



AUBURN UNIVERSITY  
OFFICE OF THE PRESIDENT

August 30, 2013

**MEMORANDUM TO:** Board of Trustees

**SUBJECT:** November 15, 2013 Meeting

Enclosed are materials that comprise the proposed agenda for the November 15, 2013 meeting of the Board of Trustees on the Auburn University Campus. Listed below is the tentative schedule; times and locations that are subject to adjustment, depending on length of individual meetings.

Friday, November 15, 2013 (Ballroom B, AU Hotel)

- 9:30 a.m. Property and Facilities Committee
- 10:00 a.m. Joint Committee Meeting/Academic Affairs and AUM
- 10:15 a.m. Compensation Committee
- 10:30 a.m. Student Affairs Committee
- 10:45 a.m. Executive Committee
- 10:50 a.m. Regular Meeting of the Board of Trustees (Ballroom B, AU Hotel)  
(Proposed Executive Session – Meeting Room A, AU Hotel)
- 11:15 a.m. Reconvene Regular Meeting of the Board of Trustees (Ballroom B)
- 11:45 a.m. Luncheon (Ballroom A, Right, AU Hotel)

We appreciate all that you do for Auburn University and look forward to seeing you on Friday, November 15, 2013. Please call me, if you have questions regarding the agenda. Also, please let Grant Davis, Sherri Williams, or me know if you need assistance with travel and/or lodging arrangements.

Sincerely,

Jay Gogue  
President

JG/smw

Enclosure

c: President's Cabinet (w/encl.)  
Mr. Grant Davis (w/encl.)

**DRAFT SCHEDULE & AGENDA  
AUBURN UNIVERSITY BOARD OF TRUSTEES  
FRIDAY, NOVEMBER 15, 2013**

**FRIDAY, NOVEMBER 15, 2013**

- I. Committee Meetings (Ballroom B, AU Hotel)  
\*\*Committee Meetings will begin at 9:30 a.m. - - all other meetings are subject to change in starting time, depending upon the length of individual meetings.
- A. Property and Facilities Committee/Chairperson Harbert/9:30 a.m.
1. [Approval of the Campus Master Plan Update](#) (Dan King)
  2. [Foy Dining and Courtyard Improvements, Approval of Facility Program, Site, Budget, Funding Plan and Schematic Design](#) (Dan King/Jon Waggoner)
  3. [Request for Centennial Marker for the Honor Society of Phi Kappa Phi](#) (Dan King)
  4. [Aviation Education Facility, Approval of Project Initiation and Authorization to Commence the Project Architect Selection Process](#) (Dan King/Bill Hardrave)
  5. [Recycling and Service Support Facility, Approval of Project Initiation and Authorization to Commence the Project Architect Selection Process](#) (Dan King)
  6. [Lease of Coosa Forest for Hunting](#) (Dan King/Mark Stirling)
  7. [Aviation Accreditation Board International Office Space](#) (Bob Ritenbaugh)
  8. [Equestrian Center Phase 1: Arena Pavilion, Approval of Facility Program, Site, Budget, Funding Plan and Schematic Design](#) (Dan King/Bill Batchelor)
  9. [Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \\$750,000 and Greater](#) (Dan King)
  10. [Quarterly Report for Projects Costing More than \\$500,000 but less than \\$750,000 – 4th Quarter Fiscal year 2013](#) (For Information Only) (Dan King)
  11. Project Status Report (Dan King)
- B. Joint Committee Meeting/Academic Affairs and AUM/Chairpersons Huntley and Sahlie/10:00 a.m.
1. [Auburn University at Montgomery Reorganization of School of Business and Name Change to the College of Business](#) (John Veres/Joe King/Rhea Ingram) – Joint Item
  2. [Proposed Renaming of the BS in Medical Technology as the BS in Medical Laboratory Sciences](#) (Timothy Boosinger/Nicholas Giordano)
  3. [Proposed Renaming of the BS in Laboratory Technology as the BS in Laboratory Sciences](#) (Timothy Boosinger/Nicholas Giordano)

4. [Proposed Formal Options within the Existing MS in Pharmaceutical Sciences](#) (Timothy Boosinger/Lee Evans)
5. [Proposed Formal Options within the Existing PhD in Pharmaceutical Sciences](#) (Timothy Boosinger/Lee Evans)
6. [Proposed Renaming of the Department of Pharmacy Care Systems as the Department of Health Outcomes Research and Policy](#) (Timothy Boosinger/Lee Evans)
7. [Proposed Renaming of the Department of Pharmacal Sciences as the Department of Drug Discovery and Development](#) (Timothy Boosinger/Lee Evans)
8. [Proposed Changes to Faculty Personnel Policies](#) (Timothy Boosinger)
9. Academic Affairs Update (Timothy Boosinger/Joe Aistrup)

C. Compensation Committee/Chairperson Pratt/10:15 a.m.

1. [Revision of Compensation Policy](#) (Lee Armstrong)

D. Student Affairs Committee/Chairperson Newton/10:30 a.m.

1. [Report on Student Affairs](#) (For Information Only) (Jon Waggoner)

E. Executive Committee/Chairperson Lanier/10:45 a.m.

1. Proposed Awards and Namings (Gaines Lanier)

II. REGULAR MEETING OF THE BOARD OF TRUSTEES/10:50 A.M.

A. Proposed Executive Session (Meeting Room A, AU Hotel)

III. RECONVENED MEETING OF THE BOARD OF TRUSTEES/11:15 A.M. (Ballroom B, AU Hotel)

(Agenda items are determined primarily based upon committee actions.)

11:45 a.m. LUNCHEON – BALLROOM A, RIGHT (AU Hotel)

BOARD OF TRUSTEES  
EXECUTIVE SUMMARY

NOVEMBER 15, 2013

A. Property & Facilities Committee

1. Approval of the Campus Master Plan Update

It is proposed that the Campus Master Plan Update be presented to the Board of Trustees, through the Property and Facilities Committee, for approval. The Board of Trustees was advised of the preliminary plan to accomplish a Campus Master Plan Update in November, 2010. The Campus Master Plan Update is now complete. In its final form, this Campus Master Plan Update defines the capacity of the campus to accommodate growth and change, and to establish an effective framework in which future campus operations and growth can occur in a prudent way. It is requested that the Board of Trustees approve the Campus Master Plan Update. It further proposed that the Campus Master Plan be updated periodically and brought before the Board of Trustees for approval.

2. Foy Hall Dining and Courtyard Improvements, Approval of Facility Program, Site, Budget, Funding Plan and Schematic Design

Auburn University continues to have a high demand for dining services on campus, particularly in the core of campus. In response to this demand, Dining Services has proposed to renovate and expand Foy Hall to create a new 2,600 square foot dining venue as well as aesthetically improve the Foy Hall courtyard, by installing additional seating and providing overhead cover for patrons. The estimated total cost of the Foy Hall Dining and Courtyard Improvements project is \$1.6 million, to be funded by previously budgeted University funds.

3. Request for Centennial Marker for the Honor Society of Phi Kappa Phi

The Honor Society of Phi Kappa Phi is the nation's oldest, largest, and most selective academic honor society for all academic disciplines. The Auburn University Chapter of Phi Kappa Phi was the thirteenth chapter of the Society, being chartered in 1914. The Chapter has requested to commemorate this anniversary by installing a historical centennial marker on the Auburn University campus. The Provost has reviewed and supports this request. The proposed location for the Phi Kappa Phi centennial marker is in Ross Square.

4. Aviation Education Facility, Approval of Project Initiation and Authorization to Commence the Project Architect Selection Process

The Harbert College of Business proposes to construct an Aviation Education Facility to support the University's Aviation Management program. The Auburn University's flight education operation supports students of the Professional Flight Management degree program and is currently located at the Auburn University Regional Airport in the former airport terminal building and an adjacent double-



wide mobile trailer. This sixty year old terminal building will be demolished in the next year or two to relocate a taxiway to meet Federal Aviation Administration standards, and when the demolition is complete, the flight education operation will need to move to a new facility at the airport.

5. Recycling and Service Support Facility, Approval of Project Initiation and Authorization to Commence the Project Architect Selection Process

Auburn University's Facilities Management Division proposes to construct a Recycling and Service Support Facility within the Facilities Management complex. The Recycling and Service Support functions currently operate out of the existing Food Service Warehouse located on the corner of South Donahue Drive and War Eagle Way adjacent to Lowder Hall. The Campus Master Plan Update calls for the demolition of the Food Service Warehouse to create future expansion space for the Harbert College of Business. The construction of this Recycling and Service Support Facility, and the relocation of the current functions housed there, will vacate most of the Food Service Warehouse, thus enabling its eventual demolition.

6. Lease of Coosa Forest for Hunting

The Auburn University School of Forestry and Wildlife Sciences and Alabama Agricultural Experiment Station propose to allow hunting on Auburn University owned and managed forest plots and some unused experiment stations. These leases will generate revenue to assist in reducing operational and maintenance expenses for this property. Ongoing operations of the Auburn University property will not be impacted by any lease of the specific land parcel. Specifically, the School of Forestry and Wildlife Sciences would like to offer a hunting lease on the 160 acre Coosa Forest in Coosa County, and award the lease based on competitive bids consistent with Board policy.

7. Aviation Accreditation Board International Office Space Lease

The Office of the Vice President for Research and the College of Business propose to lease office space at the Skyway Drive building to allow the Aviation Accreditation Board International (AABI) to have their principal place of business in Auburn, Alabama. Faculty members of the Aviation Management Program of the College of Business, the Provost, and the President believe the close proximity and prestige created by this professional relationship between the AABI and Auburn University provides significant benefits and enhances the operation of the University and its aviation programs and, therefore, is in the best interest of Auburn University. The proposed lease will be for a five year period.

8. Equestrian Center Phase I: Arena Pavilion, Approval of Facility Program, Site, Budget, Funding Plan and Schematic Design

The College of Agriculture requests that the Board of Trustees approve the program requirements, site, budget, funding plan, and schematic design for the proposed Equestrian Center Phase I: Arena Pavilion project. This project consists

of a 48,600 square foot pavilion roof structure which will cover the existing western riding arena located in the equestrian/horse farm area along Wire Road across from the College of Veterinary Medicine. The estimated total project cost is \$1.4 million and will be funded from donor accounts of the College of Agriculture.

9. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$750,000 and Greater (For Information Only)

The Board of Trustees at its meeting on June 4, 2001, requested that it receive a regular update on the status of new projects that are underway or planned which have project budgets of \$750,000 or more.

10. Quarterly Report for Projects Costing More than \$500,000 but Less than \$750,000 - 4<sup>th</sup> Quarter Fiscal Year 2013 (For Information Only)

The Board of Trustees at its June 4, 2001 meeting adopted a resolution stipulating that all projects with a total cost in the range of \$500,000 to \$750,000 be reported quarterly.

11. Project Status Report

In response to informal inquiries with regard to particular projects in progress or envisaged, it is proposed that a brief report regarding the status of Board of Trustees approved projects be submitted, for information only.

B. Joint Committee/Academic Affairs and AUM

1. Auburn University at Montgomery Reorganization of School of Business and Name Change to College of Business

The Board has been asked to approve the consolidation in the number of academic/curricular departments within the AUM School of Business from 5 (Department of Accounting, Department of Economics & Finance, Department of Information Systems & Decision Science, Department of Management, Department of Marketing) to 3 (Department of Accounting, Department of Economics, Finance & Marketing, Department of Information Systems & Management). In doing so we believe we can enhance our programmatic offerings with a more cohesive and experientially rich educational experience for our undergraduate and graduate students. We believe that three departments will sufficiently represent faculty interests, meet support requirements and redirect saved time toward the service of students. Our desire is to create an environment where our students and faculty will engage in experiential learning environments and understand the interactions of the parts of a business arena (synthesis) as well as individual functions (analysis). In addition, we propose a name change from the School of Business to College of Business to place our program in alignment with

other universities in Alabama. The proposal has been reviewed by the faculty, the Dean of the School of Business, the Provost and the Chancellor. It is requested that the Board consider a resolution to approve the proposal to consolidate departments within the AUM School of Business, and the name change from School of Business to College of Business.

2. Proposed Renaming of the BS in Medical Technology and the BS in Laboratory Technology

The Department of Chemistry and Biochemistry in the College of Sciences and Mathematics is proposing two program renamings: (1) the *BS in Medical Technology* as the *BS in Medical Laboratory Sciences*, and (2) the *BS in Laboratory Technology* as the *BS in Laboratory Sciences*. The proposed new titles more accurately reflect the course offerings within each degree program and ensure they are consistent with similar nomenclature adopted by peer institutions.

3. Proposed Renaming of the BS in Laboratory Technology as the BS in Laboratory Sciences

The Department of Chemistry and Biochemistry in the College of Sciences and Mathematics is proposing two program renamings: (1) the *BS in Medical Technology* as the *BS in Medical Laboratory Sciences*, and (2) the *BS in Laboratory Technology* as the *BS in Laboratory Sciences*. The proposed new titles more accurately reflect the course offerings within each degree program and ensure they are consistent with similar nomenclature adopted by peer institutions.

