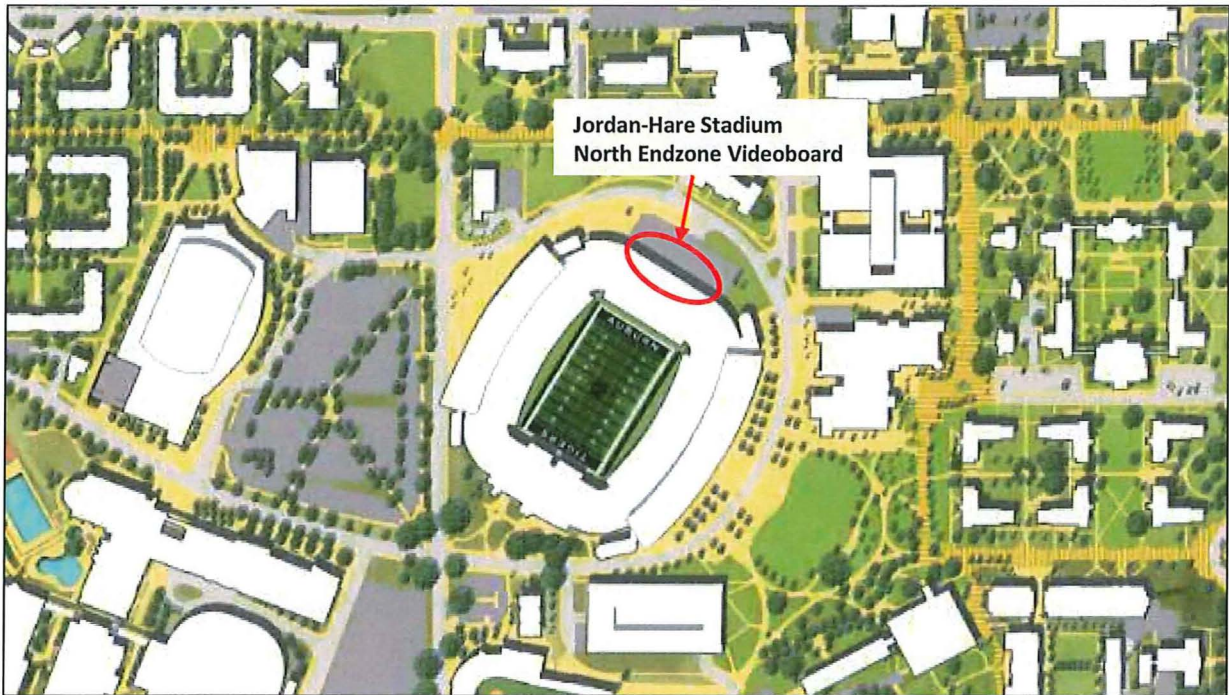
Since that time, the project team has completed the schematic design for the Jordan-Hare Stadium North Endzone Videoboard. The project details are provided below:

- **Program Requirements:** The Jordan-Hare Stadium North Endzone Videoboard Improvements project will replace the existing scoreboard with a new 34,000 square foot videoboard which will enhance the Gameday experience for fans at Jordan-Hare Stadium. Program requirements include the demolition of the existing 19-year-old scoreboard and the installation of a new high definition videoboard system. Refer to Attachments 1 and 2 for the site map and renderings of the Jordan-Hare Stadium North Endzone Videoboard Improvements project.
- **Budget:** The estimated total project cost of the Jordan-Hare Stadium North Endzone Videoboard Improvements project is \$6.3 million, to be financed by revenues generated from the project and Athletics Department funds.
- **Schedule:** The Jordan-Hare Stadium North Endzone Videoboard Improvements project is proposed to be completed prior to the start of the 2018 football season.

- Project Location: The new videoboard will be located at the North Endzone of Jordan-Hare Stadium along Heisman Drive.

If you concur, it is proposed that a resolution providing final approval of the Jordan-Hare Stadium North Endzone Videoboard Improvements project be presented to the Board of Trustees for consideration at its meeting scheduled for November 10, 2017.

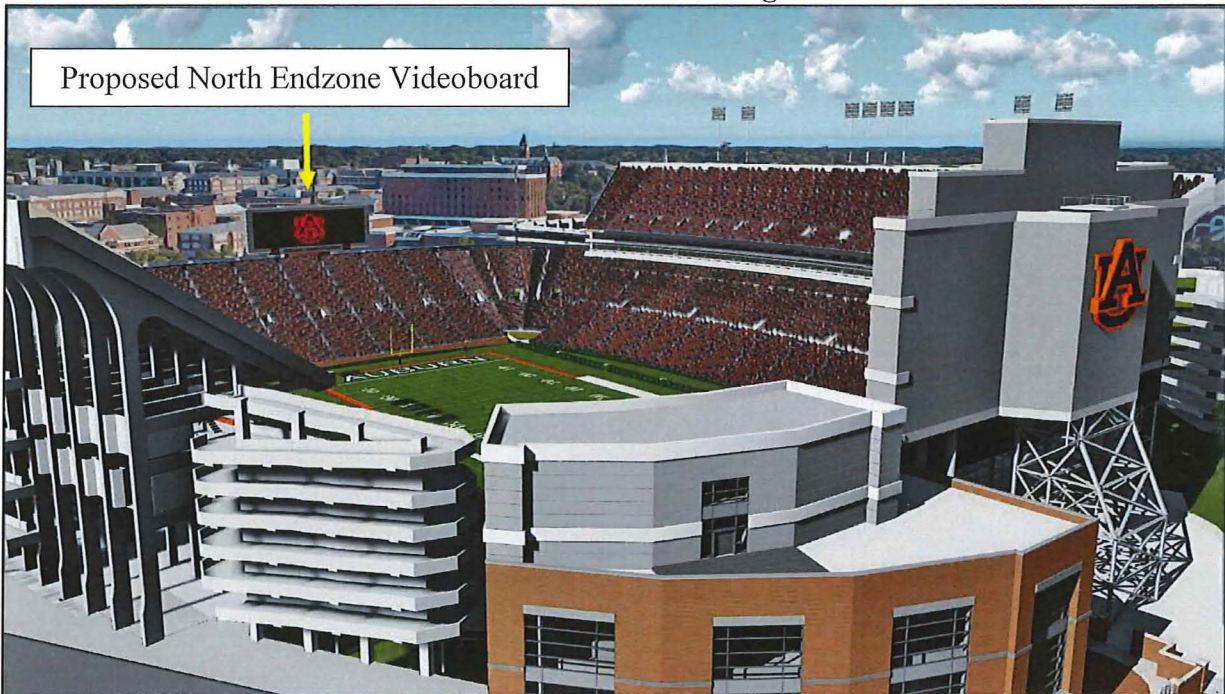
**Attachment 1**  
**Jordan-Hare Stadium North Endzone Videoboard Improvements**  
**Site Map**



**Jordan-Hare Stadium North Endzone Videoboard Improvements Site Map**



**Attachment 2**  
**Jordan-Hare Stadium North Endzone Videoboard Improvements**  
**Architectural Renderings**



**View of the North Endzone Videoboard looking North**



**View of the North Endzone Videoboard from inside Jordan-Hare Stadium**

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

PLAINSMAN PARK PLAYER DEVELOPMENT IMPROVEMENTS

APPROVAL OF THE PROJECT ARCHITECT SELECTION

WHEREAS, at its meeting of September 15, 2017, the Board of Trustees adopted a resolution that approved the initiation of the Plainsman Park Player Development Improvements project and authorized the commencement of the architect and construction manager selection processes; and

WHEREAS, the University Architect, after conducting interviews with three candidate firms, determined the architectural firm M. Arthur Gensler Jr. & Associates, of Washington, District of Columbia, was best qualified to provide architectural services on this project.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Steven Leath, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage M. Arthur Gensler Jr. & Associates, of Washington, District of Columbia, as project architect to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.



