



AUBURN UNIVERSITY

OFFICE OF THE PRESIDENT

June 11, 2013

MEMORANDUM TO: Board of Trustees

SUBJECT: June 20-21, 2013 Meeting

Enclosed are materials that comprise the proposed agenda for the June 20-21, 2013 meeting of the Board of Trustees on the Auburn University Campus. Listed below is the tentative schedule; times and locations that are subject to adjustment, depending on length of individual meetings.

Thursday, June 20, 2013 (President's Office Board Room, 107 Samford Hall)

1:30 p.m. Workshop

Friday, June 21, 2013 (Ballroom B, AU Hotel)

9:00 a.m. Property and Facilities Committee

9:30 a.m. Joint Committee Meeting/Academic Affairs and AUM

9:45 a.m. Audit Committee Meeting

10:00 a.m. Joint Committee Meeting/Compensation and Finance

10:30 a.m. Executive Committee

10:35 a.m. Regular Meeting of the Board of Trustees (Ballroom B, AU Hotel)
(Proposed Executive Session – Meeting Room A, AU Hotel)

11:00 a.m. Reconvene Regular Meeting of the Board of Trustees (Ballroom B)

12:00 noon Luncheon (Ballroom A, Right, AU Hotel)

We appreciate all that you do for Auburn University and look forward to seeing you on Thursday, June 20 and Friday, June 21, 2013. Please call me, if you have questions regarding the agenda. Also, please let Grant Davis, Sherri Williams, or me know if you need assistance with travel and/or lodging arrangements.

Sincerely,

Jay Gogue
President

JG/smw

Enclosure

c: President's Cabinet (w/encl.)
Mr. Grant Davis (w/encl.)

107 Samford Hall, Auburn, AL 36849-5113; Telephone: 334-844-4650; Fax: 334-844-6179

w w w . a u b u r n . e d u

**DRAFT SCHEDULE & AGENDA
AUBURN UNIVERSITY BOARD OF TRUSTEES
THURSDAY AND FRIDAY, JUNE 20-21, 2013**

THURSDAY, JUNE 20, 2013

- I. 1:30 p.m./Workshop
(President's Office Board Room, 107 Samford Hall)

FRIDAY, JUNE 21, 2013

- I. Committee Meetings (Ballroom B, AU Hotel)
**Committee Meetings will begin at 9:00 a.m. - - all other meetings are subject to change in starting time, depending upon the length of individual meetings.
- A. Property and Facilities Committee/Chairperson Rane/9:00 a.m.
1. [Auburn Oaks at Toomer's Corner Redevelopment, Approval of Project Architect Selection](#) (Dan King)
 2. [Student Activities Center Renovation, Approval of Project Architect Selection](#) (Dan King/Ainsley Carry/Jay Jacobs/Betty Lou Whitford)
 3. [Lowder Hall Student and Faculty Lounge, Approval of the Facility Program, Site, Budget, Funding Plan and Schematic Design](#) (Dan King)
 4. [Administrative Support Complex Renovation at Bruno's Property, Approval of Project Initiation, Authorization to Commence the Architect Selection Process, and Designation as an Expedited Project](#) (Dan King)
 5. [Auburn Main Campus – Church of Christ Land Exchange](#) (Dan King/Mark Stirling)
 6. [Proposed Forest Products Training Center Lease Between the School of Forestry and Wildlife Sciences, Alabama Agricultural Experiment Station of Auburn University and Caterpillar, Incorporated](#) (Dan King/Mark Stirling/Jim Shepard)
 7. Status Reports (Mr. King)
 - A. [Current Status of New Construction, Renovation, and Infrastructure Projects with Budgets of \\$750,000 and Greater](#)
 - B. [Quarterly Report for Projects Costing More than \\$500,000 but Less than \\$750,000, 3rd Quarter Fiscal Year 2013](#)
 - C. [Project Status Report](#)
 - D. [Annual Report of Real Estate Holdings 2013 \(FY 2012\)](#) (Mark Stirling)
 - E. [Forest Lands Annual Report for FY 2012](#) (Tim Boosinger/Jim Shepard)

B. Joint Committee Meeting/Academic Affairs and AUM/Chairpersons Huntley and Sahlie/9:30 a.m.

1. [Proposed Change of Name of the Department of Foundations and Secondary Education to the Department of Foundations, Technology, and Secondary Education](#) (John Veres/Joe King)
2. [Proposed Option in Visual Media Within the Existing Bachelor of Arts in Mass Communication](#) (Tim Boosinger/Anne-Katrin Gramberg)
3. [Proposed Option in Hotel and Restaurant Management Within the Existing Master of Science in Nutrition](#) (Tim Boosinger/June Henton)
4. [Proposed School Designation for the Department of Communication and Journalism](#) (Tim Boosinger/Anne-Katrin Gramberg)
5. [Proposed School Designation for the Department of Fisheries and Allied Aquacultures](#) (Tim Boosinger/William Batchelor)
6. [Proposed School Designation for the Department of Industrial and Graphic Design](#) (Tim Boosinger/Vini Nathan)
7. [Proposed School Designation for the Department of Kinesiology](#) (Tim Boosinger/Betty Lou Whitford)

C. Audit Committee Meeting/Chairperson Dumas/9:45 a.m.

1. [Approval of Independent Auditors for Fiscal Year Ending September 20, 2013](#) (Don Large)
2. [Receipt of Code of Ethics Compliance Documents](#) (Bob Dumas)

D. Joint Committee Meeting/Compensation and Finance/Chairpersons Pratt and McCrary/10:00 a.m.

1. [Proposed Budget Guidelines for Fiscal Year 2013-2014](#) (Don Large)

E. Executive Committee/Chairperson Lanier/10:30 a.m.

1. [Auburn University Strategic Plan, 2013-2018](#) (Tim Boosinger)
2. [Request to Rename the Agricultural Engineering Annex as the Biological Engineering Research Laboratory](#) (Tim Boosinger)
3. [Presidential Assessment](#) (Raymond Harbert)
4. [Election of Officers](#) (Jimmy Rane)
5. Proposed Awards and Namings (Gaines Lanier)

- I. REGULAR MEETING OF THE BOARD OF TRUSTEES/10:35 A.M.
 - A. Proposed Executive Session (Meeting Room A, AU Hotel)
- II. REGULAR MEETING OF THE BOARD OF TRUSTEES/11:00 A.M. (Ballroom B, AU Hotel)
(Agenda items are determined primarily based upon committee actions.)
12:00 NOON – LUNCHEON – BALLROOM A, RIGHT (AU Hotel)

RESOLUTION

APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the Reconvened Meeting on Friday, April 12, 2013 have been distributed to all members of this Board for review.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees that the minutes of its April 12, 2013 meeting are hereby approved as distributed.

RESOLUTION

AWARDING OF DEGREES

WHEREAS, Auburn University confers appropriate degrees upon those individuals who have completed requirements previously approved by this Board of Trustees and stated in University Catalogs.

NOW, THEREFORE, BE IT RESOLVED as follows:

1. That all degrees to be awarded by the faculties of Auburn University and Auburn University Montgomery on August 3, 2013, complying with requirements heretofore established by the Board of Trustees, be and the same are hereby approved.
2. That a list of the degrees awarded on the above listed dates be filed in the Book of Exhibits and made a part of this resolution and of these minutes.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

AUBURN OAKS AT TOOMER'S CORNER REDEVELOPMENT

APPROVAL OF PROJECT ARCHITECT SELECTION

WHEREAS, the Board of Trustees, at the meeting held on April 12, 2013, approved the initiation of a project to redevelop the Auburn Oaks at Toomer's Corner and authorized the selection of a project architect; and

WHEREAS, the University Architect, after conducting interviews with candidate firms, determined the team of jB+a Landscape Architecture of Atlanta, Georgia was best qualified to provide architectural services on this project.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage jB+a Landscape Architecture of Atlanta, Georgia, as project architect to consult in the development of the facility program; to participate in space analysis and site planning; to compare alternative design concepts for consideration; to quantify and qualify project scope and cost alternatives.
2. Limit the project plan and plan development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.



TO: JAY GOGUE, President
THROUGH: DONALD L. LARGE, Executive Vice President
FROM: DAN KING, Assistant Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE
**AUBURN OAKS AT TOOMER'S CORNER REDEVELOPMENT:
APPROVAL OF PROJECT ARCHITECT SELECTION**
DATE: MAY 29, 2013

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 21, 2013.

Proposal:

Consistent with standing policy, it is proposed that the Auburn Oaks at Toomer's Corner Redevelopment project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that approves the selection of the project architect.

Review and Consultation:

During its meeting of April 12, 2013, the Board of Trustees approved a resolution that approved the initiation of the Auburn Oaks at Toomer's Corner Redevelopment project and authorized the commencement of the architect selection process.

Since that time, a Request for Proposals was published for architectural services. Eight firms submitted their qualifications, and four firms were interviewed by the Architect Selection Committee. The committee determined that jB+a Landscape Architecture of Atlanta, Georgia was best qualified to provide architectural services on this project.

It is, therefore, appropriate that the proposal be presented to the Board of Trustees for consideration and adoption of a resolution to approve the use of jB+a Landscape Architecture of Atlanta, Georgia, as the project architect. If you concur, it is recommended that the proposal be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action.

Rationale for Recommendation:

Standing policy of the Board of Trustees stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$750,000 or more, be submitted to the Board of Trustees for action.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

STUDENT ACTIVITIES CENTER RENOVATION
APPROVAL OF PROJECT ARCHITECT SELECTION

WHEREAS, the Board of Trustees, at the meeting held on April 12, 2013, approved the initiation of a project to renovate the Student Activities Center and authorized the selection of a project architect; and

WHEREAS, the University Architect, after conducting interviews with candidate firms, determined The Architects Group of Mobile, Alabama, was best qualified to provide architectural services on this project; and

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage The Architects Group of Mobile, Alabama, as project architect to consult in the development of the facility program; to participate in space analysis and site planning; to compare alternative design concepts for consideration; to quantify and qualify project scope and cost alternatives.
2. Limit the project plan and plan development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.



TO: JAY GOGUE, President
THROUGH: DONALD L. LARGE, Executive Vice President
FROM: DAN KING, Assistant Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE
**STUDENT ACTIVITIES CENTER RENOVATION: APPROVAL OF
PROJECT ARCHITECT**
DATE: JUNE 10, 2013

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 21, 2013.

Proposal:

Consistent with standing policy, it is proposed that the Student Activities Center Renovation project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will approve selection of the project architect.

Review and Consultation:

During its meeting of April 12, 2013, the Board of Trustees approved a resolution that approved the initiation of the Student Activities Center Renovation project and authorized the commencement of the architect selection process.

Since that time, a Request for Proposals was published for architectural services. Nineteen firms submitted their qualifications, and five firms were interviewed by the Architect Selection Committee. The committee determined that the firm The Architects Group (TAG) of Mobile, Alabama, was best qualified to provide architectural services on this project.

It is, therefore, appropriate that the proposal be presented to the Board of Trustees for consideration and adoption of a resolution to approve the use of the firm The Architects Group, as the project architect. If you concur, it is recommended that the proposal be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action.

Rationale for Recommendation:

Standing policy of the Board of Trustees stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$750,000 or more, be submitted to the Board of Trustees for action.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

LOWDER HALL STUDENT AND FACULTY LOUNGE:
APPROVAL OF THE FACILITY PROGRAM, SITE, BUDGET,
FUNDING PLAN & SCHEMATIC DESIGN

WHEREAS, the Board of Trustees, at its meeting held on April 20, 2012, adopted a resolution that approved the initiation of the Lowder Hall Student and Faculty Lounge project; and

WHEREAS, the construction of this project will allow students, faculty, and staff to have a place in the area of Lowder Hall and Shelby Center where they can obtain coffee or snacks and interact socially, professionally, and collaboratively, thus enhancing the quality of life for those who work and study in that section of campus; and

WHEREAS, the location for this lounge will be on the east side of Lowder Hall in an existing courtyard; and

WHEREAS, the estimated total cost for the Lowder Hall Student and Faculty Lounge project is \$1.4 million, to be funded by Auxiliary Services funds; and

WHEREAS, the schematic design of Lowder Hall Student and Faculty Lounge, prepared by the architect, has been determined to be consistent with the "*Comprehensive Master Plan*," the "*Image and Character of Auburn University*," the facility program, and the project budget.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a total project budget in the amount of \$1.4 million to be funded by Auxiliary Services funds.
2. Direct the consultants to complete the required plans for the project.
3. Solicit bids for construction conditioned upon the availability of sufficient funding as approved by the President.
4. Award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.



TO: JAY GOGUE, President
THROUGH: DONALD L. LARGE, Executive Vice President
FROM: DAN KING, Assistant Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE
**LOWDER HALL STUDENT AND FACULTY LOUNGE:
APPROVAL OF FACILITY PROGRAM, SITE, BUDGET, FUNDING
PLAN AND SCHEMATIC DESIGN**
DATE: MAY 29, 2013

This is to request that the following items be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda of the meeting scheduled for June 21, 2013.

Proposal:

It is proposed that the Lowder Hall Student and Faculty Lounge project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that approves the program requirements, budget, funding plan, site, and schematic design; and authorizes the President to direct the consultants to complete the required plans; solicit bids for construction; and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.

Review and Consultation:

The Board of Trustees, at its meeting held on April 20, 2012, adopted a resolution that approved the initiation of the Student and Faculty Lounge project.

The project team has worked to complete the schematic design for the Lowder Hall Student and Faculty Lounge project. The details of the project are provided below:

Program Requirements: This project will construct a 2,400 square-foot addition in the east courtyard of Lowder Hall, and will include a small food service area as well as indoor and outdoor seating. This lounge will serve coffee and snacks and allow students, faculty, and staff to interact socially, professionally, and collaboratively, thus enhancing the quality of life of those who work or study in the Lowder Hall and Shelby Center area of campus.

Budget: The estimated total project cost for the Lowder Hall Student and Faculty Lounge project is \$1.4 million.

Funding Plan: This project will be constructed using Auxiliary Services funds.

Project Location: The location for the lounge will be in the east courtyard of Lowder Hall. See attachment A.

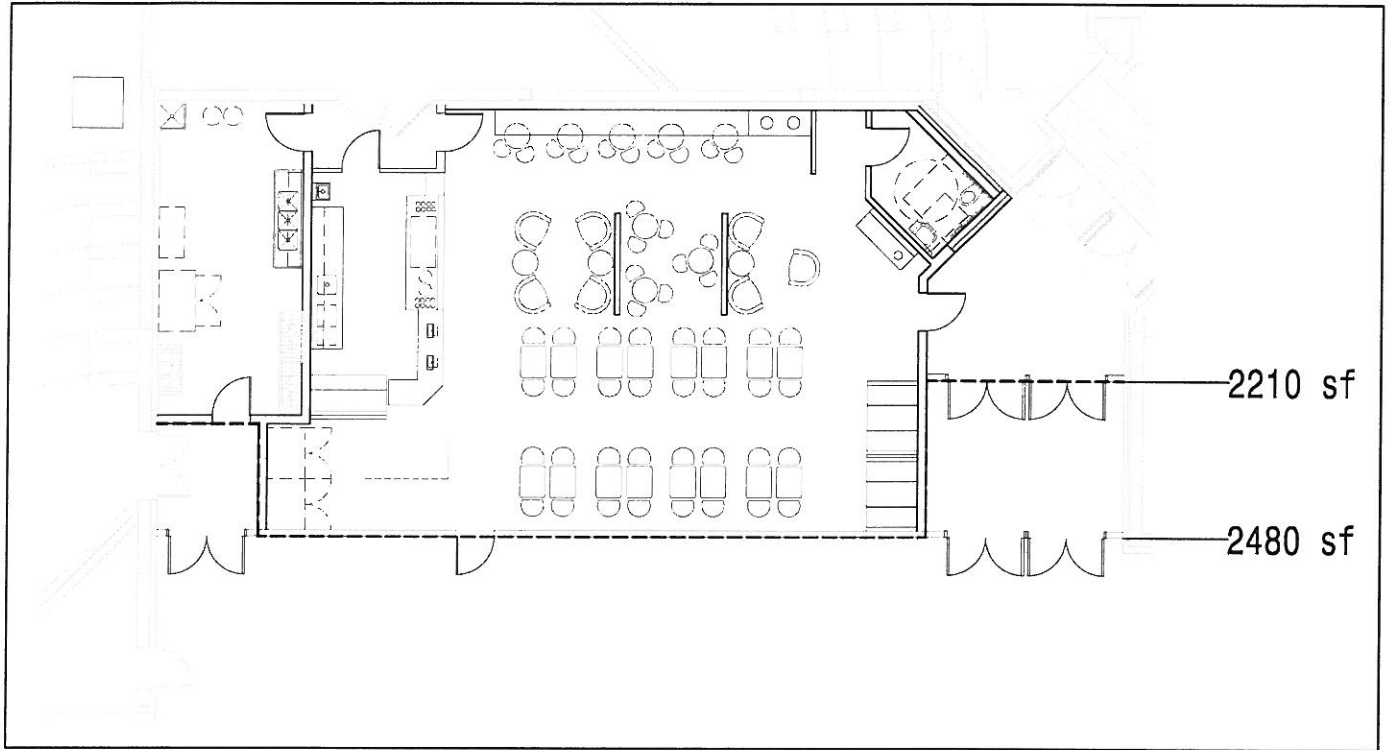
If you concur, it is proposed that the facility program, budget, funding plan, site, and schematic design be presented to the Board of Trustees for consideration of an appropriate resolution for approval at the meeting scheduled for June 21, 2013.

Rationale for Recommendation:

Standing policy stipulates that project and plan development are limited to the schematic design phase until the facility program, site, budget and funding plan are approved by the Board. Board approval is necessary to allow advancement of the project.

Attachment A

Proposed Floor Plan of Lowder Student and Faculty Lounge



PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

ADMINISTRATIVE SUPPORT COMPLEX RENOVATION AT BRUNO'S PROPERTY

APPROVAL OF PROJECT INITIATION;
AUTHORIZATION TO COMMENCE THE ARCHITECT SELECTION PROCESS;
AND DESIGNATION AS AN EXPEDITED PROJECT

WHEREAS, the Board of Trustees, during their meeting of November 18, 2011, approved the purchase of the Bruno's property on East Glenn Avenue based on the strategy to increase the effectiveness of the campus academic core through the provision of a site for the relocation of certain units located in the core that do not require a core location and/or to provide a location for the consolidation of off-campus leased space; and

WHEREAS, subsequent to that approval, Auburn University purchased the Bruno's property; and

WHEREAS, the University administration proposes to renovate the Bruno's property and relocate the University's Treasury Services and Foundation Accounting and Human Resources Department to Bruno's, creating an Administrative Support Complex off campus; and

WHEREAS, these relocations would save the University the lease costs being paid at the South College Street (Treasury Services and Foundation Accounting) and Gay Street (Human Resources Training) office complexes where these functions are currently located, and would allow for the relocation of Human Resources out of Foy Hall to an off-campus location making the Foy Hall space available for functions that directly support students; and

WHEREAS, designation of this project as an expedited project would allow for the completion of the design, bidding, and construction by the summer of 2014; and

WHEREAS, the proposed project is expected to cost in excess of \$750,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$750,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Administrative Support Complex Renovation at Bruno's Property is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the selection process of a project architect, and designate this renovation as an expedited project.



TO: JAY GOGUE, President
THROUGH: DONALD L. LARGE, Executive Vice President
FROM: DAN KING, Assistant Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**ADMINISTRATIVE SUPPORT COMPLEX RENOVATION AT BRUNO'S
PROPERTY: APPROVAL OF PROJECT INITIATION; AUTHORIZATION
TO COMMENCE THE ARCHITECT SELECTION PROCESS; AND
DESIGNATION AS AN EXPEDITED PROJECT**

DATE: MAY 29, 2013

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 21, 2013.

Proposal:

Consistent with standing policy, it is proposed that the Administrative Support Complex Renovation at the Bruno's Property project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will: (1) approve the initiation of the project, (2) authorize the commencement of the architect selection process, and (3) designate this renovation as an expedited project.

Review and Consultation:

At its meeting on November 18, 2011, the Board of Trustees approved the purchase of the Bruno's property on East Glenn Avenue. The rationale for this purchase was to "increase the effectiveness of the campus academic core through the provision of a site for the relocation of certain units located in the core that do not require a core location; and/or provide a location for the consolidation of off-campus leased space." Subsequent to that approval, Auburn University purchased the Bruno's property.

Since the purchase, numerous options have been considered regarding how to best utilize the Bruno's property. The plan the University administration proposes would relocate Treasury Services and Foundation Accounting, and the University Human Resources Department to Bruno's, creating an Administrative Support Complex off campus. In addition to those two functions, additional space would be set aside for storage or future build out. These relocations would save the University lease costs at the South College Street (Treasury Services and Foundation Accounting) and Gay Street (Human Resources

Training) office complexes where these functions are currently located. Additionally, it will allow for the relocation of Human Resources out of Foy Hall to an off-campus location, making the Foy Hall space available for functions that directly support students. The proposed renovation would create office and storage space in the existing Bruno's facility. One possible use for the storage space is to relocate Library material to the Bruno's complex, thus allowing the Library to create more student study space.

The Administrative Support Complex Renovation at the Bruno's Property project is expected to cost in excess of \$750,000 and Board of Trustee policy stipulates that all construction and renovation/adaptation, infrastructure, or outdoor facility projects with budgets of \$750,000 or more, must be submitted to the Board through the Property and Facilities Committee for consideration and action. It is, therefore, appropriate that the project be submitted.

The proposal being made to the Board of Trustees is to (1) approve the initiation of the project, (2) authorize the commencement of the architect selection process, and (3) designate this renovation as an expedited project. The request to designate this renovation as an expedited project will allow for the architect selection to be authorized prior to the September 2013 Board of Trustee meeting so that the design work can be started this summer. This timeline is required to obtain schematic design approval by the Board of Trustees in September 2013, rather than at the November meeting, thus enabling the design, bidding, and construction to be completed by Summer 2014.

If you concur, it is recommended that the proposal be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action as requested.

Rationale for Recommendation:

Standing policy of the Board of Trustees stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$750,000 or more, be submitted to the Board of Trustees for action.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

AUBURN MAIN CAMPUS - CHURCH OF CHRIST LAND EXCHANGE

WHEREAS, Auburn University owns certain real property known as the Pitts Property located at 724 South College Street that consists of approximately 1.89 acres located in the City of Auburn; and

WHEREAS, adjacent landowner, Church of Christ, owns the four parcels of land immediately North of Auburn University's property, more particularly two residential lots consisting of 2.24 acres adjacent to the Donald E. Davis Arboretum; and

WHEREAS, a land exchange arrangement is being considered that involves 1.89 acres of land owned by Auburn University being exchanged for 2.24 acres of land owned by Church of Christ; the fair market values of the parcels have been determined by MAI appraisal; the value of the parcel received equals or exceeds the value of the property conveyed, and both land owners are agreeable to the exchange; and


WHEREAS, the proposed land exchange is consistent with the Real Property Exchange Procedure that was adopted by the Board of Trustees at its meeting on June 17, 2005.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such persons as may be acting as President, be and the same is hereby authorized and empowered to:


1. Take such action as necessary and desirable to exchange 1.89 acres of Auburn University property labeled Parcel A for 2.24 acres of Church of Christ property labeled Parcel B as indicated on Attachment A; and
2. Execute such agreements as may be necessary to complete this transaction with the understanding that all instruments required for consummation of the land exchange be reviewed as to form by legal counsel for Auburn University.



TO: JAY GOGUE, President

THROUGH: DONALD L. LARGE, Executive Vice President 

THROUGH: DAN KING, Assistant Vice President for Facilities

FROM: MARK STIRLING, DIRECTOR OF REAL ESTATE 

SUBJECT: PROPERTY AND FACILITIES COMMITTEE
**REAL ESTATE EXCHANGE BETWEEN CHURCH OF
CHRIST AND AUBURN UNIVERSITY MAIN CAMPUS
AUBURN, ALABAMA**

DATE: MAY 16, 2013

This is to request that the following item be presented to the Board of Trustees through the Property and Facilities Committee and be included on the agenda of the meeting scheduled for June 21, 2013.

Proposal:

It is proposed that approximately 1.89 acres of land owned by the Auburn University at 724 S. College St (Pitts Property) be exchanged for 2.24 acres of land owned by Church of Christ at 610 S. College St and 628 S. College St.

Review and Consultation:

Auburn University purchased the Pitts Property in 2006 as a potential site for a connector road for Lem Morrison Drive. The University subsequently moved in a different direction and does not have a planned use at this time.

Church of Christ has approached the University with a proposal to exchange property on S. College. The Church is planning to expand their sanctuary and their plans would be easier accomplished if the proposed expansion were to occur on the Pitts Property. The property owned by the Church is less than 1/4 of a mile north of the Pitts Property. Both the Pitts Property and the Church of Christ property are contiguous with Auburn University's main campus.

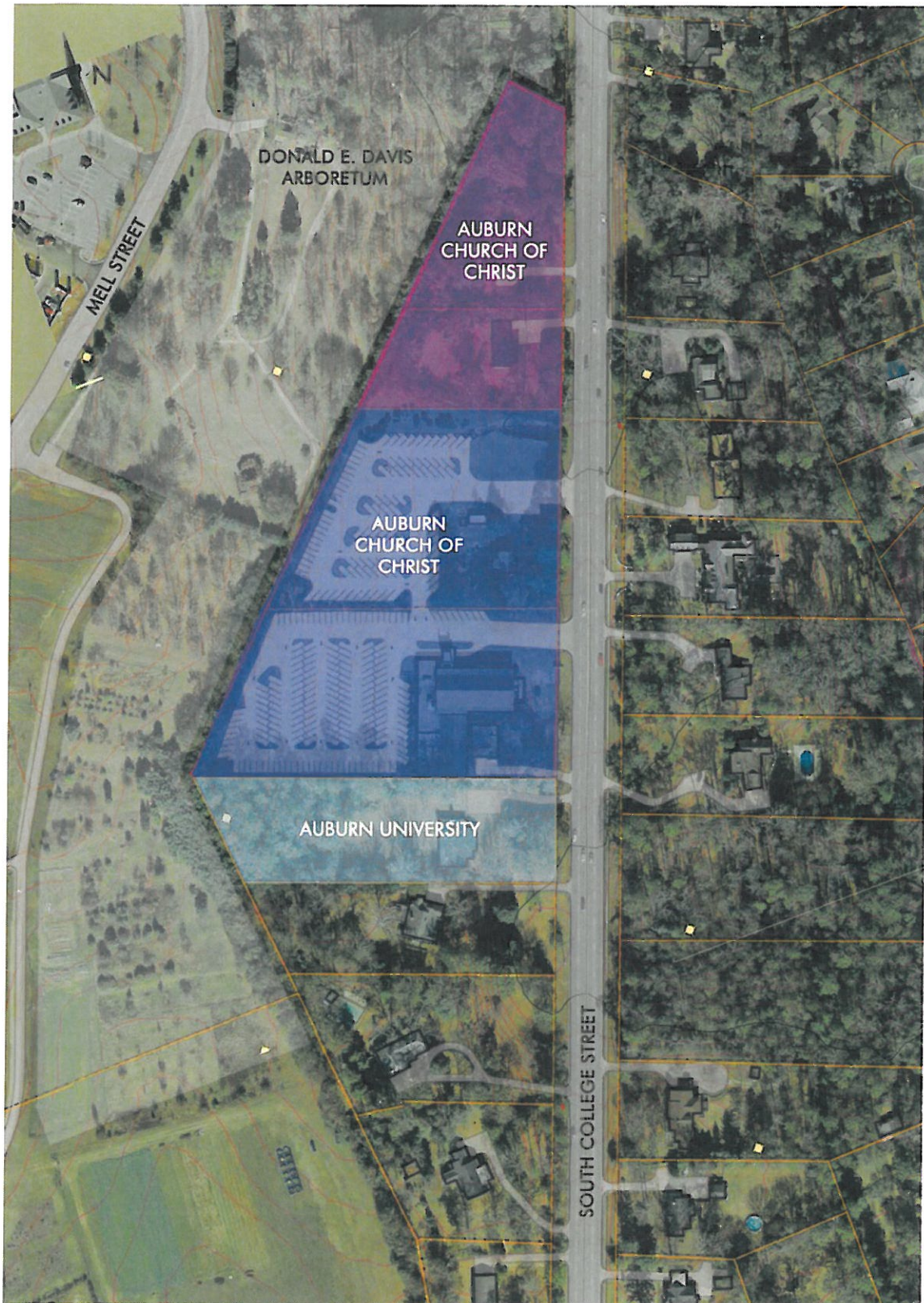
The 1.89 acres of Auburn University property proposed in the exchange is not presently under active use and there is no current future plan. The 2.24 acres of Church property,

which sits adjacent to the Donald E. Davis Arboretum, would provide better opportunities for development than the Pitts Property. The exchange property could be a potential Welcome Center, an expansion of the Arboretum, potential Arboretum office, additional Arboretum parking, Auburn University Green Space, or merely a buffer from off campus development.