4. Proposed Options in the MS in Pharmaceutical Sciences

The Harrison School of Pharmacy is proposing four formal degree options within the existing MS in Pharmaceutical Sciences. These options include (1) Health Outcomes Research and Policy, (2) Medicinal Chemistry, (3) Pharmaceutics, and (4) Pharmacology. The proposed options will provide students with more specialized training opportunities and will also be reflected on academic transcripts.

5. Proposed Options in the PhD in Pharmaceutical Sciences

As with the aforementioned MS in Pharmaceutical Sciences, the Harrison School of Pharmacy is proposing four (4) formal degree options within the existing Ph.D. in Pharmaceutical Sciences. These options include (1) Health Outcomes Research and Policy, (2) Medicinal Chemistry, (3) Pharmaceutics, and (4) Pharmacology. The proposed options will provide students with more specialized training opportunities and will also be reflected on academic transcripts.

6. Proposed Renaming of the Department of Pharmacy Care Systems

The Harrison School of Pharmacy is proposing a renaming of the *Department of Pharmacy Care Systems* as the *Department of Health Outcomes Research and Policy*. The proposed title better represents the department's programmatic emphasis on pharmacy-related healthcare, and also reflects the department's ongoing and future research activities. The new title will enhance the unit's visibility among health-related stakeholder groups and prospective funding agencies.

7. Proposed Renaming of the Department of Pharmacal Sciences  
The Harrison School of Pharmacy is proposing a renaming of the *Department of Pharmacal Sciences* as the *Department of Drug Discovery and Development*. The proposed title better represents the department's programmatic emphasis on the complex and multidisciplinary field of drug development, and also reflects the department's ongoing and future research activities. The new title will enhance the unit's visibility among health-related stakeholder groups and prospective funding agencies.
8. Proposed Revision to Chapter Three of the Faculty Handbook  
The University Senate has recommended changes to the faculty personnel policies. The changes were approved by the University Senate at the April 2, 2013 full Senate meeting, followed by further changes and clarification added by the Senate Executive Committee in May and August 2012. The proposed changes delineate amended policies and provide increased comprehensiveness, organization, and clarity to the institution's faculty personnel policies.
9. Academic Affairs Update  
Introduction of Dr. Joe Aistrup, Dean of the College of Liberal Arts.

C. Compensation Committee

1. Revision of Compensation Policy  
Revisions are recommended in light of evolving practices and experience. In today's competitive job market for outstanding employees, timely review of compensation recommendations is essential. Accordingly, it is proposed that the time for Compensation Committee members to request a meeting after receiving notice of a compensation recommendation be shortened from five (5) to two (2) business days. Under existing Policy, any proposed annual salary improvement that exceeded twice the Board approved percentage set forth in the budget guidelines required additional approval. In recent years, with less funding available for salary improvement, the Board approved percentage is substantially lower than was historically the case. However, in approving the budget guidelines, the Board authorized adjustments above that amount with a cap that was in excess of twice the approved percentage. The proposed revision aligns the Policy with evolving Board practices by requiring that the budget guidelines adopted annually contain any approval requirements. Other revisions are recommended removing redundant material and/or making optional at the request of the Committee's receipt of certain reports. Finally, it is recommended that, consistent with practice in recent years, the Compensation Committee and the Finance Committee hold a joint meeting when considering annual budget guidelines. As a practical matter, the Compensation Committee cannot recommend salary improvement without knowing the overall budget condition of the University. Rather than making in effect a budget presentation to the Compensation Committee to inform their judgment about salary improvement and then make essentially the same budget presentation to the Finance Committee, it is recommended that they hold joint meetings.

D. Student Affairs Committee

1. Report on Student Affairs (For Information Only)

Leadership within Auburn University's Division of Student Affairs changed on August 1, 2013, when the Vice President for Student Affairs, Dr. Ainsley Carry, accepted a position at the University of Southern California. Mr. Jon Waggoner assumed the role of Interim Vice President for Student Affairs, and a search is currently underway for replacement leadership. In the wake of these changes within the Division, it was suggested that the Student Affairs Committee of the Board of Trustees might wish to be presented with an overview of Student Affairs. To that end, the Division of Student Affairs has compiled information concerning its twelve departments to share briefly with the Committee. The departments that make up the Division of Student Affairs are Assessment & Strategic Planning, Auburn University Medical Clinic, Campus Recreation, Development, Greek Life, Health Promotion & Wellness Services, Parent & Family Programs, Residence Life, Student Center Operations, Student Conduct, Student Counseling Services, and Student Involvement. In the interest of time, Mr. Waggoner will present brief data snapshots concerning the Division and will respond to questions about Student Affairs activities and departments, if any exist.

E. Executive Committee

1. Proposed Awards and Namings

Time will be allocated for discussion of any awards and namings.

RESOLUTION

APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the Reconvened Meeting on Friday, September 13, 2013 have been distributed to all members of this Board for review.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees that the minutes of its September 13, 2013 meeting are hereby approved as distributed.



## RESOLUTION

### AWARDING OF DEGREES

WHEREAS, Auburn University confers appropriate degrees upon those individuals who have completed requirements previously approved by this Board of Trustees and stated in University Catalogs.

NOW, THEREFORE, BE IT RESOLVED as follows:

1. That all degrees to be awarded by the faculties of Auburn University and Auburn University Montgomery on December 14, 2013, complying with requirements heretofore established by the Board of Trustees, be and the same are hereby approved.
2. That a list of the degrees awarded on the above listed dates be filed in the Book of Exhibits and made a part of this resolution and of these minutes.

## PROPERTY AND FACILITIES COMMITTEE

### RESOLUTION

#### APPROVAL OF THE CAMPUS MASTER PLAN UPDATE

WHEREAS, the Board of Trustees approved a Campus Master Plan in June, 2002 with the intent that it be a “living document” with routine updates; and

WHEREAS, the Campus Master Plan was subsequently updated in 2007; and

WHEREAS, the Board of Trustees was advised of the preliminary plan to accomplish a Campus Master Plan Update at its November, 2010 meeting and approved the selection of Sasaki and Associates as the master plan consultant at its February, 2012 meeting; and

WHEREAS, the Board of Trustees approved a set of planning elements for inclusion in the Campus Master Plan Update during its April, 2012 meeting and was presented with a summary of key Campus Master Plan Update recommendations and issues at its April 2013 workshop; and

WHEREAS, the Campus Master Plan Update is the result of an extensive, transparent, and open planning process that has involved senior administration, Colleges and Schools, the entire campus community, and other key stakeholders; and

WHEREAS, the Campus Master Plan is now complete and defines the capacity of the campus to accommodate growth and change, and to establish an effective framework in which future campus operations and growth can occur in a prudent way; and

WHEREAS, the Campus Master Plan will be updated periodically and presented to the Board of Trustees for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Campus Master Plan Update is approved, and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to implement the recommendations of the plan.



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**TO:** JAY GOGUE, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Assistant Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
**APPROVAL OF THE CAMPUS MASTER PLAN UPDATE**  
**DATE:** OCTOBER 31, 2013

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 15, 2013.

**Proposal:**

It is proposed that the Campus Master Plan Update be presented to the Board of Trustees through the Property and Facilities Committee for approval.

**Review and Consultation:**

Auburn University developed and approved a Campus Master Plan which was approved by the Board of Trustees at its meeting in June, 2002. At that time, the intent of the Board of Trustees was that the Campus Master Plan should be a "living document" with routine updates. The Campus Master Plan was subsequently updated in 2007.

Over the last several years, significant changes to the Auburn University campus necessitated the development of a Campus Master Plan update. The Board of Trustees was advised of the preliminary plan to accomplish a Campus Master Plan Update at the November 2010 Board of Trustees meeting. The Board of Trustees approved the selection of Sasaki and Associates as the Campus Master Plan consultant at the February 2012 Board of Trustees meeting. Additionally, the Board of Trustees approved a set of planning elements for inclusion in the Campus Master Plan Update during its April 2012 meeting. At its April 2013 workshop, the Board of Trustees was presented with a summary of key Campus Master Plan Update recommendations and issues.

Throughout its development, the Campus Master Plan Update is the result of an extensive, transparent, and open planning process that has involved senior administration, Colleges and Schools, the entire campus community, and other key stakeholders.

The Campus Master Plan Update is now complete. In its final form, the Campus Master Plan defines the capacity of the campus to accommodate growth and change, and to

establish an effective framework in which future campus operations and growth can occur in a prudent way.

It is requested that the Board of Trustees approve the Campus Master Plan Update. It is further proposed that the Campus Master Plan be updated periodically and brought before the Board of Trustees for approval.

If you concur, it is recommended that the proposal be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action as requested.

**Rationale for Recommendation:**

Board of Trustee policy stipulates that periodic updates of the Campus Master Plan are subject to the approval by the Board. It is therefore appropriate that the Campus Master Plan Update be similarly submitted to the Board of Trustees for approval.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

FOY HALL DINING AND COURTYARD IMPROVEMENTS

APPROVAL OF FACILITY PROGRAM, SITE, BUDGET,  
FUNDING PLAN & SCHEMATIC DESIGN

WHEREAS, the Property and Facilities Committee to the Board of Trustees, at its meeting held on August 2, 2013, adopted a resolution that approved the initiation of the Foy Hall Dining and Courtyard Improvements; and

WHEREAS, the project will renovate and expand Foy Hall to create a new 2,600 square foot dining venue as well as improve the aesthetic quality of Foy Hall courtyard, to better meet the high demand for dining services in the core of campus for students, faculty, and staff; and

WHEREAS, the project location is the southwest corner of Foy Hall near the loading dock on Thach Concourse and the existing Foy Hall courtyard; and

WHEREAS, the estimated total cost of the Foy Hall Dining and Courtyard Improvements project is \$1.6 million, to be funded by previously budgeted University funds; and

WHEREAS, the schematic design of the Foy Hall Dining and Courtyard Improvements project, prepared by the architect, has been determined to be consistent with the "*Comprehensive Master Plan*," the "*Image and Character of Auburn University*," the facility program, and the project budget.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the project program for Foy Hall Dining Facility Addition and Courtyard Improvements project is approved, and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a total project budget in the amount of \$1.6 million to be funded by previously budgeted University funds.
2. Direct the consultants to complete the required plans for the project.
3. Solicit bids for construction conditioned upon the availability of sufficient funding as approved by the President.
4. Award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.



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**TO:** JAY GOGUE, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Assistant Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
**FOY HALL DINING FACILITY ADDITION AND COURTYARD  
IMPROVEMENTS: APPROVAL OF FACILITY PROGRAM, SITE, BUDGET,  
FUNDING PLAN AND SCHEMATIC DESIGN**  
**DATE:** OCTOBER 31, 2013

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This memorandum requests the following items be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda of the meeting scheduled for November 15, 2013.

**Proposal:**

It is proposed that the Foy Hall Dining Facility Addition and Courtyard Improvements project be presented to the Board of Trustees through the Property and Facilities Committee for the consideration of a resolution to approve the program requirements, site, budget, funding plan, and schematic design; and authorizes the President to direct the consultants to complete the required plans; solicit bids for construction; and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.

**Review and Consultation:**

At its meeting on August 2, 2013, the Property and Facilities Committee of the Board of Trustees adopted a resolution to approve the initiation of the Foy Hall Dining Addition and Courtyard Improvements project.

Since that time, the project team has completed the schematic design for the Foy Hall Dining Addition and Courtyard Improvements project. The details of the project are provided below:

Program Requirements: This project will renovate and expand Foy Hall and the Foy Hall Courtyard to create a new, 2,600 square foot dining venue. This project will include a new food service area as well as improve the aesthetic quality of the courtyard, install additional seating, and provide overhead cover for patrons.

Budget: The estimated total project cost for the Foy Hall Dining Addition and Courtyard Improvements project is \$1.6 million.

Funding Plan: This project will be constructed using previously budgeted University funds.



Project Location: The project is located at the southwest corner of Foy Hall near the loading dock area on Thach Concourse and the existing Foy Hall courtyard.

If you concur, it is proposed that the facility program, budget, funding plan, site, and schematic design be presented to the Board of Trustees for consideration of an appropriate resolution for approval at the meeting scheduled for November 15, 2013.

