



AUBURN UNIVERSITY

OFFICE OF THE PRESIDENT

August 30, 2011

MEMORANDUM TO: Board of Trustees

SUBJECT: September 9, 2011 Meeting

Enclosed are materials that comprise the proposed agenda for the September 9, 2011 meeting of the Board of Trustees in Auburn. Listed below is the tentative schedule; times are subject to adjustment, depending on length of individual meetings.

Friday, September 9, 2011 (Ballroom B, AU Hotel)

9:30 a.m. Property & Facilities Committee
10:00 a.m. Finance Committee
10:30 a.m. Academic Affairs Committee
10:45 a.m. Executive Committee
11:00 a.m. Regular Meeting of the Board of Trustees
(Proposed Executive Session – Meeting Room A, AU Hotel)
11:30 a.m. Reconvene Regular Meeting of the Board of Trustees (Ballroom B)
12:15 p.m. Luncheon (Ballroom A, Right, AU Hotel)

We appreciate all that you do for Auburn University and look forward to seeing you on Friday, September 9, 2011. Please call me, if you have questions regarding the agenda. Also, please let Grant Davis, Sherri Williams, or me know if you need assistance with travel and/or lodging arrangements.

Sincerely,

Jay Gogue
President

JG/smw

Enclosure

c: President's Cabinet (w/encl.)
Mr. Grant Davis (w/encl.)

AgendaforCommitteeMeetings.2011.docx

DRAFT SCHEDULE & AGENDA
AUBURN UNIVERSITY BOARD OF TRUSTEES
FRIDAY, SEPTEMBER 9, 2011

- I. Committee Meetings (Ballroom B, AU Hotel)
**Committee Meetings will begin at 9:30 a.m. - - all other meetings are subject to change in starting time, depending upon the length of individual meetings.
- A. Property and Facilities/Chairperson Blackwell/9:30 a.m.
1. [Solon Dixon Forestry Education Classroom, Selection of Architect Attachments PowerPoint](#) (Dan King/Jim Shepherd)
 2. [Real Estate Acquisition, Marie B. Golden Property Attachments PowerPoint](#) (Dan King/Calvin Johnson)
 3. [Student Residence Hall at West Samford Avenue and South Donahue Drive, Approval of the Facility Program, Budget Funding Plan, Site and Schematic Design Attachments PowerPoint](#) (Dan King/Bob Ritenbaugh)
 4. Status Reports (Dan King)
 - A. [Current Status of New Construction/Renovation/Infrastructure, Budgets of \\$750,000 and Greater](#)
 - B. [Quarterly Report for Projects Costing More than \\$500,000 but Less than \\$750,000, Fourth Quarter FY 2011](#)
 - C. [Projects Status Report: September 2011](#)
 - D. [Key Projects Memo: September 2011](#)
- B. [Finance Committee](#)/Chairperson Lowder/10:00 a.m.
1. [Approval of 2011-2012 Budget Attachments](#) (Don Large)
 2. [Proposed Election to Increase Certain ACES Employee Retirement Contributions Attachments](#) (Gaines Smith/Lee Armstrong)
 3. [Update on the Auburn University Endowment Portfolio](#) (Don Large)
- C. [Academic Affairs Committee](#)/Chairperson Thompson/10:30 a.m.
1. [Presentation of 2011-2012 Strategic Goals Attachment](#) (Timothy Boosinger)
 2. [Introduction of Dr. Vini Nathan, Dean of the College of Architecture, Design and Construction](#) (Timothy Boosinger)

D. [Executive Committee](#)/Chairperson Rane/10:45 a.m.

1. [Posthumous Awarding of the Interdisciplinary Studies Degree for John D. Pribonic Attachments](#) (Timothy Boosinger)
2. [Proposed Appointment of the Director of the Alabama Cooperative Extension System](#) (Jimmy Rane)
3. [Presidential Assessment](#) (Jimmy Rane)
4. Proposed Awards and Namings (Jimmy Rane)

II. REGULAR MEETING OF THE BOARD OF TRUSTEES/11:00 A.M.

- A. Proposed Executive Session (Meeting Room A, AU Hotel)

III. REGULAR MEETING OF THE BOARD OF TRUSTEES/11:30 A.M. (Ballroom B, AU Hotel)

(Agenda items are determined primarily based upon committee actions.)

12:15 A.M. – LUNCHEON – BALLROOM A, RIGHT (AU HOTEL)

Solon Dixon Forestry Education Classroom, Selection of Architect

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

SOLON DIXON FORESTRY EDUCATION CENTER CLASSROOM
SELECTION OF AN ARCHITECT

WHEREAS, the Board of Trustees at its meeting held on April 15, 2011, adopted a resolution which approved the Solon Dixon Forestry Education Center Classroom project and authorized the President to initiate the process for the selection of an architect; and

WHEREAS, Statements of Qualifications were solicited for the architectural services; responses were received from twenty seven (27) architectural firms which were reviewed by members of the Architect Selection Committee; and four (4) firms were selected for interviews; and

WHEREAS, the firm The Architects Group of Mobile, Alabama was judged by the Committee as best qualified to provide architectural services for the proposed project and the name of the firm was submitted to the President for his consideration and recommendation.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage The Architects Group of Mobile, Alabama to consult in the development of the facility program; to participate in space analysis and site planning; to compare alternative design concepts for consideration; to quantify and qualify project scope and cost alternatives; and
2. Limit the project plan and plan development to the schematic design phase until such time as the program requirements, budget, funding plan and site are approved by the Board.

Attachments



FACILITIES MANAGEMENT
M E M O R A N D U M

TO: JAY GOGUE, President

THROUGH: DONALD L. LARGE, Executive Vice President

FROM: DAN KING, Assistant Vice President for Facilities

SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**SOLON DIXON FORESTRY EDUCATION CENTER CLASSROOM
SELECTION OF AN ARCHITECT**

DATE: 8/6/2011

This is to request that the following item be presented to the Board of Trustees through the Property and Facilities Committee and be included on the agenda of the meeting scheduled for September 9, 2011.

Proposal:

It is proposed that the firm The Architects Group of Mobile, Alabama be considered for recommendation as architect for the Solon Dixon Forestry Education Center Classroom project.

Review and Consultation:

The Board of Trustees, at its meeting held on April 15, 2011, adopted a resolution which approved the Solon Dixon Forestry Education Center project and authorized the President to initiate the process for the selection of an architect. Statements of Qualifications were solicited for the architect and twenty seven (27) responses were received and reviewed by the Architect Selection Committee and University Architect. Four (4) firms were selected for interviews and The Architects Group of Mobile, Alabama was judged by the Committee and University Architect as best qualified to provide architectural services for the project; and is submitted herewith for your consideration and recommendation to the Board of Trustees.

If you concur, the Selection Committee requests that you recommend their proposed design consultant to the Board of Trustees through the Property and Facilities Committee at the meetings scheduled for September 9, 2011.

Rationale for Recommendation:

The committee followed established procedures and believes that the proposed architect will be able to provide excellent service to Auburn University for this project.

PowerPoint



Board of Trustees Meeting

September 9, 2011

Board of Trustees Meeting: September 2011

Property and Facilities Committee Agenda Items

1. Solon Dixon Forestry Education Center Classroom: Architect Selection
2. Real Estate Acquisition, Marie B. Golden Property
3. Student Residence Hall at West Samford Avenue and South Donahue Drive: Approval of the Facility Program, Budget, Funding Plan, Site, and Schematic Design.
4. Status Reports:
 - Projects \$750,000 and greater
 - 3rd Quarter Project Greater than \$500,000 and less than \$750,000
 - Project Status Report: September 2011
 - Key Projects Memo: September 2011

Auburn University

Solon Dixon Forestry Education Center Classroom

Approval of Architect Selection

- The Board of Trustees, at the April 2011 Board meeting approved the initiation of Solon Dixon Forestry Center Classroom project proposed by the Auburn University authorized the initiation of selection process for an architect.
- Twenty seven (27) architect proposals were received. Four (4) firms were interviewed. The Architects Group of Mobile, Alabama was determined to be the best qualified for this project.

Auburn University

Solon Dixon Forestry Education Center Classroom

Approval of Architect Selection

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

- Engage the firm of The Architects Group of Mobile, Alabama to consult in the development of the facility program; to participate in space analysis and site planning; to compare alternative design concepts for consideration; to quantify and qualify project scope and cost alternatives; and
- Limit the project plan and plan development to the schematic design phase until such time as the program requirements, budget, funding plan and site are approved by the Board.

Real Estate Acquisition, Marie B. Golden Property

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

REAL ESTATE ACQUISITION MARIE B. GOLDEN PROPERTY

WHEREAS, the Board of Trustees at its meeting on November 9, 1990, adopted a resolution which approved a master plan for the College of Veterinary Medicine to guide the design and layout of the Veterinary Medicine Campus; and subsequent revisions to that master plan, were approved by the Board at its meetings on March 22, 1996; November 21, 1997; and January 31, 2008; and

WHEREAS, the long range parameters and goals set forth in the master plan include the expansion of property and facilities of the Veterinary Medicine Campus; and approximately 1.58 acres of land has been offered for sale to the University by the owner of adjoining property; and

WHEREAS, the purchase of this land would effectively contribute to the provision of a secure site for a canine field-training facility for the Animal Health and Performance Program; and funds to offset the amount of the purchase are to be provided from unrestricted University funds.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such person as may be acting as President, be and the same is hereby authorized and empowered to take such action as is necessary and desirable to purchase from Marie B. Golden approximately 1.58 acres of land with improvements that include a house and barn, located at 477 Webster Road, Auburn, Alabama. An appraisal of the property was completed by Carmichael & Mitchell, MAI on August 11, 2011. All documents consummating the purchase of the property shall be reviewed as to form by legal counsel for Auburn University.