The Board of Trustees Real Estate Exchange Policy at Section D.8 allows for the exchange of property when it is in the best interest of the University. The exchange will benefit the University, in that it provides Auburn University with future use opportunities that are not available at the Pitts Property. Consistent with Board Policy, the property offered for exchange has been appraised by an MAI appraiser. The value of the 2.24 acres was appraised at \$280,000. The value of the Church site is slightly higher than the University parcel because it is larger than Auburn University's property. Auburn University's 1.89 acres of property was valued at \$267,000. No money will be paid or received by the University.

Rationale for Recommendation:

The proposed exchange of real of estate allows the University more flexibility for future use. Board policy outlining the procedure for the exchange of real estate has been followed in establishing the fair market value of the property. The value of the property to be exchanged is greater as determined by an MAI appraiser. It is, therefore, recommended that the offer to exchange property with the Church of Christ be accepted.



AERIAL VIEW



AUBURN UNIVERSITY

OFFICE OF THE PROVOST
AND VICE PRESIDENT FOR
ACADEMIC AFFAIRS

May 15, 2013

MEMORANDUM TO: Jay Gogue
President

FROM: Timothy R. Boosinger
Provost and Vice President for Academic Affairs

SUBJECT: Academic Affairs and Executive Committee Agenda Items for the
June 2013 Board of Trustees Meeting

Please find attached 8 items for your consideration and approval to be added to the Academic Affairs Committee and the Executive Committee agendas for June 21, 2013 Board of Trustees Meeting. These items include:

1. Proposed Option in Visual Media in the Bachelor of Arts in Mass Communication (Radio/Television/Film)
2. Proposed Option in Hotel and Restaurant Management in the Master of Science in Nutrition
3. Proposed School Designation for the Department of Communication and Journalism
4. Proposed School Designation for the Department of Fisheries and Allied Aquacultures
5. Proposed School Designation for the Department of Industrial and Graphic Design
6. Proposed School Designation for the Department of Kinesiology
7. Annual Forest Lands Report/Solon Dixon Forestry Education Center (Information Only)

Executive Committee

8. Request to Rename the Agricultural Engineering Annex as the Biological Engineering Research Laboratory

Should you have any questions regarding these items prior to next week's Pre-Cabinet meeting, please do not hesitate to contact my office.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

PROPOSED FOREST PRODUCTS TRAINING CENTER LEASE BETWEEN THE SCHOOL OF FORESTRY AND WILDLIFE SCIENCES, ALABAMA AGRICULTURAL EXPERIMENT STATION OF AUBURN UNIVERSITY AND CATERPILLAR, INCORPORATED

WHEREAS, the School of Forestry and Wildlife Sciences, Auburn University and Caterpillar, Inc. have partnered for the past thirteen (13) years in the conduct of educational programs and research activities in the proper use and management of forest harvesting machinery; and

WHEREAS, the North Auburn Unit of the Alabama Agricultural Experiment Station is used for Forestry Research activities; and

WHEREAS, Caterpillar, Inc. has proposed to develop and implement a Forest Products Training Center on the assigned forestry research lands of the North Auburn Unit at no cost to the University; and

WHEREAS, Caterpillar, Inc. would utilize the area for demonstration of the operation of forest harvesting machines and allow the use of these machines for educational coursework, research, and outreach by Auburn University students and faculty; and

WHEREAS, the proposed lease is mutually beneficial to Auburn University, Caterpillar, Incorporated, and to the citizens of Alabama; and

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that this project will enhance the operation of the institution and is in the best interest of the institution and that the Forest products Training Center Lease for the property detailed in Exhibit A is hereby approved and that G. Jay Gogue, President, or such person as may be acting as President, be and the same is hereby authorized and empowered to execute the Agreement on behalf of Auburn University.



Caterpillar Inc.

100 NE Adams Street
Peoria, Illinois 61629

REC'D MAY 17 2013

May 14, 2013

James P. Shepard, Ph.D.
Dean and Professor
School of Forestry & Wildlife Sciences
Auburn University
3301-D Forestry & Wildlife Science Bldg.
Auburn, AL 36849-5418

Dear Dr. Shepard:

Enclosed are two execution originals of the Amendment to Lease which has been signed on behalf of Caterpillar. Once you have obtained approval of the amended lease by Auburn's Board of Trustees at their June 21 meeting, please have both originals signed on behalf of the University and return one fully executed version to me, retaining the other original for your files.

Sincerely,

A handwritten signature in cursive script that reads "Leslie Harris".

Leslie M. Harris
Real Estate Consultant

Caterpillar Inc.
100 NE Adams Street
Peoria, IL 61629-9320
Phone: 309-675-6261
e-mail: harris_leslie_m@cat.com

AMENDMENT 5 TO LEASE

This Amendment 5 to Lease (this "Amendment"), is effective as of _____, 2013, by and between Auburn University, an Alabama public corporation and instrumentality of the State ("Lessor" or "University"), and Caterpillar Inc., a Delaware corporation ("Lessee").

RECITALS:

WHEREAS, Lessor and Lessee are parties to that certain Two Year Lease dated June 24, 2004, as subsequently amended and extended by the parties (the "Lease"), pursuant to which Lessee leases the Premises and use of the Access Road from Lessor; and

WHEREAS, Lessor and Lessee desire to enter into this Amendment for the purpose of extending the term, and otherwise amending the Lease as provided herein.

NOW, THEREFORE, in consideration of the mutual covenants contained herein, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Lessor and Lessee agree as follows:

AGREEMENT:

1. **Recitals; Defined Terms.** The above recitals are true and correct and are agreed to by Lessor and Lessee as if such recitals were fully set forth herein. All undefined capitalized terms in this Amendment shall have the same meaning as such terms have in the Lease, unless otherwise noted herein.
2. **Amendments.**
 - a. Section 11 of the Lease is hereby amended as follows:

The term of the Lease shall be extended for an additional one (1) year period commencing on June 24, 2013 and terminating on June 23, 2014. The Lease may subsequently be renewed for five (5) additional one (1) year terms by mutual consent of the parties, upon the same terms and conditions as provided in the Lease. Lessee shall give written notice to Lessor of its desire to extend the Lease no later than ninety (90) days prior to expiration of the then-current term.
 - b. Exhibit B to the Lease shall be deleted in its entirety and replaced by the attached Exhibit B.
 - c. Exhibit E to the Lease shall be deleted in its entirety and replaced by the attached Exhibit E.
3. **Miscellaneous Provisions.**
 - a. Entire Agreement. All preliminary and contemporaneous negotiations are merged into and incorporated in this Amendment. The Lease, together with this Amendment, contains the entire agreement between the parties and shall not be modified or amended in any manner except by an instrument in writing executed by the parties hereto.

- b. Lessee Representations and Warranties. Lessee hereby represents and warrants to Lessor that: (i) Lessee is a corporation duly organized, validly existing, and in good standing under the laws of the State of Delaware, (ii) this Amendment has been duly authorized, executed, and delivered by Lessee, and (iii) this Amendment is binding in all respects on Lessee.
- c. Lessor Representations and Warranties. Lessor hereby represents and warrants to Lessee that: (i) Lessor is a corporation duly organized, validly existing, and in good standing under the laws of the State of Alabama, (ii) this Amendment has been duly authorized, executed, and delivered by Lessor, and (iii) this Amendment is binding in all respects on the Lessor.
- d. Counterparts. This Amendment may be executed in one or more counterparts, and by different parties hereto on separate counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument.
- e. Ratification of Lease. All terms and conditions of the Lease as hereby amended are ratified and reaffirmed in their entirety. Except as hereby amended, the Lease shall remain unchanged in full force and effect. If there is any conflict between the terms of the Lease and the terms of this Amendment, this Amendment shall control.

IN WITNESS WHEREOF, each of the parties hereto has caused this Amendment to be duly executed as of the day and year first above written.

LESSOR:

AUBURN UNIVERSITY

By: _____

Its: _____

LESSEE:

CATERPILLAR INC.

By: Martin A. Collier

Its: _____

EXHIBIT B

Site Map with Access Road

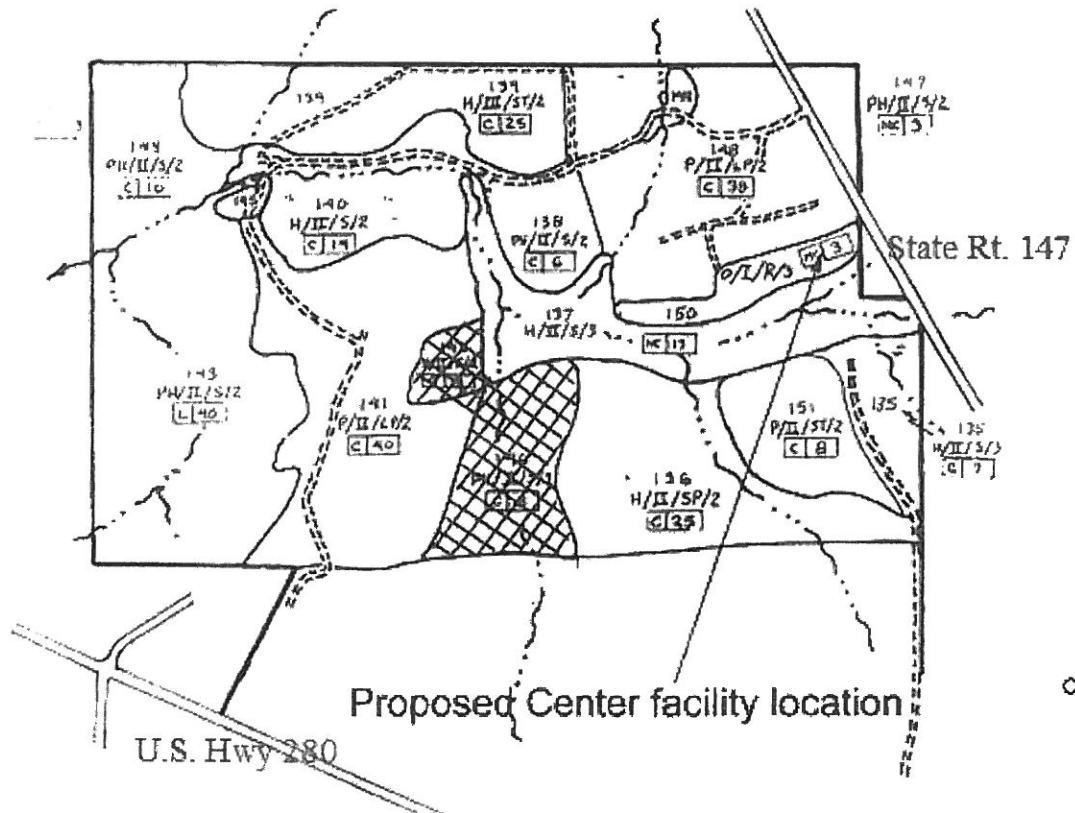
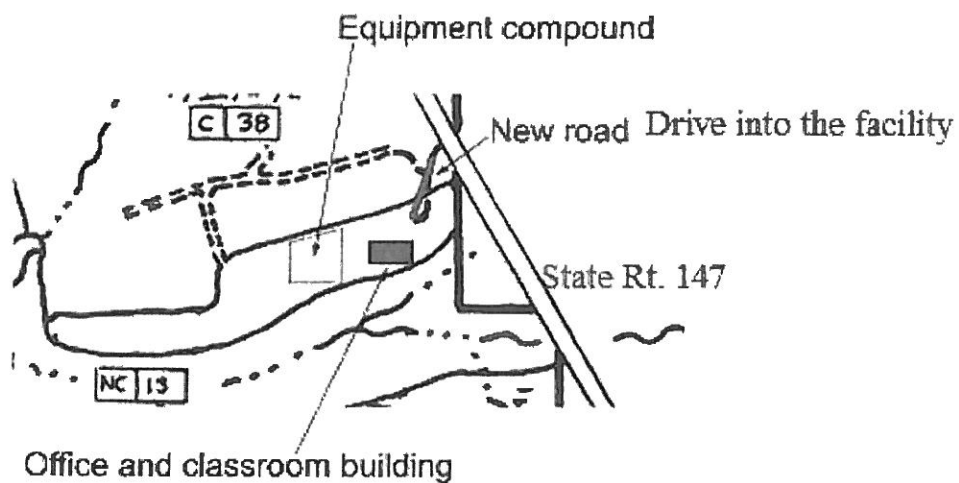


Figure 1. Map of North Auburn Unit Compartment 1. Site location is the 3 acre open field, stand number 150.



BT

EXHIBIT E

List of Cat Equipment On Site

1. Cat 525C Wheel Skidder
2. Cat 559C Log Loader
3. Cat 563C Wheel Feller Buncher

Equipment on site may vary from time to time subject to availability.

BT



SCHOOL OF FORESTRY AND
WILDLIFE SCIENCES

OFFICE OF THE DEAN

Memo To: G. Jay Gogue
President

Through: Timothy R. Boosinger *Tim R. Boosinger*
Provost and VP Academic Affairs

From: James P. Shepard *James P. Shepard*
Dean

Date: May 29, 2013

Subject: Amendment of lease of North Auburn Unit forest parcel to
Caterpillar, Inc.

Proposal:

Caterpillar, Inc. has requested an amendment to extend an existing lease of a small parcel (4 acres) in the portion of the North Auburn Unit assigned for forestry research. The lease was initiated June 24, 2004, and has been extended annually thereafter. The amendment extends the current lease for an additional year from June 24, 2013 through June 23, 2014, and specifies that the lease may be renewed an additional five one-year terms by mutual consent. Enclosed are two copies of the proposed Lease Renewal Request to be executed by Auburn University.

Caterpillar has constructed a modular building on the property and uses it as a company training facility to support its Forestry Division, the world headquarters of which is located in LaGrange, GA. Auburn University benefits from this arrangement through use of Caterpillar equipment in a forest engineering class. Auburn also benefits by having Caterpillar perform gratis custom timber harvesting of small tracts on the North Auburn Forest that would otherwise be commercially infeasible. This is beneficial in managing small tracts created by ongoing research projects. In addition the Caterpillar building is used for extension and outreach events.

Review and Consultation:

Auburn University and Caterpillar, Inc. have been involved in the Forest Products Training Center for the past thirteen years. This is a unique partnership between a major forestry equipment manufacturer and Auburn University. It is of mutual benefit to both parties.

The amendment of the existing lease agreement for up to six years has been reviewed by Lee Armstrong, General Counsel and the Caterpillar attorney.

It is therefore proposed that the Board of Trustees, upon receiving favorable endorsement of the Property and Facilities Committee, consider approval of the lease of the described portion of the North Auburn Unit Research Forest to Caterpillar, Inc. for the continuation of the Forest Products Training Center.

3301 FORESTRY AND
WILDLIFE SCIENCES BUILDING
AUBURN, AL 36849-5418

TELEPHONE:
334-844-1004

FAX:
334-844-1084

Memo to: G. Jay Gogue
From: James P. Shepard
May 29, 2013
Page two (2)

Rationale for Recommendation:

Favorable action by the Board directed toward this proposal will accomplish the following objectives:

1. Contribute to the accomplishment of the teaching, research and outreach missions of Auburn University (AU) and the School of Forestry and Wildlife Sciences.
2. Provide access to specialized forest harvesting equipment for AU faculty to present instruction to students in the forestry curriculum, and professionals in the timber harvesting industry.
3. Provide state-of-the-art and technologically appropriate classrooms for use of the faculty when teaching on the FPTC site.
4. Provide state-of-the-art facilities for the extension and professional outreach programs of the School, thus directly serving the needs of the citizens of Alabama.
5. Address the needs of forest research faculty for specialized silvicultural treatments on the research forest.
6. Income generated from the timber harvested will be used to conduct and support research in the School of Forestry and Wildlife Sciences.

Therefore, in accordance with policies of the Board of Trustees, it is requested that the Property and Facilities Committee endorse an appropriate resolution for consideration by the Board of Trustees that will approve the lease of approximately 4 acres on the North Auburn Unit Research Forest to Caterpillar, Inc.

C: Bill Batchelor
Dan King
Steve Taylor



TO: JAY GOGUE, President
THROUGH: DONALD L. LARGE, Executive Vice President
FROM: DAN KING, Assistant Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE
**CURRENT STATUS OF NEW CONSTRUCTION/RENOVATION/
INFRASTRUCTURE PROJECTS WITH BUDGETS OF \$750,000
AND GREATER**
(For Information Only)
DATE: MAY 29, 2013

This is to request that the following proposal be submitted to the Property and Facilities Committee and included on the agenda of the Board of Trustees meetings scheduled for June 21, 2013.

Proposal:

Consistent with standing practice, it is proposed that the current status report of new construction/renovation/infrastructure projects with budgets greater than \$750,000 be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

Review and Consultation:

The Board of Trustees at its meeting on June 4, 2001, requested that it receive a regular update on the status of new projects that are underway or planned which have project budgets of \$750,000 or more. The attached list includes projects at Auburn University and outlying units.

Rationale for Recommendation:

Consistent with the request of the Board of Trustees for a current status report of new construction/renovation/infrastructure projects with budgets greater than \$750,000, the attached listing is provided, *for information only*, to the Board through the Property and Facilities Committee for inclusion on the agenda of the meetings scheduled for June 21, 2013.

Data as of 05/10/2013

Auburn University Facilities Division
 Current Capital Projects
 (Spending across Multiple Years)
Summary of Cash Flow by Project Phase

<i>Project Phase</i>	<i>Previous Approved Budget Amount</i>	<i>Current Approved Budget Amount</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Cash Flow Assuming Remainder of Budget for FY2013</i>	<i>Estimated Cash Flow Assuming Remainder of Budget for FY2014 & Forward</i>
Substantial Completion	136,401,007	139,996,000	129,562,321	9,687,790	600,000 *
Construction	276,884,150	272,424,150	177,108,409	52,765,741	42,550,000
Design	10,966,320	10,966,320	2,502,515	4,225,066	4,238,739
Planning	15,307,620	15,307,620	1,359,144	1,448,476	12,500,000
Totals	439,559,097	438,694,090	310,532,389	68,127,073	59,888,739 *
Other Open Capital Projects	46,078,969	39,108,620	22,945,669	6,000,000	10,162,951
Grand Totals	485,638,066	477,802,710	333,478,058	74,127,073	70,051,690 *

* On the lines with the asterisks, the sum of the spending column and both cash flow columns does not equal the current approved budget figure. The reason relates to one project that was completed under budget: (1) "Multipurpose Indoor Practice Facility - New Building" project under budget by \$145,889.

Current Capital Projects

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	(A)		AU Bond Funding	Federal/ State or Local Funding	(B)		Estimated Cash Flow Assuming Remainder of Budget for FY2014 & Forward	(C)		(A)-(B)-(C)
			Current Approved Budget Amount	AU Funding (includes gifts/grants)			Actual Spending to Date (across multiple years)	Estimated Cash Flow Assuming Remainder of Budget for FY2013		Current Encumbrances Against Project	Current Open Balance (Budget less Actuals and Encumbrances)	
SUBSTANTIAL COMPLETION PHASE												
Multipurpose Indoor Practice Facility - New Building (include Tatum Ctr Renovation) 10-126 & 10-138	Completed	16,500,000	16,500,000	1,264,646	15,235,354		16,354,111	0	0	0		145,889
Auburn-Opelika Robert G. Pitts Airport - Terminal Bldg 08-196	Substantial Completion	6,500,000	6,500,000			6,500,000	6,180,292	319,708	0	85,144		234,564
MRI Research Center - New Building 09-098	Substantial Completion	11,201,000	11,201,000			11,201,000	10,986,200	214,800	0	201,643		13,157
Information Technology Center 09-118	Substantial Completion	14,000,000	24,000,000		19,092,271	4,907,729	19,616,772	4,383,228	0	24,047		4,359,181
Transportation Technology Phase 2 04-111	Substantial Completion	49,500,000	52,545,000	18,107,515		34,437,485	50,707,074	1,837,926	0	30,500		1,807,426
Campus Pedestrian Improvements - Tiger Concourse - Ginn Plaza 08-116	Substantial Completion	2,500,000	2,500,000	548,000	1,952,000		2,426,783	73,217	0	2,760		70,457
Student Recreation (Kinesiology) - New Building 09-220	Substantial Completion	21,600,000	21,600,000		21,600,000		18,592,823	2,507,177	500,000	836,195		2,170,982
Biodiversity Learning Center (Previously named COSAM- Preserved Specimens Laboratory) 06-010	Substantial Completion	2,650,000	3,500,000		3,500,000		3,205,044	194,956	100,000	230,893		64,063
Solon Dixon Forestry Education Ctr - New Conference Center 11-062	Substantial Completion	1,650,000	1,650,000	1,650,000			1,493,222	156,778	0	84,332		72,446
Total Substantial Completion			139,996,000	21,570,161	61,379,625	57,046,214	129,562,321	9,687,790	600,000	1,495,514		8,938,165

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	(A)		AU Bond Funding	Federal/ State or Local Funding	(B)		Estimated Cash Flow Assuming Remainder of Budget for FY2014 & Forward		(C)		(A)-(B)-(C) Current Open Balance (Budget less Actuals and Encumbrances)
			Current Approved Budget Amount	AU Funding (includes gifts/grants)			Actual Spending to Date (across multiple years)	Estimated Cash Flow Assuming Remainder of Budget for FY2013			Current Encumbrances Against Project		
CONSTRUCTION PHASE													
Small Animal Teaching Hospital (09-083.1 Ph I, 09-083 Ph II)	Construction	70,000,000	74,000,000	24,000,000	40,000,000	10,000,000	36,984,311	15,015,689	22,000,000		31,255,121		5,760,568
Facilities Buildings 6, 7 and Related Projects 07-054 & 10-149	Construction	7,500,000	7,500,000	4,323,980		3,176,020	5,859,246	1,340,754	300,000		1,472,316		168,438
Student Recreation & Wellness Center Building (07-225)	Construction	72,000,000	72,000,000	5,000,000	67,000,000		60,863,798	7,136,202	4,000,000		7,860,263		3,275,939
ARRA - Center for Advanced Science, Innovation, and Commerce (NIST) 09-218	Construction	29,834,150	29,834,150	1,307,075		28,527,075	18,678,219	10,155,931	1,000,000		8,858,407		2,297,524
South Donahue Residence Hall, Dinning, Biggio Parking Facility 10-217	Construction	61,500,000	75,200,000	6,600,000	68,600,000		50,178,641	13,021,359	12,000,000		15,318,209		9,703,150
Telfair Peet Theatre Building Addition 09-204	Construction	3,300,000	3,990,000	3,990,000			347,811	2,142,189	1,500,000		133,041		3,509,148
Biological Engineering Research Laboratory (BERL) 09-181	Construction	6,400,000	6,400,000	1,776,992		4,623,008	3,746,497	1,903,503	750,000		2,162,881		490,622
Olympic Sport Training & Support Facility Building Renovations 11-131	Construction	3,500,000	3,500,000		3,500,000		449,886	2,050,114	1,000,000		1,148,257		1,901,857
Total Construction			272,424,150	46,998,047	179,100,000	46,326,103	177,108,409	52,765,741	42,550,000		68,208,495		27,107,246

Current Capital Projects

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Page 357 of 41

Project Name	Project Phase	Original Approved Budget Amount	(A)		AU Bond Funding	Federal/ State or Local Funding	(B)		Estimated Cash Flow Assuming Remainder of Budget for FY2014 & Forward	(C)	(A)-(B)-(C)
			Current Approved Budget Amount	AU Funding (includes gifts/grants)			Actual Spending to Date (across multiple years)	Estimated Cash Flow Assuming Remainder of Budget for FY2013			
DESIGN PHASE											
Ag Heritage Park 98-333	Construction and Design Future Projects	2,666,320	2,666,320	2,666,320			1,427,581	100,000	1,138,739	0	1,238,739
Pebble Hill Renovation 06-176	Design	2,500,000	2,500,000	2,500,000			387,211	1,362,789	750,000	39,947	2,072,842
Plainsman Park - Team Support Facilities Renovations (12-073)	Design	1,200,000	1,200,000	1,200,000			81,245	818,755	300,000	34,397	1,084,358
Lowder Hall - East Courtyard, New Student Lounge (12-013)	Design	1,000,000	1,000,000	1,000,000			9,716	690,284	300,000	82,412	907,872
Installation of Hot Water Lines for the Athletic and Recreation Sector of Campus	Design	2,800,000	2,800,000	1,300,000	1,500,000		43,246	1,006,754	1,750,000	13,118	2,743,636
Hill Residence Halls - Building Renovations & Upgrades 10-155	Design (Partial budget only at this point)	800,000	800,000		800,000		553,516	246,484	0	117,720	128,764
Total Design			10,966,320	8,666,320	2,300,000	0	2,502,515	4,225,066	4,238,739	287,594	8,176,211

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	(A)		AU Bond Funding	Federal/ State or Local Funding	(B)		Estimated Cash Flow Assuming Remainder of Budget for FY2013	Estimated Cash Flow Assuming Remainder of Budget for FY2014 & Forward		(C)	(A)-(B)-(C)
			Current Approved Budget Amount	AU Funding (includes gifts/grants)			Actual Spending to Date (across multiple years)	Current Encum- brances Against Project				Current Open Balance (Budget less Actuals and Encum- brances)	
PLANNING PHASE													
Center for Equine Science and Equestrian Performance 05- 103	Planning	9,000,000	9,000,000	4,500,000		4,500,000	233,951	366,049	8,400,000			0	8,766,049
WW Walker Bldg Phase II - Pharmaceutical Research & Development Ct 07-037	Planning (Partial budget only at this point)	2,807,620	2,807,620	2,807,620			599,480	408,140	1,800,000			1,241,985	966,155
Central Classroom Facility - New Building 11-209	Planning (Partial budget only at this point)	3,500,000	3,500,000		3,500,000		525,713	674,287	2,300,000			503,592	2,470,695
Total Planning			15,307,620	7,307,620	3,500,000	4,500,000	1,359,144	1,448,476	12,500,000			1,745,577	12,202,899
Other Open Capital Projects	Various Stages		39,108,620	32,950,175	6,064,912	93,533	22,945,669	6,000,000	10,162,951			5,940,607	10,222,344
GRAND TOTAL			477,802,710	117,492,323	252,344,537	107,965,850	333,478,058	74,127,073	70,051,690			77,677,787	66,646,865

* On the lines with the asterisks, the sum of the spending column and both cash flow columns does not equal the current approved budget figure. The reason relates to one project that was completed under budget: (1) "Multipurpose Indoor Practice Facility - New Building" project under budget by \$145,889.



TO: JAY GOGUE, President
THROUGH: DONALD L. LARGE, Executive Vice President
FROM: DAN KING, Assistant Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**QUARTERLY REPORT FOR PROJECTS COSTING MORE THAN
\$500,000 BUT LESS THAN \$750,000 – 3rd QTR FISCAL YEAR 2013**
(For Information Only)

DATE: MAY 29, 2013

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 21, 2013.

Proposal:

The Board of Trustees, at its meeting on June 4, 2001, adopted a resolution stipulating that all projects with a total cost in the range of \$500,000 to \$750,000 be reported quarterly. The intent of this report is to keep the Property and Facilities Committee informed of those projects as they are occurring on campus. Consistent with standing policy, it is proposed that this report be submitted for information only.

Review and Consultation:

Projects completed in the 3rd Quarter of Fiscal Year 2013 and costing more than \$500,000 but less than \$750,000 are listed on the following table.

3rd Quarter FY 2013 Projects \$500,000 - \$750,000	Project Number	Account Number	Cost
AU Hotel & Dixon Conference Center – Ariccia Restaurant Interior Renovations	12-070	922017102048P100	\$583,550

Rationale for Recommendation:

Consistent with standing policy, this report is submitted to the Board of Trustees through the Property and Facilities Committee for information at the meeting scheduled for June 21, 2013.



TO: JAY GOGUE, President
THROUGH: DONALD L. LARGE, Executive Vice President
FROM: DAN KING, Assistant Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE
PROJECT STATUS REPORT
DATE: MAY 29, 2013

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 21, 2013.

Proposal:

In response to informal inquiries with regard to particular projects in progress or envisaged, it is proposed that a brief report regarding the status of Board of Trustees approved projects be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

Review and Consultation:

The responsibility of the Board of Trustees extends to the oversight of funding, planning, design, construction, maintenance and operation of University buildings and facilities. The date of project establishment in the development process is often separated by years from the date of dedication. Although the process is prolonged, Board interest remains enthusiastic throughout. This Project Status Report is intended to inform interested parties of the status of projects previously approved by the Board of Trustees.