**Rationale for Recommendation:**

Standing policy stipulates that project and plan development are limited to the schematic design phase until the facility program, site, budget and funding plan are approved by the Board. Board approval is necessary to allow advancement of the project.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

REQUEST FOR CENTENNIAL MARKER FOR  
THE HONOR SOCIETY OF PHI KAPPA PHI

WHEREAS, it is proposed that a centennial marker be installed on the Auburn University campus to commemorate the 100<sup>th</sup> anniversary of the establishment of the Auburn University Phi Kappa Phi chapter; and

WHEREAS, the Honor Society of Phi Kappa Phi is the nation's oldest, largest, and most selective academic honor society for all academic disciplines; and

WHEREAS, the Auburn University Chapter of Phi Kappa Phi was the thirteenth chapter of the Society, being chartered in 1914, and has requested to commemorate this anniversary by installing a historical centennial marker on the Auburn University campus; and

WHEREAS, the Provost has reviewed and supports the Phi Kappa Phi request; and

WHEREAS, the proposed location for the Phi Kappa Phi centennial marker is in Ross Square.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the request to install a centennial marker on the Auburn University campus to commemorate the 100<sup>th</sup> anniversary of the establishment of the Auburn University Phi Kappa Phi chapter is approved, and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered install a centennial marker for Phi Kappa Phi in Ross Square.



FACILITIES MANAGEMENT  
MEMORANDUM

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**TO:** JAY GOGUE, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Assistant Vice President for Facilities  
**SUBJECT:** EXECUTIVE COMMITTEE  
**CENTENNIAL MARKER FOR THE HONOR SOCIETY OF PHI KAPPA PHI**  
**DATE:** OCTOBER 31, 2013

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This memorandum requests the following item be presented to the Board of Trustees through the Executive Committee during the meeting scheduled for November 15, 2013.

**Proposal:**

It is proposed that a centennial marker be installed on the Auburn University campus to commemorate the 100<sup>th</sup> anniversary of the establishment of the Auburn University Phi Kappa Phi chapter.

**Review and Consultation:**

The Honor Society of Phi Kappa Phi is the nation's oldest, largest, and most selective academic honor society for all academic disciplines. The Auburn University Chapter of Phi Kappa Phi was the thirteenth chapter of the Society, being chartered in 1914. The chapter intends to hold a Centennial Celebration in 2014. A Centennial Planning Committee has been formed, and plans for the year-long celebration are underway. The Auburn University Chapter has hundreds of alumni that form a community of scholars nationally and internationally.

The Chapter's centennial is a milestone in both the University's and the Chapter's history, and they have requested to commemorate it by installing a historical centennial marker on the Auburn University campus. The recommended location for the centennial marker is in Ross Square. The Ross Square area was originally named Centennial Gardens and was built in 1956 to celebrate Auburn University's first 100 years. As such, it is deemed an appropriate spot for the Phi Kappa Phi centennial marker.

Chapter President Dr. Roland Dute, Centennial Planning Committee chair Dr. Stuart Price, and Dr. Nancy Cox met with the Provost, Tim Boosinger, on June 12, 2013, to discuss their proposal. The Provost supported their request.

If you concur, it is proposed that the request to establish a centennial marker in honor of Phi Kappa Phi be presented to the Board of Trustees for consideration and approval at the meeting scheduled for November 15, 2013.

**Rationale for Recommendation:**

Standing policy of the Board of Trustees stipulates that all requests for statues, monuments, or other recognition must be approved by the Board of Trustees.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

AVIATION EDUCATION FACILITY

APPROVAL OF PROJECT INITIATION AND AUTHORIZATION  
TO COMMENCE THE PROJECT ARCHITECT SELECTION PROCESS

WHEREAS, Auburn University's flight education operation supports students of the Professional Flight Management degree program of the Harbert College of Business as well as other students interested in obtaining flight skills; and

WHEREAS, this flight education operation is currently located at the Auburn University Regional Airport in the former airport terminal building and an adjacent double-wide mobile trailer; and

WHEREAS, the former airport terminal building will be demolished in the next year or two to relocate a taxiway to meet Federal Aviation Administration standards, and when the demolition is complete, the flight education operation will need to move to a new facility; and

WHEREAS, the proposed project is expected to cost in excess of \$750,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$750,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Aviation Education Facility project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the selection process of a project architect.



**TO:** JAY GOGUE, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Assistant Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**AVIATION EDUCATION FACILITY: APPROVAL OF PROJECT  
INITIATION AND AUTHORIZATION TO COMMENCE THE PROJECT  
ARCHITECT SELECTION PROCESS**

**DATE:** OCTOBER 31, 2013

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 15, 2013.

**Proposal:**

Consistent with standing policy, it is proposed that the Aviation Education Facility project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will: (1) approve the initiation of the project and (2) authorize the commencement of the architect selection process.

**Review and Consultation:**

The Harbert College of Business proposes to construct an Aviation Education Facility to support the University's Aviation Management program.

Auburn University's flight education operation supports students of the Professional Flight Management degree program of the Harbert College of Business as well as other students interested in obtaining flight skills. Currently, this flight education operation is located at the Auburn University Regional Airport in the former airport terminal building and an adjacent double-wide mobile trailer.

The former airport terminal building is a sixty year old structure that will be demolished in the next year or two. The demolition of the terminal is necessary to relocate a taxiway to meet Federal Aviation Administration (FAA) standards to accommodate instrument approaches at the airport. When the demolition is complete, the flight education unit will need to move to a new facility.

The Aviation Education Facility project is expected to cost in excess of \$750,000 and Board of Trustee policy stipulates that all construction and renovation/adaptation,

infrastructure or outdoor facility projects with budgets of \$750,000 or more, must be submitted to the Board through the Property and Facilities Committee for consideration and action. It is, therefore, appropriate that the project be submitted. If you concur, it is recommended that the proposal be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action as requested.

**Rationale for Recommendation:**

Standing policy of the Board of Trustees stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$750,000 or more, be submitted to the Board of Trustees for action.



PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

RECYCLING AND SERVICE SUPPORT FACILITY

APPROVAL OF PROJECT INITIATION AND AUTHORIZATION  
TO COMMENCE THE PROJECT ARCHITECT SELECTION PROCESS

WHEREAS, Auburn University Facilities Management's Recycling and Service Support functions currently operate out of the existing Food Service Warehouse located on the corner of South Donahue Drive and War Eagle Way adjacent to Lowder Hall; and

WHEREAS, these functions are industrial in nature, involving the collection, storing and deployment of large recycling bins and trash receptacles, as well as tents and equipment for University functions; and

WHEREAS, the Campus Master Plan Update calls for the relocation of service units across campus into the Service Sector area at the Facilities Management complex on West Samford Avenue; and

WHEREAS, construction of the Recycling and Service Support Facility and the relocation of those functions will vacate most of the Food Service Warehouse, allowing it to be demolished in the next several years, consistent with the Campus Master Plan which calls for the demolition of the Food Service Warehouse to create future expansion space for the Harbert College of Business; and

WHEREAS, the proposed project is expected to cost in excess of \$750,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$750,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Recycling and Service Support Facility project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the selection process of a project architect.



**TO:** JAY GOGUE, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Assistant Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**RECYCLING AND SERVICE SUPPORT FACILITY: APPROVAL OF  
PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE  
PROJECT ARCHITECT SELECTION PROCESS**

**DATE:** OCTOBER 31, 2013

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 15, 2013.

**Proposal:**

Consistent with standing policy, it is proposed that the Recycling and Service Support Facility project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will: (1) approve the initiation of the project and (2) authorize the commencement of the architect selection process.

**Review and Consultation:**

Auburn University Facilities Management proposes to construct a Recycling and Service Support Facility within the Facilities Management complex.

Auburn University Facilities Management's Recycling and Service Support functions currently operate out of the existing Food Service Warehouse located on the corner of South Donahue Drive and War Eagle Way adjacent to Lowder Hall. These functions are industrial in nature, involving the collection, storing and deployment of large recycling bins and trash receptacles, as well as tents and equipment for University functions. This operation is unsightly given the prominence of the academic and residence hall facilities in that sector of campus.

The Campus Master Plan Update calls for the relocation of service units across campus into the Service Sector area at the Facilities Management complex on West Samford Avenue. Construction of this Recycling and Service Support Facility and the relocation of those functions will vacate most of the Food Service Warehouse, allowing it to be demolished in the next several years. The Campus Master Plan calls for the demolition

of the Food Service Warehouse to create future expansion space for the Harbert College of Business.

It is anticipated that the Recycling and Service Support Facility will be funded with Facilities Management Capital Reserve and Deferred Maintenance funds.

**Rationale for Recommendation:**

Standing policy of the Board of Trustees stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$750,000 or more, be submitted to the Board of Trustees for action. Preliminary estimates project the Recycling and Service Support Facility project will cost roughly \$780,000. It is, therefore, appropriate that the project be submitted. If you concur, it is recommended that the proposal be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action as requested.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

LEASE OF COOSA FOREST FOR HUNTING

WHEREAS, Auburn University owns tracts of land around the State of Alabama that are managed by the School of Forestry and Wildlife Sciences for timber production research and by the Alabama Agricultural Experiment Station; and

WHEREAS, the Auburn University School of Forestry and Wildlife Sciences and Alabama Agricultural Experiment Station desire to allow hunting on Auburn University owned and managed forest plots and some unused experiment stations; and

WHEREAS, the income derived from the hunting leases will assist in providing revenue to cover operational and maintenance expenses; and

WHEREAS, Auburn University's legal exposure for these hunts is minimized by the protections in § 35-15-20 through 28, *Code of Alabama, 1975 as well as requiring that the lessee indemnify and hold harmless the university for any damage or injury resulting from such activity*; and

WHEREAS, ongoing operation of the AU property will not be impacted by any lease of the specific land parcel; and

WHEREAS, the School of Forestry and Wildlife Sciences would like to offer a hunting lease on the 160 acre Coosa Forest in Coosa County; and

WHEREAS, the lease will be awarded based on competitive bids consistent with Board policy.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Dr. G. Jay Gogue, President, or other such person as may be acting as President, be and the same, is hereby authorized and empowered execute such agreements as may be necessary to complete this transaction with the understanding that all instruments required for consummation of the hunting lease be reviewed as to form by legal counsel for Auburn University.



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**TO:** JAY GOGUE, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**THROUGH:** DAN KING, ASSISTANT VICE PRESIDENT FOR FACILITIES  
**FROM:** JAMES SHEPARD, DEAN, SCHOOL OF FORESTRY  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
**LEASE OF COOSA FOREST FOR HUNTING**  
**DATE:** OCTOBER 31, 2013

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This memorandum requests the proposed lease of the Coosa Forest be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 15, 2013.

**Proposal:**

The Auburn University School of Forestry and Wildlife Sciences (AUSFWS) manages the 160 acre Coosa Forest Property in Coosa County. AUSFWS proposes to award the lease for hunting rights to the property based on competitive bids consistent with Board policy. Not all of Auburn University Experiment Station and Timber Management Property are appropriate for hunting leases, but those that are would be additional sources of revenue to offset maintenance and operation costs.

**Review and Consultation:**

Auburn University owns a considerable number of acres of land that are not used by employees and students on a regular basis. The College of Agriculture and the School of Forestry spend operating account money to maintain roads, fences and check for poachers. The majority of the remote properties would benefit from an additional set of eyes to monitor activity on the property. Hunting leases would provide an additional source of revenue and manpower that could help reduce operations and maintenance costs.

Specifically, the Coosa Forest in Coosa County has no planned timber harvest for a number of years. The revenue from the hunting leases would provide an additional source of revenue to augment the timber management account. In addition, the School of Forestry would not expend funds to maintain the roads and gates during the term of the lease.

**Rationale for Recommendation:**

Standing policy of the Board of Trustees stipulates that all leases on AU property be approved by the Board of Trustees. A hunting lease of AU property provides a source of revenue to assist in the management of the Auburn University land. A hunting lease on Coosa Forest will not interfere with any of the operation or management of the timber forest and will provide revenue to assist in its management.



PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

AVIATION ACCREDITATION BOARD INTERNATIONAL  
OFFICE SPACE LEASE

WHEREAS, Auburn University owns certain real property known as 3410 Skyway Drive, Auburn, Alabama 36830-6444; and

WHEREAS, this property serves as office space, allowing the Aviation Accreditation Board International (AABI) to have their principal place of business in Auburn, Alabama; and

WHEREAS, the faculty members of the aviation programs of the Harbert College of Business, the Provost, and the President believe that the close proximity and prestige created by this professional relationship between the AABI and Auburn University provides significant benefits and enhances the operation of the University and its aviation programs and, therefore, is in the best interest of Auburn University; and

WHEREAS, the Office of the Vice President for Research, the Harbert College of Business, and the AABI understand the lease will be for five years. It is understood that AABI may need to relocate within the existing building to accommodate full utilization of the facility.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University finds that authorizing this lease is in the best interest of Auburn University and that Jay Gogue, President, or such person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Take such action as necessary and desirable to prepare a five lease agreement, between Auburn University and the AABI; and
2. Execute such agreements as may be necessary to compete these transactions with the understanding that all instruments required for consummation of the leases be reviewed as to form by legal counsel for Auburn University.