Attachments



TO: JAY GOGUE, President
THROUGH: DONALD L. LARGE, Executive Vice President
FROM: DAN KING, Assistant Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE
REAL ESTATE ACQUISITION
MARIE B. GOLDEN PROPERTY
477 WEBSTER ROAD, AUBURN, ALABAMA
DATE: AUGUST 29, 2011

This is to request that the following item be presented to the Board of Trustees through the Property and Facilities Committee and be included on the agenda of the meeting scheduled for September 9, 2011.

Proposal:

It is proposed that approximately 1.58 acres of land owned by Marie B. Golden be acquired by the University to provide a portion of the site for a canine field-training facility for the Animal Health and Performance Program at the College of Veterinary Medicine.

Review and Consultation:

The Board of Trustees, at its meeting on November 9, 1990, adopted a resolution which approved a master plan for the College of Veterinary Medicine and at subsequent meetings on March 22, 1996 and November 21, 1997 approved revisions to that plan which were later incorporated into the Auburn University Comprehensive Campus Master Plan 2007. Among the parameters and goals of the master plan are property and facility expansion of the Veterinary Medicine Campus through the acquisition of contiguous property. An opportunity has arisen for the Board of Trustees to consider the purchase of adjacent land located at 477 Webster Road, offered for sale by Marie B. Golden. If purchased, the additional land will effectively contribute to the provision of a secure site for a canine field-training facility for the Animal Health and Performance Program. The proposed facility has the potential of being a national centerpiece for canine research and training in the detection of explosives and other materials.

The property offered for sale consists of a house, a barn and approximately 1.58 acres of land. (Attachment A) Consistent with standing policy, a summary appraisal report, dated

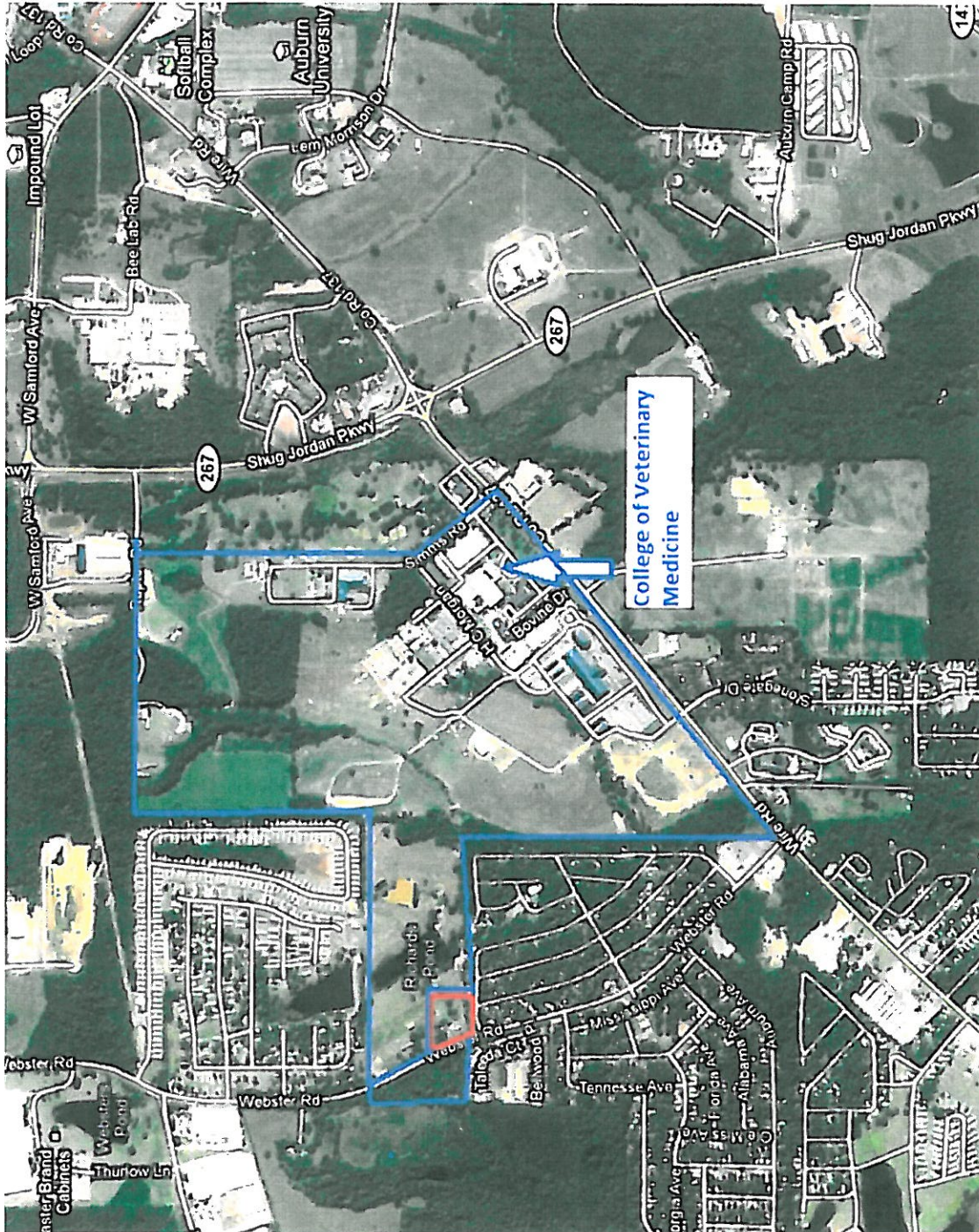
August 11, 2011, was secured from Carmichael & Mitchell, MAI. Funds to offset the purchase price are available from unrestricted University sources.

The proposal for acquisition is recommended by the Acting Dean of the College of Veterinary Medicine and the Interim Provost. If you concur, it is requested that you recommend the proposal to the Board of Trustees through the Property and Facilities Committee at the meetings scheduled for September 9, 2011.

Rationale for Recommendation:

The purchase of property contiguous with the College of Veterinary Medicine is consistent with the long range objectives set forth in the master plan and its revisions approved by the Board of Trustees. Board policy outlining the procedure for the acquisition of real estate has been followed in establishing the fair market value of the property. The amount payable to the sellers will not exceed the MAI appraised value and funds to offset the purchase price are available from unrestricted University funds. It is therefore recommended that the property offered for sale by Marie B. Golden be purchased by the University.

REAL ESTATE ACQUISITION:
MARIE B. GOLDEN PROPERTY
477 WEBSTER ROAD
AUBURN, ALABAMA





COLLEGE OF VETERINARY MEDICINE
OFFICE OF THE DEAN

Memorandum

Date: August 8, 2011
To: Dr. Jay Gogue, President
Through: Dr. Timothy R. Boosinger
Interim Provost and Vice President for Academic Affairs
From: Calvin M. Johnson, Acting Dean *Cl Johnson*
Subject: Recommended purchase of Golden property

We envision an opportunity to build a secure, dedicated, canine field-training facility for the Animal Health and Performance Program (AHPP) through the purchase of a 1.58 acre tract of land, located on 477 Webster Road adjacent to the CVM campus (hereafter referred to as the Golden property; aerial views attached), for an appraised value of \$337,500. Based on recent meetings with the congressional delegation and representatives of the U.S. Department of Homeland Security, we believe that the field-training facility would have the potential of attracting extensive federal support as a national centerpiece for canine research and training in the detection of explosives and other materials.

Auburn University's AHPP program is the most comprehensive canine detection, research, development, and training program in the country. The AHPP, with facilities in both Auburn and Anniston, has garnered over \$9 million in federal support over the past two years from the Department of Defense, the Department of Homeland Security (Transportation Security Administration), and the Department of the Interior. With strategic investments in infrastructure, Auburn will maintain a competitive advantage in meeting the growing federal demand for improved canine detection teams.

I recommended that the Board of Trustees give favorable consideration to the acquisition of the Golden property in support of Auburn's canine detection program.

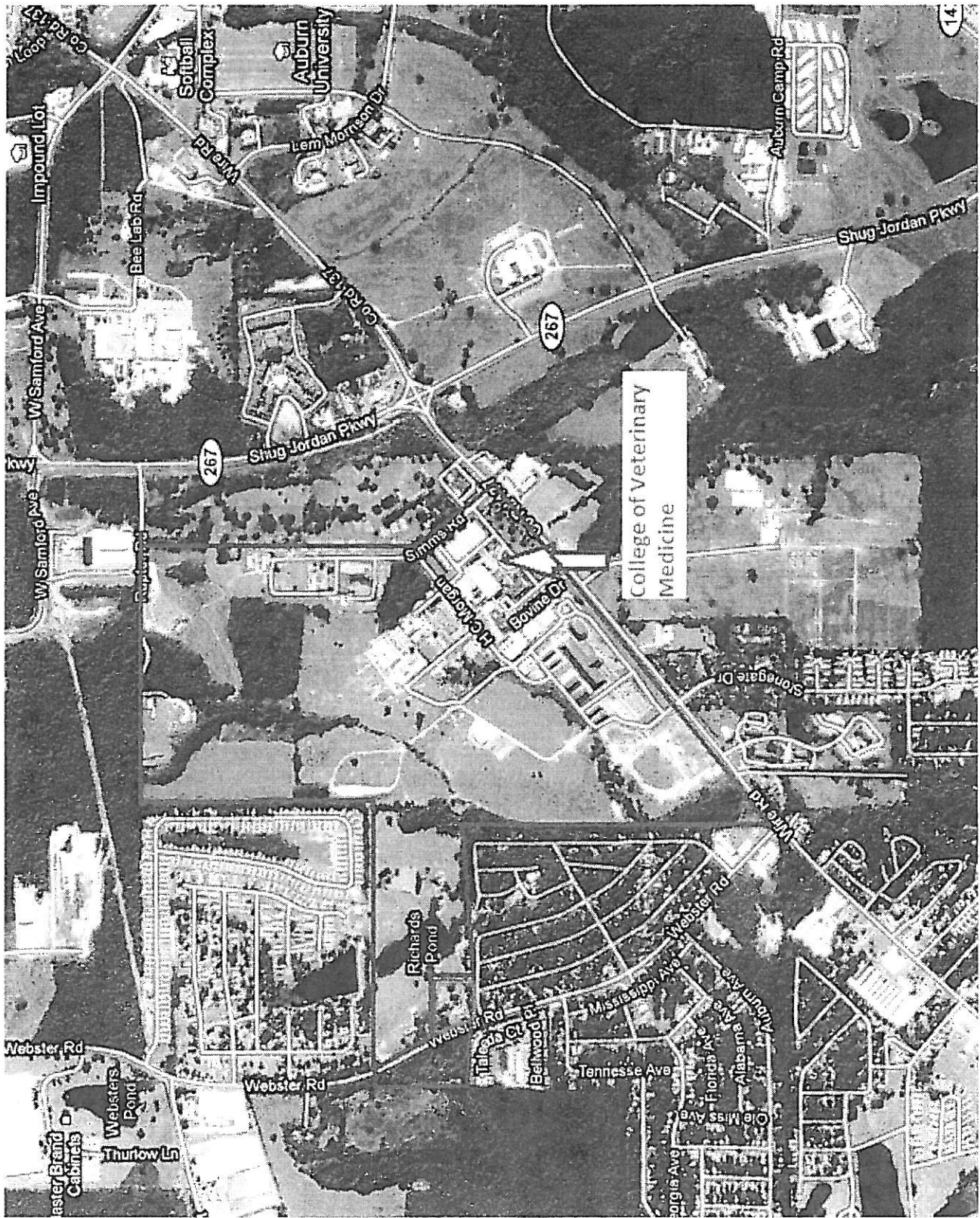
Attachments: aerial views of Golden property