Rationale for Recommendation:

The desire to be responsive to inquiries regarding specific capital projects and the process from conception to completion has prompted the development of a Project Status Report. The current memo is provided, *for information only*, to the Board of Trustees through the Property and Facilities Committee for inclusion on the agenda of the meeting scheduled for June 21, 2013.

PROJECT/PHASE

STATUS

Projects in Planning Stage:

- Equine Studies – New Facilities
- Equine Plasma Storage Building
- Band Rehearsal Hall Phase 2
- Wire Road Widening and Realignment

On hold pending funding
On hold pending funding
Approved September 2009
Approved April 2011

Projects in Design Stage:

- Pharmaceutical Research & Development Center
- Renovations to the Hill and CDV Residence Halls
- Central Classroom Facility
- Relocation of Sports Medicine and Other Team Functions From the Coliseum
- Construct Faculty and Staff Lounge

Approved June 2007
Approved June 2010
Approved June 2011
Approved February 2012

Approved April 2012

Schematic Design Approved:

- Hot Water Lines for the Athletic/Recreation Sector

Approved June 2012

Projects in Construction Stage:

- Telfair Peet Theatre Addition
- Center for Adv. Science, Innovation & Commerce
- Small Animal Teaching Hospital – Phase II
- Student Wellness and Sustainability Center
- Facilities Division Buildings VI & VII
- Biological Engineering Research Laboratory
- Student Residence Hall at West Samford & Donahue
- AUM: Student Residence Hall
- Watson Field House Renovation
- Pebble Hill Renovation & Addition
- Auburn Wellness Kitchen
- Renovation of Plainsman Park Locker Rooms

Approved November 2009
Approved September 2009
Approved February 2010
Approved June 2010
Approved June 2010
Approved November 2010
Approved February 2011
Approved April 2011
Approved April 2011
Approved November 2007
Approved November 2011
Approved April 2012


Projects in Closeout Stage or Complete:

- Small Animal Teaching Hospital – Phase I
- AUM Wellness Center
- COSAM – Biodiversity Learning Center
- Department of Kinesiology Building
- Parking and Transportation Facility at Biggio Drive
- Solon Dixon Forestry Education Center Classroom

Approved Feb 2010
Approved June 2010
Approved November 2006
Approved September 2010
Approved February 2011
Approved April 2011



TO: JAY GOGUE, PRESIDENT
THROUGH: DONALD L. LARGE, EXECUTIVE VICE PRESIDENT
FROM: MARK STIRLING, DIRECTOR OF REAL ESTATE
SUBJECT: PROPERTY AND FACILITIES COMMITTEE
ANNUAL REPORT OF REAL ESTATE HOLDINGS 2013
DATE: MAY 15, 2013
CC: FILE



This is to request that the following item be presented to the Board of Trustees through the Property and Facilities Committee and be included on the agenda of the meeting scheduled for June 21, 2013.

Proposal:

Policy of the Board of Trustees requires that a listing of property owned by Auburn University be submitted to the Board, through the Property and Facilities committee, for its information and review on the occasion of its annual meeting. Accordingly, property listing and summary based on last year's report and changes that have occurred since June 2012 have been prepared and are submitted for the Board's information and review. (Attachments 1 and 2)

Review and Consultation:

Responsibility for management of real estate records was assigned to the Director of Real Estate at the close of Fiscal Year 2010. Records indicate that the following conveyances of real property have occurred since June 2012; four (4) for Auburn University; none (0) for Auburn University Montgomery; none (0) for the Alabama Agricultural Experiment Station; and none (0) for the Alabama Cooperative Extension Service.

Rationale for Recommendation:

Submission of the information included in the "Summary of Real Estate Holdings" (Attachment 1) is required by policy of the Auburn University Board of Trustees.

ATTACHMENT 1

SUMMARY OF REAL ESTATE HOLDINGS
AUBURN UNIVERSITY *and* AUBURN UNIVERSITY MONTGOMERY

ANNUAL REPORT
2013
(FY 12)



AUBURN

UNIVERSITY

JUNE 21, 2013

AUBURN UNIVERSITY
SUMMARY OF REAL ESTATE HOLDINGS FY 2010
September 30, 2010

OFFICE OF CAMPUS PLANNING AND SPACE MANAGEMENT

DEED FILE NUMBER	DATE OF ACQUISITION	FROM WHOM ACQUIRED	ORIGINAL COST / FMV	CURRENT USE	ACREAGE / RESTRICTIONS	LOCATION OF PARCEL
2	Feb. 28, 1945	Mary E. Cox (Auburn Development Society, Inc.)	38,335.36	Academic	9.73 acres/No	Magnolia Ave AU/Main Campus
3	July 17, 1915	A.H. Cox	2,400.00	Academic	6.5 acres/No	Thach Ave. & Donahue Dr. AU/Main Campus
4	August 7, 1920 - August 17, 1901	Eva A. Smythe, et al	3,200.00	Academic	6 acres/No	Magnolia Ave. & Tiger Street AU/Main Campus
5	April 6, 1877	Matilida Lee	250.00	Academic	2.75 acres/No	Magnolia Ave. & Tiger Street AU/Main Campus
6	Feb. 8, 1923	Walter & Fannie Hoffman	2,500.00	Academic	2.14 acres/No	Thach Ave. AU/Main Campus
6A	March 3, 1915	J.W. Leila & F. Wright	400.00	Academic	1.5 acres/No	Thach Ave. AU/Main Campus
8	Feb. 17, 1872	East Ala. Male College	150.00	Academic	15 acres/No	College St. & Thach Ave.
10	June 5, 1889	W.L. Chambers, et al	2,755.98	Academic	1.5 acres/No	Magnolia Ave. (W) AU/Main Campus
11	Sept. 14, 1887	E. & T.L. Frazier	10.00	Academic	.5 acres/No	Magnolia Ave. & College Street AU/Main Campus
13	Aug. 20, 1926	Lee County Probate Court	4,500.00	Academic	.25 acres/No	Magnolia Ave. & College Street AU/Main Campus
14	Dec. 9, 1922	W.F. Heavey	2,200.00	Academic	.22 acres/No	Magnolia Ave. & College Street AU/Main Campus
15	March 26, 1908	Roger A.P.C. & C.C. Jones	200.00	Academic	.02 acres/No	Magnolia Ave. & College Street AU/Main Campus
16	Aug. 2, 1907	M.L. Bradford	3,500.00	Academic	.67 acres/No	College St. AU/Main Campus
16A	Aug. 2, 1907	Clay Zuber	800.00	Academic	Part of #16/No	College St. AU/Main Campus
16B	Aug. 2, 1907	T.C. & G.E. Bradford	726.27	Academic	Part of #16/No	College St. AU/Main Campus
17	May 9, 1914	Bessie L. Brown	50.00	Academic	.11 acre/No	College St. AU/Main Campus
18	April 12, 1922	Young Men's Christian Assoc. of API- Exchange of Property to API	Land Exchange	Academic	.29 acre/No	College St. AU/Main Campus
20	Nov. 4, 1925	Amos H. Cox	1,000.00	Academic	.75 acres/No	Donahue Dr. AU/Main Campus
21	Sept. 13, 1920	Elijah & Annie Lampkin	900.00	Academic	1.5 acre/No	Thach & Donahue Dr. AU/Main Campus
22	Aug. 2, 1915	C.A. & Emma Cary	38.00	Academic	.11 acre/No	Thach Ave. AU/Main Campus
23	Aug. 2, 1915	C.A. & Emma Cary	522.00	Academic	1 acre/No	Thach Ave. AU/Main Campus
24	Aug. 27, 1915	R.M. Mitchell	1,500.00	Academic	17 acres/No	Thach & Donahue Dr. AU/Main Campus
25	July 9, 1923 (Recd 6/16/65)	J.H. & M.E. Drake	2,500.00	Academic	13.6 acres/No	Thach Ave. AU/Main Campus
26	March 13, 1923	E.E. & D.C. Thomas	2,500.00	Academic	4 acres/No	Duncan Dr. AU/Main Campus
27	April 17, 1907	Lula A. & Lummis A. Knapp	2,000.00	Academic	5.5 acres/No	Mell & Roosevelt AU/Main Campus
28	Feb. 17, 1930	Thach Estate	35,000.00	Academic	2.5 acres/No	Mell & Thach AU/Main Campus
29	Aug. 18, 1903	P.H. & A.W. Mell	2,700.00	Academic	1 acre/No	Thach & Mell AU/Main Campus
30	March 29, 1930	Thach, Smith & Hamilton	14,000.00	Academic	.610 acres/No	Mell St. AU/Main Campus
31	April 19, 1934 (Recd 6/16/65)	M.A. Glenn	15,000.00	Academic	.750 acres/No	Mell St. AU/Main Campus
32	Dec. 13, 1923	D.T. & L.D. Gray	5,600.00	Academic	.600 acres/No	Mell & Roosevelt AU/Main Campus
33	March 16, 1920	T.J. Boyd & Flemings	6,000.00	Academic	2 acres/No	Mell St. AU/Main Campus

DEED FILE NUMBER	DATE OF ACQUISITION	FROM WHOM ACQUIRED	ORIGINAL COST / FMV	CURRENT USE	ACREAGE / RESTRICTIONS	LOCATION OF PARCEL
34	Oct. 26, 1928	M.E. Drake & Askews	11,200.00	Leased	1.86 acres/Yes	College & Thach AU/Main Campus
35	June 12, 1923	Mason Estate	10,000.00	Leased	1.9 acres/Yes	College St. AU/Main Campus
36	Oct. 26, 1928	S.B. Madre	12,000.00	Leased	.77 acres/Yes	College St. AU/Main Campus
37	Oct. 27, 1928	F.C. & C.W. Hulse	3,400.00	Leased	.70 acres/Yes	College St. AU/Main Campus
38	Oct. 15, 1937	R.P. & D. Ward	6,765.00	Academic	165 acres/No	Samford & Hiram Ln. AU/Main Campus
39	Oct. 27, 1928-April 23, 1930	James H. Farrar Estate	2,265.00	Academic	15 acres/No	Biggio Drive AU/Main Campus
40	Oct. 12, 1884	J.H. & M.E. Drake	636.00	Academic	31.8 acres/No	Duncan Drive AU/Main Campus
41	Jan. 14, 1884	E.R. Rivers	500.00	Academic	37 acres/No	College St. AU/Main Campus
41A	Dec. 30, 1886	Strom Estate	135.00	Academic	7 acres/No	College St. AU/Main Campus
43	Oct. 11, 1935	F.L. & J.W. Drake	6,000.00	Leased	.6 acres/Yes	College St. AU/Main Campus
43A	March 23, 1929	J.H. Drake Family	3,200.00	Leased	.38 acres/Yes	College St. AU/Main Campus
44	Nov. 29, 1899	L.A. Foster Estate	538.00	Academic	78 acres/Yes	Donahue Drive AU/Main Campus
45	March 5, 1884	J.B. Gay	1,700.00	Academic	70.7 acres/No	Mell Street AU/Main Campus
46	Oct. 27, 1924	J.A. Cullars Estate	2,500.00	Leased?	1.35 acres/Yes	College & Samford AU/Main Campus
47	Sept. 1, 1923	R.T. & P.P. Dubose	3,995.00	Academic	93 acres/Yes	Wire Road AU/Main Campus
48	Nov. 23, 1921	O.B. & R.E. Ennis/T.O. & M.B. Wright	1,800.00	Academic	50 acres/No	Farm Road AU/Main Campus
49	Sept. 17, 1923	A.Z. & W.H. Pace	4,360.00	Academic	109 acres/Yes	Donahue Drive AU/Main Campus
50	May 15, 1924	Sophronia E. Foster	5,219.50	Academic	47.5 acres/No	Donahue Drive AU/Main Campus
51	July 9, 1943	Cherry Estate	1.00	Academic	2.8 acres/No	Shug Jordan Pkwy. AU/Main Campus
51A	May 1, 1944	B.C. & L.C. Pope	3,250.00	Academic	58.2 acres/No	Wire Road AU/Main Campus
52	Dec. 7, 1923	Foster Family	2,160.50	Academic	50.2 acres/No	Shug Jordan Parkway AU/Main Campus
53	Sept. 17, 1923	W.T. & C.P. Rutledge	2,376.00	Academic	60 acres/No	Camp Auburn Rd. AU/Main Campus
54	Sept. 1, 1923	John D. Foster	5,000.00	Academic	40 acres/Yes	Donahue & College AU/Main Campus
55	Oct. 23, 1938	Bessie A., Emrick, L.A. & G.A. Miller	3,698.00	Research	26 acres/No	College & Woodfield AES/Main Campus
56	Feb. 3, 1939	M.L. Cullars	5,000.00	Academic	225 acres/Yes	College & Shug Jordan Pkwy AU/Main Campus
57	3/28/40-3/3/43, 10/11/98	A. - O. Airport, Inc. et al	41,149.10	Academic	333.23 acres/No	Pitts Airport Au/Lee County
58	Feb. 16, 1940 - May 20, 1940	Bradley, Evans, & Duke	8,175.00	Academic	596.173 acres/No	N. Auburn Fisheries Unit State 147 AU/Lee County
58A	Dec. 18, 1943	G.L. & M.V. Story	8,500.00	Academic	699 acres/Yes	N. AU Fisheries Unit Lee Rd. 046 AU/Lee County
59	July 10, 1943 - June 10, 1941	D.E. & Minnie Lee, B'ham T. & S. Co.	1,990.00	Research	300 acres/No	Autauga Co. Forest Unit - AU/Autauga Co.
	Aug. 22, 1928 - Oct 9, 1937	Autauga Co. Probate Off., Birdie I. Doster	2,405.00	Research	80 acres/No	Prattville Exp. Field - AES/Autauga Co.
60	Dec. 29, 1929 (Recd 9/13/52)	Mary J. Brassil	1.00	Research	5 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	May 14, 1930 (Recd 9/13/52)	S.E. & B.W. Riall	1.00	Research	80 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Nov. 27, 1929 (Recd 7/6/50)	J. & J. Shuller	1.00	Research	1 acre/No	Gulf Coast Subst-AES-Baldwin Co.
	July 27, 1936	W.C. Mason	1.00	Research	76.91 acres/No	Gulf Coast Subst-AES-Baldwin Co.

DEED FILE NUMBER	DATE OF ACQUISITION	FROM WHOM ACQUIRED	ORIGINAL COST / FMV	CURRENT USE	ACREAGE / RESTRICTIONS	LOCATION OF PARCEL
	Nov. 27, 1929	H.A. Goodrich	1.00	Research	73.87 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Nov. 27, 1929	O. & J. Lowell	1.00	Research	1 acre/No	Gulf Coast Subst-AES-Baldwin Co.
	Nov. 27, 1929	J.M. & M.C. Green	10.00	Research	20 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Nov. 27, 1929	R. & B. Klumpp	1.00	Research	3 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Nov. 27, 1929	C.G. & F.L. Godard	1.00	Research	80 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Oct. 9, 1929	W.H. & M. Stapleton	1.00	Research	100 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Oct. 9, 1929	F.A. & F. Duba	1.00	Research	79.56 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Oct. 9, 1929	D.C. & L. Stapleton	1.00	Research	140 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Oct. 9, 1929	J.R. Aylin	1.00	Research	40 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Dec. 14, 1993	Baldwin Co. Court Decree	Donated	Research	85.72 acres/No	Gulf Coast Subst-AES-Baldwin Co.
61	May 23, 1940	Barbour County	1.00	Research	178 acres/No	Barbour Co. Forest Unit - AU Barbour County
64	April 11, 1940	J.T. & M.C. Newman	1.00	Research	160 acres/No	Coosa Co. - Forest Unit - AU Coosa County
65A	June 7, 1946	J.A. Carns Family	12,000.00	Research	100 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
	Dec. 8, 1937	W.V. & G. Roden	5,760.00	Research	66 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
	Sept. 30, 1935	L. & M. Weathington	2,000.00	Research	40 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
	Aug. 22, 1928	W.V. & G. Roden	1,700.00	Research	18 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
	Aug. 22, 1928	J.W. & E. Black	4,400.00	Research	40 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
	Aug. 22, 1938	W.B. & E.E. Jones	18,000.00	Research	182 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
65B	Oct. 16, 1946	R.C. & N.M. Christopher	7,200.00	Research	60 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
66	Aug. 21, 1929	C.S. & M. Cook	5,000.00	Research	60 acres/No	Brewton Exp. Field-AES-Escambia Co.
	July 16, 1938	A. & F. Nicholson	500.00	Research	19.6 acres/No	Brewton Exp. Field-AES-Escambia Co.
67	Nov. 2, 1942	R.E. & B. Soloman	750.00	Research	23 acres/No	Wiregrass Subst-AES-Henry Co.
	May 14, 1936	A.D. & K. Walden	2,852.00	Research	38.021 acres/No	Wiregrass Subst-AES-Henry Co.
	March 14, 1929	Roberts & Wilkinson Families	26,801.00	Research	220 acres/No	Wiregrass Subst-AES-Henry Co.
	Aug. 23, 1941	O. & C.F. Wilkinson	2,401.00	Research	40 acres/No	Wiregrass Subst-AES-Henry Co.
	April 30, 1929	E. & M. Lindsay	1.00	Research	Private Road	Wiregrass Subst-AES-Henry Co.
	April 30, 1929	Mary Baker	1.00	Research	Private Road	Wiregrass Subst-AES-Henry Co.
69	March 5, 1946	John & Irene Grayson	57,417.60	Research	475.18 acres/No	Tenn. Valley Subst-AES-Limestone Co.
	March 9, 1928	J.H. Tolley & Limestone Co.	30,000.00	Research	240 acres/No	Tenn. Valley Subst-AES-Limestone Co.
	April 6, 1937	Limestone Co., Alabama	1.00	Research	35 acres/No	Tenn. Valley Subst-AES-Limestone Co.
72	Oct. 29, 1930-July 19, 1967	City of Mobile-4 Deeds	4.00	Research	17.2 acres/Yes	Ornamental Hort. Subst-AES-Mobile Co.
73	June 19, 1929	J.R. Eddins	4,500.00	Research	40 acres/Yes	Monroeville Exp Field-AES-Monroe Co.
	Jan. 6, 1940	Willie Sawyer	3,200.00	Research	39 acres/No	Monroeville Exp. Field-AES-Monroe Co.
74	March 19, 1930	Dallas Co., Alabama	Donated	Research	80 acres/No	Blackbelt Subst.-AES-Dallas County
	March 19, 1930	Dallas Co., Alabama	Donated	Research	1035 acres/No	Blackbelt Subst.-AES-Dallas County
76	Sept. 21, 1946	L.S. & S.H. Smith	1,580.00	Research	158 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Oct. 2, 1946	L.S. & S.H. Smith	2,900.00	Research	103.5 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Sept. 2, 1946	Z.A. & D. Rowland	1,600.00	Research	160 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Nov. 6, 1944	Z. Housh & J.D. Bone	1,440.00	Research	120 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Nov. 4, 1944	C.M. & C.C. Anderson	750.00	Research	60 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Nov. 4, 1944	T.M. & E. McClesky	875.00	Research	70 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Nov. 4, 1944	B.F. & M.B. Harkins	3,750.00	Research	160 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Nov. 6, 1944	J.V. & R. Gilpin	3,750.00	Research	200 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.

DEED FILE NUMBER	DATE OF ACQUISITION	FROM WHOM ACQUIRED	ORIGINAL COST / FMV	CURRENT USE	ACREAGE / RESTRICTIONS	LOCATION OF PARCEL
	Nov. 6, 1944	J.P. & P. Trim	800.00	Research	60 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Dec. 15, 1944	Estate of T.H. Robertson	4,250.00	Research	240 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
77	Feb. 23, 1945	A.T. & J. Couch	175.00	Research	2 acres/No	Upper Coastal Plain Subst-AES-Marion Co.
	Dec. 28, 1944	A.T. & J.Y. Couch	5,200.00	Research	80.2 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
	Dec. 28, 1944	E.M. & M.E. Earnest	2,000.00	Research	42 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
	Dec. 28, 1944	G.W. & C. Couch	7,000.00	Research	150 acres/No	Upper Coastal Plain Subst-AES-Fayette & Marion Co.
	Dec. 28, 1944	J.W. & Julia M. Ward	6,050.00	Research	82 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
	Dec. 28, 1944	Hazel Couch	10,000.00	Research	298 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
	Dec. 28, 1944	D.H. & E.J. May	2,100.00	Research	40 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
	Dec. 28, 1944	J.D. May	1,900.00	Research	41 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
78	June 21, 1945	Auburn Country Club	30,000.00	Academic	60.95 acres/No	W. Magnolia Ave. AU/Main Campus
	Sept. 7, 1945 (Recd 7/31/78)	Beulah Gerson	52,500.00	Research	664 acres/No	PBU-AES-Elmore County
79	October 3, 1946	Cary Estate	30,000.00	Academic	20 acres/No	Wire Road AU/Main Campus
82	June 6, 1946	County Condemnation	6,749.00	Research	258 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 20, 1944	H.J. & D.E. Willis	3,640.00	Research	240 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 21, 1944	J.S. Norris	2,400.00	Research	112 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	A. & E. Evans	4,040.00	Research	160 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	S. & E. Woodyard	2,340.00	Research	107 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 20, 1944	L. Ward	812.00	Research	51.45 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	W.F. & Donna McGinty	540.00	Research	20 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	G. & S. Brown	3,540.00	Research	100 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 27, 1944	C.B. & C.E. Sullivan	5,040.00	Research	160 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	L. Garlington	4,040.00	Research	32 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Nov. 15, 1945	L.E. & T.G. Jennings	2,290.00	Research	9 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	T.J. & B.M. Henderson	3,240.00	Research	160 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 27, 1944	Federal Land Bank of New Orleans	60.00		Mineral Rights	Piedmont Subst.-AES-Tallapoosa Co.
83	Jan. 27, 1948	Presbyterian Church	10.00	Academic	.13/No	Thach Ave. - AU/Main Campus
84	Feb. 2, 1948	E.J. & Leona Orth	1.00	Research	159.32 acres/No	N. Alabama Horticulture Substation-AES-Cullman Cty.
85	April 3, 1948	O.D. & C.F. Wilkinson	33,000.00	Research	276 acres/No	Wiregrass Subst.-AES-Henry County
87	Jan. 11, 1949	T.J. & M.F. Whatley	5,080.00	Leased	25.4 acres/Yes	Forestry Unit AU/Lee County
88	Nov. 27, 1948	Sims Family	13,500.00	Academic	20 acres/No	Wire Road AU/Main Campus
89	Jan. 25, 1961-	U.S.D.A. (Farrar Estate)	10.00	Academic	40 acres/No	Roosevelt St. AU/Main Campus
93	Dec. 8, 1948	R. & L.S. Gore	9,750.00	Research	65 acres/No	Chilton Area Hort. Subst.-AES-Chilton
	Dec. 8, 1948	R.T. & M. Davis	12,000.00	Research	80 acres/No	Chilton Area Hort. Subst.-AES-Chilton
93A	Dec. 8, 1960	Cox Family	5,000.00	Research	16 acres/No	Chilton Area Hort. Subst.-AES-Chilton
94	Jan. 10, 1949	McGhee, Merritt & McCabe Families	36,750.00	Support	.6 acre/No	RR Warehouse AU/Lee County
98	Jan. 14, 1948	W.D. & M. Clements	17,280.00	Academic	576 acres/No	N. Auburn Dairy Unit-AU-Lee County
99	Feb. 23, 1949	Mr. & Mrs. J.L. Fincher	2,500.00	Academic	80 acres/No	N. Auburn Fisheries Unit-AU-Lee Co.
100	March 21, 1949	A.C. & S.C. Carter	19,630.00	Academic	394.2 acres/No	N. Auburn Beef Cattle-AU-Lee Co.
101	July 22, 1949	L.L. & W.W. Bradley	4,050.00	Academic	81 acres/No	N. Auburn Beef Cattle-AU-Lee Co.
101A	July 22, 1949	M.E. & M.B. Earnest	4,050.00	Academic	81 acres/No	N. Auburn Beef Cattle-AU-Lee Co.
102	July 5, 1949	J.S. & C. Smith	3,000.00	Research	1 acre/No	Wiregrass Subst.-AES-Henry County
	May 14, 1936	R.S. & E. Solomon	1,200.00	Research	3 acres/No	Wiregrass Subst.-AES-Henry County

DEED FILE NUMBER	DATE OF ACQUISITION	FROM WHOM ACQUIRED	ORIGINAL COST / FMV	CURRENT USE	ACREAGE / RESTRICTIONS	LOCATION OF PARCEL
103	Aug. 5, 1963	E.P. Strother	36,000.00	Research	240 acres/No	Lower Coastal Plain Subst.-AES-Wilcox Co.
	Aug. 29, 1949	Wilcox County	1.00	Research	1,210.2 acres/No	Lower Coastal Plain Subst.-AES-Wilcox Co.
	May 30, 1951	Wilcox County	1.00	Research	539 acres/No	Lower Coastal Plain Subst.-AES-Wilcox Co.
	Jan. 7, 1967	J. & S.M. Strother	39,600.00	Research	240 acres/No	Lower Coastal Plain Subst.-AES-Wilcox Co.
104	April 18, 1950	A.C. & S.C. Carter	15,712.50	Academic	384.1 acres/Yes	N. Auburn Beef Cattle Un.-AU-Lee Co.
107	June 6, 1950	G.L. & M.B. Jackson	2,000.00	Academic	40 acres/No	N. Auburn Beef Cattle Un.-AU-Lee Co.
108	Oct. 11, 1950	Dewey Hodge	5,000.00	Academic	51.5 acres/No	N. Auburn Beef Cattle Un.-AU-Lee Co.
	Dec. 2, 1953	D.W. Ward	1,200.00	Academic	1.3 acres/No	N. Auburn Beef Cattle Un.-AU-Lee Co.
112	Aug. 21, 1957	R.E. Hudson Estate	104,193.21	Academic	333.81 acres/Yes	Wire Road AU/Main Campus
114	Jan. 27, 1960	K.M. Lane	150,000.00	Academic	4.47 acres/No	College Street AU/Main Campus
115	May 2, 1962	S.R. Copeland	6,500.00	Reserve	1.92 acres/No	Wire Road AU/Main Campus
117	July 5, 1962	C.A. Lowery	9,000.00	Reserve	1 acre/No	Wire Road AU/Main Campus
118	Sept. 19, 1962	Z. Judd Estate	580.98	Academic	.17 acre/No	Arboretum - College St AU/Main Campus
	Dec. 26, 1962 - Sept. 14, 1990	P. Kappa Alpha Dowdell Haygood -Yancey				Sigma Phi Epsilon & Sigma Pi Magnolia Ave. AU/Main Campus
119			52,954.76	Leased	6.13 acres/No	
122	Nov. 9, 1966	John A.C. & Ruby W. Callan	32,500.00	Leased	.39 acres/Yes	College Street AU/Main Campus
124	Oct. 27, 1971	A. Hutchinson	10,500.00	Academic	18.8 acres/No	N. Auburn Fisheries AU/Lee County
126	Nov. 24, 1971	J. & L. Walker	14,840.00	Academic	42.4 acres/No	N. Auburn Fisheries AU/Lee County
127	June 2, 1973	J.D. & M.L. Davis	58,315.00	Academic	44 acres/No	N. Auburn Fisheries AU/Lee County
128	May 31, 1976	L.R. Turner (Land Exchange)	1.00	Academic	1.71 acres/No	Farmville Church AU/Lee County
	Dec. 23, 1974 - June 30, 1975	Sheppard, Crowley & Jeter	255,078.00	Research	511.37 acres/No	E.V. Smith Research Ctr.-AES-Macon Co.
131	May 31, 1974	B.W. & F.L. Walker, J.M. & S.R. Walker	1,250,672.29	Research	2,715.52 acres/No	E.V. Smith Research Ctr.-AES-Macon Co.
133	Feb. 5, 1975	W.E. & M.L. Bell	25,000.00	Research	5.43 acres/No	North Auburn Fisheries-AU-Lee County
134	Sept. 10, 1976	Turnipseed - Ikenberry	275,000.00	Research	1,028 acres/No	Turnipseed - Ikenberry Unit AES- Bullock County
135	Oct. 8, 1976	J.W. & V.M. Goodwin	101,904.00	Reserve	3.04 acres/No	Birmingham-AU-Jefferson County
136	May 21, 1975	S.A. & P.W. Edgar	120,500.00	Academic	112.5 acres/No	N. Auburn Fisheries-AU-Lee County
137	Nov. 21, 1978	Solon Dixon, M.D. & H.P. Coale	120,000.00	Academic	160 acres/Yes	S. Dixon Ctr-AU-Escambia & Covington
	Dec. 7, 1979	Solon Dixon	145,350.00	Academic	193.8 acres/Yes	S. Dixon Ctr-AU-Escambia & Covington
	August 20, 1980	Solon Dixon	9,334.00	Academic	40 acres/Yes	Solon Dixon Center-AU-Covington Co.
	Sept. 8, 1986	Solon Dixon & Timber Value	693,919.35	Academic	1,193.6 acres/Yes	Solon Dixon Ctr. - AU - Escambia Co.
	Sept. 4, 1986	Solon Dixon & Timber Value	2,206,226.21	Academic	3,794.9 acres/Yes	Solon Dixon Ctr. - AU - Covington Co.
	May 21, 1987	Solon Dixon	10,333.25	Academic	40 acres/Yes	Solon Dixon Ctr. - AU - Covington Co.
139	Oct. 17, 1980	Wigley - Harper Estates	76,500.00	Research	116 acres/Yes	Wigley - Harper - AU - Dekalb Co.
140	Dec. 8, 1983	Mt. Vernon Mills, Inc.	5,000.00	Research	5.47 acres/No	Plant Breeding Unit - AES - Elmore Co.
142	June 6, 1984	R. L. F. & T.M. Baxter, Jr.	48,000.00	Research	40 acres/No	Wiregrass Substation-AES-Henry County
143	Sept. 21, 1984	Mary Olive Thomas Estate	380,000.00	Extension	399.79 acres/Yes	Moore's Mill Rd.(Hwy. 12)-CES-Lee County
144	Sept. 30, 1984	Auburn Industrial Development Board	8,711.87	Academic	2.25/Yes	Shug Jordan Pkwy. AU/Main Campus
147	April 4, 1986	Knight,Robinson,Kendrick,Stallings & Janies	198,000.00	Academic	88.137 acres/No	Airport AU/Lee County
148	Dec. 8, 1986	Fairchild Industries, Inc. (Insouth)	300,000.00	Research	12.63 acres/No	Skyway Drive AU/Lee County
149	Feb. 17, 1987	J.C. Mullins	2,500.00	Academic	0.072 acre/Yes	Woodfield Drive AU/Lee County
150	Dec. 18, 1987	Alumni Association	175,000.00	Leased	.4 acres/Yes	Miller Ave. AU/Lee County
151	Dec. 29, 1988	Maranatha Christian Churches Inc.	135,000.00	Academic	.25 acre/No	Magnolia Ave. AU/Lee County
152	March 17, 1994	Louise Kreher Turner (Through AUF)	297,500.00	Academic	119 acres/Yes	N. Auburn-Hwy 147 AU/Lee County