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**TO:** STEVEN LEATH, President

**THROUGH:** DONALD L. LARGE, Executive Vice President

**FROM:** DAN KING, Associate Vice President for Facilities

**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**PLAINSMAN PARK PLAYER DEVELOPMENT IMPROVEMENTS: APPROVAL  
OF THE PROJECT ARCHITECT SELECTION**

**DATE:** OCTOBER 30, 2017

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 10, 2017.

**Proposal:**

Consistent with standing policy, it is proposed that the Plainsman Park Player Development Improvements project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that approves the selection of the project architect.

**Review and Consultation:**

During its meeting of September 15, 2017, the Board of Trustees adopted a resolution that approved the initiation of the Plainsman Park Player Development Improvements and authorized the commencement of the architect and construction manager selection processes.

Since that time, a Request for Qualifications was published for architectural services. Seven architectural firms submitted their qualifications, and three were interviewed by the Architect Selection Committee. The committee determined that the firm M. Arthur Gensler Jr. & Associates, of Washington, District of Columbia, was best qualified to provide architectural services on this project. The University Architect concurs with this recommendation.

It is, therefore, appropriate that a resolution be presented to the Board of Trustees for their consideration to approve the selection of M. Arthur Gensler Jr. & Associates, of Washington, District of Columbia, as the project architect for the Plainsman Park Player Development Improvements project. If you concur, it is recommended the resolution be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action at the meeting scheduled for November 10, 2017.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

JANE B. MOORE SOFTBALL COMPLEX PLAYER DEVELOPMENT  
IMPROVEMENTS

APPROVAL OF THE PROJECT ARCHITECT SELECTION

WHEREAS, at its meeting of September 15, 2017, the Board of Trustees adopted a resolution that approved the initiation of the Jane B. Moore Softball Complex Player Development Improvements project and authorized the commencement of the architect and construction manager selection processes; and

WHEREAS, the University Architect, after conducting interviews with three candidate firms, determined the architectural firm Rosser International, of Atlanta, Georgia, was best qualified to provide architectural services on this project.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Steven Leath, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage Rosser International, of Atlanta, Georgia, as project architect to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

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**TO:** STEVEN LEATH, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**JANE B. MOORE SOFTBALL COMPLEX PLAYER DEVELOPMENT  
IMPROVEMENTS: APPROVAL OF THE PROJECT ARCHITECT SELECTION**

**DATE:** OCTOBER 30, 2017

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 10, 2017.

**Proposal:**

Consistent with standing policy, it is proposed that the Jane B. Moore Softball Complex Player Development Improvements project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that approves the selection of the project architect.

**Review and Consultation:**

During its meeting of September 15, 2017, the Board of Trustees adopted a resolution that approved the initiation of the Jane B. Moore Softball Complex Player Development Improvements project and authorized the commencement of the architect and construction manager selection processes.

Since that time, a Request for Qualifications was published for architectural services. Seven architectural firms submitted their qualifications, and three were interviewed by the Architect Selection Committee. The committee determined that the firm Rosser International, of Atlanta, Georgia, was best qualified to provide architectural services on this project. The University Architect concurs with this recommendation.

It is, therefore, appropriate that a resolution be presented to the Board of Trustees for their consideration to approve the selection of Rosser International, of Atlanta, Georgia, as the project architect for the Jane B. Moore Softball Complex Player Development Improvements project. If you concur, it is recommended the resolution be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action at the meeting scheduled for November 10, 2017.



PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

AUBURN ARENA LOCKER ROOM ENHANCEMENT  
APPROVAL OF THE PROJECT ARCHITECT SELECTION

WHEREAS, at its meeting of September 15, 2017, the Board of Trustees adopted a resolution that approved the initiation of the Auburn Arena Locker Room Enhancement project and authorized the commencement of the architect and construction manager selection processes; and

WHEREAS, the University Architect, after conducting interviews with three candidate firms, determined the architectural firm M. Arthur Gensler Jr. & Associates, of Washington, District of Columbia, was best qualified to provide architectural services on this project.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Steven Leath, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage M. Arthur Gensler Jr. & Associates, of Washington, District of Columbia, as project architect to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

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**TO:** STEVEN LEATH, President

**THROUGH:** DONALD L. LARGE, Executive Vice President

**FROM:** DAN KING, Associate Vice President for Facilities

**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**AUBURN ARENA LOCKER ROOM RENOVATION: APPROVAL OF THE  
PROJECT ARCHITECT SELECTION**

**DATE:** OCTOBER 30, 2017

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 10, 2017.

**Proposal:**

Consistent with standing policy, it is proposed that the Auburn Arena Locker Room Renovation project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that approves the selection of the project architect.

**Review and Consultation:**

During its meeting of September 15, 2017, the Board of Trustees adopted a resolution that approved the initiation of the Auburn Arena Locker Room Renovation project and authorized the commencement of the architect and construction manager selection processes.

Since that time, a Request for Qualifications was published for architectural services. Nine architectural firms submitted their qualifications, and three were interviewed by the Architect Selection Committee. The committee determined that the firm M. Arthur Gensler Jr. & Associates, of Washington, District of Columbia, was best qualified to provide architectural services on this project. The University Architect concurs with this recommendation.

It is, therefore, appropriate that a resolution be presented to the Board of Trustees for their consideration to approve the selection of M. Arthur Gensler Jr. & Associates, of Washington, District of Columbia, as the project architect for the Auburn Arena Locker Room Renovation project. If you concur, it is recommended the resolution be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action at the meeting scheduled for November 10, 2017.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

POULTRY RESEARCH FARM UNIT RELOCATION PHASES III – VI

APPROVAL OF PROJECT INITIATION AND  
AUTHORIZATION TO COMMENCE THE PROJECT ARCHITECT  
SELECTION PROCESS

WHEREAS, as part of the 2005 North Auburn Master Land Use Plan, the University established the strategic goal to relocate the College of Agriculture Poultry Research Farm Unit from the Auburn University Research Park to the North Auburn Campus; and

WHEREAS, as a result of the master planning efforts, the Department of Poultry Science conducted a programming study to define the building requirements that would support the program's future growth at the North Auburn Campus and also determined that the relocation would be executed in several phases; and

WHEREAS, as part of the phased relocation initiative, the Department of Poultry Science has proposed the construction of the Poultry Research Farm Unit Relocation Phases III – VI within the Miller Poultry Research and Education Center; and

WHEREAS, the third phase is proposed to include a new 13,500 square foot facility dedicated to the advancement of research and educational efforts related to poultry food safety, food quality, animal welfare, processing efficiency, and other areas of study as it relates to Poultry Science; and

WHEREAS, the fourth and fifth phases are proposed to include new Poultry Barns and other buildings that will be similar and complementary to the Phase I project; and

WHEREAS, the sixth phase is proposed to demolish the existing Poultry Barns and other buildings within the existing Auburn University Research Park; and

WHEREAS, it is anticipated that this facility would be financed by a combination of College of Agriculture funds and University general funds; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the new Poultry Research Farm Unit Relocation Phases III – VI projects are approved; and Steven Leath, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the project architect selection processes.

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**TO:** STEVEN LEATH, President

**THROUGH:** DONALD L. LARGE, Executive Vice President

**FROM:** DAN KING, Associate Vice President for Facilities

**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**POULTRY RESEARCH FARM UNIT RELOCATION PHASES III – VI:  
APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO  
COMMENCE THE PROJECT ARCHITECT SELECTION PROCESS**

**DATE:** OCTOBER 30, 2017

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 10, 2017.

**Proposal:**

Consistent with standing policy, it is proposed that the Poultry Research Farm Unit Relocation Phases III – VI is presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will approve the initiation of the project and authorize the commencement of the project architect selection process.