104 GREENE HALL
AUBURN, AL 36849-5517

TELEPHONE:
334-844-3694

FAX:
334-844-3697

www.auburn.edu





PowerPoint



Board of Trustees Meeting

September 9, 2011

Board of Trustees Meeting: September 2011

Property and Facilities Committee Agenda Items

1. Solon Dixon Forestry Education Center Classroom:
Architect Selection
2. Real Estate Acquisition, Marie B. Golden Property
3. Student Residence Hall at West Samford Avenue and South Donahue Drive: Approval of the Facility Program, Budget, Funding Plan, Site, and Schematic Design.
4. Status Reports:
 - Projects \$750,000 and greater
 - 3rd Quarter Project Greater than \$500,000 and less than \$750,000
 - Project Status Report: September 2011
 - Key Projects Memo: September 2011

Auburn University

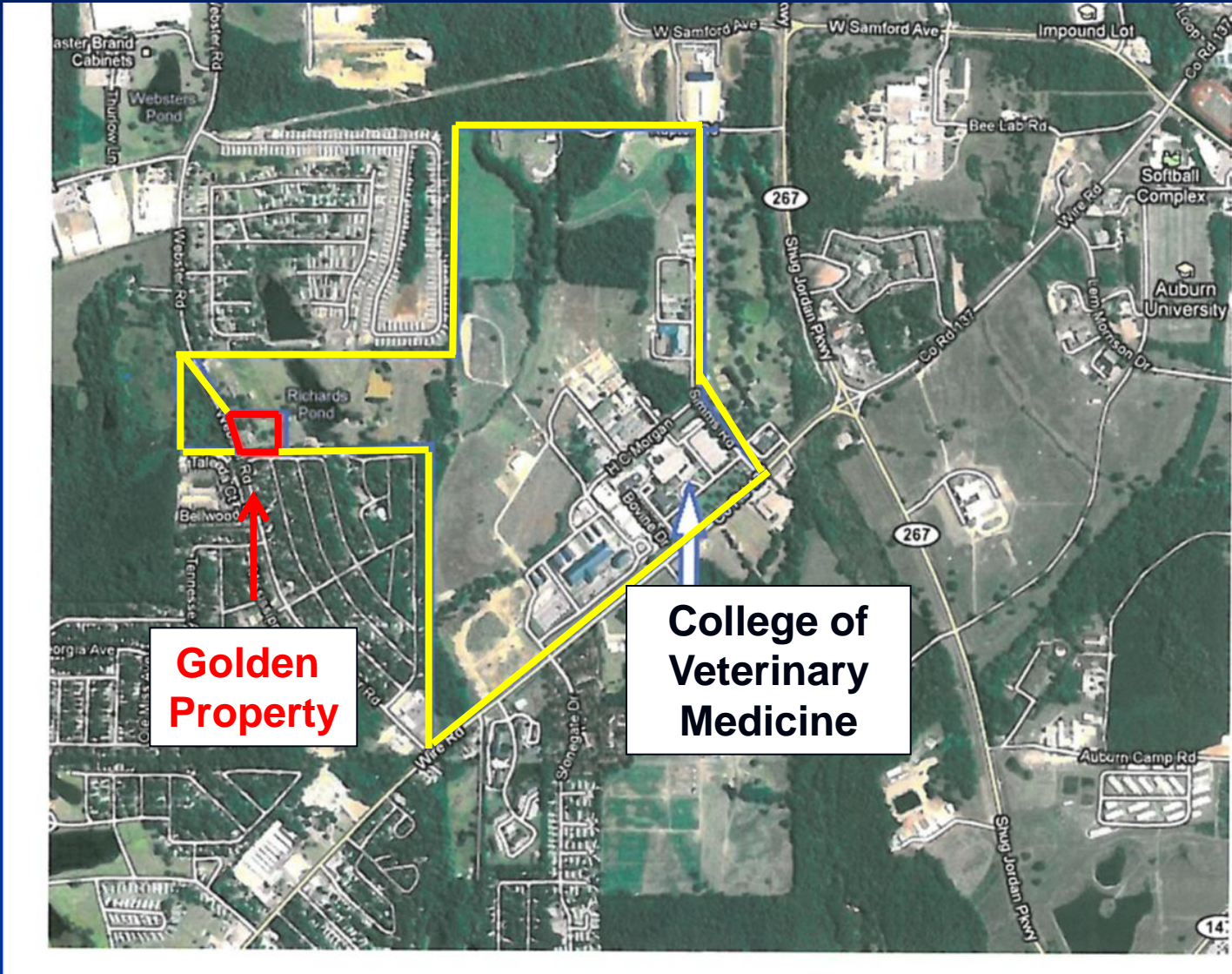
Real Estate Acquisition

Marie. B. Golden Property

- The College of Veterinary Medicine requests that Auburn University purchase approximately 1.58 acres of land owned by Marie B. Golden be acquired by the University to provide a portion of the site for a canine field-training facility for the Animal Health and Performance Program at the College of Veterinary Medicine.
- The property offered for sale consists of a house, a barn and approximately 1.58 acres of land. Consistent with standing policy, a summary appraisal report, dated August 11, 2011, was secured from Carmichael & Mitchell, MAI. Funds to offset the purchase price are available from unrestricted University sources.

Board of Trustees Meeting: September 2011

Real Estate Acquisition: Marie. B. Golden Property



Auburn University

Real Estate Acquisition

Marie. B. Golden Property

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to take such action as is necessary and desirable to purchase from Marie B. Golden approximately 1.58 acres of land with improvements that include a house and barn, located at 477 Webster Road, Auburn, Alabama.

**Student Residence Hall at West Samford Avenue and
South Donahue Drive, Approval of the Facility Program,
Budget Funding Plan, Site and Schematic Design**

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

STUDENT RESIDENCE HALL AT WEST SAMFORD AVENUE AND SOUTH DONAHUE DRIVE APPROVAL OF THE FACILITY PROGRAM, BUDGET, FUNDING PLAN, SITE & SCHEMATIC DESIGN

WHEREAS, the Board of Trustees at its meeting held on February 4, 2011, adopted a resolution that approved the Student Housing and Parking Facility project and approved the selection of the firms Goodwin, Mills, Cawood as architect and Harbert International as construction manager for the project; and

WHEREAS, a facility program has been developed for a student housing facility of approximately 246,000 square feet which will house approximately 420 students in 205 suites; and

WHEREAS, the cost of the Student Residence Hall has been estimated in the amount of \$59.5 million, and funds for the project will be furnished from the sale of bonds to be offset by resident room and board fees or institutional funds; and

WHEREAS, a site for the residence hall has been identified on the northeast corner of West Samford Avenue and South Donahue Drive, where Sewell Hall currently exists; and

WHEREAS, the Student Residence Hall shall be developed and designed consistent with the “*Comprehensive Master Plan*” and the “*Image and Character of Auburn University*”.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a total project budget in the amount of \$59.5 million to be funded from the sale of bonds to be offset by resident room and board fees.
2. Direct the consultants to complete the required plans for the project.
3. Solicit bids for construction conditioned upon the ability of sufficient funding as approved by the President.
4. Award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.



FACILITIES MANAGEMENT
M E M O R A N D U M

TO: JAY GOGUE, President
THROUGH: DONALD L. LARGE, Executive Vice President
FROM: DAN KING, Assistant Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**STUDENT RESIDENCE HALL: WEST SAMFORD AVENUE AND
SOUTH DONAHUE DRIVE: APPROVAL OF FACILITY PROGRAM,
SITE, BUDGET, FUNDING PLAN & SCHEMATIC DESIGN**

DATE: AUGUST 29, 2011

This is to request that the following items be presented to the Board of Trustees through the Property and Facilities Committee and be included on the agenda of the meeting scheduled for September 9, 2011.

Proposal:

It is proposed that the Student Residence Hall project at West Samford Avenue and South Donahue Drive, be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that approves the program requirements, budget, funding plan, site, and schematic design; and authorizes the President to direct the consultants to complete the required plans; solicit bids for construction and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.