Date: October 31, 2013

To: Dr. Jay Gogue  
President, Auburn University  
107 Samford Hall

Through: Dan King  
Assistant Vice President, Facilities

Through: Dr. Timothy R. Boosinger  
Provost

Through: Dr. John M. Mason  
Associate Provost and Vice President for Research

From: Mark Stirling  
Director of Real Estate

**RE: Aviation Accreditation Board International (AABI) Office Space Lease**

The Aviation Accreditation Board International currently occupies space in a building owned by Auburn University at 340 Skyway Drive in Auburn, Alabama. We request that the Board of Trustees authorize the University to enter into a five year lease with AABI.

The space in the building is under the control of the Office of the Vice President for Research (OVPR). The OVPR intends on recapturing the space for ongoing research and incubator space in the near future. The faculty members of the aviation programs of the Harbert College of Business, the Provost, and the President believe Auburn's relationships with AABI are both meaningful and beneficial to our aviation programs. The relationship between AABI and Auburn University continues to be a good working relationship, and the proposed lease will enhance the operation of the institution and; therefore, it is in the best interest of the Auburn University to enter into the proposed lease.

As a result, the OVPR supports and requests authority to enter into a five year lease agreement with AABI. We have spoken to representatives of the AABI who have thanked us for providing these facilities to them, and they have respectfully requested renewal of the lease. It is understood that AABI may need to relocate within the existing building to accommodate full utilization of the facility.

Should you wish to discuss anything related to the lease agreement or if I might be of further assistance, please do not hesitate to contact me.



**TO:** JAY GOGUE, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Assistant Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**CURRENT STATUS OF NEW CONSTRUCTION/RENOVATION/  
INFRASTRUCTURE PROJECTS WITH BUDGETS OF \$750,000  
AND GREATER**

*(For Information Only)*

**DATE:** OCTOBER 31, 2013

This is to request that the following proposal be submitted to the Property and Facilities Committee and included on the agenda of the Board of Trustees meetings scheduled for November 15, 2013.

**Proposal:**

Consistent with standing practice, it is proposed that the current status report of new construction/renovation/infrastructure projects with budgets greater than \$750,000 be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

**Review and Consultation:**

The Board of Trustees at its meeting on June 4, 2001, requested that it receive a regular update on the status of new projects that are underway or planned which have project budgets of \$750,000 or more. The attached list includes projects at Auburn University and outlying units.

**Rationale for Recommendation:**

Consistent with the request of the Board of Trustees for a current status report of new construction/renovation/infrastructure projects with budgets greater than \$750,000, the attached listing is provided, *for information only*, to the Board through the Property and Facilities Committee for inclusion on the agenda of the meetings scheduled for November 15, 2013.

*Auburn University Facilities Division*  
*Current Capital Projects*  
 (Spending across Multiple Years)  
*Summary of Cash Flow by Project Phase*

<i>Project Phase</i>	<i>Previous Approved Budget Amount</i>	<i>Current Approved Budget Amount</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Cash Flow Assuming Remainder of Budget for FY2014</i>	<i>Estimated Cash Flow Assuming Remainder of Budget for FY2015 &amp; Forward</i>
Substantial Completion	195,496,000	230,080,150	215,508,581	6,318,062	2,100,000 *
Construction	200,424,150	168,190,000	125,427,352	41,920,989	841,659
Design	11,366,320	7,366,320	2,510,362	1,866,036	2,989,922
Planning	15,307,620	6,408,626	1,404,484	3,900,072	1,104,070
Totals	422,594,090	412,045,096	344,850,779	54,005,159	7,035,651 *
Other Open Capital Projects	31,606,264	28,180,423	14,299,982	9,000,000	4,880,441
Grand Totals	454,200,354	440,225,519	359,150,761	63,005,159	11,916,092 *

\* On the lines with the asterisks, the sum of the spending column and both cash flow columns does not equal the current approved budget figure. The reason relates to two projects that were completed under budget: (1) "Information Technology Center" project under budget by \$4,350,817. (2) "Transportation Technology Phase 2" project under budget by \$1,802,690.

## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	(A)		AU Bond Funding	Federal/ State or Local Funding	(B)		Estimated Cash Flow Assuming Remainder of Budget for FY2015 & Forward	(C)	(A)-(B)-(C)	
			Current Approved Budget Amount	AU Funding (includes gifts/grants)			Actual Spending to Date (across multiple years)	Estimated Cash Flow Assuming Remainder of Budget for FY2014		Current Encumbrances Against Project	Current Open Balance (Budget less Actuals and Encumbrances)	
<b>SUBSTANTIAL COMPLETION PHASE</b>												
Information Technology Center 09-118	Completed	14,000,000	24,000,000		19,092,271	4,907,729	19,649,183				4,350,817	*
Transportation Technology Phase 2 04-111	Completed	49,500,000	52,545,000	18,107,515		34,437,485	50,742,310				1,802,690	*
Auburn-Opelika Robert G. Pitts Airport - Terminal Bldg 08-196	Completed	6,500,000	6,500,000			6,500,000	6,500,000				0	
MRI Research Center - New Building 09-098	Substantial Completion	11,201,000	11,201,000			11,201,000	11,042,914	158,086	0	158,086	0	
Campus Pedestrian Improvements - Tiger Concourse - Ginn Plaza 08-116	Substantial Completion	2,500,000	2,500,000	548,000	1,952,000		2,454,222	45,778	0	16,874	28,904	
Student Recreation (Kinesiology) - New Building 09-220	Substantial Completion	21,600,000	21,600,000		21,600,000		19,211,620	288,380	2,100,000	236,304	2,152,076	
Biodiversity Learning Center (Previously named COSAM-Preserved Specimens Laboratory) 06-010	Substantial Completion	2,650,000	3,500,000		3,500,000		3,364,184	135,816	0	131,719	4,097	
Student Recreation & Wellness Center Building (07-225)	Substantial Completion	72,000,000	72,000,000	5,000,000	67,000,000		68,161,495	3,838,505	0	1,910,083	1,928,422	
ARRA - Center for Advanced Science, Innovation, and Commerce (NIST) 09-218	Substantial Completion	29,834,150	29,834,150	1,307,075		28,527,075	28,634,013	1,200,137	0	1,058,518	141,619	

## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

			(A)				(B)			(C)	(A)-(B)-(C)
<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>	<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Cash Flow Assuming Remainder of Budget for FY2014</i>	<i>Estimated Cash Flow Assuming Remainder of Budget for FY2015 &amp; Forward</i>	<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>
Biological Engineering Research Laboratory (BERL) 09-181	Substantial Completion	6,400,000	6,400,000	1,776,992		4,623,008	5,748,640	651,360	0	565,741	85,619
<b>Total Substantial Completion</b>			230,080,150	26,739,582	113,144,271	90,196,297	215,508,581	6,318,062	2,100,000	4,077,325	10,494,244 *

## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	( A )		AU Bond Funding	Federal/ State or Local Funding	( B )		Estimated Cash Flow Assuming Remainder of Budget for FY2015 & Forward		( C )	(A)-(B)-( C)
			Current Approved Budget Amount	AU Funding (includes gifts/grants)			Actual Spending to Date (across multiple years)	Estimated Cash Flow Assuming Remainder of Budget for FY2014			Current Encumbrances Against Project	Current Open Balance (Budget less Actuals and Encumbrances)
CONSTRUCTION PHASE												
Small Animal Teaching Hospital (09-083.1 Ph I, 09-083 Ph II)	Construction	70,000,000	74,000,000	24,000,000	40,000,000	10,000,000	51,004,742	22,895,258	100,000		18,259,402	4,735,856
Facilities Buildings 6, 7 and Related Projects 07-054 & 10-149	Construction	7,500,000	7,500,000	4,323,980		3,176,020	7,222,271	277,729	0		143,608	134,121
South Donahue Residence Hall, Dinning, Biggio Parking Facility 10-217	Construction	61,500,000	75,200,000	6,600,000	68,600,000		64,519,861	10,380,139	300,000		7,598,408	3,081,731
Fair Peet Theatre Building Addition 09-204	Construction	3,300,000	3,990,000	3,990,000			843,678	3,146,322	0		2,766,424	379,898
Plainsman Park - Team Support Facilities Renovations (12-073)	Construction	1,200,000	1,200,000	1,200,000			163,895	1,036,105	0		857,500	178,605
Olympic Sport Training & Support Facility Building Renovations 11-131	Construction	3,500,000	3,500,000		3,500,000		1,422,273	1,977,727	100,000		300,869	1,776,858
Installation of Hot Water Lines for the Athletic and Recreation Sector of Campus	Construction	2,800,000	2,800,000	1,294,921	1,505,079		250,632	2,207,709	341,659		1,581,244	968,124
Total Construction			168,190,000	41,408,901	113,605,079	13,176,020	125,427,352	41,920,989	841,659		31,507,455	11,255,193

Auburn University Facilities Division  
Current Capital Projects

Data Extracted 10/01/2013

**ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY**

Project Name	Project Phase	Original Approved Budget Amount	(A)	AU Funding (includes gifts/grants)	AU Bond Funding	Federal/ State or Local Funding	(B)	Estimated Cash Flow Assuming Remainder of Budget for FY2014	Estimated Cash Flow Assuming Remainder of Budget for FY2015 & Forward		(C)	(A)-(B)-(C)
			Current Approved Budget Amount				Actual Spending to Date (across multiple years)				Current Encum- brances Against Project	Current Open Balance (Budget less Actuals and Encum- brances)
DESIGN PHASE												
Ag Heritage Park 98-333	Construction and Design Future Projects	2,666,320	2,666,320	2,666,320			1,427,581	500,000	738,739		0	1,238,739
Pebble Hill Renovation 06-176	Design	2,500,000	2,500,000	2,500,000			398,817	50,000	2,051,183		39,948	2,061,235
Powder Hall - East Courtyard, New Student Lounge (12-013)	Design	1,000,000	1,400,000	1,400,000			18,728	1,181,272	200,000		76,189	1,305,083
Hill Residence Halls - Building Renovations & Upgrades 10- 155	Design (Partial budget only at this point)	800,000	800,000		800,000		665,236	134,764	0		6,000	128,764
Total Design			7,366,320	6,566,320	800,000	0	2,510,362	1,866,036	2,989,922		122,137	4,733,821



## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	(A)		AU Bond Funding	Federal/ State or Local Funding	(B)		Estimated Cash Flow Assuming Remainder of Budget for FY2015 & Forward	(C)	
			Current Approved Budget Amount	AU Funding (includes gifts/grants)			Actual Spending to Date (across multiple years)	Estimated Cash Flow Assuming Remainder of Budget for FY2014		Current Encumbrances Against Project	(A)-(B)-(C) Current Open Balance (Budget less Actuals and Encumbrances)
<b>PLANNING PHASE</b>											
Equine Sciences Facility-New Building 13-155	Planning	68,006	68,006	68,006			13	67,993		14,490	53,503
WW Walker Bldg Phase II - Pharmaceutical Research & Development Ct 07-037	Planning (Partial budget only at this point)	2,807,620	2,807,620	2,807,620			599,480	1,104,070	1,104,070	1,241,985	966,155
Central Classroom Facility - New Building 11-209	Schematic Design (Partial budget only at this point)	3,500,000	3,500,000		3,500,000		804,978	2,695,022	0	260,648	2,434,374
East Glenn Administrative Support Facility - Comprehensive Renovation 13-150	Schematic Design	33,000	33,000	33,000			13	32,987	0	20,000	12,987
<b>Total Planning</b>			6,408,626	2,908,626	3,500,000	0	1,404,484	3,900,072	1,104,070	1,537,123	3,467,019
Other Open Capital Projects	Various Stages		28,180,423	27,122,929	763,961	293,533	14,299,982	9,000,000	4,880,441	3,668,303	10,212,138
<b>GRAND TOTAL</b>			440,225,519	104,746,358	231,813,311	103,665,850	359,150,761	63,005,159	11,916,092	40,912,343	40,162,415 *

\* On the lines with the asterisks, the sum of the spending column and both cash flow columns does not equal the current approved budget figure. The reason relates to two projects that were completed under budget: (1) "Information Technology Center" project under budget by \$4,350,817. (2) "Transportation Technology Phase 2" project under budget by \$1,802,690.



**TO:** JAY GOGUE, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Assistant Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**QUARTERLY REPORT FOR PROJECTS COSTING MORE THAN  
\$500,000 BUT LESS THAN \$750,000 – 4<sup>th</sup> QTR FISCAL YEAR 2013**  
*(For Information Only)*

**DATE:** OCTOBER 31, 2013

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 15, 2013.

**Proposal:**

The Board of Trustees, at its meeting on June 4, 2001, adopted a resolution stipulating that all projects with a total cost in the range of \$500,000 to \$750,000 be reported quarterly. The intent of this report is to keep the Property and Facilities Committee informed of those projects as they are occurring on campus. Consistent with standing policy, it is proposed that this report be submitted for information only.