**Review and Consultation:**

The Poultry Research Farm Unit was originally located within the Auburn University Research Park in the southern area of the University's main campus. The complex consisted of over 20 barns, processing facilities, and administrative buildings needed to conduct the instruction and research mission of the Department of Poultry Science within the College of Agriculture.

To facilitate future growth of the Auburn University Research Park, the 2005 North Auburn Master Land Use Plan called for the relocation of the Poultry Science facilities to the North Auburn Campus. As a result of the master planning efforts, the Department of Poultry Science conducted a programming study to define the building requirements that would support the program's future growth at the North Auburn Campus. The programming study resulted in the Poultry Research Farm Unit Relocation initiative, which is shown in Attachment 1.

The programming study also determined that the Poultry Research Farm Unit Relocation would be executed in several phases:

- Poultry and Animal Nutrition Center at North Auburn - \$7.5 million
- Phase I – Relocation of two Floor Pen Houses and NPTC Building – \$1.3 million
- Phase II – Administration Building – \$2.95 million
- Phase III – Processing Plant – \$6.72 million
- Phase IV – Relocation of Poultry Barns and Other Buildings – \$3.5 million
- Phase V – Relocation of Poultry Barns and Other Buildings – \$4.1 million
- Phase VI – Demolition of Existing Farm at ARTF – \$1.3 million

The total planned cost of all phases of this relocation is estimated to be \$27.4 million.

The process of consolidating the Poultry Research Farm Unit to the North Auburn Campus began in March of 2009 when the Board of Trustees adopted a resolution approving the initiation of the Poultry and Animal Nutrition Center project. At its meeting on November 6, 2009, the Board of Trustees adopted a resolution approving the schematic design of the project. This \$7.5 million state-of-the-art feed mill facility provided new research capabilities to the University, and officially opened in November 2012.

At its meeting on September 25, 2015, the Board of Trustees was presented with an informational item detailing the Poultry Research Farm Unit Relocation Phase I, a project consisting of three buildings. The anticipated cost of Phase I was under the Board of Trustees approval threshold of \$1,000,000 and thus did not go through the Board of Trustees approval process. This project was completed in September 21, 2016.

At its meeting on April 7, 2017, the Board of Trustees adopted a resolution that approved the program, budget, funding plan and site for Poultry Research Farm Unit Relocation Phase II Administration Building project. The second phase of the relocation initiative includes new multi-purpose meeting room, conference, business center, pre-function space, and office support spaces needed for the relocation of Poultry Research Unit staff to the North Auburn campus. This project is currently under construction.

At this time, the Department of Poultry Science proposes to move forward with the next phases of the relocation initiative and presents the request to initiate the following projects within the Poultry Research Farm Unit Relocation:

- Phase III - Processing Plant
- Phase IV – Relocation of Poultry Barns and Other Buildings
- Phase V – Relocation of Poultry Barns and Other Buildings
- Phase VI - Demolition of Existing Farm at ARTF

The new Processing Plant is proposed to include a 13,500 square foot program dedicated to the advancement of research and educational efforts related to poultry food safety, food quality, animal welfare, processing efficiency, and other areas of study as it relates to Poultry Science. The new Poultry Barns and other buildings will be similar and complementary to the Phase I project, while Phase VI effort will demolish the existing buildings within the Auburn University Research Park, managed by the Auburn Research and Technology Foundation. By initiating the remaining phases of the work at one time, the University will save money on the overall project, due to the repetitive nature of the poultry buildings. It is anticipated that Phases III – VI would be financed by a combination of College of Agriculture funds and University general funds.

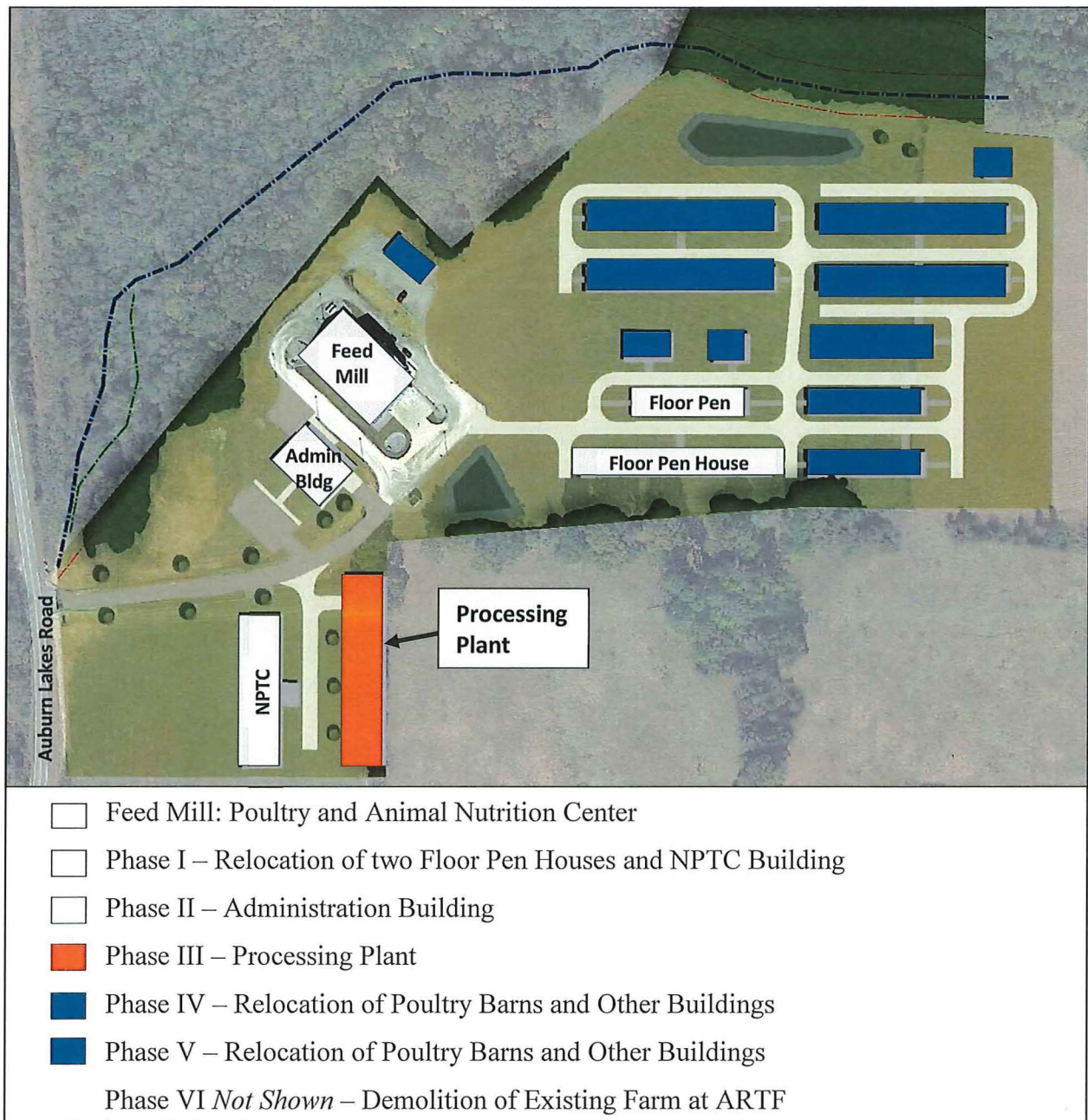
If you concur, it is proposed that a resolution initiating the Poultry Research Farm Unit Relocation Phases III – VI and authorizing the commencement of the project architect selection process to be presented to the Board of Trustees for approval at the meeting scheduled for November 10, 2017.

**Rationale for Recommendation:**

The Poultry Research Farm Unit Relocation Phases III – VI are expected to cost in excess of \$1,000,000. Standing Board of Trustees policy stipulates that all construction, renovation/adaptation, infrastructure, or outdoor facility projects with budgets of \$1,000,000 or more, be submitted to the Board of Trustees for action.