Review and Consultation:

The Board of Trustees, at its meeting held on September 24, 2010, adopted a resolution that approved a project to renovate the Sewell Hall student housing and dining facility. The Board of Trustees, at its meeting held on February 4, 2011, adopted a resolution that approved the change of scope of the project from a renovation to a replacement of Sewell Hall with a new Student Residence Hall, Dining, and Transportation facilities. The Board also approved the selection of the firm Goodwin, Mills, Cawood as the design consultant and B.L. Harbert International as construction manager. To execute the projects successfully, the Student Residence Hall, Dining, and the Parking & Transportation Structure were separated into separate projects. In April 2011, the Board of Trustees approved the project program, location, and budget for the Parking and Transportation Facility at Biggio Drive.

This memorandum addresses the Student Residence Hall project to replace Sewell Hall.

Since the February 2011 Board meeting, the project team has work to complete the schematic design for the Student Residence Hall. The details of the project are provided below:

Program Requirements: The Student Residence Hall will be a 246,000 square foot facility which will house approximately 420 students in 205 suites. The general configuration of the housing unit will be two students per suite, with a common kitchenette, living room, and each bedroom having a separate bathroom. It is anticipated that a dining facility near or adjacent to the Student Residence Hall will be presented to the Board of Trustees at the November 2011 meeting.

Budget: The estimated total project cost for the Student Residence Hall is \$59.5 million.

Funding Plan: This project will be constructed using funds furnished from the sale of bonds to be offset by resident room and board fees or institutional funds.

Project Location: The location for the Student Residence Hall will be on the site of the existing Sewell Hall on the northeast corner of West Samford Avenue and South Donahue Drive.

The schematic design of Student Residence Hall: Samford Avenue and Donahue Drive project, prepared by the architect, has been determined to be consistent with the “*Comprehensive Master Plan*”, the “*Image and Character of Auburn University*”, the facility program and the project budget.

If you concur, it is proposed that the facility program, budget, funding plan, site, and schematic design be presented to the Board of Trustees for consideration of an appropriate resolution for approval at the meetings scheduled for September 9, 2011.

Rationale for Recommendation:

Standing policy stipulates that projects and plan development are limited to the schematic design phase until the facility program; site; budget and funding plan are approved by the Board. Board approval is necessary to allow advancement of the project.

PowerPoint



AUBURN

UNIVERSITY

Board of Trustees Meeting

September 9, 2011

Board of Trustees Meeting: September 2011

Property and Facilities Committee Agenda Items

1. Solon Dixon Forestry Education Center Classroom:
Architect Selection
2. Real Estate Acquisition, Marie B. Golden Property
3. Student Residence Hall at West Samford Avenue and South Donahue Drive: Approval of the Facility Program, Budget, Funding Plan, Site, and Schematic Design.
4. Status Reports:
 - Projects \$750,000 and greater
 - 3rd Quarter Project Greater than \$500,000 and less than \$750,000
 - Project Status Report: September 2011
 - Key Projects Memo: September 2011

Auburn University

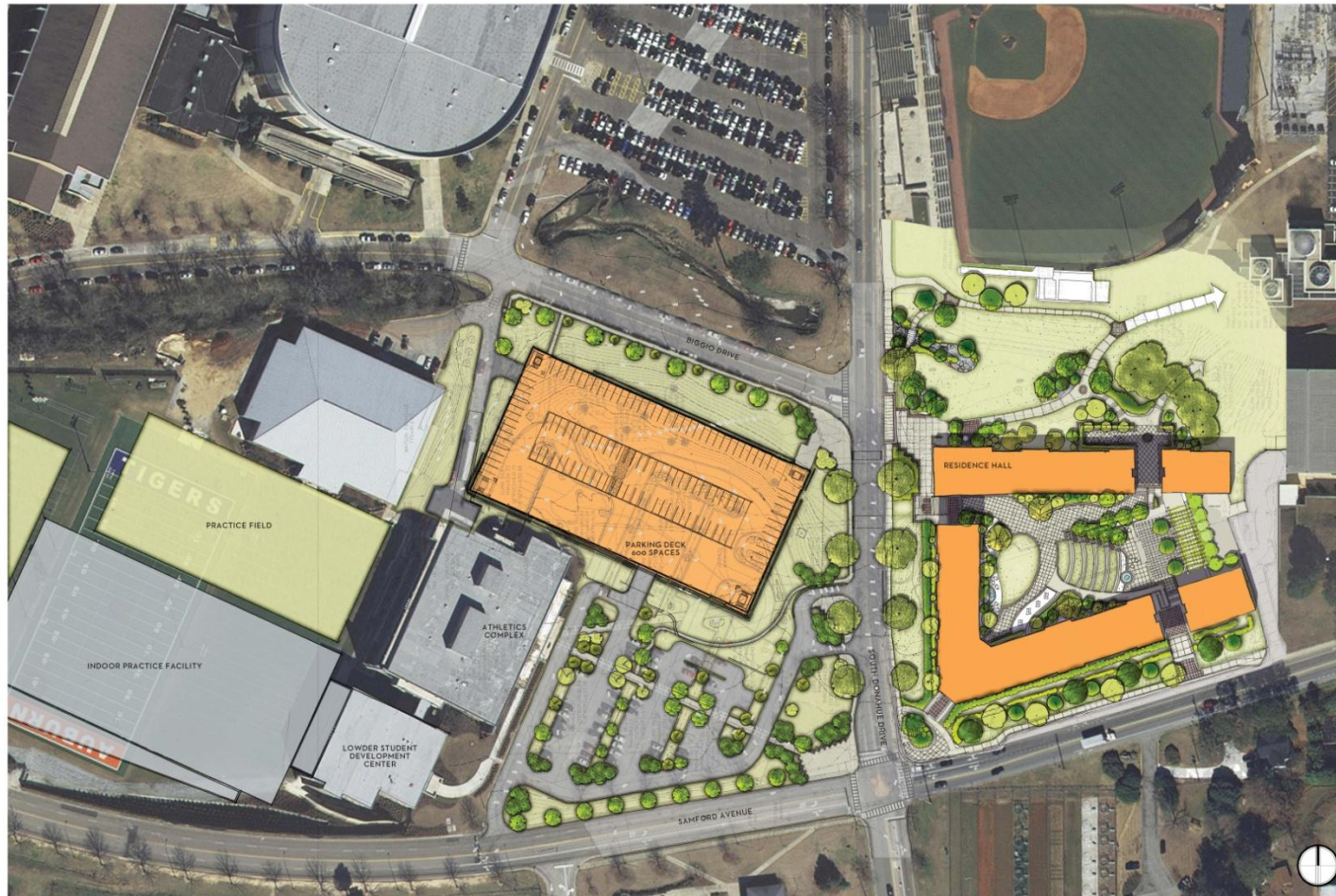
Student Residence Hall at West Samford Avenue and South Donahue Drive

Approval of Project Program, Site, Schematic Design and Budget

- Project Requirements: Construction of a 246,000 square foot residence hall which will house approximately 420 students in 205 suites.
- Site: On the Northeast corner of the West Samford Avenue and South Donahue Drive (the site of Sewell Hall).
- Budget: \$59.5 million
- Funding Plan: To be funded by the sale of bonds, offset by resident room and board fees or institutional funds.

Auburn University Student Residence Hall at West Samford Avenue and South Donahue Drive

Approval of Project Program, Site, Schematic Design and Budget



Auburn University Student Residence Hall at West Samford Avenue and South Donahue Drive

Approval of Project Program, Site, Schematic Design and Budget



Auburn University Student Residence Hall at West Samford Avenue and South Donahue Drive

Approval of Project Program, Site, Schematic Design and Budget



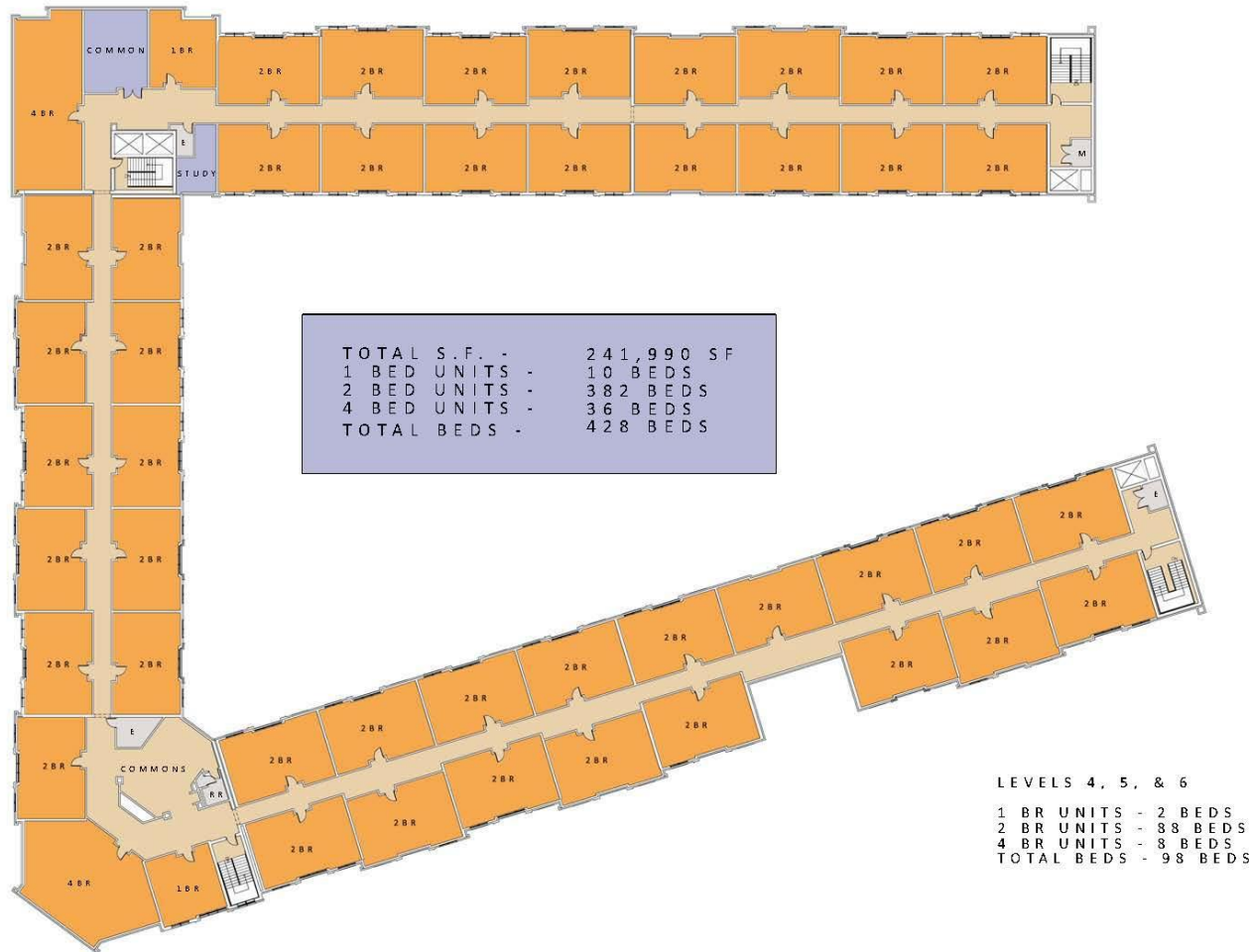
Auburn University Student Residence Hall at West Samford Avenue and South Donahue Drive