DEED FILE NUMBER	DATE OF ACQUISITION	FROM WHOM ACQUIRED	ORIGINAL COST / FMV	CURRENT USE	ACREAGE / RESTRICTIONS	LOCATION OF PARCEL
153	Nov. 10, 1994	B.W. Capps & Sons, Inc.	524,091.61	Research	1 Lot	AU Pavement Test Facility/Lee County
154	June 22, 1995	Lee County Educational Foundation	2,308,014.50	Academic	16.19 acres/Yes	Pitts Airport AU/Lee County
155	Sept. 27, 1996	Solitude Creek Joint Venture II	940,000.00	Research	Fish Ponds	Beauregard, AL
159	Jan. 26, 2000	Glenn Estates, Inc.	260,000.00	Academic	20 acres/No	CVM AU, Lee County
160	May 18, 2000	John L. Hartman	106,900.44	Research	31.36/No	N. Auburn/Lee County
"	June 30, 2000	Raymond and Rebecca Dowdell	101,960.54	Research	33.95/No	N. Auburn/Lee County
A128/295	April 28, 1998	AU Foundation/Gift Morrisett	410,000.00	Academic	acres/Yes	Hwy 61, Newberg, AL
161	June 18, 2001	Floyd & Wilner Richards/Richards Family	1,215,228.57	Academic	38.28 acres/No	Webster Rd. AU/Lee County
162	Jan. 23, 1965	James Haygood/Lamb Dowdell	144,500.00	Reserve	.714 acres/No	Lincoln Hts. Subdivision/Auburn/Lee County, AL
163	Sep. 18, 2006	Pebble Hill Property - AU Foundation	208,000.00	Academic		101 Debardeleben St/Auburn/Lee County, AL
164	Sep. 18, 2006	Robert G. & Leslie Pitts - AU Foundation	337,739.16	Reserve	1.92 acres/No	724 S. College St/Auburn/Lee County, AL
165	Oct. 18, 2007	Yarbrough Farms Golf Facility		Academic	25.5 acres/No	1493 N. Donahue Dr/Auburn/Lee County, AL
166	June 27, 2008	Liddell-Hall-Stroud Property - AU Found.	349,500.00	Research	50 acres/No	Wilcox County, AL
167	July 26, 2011	Cary Pick House	740,000.00	Academic	.95 acres/No	N. College St, Lee County, AL
168	November 3, 2009	McClure Clinton Estate	1,260,000.00	Research	248 acres/yes	Sumter County, AL
169	December 15, 2011	Bruno's Property	2,400,000.00	Academic	6 acres/No	E. Glenn Ave, Lee County, AL
170	October 15, 2011	Golden Property	337,500.00	Academic	1.6 acres/No	Wesbster Road, Lee County, AL
TOTAL			19,891,693.55			

**AUBURN UNIVERSITY
REAL ESTATE TRANSACTIONS
June 21, 2013**

Auburn University Real Estate Department

DIVISION I: AUBURN UNIVERSITY

1. University Aviation Association and Aviation Accreditation Board International Leases at Auburn University's InSouth Research Facility. The previous UAA/AABI Lease Agreement expired in October of 2012. Auburn University's Office of Vice President for Research has plans to recapture that facility and use for research incubator space. Considering their extended occupancy of the InSouth facility, UAA/AABI were offered a one year extension of their lease to allow them time to find other suitable space.
2. Auburn University/Farmville Baptist Church Land Exchange, Auburn, Alabama
Auburn University swapped 3.14 acres of North Auburn land for 1.99 acres of corner property located at Hwy 147 and Hwy 72. Fisheries expressed interested in acquiring the church property to protect the watershed and the fisheries ponds.
3. S. College Turn Lane, Auburn, Alabama
AU Deeded a Right of Way at the corner of S. College and Shug Jordan Parkway to allow the city to extend the turn land from South bound S. College to West bound Shug Jordan.
4. Barbour County Forestry Unit, Barbour County, Alabama
AU granted an easement to Alabama Power Company for the purpose of installing a 46KVA transmission line. AU received the fair market value for the property and the affected timber.

DIVISION II: AUBURN UNIVERSITY AT MONTGOMERY

No conveyances reported.

DIVISION III: ALABAMA AGRICULTURAL EXPERIMENT STATION

No conveyances reported.

DIVISION IV: ALABAMA COOPERATIVE EXTENSION SERVICE

No conveyances reported.

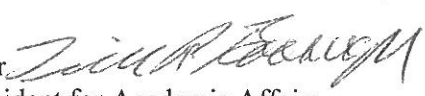


AUBURN UNIVERSITY

OFFICE OF THE PROVOST
AND VICE PRESIDENT FOR
ACADEMIC AFFAIRS

May 14, 2013

MEMORANDUM TO: Jay Gogue
President

FROM: Timothy R. Boosinger 
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –
Forest Lands Annual Report for FY 2012

For Information Only

Enclosed is the 2012 Annual Report for the Solon Dixon Forestry Education Center and the forested portions of the Alabama Agricultural Experiment Station for review by the Board of Trustees at the June 21, 2013 meeting.

Consistent with standing practice, Annual Reports for the Solon Dixon Forestry Education Center and the Alabama Agricultural Experiment Stations are submitted for review by the Property and Facilities Committee at the June meeting of the Board of Trustees.

Timber sales are included in order that each sale of state property does not have to be individually presented to the Board for approval, but rather approved in advance according to the plans presented. All of the forest lands are under the supervision and management of professional foresters and Auburn University employees.

Attachments



SCHOOL OF FORESTRY AND
WILDLIFE SCIENCES

OFFICE OF THE DEAN

TO: Timothy Boosinger
Provost and VP Academic Affairs

DATE: May 7, 2013

FROM: James P. Shepard
Dean and Professor

PHONE: 844-1004

SUBJECT: Annual Forest Lands Report

This memo summarizes the 2012-2013 Forest Lands Report for the Board of Trustees June 21 meeting. The attached reports provide information of the forestry activities on the Solon Dixon Forestry Education Center (SDFEC) and the forested tracts of the Alabama Agricultural Experiment Station (AAES).

There were two new timber sales on the AAES properties: 40 acres at the Plant Breeding Unit south of Tallahassee and 82 acres at E.V. Smith. A timber sale and harvest begun last year at the Coosa County Experimental Forest was completed. Reforestation operations were conducted at four properties. Timber sales brought in \$119,848 during the period and costs were \$137,777 for new revenue of (\$17,927). Timber prices remain depressed due to the largest decline in housing starts since records have been kept. However, housing starts are now increasing and lumber prices are increasing substantially. That should soon begin to result in increased timber prices, although it will likely be gradual since many landowners, including Auburn, have been delaying harvests while timber prices were low.

At the Solon Dixon Forestry Education Center, There was one timber sale in 2012, a 230-acre selection harvest. Regeneration following past harvests included 21 acres of site preparation, and 120 acres planted. Prescribed fire was conducted on 900 acres. Revenues generated will be used to support the School of Forestry and Wildlife Sciences teaching and outreach programs. All harvests are conducted using widely accepted forest management practices. Revenue from timber sales totaled \$65,505, revenue from agricultural leases produced \$35,739, and hunting leases \$30,647 for total revenue of \$131,891. In FY2012 there were 5,737 user days by organized groups, an increase of 2% over FY2011. User fees provided \$196,956 in revenue, with 66% of that paid by Auburn University users and the rest from external users. There were routine maintenance expenditures consistent with maintaining campus buildings, roads, and vehicles. Two timber sales are planned for FY2013, totaling 187 acres. All will be thinning operations in loblolly pine plantations.

In April we held the dedication of the Solon and Martha Dixon Foundation Learning Center. This 6000 square foot facility includes the 100-seat Gjerstad-

3301 FORESTRY AND
WILDLIFE SCIENCES BUILDING
AUBURN, AL 36849-5418

TELEPHONE:

334-844-1004

FAX:

334-844-1084

www.auburn.edu

Memo to Timothy Boosinger, Interim Provost & VP Academic Affairs
Annual Forest Lands Report
May 7, 2013
Page two (2)

Johnson Longleaf Auditorium, a 40-seat classroom, and a conference room. All of the funding for the building is being provided by donors, including 60% of the \$1.6 million total costs provided by the Solon and Martha Dixon Foundation. We have reached 87% of our funding goal and completing the building financing is a high priority of our development staff.

I have attached a condensed report submitted by Joel Martin, Director of the Solon Dixon Forestry Education Center and a complete detailed report (61 pages) is available. I have attached a report submitted by Richard Martin, Research Forester for the AAES Outlying Units. Please contact me if you have any questions.

Executive Summary
Agricultural Committee/Property and Facilities Committee
Forest Lands Annual Report
June 21, 2013

Information 1. Summary of Forest Activities on the AAES, 2012. There were two new timber sales on the AAES Outlying Units: 40 acres at the plant Breeding Unit south of Tallahassee and 82 acres at E.V. Smith. A timber sale and harvest begun last year at the Coosa Co. Experimental Forest was completed. These harvests were in accordance with the Board approved management plan, and revenues generated were used to support regeneration, management, and research activities on the AAES outlying units. All harvests will be regenerated using widely accepted forest management practices. Regeneration operations were conducted at four outlying units that had had timber harvests in previous years.

Information 2. Solon Dixon Forestry Education Center Annual Report, FY 2012. There was one timber sale in 2012, a 230-acre selection harvest. Regeneration following past harvests included 21 acres of site preparation, and 120 acres planted. Prescribed fire was conducted on 900 acres. Revenues generated will be used to support the School of Forestry and Wildlife Sciences teaching and outreach programs. All harvests are conducted using widely accepted forest management practices. Two timber sales are planned for FY2013, totaling 187 acres. All will be thinning operations in loblolly pine plantations.

Dixon Center Accomplishments FY 2012
October 1, 2011-September 31, 2012

- ❖ Forest Management
 - Harvest
 - 230 acres selection cut
 - Chemical application
 - 21 acres site prepared
 - 19 acres planted on Dixon Center
 - 101 acres planted on McClure Tract
 - 900 acres Burned
- ❖ Facilities Maintenance
 - Kitchen
 - Repaired freezer, fryer and dishwasher
 - Auditorium
 - Added panic hardware and replaced door closers
 - Dorms
 - Dorms B
 - Replaced shower drains in B-4
 - Dorms 1 thru 5 (new dorms)
 - Added rock for drip line erosion
 - Director & Assistant Director Housing
 - Replaced floor in Asst. Directors den area
 - Replace 2nd floor air handler in Directors home
 - Office Annex
 - Added gravel to rear parking area
 - Pond Cabin
 - Replaced HVAC system
 - Grounds
 - Constructed 60' x 40' shed for heavy equipment storage
 - Added bank stabilization to springs area
 - Solon and Martha Dixon Learning Center
 - Removed trees and performed ruff grading of site
 - Finalized plans and began construction on this new facility
- ❖ Administration
 - Recorded 5,737 user days.
 - Added Wildlife Summer Practicum to the calendar
 - GIS
 - Collected all available corners on the Center with sub-meter GPS
 - Collected all available roads on the Center with sub-meter GPS
 - Began discussion and preliminary work on stands mapping and database creation
 - Regulatory
 - Maintained monthly & annual inspections for fire extinguishers, smoke detectors, fire systems, hazardous materials and fuel storage

- ❖ Research/Demonstration Support
 - Silvo-pasture – Auburn University
 - Various ongoing Longleaf Alliance Projects
 - Rapid Evolution in Response to Invasive Species – Penn State University
 - Floristic inventory of the Dixon Center property – A.U. Herbarium, Department of Biological Sciences
 - Arborgen MCP & Eucalyptus Planting
 - Loewenstein Uneven Aged Management Project
 - Univ. South Alabama – Weather station site
- ❖ Teaching Support – College & University
 - Arkansas Tech
 - Forestry & Wildlife Summer Practicum – Auburn University
 - LBW Community College – Taught spring semester class on GIS/GPS

Goals for FY 2013
October 1, 2012-September 31, 2013

- ❖ Direct Center Activities
- ❖ Complete construction and ensure readiness of Learning Center prior to Spring 2013
- ❖ Advance GIS project to point of a functional map layer by December 2013
- ❖ Complete 1st rotation thinning on 60 acres at McClure Tract
- ❖ Repair roof on Administration Building
- ❖ Seek opinions on Entry Road repair and get cost estimates.
- ❖ Administer and Teach Summer Practicum
- ❖ Continue to seek “off-season” user groups
- ❖ Pursue grant opportunities for upgrading internet to the Dixon Center
- ❖
- ❖

*Summary of Forestry Activities
on the
Alabama Agricultural Experiment Station
May 2012 - May 2013*

The recession in the timber industry continues. Prices, especially for large timber are terrible. Much of the University's timber is of comparatively high quality and we do not wish to sell at bargain prices. However, it appears prices will improve only slowly for the foreseeable future. Some timber sales need to proceed in order to maintain some balance of size classes on the Experiment Station properties. Completion of clean up from the 2011 tornadoes consumed a lot of time and funds this past year.

A timber sale was conducted to harvest about 40 acres of mature pine on the Plant breeding Unit South of Tallassee. The sale was advertized and the bids were opened June 1, 2012. The timber was purchased by Dudley Lumber Company for \$ \$46,686. Harvesting has not yet begun.

A timber sale was also conducted to harvest a total of 82 acres of mixed pine hardwood on the E.V. Smith Research Center. The sale was advertized and the bids were opened July 31, 2012. The timber was purchased by Baseline Forest Services, Inc for \$ \$67,105. Harvesting is almost complete.

What could be salvaged from the 2011 tornado damage at the Fayette Experiment Forest was completed this past year. The area that was salvaged, about 40 acres, was treated with a site preparation dose of Arsenal-Accord Herbicide in late summer of 2012 and was hand planted in January. A portion of the area which was not salvaged was treated with a pine release herbicide treatment in the hopes of controlling the understory and getting natural regeneration under the remaining pine. The gates were repaired and roads in the damaged area were cleared of debris and the culverts either replaced or cleared of debris.

About 36 acres of the tornado damaged areas at the Piedmont Substation were treated with herbicide and planted to pine this year. The remainder of the damaged area will be allowed to regenerate naturally to hardwoods. In addition, 30 acres of pasture area was prepared by station personnel and then planted to pine this past winter. The plantings should reduce maintenance costs and, after a few years, will screen a whitetail deer research area.

One last payment was received for the timber sale completed last year on the Coosa County Experiment Forest and regeneration was completed on the area this past winter. The 25 acre clearcut was treated with herbicide and planted to pine. The roads on the forest were graded and cross drainage repaired.

The sale completed at the Auburn University Natural Resources Education Center at Camden last year included a 72 acre clearcut. This area received a site preparation treatment of herbicide in late summer 2012 and was planted to pine this past winter.

Financial Data 2012-13* Forestry Activities on the Alabama Agricultural Experiment Station				
Unit	Timber Sales	Regeneration	Silviculture	Improvements
AU Nat. Resources Edu. Center		\$9,388		
Coosa County Experiment Forest	\$6,057	\$3,667		\$4,750
E.V. Smith Research Center	\$67,105			
Plant Breeding Unit	\$46,686			
Piedmont Substation		\$4,635	\$1,917	

Financial Data 2012-13* Forestry Activities on the Alabama Agricultural Experiment Station				
Fayette County Experiment Forest		\$6,691	\$1,668	\$9,561

Total Revenue: \$119,848
 Direct Expenditure: 42,277
 Administrative Cost:** 95,500
 NET: (-\$17,927)

* These figures are precise where the data could be easily identified, but some figures are estimates.

** Administrative cost includes forester=s salary, travel expenses and general maintenance costs. It does not include administration on the individual substations.

ACADEMIC AFFAIRS COMMITTEE
AND
AUBURN MONTGOMERY COMMITTEE

RESOLUTION

PROPOSED CHANGE OF NAME OF THE DEPARTMENT OF FOUNDATIONS AND
SECONDARY EDUCATION TO THE DEPARTMENT OF FOUNDATIONS,
TECHNOLOGY, AND SECONDARY EDUCATION

WHEREAS, AUM's Department of Foundations and Secondary Education has placed more emphasis on instructional technology in its baccalaureate program; and

WHEREAS, the Department of Foundations and Secondary Education has implemented an Instructional Technology Master's degree option; and

WHEREAS, the department would be better defined by the name Department of Foundations, Technology and Special Education.

NOW THEREFORE, BE IT RESOLVED by the Auburn University's Board of Trustees that the proposed change of name of the Department of Foundations and Secondary Education to the Department of Foundations, Technology and Secondary Education be approved and submitted to the Alabama Commission on Higher Education as an information item.




AUBURN

MONTGOMERY

OFFICE OF THE CHANCELLOR

16 May 2013

To: Dr. Jay Gouge
President

From: John G. Veres III 
Chancellor

Subject: Proposed Board Agenda Item
Change of name of the Department of Foundations and Secondary Education to
the Department of Foundations, Technology, and Secondary Education

Please consider including this proposal on the agenda of the June 21, 2013, meeting of the Board of Trustees:

Proposal:

We hope the Board will approve changing the name of the Department of Foundations and Secondary Education to the Department of Foundations, Technology, and Secondary Education. This program is administered through our School of Education and the proposal for a name change will further clarify the department's inclusion of instructional technology in their program.

Review and Consultation:

The proposal has been reviewed by the faculty and Department Head of the Department of Foundations and Secondary Education, the Dean of the School of Education, and the Provost.

Rationale for Recommendation:

This name change request comes after thoughtful, deliberate discussion among the faculty members in the department, who voted unanimously to forward this request. The rationale is that the name change will bring recognition to the Instructional Technology Master's degree option that was created in this department following Board of Trustees approval at its June 2009 meeting. The change will also lend credence to the importance of instructional technology in teacher education.

Dr. King and I are available to answer any questions you may have regarding this proposal.

Thank you for your time and consideration of this request.

AUBURN UNIVERSITY AT MONTGOMERY

P.O. Box 244023, Montgomery, AL 36124-4023; Telephone: 334-244-3602; Fax: 334-244-3920

Page 58/141
www.aum.edu

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED OPTION IN VISUAL MEDIA WITHIN THE EXISTING
BACHELOR OF ARTS IN MASS COMMUNICATION

WHEREAS, the College of Liberal Arts currently offers the Bachelor of Arts in Mass Communication (Radio/Television/Film); and

WHEREAS, the Department of Communication and Journalism seeks to create a new formal degree option in Visual Media within the existing Bachelor of Arts in Mass Communication; and

WHEREAS, the proposed degree option would provide a unique approach to the development of technical skills for the production of digital communication in various media; and

WHEREAS, the proposed degree option would not require additional resources, faculty, or space; and

WHEREAS, the request to create this option has been endorsed by the Dean of the College of Liberal Arts, the University Curriculum Committee, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed option in Visual Media within the existing Bachelor of Arts in Mass Communication (Radio/Television/Film) be approved and forwarded to the Alabama Commission on Higher Education (ACHE) for review and approval.



AUBURN UNIVERSITY

OFFICE OF THE PROVOST
AND VICE PRESIDENT FOR
ACADEMIC AFFAIRS

May 13, 2013

MEMORANDUM TO: Jay Gogue
President

FROM: Timothy R. Boosinger *Timothy R. Boosinger*
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –
Proposed Option in Visual Media in the Bachelor of Arts in Mass Communication
(Radio/Television)

I am writing to request that the following item be added to the Board of Trustees' agenda for the **June 21, 2013** meeting.

Proposal: The College of Liberal Arts is proposing a new degree option in Visual Media within the existing Bachelor of Arts in Mass Communication.

Review and Consultation: Faculty in the Department of Communication and Journalism initiated this proposal to provide an additional plan of study for students desiring careers in the field of Visual Media. The proposed option in Visual Media will be the first formal option within the existing BA in Mass Communication. The option enables current and future students the opportunity to pursue a course of study that emphasizes multi-media design and writing within the mass communication field. Students will demonstrate knowledge among key areas of traditional and visual media outlets, in addition to technical applications necessary for providing timely information to the public using diverse visual formats.


Establishing this option will allow graduates to pursue a variety of careers in areas such as professional communications, video production, editing, and other careers that emphasize the use of the mass media to disseminate information. If approved, the proposed enrollment for the option would be 50-75 students annually. Following completion of the necessary approval processes, the College of Liberal Arts would begin offering the proposed option immediately.


Recommendation: It is recommended that the Board approve the proposed option in Visual Media within the existing Bachelor of Arts in Mass Communication (Radio/Television). The proposal was reviewed and approved by Auburn University's Curriculum Committee in Spring 2013, and has been approved by the College of Liberal Arts and the Provost's Office. If approved by the Auburn University Board of Trustees, the proposed option will be forwarded to the Alabama Commission on Higher Education (ACHE) for review and approval.




May 9, 2013

TO: Timothy Boosinger
Provost and Vice-President for Academic Affairs

THROUGH: Constance Relihan
Associate Provost for Undergraduate Studies 

THROUGH: Anne-Katrin Gramberg
Dean, College of Liberal Arts 

FROM: Margaret Fitch-Hauser 
Chair, Department of Communication and Journalism

SUBJECT: Item for the Board of Trustees – Proposed Visual Media Option in Existing BA in Mass Communication (Radio/Television)

We are writing to request that the following item be added to the Board of Trustees' agenda for their **June 21, 2013** meeting.

Proposal: The Department of Communication and Journalism in the College of Liberal Arts is proposing a formal degree option in Visual Media, within the existing BA in Mass Communication (Radio/Television) (in CIP 09.0701). If approved by the Offices of the Provost and the President, the proposed option would be forwarded to Auburn University's Board of Trustees for review and approval.

Review and Consultation: The Option in Visual Media is proposed as a means of providing students with the conceptual framework, historical context, and technical skills necessary for the production of digital artworks and the effective use of digital communication. Additionally, the proposed degree option directly addresses the university's strategic goals of strengthening learning and teaching by capitalizing on "students' readiness as digital learners to absorb computer-delivered content," and "elevating academic and enriching the undergraduate experience" by engaging students in a cross-disciplinary approach to visual problem-solving. The proposal was reviewed by the Auburn University Curriculum Committee, and received its approval in Spring 2013.

Recommendation: It is recommended that the proposed Visual Media Option, within the existing BA in Mass Communication (Radio/Television), be approved, and forwarded to Auburn University's Board of Trustees for review and approval.

Visual Media Track for

RTVF Majors

University core requirements for all RTVF majors, including Visual Media Track students
(41 Semester Hours)

ENGL 1100 English Composition I	3
ENGL 1120 English Composition II	3
Core Literature	3
History 1010/1017 World History	3
Core History (to complete sequence requirements)	3
COMM 1000	3
Approved SLO 3 Humanities	3
Fine Arts, RTVF 2350	3
Core Social Sciences	6
Core Math	3
Core Sciences	8

College Core:

(8 semester Hours)

Foreign Language (8)

Department Core: (3)

CMJN 2100: Concepts in Communication & Journalism (3)

Major Core: Radio/TV/Film Major (both regular and Visual Media) (6)

RTVF 3300: Introduction to Mass Communication (3)

CMJN 3350: Visual Communication (3)

Proposed Visual Media track:

RTVF 2420: Introduction to Filmmaking (3)
(formerly RTVF 3420)

RTVF 2700: Introduction to Visual Media (3)

RTVF 3100: Intermediate Filmmaking (3)
(formerly RTVF 4100)

RTVF 3350: Writing for RTVF (3)

RTVF 3820: Sequence Design (3)
(formerly RTVF 4820)

RTVF 4920: Internship (3)

RTVF 4940: Visual Media Projects (3)

Regular Radio/TV/Film Major

RTVF 2800 Multimedia Production or
RTVF 2420: Introduction to Filmmaking (3)

RTVF 3350 Writing for RTVF or
RTVF 3380 Broadcast Newswriting (3)

RTVF 4920: Internship (3)

Major Electives Group One

Select 3 of the following (9)

RTVF 2800: Multimedia Production

RTVF 3210: Soundtracks

RTVF 3580: Reproducing Popular Culture

RTVF 3970: Special Topics

RTVF 4200: History of American Broadcasting

RTVF 4210: Pop Culture and Mass Media

RTVF 4240: Women & Media

RTVF 4260: Media & Reality

RTVF 4280: Diversity Issues & Mass Media

Major Electives Group One

Select 7 of the following (21)

RTVF 2800: Multimedia Production

RTVF 3210: Soundtracks

RTVF 3580: Reproducing Popular Culture

RTVF 3970: Special Topics

RTVF 4200: History of American Broadcasting

RTVF 4210: Pop Culture and Mass Media

RTVF 4240: Women & Media

RTVF 4260: Media & Reality

RTVF 4280: Diversity Issues & Mass Media

RTVF 4297: Special Topics (professional)
RTVF 4300: Broadcast Programming & Criticism
RTVF 4310: Media & Society
RTVF 4320: Broadcast Management
CMJN 4000: Media Law & Regulation
RTVF 4340: TV and Family
RTVF 4350: Media Relations
RTVF 4360: History of International Cinema
RTVF 4370: Media & Religion
RTVF 4380: History of American Film Industry
RTVF 4390: Film Authors
RTVF 4410: Advanced Broadcast News Production
RTVF 4420: History of Media Technology
RTVF 4580: Fame, Celebrity and Media Culture
RTVF 4970: Special Topics
RTVF 4800: Advanced Multimedia Production

Major Electives Group 2

Select 2 of the following courses (6)

COMM 3110: Persuasive Discourse
COMM 3450: Intercultural Communication
COMM 3500: Human Communication
COMM 3600: Rhetoric
JRNL 4410: Journalism History
JRNL 4490: Literary Journalism
PRCM 3040: Found of Public Relations

RTVF 4297: Special Topics (professional)
RTVF 4300: Broadcast Programming & Criticism
RTVF 4310: Media & Society
RTVF 4320: Broadcast Management
CMJN 4000: Media Law & Regulation
RTVF 4340: TV and Family
RTVF 4350: Media Relations
RTVF 4360: History of International Cinema
RTVF 4370: Media & Religion
RTVF 4380: History of American Film Industry
RTVF 4390: Film Authors
RTVF 4410: Advanced Broadcast News Production
RTVF 4420: History of Media Technology
RTVF 4580: Fame, Celebrity and Media Culture
RTVF 4970: Special Topics
RTVF 4800: Advanced Multimedia Production

Major Electives Group 2

Select 2 of the following courses (6)

COMM 3110: Persuasive Discourse
COMM 3450: Intercultural Communication
COMM 3500: Human Communication
COMM 3600: Rhetoric
JRNL 4410: Journalism History
JRNL 4490: Literary Journalism
PRCM 3040: Found of Public Relations

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED OPTION IN HOTEL AND RESTAURANT MANAGEMENT WITHIN THE
EXISTING MASTER OF SCIENCE IN NUTRITION

WHEREAS, the College of Human Sciences currently offers a curricular emphasis in Hotel and Restaurant within the existing Master of Science in Nutrition; and

WHEREAS, the Department of Nutrition, Dietetics, and Hospitality Management seeks to create a formal degree option in Hotel and Restaurant Management within the existing Master of Science in Nutrition; and

WHEREAS, the proposed degree option would provide a formal degree option for current students completing graduate coursework in Hotel and Restaurant Management and would increase the program's visibility among prospective students; and

WHEREAS, the proposed degree option would allow students to complete the formal option in Hotel and Restaurant Management, with the option formally reflected on academic transcripts; and

WHEREAS, the request to create this option has been endorsed by the Dean of the College of Human Sciences, the University Curriculum Committee, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed option in Hotel and Restaurant Management within the existing Master of Science in Nutrition be approved and forwarded to the Alabama Commission on Higher Education (ACHE) for review and approval.