**Attachment 1**  
**Poultry Research Farm Unit Relocation Phases III – VI**  
**Site Map**



**Site Map of the Miller Poultry Research and Education Center at the North Auburn Campus**

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

GRADUATE BUSINESS BUILDING

APPROVAL OF ADDITIONAL PROJECT SCOPE AND BUDGET INCREASE

WHEREAS, at its meeting on September 16, 2016, the Board of Trustees adopted a resolution to approve a \$30.0 million project budget for the Graduate Business Building project; and

WHEREAS, after a comprehensive review of the academic, executive education, and student support programs within the Harbert College of Business, the proposed building size has increased to 105,000 square feet to include a new floor level with additional case study and hybrid classrooms, larger undergraduate and graduate student commons spaces, and office space; and

WHEREAS, as a result of the increase in size of the facility, the Graduate Business Building project is now estimated to cost \$45.0 million, thus necessitating a budget increase of \$15.0 million; and

WHEREAS, the requested budget increase of \$15.0 million will be financed by a combination of gift and University general funds.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the increased project budget for the Graduate Business Building project is approved, and Steven Leath, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to establish a total project budget in the amount of \$45.0 million to be funded by previously budgeted gift and University general funds.



FACILITIES MANAGEMENT  
M E M O R A N D U M

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**TO:** STEVEN LEATH, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
**GRADUATE BUSINESS BUILDING: APPROVAL OF ADDITIONAL PROJECT  
SCOPE AND BUDGET INCREASE**  
**DATE:** OCTOBER 30, 2017

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda of the meeting scheduled for November 10, 2017.

**Proposal:**

It is proposed that the Graduate Business Building project be presented to the Board of Trustees through the Property and Facilities Committee for the consideration of a resolution to approve additional project scope and budget increase.

**Review and Consultation:**

At its meeting on September 16, 2016 the Board of Trustees adopted a resolution to approve a \$30.0 million budget for the Graduate Business Building project, to be funded by gift and University General Funds. This cost was based on the original project scope for the construction of an 80,000 square foot building to include student support areas classrooms, offices, and open, collaborative areas. After a comprehensive review of the academic, executive education, and student support programs within the Harbert College of Business, the proposed building size has increased to 105,000 square feet to include a new floor level with additional case study and hybrid classrooms, larger undergraduate and graduate student commons spaces, and office space.

As a result of the increase in size of the facility, the Graduate Business Building project is now estimated to cost \$45.0 million, thus necessitating a budget increase of \$15.0 million. This budget increase will be financed by a combination of gift and University general funds.

The request to the Board of Trustees is to increase the Graduate Business Building project budget from \$30.0 million to a total project budget of \$45.0 million.

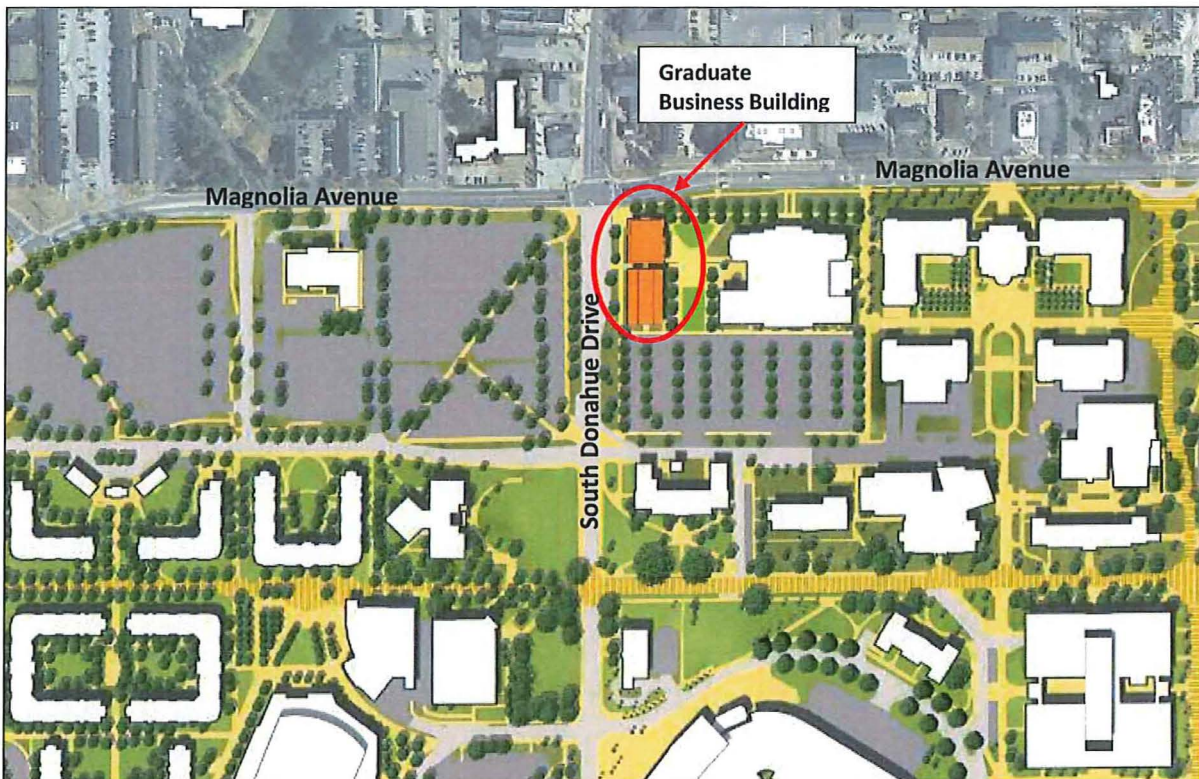
If you concur, it is proposed that the revised project budget increase is presented to the Board of Trustees for consideration of an appropriate resolution for approval at the meeting scheduled for November 10, 2017.

**Rationale for Recommendation:**

Consistent with standing policy, revisions to facility program requirements, schematic design, and budget of the project shall be presented to the Board of Trustees through the Property and Facilities Committee for review and appropriate action.



**Attachment 1**  
**Graduate Business Building**  
**Site Map & Architectural Rendering**



**Graduate Business Building Site Map**



**Rendering of the Graduate Business Building**

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**TO:** STEVEN LEATH, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
JORDAN-HARE STADIUM RESTROOM IMPROVEMENTS (**INFORMATION ONLY**)  
**DATE:** OCTOBER 30, 2017

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 10, 2017.

**Proposal:**

The Jordan-Hare Stadium Restroom Improvements project will renovate restrooms located on the entry level north, second level west and the upper level east concourses. The scope of work will replace existing flooring and wall finishes, partitions and fixtures within each space to increase the quality of the Gameday experience. The project is anticipated to be completed prior to the Fall 2018 football season, and will be financed by Athletics Department funds.

Although this project is anticipated to exceed the capital project threshold of \$1.0 million, it does not require Board of Trustees approval since the nature of the work is “repair by replacement”. Per Board policy, repair projects do not require Board of Trustees approval. Nonetheless, to ensure Board of Trustees awareness of this project, it is proposed a brief report regarding Jordan-Hare Stadium Restroom Improvements project be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

**Review and Consultation:**

The Board of Trustees extends to the oversight of funding, planning, design, construction, maintenance and operation of University buildings and facilities.

**Rationale for Recommendation:**

It is the desire of Facilities Management to keep the Board of Trustees informed about significant campus changes, including the Jordan-Hare Stadium Restroom Improvements project. The current memorandum is provided, *for information only*, to the Board of Trustees through the Property and Facilities Committee to be included on the agenda of the meeting scheduled for November 10, 2017.