Approval of Project Program, Site, Schematic Design and Budget



Auburn University Student Residence Hall at West Samford Avenue and South Donahue Drive

Approval of Project Program, Site, Schematic Design and Budget



Auburn University Student Residence Hall at West Samford Avenue and South Donahue Drive

Approval of Project Program, Site, Schematic Design and Budget



Auburn University

Student Residence Hall at

West Samford Avenue and South Donahue Drive

Approval of Project Program, Site, Schematic Design and Budget

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a total project budget in the amount of \$59.5 million to be funded from the sale of bonds or other institutional funds.
2. Direct the consultants to complete the requirement plans for the project.
3. Solicit bids for construction conditioned upon the ability of sufficient funding as approved by the President.
4. Award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.

Current Status of New Construction/Renovation/Infrastructure, Budgets of \$750,000 and Greater



TO: JAY GOGUE, President
THROUGH: DONALD L. LARGE, Executive Vice President
FROM: DAN KING, Assistant Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE
**CURRENT STATUS OF NEW CONSTRUCTION/RENOVATION/
INFRASTRUCTURE PROJECTS WITH BUDGETS OF \$750,000
AND GREATER**
(For Information Only)
DATE: 8/6/2011

This is to request that the following proposal be submitted to the Property and Facilities Committee and included on the agenda of the Board of Trustees meetings scheduled for September 9, 2011.

Proposal:

Consistent with standing practice, it is proposed that the current status report of new construction/renovation/infrastructure projects with budgets greater than \$750,000 be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

Review and Consultation:

The Board of Trustees at its meeting on June 4, 2001, requested that it receive a regular update on the status of new projects that are underway or planned which have project budgets of \$750,000 or more. The attached list includes projects at Auburn University and outlying units.

Rationale for Recommendation:

Consistent with the request of the Board of Trustees for a current status report of new construction/renovation/infrastructure projects with budgets greater than \$750,000, the attached listing is provided, *for information only*, to the Board through the Property and Facilities Committee for inclusion on the agenda of the meetings scheduled for September 9, 2011.

Auburn University Facilities Division
 Current Capital Projects
 (Spending across Multiple Years)
Summary of Cashflow by Project Phase

<i>Project Phase</i>	<i>Previous Approved Budget Amount</i>	<i>Current Approved Budget Amount</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Cashflow Assuming Remainder of Budget for FY2011</i>	<i>Estimated Cashflow Assuming Remainder of Budget for FY2012 & Forward</i>
Substantial Completion	326,057,506	407,269,460	369,849,753	13,525,707	23,894,000
Construction	241,426,459	161,364,505	17,536,955	7,597,550	136,230,000
Design	39,500,470	133,700,470	4,590,656	881,075	128,228,739
Planning	101,307,620	18,207,620	807,387	171,688	17,228,545
Totals	708,292,055	720,542,055	392,784,751	22,176,020	305,581,284
Other Open Capital Projects	54,369,610	50,275,996	16,597,397	5,000,000	28,678,599
Grand Totals	762,661,665	770,818,051	409,382,148	27,176,020	334,259,883

Auburn University Facilities Division
Current Capital Projects

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i> <i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>	<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i> <i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Cashflow Assuming Remainder of Budget for FY2011</i>	<i>Estimated Cashflow Assuming Remainder of Budget for FY2012 & Forward</i>	<i>(C)</i> <i>Current Encumbrances Against Project</i>	<i>(A)-(B)-(C)</i> <i>Current Open Balance (Budget less Actuals and Encumbrances)</i>
SUBSTANTIAL COMPLETION PHASE											
New Basketball Arena 06-156	Substantial Completion	92,500,000	86,000,000	6,765,422	79,234,578		84,103,885	1,146,115	750,000	222,991	1,673,124
Auburn-Opelika Robert G. Pitts Airport - Terminal Bldg 98-196	Substantial Completion	6,500,000	6,500,000			6,500,000	6,041,688	38,312	420,000	91,853	366,459
DEP Expansion - Ph I & II 06-072	Substantial Completion	11,856,193	11,856,193	4,118,153	7,738,040		9,084,273	26,920	2,745,000	18,384	2,753,536
Student Center Ancillary Projects I & II	Substantial Completion	8,121,433	10,419,313	1,297,880	9,121,433		10,249,481	169,832	0	21,651	148,181
Housing Project: All inclusive - Main Housing, Housing Ancillary, Housing Infrastructure, & Housing Dining projects.	Substantial Completion	118,950,000	157,840,000	2,351,000	155,489,000		150,655,152	484,848	6,700,000	1,035,328	6,149,520
Auburn-Opelika Robert G. Pitts Airport - New Aircraft Hanger 08-134	Substantial Completion	1,639,000	1,639,000	1,639,000			1,610,650	14,350	14,000	8,443	19,907
New Track & Soccer Facility 07-192	Substantial Completion	6,500,000	6,500,000		6,500,000		6,226,560	273,440	0	3,818	269,622
MRI Research Center - New Building 09-098	Substantial Completion	11,201,000	11,201,000			11,201,000	9,140,513	460,487	1,600,000	949,062	1,111,425

Auburn University Facilities Division
Current Capital Projects

Data Extracted 08/02/2011

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>	<i>AU Funding (includes gifts/grants)</i>	<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i>	<i>Estimated Cashflow Assuming Remainder of Budget for FY2011</i>	<i>Estimated Cashflow Assuming Remainder of Budget for FY2012 & Forward</i>	<i>(C)</i>	<i>(A)-(B)-(C)</i>
Information Technology Center 09-118	Substantial Completion	14,000,000	24,000,000		19,092,271	4,907,729	18,244,252	455,748	5,300,000	464,337	5,291,411
South Quad Multimodal Facility - New Building 09-076	Substantial Completion	7,852,000	10,102,000	703,444		9,398,556	8,440,263	461,737	1,200,000	511,001	1,150,736
Transportation Technology Phase 2 04-111	Substantial Completion	49,500,000	52,545,000	18,107,515		34,437,485	43,106,891	6,313,109	3,125,000	5,505,206	3,932,903
Plant Sciences Center - Soil and Plant Samples Bldg 07-132	Substantial Completion	1,152,000	1,152,000	1,152,000			943,941	168,059	40,000	179,318	28,741
Aquatics Resource Center 06-106	Substantial Completion	5,644,000	8,614,954			8,614,954	8,338,944	276,010	0	175,482	100,528
Multipurpose Indoor Practice Facility - New Building (include Talum Ctr Renovation) 10-126 & 10-138	Substantial Completion	16,500,000	16,500,000	1,575,000	14,925,000		11,726,144	3,073,856	1,700,000	1,446,903	3,326,953
CDRI Kennel Expansion - Construct New Modular Buildings (phase I) 10-246	Substantial Completion	2,400,000	2,400,000			2,400,000	1,937,116	162,884	300,000	102,565	360,319
Total Substantial Completion			407,269,460	37,709,414	292,100,322	77,459,724	369,849,753	13,525,707	23,894,000	10,736,342	26,683,365

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>	<i>AU Funding (includes gifts/grants)</i>	<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i>	<i>Estimated Cashflow Assuming Remainder of Budget for FY2011</i>	<i>Estimated Cashflow Assuming Remainder of Budget for FY2012 & Forward</i>	<i>(C)</i>	<i>(A)-(B)-(C)</i>
<i>CONSTRUCTION PHASE</i>											
Wire Road New Bicycle Facilities 08-103	Construction	1,447,868	1,447,868	528,668		919,200	676,193	496,675	275,000	631,264	140,411
Small Animal Teaching Hospital (09-083.1 Ph I, 09-083 Ph II)	Construction	70,000,000	74,000,000	24,000,000	40,000,000	10,000,000	6,547,430	2,452,570	65,000,000	9,171,990	58,280,560
Facilities Buildings 6, 7 and Related Projects 07-054 & 10-149	Construction	7,500,000	7,500,000	7,500,000			1,893,975	1,106,025	4,500,000	2,585,196	3,020,829
Poultry & Animal Nutrition Center - Feed Mill Building 09-079	Construction	1,966,637	1,966,637	1,966,637			469,311	297,326	1,200,000	1,110,022	387,304
Student Recreation & Wellness Center Building (07-225)	Construction	72,000,000	72,000,000	5,000,000	67,000,000		6,732,215	2,267,785	63,000,000	49,092,386	16,175,399
Campus Pedestrian Improvements - Tiger Concourse - Ginn Plaza 08-116	Construction	2,500,000	2,500,000	500,000	2,000,000		705,855	494,145	1,300,000	1,463,532	330,613
Band Rehearsal Hall, Phase 1: Pavilion Construction 09-210	Construction	800,000	800,000	800,000			421,522	223,478	155,000	309,044	69,434
Tennessee Valley Research & Extension Center 07-145	Construction	1,000,000	1,150,000	1,150,000			90,454	259,546	800,000	997,720	61,826
<i>Total Construction</i>			161,364,505	41,445,305	109,000,000	10,919,200	17,536,955	7,597,550	136,230,000	65,361,154	78,466,396