**Review and Consultation:**

Projects initiated in the 4<sup>th</sup> Quarter of Fiscal Year 2013 and costing more than \$500,000 but less than \$750,000 are listed on the following table.

4 <sup>th</sup> Quarter FY 2013 Projects \$500,000 - \$750,000	Project Number	Account Number	Cost
*** NONE TO REPORT ***			

**Rationale for Recommendation:**

Consistent with standing policy, this report is submitted to the Board of Trustees through the Property and Facilities Committee for information at the meeting scheduled for November 15, 2013.

ACADEMIC AFFAIRS COMMITTEE  
AND  
AUBURN MONTGOMERY COMMITTEE

RESOLUTION

PROPOSED REORGANIZATION OF THE SCHOOL OF BUSINESS AND NAME  
CHANGE TO THE COLLEGE OF BUSINESS

WHEREAS, AUM's School of Business has adopted a new strategic initiative to redesign its undergraduate business core curriculum that more closely models an integrated experience driven by the interaction of business functions rather than historic departmental structure and academic discipline/taxonomy; and

WHEREAS, the School of Business has placed more emphasis on collaborative, interdisciplinary research; and

WHEREAS, the School of Business is fully accredited by the American Association of Colleges and Schools of Business and this proposal is not in conflict with accreditation standards; and

WHEREAS, the School of Business would be better served with fewer academic departments; and

WHEREAS, this program is not requesting additional funds, faculty or space; and

WHEREAS, the AUM School of Business is requesting approval for a name change to the College of Business to be in alignment with other universities in Alabama.

NOW THEREFORE, BE IT RESOLVED by the Auburn University's Board of Trustees that the five existing departments (Department of Accounting, Department of Economics & Finance, Department of Information Systems & Decision Science, Department of Management, Department of Marketing) be reduced to three and the names changed to the Department of Accounting, the Department of Economics, Finance and Marketing, and the Department of Information Systems and Management and that a name change from the School of Business to the College of Business be approved and submitted to the Alabama Commission on Higher Education for review and approval.



OFFICE OF THE CHANCELLOR

3 October 2013

TO: Jay Gogue  
President

FROM: John G. Veres III 

SUBJECT: Proposed Board Agenda Item  
Reorganization of the AUM School of Business and Name Change to College of Business

Please consider including this proposal on the agenda of the November 15, 2013 meeting of the Board of Trustees.

**Proposal:**

We propose to consolidate the number of academic/curricular departments within the AUM School of Business from a total of 5 to 3. By doing so, we believe we can enhance our programmatic offerings with a more cohesive and experientially rich educational experience for our undergraduate and graduate students.

The existing academic structure is composed of the following five departments each with one chair and one administrative support person: Department of Accounting, Department of Economics & Finance, Department of Information Systems & Decision Science, Department of Management and Department of Marketing.

Our proposed structure will be composed of 3 Departments, 3 Chairs and 3 Administrative Support Personnel (with other administrative personnel being reassigned to focus on student services – such as career support). These departments will be:

- Accounting (standing alone as part of the AACSB Accreditation Standard because Accounting is deemed a profession inside of business education)
- Economics, Finance & Marketing (as data and quantitative skills become increasingly important, we believe this is a thoughtful and logical combination that will lead to new programmatic offerings)
- Information Systems & Management (we believe information systems are tools for managers and this combination will encourage emphasis on data driven decision making)

Additionally, we propose a name change from the School of Business to the College of Business. The proposal meets all of Auburn University at Montgomery's guidelines (number of majors, number of academic programs at both undergraduate and graduate levels, number of faculty FTEs, student credit hour production).

We believe that 3 departments will sufficiently represent faculty interests, meet support requirements and redirect saved time toward the service of students. This proposed model alone will not get us to where we want to be and we are mindful that encouragement will continue to be essential for faculty, students and staff to interact across disciplines. We also think that the name College instead of School will enhance the stature and promotion of this unit.

#### **Rationale for Recommendation:**

The current departmental structure keeps our faculty separate from colleagues with training or academic disciplines different from their own. Being grouped by academic discipline encourages faculty to address the study and teaching of business in functional academic silos. By breaking the business curriculum into parts, we do a disservice to students as they attempt to understand the necessary conditions in which complex organizations work because we do not bring all of the parts of business (nor the faculty) together to discuss how the parts (of business) interact to create a whole (business organization).

Consider for a moment a hypothetical business organization which is having difficulty with communications between sales, marketing and finance because the groups are situated in different locations and do not regularly interact but rather react to what each other is doing. The result is not ideal and often leads to challenges. By consolidating departments (and encouraging increased cross discipline interactions) we believe we are taking a step in a bold direction that benchmark institutions are already moving toward – adopting a systemic approach of business education (requiring both analysis and synthesis).

Our desire is to create an environment where our students (and faculty) will engage in experiential learning environments (or learning communities) and understand the interactions of the parts of a business arena (synthesis) as well as the individual functions (analysis).

#### **Review and Consultation:**

A series of strategic planning sessions at the end of the spring and during the summer terms led the faculty and leadership of the school to agree to work toward the adoption of a core curriculum redesign for the Undergraduate Division that more closely models an integrated experience driven by the interaction of business functions.

Our faculty identified several institutions (e.g. University of Idaho, Babson) they believe demonstrate the dynamic, integrated, systemic experience that leverages a liberal arts environment and a business school curriculum.

This proposal will not require any additional funding for faculty, staff or space.

This proposal has been approved by the faculty, department heads/chairs, Dean of the School of Business, Provost and Chancellor.

Provost King, Dean Rhea Ingram and I are available to answer any questions you may have regarding this proposal.

Thank you for your kind attention.

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED RENAMING OF THE BS IN MEDICAL TECHNOLOGY  
AS THE BS IN MEDICAL LABORATORY SCIENCES

WHEREAS, the College of Sciences and Mathematics currently offers a Bachelor of Science degree in Medical Technology; and

WHEREAS, a renaming from “Medical Technology” to “Medical Laboratory Sciences” will bring the degree nomenclature into better alignment with the current curricular offerings as well as similar programs at peer institutions; and

WHEREAS, the request to rename *BS in Medical Technology* as the *BS in Medical Laboratory Sciences* has received the approval of the Dean of the College of Sciences and Mathematics, the University Curriculum Committee, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University’s Board of Trustees that the proposed renaming of the BS in Medical Technology be approved as the BS in Medical Laboratory Sciences, and that the renaming be forwarded to the Alabama Commission on Higher Education as an item of information.






AUBURN UNIVERSITY

OFFICE OF THE PROVOST  
AND VICE PRESIDENT FOR  
ACADEMIC AFFAIRS

October 11, 2013

MEMORANDUM TO: Jay Gogue  
President

FROM: Timothy R. Boosinger   
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –  
Proposed Renaming of the BS in Medical Technology as the BS in  
Medical Laboratory Sciences

I am writing to request that the following item be added to the Board of Trustees' agenda for the November 15, 2013 meeting.

**Proposal:** It is recommended that the Board approve the proposed renaming of the *BS in Medical Technology* as the *BS in Medical Laboratory Sciences* within the College of Sciences and Mathematics.

**Review and Consultation:** Faculty in the Department of Chemistry and Biochemistry initiated the proposed renaming to more accurately reflect the course offerings within the degree program and to become consistent with similar nomenclature adopted at peer institutions.

The proposal has been reviewed and approved by Auburn University's Curriculum Committee in fall 2013 and has also received the approval from the College of Sciences and Mathematics and the Provost's Office.

**Recommendation:** The proposed renaming will better align the title of the degree programs with the academic content and offerings contained therein. If approved by the Auburn University Board of Trustees, the request to rename the degree program would be forwarded to the Alabama Commission on Higher Education (ACHE) as an item of information.



October 7, 2013

**TO:** Timothy Boosinger  
Provost and Vice-President for Academic Affairs

**THROUGH:** Constance Relihan  
Associate Provost for Undergraduate Studies

**THROUGH:** Nicholas Giordano  
Dean, College of Sciences and Mathematics

**FROM:** Vincent Ortiz  
Chair, Department of Chemistry and Biochemistry

**SUBJECT:** Item for the Board of Trustees – Renaming of BS in Medical Laboratory Science and BS in Laboratory Science

We are writing to request that the following item be added to the Board of Trustees' agenda for their **November 15, 2013** meeting.

**Proposal:** The College of Sciences and Mathematics is proposing: 1) the renaming of their *BS in Medical Technology* to a **BS in Medical Laboratory Sciences** (in CIP 51.1005); and 2) the renaming of their *BS in Laboratory Technology* to a **BS in Laboratory Sciences** (in CIP 51.1099). There will be no change in CIP Code associated with either renaming request.

**Review and Consultation:** The proposed renaming of the Medical Technology and Laboratory Technology programs is the result of the department's desire for names that better reflect the current nomenclature and practices being adopted at peer institutions at both the state and national levels. The proposal was reviewed by the Auburn University Curriculum Committee, and received its approval in Fall 2013.

**Recommendation:** It is recommended that the proposed renaming of both degree programs be approved, and forwarded to Auburn University's Board of Trustees for review and approval.



ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED RENAMING OF THE BS IN LABORATORY TECHNOLOGY  
AS THE BS IN LABORATORY SCIENCES

WHEREAS, the College of Sciences and Mathematics currently offers a Bachelor of Science degree in Laboratory Technology; and

WHEREAS, a renaming from “Laboratory Technology” to “Laboratory Sciences” will bring the degree nomenclature into better alignment with the current curricular offerings as well as similar programs at peer institutions; and

WHEREAS, the request to rename *BS in Laboratory Technology* as the *BS in Laboratory Sciences* has received the approval of the Dean of the College of Sciences and Mathematics, the University Curriculum Committee, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University’s Board of Trustees that the proposed renaming of the BS in Laboratory Technology be approved as the BS in Laboratory Sciences, and that the renaming be forwarded to the Alabama Commission on Higher Education as an item of information.

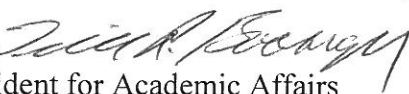


AUBURN UNIVERSITY

OFFICE OF THE PROVOST  
AND VICE PRESIDENT FOR  
ACADEMIC AFFAIRS

October 11, 2013

MEMORANDUM TO: Jay Gogue  
President

FROM: Timothy R. Boosinger   
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –  
Proposed Renaming of the BS in Laboratory Technology as the BS in  
Laboratory Sciences

I am writing to request that the following item be added to the Board of Trustees' agenda for the November 15, 2013 meeting.

**Proposal:** It is recommended that the Board approve the proposed renaming of the *BS in Laboratory Technology* as the *BS in Laboratory Sciences* within the College of Sciences and Mathematics.

**Review and Consultation:** Faculty in the Department of Chemistry and Biochemistry initiated the proposed renaming to more accurately reflect the course offerings within the degree program and to become consistent with similar nomenclature adopted at peer institutions.

The proposal has been reviewed and approved by Auburn University's Curriculum Committee in fall 2013 and has also received the approval from the College of Sciences and Mathematics and the Provost's Office.

**Recommendation:** The proposed renaming will better align the titles of the degree programs with the academic content and offerings contained therein. If approved by the Auburn University Board of Trustees, the request to rename the degree program would be forwarded to the Alabama Commission on Higher Education (ACHE) as an item of information.



October 7, 2013

**TO:** Timothy Boosinger  
Provost and Vice-President for Academic Affairs

**THROUGH:** Constance Relihan  
Associate Provost for Undergraduate Studies

**THROUGH:** Nicholas Giordano  
Dean, College of Sciences and Mathematics

**FROM:** Vincent Ortiz  
Chair, Department of Chemistry and Biochemistry

**SUBJECT:** Item for the Board of Trustees – Renaming of BS in Medical Laboratory Science and BS in Laboratory Science

We are writing to request that the following item be added to the Board of Trustees' agenda for their **November 15, 2013** meeting.

**Proposal:** The College of Sciences and Mathematics is proposing: 1) the renaming of their *BS in Medical Technology* to a **BS in Medical Laboratory Sciences** (in CIP 51.1005); and 2) the renaming of their *BS in Laboratory Technology* to a **BS in Laboratory Sciences** (in CIP 51.1099). There will be no change in CIP Code associated with either renaming request.

**Review and Consultation:** The proposed renaming of the Medical Technology and Laboratory Technology programs is the result of the department's desire for names that better reflect the current nomenclature and practices being adopted at peer institutions at both the state and national levels. The proposal was reviewed by the Auburn University Curriculum Committee, and received its approval in Fall 2013.

**Recommendation:** It is recommended that the proposed renaming of both degree programs be approved, and forwarded to Auburn University's Board of Trustees for review and approval.