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**TO:** STEVEN LEATH, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**CURRENT STATUS OF NEW CONSTRUCTION/RENOVATION/  
INFRASTRUCTURE PROJECTS WITH BUDGETS OF \$1,000,000 AND GREATER  
(INFORMATION ONLY)**

**DATE:** OCTOBER 30, 2017

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This is to request that the following proposal be submitted to the Property and Facilities Committee and included on the agenda of the Board of Trustees meeting scheduled for November 10, 2017.

**Proposal:**

Consistent with standing practice, it is proposed that the current status report of new construction/renovation/infrastructure projects with budgets greater than \$1,000,000 be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

**Review and Consultation:**

The Board of Trustees at its meeting on June 4, 2001, requested that it receive a regular update on the financial status of Board approved projects. The attached list includes projects at Auburn University and outlying units.

**Rationale for Recommendation:**

Consistent with the request of the Board of Trustees for a current status report of new construction/renovation/infrastructure projects with budgets greater than \$1,000,000, the attached listing is provided, *for information only*, to the Board through the Property and Facilities Committee for inclusion on the agenda of the meeting scheduled for November 10, 2017.

Data as of 10/01/2017

## *Auburn University Facilities Management*

### Current Capital Projects

(Spending across Multiple Years)

### *Summary of Cash Flow by Project Phase*

<i>Project Phase</i>	<i>Previous Approved Budget Amount</i>	<i>Current Approved Budget Amount</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2018</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2019 &amp; Forward</i>
Substantial Completion	85,122,977	118,122,977	110,178,501	7,025,324	725,000 *
Construction	146,801,195	160,866,921	34,580,062	105,066,462	21,220,397
Design	170,776,168	135,260,245	10,891,042	71,574,007	52,795,196
Planning	20,615,930	14,565,930	1,558,064	13,007,866	0
Totals	423,316,270	428,816,073	157,207,669	196,673,659	74,740,593 *
Other Open Capital Projects	55,198,107	59,122,403	31,041,775	18,252,408	9,828,220
Grand Totals	478,514,377	487,938,476	188,249,444	214,926,067	84,568,813 *

\* On the lines with asterisks, the sum of the spending columns does not equal the current approved budget figure. The reason relates to one project: (1) "Auburn Arena Volleyball Team Space Improvements" project under budget by \$194,152.

## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	(A)		AU Bond Funding	Federal/ State or Local Funding	(B)		Estimated Spending Assuming Remainder of Budget for FY2019 & Forward	Estimated Spending Assuming Remainder of Budget for FY2018	Actual Spending to Date (across multiple years)	(C)		(A)-(B)-(C)
			Current Approved Budget Amount	AU Funding (includes gifts/grants)								Current Encumbrances Against Project	Current Open Balance (Budget less Actuals and Encumbrances)	
<b>SUBSTANTIAL COMPLETION PHASE</b>														
Auburn Arena Volleyball Team Space Improvements 14-273	Completed	2,200,000	2,200,000	2,200,000			2,005,848	0	0	0		0	194,152	*
Cater Hall Repair & Renovation Phase II 15-334	Substantial Completion	1,950,000	1,950,000	1,950,000			1,623,839	326,161	0			41,869	284,292	
Health Science Sector Infrastructure 15-222	Substantial Completion	6,000,000	6,000,000	6,000,000			5,855,874	144,126	0			7,846	136,280	
Garden of Memory - Student Memorial 14-336	Substantial Completion	1,250,000	1,250,000	1,250,000			1,188,702	61,298	0			5,874	55,424	
Auburn University Hotel Governor's Room, Board Room, & Restroom Renovations 15-326	Substantial Completion	995,000	995,000	995,000			870,359	49,641	75,000			16,933	107,708	
Jordan-Hare Stadium Improvements: Phase 1 Storm Drain & Sewer Repairs 15-056	Substantial Completion	4,500,000	12,827,977	12,827,977			12,253,260	574,717	0			323,558	251,159	
Risk Management and Safety Facility - New Building 15-150	Substantial Completion	2,800,000	2,800,000	2,800,000			2,512,231	187,769	100,000			102,537	185,232	
School of Nursing Facility 15-035	Substantial Completion	29,000,000	29,000,000	29,000,000			26,370,349	2,229,651	400,000			1,857,592	772,059	
Pharmaceutical Research Building 14-193	Substantial Completion	16,600,000	16,600,000	16,600,000			16,192,164	357,836	50,000			325,109	82,727	
Band Practice Field - New Storage & Dressing Facility 15-256	Substantial Completion	3,000,000	3,000,000	3,000,000			2,668,263	331,737	0			71,019	260,718	



**ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY**

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>		<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i>		<i>Estimated Spending Assuming Remainder of Budget for FY2019 &amp; Forward</i>	<i>(C)</i>	<i>(A)-(B)-(C)</i>	
			<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>			<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2018</i>		<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>	
Food Animal Research Facility 15-130	Substantial Completion	3,400,000	3,400,000	3,400,000			2,815,841	584,159	0	86,546	497,613	
Auburn University Hotel Port-Cochere & Front Drive Improvements 13-268	Substantial Completion	1,200,000	1,200,000	1,200,000			982,532	117,468	100,000	99,643	117,825	
Jordan-Hare Stadium - North Main Concourse Expansion 16-332	Substantial Completion	1,900,000	1,900,000	1,900,000			1,382,180	517,820	0	152,809	365,011	
Mell Classroom Building 11-209	Substantial Completion	25,000,000	35,000,000	31,500,000	3,500,000		33,457,059	1,542,941	0	167,164	1,375,777	
<b>Total Substantial Completion</b>			118,122,977	114,622,977	3,500,000	0	110,178,501	7,025,324	725,000	3,258,499	4,685,977	*

## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	(A)		AU Bond Funding	Federal/ State or Local Funding	(B)		Estimated Spending Assuming Remainder of Budget for FY2019 & Forward		(C)	(A)-(B)-(C)	
			Current Approved Budget Amount	AU Funding (includes gifts/grants)			Actual Spending to Date (across multiple years)	Estimated Spending Assuming Remainder of Budget for FY2018			Current Encumbrances Against Project	Current Open Balance (Budget less Actuals and Encumbrances)	
CONSTRUCTION PHASE													
President's House Renovation 14-296	Construction	11,051,195	12,766,921	12,766,921			6,809,665	5,957,256	0		3,758,616	2,198,640	
Gavin Engineering Research Laboratory - Comprehensive Renovations 14-308	Construction	16,750,000	18,000,000	18,000,000			8,020,773	9,979,227	0		6,945,312	3,033,915	
Broun Hall Building Wide Renovation 15-068	Construction	5,000,000	5,000,000	5,000,000			2,031,083	2,768,917	200,000		2,048,340	920,577	
Leach Science Ctr - Building Expansion & Partial Renovation 15-208	Construction	19,000,000	24,000,000	24,000,000			3,373,353	18,563,982	2,062,665		18,634,024	1,992,623	
Public Safety & Security Bldg - Building Renovation & Expansion 16-119	Construction	4,800,000	4,800,000	4,800,000			1,906,833	2,893,167	0		2,132,616	760,551	
AU Hotel & Dixon Conf Ctr - Comprehensive Renovation Of The Conference Center 15-274	Construction	3,650,000	3,650,000	3,650,000			1,422,069	2,227,931	0		1,759,148	468,783	
AU Regional Airport - Aviation Education Facility 13-285	Construction	8,700,000	8,700,000	3,700,000		5,000,000	1,009,045	7,690,955	0		6,646,795	1,044,160	
AU Regional Airport - South Ramp, New Maintenance Hangar 16-246	Construction	1,700,000	1,700,000	1,700,000			356,971	1,343,029	0		1,175,521	167,508	
AU Rec. & Wellness Ctr - Basement, Build-Out For Personal Training & Weightlifting Center 16-249	Construction	2,900,000	2,900,000	2,900,000			1,414,646	1,485,354	0		1,339,107	146,247	
Jordan-Hare Stadium Gameday Support Facility and Locker Room Renovation 16-324	Construction	28,000,000	28,000,000	28,000,000			5,031,785	20,671,393	2,296,822		20,435,687	2,532,528	

## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>		<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i>		<i>Estimated Spending Assuming Remainder of Budget for FY2019 &amp; Forward</i>	<i>(C)</i>	<i>(A)-(B)-(C)</i>	
			<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>			<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2018</i>		<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>	
Poultry Science Research & Education Ctr - New Administrative Building 16-040	Construction	2,950,000	2,950,000	2,950,000			641,434	2,308,566	0	1,864,935	443,631	
Bailey Small Animal Hospital - Basement, Build-Out For Clinical Pharmacology Lab & Research Space 16-260	Construction Contract	2,300,000	2,300,000	2,300,000			139,585	2,160,415	0	1,819,361	341,054	
Brown-Kopel Engineering Achievement Center 15-157	Construction	39,750,000	44,000,000	44,000,000			2,347,726	24,991,364	16,660,910	37,186,414	4,465,860	
North Auburn - New Fisheries Biodiversity Research Laboratory 16-486	Construction	2,100,000	2,100,000	2,100,000			75,094	2,024,906	0	21,583	2,003,323	
<b>Total Construction</b>			160,866,921	155,866,921	0	5,000,000	34,580,062	105,066,462	21,220,397	105,767,459	20,519,400	

## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A) Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>	<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B) Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2018</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2019 &amp; Forward</i>	<i>(C) Current Encumbrances Against Project</i>	<i>(A)-(B)-(C) Current Open Balance (Budget less Actuals and Encumbrances)</i>
<b>DESIGN PHASE</b>											
Ag Heritage Park 98-333	Construction and Design Future Projects	2,666,320	2,666,320	2,666,320			1,427,581	0	1,238,739	0	1,238,739
Hill Residence Halls - Building Renovations & Upgrades 10-155	Design (Partial budget - Phase I only at this point)	15,859,848	15,859,848	13,373,258	2,486,590		2,125,099	250,000	13,484,749	1,129,562	12,605,187
Goodwin Hall - Renovation & Band Rehearsal Hall Addition 15-255	Design & Development	5,500,000	5,500,000	5,500,000			409,727	1,500,000	3,590,273	96,380	4,993,893
Performing Arts Center - New Building 15-158	Construction Contract	65,000,000	65,000,000	65,000,000			4,842,057	35,000,000	25,157,943	4,468,538	55,689,405
Graduate Business Education Bldg 14-044	Construction Documents	30,000,000	30,000,000	30,000,000			1,746,994	18,929,514	9,323,492	1,854,461	26,398,545
Jordan-Hare Stadium - Press Box Renovations 16-500	Pre-Design	12,000,000	12,000,000	12,000,000			38,893	11,961,107	0	315,770	11,645,337
Auburn Arena - Men's Locker Room & Practice Gymnasium, Renovation & Expansion 17-119	Pre-Design	80,257	80,257	80,257			0	80,257	0	0	80,257
Jordan-Hare Stadium North Endzone Videoboard Improvements 17-038	Pre-Design	153,820	153,820	153,820			4,258	149,562	0	0	149,562
Mell Street, West Samford Avenue, & Thach Avenue Traffic & Parking Improvements 15-311	Bidding	4,000,000	4,000,000	4,000,000			296,433	3,703,567	0	53,158	3,650,409
<b>Total Design</b>			135,260,245	132,773,655	2,486,590	0	10,891,042	71,574,007	52,795,196	7,917,869	116,451,334

## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A) Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>	<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B) Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2018</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2019 &amp; Forward</i>	<i>(C) Current Encumbrances Against Project</i>	<i>(A)-(B)-(C) Current Open Balance (Budget less Actuals and Encumbrances)</i>
<b>PLANNING PHASE</b>											
Academic Classroom & Laboratory Complex 15-034	Schematic Design (Partial budget only at this point)	4,047,007	4,047,007	4,047,007			1,048,307	2,998,700	0	1,811,945	1,186,755
Agricultural Sciences Research Building - New Facility 15-391	Pre-Design (Partial budget only at this point)	170,500	170,500	170,500			150,900	19,600	0	0	19,600
Interdisciplinary Science Building - New Facility 15-392	Pre-Design (Partial budget only at this point)	208,946	208,946	208,946			137,837	71,109	0	54,289	16,820
Haley Center - Renovations For Relocation Of Mathematics & Statistics Department 16-110	Schematic Design (Partial budget only at this point)	500,000	500,000	500,000			61,758	438,242	0	246,833	191,409
North Auburn - New Poultry Science Infectious Disease Laboratory 16-485	Bidding	2,700,000	2,700,000	2,700,000			84,636	2,615,364	0	25,016	2,590,348
AU Equestrian Center - New Equestrian Team Support Building & Barn 17-088	Design Development (Partial budget only at this point)	329,477	329,477	329,477			50,626	278,851	0	165,469	113,382
New Residence Halls - Phase 1 16-371	Budget/Contract (Partial budget only at this point)	60,000	60,000	60,000			24,000	36,000	0	26,000	10,000
Campus Utility System Expansion	Planning	6,500,000	6,500,000	6,500,000			0	6,500,000	0	0	6,500,000
Plainsman Park Player Development Improvements 16-195	Planning	50,000	50,000	50,000			0	50,000	0	0	50,000
<b>Total Planning</b>			14,565,930	14,565,930	0	0	1,558,064	13,007,866	0	2,329,552	10,678,314



## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>		<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i>		<i>Estimated Spending Assuming Remainder of Budget for FY2019 &amp; Forward</i>	<i>(C)</i>	<i>(A)-(B)-(C)</i>	
			<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>			<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2018</i>		<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>	
Other Open Capital Projects	Various Stages		59,122,403	52,141,004	0	6,968,119	31,041,775	18,252,408	9,828,220	0	28,080,628	
<b>GRAND TOTAL</b>												
			487,938,476	469,970,487	5,986,590	11,968,119	188,249,444	214,926,067	84,568,813	119,273,379	180,415,653	*

\* On the lines with asterisks, the sum of the spending columns does not equal the current approved budget figure. The reason relates to one project: (1) "Auburn Arena Volleyball Team Space Improvements" project under budget by \$194,152.

**TO:** STEVEN LEATH, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**QUARTERLY REPORT FOR PROJECTS COSTING MORE THAN \$500,000  
BUT LESS THAN \$1,000,000 – 4<sup>th</sup> QTR FISCAL YEAR 2017** *(For Information Only)*

**DATE:** OCTOBER 30, 2017

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda of the meeting scheduled for November 10, 2017.

**Proposal:**

The Board of Trustees, at its meeting on April 11, 2014, adopted a resolution stipulating that all projects with a total cost in the range of \$500,000 to \$1,000,000 be reported quarterly. The intent of this report is to keep the Property and Facilities Committee informed of those projects as they are occurring on campus. Consistent with standing policy, it is proposed that this report be submitted for information only.

**Review and Consultation:**

Projects initiated in the 4<sup>th</sup> Quarter of Fiscal Year 2017 and costing more than \$500,000 but less than \$1,000,000 are listed in the following table.

4 <sup>th</sup> Quarter FY 2017 Projects \$500,000 - \$1,000,000	Project Number	Account Number	Cost
Mell Classroom Building - Collaborative Furnishings	17-120	923024-102048-P100	\$995,000
Mell Classroom Building - 1st Floor, Build-Out For New Dining Venue	17-044	923016-102048-P100	\$650,000

**Rationale for Recommendation:**

Consistent with standing policy, this report is submitted to the Board of Trustees through the Property and Facilities Committee for information at the meeting scheduled for November 10, 2017.

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**TO:** STEVEN LEATH, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
**PROJECT STATUS REPORT**  
**DATE:** OCTOBER 30, 2017

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 10, 2017.