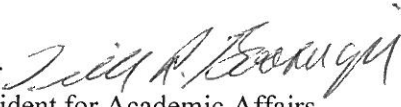


AUBURN UNIVERSITY

OFFICE OF THE PROVOST
AND VICE PRESIDENT FOR
ACADEMIC AFFAIRS

May 13, 2013

MEMORANDUM TO: Jay Gogue
President

FROM: Timothy R. Boosinger 
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –
Proposed Option in Hotel and Restaurant Management in the Master of Science in
Nutrition

I am writing to request that the following item be added to the Board of Trustees' agenda for the **June 21, 2013** meeting.

Proposal: The College of Human Sciences is proposing a new degree option in Hotel and Restaurant Management within the existing Master of Science in Nutrition.

Review and Consultation: Faculty in the Department of Nutrition, Dietetics, and Hospitality Management initiated this proposal to provide an additional plan of study for graduate students desiring careers in the field of Hotel and Restaurant Management (HRMT). The MS in Nutrition currently maintains a curricular emphasis in HRMT with both a thesis and non-thesis track. The request will enable the department to establish the emphasis as a formal degree option that is accurately reflected on academic transcripts.

The attached memorandum of support acknowledges the non-traditional nature of this request to establish the formal option due to the half of the HRMT major core courses not aligning with Nutrition, as stipulated by the Alabama Commission on Higher Education (ACHE). Currently, one-third of the major core courses are shared among the two programs, with the discrepancy due to the inherent differences in the Nutrition and HTMT disciplines. Formal rationales to ACHE for the thesis and non-thesis tracks are included in the supporting documentation.

Establishing this option will formalize an existing academic path and allow graduates to pursue careers in the professional hospitality industry. Following completion of the necessary approval processes, the College of Human Sciences would implement the formal option immediately.

Recommendation: It is recommended that the Board approve the proposed graduate option in Hotel and Restaurant Management within the existing Master of Science in Nutrition. The proposal was reviewed and approved by Auburn University's Curriculum Committee in Spring 2013, and has been approved by the College of Human Sciences and the Provost's Office. If approved by the Auburn University Board of Trustees, the proposed option will be forwarded to ACHE for review and approval.



AUBURN UNIVERSITY
COLLEGE OF HUMAN SCIENCES

May 9, 2013

TO: Timothy Boosinger
Provost and Vice-President for Academic Affairs

THROUGH: George Flowers *DTZ*
Dean, Graduate School

THROUGH: June Henton *JH*
Dean, College of Human Sciences

FROM: Martin O'Neill *MO*
Chair, Department of Nutrition, Dietetics, and Hospitality Management

SUBJECT: Item for the Board of Trustees – Proposed Hotel and Restaurant Management Option in Existing MS in Nutrition

We are writing to request that the following item be added to the Board of Trustees' agenda for their **June 21, 2013** meeting.

Proposal: The Department of Nutrition, Dietetics, and Hospitality Management in the College of Human Sciences is proposing a formal degree option in Hotel and Restaurant Management, within the existing MS in Nutrition (*in CIP 30.1901*). If approved by the Offices of the Provost and the President, the proposed option would be forwarded to Auburn University's Board of Trustees for review and approval.

Review and Consultation: The Option in Hotel and Restaurant Management is proposed as a means of providing students with a cohesive and distinct curriculum in the discipline that will also be reflected on their transcripts. Further, the reestablishment of the existing emphasis as a formal degree option will assist potential students in identifying the program, and enhance the program's profile. The proposal was reviewed by Auburn University's Graduate Council, and received its approval in Spring 2013.

The Department acknowledges that this request for an option is an exception to normal ACHE policy for master's level programs which stipulates that there must be at least 50% commonality on the major core – both thesis and non-thesis programs currently share at least 1/3 of their core in common. This request is driven by the diversity and complexity of the hospitality field and the fact that there are sufficient differences between the nutrition and hospitality disciplines that there is a need for greater flexibility in programming. The approval of such an option would also satisfy the many requests received from students (past and current) for hospitality to be officially recognized on their transcripts and at the same time provide greater exposure for the programs which are currently cloaked under the Masters in Nutrition title. This is very much needed from a credentialing and marketing and promotion perspective. The Department, College of Human Sciences and Auburn University Graduate Curriculum Committees have accepted this rationale and offered strong support for this proposal

Recommendation: It is recommended that the proposed Hotel and Restaurant Management Option, within the existing MS in Nutrition, be approved, and forwarded to Auburn University's Board of Trustees for review and approval.

**ALABAMA COMMISSION ON HIGHER EDUCATION
INSTRUCTION**

**Proposal Form for the Addition of an Option, Track, Specialization, or
Concentration, etc., to an Existing Program**

1. Institution: **Auburn University**
2. CIP Code, Program Title, and Degree Nomenclature of the existing program [see instructions below]:

CIP 30.1901, Nutrition, M.S. (non-thesis track)

Refer to the institution's Academic Program Inventory for the current CIP code, program title, and degree nomenclature for the existing program to which the extension will be added. This information is necessary for the review and evaluation of your request. Failure to include this information will cause a delay in processing the request.

The Inventory for each institution is available online at
<http://www.ache.alabama.gov/Acadaffr/ProgInv/institu.htm>.

[Example: CIP 44.0401, Public Administration, MPA]

3. Name of the proposed extension:
Option in Hotel and Restaurant Management

[Example: Option in Non-Profit Administration]

4. Fill in the table provided with the following information:
 - a. For certificate, associate, and baccalaureate programs, the number of hours in the General Education Curriculum.
 - b. For all program levels, the number of hours in the program core. The program core includes all courses in the major taken by students regardless of option, concentration, specialization, or track.
 - c. For all program levels, the number of hours in the proposed option, specialization, concentration, track, etc.
 - d. For all program levels, the total hours in the program including the new extension/alteration.

Semester Hours in the General Education Curriculum (Certificate, Associate, and Baccalaureate Programs Only)	
Semester Hours in the Program Core	11
Semester Hours in the Option, Concentration, Etc.	22
Total Semester Hours in the Program with the Proposed Extension/Alteration	33

5. List the courses in the program core with the number of semester hours for each:

NTRI 7980/7986	Non-Thesis Research (minimum of 5 credit hours)
NTRI 7050/7056	Methods of Research (2 credit hours)
NTRI 7850/7856	Master's Seminar (1 credit hour)
ERMA 7300/7306	Design and Analysis in Education I (3 credit hours)

6. List the courses in the proposed option, concentration, specialization, or track, etc., with the credit hours for each:

HRMT 6530/6536	Science of Quality Service in Hospitality (3 credit hours)
HRMT 6570/6576	Global Hospitality (3 credit hours)
HRMT 7000/7006	Hospitality Enterprise (3 credit hours)
HRMT 7010/7016	Advanced Tourism Analysis (3 credit hours)
HRMT 8860/8866	Current Issues in Hospitality Management (3 credit hours)
	Elective graduate-level courses (minimum of 7 credit hours)

7. What is the scope or effect of the proposed extension?

- How many of the major courses to be offered by the proposed extension are offered in the existing program?
All of the courses are already being offered.
- How will the proposed extension impact other public institutions?
No impact on other public institutions is expected as a result of this extension.
- Will the proposed extension move the program listing to a new two-digit CIP category in the Commission's academic program inventory?
No

8. What is the impact of the proposed change on the existing program or unit?

- What will be the budgetary impact of the proposed extension?
No budgetary impact is expected.
- What changes in faculty and staff will be required to implement the proposed extension/alteration?

No changes in faculty and staff will be required for implementing the proposed extension.

9. If the extension will require additional resources, please provide a list of sources of funds available for the extension.

No additional resource will be required.

10. Please state the rationale for the extension/alteration.

The extension is motivated by the following:

- Reflecting the diversity and complexity of the hospitality and tourism industry, a significant number of applicants as well as existing students in the Master's program have varied areas of academic and management interests. Students come to the Hotel and Restaurant Management (HRMT) program with diverse interests and varied motives for desiring the Master's degree. The HRMT program allows students more flexibility with their course selections to meet their interests and personalize their education. While there is still a common core that all students are required to complete, there are sufficient differences between the two programs in Nutrition and HRMT that there is a need for a formal option in HRMT.
- While all of the courses are already offered in the HRMT program, the proposed extension seeks to establish HRMT as a formal option, organizing these courses into a coherent unit. The extension responds to the requests of students for the HRMT officially recognized on their transcripts.
- This extension in the degree also addresses the pressing need for applicants interested in the HRMT program to be able to recognize and apply to the desired course of study. Currently, applicants must apply to the program in Nutrition. The proposed extension will provide more visibility to this option, attract additional applicants, and also make it easier for applicants to locate the HRMT Master's program.

Signature of Institution's Authorized Representative

Date

Title

Institution

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED SCHOOL DESIGNATION FOR THE
DEPARTMENT OF COMMUNICATION AND JOURNALISM

WHEREAS, the Office of the Provost maintains General Administrative Guidelines for the Designation of Departments, Schools, or Colleges; and

WHEREAS, the Department of Communication and Journalism in the College of Liberal Arts has presented clear and compelling evidence that it meets the requirements for designation as a school within that college; and

WHEREAS, the Department of Communication and Journalism has requested that its designation be changed to School of Communication and Journalism in the College of Liberal Arts; and

WHEREAS, this request has been endorsed by the Dean of the College of Liberal Arts, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed change in designation be approved and reported to the Alabama Commission on Higher Education as an item of information.

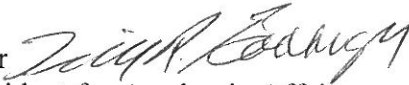


AUBURN UNIVERSITY

OFFICE OF THE PROVOST
AND VICE PRESIDENT FOR
ACADEMIC AFFAIRS

May 14, 2013

MEMORANDUM TO: Jay Gogue
President

FROM: Timothy R. Boosinger 
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –
Proposed Change in Designation of the *Department of
Communication and Journalism* to the *School of Communication
and Journalism*

I am writing to request that the following item be added to the Board of Trustees' agenda for the June 21, 2013 meeting.

Proposal: It is recommended that the Board approve the proposed change in the Designation of the Department of Communication and Journalism to the School of Communication and Journalism.

Review and Consultation: Faculty in the Department of Communication and Journalism initiated this request to be granted School status and renamed the School of Communication and Journalism. In reviewing the criteria necessary for a unit to receive School status, the Department of Communication and Journalism currently meets or exceeds the minimum requirements including the number of degrees offered (currently four baccalaureate and one graduate degree programs), the minimum number of majors (926 students in fall 2012), the appropriate number of FTE faculty at the rank of Assistant Professor or higher (currently 21 faculty members), and the minimum 5,000 Student Credit Hours (approximately 15,223 during the 2011-2012 academic year).

As a School, the unit would continue to deliver high quality programs, and would have the potential to grow these programs through increased visibility and extramural funding. Designating a School of Communication and Journalism would also enable the College of Liberal Arts to better recruit prospective students and faculty. Establishing the department as a school would align the unit's designation with programs of similar size at peer institutions.

Recommendation: The proposed Change in Designation of the Department of Communication and Journalism to the School of Communication and Journalism would enhance the profile of the programs and the College of Liberal Arts. I recommend immediate approval of this proposal.



COLLEGE OF LIBERAL ARTS

OFFICE OF THE DEAN

To: Dr. Timothy Boosinger, Interim Provost

From: Dr. Anna Gramberg, Dean
College of Liberal Arts

Date: August 25, 2011

RE: Department of Communication and Journalism

I support the proposal to rename the Department of Communication and Journalism (CMJN) to the School of Communication and Journalism. This move implements part of a long range vision established in the CMJN Futures Report that the Executive Committee of the department voted to support on September 14, 2010. The full-time faculty voted to support the name change in a 17 to 1 vote on August 15, 2011. The name change also supports a recommendation of the External Review Team. The Department meets all of the criteria established for school status as outlined in the attached proposal.

The changing of the name will be beneficial in a number of ways. These include enhanced development opportunities, cross-disciplinary research opportunities, outreach possibilities, and educational opportunities.

Development: As indicated in the attached proposal from the department, a review of a number of peer institutions found no departments that have been named after distinguished alumni. Departments are not attractive to individuals who have the money to have an academic unit named for them. Schools and colleges are a different matter as the following examples show: The Henry Grady College of Journalism and Mass Communication (Georgia), Meek School of Journalism and New Media (Mississippi), Perley Isaac Reed School of Journalism (West Virginia), and the Manship School of Mass Communication which was under the College of Arts and Sciences at Louisiana State University until very recently.

I also feel that school status would be attractive to donors and foundations who are interested in supporting research in the area of communication. For example, Ball State University which has a College of Communication, Information, and Media was able to attract a \$20 million grant to establish a Center for Media Design which supports multidisciplinary digital media research on how digital technology impacts the way people "live, learn, work and play." Once the Center was established, the Lilly Foundation gave them a second \$20 million grant.

Auburn already enjoys the reputation of being in the forefront of innovations in the development of wireless and digital technology. The Department of Communication and Journalism has faculty who are actively engaged in teaching how this technology impacts the human communication process as well as the human usability of technology. One of the recommendations of the CMJN long range vision is to pursue the development of a Center for

321 TICHENOR HALL

AUBURN, AL 36849-5223

TELEPHONE:

334-844-4026

FAX:

334-844-2378

New and Emerging Media. This center is envisioned to eventually provide support for instruction in all four curricula, support faculty research, and open doors to outreach possibilities. School status should make the unit more attractive to foundations who are interested in advancing knowledge in this area.

Research and Faculty Development: As stated above, the faculty in the department actively research a number of areas ranging from media effects to rhetorical strategies. They do so with minimal access to research facilities. If school status does attract development dollars and/or foundation dollars to create the Center for New and Emerging Media, CMJN has plans that would allow faculty in all curricula to have access to state-of-the-art research facilities that would include the ability to simulate media usage. In addition, they would be able to work with researchers in technology who are interested in testing the usability of their products. School status has great potential to enhance the already productive faculty research agendas by opening doors to cross-disciplinary research. Technology is playing ever larger roles in all facets of communication. The impact of the internet and social media seems to be growing on a daily basis. This emphasis on media is compatible with the College of Engineering's pioneering efforts in wireless technology. This type of alliance should also be more attractive to granting agencies that fund research on the usage of technology such as The Council for Research Excellence created by the Nielsen Company.

Over the past year, The College of Liberal Arts has created a Usability Lab which will provide a controlled environment in which user interactions with print and online documents can be studied. This cross-disciplinary research is an example of the type of research that could be supported by a School of Communication and Journalism as well as the possible Emerging Media Center.

The department is working on enhancing its graduate program. The enrollment has increased over the past several years and now reached 30. Many graduate students look at the research agenda of graduate faculty in selecting their programs. Opening doors to cross-disciplinary research involving the impact and use of communication technology would attract graduate students interested in studying this area. The opportunity to engage in research on current trends and media used in both the practice and study of communication will be attractive to both current and future graduate students. Engaging in research at the Master's level also makes these students more attractive to doctoral programs as well as prospective employers who are incorporating advanced communication technology into the day-to-day operations of their business.

Auburn's current emphasis on developing the Honors Program and undergraduate research could also be supported by granting school status to the department. Two honors theses written in the department over the past few years have focused on the use of social media. Undergraduate students are motivated to work with faculty on research that they feel is relevant to them. Research on new and emerging media is of great interest to students because it is an ever present part of their lives. Students in the College of Liberal Arts should be actively engaged in research that shows how technology impacts the way we live. The School of Communication and Journalism would be ideally situated to expand that interaction.

Outreach: Another potential that a School of Communication and Journalism brings is enhanced outreach possibilities. One international firm has already expressed interest in establishing a relationship with the program that would allow them to bring their employees to

Auburn for training. Some of this training would be done by CMJN faculty. This firm also supports research. If the school established an outreach partnership with them or other like-minded companies, there is a good chance that research money would follow.

Related to outreach is service learning. A number of classes in the department currently engage in service learning. These classes are producing newspapers, newsletters, websites, podcasts, promotional kits, and other usables for their community partners. Projects from these classes have been presented at national conferences and have enhanced the reputation of both the department and the university. This type of visibility should be presented with a name that is perceived to be important to the university.

Instruction: The programs in the Department of Communication and Journalism are united by their study of human interaction, both mediated and not. They do this with minimal facilities. Being named a school should enhance the department's credibility and visibility. This enhanced visibility will make the program more attractive to well-known speakers, such as former FCC Commissioner Deborah Taylor Tate, who could talk with students and faculty about the ever changing demands of the communication workplace. Additionally, these interactions can lead to more internship opportunities.

As the Department builds on its current strengths, it hopes to enhance the image of Auburn as a leading force in the world of technology by pursuing state-of-the-art facilities in which to teach courses. Part of the dream for the school would be to attract resources to build state-of-the-art instructional facilities that would allow both undergraduate and graduate students to work with equipment that is similar to what they will encounter in their internships and jobs. Students from other universities against whom Auburn students compete have this opportunity.

In conclusion, while the request to change the name of the department to a school does not involve any changes in structure, budget, facilities, or relationship to the College of Liberal Arts, it does enhance the opportunities to attract major gifts, research grants, and emphasize the role of a liberal arts education in the twenty-first century.

Cc: Dr. Margaret Fitch-Hauser, Chair
Department of Communication and Journalism.



AUBURN
UNIVERSITY

COLLEGE OF
LIBERAL ARTS

**Department of Communication and Journalism's
Proposal for School Designation Status**

Respectfully submitted by:

Jennifer Wood Adams, Ph.D.

Committee Chair
Associate Professor
Journalism Program Director

Brigitta R. Brunner, Ph.D.

Associate Professor
Public Relations Program Director

George Plasketes, Ph.D.

Professor
Radio-Television-Film Program Director

Robert R. Agne, Ph.D.

Associate Professor
Communication

Susan L. Brinson, Ph.D.

Professor
Radio-Television-Film

Mary Helen Brown, Ph.D.

Associate Professor
Communication

Proposal for School Designation Status

JUSTIFICATION FOR SCHOOL STATUS

The former School of Journalism director at the University of Texas at Austin succinctly captures the reasons behind why a department would want to become a school. In November 2000, UT-Austin's Department of Journalism became the School of Journalism. "The designation of the journalism program as a 'school' puts us in a much better academic position relative to our peer programs - the very best journalism programs in the country. These are the programs with which we compete for resources from various professional associations, for strong faculty and for graduate students," said former School of Journalism Director Lorraine Branham in a story posted on UT's College of Communication website.

The programs in Auburn University's Department of Communication and Journalism are on par with the elite schools and colleges in our fields across the country. We have revised our course offerings and curricula to reflect the most up-to-date knowledge and skill sets communication and mass communication majors need to secure quality internships and post-graduation employment. We use state-of-the art, industry-standard equipment and software to ensure that our graduates are able to compete with graduates from our peer institutions.

But, as we all know, perception is everything. Becoming a school will elevate our status with our peer institutions and make us more appealing to prospective undergraduate, graduate students and faculty. It will level the playing field for faculty seeking grants, research funding or support for projects by giving us more prestige. No longer will we be seen as a small department at a land-grant institution, but as a dynamic, highly regarded School of Communication and Journalism. We know we already are all of these things. However, a change in designation from a Department to School will indicate our pursuit for excellence to our graduates, majors, prospective students and faculty and to the entire academic community.

AUBURN UNIVERSITY'S GENERAL ADMINISTRATIVE GUIDELINES FOR DESIGNATION OF DEPARTMENTS, SCHOOLS, AND COLLEGES

[http://www.auburn.edu/academic/provost/policies/Designation of Departments, Schools and Colleges.html](http://www.auburn.edu/academic/provost/policies/Designation%20of%20Departments,%20Schools%20and%20Colleges.html)

To become a school, a department must provide justification for such a designation. There are five overarching principles that we must address. They are listed below.

OVERARCHING PRINCIPLES

1. Designation would enhance the unit's ability to serve all three areas of Auburn's mission.

Designating the Department of Communication and Journalism as the School of Communication and Journalism would enhance the unit's ability to serve all three areas of Auburn's mission: teaching, research and outreach. The Department houses four diverse, healthy undergraduate programs with majors in communication, journalism, public relations and radio-television-film, as well as a highly regarded graduate program. In terms of teaching, the Department and all its programs have been viable for many years, and all four programs continue to be popular choices for students. Further, many of the Department's faculty have received College- and University-level awards in recognition of the quality of their teaching. The Department also displays a diverse research focus. Our faculty and students publish regularly in highly recognized journals, publish books through top university presses and present research at the best conferences in our field. In addition, members of the faculty have served as editors and on the editorial boards of a number of national, regional, and international publications. Finally, our faculty and students regularly participate in outreach and service opportunities for the University and outside constituents and are widely recognized for their work.

2. Units with similar missions in peer institutions are commonly designated as such.

Please see page 14 for a list of Auburn University's peer institution and the designation of their communication and/or mass communication programs as Colleges, Schools, Departments or Programs. Of the 46 universities listed, 56.5 percent have their communication/mass communication programs designated as a College and/or School and 43.5 percent have departments only. Of the 11 universities in the SEC, 72.7 percent are housed in a College and/or School. Many of these Schools are "named" Schools. Naming rights to a School is an attractive option for a potential donor because the name stays with School. When a donor buys the rights to a building, the name only stays on the building for as long as the building exists. For instance, the name "Foy" was not transferred over to the new student center. However, the name attached to a School will remain with the School and is not tied to a specific building. The Harrison School of Pharmacy or the McWhorter School of Building Science will retain their names no matter the building in which they are housed.

3. The unit has identified metrics for the assessment of its quality, peer institutions to which it can compare itself on those metrics, and a strategy for improving its performance on those metrics.

Metrics

- Identify mission statement and vision
- Image, profile, recognition at college, university, state, regional, national and international levels
- Advancement of excellence in research, scholarship creative and professional activity
- Advancement of excellence in teaching, instruction and curriculum development
- Recruitment of quality faculty and students

- Engagement in professional and public service
- Alumni relations
- Resources, facilities and equipment
- Fundraising

Peer Institutions

The Meek School of Journalism and New Media at The University of Mississippi and School of Journalism at The University of Texas at Austin may be among the most compatible institutions to use as models. In addition to its regional proximity, The Meek School represents the most recent transition (2007) and resemblance in structure, size and curriculum.

Measuring metrics

Essentially, the metrics reflect the overarching departmental and more specialized individual unit standards that include mission, objectives, governance, administration, curriculum, instruction, service and research, creative and professional activity, resources and facilities, et. al. The annual departmental, individual unit and faculty evaluations that document progress, improvement and needs should provide necessary information relevant to these metrics. In addition to qualitative and quantitative methods, it might be beneficial during the initial transition period to employ an annual on-site consulting visit from an administrator from one of the peer institutions such as the University of Mississippi.

4. There is a clear and compelling need for such designation.

Mass communication and journalism departments in the SEC have mostly become Schools. Becoming a School will keep Auburn up to date with mass communication and journalism programs in our region and around the country. While these Schools largely exclude communication studies, our communication studies program may benefit by looking more attractive to prospective students by being housed in a School.

5. Such designation will provide a clear and compelling benefit to the university and will enhance the performance of the university's mission.

School status would support our faculty's and students' ability to compete with other institutions in the Southeastern Conference and in the region. The majority of these institutions have School or College designation for equivalent or similar units. Designating Auburn's Department of Communication and Journalism as a School would have the effect of making it more competitive with institutions, such as the Universities of Alabama, Florida and Georgia in its ability to recruit high quality faculty and students. Further, the designation would put the unit on more equal footing in its efforts to obtain grants and other development initiatives. The ability to obtain increased funding and to recruit high quality faculty and students will enhance our ability to fulfill Auburn's mission.

Almost all research centers or institutes are housed in Colleges or Schools, not departments. Becoming a School would help us become more competitive when applying for funding for communication and mass communication research projects.

Some examples include:

- The Plank Center is located in the College of Communication & Information Sciences at The University of Alabama
 - <http://www.plankcenter.ua.edu/>

- The College of Journalism and Communications at the University of Florida is home to the Institute for Public Relations
 - <http://www.instituteforpr.com/>
- The Public Policy Research Lab is in the Manship School of Mass Communication at the University of Louisiana
 - <http://uiswcmweb.prod.lsu.edu/manship/MassComm/ResearchandOutreach/ManshipResearchFacility/item15933.html>
- Risk and Health Communication Research Unit is located in the School of Advertising and Public Relations and the Center for Information and Communication Studies is housed in the School of Information Sciences at the University of Tennessee
 - <http://research.utk.edu/rcc/risk-and-health-communication-research-unit/>
 - <http://www.cci.utk.edu/cics>
- The Grady College of Journalism and Mass Communication at the University of Georgia houses the James M. Cox Jr. Center for International Mass Communication Training and Research and the New Media Institute
 - http://www.grady.uga.edu/about_grady.php?al1=About+Grady&al2=Points+of+Pride&page=about_pop.inc.php#centers
- The University of South Carolina's School of Journalism & Mass Communications houses the Newsplex
 - <http://newsplex.sc.edu/>
- Boston University's College of Communication is home to the Communication Research Center
 - <http://www.bu.edu/com/academics/centers/crc/>
- The University of Illinois' College of Media houses the Institute of Communications Research
 - <http://media.illinois.edu/icr/>
- The University of Southern California has more than a dozen research centers and projects
 - <http://annenberg.usc.edu/Research/Centers%20and%20Programs.aspx>
- The Health Communication Research Center is located in the University of Missouri's School of Journalism
 - http://hcrc.missouri.edu/about_us.html

AUBURN UNIVERSITY'S GENERAL ADMINISTRATIVE GUIDELINES TO BECOME A SCHOOL

[http://www.auburn.edu/academic/provost/policies/Designation of Departments%2C Schools and Colleges.html](http://www.auburn.edu/academic/provost/policies/Designation%20of%20Departments%20Schools%20and%20Colleges.html)

Designation of Schools

A specialized administrative unit organized to deliver instructional programs which contribute to a limited number of specialized baccalaureate, first professional and/or graduate degree programs and to deliver associated research and extension/outreach programs. A school may be free-standing or a unit within a college. A school may or may not be organized into departments. A school normally demonstrates a degree of independence, providing instruction principally for its own majors.

1. One accredited baccalaureate or first professional degree

The Department of Communication and Journalism has four baccalaureate degrees, Communication, Journalism, Public Relations and Radio-Television-Film with three of those degrees accredited. The journalism, public relations and radio-television-film programs are accredited through the Accrediting Council on Education in Journalism and Mass Communications (ACEJMC).

2. Two hundred majors across all degree programs

In the 2010-2011 academic year, the Department of Communication and Journalism had

843 majors and pre-majors (622 majors and 221 pre-majors) and 24 graduate students.

3. Twenty faculty FTEs at the assistant professor level or above

In the 2010-2011 academic year, the Department of Communication and Journalism had 20 full-time professorial lines at the assistant professor or above. The FTE for Fall 2010 for the department of CMJN was 822 students (from a total student count of CMJN equaling 869).

The FTE for Spring 2011 for the department of CMJN was 763 students (from a total student count of CMJN equaling 834).

4. Student credit hour production equivalent to budgeted instructional faculty FTE but no less than 5000 student credit hours per academic year.