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED FORMAL OPTIONS WITHIN THE  
EXISTING MS IN PHARMACEUTICAL SCIENCES

WHEREAS, the Harrison School of Pharmacy currently offers four curricular emphases in the the existing Master of Science in Pharmaceutical Sciences; and

WHEREAS, the Harrison School of Pharmacy seeks to create four formal degree options within the existing Master of Science in Pharmaceutical Sciences in the following four subfields: Health Outcomes Research and Policy, Medicinal Chemistry, Pharmaceutics, and Pharmacology/Toxicology; and

WHEREAS, the proposed actions would provide formal degree options for current students completing coursework in the pharmaceutical sciences, and would increase the program's visibility to prospective students; and

WHEREAS, the request to create these options has been endorsed by the Dean of the Harrison School of Pharmacy, the Graduate Council, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed options within the existing Master of Science in Pharmaceutical Sciences be approved and forwarded to the Alabama Commission on Higher Education for review and approval.

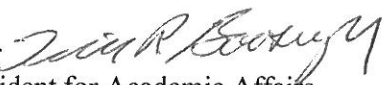


AUBURN UNIVERSITY

OFFICE OF THE PROVOST  
AND VICE PRESIDENT FOR  
ACADEMIC AFFAIRS

October 11, 2013

MEMORANDUM TO: Jay Gogue  
President

FROM: Timothy R. Boosinger   
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –  
Proposed Options in the MS in Pharmaceutical Sciences

I am writing to request that the following item be added to the Board of Trustees' agenda for the **November 15, 2013** meeting.

**Proposal:** The Harrison School of Pharmacy is proposing four (4) formal degree options within the existing MS in Pharmaceutical Sciences.

**Review and Consultation:** Faculty in the Department of Pharmacal Sciences and the Department of Pharmacy Care Systems initiated this proposal to provide formal options for students desiring the following specializations:

1. Option in Health Outcomes Research and Policy focuses on improving population health through a better understanding of effective and ineffective methods of pharmacy-related healthcare;
2. Option in Medicinal Chemistry addresses the application of organic chemistry, analytical chemistry and biochemistry to studies of actions of chemical substances at cellular and molecular levels;
3. Option in Pharmaceutics involves the study of the theories and techniques of mathematics, chemistry and biological sciences as they apply to physical and chemical stability and biological efficacy of medicinal agents and their dosage forms; and
4. Pharmacology / Toxicology engages students the study of the biochemical and molecular modes and mechanisms of action of biologically-active substances.

**Recommendation:** It is recommended that the Board approve the proposed formal Options in within the existing MS in Pharmaceutical Sciences. The proposed options were reviewed and approved by Auburn University's Graduate Council in fall 2013, and have been approved by both the Harrison School of Pharmacy and the Provost's Office. If approved by the Auburn University Board of Trustees, the proposed option will be forwarded to the Alabama Commission on Higher Education (ACHE) for review and approval.






OFFICE OF  
THE DEAN

## AUBURN UNIVERSITY


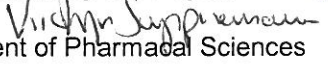
HARRISON SCHOOL  
OF PHARMACY

October 1, 2013

**TO:** Timothy Boosinger  
Provost and Vice-President for Academic Affairs

**THROUGH:** George Flowers   
Dean, Graduate School

**THROUGH:** R. Lee Evans  
Dean, Harrison School of Pharmacy

**FROM:** Richard Hansen   
Head, Department of Pharmacy Care Systems  
Vishnu Suppiramaniam   
Interim Head, Department of Pharmacal Sciences

**SUBJECT:** Item for the Board of Trustees – Proposed Options in MS in Pharmaceutical Sciences

We are writing to request that the following item be added to the Board of Trustees' agenda for their **November 15, 2013** meeting.

**Proposal:** The Department of Pharmacy Care Systems and the Department of Pharmacal Sciences, in the Harrison School of Pharmacy, are proposing four (4) formal degree options within the existing **MS in Pharmaceutical Sciences** (*in CIP 51.2003*).

The proposed options are as follows:

- Option in Health Outcomes Research and Policy,
- Option in Medicinal Chemistry,
- Option in Pharmaceutics, and
- Option in Pharmacology

If approved by the Offices of the Provost and the President, the proposed options would be forwarded to Auburn University's Board of Trustees for review and approval.

**Review and Consultation:** The proposed options are the result of the departments' desire to align the program's core curriculum to accommodate changes in program focus and the advances in the sub-disciplines of pharmaceutical sciences. The proposal was reviewed by Auburn University's Graduate Council, and received its approval in Fall 2013.

**Recommendation:** It is recommended that the proposed program options, within the existing MS in Pharmaceutical Sciences, be approved, and forwarded to Auburn University's Board of Trustees for review and approval.

# MS in Pharmaceutical Sciences

## PROGRAM CORE

### *Scientific Communications (3 credits):*

- PYPC 7950 --- Seminar (1 CR; may be repeated up to six times)
- PYPS 7950 --- Seminar (1 CR; may be repeated up to six times)
- PYPS 7000 --- Introduction to Grant Writing (2 CR)

### *Data Analyses and Interpretation (3 credits):*

- ERMA 7300/ERMA 7306 - Design and Analysis in Education I (3 CR)
- PYPS 7010 --- Pharmacokinetics (4 CR)
- PYPS 7030 --- Drug Products and Biopharmaceutics (4 CR)
- PYPS 7230 --- Advanced Medicinal Chemistry I (3 CR)
- PYPS 7340 --- Organ Systems Pharmacology I (3 CR)
- PYPS 7360 --- Cellular and Molecular Pharmacology & Toxicology I (3 CR)
- STAT 7000 --- Experimental Statistics I (4 CR)

### *Experimental Design (3 credits):*

- PYPC 7990 --- Research and Thesis (may be repeated)
- PYPS 7990 --- Research and Thesis (may be repeated)

## OPTIONS

### *Health Outcomes Research and Policy (~29 credits):*

- PYPC 7510 --- Health Services Delivery and Evaluation (3 CR)
- PYPC 7520 --- Social and Behavioral Theory in Health (3 CR)
- PYPC 7530 --- Pharmaceutical Economics, Outcomes, and Policy (3 CR)
- PYPC 7540 --- Pharmacoepidemiology Methods and Applications (3 CR)
- PYPC 7820 --- Research Methods in Health Sciences (2 CR)
- PYPC 7950 --- Seminar (1 CR each fall and spring semester; may be repeated up to six times)
- PYPC 7990 --- Research and Thesis (total of 4 CR required)
- PYPS 7000 --- Introduction to Grant Writing (2 CR)
- STAT 6110 --- SAS Programming and Applications (3 CR)

### *Medicinal Chemistry (~28 credits):*

- CHEM 7200 -- Advanced Organic Chemistry I (3 CR)
- CHEM 7220 -- Advanced Organic Chemistry III (3 CR)
- PYPS 7230 --- Advanced Medicinal Chemistry I (3 CR)
- PYPS 7240 --- Advanced Medicinal Chemistry II (3 CR)
- PYPS 7260 --- Separation Science (4 CR)
- PYPS 7950 --- Seminar (1 CR each fall and spring semester; may be repeated up to six times)
- PYPS 7990 --- Research and Thesis (total of 6 CR required)

### *Pharmaceutics (~20 credits):*

- PYPS 7010 --- Pharmacokinetics (4 CR)
- PYPS 7030 --- Drug Products and Biopharmaceutics (4 CR)
- PYPS 7950 --- Seminar (1 CR each fall and spring semester; may be repeated up to six times)
- PYPS 7990 --- Research and Thesis (total of 6 CR required)

***Pharmacology (~24 credits):***

PYPS 7340 --- Organ Systems Pharmacology I (3 CR)

PYPS 7350 --- Organ Systems Pharmacology II (3 CR)

PYPS 7360 --- Cellular and Molecular Pharmacology & Toxicology I (3 CR)

PYPS 7370 --- Cellular and Molecular Pharmacology & Toxicology II (3 CR)

PYPS 7950 --- Seminar (1 CR each fall and spring semester; may be repeated up to six times)

PYPS 7990 --- Research and Thesis (total of 6 CR required)



ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED FORMAL OPTIONS WITHIN THE  
EXISTING PHD IN PHARMACEUTICAL SCIENCES

WHEREAS, the Harrison School of Pharmacy currently offers four curricular emphases in the the existing Ph.D. in Pharmaceutical Sciences; and

WHEREAS, the Harrison School of Pharmacy seeks to create four formal degree options within the existing Ph.D. in Pharmaceutical Sciences in the following subfields: Health Outcomes Research and Policy, Medicinal Chemistry, Pharmaceutics, and Pharmacology/Toxicology; and

WHEREAS, the proposed options would provide formal degree options for current students completing coursework in the pharmaceutical sciences disciplines and would increase the program's visibility to prospective students; and

WHEREAS, the request to create these options has been endorsed by the Dean of the Harrison School of Pharmacy, the Graduate Council, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed options within the existing Ph.D. in Pharmaceutical Sciences be approved and forwarded to the Alabama Commission on Higher Education (ACHE) for review and approval.




AUBURN UNIVERSITY

OFFICE OF THE PROVOST  
AND VICE PRESIDENT FOR  
ACADEMIC AFFAIRS

October 11, 2013

MEMORANDUM TO: Jay Gogue  
President

FROM: Timothy R. Boosinger   
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –  
Proposed Options in the PhD in Pharmaceutical Sciences

I am writing to request that the following item be added to the Board of Trustees' agenda for the **November 15, 2013** meeting.

**Proposal:** The Harrison School of Pharmacy is proposing four (4) formal degree options within the existing PhD in Pharmaceutical Sciences.

**Review and Consultation:** Faculty in the Department of Pharmacal Sciences and the Department of Pharmacy Care Systems initiated this proposal to provide formal options for students desiring the following specializations:

1. Option in Health Outcomes Research and Policy focuses on improving population health through a better understanding of effective and ineffective methods of pharmacy-related healthcare;
2. Option in Medicinal Chemistry addresses the application of organic chemistry, analytical chemistry and biochemistry to studies of actions of chemical substances at cellular and molecular levels;
3. Option in Pharmaceutics involves the study of the theories and techniques of mathematics, chemistry and biological sciences as they apply to physical and chemical stability and biological efficacy of medicinal agents and their dosage forms; and
4. Pharmacology / Toxicology engages students the study of the biochemical and molecular modes and mechanisms of action of biologically-active substances.

**Recommendation:** It is recommended that the Board approve the proposed formal Options in within the existing PhD in Pharmaceutical Sciences. The proposed options were reviewed and approved by Auburn University's Graduate Council in fall 2013, and have been approved by both the Harrison School of Pharmacy and the Provost's Office. If approved by the Auburn University Board of Trustees, the proposed option will be forwarded to the Alabama Commission on Higher Education (ACHE) for review and approval.

OFFICE OF  
THE DEAN





AUBURN UNIVERSITY


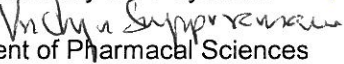
HARRISON SCHOOL  
OF PHARMACY

October 1, 2013

**TO:** Timothy Boosinger  
Provost and Vice-President for Academic Affairs

**THROUGH:** George Flowers   
Dean, Graduate School

**THROUGH:** R. Lee Evans   
Dean, Harrison School of Pharmacy

**FROM:** Richard Hansen   
Head, Department of Pharmacy Care Systems  
Vishnu Suppiramaniam   
Interim Head, Department of Pharmacal Sciences

**SUBJECT:** Item for the Board of Trustees – Proposed Options in PhD in Pharmaceutical Sciences

We are writing to request that the following item be added to the Board of Trustees' agenda for their **November 15, 2013** meeting.

**Proposal:** The Department of Pharmacy Care Systems and the Department of Pharmacal Sciences, in the Harrison School of Pharmacy, are proposing four (4) formal degree options within the existing **PhD in Pharmaceutical Sciences** (*in CIP 51.2003*).

The proposed options are as follows:

- **Option in Health Outcomes Research and Policy,**
- **Option in Medicinal Chemistry,**
- **Option in Pharmaceutics, and**
- **Option in Pharmacology**

If approved by the Offices of the Provost and the President, the proposed options would be forwarded to Auburn University's Board of Trustees for review and approval.

**Review and Consultation:** The proposed options are the result of the departments' desire to align the program's core curriculum to accommodate changes in program focus and the advances in the sub-disciplines of pharmaceutical sciences. The proposal was reviewed by Auburn University's Graduate Council, and received its approval in Fall 2013.

**Recommendation:** It is recommended that the proposed program options, within the existing PhD in Pharmaceutical Sciences, be approved, and forwarded to Auburn University's Board of Trustees for review and approval.