**Proposal:**

It is proposed that a brief report regarding the status of Board of Trustees approved capital projects be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

**Review and Consultation:**

The responsibility of the Board of Trustees extends to the oversight of funding, planning, design, construction, maintenance and operation of University buildings and facilities. The date of project establishment in the development process is often separated by years from the date of project completion or building dedication. This Project Status Report is intended to continually inform interested parties of the status of projects previously approved by the Board of Trustees.

**PROJECT/PHASE****STATUS****Projects in Planning Stage:**

- Agricultural Sciences Research Building Initiated November 2015
- Interdisciplinary Science Building Initiated November 2015
- New Student Housing Initiated September 2016
- Plainsman Park Player Development Improvements Initiated September 2017
- Jane B. Moore Softball Complex Player Development Improvements Initiated September 2017
- Auburn Arena Locker Room Renovation Initiated September 2017

**Projects in Design Stage:****Initiation Approved**

- Relocation of Sports Medicine and Other Team Functions from the Coliseum On hold
- Student Activities Center Renovation Initiated June 2013
- Jordan-Hare Stadium Improvements Initiated February 2015
- Academic Classroom & Laboratory Complex Initiated February 2015
- Haley Center Quad Renovation Initiated June 2016
- Tony and Libba Rane Culinary Science Center Initiated September 2016
- Equestrian Facility Improvements Initiated February 2017
- Jordan-Hare Stadium North Endzone Videoboard Improvements Initiated September 2017
- Miller Gorrie Center Laboratory Renovations Initiated September 2017

**Projects with Final Board of Trustees Approval:****Final Approval**

- Poultry Infectious Disease Biocontainment Research Facility Relocation Approved April 2017
- Jordan-Hare Stadium Press Box Renovation Approved April 2017
- Mell Street and Thach Ave Traffic and Parking Improvements Approved April 2017
- Band Building Phase III Approved April 2017

**Projects in Construction Stage:**

- Repairs and Renovations to President's Home Approved April 2016
- Gavin Engineering Research Lab Renovation Approved June 2016
- Broun Hall Renovation Approved June 2016
- L-Building & Engineering Shop Buildings Demolition Information Only September 2016
- Graduate Business Education Building Approved September 2016
- Public Safety Building Expansion Approved November 2016
- AUHCC Ballroom Renovation Approved February 2017
- Recreation & Wellness Center Basement Build-Out Approved February 2017
- Jordan-Hare Stadium Gameday Support Facility and Locker Room Renovation Approved February 2017
- Jay and Susie Gogue Performing Arts Center Approved February 2017
- Airport Aviation Education Facility Approved February 2017
- Brown-Kopel Engineering Student Achievement Center Approved February 2017
- Airport Maintenance Hangar Approved April 2017
- Leach Science Center Addition Approved April 2017
- Poultry Research Farm Unit Relocation Phase II- Administration Building Approved April 2017
- Bailey Small Animal Teaching Hospital Basement Build-Out Approved April 2017
- Fisheries Biodiversity Laboratory Relocation Approved April 2017
- Campus Utilities System Expansion Improvements Approved June 2017

October 09, 2017

MEMORANDUM TO: President Steven Leath

FROM: Kelli D. Shomaker, VP Business & Finance /CFO



SUBJECT: Board of Trustees Agenda Item

- Auburn University Endowment Portfolio Update

**PROPOSAL:**

It is proposed that the Institutional Advancement Committee of the Board of Trustees be presented an update from endowment manager, Prime Buchholz who provides management-consulting services for Auburn University and the Auburn University Foundation endowments.

**BACKGROUND AND INFORMATION:**

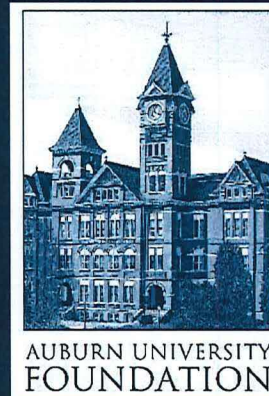
Auburn University contracts with the Auburn University Foundation to manage the university's endowment portfolio. While maintaining its fiduciary responsibility for ownership of the Auburn University endowments by establishing investment and spending policies, the Board of Trustees delegates authority to the Auburn University Foundation for the day-to-day management of the endowment portfolio. This includes development of investment strategies, tactical allocation among approved asset classes, strategies within asset classes, and investment manager selection and termination. In order to facilitate communication and reporting between the Auburn University Board of Trustees and the Auburn University Foundation Investment Committee, a member of the Auburn University Board serves on the Auburn University Investment Committee.

**RATIONALE FOR RECOMMENDATION:**

The Board of Trustees should periodically receive reports from the university endowment manager. We are recommending the November 2017 meeting as an appropriate opportunity for the proposed reporting.

c: Grant Davis  
Jane Parker  
Gena Isbell





# ENDOWMENT INVESTMENT REVIEW

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*November 10, 2017*

# *Long-Range Goals*

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- To earn a total investment return, with prudent levels of risk, which maintains the purchasing power of the endowment and supports the endowment's mission.
- To provide consistent annual payouts in support of the university's programs.



# *Investment Fund Responsibility*

## *Matrix*

### **Auburn University Foundation Investment Committee:**

- Develop and recommend Investment and Spending Policies
- Evaluate and select Investment Consultant
- Approve Asset Allocation policy changes
- Approve investment managers and custodian changes
- Monitor investment performance and liquidity with report to the Board



# *Investment Fund Responsibility*

## *Matrix*

### **Investment Consultant, Prime Buchholz (hired 2003):**

- Provide market overview regarding investment opportunities/risks
- Recommend Investment Policy and Asset Allocation changes
- Conduct due diligence on current and prospective investment managers
- Evaluate fund and manager performance, maintain manager watch list, and report to the Investment Committee
- General support to the committee and Investment Office
- Primary contact: Greg DeSisto



# *Investment Fund Responsibility*

## *Matrix*

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### **Auburn University Foundation Board:**

- Approve Investment Policy and Spending Policy as recommended by the Investment Committee
- Receive periodic reports from the Investment Committee regarding Fund investment performance





**Investment Consultant to Auburn University  
and Auburn University Foundation**

November 2016

Pease International Tradeport, 273 Corporate Drive, Portsmouth, NH 03801 T: 603.433.1143 F: 603.433.8661

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# Overview

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## SUMMARY

Full service, **independent**, institutional investment consulting firm established in 1988.

SEC registered investment advisor since inception.

Headquartered in Portsmouth, NH with offices in Boston and Atlanta.

Advise more than 260 clients.

120+ employees – approximately 60 experienced investment professionals.

Full range of service offerings.

## CLIENTS SERVED

Educational Endowments

Cultural and Faith-Based Organizations

Private and Public Foundations

Health Care and Insurance

Pensions

Private Wealth

## DIFFERENTIATORS

### *Independence*

Unbiased investment advice; no outside influences; all revenues are derived from services provided to clients' investment programs.

### *Experience*

Principals possess a unique combination of institutional investment experience in investment consulting, client fund management, and institutional finance; Principals average 26 years of experience—no junior consultants.

### *Stability*

Firm is employee-owned; no departure of owner principals since inception; consultant teams stay constant, providing clients a stable and direct relationship with their service team.

### *Client Service*

Serve as a comprehensive, open-ended resource; responsive to unique requirements and needs; two assigned principals provide consistency in the investment approach and increased senior-level service coverage.