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>	<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Cashflow Assuming Remainder of Budget for FY2011</i>	<i>Estimated Cashflow Assuming Remainder of Budget for FY2012 & Forward</i>	<i>(C) Current Encumbrances Against Project</i>	<i>(A)-(B)-(C) Current Open Balance (Budget less Actuals and Encumbrances)</i>
DESIGN PHASE											
Ag Heritage Park 98-333	Construction and Design Future Projects	2,666,320	2,666,320	2,666,320			1,427,581	0	1,238,739	0	1,238,739
Pebble Hill Renovation 06-176	Design	2,500,000	2,500,000	2,500,000			198,118	11,882	2,290,000	97,020	2,204,862
ARRA - Center for Advanced Science, Innovation, and Commerce (NIST) 09-218	Design	29,834,150	29,834,150	1,307,075		28,527,075	1,235,303	298,847	28,300,000	2,819,241	25,779,606
Biodiversity Learning Center (Previously named COSAM- Preserved Specimens Laboratory) 06-010	Design	2,650,000	3,500,000		3,500,000		256,201	43,799	3,200,000	221,646	3,022,153
Student Recreation (Kinesiology) - New Building 09-220	Design	21,600,000	21,600,000		21,600,000		836,539	263,461	20,500,000	759,483	20,003,978
South Donahue Residence Hall, Dinning, Biggio Parking Facility 10-217	Design	61,500,000	73,600,000	5,000,000	68,600,000		636,914	263,086	72,700,000	7,326,678	65,636,408
Total Design			133,700,470	11,473,395	93,700,000	28,527,075	4,590,656	881,075	128,228,739	11,224,068	117,885,746

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>	<i>AU Funding (includes gifts/grants)</i>	<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i>	<i>Estimated Cashflow Assuming Remainder of Budget for FY2011</i>	<i>Estimated Cashflow Assuming Remainder of Budget for FY2012 & Forward</i>	<i>(C)</i>	<i>(A)-(B)-(C)</i>
PLANNING PHASE											
Equestrian Center 05-103	Planning	9,000,000	9,000,000	4,500,000		4,500,000	233,951	0	8,766,049	0	8,766,049
WW Walker Bldg Phase II - Pharmaceutical Research & Development Ct 07-037	Planning (Partial budget only at this point)	2,807,620	2,807,620	2,807,620			545,124	0	2,262,496	1,212,717	1,049,779
Biological Engineering Research Laboratory (BERL) 09-181	Planning	6,400,000	6,400,000	1,776,992		4,623,008	28,312	171,688	6,200,000	4,214	6,367,474
Total Planning			18,207,620	9,084,612	0	9,123,008	807,387	171,688	17,228,545	1,216,931	16,183,302
Other Open Capital Projects	Various Stages		50,275,996	39,950,386	10,263,727	61,883	16,597,397	5,000,000	28,678,599	8,103,319	25,575,280
GRAND TOTAL			770,818,051	139,663,112	505,064,049	126,090,890	409,382,148	27,176,020	334,259,883	96,641,814	264,794,089

**Quarterly Report for Projects Costing More than
\$500,000 but Less than \$750,000, Fourth Quarter FY
2011**



TO: JAY GOGUE, President
THROUGH: DONALD L. LARGE, Executive Vice President
FROM: DAN KING, Assistant Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE
**QUARTERLY REPORT FOR PROJECTS COSTING MORE THAN
\$500,000 BUT LESS THAN \$750,000 –4thrd QTR FY 2011
(For Information Only)**
DATE: SEPTEMBER 9, 2011

This is to request that the following item be submitted to the Board of Trustees through the Property and Facilities Committee at the meetings scheduled for September 9, 2011.

Proposal:

The Board of Trustees, at its meeting on June 4, 2001, adopted a resolution stipulating that all projects with a total cost in the range of \$500,000 to \$750,000 be reported quarterly. The intent of this report is to keep the Property and Facilities Committee informed of those projects as they are occurring on campus. Consistent with standing policy it is proposed that this report be submitted for information only.

Review and Consultation:

Projects initiated in the 4th Quarter 2011 and costing more than \$500,000 but less than \$750,000 are listed on the following table.

4th Quarter FY 2011 Projects \$500,000 - \$750,000	Project Number	Account Number	Cost
Hemlock Elec. Substation Feeder #29	11-042	920897-102048-P100	563,428

Rationale for Recommendation:

Consistent with standing policy, this report is submitted to the Board of Trustees through the Property and Facilities Committee for information at the meetings scheduled for September 9, 2011.

Capital Projects \$500,000 to \$750,000
September 2011 BOT Report

Project Name	Project Number	FOP	Cost
Hemlock Electric Substation-New Underground Feeder Cable No. 29	11-042	920897 102048 P100	563,428.00

Data covers projects started btw 5/10/11 and 8/1/11. Need to start on 8/2/11 for next BOT report

Projects Status Report: September 2011



FACILITIES MANAGEMENT
M E M O R A N D U M

TO: JAY GOGUE, President
THROUGH: DONALD L. LARGE, Executive Vice President
FROM: DAN KING, Assistant Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

PROJECT STATUS REPORT

DATE: AUGUST 29, 2011

This is to inform the Board of Trustees of the status of projects which have been approved by the Board within the last year.

Proposal:

In response to informal inquiries with regard to particular projects in progress or envisaged, it is proposed that a brief report regarding the status of Board approved projects be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

Review and Consultation:

Interest and responsibility of the Board of Trustees extends to the oversight of funding, planning, design, construction, maintenance and operation of University buildings and facilities. The date of project establishment in the development process is often separated by years from the date of dedication. Although the process is prolonged, Board interest remains enthusiastic throughout. The Project Status Report is intended to inform interested parties of status taking place among projects approved by the Board of Trustees.

Rationale for Recommendation:

The desire to be responsive to inquiries regarding specific capital projects and the process from conception to completion has prompted the development of a Project Status. The current memo is provided, *for information only*, to the Board of Trustees through the Property and Facilities Committee for inclusion on the agenda of the meetings scheduled for September 9, 2011.

PROJECT/PHASE

STATUS

Projects in Planning Stage:

- Equine Studies – New Facilities
- Pharmaceutical Research & Development Center
- Band Rehearsal Hall Phase 2
- Equine Plasma Storage Building

On hold pending funding
On hold pending funding
Approved September 2009
On hold pending funding
Approved September 2009
On Hold pending funding

- | | |
|---|---------------------|
| • Renovations to the Hill and CDV Residence Halls | Approved June 2010 |
| • Solon Dixon Forestry Education Center Classroom | Approved April 2011 |
| • Wire Road Widening and Realignment | Approved April 2011 |
| • Central Classroom Facility | Approved June 2011 |

Projects in Design Stage:

- | | |
|--|------------------------|
| • Telfair Peet Theatre Addition | Approved November 2009 |
| • Student Housing and Dining: West Samford & Donahue | Approved February 2011 |
| • AUM: Student Residence Hall | Approved April 2011 |
| • Watson Field House Renovation | Approved April 2011 |

Schematic Design Approved:

- | | |
|---|-------------------------|
| • COSAM – Biodiversity Learning Center | Approved November 2006 |
| • Pebble Hill Renovation & Addition | Approved November 2007 |
| • Center for Adv Science, Innovation & Commerce | Approved September 2009 |
| • Small Animal Teaching Hospital – Phase II | Approved February 2010 |
| • Department of Kinesiology Building | Approved September 2010 |
| • Biological Engineering Research Laboratory | Approved November 2010 |
| • Parking and Transportation Facility at Biggio Drive | Approved February 2011 |

Projects in Construction Stage:

- | | |
|---|-------------------------|
| • Aquatics Resource Management Center – New Building | Approved November 2006 |
| • Shelby Center for Engineering – Phase 2 | Approved April 2007 |
| • Plant Science Center Complex–Processing & Handling Fac. | Approved November 2007 |
| • Wire Road – New Bicycle Facilities | Approved June 2008 |
| • Poultry & Animal Nutrition Center – Feed Mill Building | Approved March 2009 |
| • Small Animal Teaching Hospital – Phase I | Approved Feb 2010 |
| • Multipurpose Indoor Practice Facility | Approved June 2010 |
| • AAES Tennessee Valley Research & Extension Center | Approved June 2010 |
| • AUM Wellness Center | Approved June 2010 |
| • Student Wellness and Sustainability Center | Approved June 2010 |
| • Facilities Division Buildings VI & VII | Approved June 2010 |
| • Campus Pedestrian Improvements | Approved September 2010 |
| • Band Practice Pavilion | Approved November 2010 |

Projects in Closeout Stage or Complete:

- | | |
|---|-----------------------|
| • Infrastructure Phase IV | Approved August 2001 |
| • Information Technology (OIT) Building | Approved March 2004 |
| • South Quad Multimodal Facility–New Building | Approved January 2009 |
| • MRI Research Center – New Building | Approved March 2009 |

Key Projects Memo: September 2011



FACILITIES MANAGEMENT
M E M O R A N D U M

TO: JAY GOGUE, President
THROUGH: DONALD L. LARGE, Executive Vice President
FROM: DAN KING, Assistant Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE
KEY PROJECTS REPORT (*For Information Only*)
DATE: AUGUST 29, 2011

This is to request that the following proposal be submitted to the Board of Trustees through the Property and Facilities Committee and included on the agenda of meetings scheduled for September 9, 2011.