The Department of Communication and Journalism's student credit hour production for Spring 2011 was 6,941.

PROPOSED STRUCTURE OF THE SCHOOL OF COMMUNICATION AND JOURNALISM

The School of Communication and Journalism will remain a unit in the College of Liberal Arts. The four degree programs, Communication, Journalism, Public Relations and Radio-Television-Film, will keep their program designations. The title of the department chair and the four program directors could change in the future to reflect a School structure.

NAMING RIGHTS AT AUBURN UNIVERSITY AND WAYS PREVIOUS DONATIONS HAVE BEEN USED

A donor would need to make a pledge of at least \$5 million to secure the naming to a School at Auburn University. Below are two of the bigger gifts given to Auburn University in the last 10 years:

In August 2007, Auburn alumnus Earlon McWhorter and his wife Betty, gave \$5 million to the College of Architecture, Design and Construction. The gift provided endowments for the Department of Building Science, the Design-Build graduate program and the CADC dean's position. The Design-Build Fund for Excellence Endowment enables students in the progressive, context-based master's program to continue their mission of learning through experience and integrated practices. The Endowed Eminent Scholar Chair in Building Science, the Endowed Chair for the future Department Head of Building Science, and the Endowed Chair for the future CADC dean will recognize outstanding teachers and administrators and their academic units by providing resources to ensure these key positions are adequately funded. Endowed chairs provide salary supplements to existing salaried positions as well as funds for other needed support for the recognized administrator and professor. (<http://wireeagle.auburn.edu/news/183>) In November 2007, the AU Board of Trustees voted unanimously to recognize the quality of the program by making it a school and to pay homage to the McWhorters by renaming the program in their honor.

<http://www.eng.auburn.edu/admin/marketing/spotlights/alumni/samuel-ginn.html>

In 2001, Anniston, Ala. native Samuel Ginn donated \$25 million to Auburn University with the goal of advancing his alma mater into the ranks of the nation's top wireless engineering research and teaching institutions. Ginn's donation is the largest single gift in the 148-year history of the university and is believed to be the largest single cash gift in Alabama higher education. In recognition, the college was renamed the Samuel Ginn College of Engineering. Ginn set the bar high with his gift by challenging College of Engineering alumni and friends to raise an additional \$100 million in endowment and to generate a minimum of \$50 million for 25 new endowed chairs in engineering. He also requested that the college develop an undergraduate program in wireless engineering.

**College of Liberal Arts Dean Anne-Katrine Gramberg
Minutes from meeting on Feb. 12, 2011**

Gramberg said the structure of the School is entirely up to the CMJN faculty. The faculty can decide if we want to continue to be programs within a School or if we want to turn our programs into departments within the School. Gramberg indicated that we can rename the chair and program director positions to School director and program chairs, but there will be no additional money to pay four chairs. She said she is supportive of us becoming a School it would give us more clout and doesn't cost the College any additional money.

Gramberg said she would not pressure us one way or another to become a School. She said it was the faculty's decision. The CMJN faculty needs to decide if become a School would give our majors more prestige upon graduation.

Gramberg suggested that we contact our peer institutions since so many of their mass communication programs are already Schools or colleges. She said we should find out from them why they find being a School or college is advantageous.

We indicated to Gramberg that we keep hearing that becoming a School would be a change in "name only." We indicated that it concerned us because administrators change over time and a new administrator might have different expectations for a department. She said if we decide to pursue School status that a formal document will be drawn up. The document will indicate the structure of the School, the School's leadership (chairs, directors, etc.) and the types of programs or departments in the School. The document would be approved at the College level, the Provost level and the Board of Trustees would vote on the request. Gramberg said we would have her support and that she thought (former) Provost Mazey would support the move.

We asked Gramberg how the \$5 million would be used if a donor stepped forward and wanted the naming rights to the School. She said the donor decides how the money is used and that is indicated on a contract the donor signs when he/she gives the money. The money is then used for that specific purpose. A provost or dean cannot alter how the money is used after the donor contract is signed. A donor can come back and amend his/her contract after the money is given to change or alter how the money can be used.

Dr. Jennifer Adams's Interview with Mary Baird, Director of Development, College of Liberal Arts on July 12, 2011

Mary Baird, along with CLA development directors Carrie Carpenter and Vivian Langley, work to secure monetary gifts from donors. Outright gifts may be in the form of cash, securities, real estate or personal property. Grants that faculty apply for are a completely different means of securing money for projects. Development is not involved with grants.

Baird said the chairs or directors of programs are involved somewhat in development if there is a specific donor who the development officers want the chair/director to meet. She said faculty in the College of Liberal Arts are not usually involved in meeting donors or speaking to potential donors in regards to gifts. Baird said there may be an instance where a particular professor has a previous relationship with a specific donor and that professor could be brought into the conversation about the gift.

Baird said the School of Fine Arts, the only other School in the College of Liberal Arts, does not have any additional development requirements or expectations that differ from CLA's departments.

The naming rights for a School at Auburn is \$5 million. Baird said if someone came forward and indicated that he/she would like to secure the naming rights for a School that the president, provost, dean and department chair would be involved in the discussions. She said the president is involved in all donations at that level.

Once a donor makes a gift, he or she gives the money to Auburn University and the money is Auburn's. The donor cannot come back and insert him/herself in the business of the School. Baird said when the development contract is drawn up the criteria is laid out for how the money will be used and that criteria must be followed. She said the donor cannot come back in and make changes unless he/she officially amends the agreement.

Baird said many times donors will give money to start a new degree program, create endowed chairs, scholarships and support student and faculty research or travel. Baird used Samuel Ginn's \$25 million gift to the College of Engineering as an example (See page 17 for more information). Ginn's 2001 gift was used to endow chairs in engineering and to start a wireless engineering undergraduate program.

Auburn does not automatically take money from donors if what the donor wants done with the money does not enhance or make sense for the university. Baird said if the donor is too restrictive with the request or if it is not in the best interest of Auburn University, then the university will not accept the money.

Mary said while the faculty can vote to become a School, the Board of Trustees can make us a School at any time. (Note: In 2007, the BOT did just that with the naming of the Earlon and Betty McWhorter School of Building Science. According to an AU press release, the change in designation of AU's building science program from a department to a school brings Auburn's program in line with its Peer Six program competitors which include the University of Florida, Virginia Tech and Arizona State, each of which has been granted 'school' status." See Page 19 for the full press release.)

Baird said by voting to become a School now, the faculty will have input on the structure and direction of the School.

She thinks that prospective students and their parents will see our programs as more prestigious if we are a School. She said it would elevate the value of their degrees by elevating our program to a different level.

She said she thinks our alumni would see the creation of a School of Communication and Journalism as a big achievement and view it as a real accomplishment. After being made to merge, many thought our programs would suffer. She said becoming a School would be a real coup, if you will, and demonstrate that we've come out the other side even stronger. She believes our alumni would strongly support a School designation.

Dr. Margaret Fitch-Hauser's Interview with David Perlmutter, Director of the School of Journalism and Mass Communication, College of Arts & Sciences, University of Iowa.

Who does the fundraising for the College and for the units within the College? Dr. Perlmutter works with a development officer from the Foundation and the Dean of the College to raise funds for the School. Part of his job description is fund raising. Dept. Chairs/heads are not expected to engage in fund raising at Iowa.

How involved are faculty in fundraising at the College level and at the School level? Minimally. Occasionally one will have lunch with an alum.

What is the distinction in Schools and Departments in the CLA in terms of expectations? The director of the School engages in fundraising. Most distinctions between Schools and departments focus on expectations of the unit leader – particularly in the area of fundraising.

Are the Schools within the college treated any differently from the departments? Not really. Schools do tend to have more students than departments but not always. For example, The Department of Communication Studies has more students than the School of Mass Communication & Journalism. He does feel that Schools cover more areas than departments. So the scope of coverage is greater. Covering media and the constant changes and demands of all media is broader in scope than, say, English.

Are there any advantages/disadvantages to being a department vs. a School? See below

In your opinion, are Schools more attractive than Departments to donors? Absolutely! Becoming a School is a crucial step in fund raising. Donors find Schools more attractive.

Dr. Margaret Fitch-Hauser's Interview with Dan O'Hare, Dean of the College of Communication & Information Sciences at the University of Kentucky.

Who does the fundraising for the College and for the units within the College?

The college has a dedicated development officer who coordinates all fundraising efforts for the units within the college. The School of Journalism & Telecommunication does quite a bit of independent fundraising in coordination with the development office. The Department of Communication isn't very involved in fundraising except in the seeking of research grants. The School of Information Sciences does engage in some fund raising.

How Involved are faculty in fundraising? The directors of the Schools do most of the fundraising. Faculty who direct centers are very active. Regular faculty are pretty much limited to attending advisory council meetings when asked and receptions for alumni.

Are the Schools within the college treated any differently from the departments? No

Are there any advantages/disadvantages to being a Department vs. a School?

The use of School does seem to add an air of professionalism in the perception of the unit. It probably helps in fund raising. The School is a bit more complex in structure than a department. In a School each area can develop a little more autonomy. It is always a challenge to balance the autonomy with the cooperation between the units and maintaining the synergy.

How are the Schools structured? At KU, the Schools have a Director and In Journalism and Telecommunication, the three areas have a coordinator. We don't give any additional compensation for that position. The person who is currently the Director also occupies the position of Associate Dean. In this case, she volunteered to do this. The Department of Communication was chaired by a woman who also served as Associate Dean of Research. She resigned as Chair a few weeks ago to focus fully on the position of Associate Dean.

In your opinion, are Schools more attractive than departments to donors? See above

Dr. Susan Brinson was asked by the committee chair, Dr. Jennifer Adams, to research reasons why we would not want to become a School. She was asked to contact other Schools that have recently obtained School status or become a named School and ask about the positives and negatives of becoming a School or a named School.

TO: Ad-hoc committee on "School" status
 FROM: Susan Brinson
 RE: potential negative implications of becoming a School
 DATE: June 22, 2011

The following reports a synthesis of the information I learned regarding the possible negative implications of becoming a School, which was my assignment from Jennifer. Although I sent many email invitations to Schools around the country, I received only 2 responses. Dean Barbara O'Keefe at Northwestern's School of Communication generously devoted a 30-minute phone conversation to answer my questions, and Dean Jorge Schement of Rutgers' School of Communication and Information sent a lengthy email response. Despite the fact that only 2 people responded, their information provides substantial "food for thought."

Fundraising abilities

One of the arguments currently being made to support pursuing "School" status is the ability to raise funds. While it's a compelling argument, these two sources indicate that it won't be as straightforward as it sounds.

Both O'Keefe and Schement frequently remarked that one of their primary responsibilities is to raise money for their School. Granted, they're both at private institutions that expect their Schools to be self-sufficient. Nonetheless, their central administrations "grant" them access to financial sources. While our department/School may not have the same revenue-generating expectation, we are restricted from approaching certain "high profile" sources of financial help.

The focus on revenue-generation likely would have an impact on department politics as well. O'Keefe explained that everyone in the department would have to participate in fundraising. As she put it, "everyone has to be willing to be more entrepreneurial." If there isn't 100% buy-in, then a division is likely to develop between those who generate funds and those who don't, with the equally likely result being resentment between the few who generate the money and everyone else spending it.

Finally, O'Keefe stressed the importance of developing a detailed business plan for the department/School that specifies how money will be generated and allocated. The business plan should be transparent, as it would need to be shared with administration and constituent groups.

Administration

The sources indicated that several issues likely would develop related to administration and becoming a School.

One of O'Keefe's first questions asked whether we had 100% support from our dean and provost. I explained that Dean Gramberg seemed non-committal, and our provost was leaving and we would probably have an interim provost for 9-12 months before getting a new provost. She believed that

these were not ideal situations for developing a School, particularly the provost situation. An interim provost likely would not support such a move, and a new provost would want to acclimate to campus issues first.

Both Schement and O'Keefe indicated that additional complications probably would accompany becoming a School. To quote Schement at length,

All of the challenges experienced by a department are present in a School, but to a greater degree.

A department can evolve rapidly to meet changing circumstances. A School, however, experiences the inertia of a larger bureaucratic unit. Moreover, the School's identity (e.g., professional School) places it within the constellation of a university's Schools in such a way that may limit its [sic] degrees of freedom.

And, inevitably, Schools find themselves in competition with their kin within a university. Regardless of the economic system that drives the School, status, influence, and prestige will be sources of competition with other Schools within a university.

Schement further asserted that departmental debates about whether to become a School can be damaging. He asserted that, "the formation of a School often comes accompanied by much internal politicking. Jealousies and hurt feelings that accompany the inauguration of the School can bestow a legacy that is felt for years to come."

Lastly, I asked O'Keefe about assessment at the School level. She explained that her School devoted considerable effort to continually assessing their programs, and the information they generated affected everything (curricula, course scheduling, faculty and student recruitment, faculty accomplishments, funding, etc.). Thus, they have many assessment tools that are refined constantly.

PEER INSTITUTIONS AND THEIR DESIGNATIONS AS COLLEGES, SCHOOLS, DEPARTMENTS OR PROGRAMS

University	College	School	Department	Program
Alabama	Communication & Information Sciences	Library & Information Studies	Advertising & Public Relations; Communication Studies; Journalism; Telecommunication & Film	
Arkansas			Communication; Journalism	
Auburn			Communication & Journalism	
Clemson			Communication Studies; Graphic Communications	
Colorado State			Communication Studies; Journalism	
Connecticut-Storrs			Communication Sciences; Journalism	
Delaware			Communication	Journalism*
Florida	Journalism and Communications		Advertising; Journalism; Public Relations; Telecommunication	
Florida State	Communication and Information	Communication; Communication Science & Disorders; School of Library & Information Studies.		
Georgia	Grady College of Journalism & Mass Communication		Advertising & Public Relations; Journalism; Telecommunications; Communication Studies*	
Georgia Tech			Literature, Communication, & Culture	
Idaho		Journalism & Mass Media	Psychology & Communication Studies	
Illinois-Urbana-Champaign	Media		Communication*; Advertising; Agricultural Communications; Communications & Media; Journalism; Media & Cinema Studies	
Iowa State		Greenlee School of Communication & Journalism		
Kansas State		AQ Miller School of Journalism and Mass Communication	Communication Studies*	

University	College	School	Department	Program
Kentucky	College of Communications & Information Studies	Journalism & Telecommunications; Library and Information Science	Department of Communication	
Louisiana State		Manship School of Mass Communication	Communication Studies	
Maine			Communication & Journalism; New Media	
Maryland	Philip Merrill College of Journalism		Communication*	
Massachusetts			Communication	Journalism*
Mississippi		Edwin & Becky Meek School of Journalism and New Media		
Mississippi State			Communication	
Missouri		Journalism	Communication*	
Montana State		Film and Photography		
Nebraska	Journalism & Mass Communication		Communication Studies	
New Hampshire			Communication	Journalism*
New Mexico State			Communication Studies; Journalism & Mass Communications	
North Carolina		Journalism & Mass Communication	Communication Studies*	
North Carolina State			Communication	Journalism*
North Dakota State			Communication	
Oklahoma	Gaylord College of Journalism & Mass Communication		Communication*	
Oklahoma State			Media & Strategic Communication	
Oregon State		Arts and Communication	New Media Speech Communication	

University	College	School	Department	Program
Pennsylvania State	Communications		Advertising/Public Relations; Communication Arts & Sciences*; Film-Video & Media Studies; Journalism; Telecommunications	
Purdue			Communication	
Rhode Island		Harrington School of Communication and Media	Communication Studies; Film Media; Library & Information Studies; Journalism; Public Relations; Writing & Rhetoric	
Rutgers		Communication & Infor	Communication; Information Technology & Informatics; Journalism & Media	
South Carolina	Mass Communications & Information Studies	Journalism & Mass Communications		Speech*
Tennessee	Communication & Information	Advertising and Public Relations; Communication Studies; Information Sciences; Journalism & Electronic Media		
Texas A&M			Department of Communication	Journalism*
Texas	Communication	Journalism	Advertising & Public Relations; Communication Sciences & Disorders; Communication Studies; Radio-Television-Film	
Utah State			Journalism & Communication; Languages, Philosophy, & Speech Communication	
Virginia Tech			Communication	
Washington State	Edward R. Murrow College of Communication			
West Virginia		Perley Isaac Reed School of Journalism	Communication Studies	
Wyoming			Communication & Journalism	

*In a separate academic unit.

<http://www.eng.auburn.edu/admin/marketing/spotlights/alumni/samuel-ginn.html>

In 2001, Anniston, Ala. native Samuel Ginn donated \$25 million to Auburn University with the goal of advancing his alma mater into the ranks of the nation's top wireless engineering research and teaching institutions. Ginn's donation is the largest single gift in the 148-year history of the university and is believed to be the largest single cash gift in Alabama higher education. In recognition, the college was renamed the Samuel Ginn College of Engineering. Ginn decided to make the gift after reflecting on his meteoric career in telecommunications that began following his graduation in 1959 from Alabama Polytechnic Institute, now Auburn University.

"As I began to think about my own life, how rewarding my career has been and how much fun I've had, I asked myself what's been important to me," says Ginn. "Auburn was among the things I immediately thought of. I want the young men and women in Alabama to have a shot in an industry that's likely to grow and be fascinating in the years to come. I see Auburn as the vehicle to provide the background and training to give them that opportunity."

Ginn set the bar high with his gift by challenging College of Engineering alumni and friends to raise an additional \$100 million in endowment and to generate a minimum of \$50 million for 25 new endowed chairs in engineering. He also requested that the college develop an undergraduate program in wireless engineering.

"I think that one of the things we have to do at the College of Engineering is make sure we have the kind of faculty that can do wonderful research but also teach students to go out to industry and make valuable contributions to wireless in the technical arena," says Ginn. "As the research drives more capability into wireless devices and comes up with systems to make wireless more affordable, I would like for Auburn students to be leaders in that revolution."

According to Larry Benefield, dean of the College of Engineering, Ginn's commitment to the college has already made a tremendous difference in the ability to work toward a top ranking among engineering institutions nationally. In 2002, the college launched the first undergraduate wireless engineering degree program in the nation.

"Ginn's vision for Auburn Engineering reflects our own faculty's perception that we must move from a leadership position among the South's public engineering programs into an arena where we are perceived as a quality program on the national level, second to none," says Benefield. "His dedication to Auburn University will go a long way in moving the college's vision of excellence into a reality for our students today — and for promising young scholars of tomorrow. His challenge to alumni and friends is to respond in a way that will augment his own gift to Auburn Engineering."

Throughout his career, Ginn has shared with and exhibited to others the values his family cultivated in him — honesty, integrity, fairness, and competitiveness — values instilled in him by a family he holds dear, whose roots in rural Anniston date back to America's hardships after the Great Depression.

"My family was poor economically, but rich in values," shares Ginn. "They set high standards and put a premium on always representing the family well."

Ginn began his career in the telecommunications field, starting with AT&T shortly after graduating from Auburn. In 1969, he was a Sloan Fellow at Stanford University's School of Business and rose

AU building science program upgraded to school and named for McWhorters

<http://wireeagle.auburn.edu/news/236>

10:39 AM, November 12, 2007

AUBURN - Auburn University's Department of Building Science, in the College of Architecture, Design and Construction, has a new name and designation and will now be known as the Earlon and Betty McWhorter School of Building Science in honor of the former Board of Trustees president pro tempore and his wife.

The McWhorters recently committed \$5 million to the College of Architecture, Design and Construction and directed \$3 million of the total toward endowments in what was the Department of Building Science. At the November meeting of the Board of Trustees, the board voted unanimously to recognize the quality of the program by making it a school and to pay homage to the McWhorters by renaming the program in their honor.

AU's building science program is the second oldest construction program in the United States and is universally considered one of the top six construction education programs in the nation.

The change in designation of AU's building science program from a department to a school brings Auburn's program in line with its Peer Six program competitors which include the University of Florida, Virginia Tech and Arizona State, each of which has been granted "school" status.

Contact: Deedie Dowdle, (334) 844-9999 (ddowdle@auburn.edu), or
Mike Clardy, (334) 844-9999 (clardch@auburn.edu)

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED SCHOOL DESIGNATION FOR THE
DEPARTMENT OF FISHERIES AND ALLIED AQUACULTURES

WHEREAS, the Office of the Provost maintains General Administrative Guidelines for the Designation of Departments, Schools, or Colleges; and

WHEREAS, the Department of Fisheries and Allied Aquacultures in the College of Agriculture has presented clear and compelling evidence that it meets the requirements for designation as a school within that college; and

WHEREAS, the Department of Fisheries and Allied Aquacultures has requested that its designation be changed to School of Fisheries, Aquaculture and Aquatic Sciences in the College of Agriculture; and

WHEREAS, this request has been endorsed by the Dean of the College of Agriculture, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed change in designation be approved and reported to the Alabama Commission on Higher Education as an item of information.

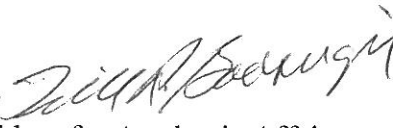


AUBURN UNIVERSITY

OFFICE OF THE PROVOST
AND VICE PRESIDENT FOR
ACADEMIC AFFAIRS

May 14, 2013

MEMORANDUM TO: Jay Gogue
President

FROM: Timothy R. Boosinger 
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –
Proposed Change in Designation of the *Department of Fisheries and Allied Aquacultures* to the *School of Fisheries and Allied Aquacultures*

I am writing to request that the following item be added to the Board of Trustees' agenda for the June 21, 2013 meeting.

Proposal: It is recommended that the Board approve the proposed change in the Designation of the Department of Fisheries and Allied Aquacultures to the School of Fisheries and Allied Aquacultures.

Review and Consultation: Faculty in the Department of Fisheries and Allied Aquacultures initiated this request to be granted School status and renamed the School of Fisheries and Allied Aquacultures. In reviewing the criteria necessary for a unit to receive School status, the Department of Fisheries and Allied Aquacultures currently meets or exceeds the minimum requirements including the number of degrees offered (currently one baccalaureate and three graduate degree programs), the minimum number of majors (133 students in fall 2012), the appropriate number of FTE faculty at the rank of Assistant Professor or higher (currently 18 faculty members), and the minimum 5,000 Student Credit Hours (approximately 1,205 during the 2011-2012 academic year).

As a School, the unit would continue to deliver high quality programs, and would have the potential to grow these programs through increased visibility and extramural funding. Designating a School of Fisheries and Allied Aquacultures would also enable the College of Agriculture to better recruit prospective students and faculty. Establishing the department as a school would align the unit's designation with programs of similar size at peer institutions.

Recommendation: The proposed Change in Designation of the Department of Fisheries and Allied Aquacultures to the School of Fisheries and Allied Aquacultures would enhance the profile of the programs and the College of Agriculture. I recommend immediate approval of this proposal.

Proposed
School of Fisheries, Aquaculture and Aquatic Sciences
at Auburn University

Few university programs have impacted the world as the fisheries program at Auburn University has. Throughout its long and productive history, the Fisheries Department has had many accomplishments that have improved people's lives through research, instruction and outreach.

For almost 80 years, Fisheries personnel have provided expertise in water harvesting, water quality and natural resource management, fisheries management and aquaculture. Wise management of our valuable aquatic resources has always been important but will become significantly more crucial to our societies well being as the world's population continues to expand and worldwide demand for water increases.

We believe the Fisheries program at Auburn is well prepared to tackle many of the pressing problems associated with water, aquatic resource management, and food production. We believe our outstanding achievements and international reputation should document past performance and abilities. Our desire is to continue to expand our educational programs through e-Learning certificate and graduate degrees, and to increase our research and outreach efforts in water management and water policy areas, natural resource conservation, coastal resource management, recreational fisheries management and food production systems through aquaculture. Our current activity level has reached the limits at which a department's administrative structure can effectively manage. An expansion in administrative structure and unit status is needed so that we can effectively meet tomorrow's challenges.

Recommendation

We recommend that the Department of Fisheries and Allied Aquacultures be elevated to the level of a school; The School of Fisheries, Aquaculture and Aquatic Sciences. The school

will continue to reside in the College of Agriculture but will be led by a director, who will be assisted by two associate directors. The associate directors will be elected faculty leaders with part-time administrative appointments who will assist with the management of critical programmatic areas of instruction and research within the school. This extra leadership will improve quality, allow for more rapid response to constituents and greater expansion into areas of need. The school designation will also elevate the status of the program to a level that is comparable to peer institutions with multiple, complimentary disciplines administrative unit. We believe this administrative change will position the program to expand its impacts throughout the Southeastern U.S. and around the world.

Growth Opportunities

Instructional Programs.

The department currently offers the Bachelors of Science, Master of Science, Master of Aquaculture and Doctor of Philosophy. During the past 10 years, the undergraduate program has grown from an average of 30 students to 60 students. Graduate student enrollment has grown from 70 to over 90 students. During the same period, we have experienced a steady shift toward students enrolled in our doctoral program. Historically about 20% of our graduate students were enrolled in our PhD program. Today, PhD students make up 50% of our graduate student enrollment. We also provide post-doctoral studies for 10 to 15 visiting scholars a year.

We believe this shift toward doctoral and post-doctoral studies is due to an increasing need for well-trained scientists to work in aquatic sciences and the excellent international reputation that the Auburn Fisheries program has earned. Students from 63 countries have come to study in our department. Many of these students have returned to their countries eventually to become leaders of their universities and government fisheries programs. Currently half of our graduate students are from countries outside the United States.

Over the years, certificate training has also been a big part of the Departments instructional programs. We have provided continuing education for thousands of biologists in the Southeastern United States and from many foreign countries. We believe future opportunities through e-Learning are great and expect to see expansion in our on-line training for natural resource managers and aquaculture specialists. We also believe there are opportunities for an on-line master degree program. The department currently has one certificate program offered in English and Spanish through e-Learning and is in the process of developing additional on-line certificate and masters degree courses.

Research Programs

The department has one of the largest and most diverse research programs in fisheries and aquatic sciences found anywhere in the world. The program provides a unique balance of basic and applied research and covers freshwater and saltwater environments. The department has 24 faculty. Following retirements, 11 new faculty have been added during the past 10 years. These new faculty have brought specialized skills and knowledge that is needed to tackle complex problems facing our society today. Current research areas include: water science, aquatic ecology, natural resource conservation, genomics, epidemiology, aquatic animal health, aquatic animal nutrition, fisheries management and ecology, resource economics and aquaculture.

Facilities available for research include laboratories on campus plus an 1800-Acre Fisheries Center located 5 miles north of campus. The E. W. Shell Fisheries Center is the largest facility of its kind in the United States and includes over 10 buildings with offices, classrooms, wet laboratories, analytical laboratories plus 340 research ponds. Additionally, faculty and support staff are housed at the Auburn University Marine Research and Extension Center (AUMERC) in Mobile, the Auburn University Shellfish Lab (AUSL) on Dauphin Island and the Alabama Fish Farming Center (AFFC) in Greensboro, Alabama. Facilities and personnel are available at each of these off-campus facilities and are active in our research program.

Faculty are extremely active in seeking grants and publishing their research. Extramural funding in 2011 totaled more than \$7 million. Funding comes from a variety of sources including Federal, State and private sector sources. At any one time, we manage 100 to 125 individual project accounts. Publications generally number 150 to 200 annually and come out in a variety of outlets. At least half of these publications are in referred journals. Faculty have published 25 books and are leaders in their professional societies and recognized with numerous awards. Among current faculty, three have been recognized with Auburn Universities Creative Research Award and five have received Alumni Professorships.

Increasing needs of the world's population for food and clean water and the pressure to better manage our aquatic resources will require greater investments in research. Problems are national and international issues. We have the personnel and facilities to help address these research needs. Increased administrative support provided by a school will provide better faculty mentoring, better coordination of research facilities, identification of funding sources and promotion of interdisciplinary partnerships, which will allow our research program to expand and have even greater impacts.

Outreach and Extension Programs

The department has an active outreach program operating from main campus and from its two off-campus facilities. In cooperation with the Alabama Cooperative Extension System, the department has 7 faculty members and 6 Extension staff to support and advise stakeholders in a variety of areas including aquatic ecology, fisheries management, aquaculture, aquatic science education, clean water issues and nature-based tourism.

The department also has a number of regional outreach programs.

The **Cooperative Aquatic Animal Health** project began in 1966 and has provided technical support services to regional State and Federal biologists in the areas of disease diagnosis and treatment recommendations, training, and research support for the past 46 years.

The **Southeast Genetics Cooperative** project began in 1986. Over the years the cooperative project has worked with state agencies in the region to provide technical support in the area of molecular tools for aquatic species for sport fish management and for conservation of valuable aquatic species.