### *Value-Added*

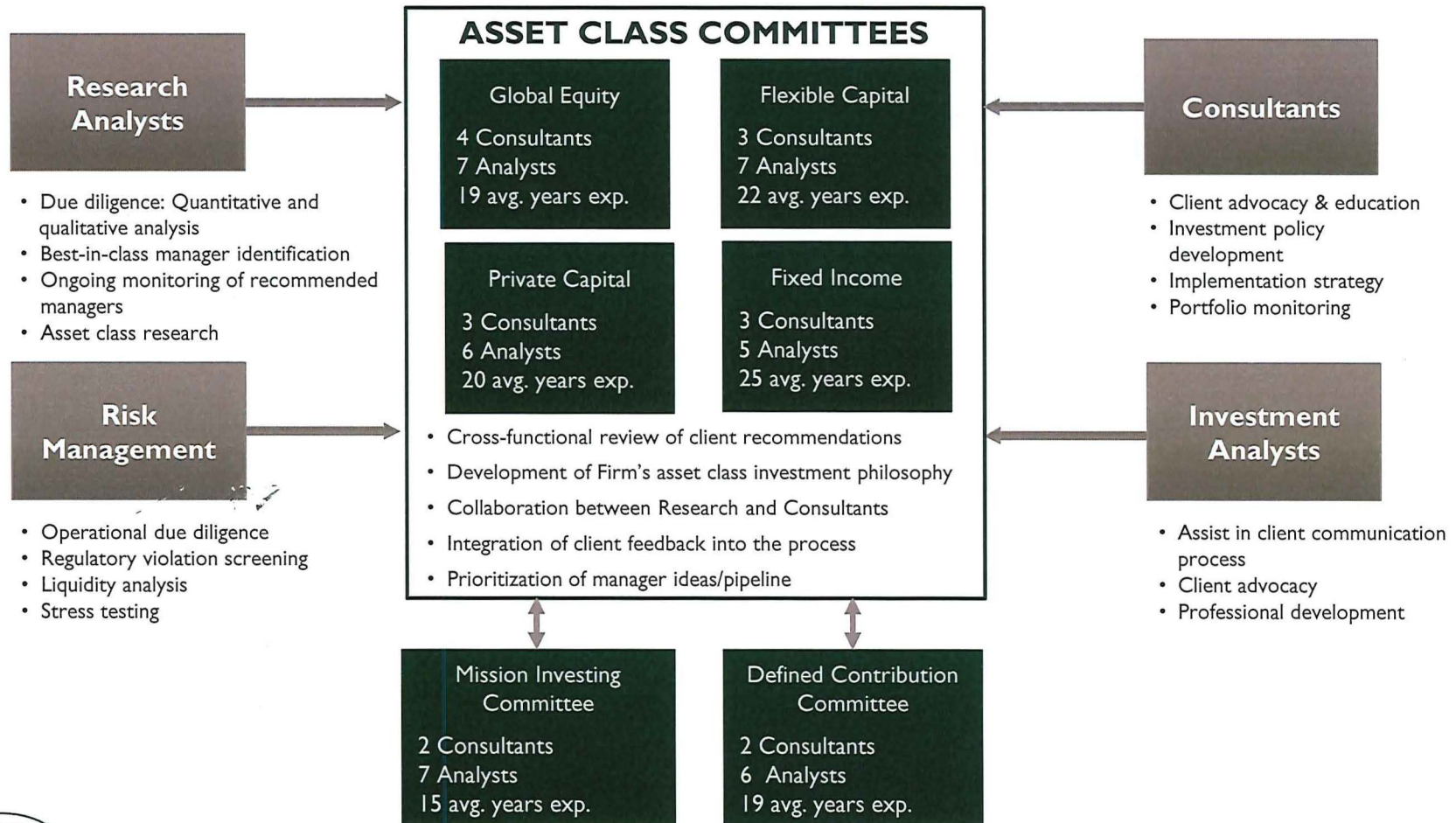
Disciplined investment process designed to control risk through asset allocation, diversification, and rigorous manager selection/evaluation.



# Investment Decision Framework

## INVESTMENT COMMITTEE

The Investment Committee guides Prime Buchholz investment philosophy and process; decisions are implemented through four Asset Class Committees (“ACCs”). The ACCs are responsible for asset class implementation philosophy and the oversight of manager recommendations and the due diligence process. The ACCs further support the Firm’s Mission Investing and Defined Contribution Committees



# Substantial Investment Industry Experience

Name	Joined Firm/Yrs in Industry		Previous Experience
Jon Prime, Founder & Principal/Consultant	1988	50	Wellesley Group, Inc., Swarthmore College, St. Louis University, Rochester Institute of Technology (RIT)
James Buchholz, Founder & Principal/Consultant	1988	50	Washington University, University of Missouri System, RIT, The American Council on Education
William McCarron, Managing Principal & President	1990	33	New England Pension Consultants, Boston Safe Deposit & Trust, Wellesley Group, Inc.
Gregory DeSisto, CFA, Managing Principal	1993	30	Boston Safe Deposit & Trust
Gregory Johnson, CFA, Managing Principal	1993	27	State Street Bank and Trust Co.
Jessica Patten, CFA, Head of Flexible Capital	1994	23	Prime Buchholz
Marshall Raucci, Jr., Managing Principal	1996	39	Fleet Investment Service
Karen Labenski, CFA, CAIA, Director of Info. Sys.	1997	20	Prime Buchholz
G. Matthew Berardino, CIPM, CAIA, Dir. of Client Svcs.	1999	19	Investors Bank & Trust.
Jeffrey Croteau, CFA, Managing Principal	2000	20	Mercer Investment Consulting
Cassandra Binette, CFA, Consultant	2000	19	Mellon Financial
Ryan O'Quinn, CFA, Principal/Consultant	2001	21	John Hancock, The Vanguard Group
Ben Riordan, Principal/Consultant	2003	30	Commonfund, Merrill Lynch, Pitney Bowes, Inc.
Sean Higman, Principal/Consultant	2003	30	Mpower Communications Corp., RGS Energy Group
Rick Morrison, CFA, Dir. of Investment Strategy	2004	23	Harvard Management Company, Fidelity Investments
Kristin Giraldo, Head of Global Equity	2005	17	Putnam Investments, Legg Mason Wood Walker
Alice DonnaSelva, CFA, FSA, Principal/Consultant	2005	16	Whitegate Investment Counselors
Kate Dumas, CFA, Consultant	2005	17	Deutsche Bank, AG, Mellon Trust
Thomas Lupone, Principal/Consultant	2006	22	Credit Suisse, Cambridge Associates, LLC
Brian Matthews, CFA, CAIA, Head of Fixed Income	2006	20	Wellington Management Co., State Street Research & Mgmt Co.
George Hauptfuhrer, CFA, Principal/Consultant	2006	37	Invesco
Michael Soares, Principal/Consultant	2006	22	Batterymarch Financial Management
Jared Fuller, CAIA, Head of Non-Marketable	2007	19	Harvard Management Company
John Kane, CFA, Principal/Consultant	2007	19	State Street Global Advisors
Michael Toland, CFA, Director of Risk Management	2010	23	Redbrick Capital Management, L.P., Harvard Management Company
Ian MacPherson, CFA, CAIA, Consultant	2011	13	Wells Fargo Family Wealth
Brian Pimentel, CAIA, Consulting Analyst	2011	12	State Street Bank and Trust

BUCHHOLZ)

Auburn University & Auburn University Foundation

EXECUTIVE COMMITTEE

RESOLUTION

NAMING OF PROVOST AND VICE PRESIDENT FOR ACADEMIC AFFAIRS

WHEREAS, the President initiated a search to fill the position of Provost and Vice President for Academic Affairs; and

WHEREAS, having completed the search, the President has recommended that \_\_\_\_\_  
\_\_\_\_\_ be appointed as Provost and Vice President for Academic Affairs.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees that the appointment by the President of \_\_\_\_\_ to the position of Provost and Vice President of Academic Affairs is hereby approved effective \_\_\_\_\_.

BE IT FURTHER RESOLVED that this Board pledges to him its full support and assistance.



EXECUTIVE COMMITTEE  
PROPOSED AWARDS AND NAMINGS

Time will be allocated for review and discussion of any proposed awards and namings.