Proposal:

In response to informal inquiries with regard to particular projects in progress or envisaged, it is proposed that a brief presentation in the form of a “Key Projects Report” be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

Review and Consultation:

Interest and responsibility of the Board of Trustees extends to the oversight of funding, planning, design, construction, maintenance and operation of University buildings and facilities. The date of project establishment in the development process is often separated by years from the date of dedication. Although the process is prolonged, Board interest remains enthusiastic throughout. The Key Projects Report presentation is intended to inform interested parties of activities taking place among projects in progress and projects on the planning horizon contending for serious consideration.

Rationale for Recommendation:

The desire to be responsive to inquiries regarding specific capital projects and the process from conception to construction has prompted the development of a Key Projects Report presentation. It is recommended that this presentation be made, *for information purposes only*, to the Board of Trustees through the Property and Facilities Committee and included as part of the agenda for the September 9, 2011 meeting.

Finance Committee

—
COMMITTEE MEETING AGENDA
AUBURN UNIVERSITY BOARD OF TRUSTEES
FINANCE COMMITTEE
FRIDAY, SEPTEMBER 9, 2011 AT 10:15 A.M.
BALLROOM B, AU HOTEL

1. Approval of 2011-2012 Budget (Don Large)
2. Proposed Election to Increase Certain ACES Employee Retirement Contributions (Lee Armstrong)
3. Update on the Auburn University Endowment Portfolio (Don Large)

FINANCE COMMITTEE

Mr. Robert E. Lowder, Chair

Mr. Samuel L. Ginn

Mr. Raymond J. Harbert

Mr. D. Gaines Lanier

Mr. Charles D. McCrary

Dr. Beverly Marshall. AU Faculty Rep.
(ex-officio, non-voting)

Dr. Keivan Deravi, AUM Faculty Rep.
(ex-officio, non-voting)

Approval of 2011-2012 Budget

FINANCE COMMITTEE

RESOLUTION

APPROVAL OF 2011-2012 BUDGET

BE IT RESOLVED by the Board of Trustees of Auburn University as follows:

Section 1. The operating budget for Auburn University covering current operating funds and auxiliary funds for the fiscal year beginning October 1, 2011, and ending September 30, 2012, as presented by the President and approved by the Finance Committee of the Board of Trustees, be, and the same is hereby approved.

Section 2. Nothing in said budget shall be accepted or construed to be legal obligations or liabilities against Auburn University. The amounts fixed in the components of the budget for the year 2011-2012 shall be understood to be the relative amounts to be paid or expended for those components in relationship to the funds and/or income of the University available for the support and maintenance of the University

Section 3. The proposed expenditure amounts as set out in the budget are hereby approved and adopted and the President is authorized and empowered to enact such budget on October 1, 2011. The President is further authorized and empowered to effect routine adjustments to this budget as deemed necessary and appropriate.

Section 4. The Finance Committee of the Board of Trustees is requested and authorized to approve material adjustments in this budget as may be determined necessary and presented by the President.

Attachments

August 9, 2011

MEMORANDUM TO: President Jay Gogue

FROM: Donald L. Large, Jr.

SUBJECT: Board of Trustees Agenda Item -

Proposed Fiscal Year 2011-2012 Budget for Trustee Consideration

Please find enclosed a copy of the proposed Budget for Auburn University, which includes all four divisions with breakdowns by colleges and departments as appropriate. Additional information is included regarding capital projects and proposed spending along with other summary information.

We will be prepared to review the proposed budget in detail if desired by the Finance Committee and will be requesting approval of the proposed budget for implementation effective October 1, 2011.

pah

Enclosure

c: Mr. Grant Davis

Proposed Election to Increase Certain ACES Employee Retirement Contributions

FINANCE COMMITTEE

RESOLUTION

PROPOSED ELECTION TO INCREASE CERTAIN ACES EMPLOYEE RETIREMENT CONTRIBUTIONS

WHEREAS, Act 2001-676 of the 2011 Regular Session of the Alabama Legislature provides for an increase in the employee contribution rates for state agencies and entities participating in the State Employees Retirement System within The Retirement Systems of Alabama; and

WHEREAS, because certain Auburn University employees of the Alabama Cooperative Extension System are active members of the Employees Retirement System as provided in Section 36-27-6, Code of Alabama, the Chief Financial Officer of The Retirement Systems of Alabama has notified Auburn University of the requirement of a Auburn University Board of Trustees resolution indicating the University's election to adopt the increase in the employee rate, or to elect not to adopt the increase; and

WHEREAS, under the provisions of Act 2011-676, participating entities in the Employees Retirement System shall cause to be deducted for each pay date beginning on or after October 1, 2011, an employee contribution rate of 7.25% and beginning on or after October 1, 2012, an employee contribution rate of 7.50%.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees elects to come under the provisions of Act 2011-676 for all employees, including those currently participating in DROP and hereby authorizes Jay Gogue, President, or such other person as may be acting a President, to notify the Board of Control of The Retirement System of Alabama that Auburn University elects to come under provisions of Act 2011-676 of the 2011 Regular Session of the Alabama Legislature.

BE IT FURTHER RESOLVED, that the election to come under the provisions of this act is irrevocable as the effective date of this resolution.

Attachments



Alabama A&M and Auburn Universities

*Office of the Director
109 Duncan Hall
Auburn, AL 36849
Phone: 334-844-5546
Fax: 334-844-5544*

August 11, 2011

To: Grant Davis

From: Gaines Smith

A handwritten signature in black ink, appearing to read "Gaines Smith".

Subject: Information for the September 9, 2011 Board of Trustee Meeting

Here is a resolution and its letter of transmittal for the agenda of the September 9, 2011 Board agenda.

Thanks for your help in this matter.

C: Lee Armstrong
Sherri Fulford
Stacey Bozeman



Alabama A&M and Auburn Universities

*Office of the Director
109 Duncan Hall
Auburn, AL 36849
Phone: 334-844-5546
Fax: 334-844-5544*

August 11, 2011

To: Dr. Jay Gogue, President
Auburn University

From: Gaines Smith, Director
Alabama Cooperative Extension System

A handwritten signature in black ink, appearing to read "Gaines Smith".

Subject: Employees Retirement System Employee Contribution Rate

This is to request that the following item be presented to the Auburn University Board of Trustees and be included on the agenda for the meeting scheduled for September 9, 2011.

Proposal:

It is proposed that through the adoption of the accompanying resolution that certain Auburn University employees of the Alabama Cooperative Extension System be brought under the provisions of Act 2011-676 of the Regular Session of the Alabama Legislature.

Review and Consultation:

As provided in Section 36-27-6, Code of Alabama, certain Auburn University employees of the Alabama Cooperative Extension System are covered under the provisions of the Employees Retirement System of the Retirement Systems of Alabama. Under the provisions of Legislative Act 2011-676, participating entities in the Employees Retirement System shall cause to be deducted for each pay date beginning on or after October 1, 2011, an employee contribution rate of 7.25% and beginning on or after October 1, 2012, an employee contribution rate of 7.5%.

The Chief Financial Officer of the Retirement Systems of Alabama has notified Auburn University of the requirement of a Board of Trustee resolution indicating the election to adopt the increase in employee rate or to elect not to adopt the increase.

Upon consultations with officials of the Retirement System of Alabama, Auburn University General Counsel, Auburn University Director of Legislative Affairs, and the Administrative Officer of the Alabama Cooperative Extension System, the proposal to bring these ACES employees under the provision of Act 2011-676 is presented for your consideration.

Rationale for Recommendation:

Approval of this proposal through adoption of the attached resolution will keep the employee contribution rates of these employees consistent with other Auburn University/Alabama Cooperative Extension System employees participating in the Teachers Retirement System of the Retirement System of Alabama.

Update on the Auburn University Endowment Portfolio

August 9, 2011

Memorandum To: President Jay Gogue

From: Donald L. Large, Jr.

Subject: Board of Trustees Agenda Item

- Auburn University Endowment Portfolio Update

PROPOSAL:

It is proposed that the Finance Committee of the Board of Trustees be presented an update from endowment manager, Prime Buchholz, who provides management consulting services for Auburn University and the Auburn University Foundation endowments.

BACKGROUND AND INFORMATION:

Auburn University contracts with the Auburn University Foundation to manage the university's endowment portfolio. While maintaining its fiduciary responsibility for ownership of the Auburn University endowments by establishing investment and spending policies, the Board of Trustees delegates authority to the Auburn University Foundation for the day-to-day management of the endowment portfolio. This includes development of investment strategies, tactical allocation among approved asset classes, strategies within asset classes, and investment manager selection and termination. In order to facilitate communication and reporting between the Auburn University Board of Trustees and the Auburn University Foundation Investment Committee, a member of the Auburn University Board serves on the Auburn University Investment Committee.

RATIONALE FOR RECOMMENDATION:

The Board of Trustees should periodically receive reports from the university endowment manager. The proposed timing for the presentation is intended to coincide with the presentation of the proposed annual budget.

c: Mr. Grant Davis

Academic Affairs Committee

COMMITTEE MEETING AGENDA
AUBURN UNIVERSITY BOARD OF TRUSTEES
ACADEMIC AFFAIRS COMMITTEE
FRIDAY, SEPTEMBER 9, 2011 AT 10:30 A.M.
BALLROOM B, AU HOTEL

1. Presentation of 2011-2012 Strategic Goals (Timothy Boosinger)
2. Introduction of Dr. Vini Nathan, Dean of the College of Architecture, Design and Construction (Timothy Boosinger)

ACADEMIC AFFAIRS COMMITTEE

Ms. Virginia N. Thompson, Chair
Mr. John G. Blackwell
Mr. Raymond J. Harbert
Ms. Sarah B. Newton
Mr. James W. Rane
Dr. Ann Beth Presley, Chair
Faculty Senate (ex-officio, non-voting)

Presentation of 2011-2012 Strategic Goals

ACADEMIC AFFAIRS COMMITTEE

PRESENTATION OF 2011-2012 STRATEGIC GOALS

Time will be allocated for a presentation of the 2011-2012 Strategic Goals.