The **Alabama Water Watch** (AWW) program started in 1992 with a goal to improve water quality and water policy in Alabama accomplished through educating our citizens about water issues, gathering credible water information and empowering citizens to use and protect their local waters. The program has provided training courses to thousands of citizens and school children throughout Alabama. An international arm of AWW, Global Water Watch has provided similar education and training to citizen groups in 10 countries outside of the United States.

The **Aquaculture and Fisheries Business Institute** (AFBI) was formed in 2012 to bring together expertise to solve problems and support growth of our domestic aquatic businesses through research, education and consulting. The AFBI proactively seeks private and public partners committed to stimulating economic growth in the region through the development of safe and sustainable food products and enhanced recreational opportunities centered in the environmentally-responsible utilization of aquatic resources. The institute's goals are to foster business development and create jobs by using the vast freshwater and saltwater resources in Alabama and the Southeastern United States.

A major outreach of the department is our international outreach that includes education, research and development projects.

The **International Center for Aquaculture** was formed in 1973. The Center later expanded its activities and became the **International Center for Aquaculture and Aquatic Environments** (ICAAE). The ICAAE has provided education, training and research support in the areas of aquaculture and aquatic resource management that

has helped improve the lives of millions of people around the world through improved nutrition and has provided general economic development. Our work improves the quality of life for individuals and helps bring political stability developing regions of the world. The ICAAE has received over \$40 million in grants and contracts to provide technical assistance. Short-term assignments that last a few weeks to several months have provided assistance to people and governments in over 110 countries. Faculty and staff have also been involved in 40 long-term projects (3 to 7 years) in 15 countries. Departmental personnel currently provide 1,000 to 1,500 person-days of technical assistance in about 25 to 30 countries a year. Current projects include continuing work in China, Cambodia, Ghana, Liberia, Mexico, South Africa, Uganda and Vietnam.

The Fisheries program meets all of the general and most of the specialized criteria that have been established to designate schools.

1. We have active programs in all three areas of Auburn's mission.
2. We currently have 24 tenure-track faculty at the assistant professor level or above, which exceeds the 20 faculty recommended.
3. We offer a baccalaureate and three graduate degrees.
4. We currently have 60 undergraduate and 90 graduate students enrolled in our degree programs. The total of 150 students is less than the recommended 200 students but we expect enrollment to continue to increase. We have experienced a 50% increase in enrollment during the past 10 years and expect these numbers to continue to increase, especially as our e-Leaning master degree comes on line.
5. Our student credit hours are best reflected in weighted credit hours since 2/3 of our students are enrolled in our graduate degree programs. Weighted credit hours general range from 12,000 to 15,000 credit hours per academic year, which is above the 5000 recommended level.
6. Our research and outreach programs are active throughout the state, region and the world and generally generate \$5 to 7 million in contracts and grants annually.

7. Our school will continue to function within the College of Agriculture but we are unique from other programs in the college in that we focus on aquatic plants and animals and their environments while other programs focus on terrestrial plants and animals and their environments.

Summary

The Department of Fisheries and Allied Aquacultures at Auburn has developed a world-class program that has used the expertise of its faculty and staff to develop improved technologies and extend these technologies to improve the lives of citizens of Alabama and around the region. We have also taken the same proven philosophies of our Land Grant System overseas to help feed people and stabilize economies in developing countries of the world.

The challenges facing our state and nation are similar to those being experienced around the world. The demand for clean and plentiful water for multiple uses will only increase in the future. We recognize these needs and want to expand our activities and influence but we have reached the limits of what a departmental structure under a Head can adequately manage. We desire to become a school with the additional administrative support structure and status that a school brings so that we will be better equipped to help meet these future challenges. The added administrative structure that a School of Fisheries, Aquaculture and Aquatic Sciences will improve program quality and allow growth in all areas of the mission of Auburn University.

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED SCHOOL DESIGNATION FOR THE DEPARTMENT OF INDUSTRIAL
AND GRAPHIC DESIGN

WHEREAS, the Office of the Provost maintains General Administrative Guidelines for the Designation of Departments, Schools, or Colleges; and

WHEREAS, the Department of Industrial and Graphic Design in the College of Architecture, Design and Construction has presented clear and compelling evidence that it meets the requirements for designation as a school within that college; and

WHEREAS, the Department of Industrial and Graphic Design has requested that its designation be changed to School of Industrial and Graphic Design in the College of Architecture, Design and Construction; and

WHEREAS, this request has been endorsed by the Dean of the College of Architecture, Design and Construction, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed change in designation be approved and reported to the Alabama Commission on Higher Education as an item of information.

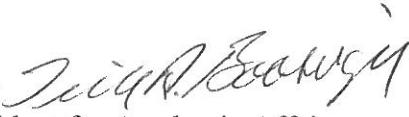


AUBURN UNIVERSITY

OFFICE OF THE PROVOST
AND VICE PRESIDENT FOR
ACADEMIC AFFAIRS

May 14, 2013

MEMORANDUM TO: Jay Gogue
President

FROM: Timothy R. Boosinger 
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –
Proposed Change in Designation of the *Department of Industrial
and Graphic Design* to the *School of Design*

I am writing to request that the following item be added to the Board of Trustees' agenda for the June 21, 2013 meeting.

Proposal: It is recommended that the Board approve the proposed change in the Designation of the Department of Industrial Design and Graphic Design to the School of Design.

Review and Consultation: Faculty in the Department of Industrial and Graphic Design initiated this request to be granted School status and renamed the School of Design. In reviewing the criteria necessary for a unit to receive School status, the Department of Industrial and Graphic Design currently meets or exceeds the minimum requirements including the number of degrees offered (currently two baccalaureate and one graduate first professional degree programs), the minimum number of majors (currently 425 students), the appropriate number of FTE faculty at the rank of Assistant Professor or higher (currently 20 faculty members), and the minimum 5,000 Student Credit Hours (currently 6,240 during the 2012 academic year, 7,595 including summer).

As a School, the unit would continue to deliver high quality Industrial and Graphic Design programs, and would have the potential to grow these programs through increased visibility and extramural funding. Designating a School of Design would also enable the College of Architecture, Design and Construction to better recruit prospective students and would also provide for three schools within the College, as opposed to its current organization of two schools (the McWhorter School of Building Science and the School of Architecture, Planning and Landscape Architecture) and the Department of Industrial and Graphic Design.

Recommendation: The proposed Change in Designation of the Department of Industrial and Graphic Design to the School of Design would enhance the profile of the programs and the College of Architecture, Design and Construction. I recommend immediate approval of this proposal.



COLLEGE OF ARCHITECTURE,
DESIGN & CONSTRUCTION

OFFICE OF THE DEAN

MEMORANDUM

Date: 22 August 2012

To: Dr. Timothy Boosinger, Provost & VPAA

From: Dr. Vini Nathan, Dean, CADC

Cc: Prof. Clark Lundell, Head, DIGD

Re: **Resolution to Change the Designation of the Department of Industrial and Graphic Design (DIGD) to School of Industrial and Graphic Design (SIGD)**

On behalf of the College of Architecture, Design and Construction, I request that the current designation of the Department of Industrial and Graphic Design (DIGD) be changed from department to school status. This reclassification request has significant support from the DIGD faculty (out of 19 eligible faculty, there were 17 yes votes and 2 no votes) and the proposed name of the School would be "School of Industrial and Graphic Design" (SIGD). As the information below indicates, DIGD meets or exceeds the Board of Trustees' and Auburn University guidelines for school status in all categories.

<i>Guideline</i>	<i>School Designation</i>	<i>DIGD</i>
Degrees	one accredited baccalaureate and/or first professional	two accredited baccalaureate + one graduate first professional
Majors	200 majors	425 majors
FTE faculty	20	20
Student Credit Hours (academic year)	5000	6240
(including summer)	--	7595

In addition, the change in designation will a) recognize and continue to elevate the status of the Industrial Design and Graphic Design programs, b) facilitate the growth of graduate and advanced programs, c) provide the appropriate platform for enhanced extra mural funding including the current robust industry sponsored studio projects, and d) position the new school for potential naming and other development opportunities.

I understand that this request has to be reviewed and approved through multiple channels. I therefore humbly request the approval and support of the Provost's Office in navigating this multi-step process.

202 DUDLEY COMMONS

AUBURN, AL 36849-5313

TELEPHONE:

334-844-4524

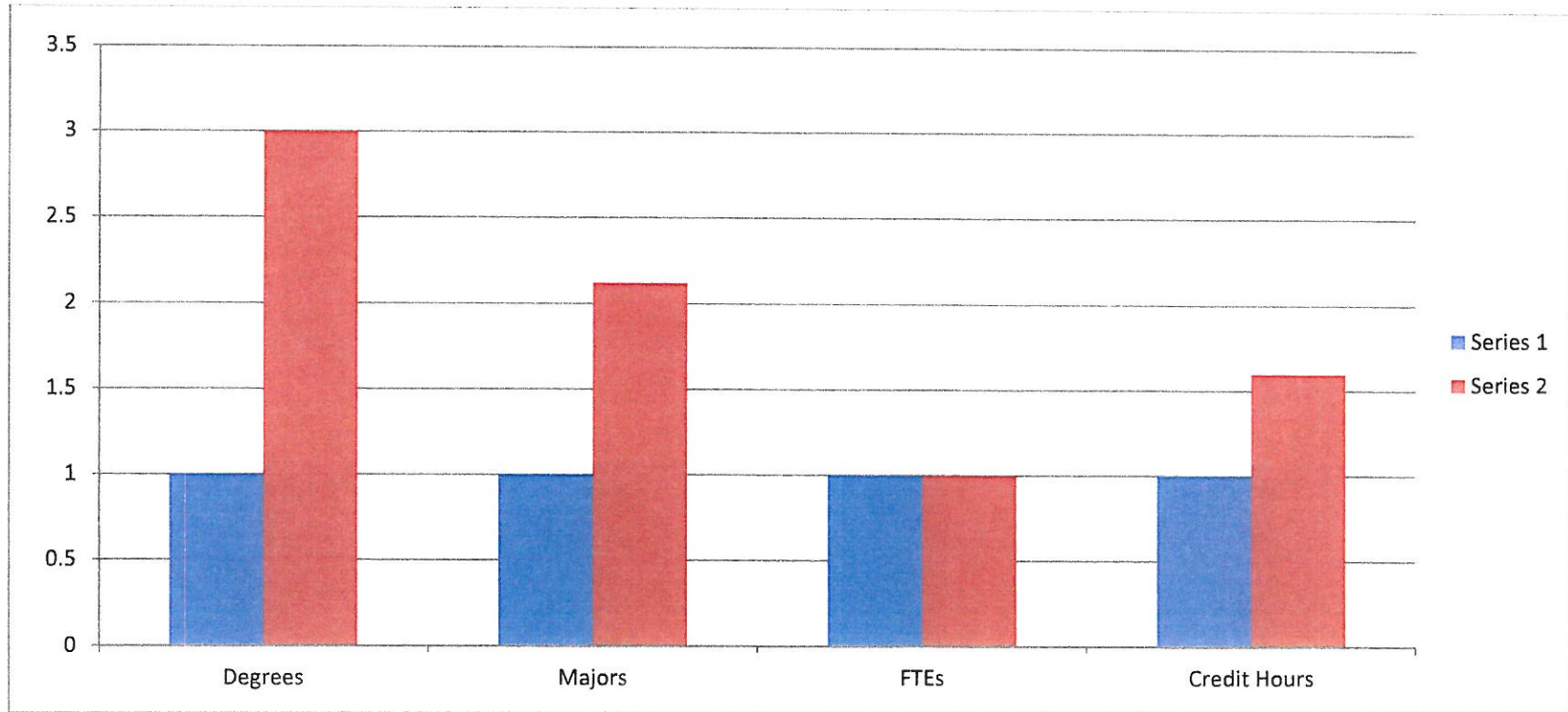
FAX:

334-844-2735

www.cadc.auburn.edu

www.auburn.edu

“Department of ...” to “School of Industrial and Graphic Design”



Series 1 - School Criteria;

Degrees: One accredited baccalaureate / first professional
 Majors: Two hundred majors
 FTEs: Ten at assistant professor or above
 Credit Hours: 5000 student credit hours

Series 2 – DIGD Statistics

Accredited, two baccalaureate + one graduate first professional
 Four hundred twenty-five majors
 Twenty at assistant professor or above
 7595 student credit hours

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED SCHOOL DESIGNATION FOR THE DEPARTMENT OF KINESIOLOGY

WHEREAS, the Office of the Provost maintains General Administrative Guidelines for the Designation of Departments, Schools, or Colleges; and

WHEREAS, the Department of Kinesiology in the College of Education has presented clear and compelling evidence that it meets the requirements for designation as a school within that college; and

WHEREAS, the Department of Kinesiology has requested that its designation be changed to School of Kinesiology in the College of Education; and

WHEREAS, this request has been endorsed by the Dean of the College of Education, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed change in designation be approved and reported to the Alabama Commission on Higher Education as an item of information.




AUBURN UNIVERSITY

OFFICE OF THE PROVOST
AND VICE PRESIDENT FOR
ACADEMIC AFFAIRS

May 14, 2013

MEMORANDUM TO: Jay Gogue
President

FROM: Timothy R. Boosinger 
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –
Proposed Change in Designation of the *Department of Kinesiology*
to the *School of Kinesiology*

I am writing to request that the following item be added to the Board of Trustees' agenda for the June 21, 2013 meeting.

Proposal: It is recommended that the Board approve the proposed change in the Designation of the Department of Kinesiology to the School of Kinesiology.

Review and Consultation: Faculty in the Department of Department of Kinesiology initiated this request to be granted School status and renamed the School of Kinesiology. In reviewing the criteria necessary for a unit to receive School status, the Department of Kinesiology currently meets or exceeds the minimum requirements including the number of degrees offered (currently five baccalaureate and three graduate degree programs), the minimum number of majors (638 students in fall 2012), the appropriate number of FTE faculty at the rank of Assistant Professor or higher (currently 17 faculty members), and the minimum 5,000 Student Credit Hours (approximately 21,250 during the 2011-2012 academic year).

As a School, the unit would continue to deliver high quality programs, and would have the potential to grow these programs through increased visibility and extramural funding. Designating a School of Kinesiology would also enable the College of Education to better recruit prospective students and faculty. Establishing the department as a school would align the unit's designation with programs of similar size at peer institutions.

Recommendation: The proposed Change in Designation of the Department of Kinesiology to the School of Kinesiology would enhance the profile of the programs and the College of Education. I recommend immediate approval of this proposal.



COLLEGE OF EDUCATION

OFFICE OF THE DEAN

January 28, 2013

TO: Dr. Timothy Boosinger
Provost and Vice President for Academic Affairs

FR: Dr. Betty Lou Whitford, Dean
Wayne T. Smith Distinguished Professor

C: Dr. Mary Rudisill, Head
Department of Kinesiology

RE: Request to Change Department of Kinesiology to School of Kinesiology

With the unanimous support of the faculty in the Department of Kinesiology, I enthusiastically request your support for changing the current designation of this unit to the School of Kinesiology. The Kinesiology faculty have recently completed a year-long strategic planning process resulting in articulation of specific steps the faculty can take to improve the quality of their academic, research, outreach, and entrepreneurial programs. Changing the status from a department to a school will further enhance the faculty's commitment to the strategic goals.

More specifically, the change in designation will:

- Provide a unit designation commensurate with other highly- ranked programs, e.g., Kinesiology programs at the University of Florida, University of Michigan, Arizona State University, and University of Minnesota carry either School or College designation;
- Enhance our efforts to recruit and retain high-quality faculty and students who generate increased research funding, consequential scholarship, and meaningful outreach activities;
- Promote current and future academic and entrepreneurial partnerships with agencies and organizations in the fields of health promotion, fitness, wellness, and physical performance; and
- Assist with development opportunities associated with the new Kinesiology building.

In addition, as demonstrated below, the Department of Kinesiology meets or exceeds the Board of Trustees guidelines for school status in all categories:

1. **One accredited baccalaureate or first professional degree** - Kinesiology has 3 undergraduate degrees, one with 2 program options; 3 master's degrees; and 1 doctoral degree. The baccalaureate degree in Physical Education/Teacher Education is accredited through NCATE.

3084 HALEY CENTER
AUBURN, AL 36849-5218

TELEPHONE:
334-844-4446

FAX:
334-844-5785

2. **Two hundred majors across all degree programs** - Kinesiology has 571 undergraduate majors and 110 graduate students.
3. **Twenty faculty FTEs at the assistant professor level or above** – Currently, Kinesiology has 18 faculty FTEs at the assistant professor level or above and is in the process of hiring 2 assistant professors for the new Fitness, Conditioning, and Performance option. When these are hired, Kinesiology will meet the 20 faculty FTE requirement.
4. **Student credit hour production equivalent to budgeted instructional faculty FTE but no less than 5000 student credit hours per academic year.** Kinesiology generates over 20,000 SCHs per academic year.

List of Degrees

Exercise Science - BS, MEd/MS

Physical Activity and Health – BS, MEd/MS

Physical Activity and Health, Option: Fitness, Conditioning and Performance - BS

Physical Education/Teacher Education - BS, MEd/MS

Kinesiology, PhD

Number of Majors (Fall 2012)

Undergraduate

330 – Exercise Science, BS (includes Pre-Exercise Science)

129 – Physical Activity & Health , BS

70 – Fitness, Conditioning, and Performance , BS

42 – Physical Education Teacher Education, BS (includes Pre-Physical Ed/
Teacher Ed)

571 Total

Graduate

62 – Exercise Science, MEd/MS

6 – Physical Activity and Health, MEd/MS

11 – Physical Education/Teacher Education, MEd/MS

31 – Kinesiology , PhD

110 Total

Faculty by Program Area (assistant professor and above):

8 Exercise Science

4 Physical Education/Teacher Education

6 Physical Activity and Health Faculty + Fitness, Conditioning, and Performance Faculty

2 being recruited currently in Fitness, Conditioning, and Performance

Student credit hour production (source: Office of Institutional Research and Assessment):

Kinesiology generated ~25,000 SCHs (non-weighted) during fall, spring, summer of 2011-12 and more than 23,500 SCHs fall, spring, summer of 2010-11. The table provides a breakdown of SCH production for 2011-12.

TERM	Lower Level	Upper Level	Graduate Level 1	Graduate Level 2	Total Credit Hours
Fall 2011	6,627	2,810	512	169	10,118
Spring 2012	7,063	3,390	491	188	11,132
Summer 2012	1,268	1,607	425	186	3,486

AUDIT COMMITTEE

RESOLUTION

APPROVAL OF INDEPENDENT AUDITORS
FOR FISCAL YEAR ENDED SEPTEMBER 30, 2013

WHEREAS, The Audit Committee of the Board of Trustees is charged with recommending the appointment of the university's external auditor; and

WHEREAS, The Audit Committee recommends the appointment of PricewaterhouseCoopers to audit the university's financial statements and to conduct the federally required A-133 audit.


NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that PricewaterhouseCoopers is appointed as the university's external auditor.



AUBURN UNIVERSITY
OFFICE OF THE PRESIDENT

May 29, 2013

MEMORANDUM TO: President Jay Gogue

FROM: Donald L. Large Jr. 

SUBJECT: Board of Trustees Agenda Item

- Selection of External Auditors for Fiscal Year Ending September 30, 2013

PricewaterhouseCoopers will present a proposed Audit Service Plan for the Upcoming fiscal year end for Audit Committee review and consideration. Subject to a favorable review by the Audit Committee, PricewaterhouseCoopers would be reappointed as the University's external auditors and begin preparing for an audit of Auburn University for the Fiscal Year Ended September 30, 2013.

The proposed action above results from a recent comprehensive review by the administration of existing audit services. This was performed in accordance with the University's Board of Trustees Policy on the Selection of External Auditors. The review included a detailed evaluation by the Controller's Office as to the effectiveness and efficiency of PricewaterhouseCoopers during their FY 2012 audit. Such information was reviewed and discussed with the Associate Vice President for Business and Finance and the Executive Vice President. A discussion of the content was then held with PricewaterhouseCoopers lead partner and the senior relationship partner. Opportunities for improvements were shared and agreed upon. The administration reviewed PricewaterhouseCoopers Alabama office practices and also reviewed the overall expertise in Higher Education which is considerable.

Based on the evaluations, discussions, and identification of areas for improvement, the administration is recommending to the Audit Committee of the Board of Trustees continued engagement for audit services of PricewaterhouseCoopers.

c: Grant Davis

Auburn University

Audit Service Plan

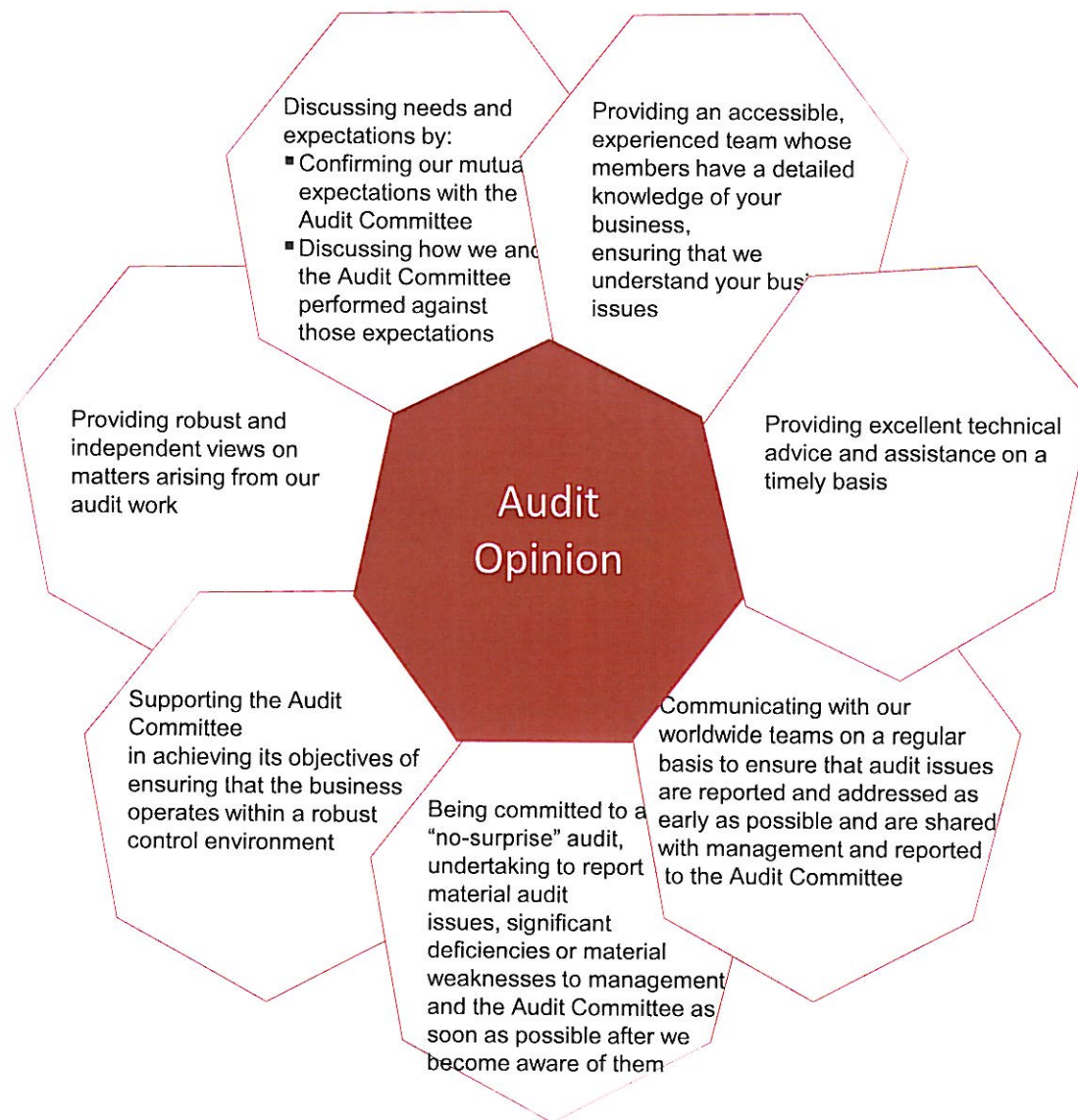
June 21, 2013

prc

Our Service Deliverables

- Audit Opinions
 - Report on the financial statements of Auburn University
 - Reports on internal controls and compliance for A-133
 - Report on the financial statements of Auburn Research and Technology Foundation
- Review Report AUM
- Other Services - Inclusion Letters for debt offerings, if applicable.
- Report of Internal Control and Business Observations
 - Audit Committee summary report
 - Management letters, if necessary
- Audit Committee Reporting
 - Required communications to the Audit Committee of the Board of Trustees

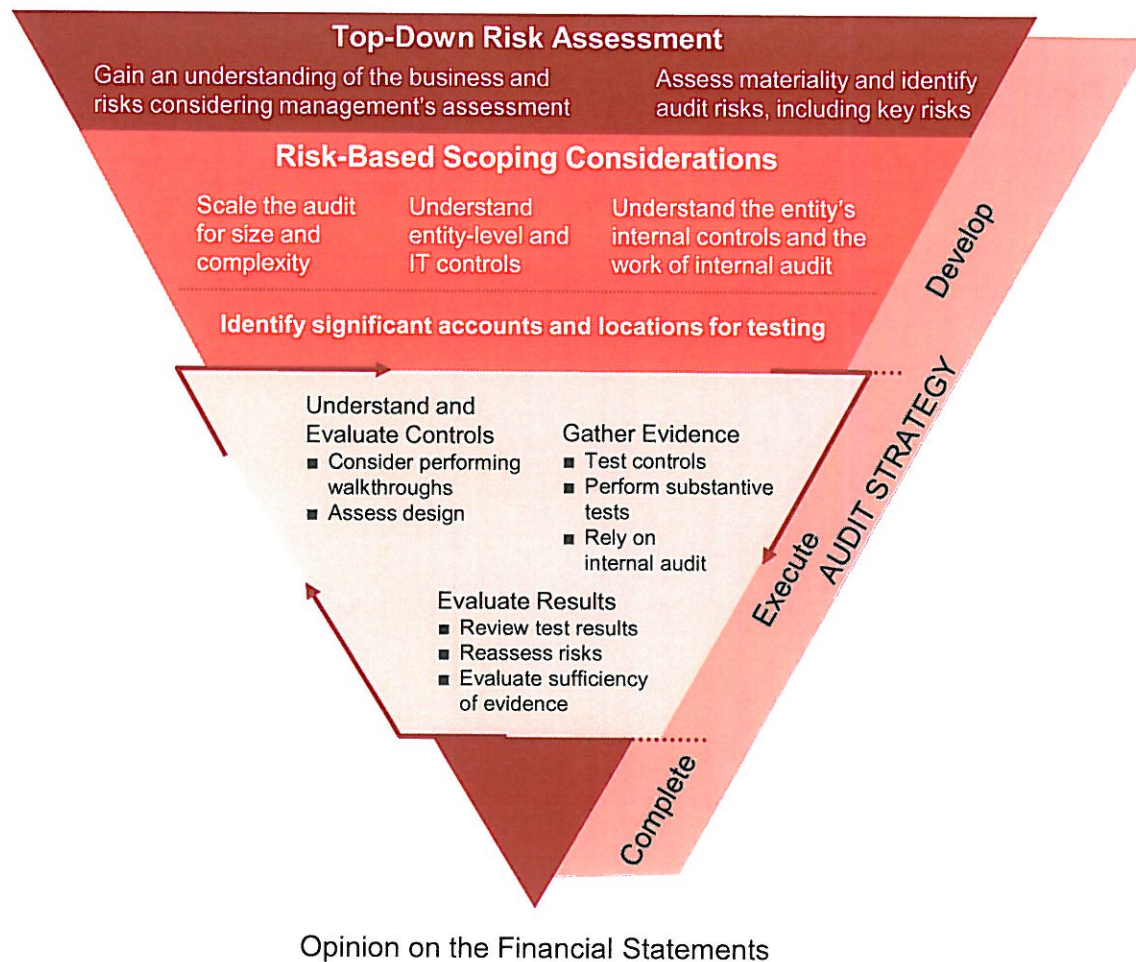
Our Service Responsibilities



Audit Responsibilities

Responsibility	PwC	Management	Audit Committee
Express opinion on financial statements	X		
Conduct audit in accordance with GAAS and GAGAS	X		
Obtain an understanding of internal control	X		
Communicate with the Audit Committee of the Board of Trustees	X		
Record transactions and prepare financial statements in accordance with GAAP		X	
Design and implement internal controls		X	
Ensure compliance with laws and regulations		X	
Oversee financial reporting and internal controls			X
Understand significant risks and exposures and management's response to those risks			X
Appoint external auditor and review and approve all non-audit services			X