# PhD in Pharmaceutical Sciences

## PROGRAM CORE

### *Scientific Communications (6 credits):*

- PYPC 8950 --- Seminar (1 CR; may be repeated up to six times)
- PYPS 8950 --- Seminar (1 CR; may be repeated up to six times)
- PYPS 7000 --- Introduction to Grant Writing (2 CR)

### *Data Analyses and Interpretation (6 credits):*

- ERMA 7300/7306 --- Design and Analysis in Education I (3 CR)
- ERMA 7310/7316 --- Design and Analysis in Education II (3 CR)
- MGNT 8400 --- Advanced Quantitative Methods for Management (4 CR)
- PYPS 7010 --- Pharmacokinetics (4 CR)
- PYPS 7030 --- Drug Products and Biopharmaceutics (4 CR)
- PYPS 7230 --- Advanced Medicinal Chemistry I (3 CR)
- PYPS 7240 --- Advanced Medicinal Chemistry II (3 CR)
- PYPS 7340 --- Organ Systems Pharmacology I (3 CR)
- PYPS 7350 --- Organ Systems Pharmacology II (3 CR)
- PYPS 7360 --- Cellular and Molecular Pharmacology & Toxicology I (3 CR)
- PYPS 7370 --- Cellular and Molecular Pharmacology & Toxicology II (3 CR)
- STAT 7000 --- Experimental Statistics I (4 CR)
- STAT 7010 --- Experimental Statistics II (3 CR)

### *Experimental Design (6 credits):*

- PYPC 8990 --- Research and Dissertation (may be repeated)
- PYPS 8990 --- Research and Dissertation (may be repeated)

## OPTIONS

### *Health Outcomes Research and Policy (~35 credits):*

- PYPC 7510 --- Health Services Delivery and Evaluation (3 CR)
- PYPC 7520 --- Social and Behavioral Theory in Health (3 CR)
- PYPC 7530 --- Pharmaceutical Economics, Outcomes, and Policy (3 CR)
- PYPC 7540 --- Pharmacoepidemiology Methods and Applications (3 CR)
- PYPC 7820 --- Research Methods in Health Sciences (2 CR)
- PYPC 8950 --- Seminar (1 CR each fall and spring semester; may be repeated up to six times)
- PYPC 8990 --- Research and Dissertation (total of 10 CR required)
- PYPS 7000 --- Introduction to Grant Writing (2 CR)
- STAT 6110 --- SAS Programming and Applications (3 CR)

### *Medicinal Chemistry (~42 credits):*

- CHEM 7200 --- Advanced Organic Chemistry I (3 CR)
- CHEM 7220 --- Advanced Organic Chemistry III (3 CR)
- PYPS 7230 --- Advanced Medicinal Chemistry I (3 CR)
- PYPS 7240 --- Advanced Medicinal Chemistry II (3 CR)
- PYPS 7250 --- Drug Action and Design (3 CR)
- PYPS 7260 --- Separation Science (4 CR)
- PYPS 7270 --- Mass Spectrometry of Organic Compounds (4 CR)
- PYPS 7600 --- Heterocyclic Medicinal Chemistry (3 CR)
- PYPS 8950 --- Seminar (1 CR each fall and spring semester; may be repeated up to six times)
- PYPS 8990 --- Research and Dissertation (total of 10 CR required)

***Pharmaceutics (~24 credits):***

- PYPS 7010 --- Pharmacokinetics (4 CR)
- PYPS 7030 --- Drug Products and Biopharmaceutics (4 CR)
- PYPS 8950 --- Seminar (1 CR each fall and spring semester; may be repeated up to six times)
- PYPS 8990 --- Research and Dissertation (total of 10 CR required)

***Pharmacology (~28 credits):***

- PYPS 7340 --- Organ Systems Pharmacology I (3 CR)
- PYPS 7350 --- Organ Systems Pharmacology II (3 CR)
- PYPS 7360 --- Cellular and Molecular Pharmacology & Toxicology I (3 CR)
- PYPS 7370 --- Cellular and Molecular Pharmacology & Toxicology II (3 CR)
- PYPS 8950 --- Seminar (1 CR each fall and spring semester; may be repeated up to six times)
- PYPS 8990 --- Research and Dissertation ( total of 10 CR required)

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED RENAMING OF THE DEPARTMENT OF PHARMACY CARE SYSTEMS AS  
THE DEPARTMENT OF HEALTH OUTCOMES RESEARCH AND POLICY

WHEREAS, the Department of Pharmacy Care Systems is a multi-disciplinary unit providing instruction and conducting research to advance health behaviors, health services delivery, and health outcomes; and

WHEREAS, the current name does not adequately convey the department's teaching and research mission among current and prospective stakeholder groups including students, funding agencies, and collaborators; and

WHEREAS, the request to rename the department has received the endorsement of the Dean of the Harrison School of Pharmacy; the Graduate Council; the University Curriculum Committee; the Provost; and the President.

NOW, THEREFORE, BE IT RESOLVED by the Auburn University's Board of Trustees that the Department of Pharmacy Care Systems be renamed as the Department of Health Outcomes Research and Policy, with the change to be reported to the Alabama Commission of Higher Education as an item of information.



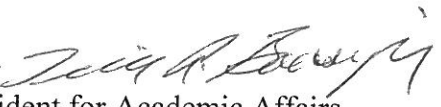


AUBURN UNIVERSITY

OFFICE OF THE PROVOST  
AND VICE PRESIDENT FOR  
ACADEMIC AFFAIRS

October 11, 2013

MEMORANDUM TO: Jay Gogue  
President

FROM: Timothy R. Boosinger   
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –  
Proposed Renaming of the *Department of Pharmacy Care Systems* as the  
*Department of Health Outcomes Research and Policy* within the Harrison  
School of Pharmacy

I am writing to request that the following item be added to the Board of Trustees' agenda for the November 15, 2013 meeting.

**Proposal:** It is recommended that the Board approve the proposed renaming of the *Department of Pharmacy Care Systems* as the *Department of Health Outcomes Research and Policy* within the Harrison School of Pharmacy.

**Review and Consultation:** Faculty in the Department of Pharmacy Care Systems initiated this proposal to (1) better represent the department's programmatic emphasis on pharmacy-related healthcare, and (2) better reflect the department's ongoing and future research activities, therefore enhancing the unit's visibility among health-related stakeholder groups and prospective funding agencies.

The proposed renaming was reviewed and approved by Auburn University's Graduate Council and Curriculum Committee, and has also received the approval from the Harrison School of Pharmacy's Professional education Committee and the Provost's Office.

**Recommendation:** The proposed renaming will better align the name of the degree program with the academic content and research efforts contained therein. If approved by the Auburn University Board of Trustees, the request to rename the degree program would be forwarded to the Alabama Commission on Higher Education (ACHE) as an item of information.




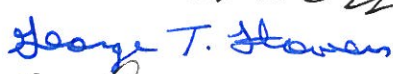
AUBURN UNIVERSITY


HARRISON SCHOOL  
OF PHARMACY


September 30, 2013

**TO:** **Timothy R. Boosinger**  
Provost and Vice-President for Academic Affairs

**THROUGH:** **Constance Relihan**  
Associate Provost for Undergraduate Studies 

**George Flowers**  
Dean of the Graduate School 

**THROUGH:** **R. Lee Evans**  
Dean, Harrison School of Pharmacy 

**FROM:** **Richard Hansen**  
Head, Department of Pharmacy Care Systems 

**SUBJECT:** Item for the Board of Trustees - Department of Health Outcomes Research & Policy

We are writing to request that the following item be added to the Board of Trustees' agenda for their November 15, 2013 meeting.

**Proposal:** The Harrison School of Pharmacy (HSOP) is proposing to rename its existing Department of Pharmacy Care Systems to the Department of Health Outcomes Research and Policy

**Review and Consultation:** The department's teaching and research focuses on improving population health through a better understanding of what works and what doesn't work in pharmacy-related healthcare. Work being done in the department is best recognized by stakeholders (e.g., funders, publishers, policymakers, and students) as health outcomes research. The current name, Pharmacy Care Systems, does not convey what faculty and students in the department do. The proposed name will better convey the scope of work in the Department.

The proposed departmental name change has received unanimous support from the department faculty and has been reviewed and approved by the following University Committees: HSOP Professional Education Committee, the University Curriculum Committee, and the Graduate Council.

**Recommendation:** It is recommended that the proposed departmental renaming be approved, and forwarded to Auburn University's Board of Trustees for review and approval.



ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED RENAMING OF THE DEPARTMENT OF PHARMACAL SCIENCES AS THE  
DEPARTMENT OF DRUG DISCOVERY AND DEVELOPMENT

WHEREAS, the Department of Pharmacal Sciences is a multi-disciplinary unit encompassing Pharmacology, Medicinal Chemistry, and Pharmaceutics; and

WHEREAS, the current name does not adequately convey the department's teaching and research mission to current and prospective stakeholder groups, including students, funding agencies, and collaborators; and

WHEREAS, the request to rename the department has received the endorsement of the Dean of the Harrison School of Pharmacy; the Graduate Council; the University Curriculum Committee; the Provost; and the President.

NOW, THEREFORE, BE IT RESOLVED by the Auburn University's Board of Trustees that the Department of Pharmacal Sciences be renamed as the Department of Drug Discovery and Development, with the change to be reported to the Alabama Commission of Higher Education as an item of information.




AUBURN UNIVERSITY

OFFICE OF THE PROVOST  
AND VICE PRESIDENT FOR  
ACADEMIC AFFAIRS

October 11, 2013

MEMORANDUM TO: Jay Gogue  
President

FROM: Timothy R. Boosinger   
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –  
Proposed Renaming of the *Department of Pharmacal Sciences* as the  
*Department of Drug Discovery and Development* within the Harrison  
School of Pharmacy

I am writing to request that the following item be added to the Board of Trustees' agenda for the November 15, 2013 meeting.

**Proposal:** It is recommended that the Board approve the proposed renaming of the *Department of Pharmacal Sciences* as the *Department of Drug Discovery and Development* within the Harrison School of Pharmacy.

**Review and Consultation:** Faculty in the Department of Pharmacal Sciences initiated this proposal to: (1) better represent the department's current disciplinary offerings, including identifying newer biological targets, drug designing, and formulating effective drug delivery; (2) better reflect the department's ongoing and future research activities, therefore enhancing the unit's ability to secure new funding in the areas of drug discovery and development; and (3) provide for greater clarity among prospective students and funding agencies.

The proposed renaming was reviewed and approved by Auburn University's Graduate Council and University Curriculum Committee, and has also received the approval from the Harrison School of Pharmacy's Professional Education Committee and the Provost's Office.

**Recommendation:** The proposed renaming will better align the name of the degree program with the academic content and research efforts contained therein. If approved by the Auburn University Board of Trustees, the request to rename the degree program would be forwarded to the Alabama Commission on Higher Education (ACHE) as an item of information.





AUBURN UNIVERSITY

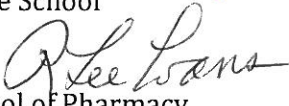
HARRISON SCHOOL  
OF PHARMACY

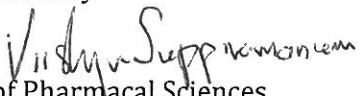
September 30, 2013

**TO:** **Timothy R. Boosinger**  
Provost and Vice-President for Academic Affairs

**THROUGH:** **Constance Relihan**  
Associate Provost for Undergraduate Studies 

**George Flowers**   
Dean of the Graduate School

**THROUGH:** **R. Lee Evans**   
Dean, Harrison School of Pharmacy

**FROM:** **Vishnu Suppiramaniam**   
Interim Head, Department of Pharmacal Sciences

**SUBJECT:** Item for the Board of Trustees - Department of Drug Discovery and Development

We are writing to request that the following item be added to the Board of Trustees' agenda for their November 15, 2013 meeting.

**Proposal:** The Harrison School of Pharmacy (HSOP) is proposing to rename its existing Department of Pharmacal Sciences to the Department of Drug Discovery and Development.

**Review and Consultation:** The department's teaching and research focus on improving patient health through novel drug discovery and development. Work performed in the department is best recognized by stakeholders (e.g., funders, publishers, policymakers, and students) as novel drug discovery and development research. The current name, Pharmacal Sciences, does not convey the current emphasis of the department. The proposed name will better convey the scope of work in the Department.

The proposed departmental name change has received unanimous support from the department faculty and has been reviewed and approved by the following University Committees: HSOP Professional Education Committee, the University Curriculum Committee, and the Graduate Council.

**Recommendation:** It is recommended that the proposed departmental renaming be approved, and forwarded to Auburn University's Board of Trustees for review and approval.

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED CHANGES TO FACULTY PERSONNEL POLICIES

WHEREAS, the faculty personnel policies included in the *Faculty Handbook* reflect Auburn University policies and information; and

WHEREAS, the proposed changes to the faculty personnel policies have been recommended by the University Senate, with additional recommendations provided by the Senate Executive Committee, and have received the approval of the Provost and the President; and

WHEREAS, the Board defines policies related to appointment, promotion, tenure and dismissal of faculty, and development and approval of procedures to implement those policies is the responsibility of the President or those duly authorized by the President.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized to revise the contents of the faculty personnel policies designated as Board of Trustee approved policies in Attachment A and is delegated the authority to approve procedures for the administration of these policies.