Attachment

STRATEGIC PRIORITY 1: ELEVATE UNDERGRADUATE EDUCATION AND ENRICH THE UNDERGRADUATE EXPERIENCE

- Assess Honors College recruitment and admissions, including identifying an appropriate size for the incoming class.
- Continue to implement and assess writing plans, support faculty and students in writing and writing instruction, and enhance the culture of writing at Auburn University.
- Continue to internationalize Auburn University programming efforts, and seek funding to award small faculty grants to build study abroad programs.
- Continue to use the CLA data to improve curriculum.
- Increase graduation rates through better advising, use of summer schedule, and class loads taken.
- Continue to support Distance Education by creating additional online courses and targeted programs and ensure that distance students receive appropriate support services.

STRATEGIC PRIORITY 2: BUILD THE FOUNDATION FOR A STRONGER AND LARGER RESEARCH ENTERPRISE

- Increase externally funded scholarly efforts across all units.
- Pursue the continued development and support of internal and external interdisciplinary research and scholarly initiatives.
- Develop a campus infrastructure and creative activities to support increased undergraduate research initiatives.
- Continue to support the creation of joint faculty appointments.
- Increase the number of graduate students—goal is 5,000.

STRATEGIC PRIORITY 3: EXPAND THE IMPACT OF THE UNIVERSITY'S EXTENSION AND OUTREACH ACTIVITIES, BETTER SERVICE OUR COMMUNITIES AND THE STATE

- Ensure Outreach programming is financially sustainable.
- Identify institution-level expected outcomes of Auburn University's public service activities and analyze the extent to which those outcomes are being achieved.
- Continue to develop and implement initiatives to impact two or more school districts.

STRATEGIC PRIORITY 4: REDOUBLE EFFORTS TO SUPPORT, DEVELOP, AND STRENGTHEN OUR PEOPLE

- Develop and implement a comprehensive AUM Diversity Plan.
- Implement faculty/staff initiatives in the Strategic Diversity Plan and report on progress.

STRATEGIC PRIORITY 5: COMMITTING TO CONTINUOUS IMPROVEMENT IN STRENGTHENING OUR MANAGEMENT APPROACHES AND INCREASING OUR EFFICIENCY

- Implement the recommendations of the Efficiency Task Force and assist the institution in making improvements in its operations and effectiveness.
- Establish a draft policy for Board of Trustees review that sets priorities for campus master plan implementation.
- Complete drafts of Auburn's SACS Compliance Certification and Quality Enhancement Plan in support of reaffirmation of accreditation.
- Increase opportunities for sustainability-related engagement and collaboration, including establishing a Sustainable Office Certification Program and implementing the campus Climate Action Plan.

STRATEGIC PRIORITY 6: CONTINUE TO DRAMATICALLY EXPAND THE ENDOWMENT, ANNUAL FUND, AND GIFTS TO THE UNIVERSITY, TO SUPPORT ACADEMIC EXCELLENCE AND INCREASE SCHOLARSHIPS

- Begin to implement plans for the next Comprehensive Campaign, with an anticipated start date of summer 2012.
- Continue to support student scholarships as a featured objective of the Comprehensive Campaign.
- Develop plans for one new major income stream for Auburn University.

Introduction of Dr. Vini Nathan, Dean of the College of Architecture, Design and Construction

ACADEMIC AFFAIRS COMMITTEE

INTRODUCTION OF DEAN

Time will be allocated for introduction of Dr. Vini Nathan, Dean of the College of Architecture, Design and Construction.

Executive Committee

COMMITTEE MEETING AGENDA
AUBURN UNIVERSITY BOARD OF TRUSTEES
EXECUTIVE COMMITTEE
FRIDAY, SEPTEMBER 9, 2011 AT 10:45 A.M.
BALLROOM B, AU HOTEL

1. Posthumous Awarding of the Interdisciplinary Studies Degree for John D. Pribonic
(Timothy Boosinger)
2. Proposed Appointment of the Director of the Alabama Cooperative Extension System
(Jimmy Rane)
3. Presidential Assessment (Jimmy Rane)
4. Proposed Awards and Namings (Jimmy Rane)

EXECUTIVE COMMITTEE

Mr. James W. Rane, Chair
Mr. Samuel L. Ginn
Mr. Raymond J. Harbert
Mr. D. Gaines Lanier
Mr. Robert E. Lowder

Posthumous Awarding of the Interdisciplinary Studies Degree for John D. Pribonic

EXECUTIVE COMMITTEE

RESOLUTION

POSTHUMOUS AWARDING OF THE INTERDISCIPLINARY STUDIES DEGREE
FOR JOHN D. PRIBONIC

WHEREAS, John D. Pribonic, an undergraduate student at Auburn University, passed away on April 30, 2011; and

WHEREAS, John D. Pribonic would have completed the requirements necessary for the Bachelor of Science Degree in Interdisciplinary University Studies in fall 2011; and

WHEREAS, the Director of the Interdisciplinary University Studies Program, the Faculty Advisory Committee for the Interdisciplinary Studies Undergraduate Degree Program, the Associate Provost for Undergraduate Studies; the Interim Provost; and the President recommend that a Bachelor of Science in Interdisciplinary Studies be awarded posthumously;

NOW, THEREFORE, BE IT RESOLVED by this Board of Trustees of Auburn University that the Bachelor of Science in Interdisciplinary Studies is hereby granted posthumously to John D. Pribonic in recognition of his academic achievements while in attendance as an undergraduate student at Auburn University.

BE IT FURTHER RESOLVED that the Board hereby expresses its sympathy and condolences to the family of John D. Pribonic in this period of sadness and that a copy of this resolution be presented to them so that they will know of this action and the university's deep loss.

Attachments




AUBURN UNIVERSITY

OFFICE OF THE PROVOST
AND VICE PRESIDENT FOR
ACADEMIC AFFAIRS

August 8, 2011

MEMORANDUM TO: Jay Gogue
President

FROM: Timothy R. Boosinger 
Interim Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees -
Posthumous Degree for John D. Pribonic

I have received a request from the Office of Undergraduate Studies to award posthumously the Bachelor of Science Degree in Interdisciplinary Studies to John D. Pribonic. Mr. Pribonic passed away in April 2011, while in his senior year. Following a careful review of his academic records, the Director of the Interdisciplinary University Studies Program, the Faculty Advisory Committee for the Interdisciplinary Studies Undergraduate Degree Program, and the Associate Provost for Undergraduate Studies all concur that Mr. Pribonic would have met all of the academic requirements to be awarded an Interdisciplinary Studies Degree posthumously. Mr. Pribonic completed 142 hours and would have graduated from Auburn University in fall 2011.

Please find attached the requests from both Dr. Kathryn Flynn, Director of the Interdisciplinary University Studies Program and Dr. Constance Relihan, Associate Provost for Undergraduate Studies, a copy of the Board of Trustees Resolution, and additional supporting documentation. I am requesting that this item be placed on the Board of Trustees Agenda for the September 9, 2011 meeting.



AUBURN UNIVERSITY

OFFICE OF
UNDERGRADUATE STUDIES

August 1, 2011

TO: Timothy Boosinger
Interim Provost and Vice President for Academic Affairs

FROM: Constance Relihan
Associate Provost for Undergraduate Studies

SUBJECT: Posthumous Degree for John D. Pribonic

I concur with the request of Dr. Kathryn Flynn, Director of the Interdisciplinary Studies Program to award John D. Pribonic a posthumous degree from Auburn University.

The Interdisciplinary Studies Program has carefully reviewed Mr. Pribonic's records and related degree requirements and found that he meets the requirements for the award. He had completed 142 credit hours and would have been eligible to graduate Fall 2011 with a B.S. in Interdisciplinary University Studies.



MEMORANDUM

To: Constance Relihan, Assoc. Provost for Undergraduate Studies

From: Kathryn Flynn, Dir. Interdisciplinary Studies *Kathryn Flynn*

Date: July 14, 2011

Subject: Recommendation that a Posthumous Degree be awarded to John D. Pribonic; Document to be forwarded to Tim Boosinger, Provost

John D. Pribonic, an Auburn University student, died of leukemia on April 30, 2011. He was a senior student in good standing who had completed 142 hours toward his degree. Through careful checking of his/her record, it was determined that Mr. Pribonic would have met all the criteria to graduate in Fall, 2011 with a degree in Interdisciplinary University Studies.

In accordance with Auburn University policy, the Faculty Advisory Committee for the Interdisciplinary Studies Undergraduate Degree program has unanimously recommended that the Bachelor of Science be awarded posthumously to John D. Pribonic.

We request that the Bachelor's Degree in Interdisciplinary Studies be awarded to John D. Pribonic posthumously. Please let me know if you have questions or require further information.

Proposed Appointment of the Director of the Alabama Cooperative Extension System

EXECUTIVE COMMITTEE

RESOLUTION

PROPOSED APPOINTMENT OF THE DIRECTOR OF THE ALABAMA COOPERATIVE EXTENSION SYSTEM

WHEREAS, state law requires that the Director of the Alabama Cooperative Extension System (ACES) be appointed by the Presidents of Auburn University and Alabama A&M University with approval of their respective Boards of Trustees; and

WHEREAS, after a national search by a committee which included representatives of stakeholders in the agriculture industry both within the universities and around the state, Dr. Gary Lemme was identified as the best candidate to lead the Alabama Cooperative Extension System; and

WHEREAS, the President hereby requests the Board's approval of the appointment of Dr. Lemme to the position of Director of the Alabama Cooperative Extension System.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the appointment of Dr. Gary Lemme as Director of the Alabama Cooperative Extension System is hereby approved.

Presidential Assessment

EXECUTIVE COMMITTEE

PRESIDENTIAL ASSESSMENT

Time will be allocated for discussion of the Presidential Assessment.