PwC's Top-Down, Risk-Based Audit Approach

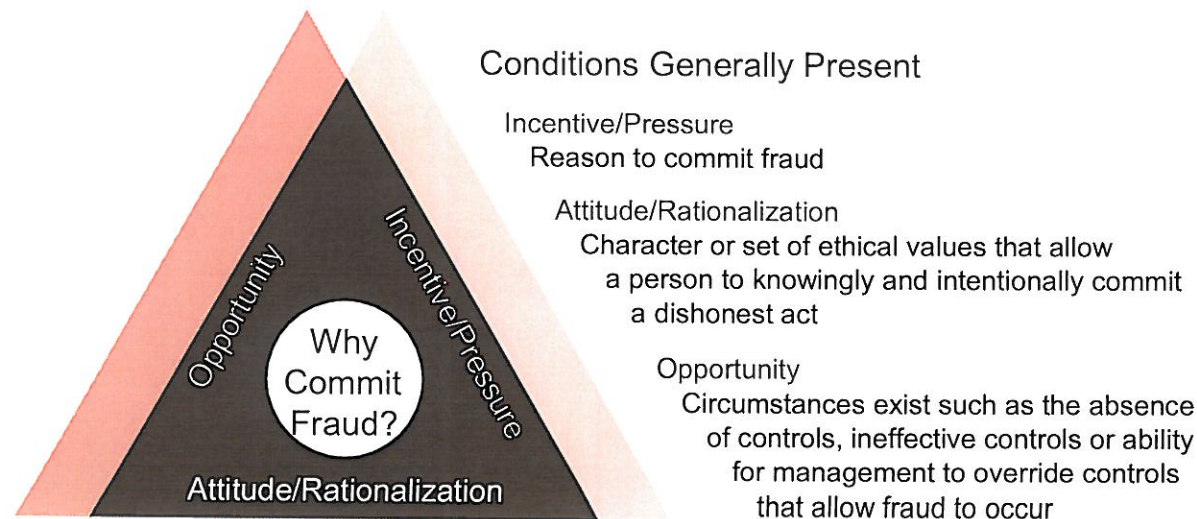


Perspectives on Fraud Risks

Types of Fraud

Fraudulent Financial
Reporting

Misappropriation
of Assets



Attributes Contributing to Increased Fraud Risk

- Size, complexity and ownership attributes of the Company
- Type, significance, likelihood and pervasiveness of the risk

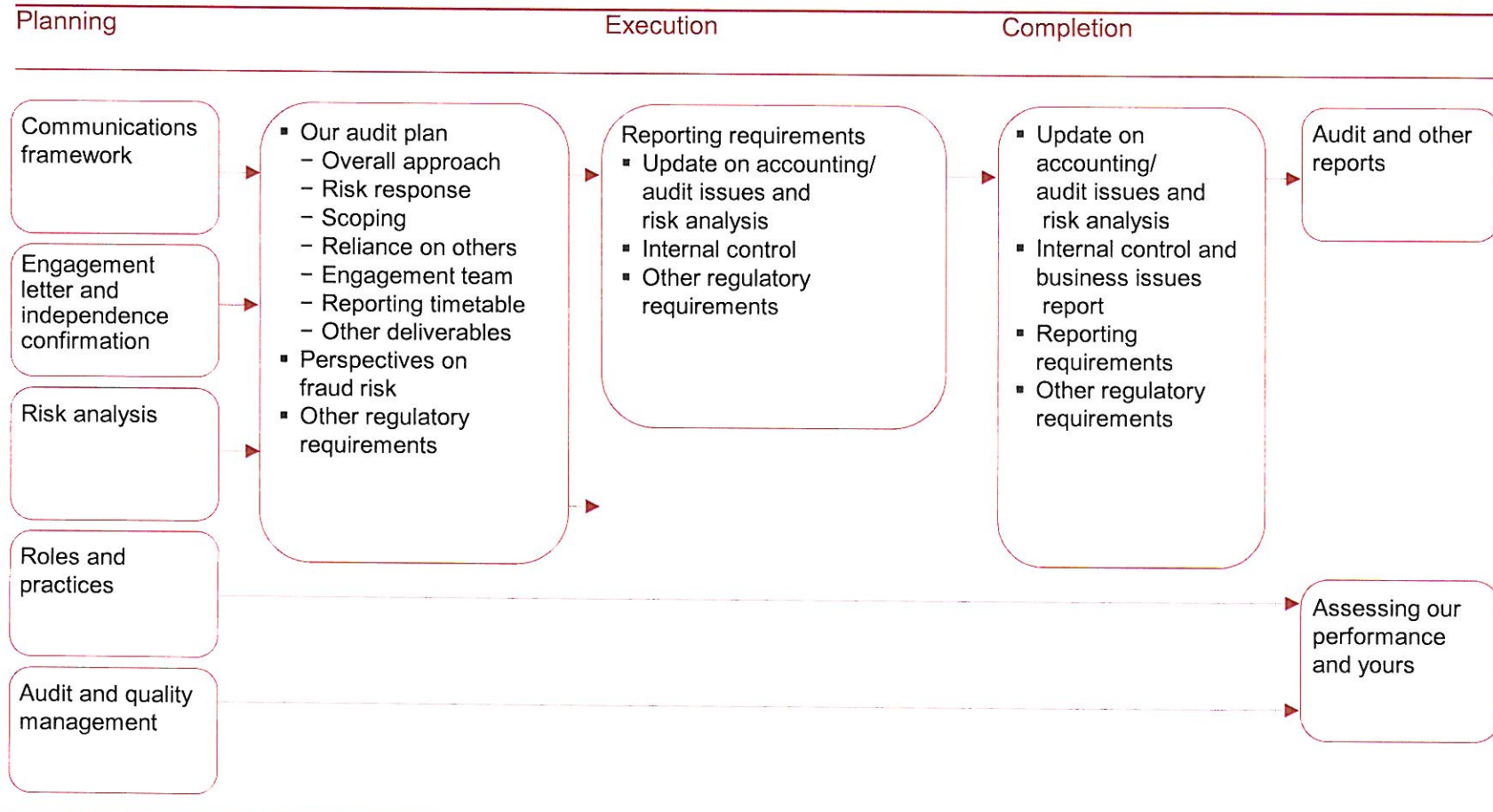
Fraud Risk Responsibilities

Responsibility	PwC	Management	Audit Committee
Design & implement programs & controls to prevent, deter, and detect fraud		X	
Ensure the System and its entities' culture promotes honest and ethical behavior		X	
Assess management override of controls and communicate with the Audit Committee	X	X	
Oversight of management's evaluation of fraud risks			X
Plan and perform the audit to provide reasonable assurance that the financial statements are free of material misstatement, whether caused by fraud or error	X		
Evaluate the programs and controls put into place by management to address fraud risks	X		
Evaluate management's process for assessing the effectiveness of antifraud programs and controls	X		
Evaluate fraud of any magnitude on the part of senior management	X		

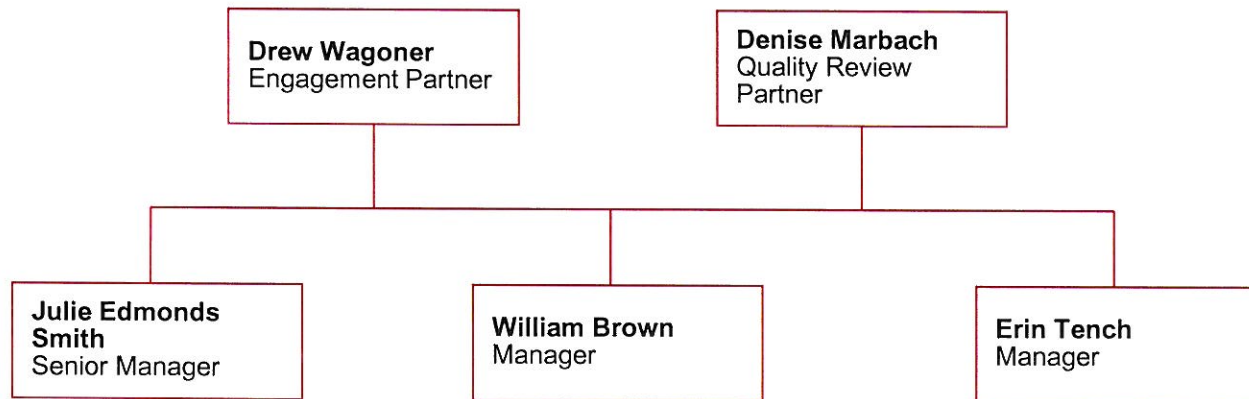
Areas of Audit Emphasis and Risk Assessment

- Significant Judgments and Estimates
 - Allowance for doubtful accounts – Contract and Grants
 - Scholarship discounts and allowances and deferred revenue
 - Fair value of investments
 - Benefit Plan Accruals
- Significant Risks
 - Management override of controls
 - Risk of fraud in revenue recognition
 - Federal grant compliance
- Elevated Risk - Valuation of alternative investments
- New accounting standards (GASB 60, 61, and 63) effective for 2013

Overview of PwC Communications Framework Graphic



Organizational Chart



Key support teams for the engagement

IT Audit	Tax Compliance	Data Management Group	GHRS	A-133 Specialist
Muriel Foster	Travis Patton	Franz Wessels	Elliott Cobin	Ralph DeAcetis

Questions?

AUDIT COMMITTEE

RECEIPT OF CODE OF ETHICS COMPLIANCE DOCUMENTS


Time will be allocated to report on the receipt of the 2012-2013 Code of Ethics Compliance Documents.



AUBURN UNIVERSITY
OFFICE OF THE PRESIDENT

May 15, 2013

MEMORANDUM TO: President Jay Gogue

FROM: Donald L. Large, Jr. 

SUBJECT: Board of Trustees Agenda Items - Proposed Changes in Base
Operating Budgets and Guidelines for Fiscal Year 2013-2014

PROPOSAL

It is recommended that the Finance Committee and the Compensation Committee of the Board of Trustees be presented the proposed changes in base operating budgets and guidelines for Fiscal Year 2013-2014 outlined in the following attachments:

- 1 - Auburn University Main Campus
- 2 - Auburn University Montgomery
- 3 - Alabama Agricultural Experiment Station
- 4 - Alabama Cooperative Extension System
- 5 - Salary/Wage Guidelines

Subject to a favorable review, the administration will initiate the preparation of a detailed budget for Board of Trustee review and formal approval in September, 2013.

REVIEW AND CONSULTATION

Budget actions and guidelines are presented for main Campus, Auburn University Montgomery, the Alabama Agricultural Experiment Station, and the Alabama Cooperative Extension System. In arriving at these recommended actions, we relied upon senior administration's advice and the input of four advisory committees, each of which focused upon one of the university's four major budget divisions noted above.

The proposed Budget Guidelines for the main campus have also been reviewed by a 26 member Budget Advisory Committee met on multiple occasions and are in agreement with the proposed guidelines. Membership of the University Budget Advisory Committee consists of the following:

President Jay Gogue
May 15, 2013
Page Two

- The SGA President or Representative
- The President of the Graduate Student Council
- Chair, and Chair Elect, Administrative and Professional Assembly
- Chair, and Chair Elect, Staff Council
- Chair, University Senate
- Chair, Faculty Salary Review Committee
- Library Representative
- Seven Faculty
- Four Department Heads
- Four Deans
- One Vice President
- Provost
- Executive Vice President, Chair

The Main Campus Budget Advisory Committee meetings included the chairs of the Budget Advisory Committee from Auburn University Montgomery, the Alabama Agricultural Experiment Station, and the Alabama Cooperative Extension System. Additionally, each separate committee expended considerable time and effort in reviewing various data and information related to the University fiscal condition, financial capabilities, and funding environment of their respective areas.

RATIONALE FOR RECOMMENDATION

The proposed budget actions and guidelines represent a diligent and good-faith effort of all involved in the process to best address University needs and priorities in the most equitable manner possible. This is a challenging and difficult process for all as we continue to address the impact of the largest reductions of appropriations in our history

pah

Enclosure

Auburn University - Division 1
Proposed Changes in Base Operating Budget
for Fiscal Year 2013-2014

Guidelines

FUNDING SOURCES & BUDGET REALLOCATIONS

Increase in FY14 State Approp	\$2,189,000
Commitment of one-time from PY for perm increases	\$4,700,000
Reallocation of priorities	\$5,281,000
Tuition	<u>\$6,000,000</u>

TOTAL FUNDING SOURCES & BUDGET REALLOCATIONS **\$18,170,000**

PROPOSED COST INCREASES

Salary Pool for Permanent Increases	\$4,900,000
Salary Pool for One-time Increases	\$4,900,000
Fringe Benefits on existing salaries	\$3,700,000
Faculty & Staff Promotions	\$625,000
Matching Professorships	\$45,000
Academic Programs	\$2,000,000
Deferred Maintenance	<u>\$2,000,000</u>

TOTAL PROPOSED COST INCREASES **\$18,170,000**

Auburn University at Montgomery- Division 2

Proposed Changes in Base Operating Budget for Fiscal Year 2013-2014

Guidelines

FUNDING SOURCES & BUDGET REALLOCATIONS

Increase in FY14 State Approp	\$311,062
Reallocation of priorities	\$1,782,860
Allocation of one-time funds	<u>\$136,988</u>

<i>TOTAL FUNDING SOURCES & BUDGET REALLOCATIONS</i>	<u><u>\$2,230,910</u></u>
--	----------------------------------

PROPOSED COST INCREASES

Salary Pool for Permanent Increases	\$850,000
Salary Pool for One-time Increases	\$850,000
Fringe Benefits on existing salaries	\$450,000
Faculty & Staff Promotions	\$80,910

<i>TOTAL PROPOSED COST INCREASES</i>	<u><u>\$2,230,910</u></u>
---	----------------------------------

<p style="text-align: center;">Auburn University - Division 3</p> <p style="text-align: center;">Proposed Changes in Base Operating Budget</p> <p style="text-align: center;">for Fiscal Year 2013-2014</p>
--

Guidelines

FUNDING SOURCES & BUDGET REALLOCATIONS

Increase in FY14 State Approp	\$ 627,000
Reallocation of priorities	\$ 630,000
Allocation of one-time funds	\$ 460,000

<i>TOTAL FUNDING SOURCES & BUDGET REALLOCATIONS</i>	<i>\$ 1,717,000</i>
--	----------------------------

PROPOSED COST INCREASES

Deferred Maintenance	\$ 105,000
Integrated Project Support	\$ 200,000
Fringe Benefits on existing salaries	\$ 352,000
Salary Pool for Permanent Increases	\$ 460,000
Salary Pool for One-time Supplements	\$ 460,000
Faculty & Staff Promotions	\$ 140,000

<i>TOTAL PROPOSED COST INCREASES</i>	<i>\$ 1,717,000</i>
---	----------------------------

<p style="text-align: center;">Auburn University - Division 4</p> <p style="text-align: center;">Proposed Changes in Base Operating Budget</p> <p style="text-align: center;">for Fiscal Year 2013-2014</p>
--

Guidelines

FUNDING SOURCES & BUDGET REALLOCATIONS

Increase in FY14 State Approp	\$444,199
4.5% Giveback from Colleges	\$281,000
Reallocation of priorities	<u>\$1,101,126</u>

<i>TOTAL FUNDING SOURCES & BUDGET REALLOCATIONS</i>	<u><u>\$1,826,325</u></u>
--	----------------------------------

PROPOSED COST INCREASES

Salary Pool for Permanent Increases	\$493,800
Salary Pool for One-time Increases	\$493,800
Fringe Benefits on existing salaries	\$492,000
Retiree Benefits	\$193,000
Faculty & Staff Promotions	\$58,725
Utility Costs	<u>\$95,000</u>

<i>TOTAL PROPOSED COST INCREASES</i>	<u><u>\$1,826,325</u></u>
---	----------------------------------

2013-14 SALARY/WAGE GUIDELINES AUBURN UNIVERSITY

MAIN CAMPUS

PERMANENT SALARY/ WAGE INCREASES

Effective October 1, 2013, regular and continuing employees, whose effective employment date was on or before May 31, 2013, will be eligible to receive a permanent merit increase on their base salary as of May 31, 2013. These employee groups include: Tenured and Tenured Track Faculty, Non-Tenured Track Faculty positions, Staff and Administrative/Professional positions, and Vice Presidents. Regular part-time employees may be eligible for salary improvements as well.

There is no mandatory across-the-board increase for any employee group. All merit increases must be substantiated by current employee performance documentation. Probationary review forms and other substantiations of performance may be used as documentation in cases of new hires. It is the responsibility of the Dean/Director/Department Head/Vice President to ensure documentation is on file to support the merit allocations.

Each Dean/Vice President will be provided an allocation of 2% of base-budgeted salaries as of May 31, 2013 for merit recognitions within their respective units. The allocation will be placed in each Deans'/Vice Presidents' vacant salary reserve in Stripes. The allocation should be further distributed to the departments in the college/AP area. Each area will have the opportunity to supplement the allocation from the central pool by using internal, existing permanent funds, including vacant salary reserve or vacant position dollars to increase the total allocable pool.

Suggested increases over 8% will be reviewed for documentation that substantiates the increase. Increases for faculty will be approved by the Provost. Human Resources will review all staff and A/P personnel increases and forward to the Executive Vice President for final approval. All approved salary adjustments will become effective October 1, 2013.

Effective October 1, 2013, the Auburn Salary Structure for staff and administrative & professional salary grades will increase by 2%. After all merit recommendations and job family promotions are applied, funds will be provided from central administration for those employees who fall below the minimum for their salary grade. Funds will be distributed only for positions which are on base-budgeted dollars.

FACULTY PROMOTIONS

Increases for faculty promotions are as follows:

- Professor - \$6,500
- Associate Professor - \$4,500

Distributions from the central pool will only be allocated on base-budgeted salaries and will only be allocated during the budget process. The promotion increase of faculty budgeted on multiple accounts will be prorated accordingly. Faculty promotions will become effective October 1, 2013.

JOB FAMILY (CAREER LADDER) PROMOTIONS

Job family promotions for staff and administrative/professional employees may be centrally funded upon approval of the Department of Human Resources. This allocation from the central pool is available to employees who are already in a career ladder and meet the criteria. Distributions from the central pool will only be allocated on base-budgeted salaries and will only be allocated during the budget process. For those employees budgeted on multiple accounts, the promotion increase will be prorated accordingly. Job family promotions will become effective October 1, 2013.

VACANT POSITIONS and STUDENT POSITIONS

Vacant positions, vacant salary reserve positions, and multi-filled positions for graduate assistants and students will not receive an allocation from the central pool.

FRINGE BENEFIT RATES

The budgeted fringe benefit rate for employees of all divisions will be the following (subject to approval by the federal government):

Full-time:	30.5%
Part-time:	11.2%
Graduate Students:	2.5%

AAES and ACES

Budget guidelines proposed for main campus will generally be followed unless specified otherwise.

2013-14 SALARY/WAGE GUIDELINES

AUBURN UNIVERSITY at MONTGOMERY

PERMANENT SALARY/ WAGE INCREASES

Effective October 1, 2013, regular and continuing employees, whose effective employment date was on or before May 31, 2013, will be eligible to receive a permanent merit increase on their base salary as of May 31, 2013. These employee groups include: Chancellor, Provost/Vice Chancellors, Tenured and Tenured Track Faculty, Non-Tenured Track Faculty positions, Staff and Administrative/Professional positions.

There is no mandatory across-the-board increase for any employee group. All merit increases must be substantiated by current employee performance documentation. Probationary review forms and other substantiations of performance may be used as documentation in cases of new hires. It is the responsibility of the Dean/Director/Department Head/Provost/Vice Chancellor to ensure documentation is on file to support the merit allocations.

Each Dean/Provost/Vice Chancellor will be provided an allocation of 2% of base-budgeted salaries as of May 31, 2013 for merit recognitions within their respective units.

Suggested increases over 8% will be reviewed for documentation that substantiates the increase. Increases for faculty will be approved by the Provost. Vice Chancellors will review all staff and A/P personnel increases. All approved salary adjustments will become effective October 1, 2013.

FACULTY PROMOTIONS

Increases for faculty promotions are as follows:

- Professor - \$6,500
- Associate Professor - \$4,500

Distributions from the central pool will only be allocated on base-budgeted salaries and will only be allocated during the budget process. The promotion increase of faculty budgeted on multiple accounts will be prorated accordingly. Faculty promotions will become effective October 1, 2013.

VACANT POSITIONS and STUDENT POSITIONS

Vacant positions, vacant salary reserve positions, and multi-filled positions for graduate assistants and students will not receive an allocation from the central pool.

FRINGE BENEFIT RATES

The budgeted fringe benefit rate for employees will be the following (subject to approval by the federal government):

Full-time:	30.5%
Part-time:	11.2%
Graduate Students:	2.5%

EXECUTIVE COMMITTEE

RESOLUTION

AUBURN UNIVERSITY STRATEGIC PLAN, 2013-2018

WHEREAS, the Auburn University Board of Trustees supports comprehensive strategic planning as a sound management practice in guiding the institution toward achieving its mission; and

WHEREAS, the administration has engaged in an extensive strategic planning process that has involved the entire campus community and other key stakeholders; and

WHEREAS, the process has been guided by Auburn's faculty, staff, and students who have all provided important ideas for the development of strategic directions for the institution; and

WHEREAS, the Strategic Plan for Auburn University, 2013-2018, identifies strategic opportunities to enhance the institution's mission at both the Auburn and Montgomery campuses over the next five years and presents a coherent agenda for grasping those opportunities; and

WHEREAS, the draft plan has been approved by the President, who recommends that the Board approve as a final draft the key strategic elements as shown on Exhibit A, recognizing that the plan is a living document that will be reviewed annually.

NOW, THEREFORE, BE IT RESOLVED that the draft of key elements in the Strategic Plan, as shown on Exhibit A, is approved, and that Jay Gogue, President, or such other person as may be acting as President, is hereby authorized to do those things necessary and appropriate to implement said Plan, monitor progress towards its achievement and semi-annually report to the Board concerning progress as well as recommending any changes that are appropriate given changing circumstances.

EXECUTIVE COMMITTEE

RESOLUTION

REQUEST TO RENAME THE AGRICULTURAL ENGINEERING ANNEX AS THE BIOLOGICAL ENGINEERING RESEARCH LABORATORY

WHEREAS, the Agricultural Engineering Annex was constructed in 1948 for engineering research and education related to the agricultural challenges of the early and mid-20th century, such as agricultural mechanization, rural electrification, and soil conservation; and

WHEREAS, while in 1998 the former Department of Agricultural Engineering was renamed the Department of Biosystems Engineering and the Agricultural Engineering Building was renamed the Tom E. Corley Building, the name of the adjacent Agricultural Engineering Annex was not changed; and

WHEREAS, the 21st century challenges for agriculture, food, natural resources, and the environment require more cross-disciplinary approaches that combine engineering principles and biological sciences; and

WHEREAS, the Department of Biosystems Engineering has experienced significant growth in its undergraduate and graduate education programs as well as in its research and extension programs; and

WHEREAS, Auburn University was awarded \$4.6 million in grant funds from the National Science Foundation to renovate the Agricultural Engineering Annex under the project titled "Next Generation Biological Engineering Research Through Renovation of Laboratories at Auburn University" and this renovation project is nearing completion.

NOW, THEREFORE, BE IT RESOLVED that the Agricultural Engineering Annex be renamed the Biological Engineering Research Laboratory in recognition of the strong interdisciplinary programs being conducted by the Department of Biosystems Engineering and other collaborating programs.




AUBURN UNIVERSITY

OFFICE OF THE PROVOST
AND VICE PRESIDENT FOR
ACADEMIC AFFAIRS

May 14, 2013

MEMORANDUM TO: Jay Gogue
President

FROM: Timothy R. Boosinger 
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –
Proposed Renaming of the *Agricultural Engineering Annex* as the
Biological Engineering Research Laboratory

I am writing to request that the following item be added to the Board of Trustees' agenda for the **June 21, 2013** meeting.

Proposal: It is recommended that the Board approve the proposed renaming of the *Agricultural Engineering Annex* as the *Biological Engineering Research Laboratory* within the College of Agriculture.

Review and Consultation: Faculty in the College of Agriculture initiated this renaming to better represent the interdisciplinary research, instruction, and extension programs currently conducted by the Department of Biosystems Engineering.


Utilizing funds from a \$4.6 million grant from the National Science Foundation, the facility is currently under renovation and will eventually house the research and education programs completed by faculty and students from the Department of Biosystems Engineering. The proposed title more accurately reflects the department's ongoing and future research activities, including the development of cross-disciplinary solutions to complex agricultural and engineering challenges relating to the production, handling, and processing of biological materials.

The proposed renaming was reviewed and approved by the College of Agriculture in spring 2013 and has received the approval of the Provost's Office.

Recommendation: The proposed renaming of the Agricultural Engineering Annex as the Biological Engineering Research Laboratory will better represent the academic content and research efforts contained therein. It is recommended that the Board approve the proposed renaming.

DATE: May 8, 2013

TO: Dr. Timothy Boosinger
Provost and Vice President for Academic Affairs

FROM: William D. Batchelor 

SUBJECT: Request to rename the Agricultural Engineering Annex to the Biological Engineering Research Laboratory

In recognition of the interdisciplinary research, instruction and extension programs of the Biosystems Engineering Department and other collaborating programs, which are focused on the application of engineering principles to problems in biological systems, I am requesting that the building currently named the Agricultural Engineering Annex be renamed the "Biological Engineering Research Laboratory."

Auburn University is completing a project to renovate the building currently named the Agricultural Engineering Annex. This project was made possible through a \$4.6 million competitive grant from the National Science Foundation (NSF). The proposal from Auburn University's Biosystems Engineering Department to NSF was titled "Next Generation Biological Engineering Research Through Renovation of Laboratories at Auburn University." This proposed building name is a much more appropriate reflection of the type of research and education programs that will be performed in the new space by faculty and students in the Biosystems Engineering Department and other collaborating programs.

The Agricultural Engineering Annex was built in 1948 and has served as laboratory space for what was originally the Department of Agricultural Engineering (which has since been renamed the Department of Biosystems Engineering). In its early history, the building facilitated engineering education and research on agricultural challenges in the early- and mid-20th century, such as agricultural mechanization, rural electrification, and soil conservation. Today there is a much larger set of complex engineering challenges in agriculture, food, natural resources, and the environment; and the solutions to these problems require a more cross-disciplinary approach of combining engineering with biological principles.

In 1998, the department name was changed from Agricultural Engineering to Biosystems Engineering. This name change reflected the shift in overall focus to a more broad application of engineering to biological systems. Also at that time, the Agricultural Engineering Building was renamed to the Tom Corley Building. However, the Agricultural Engineering Annex was not renamed. With the current building renovation project, and with strong interdisciplinary programs in biological engineering, this proposed name change to "Biological Engineering Research Laboratory" is appropriate and timely.

EXECUTIVE COMMITTEE

RESOLUTION

**REQUEST TO RENAME THE AGRICULTURAL ENGINEERING ANNEX TO
THE BIOLOGICAL ENGINEERING RESEARCH LABORATORY**

WHEREAS, the Agricultural Engineering Annex was constructed in 1948 for engineering research and education related to the agricultural challenges of the early and mid-20th Century, such as agricultural mechanization, rural electrification, and soil conservation.

WHEREAS, in 1998, the former Department of Agricultural Engineering was renamed to the Department of Biosystems Engineering and the Agricultural Engineering Building was renamed the Tom E. Corley Building; however, the name of the adjacent Agricultural Engineering Annex was not changed.

WHEREAS, the 21st Century challenges for agriculture, food, natural resources, and the environment require more cross-disciplinary approaches that combine engineering principles and biological sciences.

WHEREAS, the Department of Biosystems Engineering has experienced significant growth in its undergraduate and graduate education programs as well as in its research and extension programs.

WHEREAS, Auburn University was awarded \$4.6 million in grant funds from the National Science Foundation to renovate the Agricultural Engineering Annex under the project titled "Next Generation Biological Engineering Research Through Renovation of Laboratories at Auburn University" and this renovation project is nearing completion.

NOW, THEREFORE, BE IT RESOLVED that the Agricultural Engineering Annex be renamed the Biological Engineering Research Laboratory in recognition of the strong interdisciplinary programs being conducted by the Department of Biosystems Engineering and other collaborating programs.

EXECUTIVE COMMITTEE

PRESIDENTIAL ASSESSMENT

Time will be allocated for discussion of appointment of three members of the Board of Trustees for the Presidential Assessment. This Appointment will be for the 2013 Assessment to be provided to the Board at the September 13, 2013 meeting.

EXECUTIVE COMMITTEE

ELECTION OF OFFICERS

Time will be allocated for election of officers.