AUBURN UNIVERSITY

OFFICE OF THE PROVOST  
AND VICE PRESIDENT FOR  
ACADEMIC AFFAIRS

October 11, 2013

MEMORANDUM TO: Jay Gogue  
President

FROM: Timothy R. Boosinger  
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –  
Proposed Revisions to Faculty Personnel Policies

I am writing to request that the following item be added to the Board of Trustees' agenda for the November 15, 2013 meeting.

**Proposal:** It is recommended that the proposed changes to faculty personnel policies published in the *Auburn University Faculty Handbook* be approved.

**Review and Consultation:** The University Senate has recommended changes to the faculty personnel policies. The changes were approved by the University Senate at the April 2, 2013 full Senate meeting, followed by further changes and clarification added by the Senate Executive Committee in May and August 2012. The proposed changes delineate amended policies and provide increased comprehensiveness, organization, and clarity to the institution's faculty personnel policies.

**Recommendation:** It is recommended that the Board approve the proposed changes to selected faculty personnel policies published in the *Auburn University Faculty Handbook*. If approved, the changes will provide greater clarity, better organization, and utility of the faculty personnel policies.

The current revisions to Chapter Three of the *Faculty Handbook* constitutes the completion of a three year, three phase process designed to transform the *Faculty Handbook* into a clearly written, organized, accurate, comprehensive and easily accessible academic policies and procedures manual that is useful for both faculty and administrators.

Phase One of the project eliminated from the *Faculty Handbook* out-of-date and irrelevant material, and retained the policies related directly to the faculty. In this way, Phase One became the transitional document of the Faculty Handbook and was approved by the Board of Trustees in 2011.

Phase Two consisted of substantive changes to policies and guidelines including the addition of new policies. Moreover, links to policies and guidelines in the Auburn University Policy Database were added thus improving the utility of the handbook. Phase Two was approved by the Board of Trustees in 2012.

Phase Three eliminates inconsistencies and conflicting language in policies and guidelines and improves the order, formatting, and presentation (numbering and other style issues). Moreover, it adds clarifying language to promote better understanding of the policies and guidelines.

In keeping with Phase Three's goals, the changes to Chapter Three of the *Faculty Handbook* are entirely editorial and, therefore, make no substantive changes to policies or guidelines. All of the changes are covered in the following eight types of editorial improvements:

- 1) The ‡ symbol has been added to indicate policies that require Board of Trustee approval. Prior to this editorial change, a list of the policies was kept separate from the handbook. By adding these indicators, the policies are now readily and easily identified. Policies that have been revised have been highlighted.
- 2) The full titles of two publications referred to in the handbook have been corrected. Previously, these titles were "shortened" for convenience.
- 3) In two lists, the numbering has been corrected.
- 4) Clarifying language has been added to various paragraphs throughout Chapter Three in order to make the policies or guidelines clearer. These clarifications do not alter the policies or guidelines. These clarifying statements make-up the bulk of the changes.
- 5) On six pages, paragraphs were reordered in order to improve the reading of the sections.
- 6) A hyphen has been added between the words "non tenure" to make the phrase "non-tenure" throughout.
- 7) A date has been corrected in two instances.
- 8) Five paragraphs have been targeted for removal from the handbook to the Provost's website because they are non-essential information that simply clutters these sections of the handbook with dated information.

9). Trustee Elizabeth Huntley, Chair of the Academic Affairs Committee, has reviewed Chapter Three in its entirety and recommends approval. The full document is available on the Provost's website ([http://www.auburn.edu/academic/provost/policies\\_provost.html](http://www.auburn.edu/academic/provost/policies_provost.html)).



## COMPENSATION COMMITTEE

### RESOLUTION

#### REVISION OF COMPENSATION POLICY

WHEREAS, experience and changing circumstances suggest that the Compensation Policy of the Board be revised to make it current and align with evolving practices.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees adopts the "Compensation Policy" as set forth in Exhibit A.



## E- 1. COMPENSATION POLICY

Auburn University seeks to attract and retain outstanding faculty, professionals, administrators, and staff by providing total compensation that is competitive in the relevant market considering all appropriate factors. Those factors include, but are not limited to, compensation provided by peer institutions or groups and fiscal resources available to the University. ~~The Compensation Policy set forth below is designed to assist the Board of Trustees in fulfilling its constitutional mandate to manage the affairs of the University. The Board finds that this mandate requires that such management includes insuring that compensation decisions are made in a fiscally prudent manner.~~ This Policy applies to all operating units of the University, including Auburn University at Montgomery.

~~The goal of this Policy is to ensure to the taxpayers of the State of Alabama and to other benefactors of the University that appropriate compensation decisions are reviewed by the Compensation Committee of the Board in a manner consistent with the purpose of this Policy. The approval of any compensation agreement will consider whether the agreement properly balances the interests of the University and those of the individuals to whom the payment packages and contracts are to be offered.~~

## I. Creating New Positions and New Hires

### A. By the Board of Trustees

The Compensation Committee shall recommend to the Board the compensation of a new President at an amount which, in the Compensation Committee's reasonable judgment, reflects the compensation that is an appropriate incentive to attract and retain a person to serve as President of the University. The compensation should be competitive in the relevant market considering all appropriate factors. Those factors include, but are not limited to, compensation provided by peer institutions or groups and fiscal resources available to the University.

The Compensation Committee shall recommend to the Board the compensation of a new Secretary to the Board of Trustees at an amount which, in the Compensation Committee's reasonable judgment, reflects the compensation that is an appropriate incentive to attract and retain such persons. The compensation should be competitive in the relevant market considering all appropriate factors. Those factors include, but are not limited to, compensation provided by peer institutions or groups and fiscal resources available to the University. The Secretary to the Board of Trustees shall provide information about the proposed compensation to the Compensation Committee prior to hiring any new non-student employees. The procedure for review shall be as described in section I.B.34.

### B. By the President

It is the responsibility of the President to create new positions and to hire "Covered Persons" (as defined herein, except for the hiring of a new President), and to compensate those hires, consistent with this Policy. The compensation shall be set at a level required to attract and retain outstanding employees. The compensation should be competitive in the relevant market considering all appropriate factors. Those factors include, but are not limited to, compensation provided by peer institutions or groups and fiscal resources available to the University.

- a. The President
- b. The Chancellor of AUM, the Provost, all Vice Presidents, all persons who are direct reports to the President or whose position was created at the request of the President . . .
- c. Any candidate for employment, other than faculty, where a multiyear contract is contemplated or whose proposed cash compensation exceeds ~~\$150,000~~ **\$160,000**, said threshold to be adjusted annually beginning October 1, ~~2008~~**2014**, by an amount equal to the average salary improvement funds made available, if any. However, a "Covered Person" does not include a person whose existing contract is proposed to be extended by a period not to exceed one (1) year.

2. **Information Submitted to the Committee Concerning Covered Persons**

Prior to salary, incentives and payment being offered or made effective, the President shall submit to the Compensation Committee for its review information concerning the proposed compensation. That information shall include:

- a. Full information, including appropriate documentation on any proposed salaries, incentives, and payments paid through the University from any source whatsoever. If covered persons are known to have other "outside" compensation arrangements, the Compensation Committee must be informed of these arrangements.
- b. All termination, retirement, or other post-employment benefits or arrangements outside the normal earned retirement and health benefits available to all employees must be submitted to the Compensation Committee, including leave with pay in excess of six (6) months.

~~3. **Other Circumstances Requiring Submission to the Compensation Committee**~~

~~a. Notwithstanding anything else contained in this Policy, the President shall submit to the Compensation Committee for its review full information with appropriate documentation and justification on any unusual non-faculty employee compensation/benefit packages, including but not limited to, any hiring packages containing extraordinary termination or post-employment benefits, prior to their being offered or made effective.~~

~~b. Annually, the Compensation Committee shall be provided information about any new faculty hires in the proceeding year whose cash compensation exceeds \$150,000, said threshold to be adjusted annually beginning October 1, 2008, by an amount equal to the average salary improvement funds made available, if any.~~

**34. Procedure for Compensation Committee Review**



- a. Upon request of any Committee member to the Chair made within ~~five-two~~ (25) business days after receipt of the information, ~~approval by the Chair shall be deferred until such time as the Committee meets to consider the proposal; otherwise, the Chair is authorized to approve the request without further action by the Committee.~~ a meeting of the Committee will be called to consider the matter. If no request to meet is received, after consultation with the President by the Chair, the administration may proceed with the proposed compensation package upon authorization by the Chair.
- b. No offer of compensation, whether written or oral, subject to the review of the Compensation Committee as set out herein shall be effective as binding on the University without ~~the approval~~ compliance with the process set forth herein.

## II. Budget Development and Annual Compensation Changes for Current Employees

- A. Annually, in the course of developing a budget, if funding is available, the administration will submit salary guidelines, including any recommended salary improvement guidelines to a joint meeting of the Finance and Compensation Committees. Comparative average salary information from peer institutions or groups (such as the Southern University Group, The American Association of University Professors, The Southern Regional Education Board, The Higher Education General Information Survey, and the College and University Personnel Association for Human Resources), as well as local and/or regional job market information will be provided upon request and when available as benchmarks for assessment. Bonuses for past performance shall not be proposed.
- B. The administration shall prepare a proposed budget generally consistent with those guidelines for review by the Finance Committee and Board approval. Included within those guidelines shall be a process for approval of any salary proposal that exceeds those guidelines. ~~Any proposed salary improvement that would fall outside the guidelines will require additional written approval as follows:~~
  1. ~~If the percentage increase proposed for personnel other than a senior administrator reporting to the President exceeds twice the proposed rate of salary improvement funds available, the justification for the proposal must be approved by the Provost, Executive Vice President, or Chancellor. If the percentage increase proposed for personnel other than a senior administrator reporting to the President exceeds three (3) times the proposed rate of salary improvement funds available, the justification for the proposal must be approved by the President.~~
  2. ~~If the percentage increase proposed for a senior administrator reporting to the President exceeds twice the proposed rate of salary improvement funds available, the justification for the proposal must be approved as set forth below. This review process shall also apply to annual salary increases for any coach with a multiyear contract, unless the increases arise from a previously approved contractual obligation. The President shall inform the members of the Compensation Committee of any such proposed increases.~~



~~Upon request of any committee member made within five (5) business days after receipt of the information, approval by the Chair shall be deferred until such time as the Committee meets to consider the proposal; otherwise, the Chair is authorized to approve the request without further action by the Committee.~~

C. The President Pro Tempore of the Board shall provide information to the Compensation Committee which shall recommend to the Board the compensation of the President at an amount which, in the Compensation Committee's reasonable judgment, reflects an appropriate incentive to retain such a person to serve as President of the University.

D. The President Pro Tempore of the Board shall provide information to the Compensation Committee which shall recommend to the Board the compensation of the Secretary to the Board of Trustees at an amount which, in the Compensation Committee's reasonable judgment, reflects an appropriate incentive to retain such a person to serve the University. If the percentage increase proposed for an employee reporting to the Secretary to the Board exceeds the proposed rate range of salary improvement ~~funds available~~, the justification for the proposal must be approved by the Chair of the Compensation Committee. The Chair shall inform the members of the Compensation Committee of any such proposed increases. Upon request of any Committee member made within five-two (25) business days after receipt of the information, ~~approval by the Chair shall be deferred until such time as the Committee meets to consider the proposal; otherwise, the Chair is authorized to approve the request without further action by the Committee.~~ a meeting of the Committee will be called to consider the matter. If no request to meet is received, after consultation with the President by the Chair, the administration may proceed with the proposed compensation package upon authorization by the Chair.

E. The President shall report annually to the Compensation Committee on all faculty salaries changes that exceed four (4) times the proposed rate of salary improvement funds available.

~~F. ——— If salary improvements are not to be proposed due to fiscal and/or budgetary constraints, the President shall annually report to the Compensation Committee the comparative salary ranges of University faculty by rank, broad discipline, and campus; deans; administrative and professional staff; and all non-exempt staff, with those of their equals at peer institutions. In arriving at salary ranges, the President shall give due consideration to data developed by the various groups referred to above, as well as to local and/or regional job market information.~~

F. The President shall periodically review the fringe benefit policies and other aspects of the University compensation program and make recommendations to the Compensation Committee concerning modifications to those policies. Any such changes having a significant fiscal impact shall be reviewed by the Finance Committee. Changes having a significant fiscal impact shall be reviewed by the Finance Committee.



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**TO:** Dr. Jay Gogue, President

**FROM:** Lee F. Armstrong, General Counsel *LSA*

**SUBJECT:** COMPENSATION COMMITTEE  
Proposed Revised Board Policy

**DATE:** October 14, 2013

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This is to request that the following proposal be submitted to the Board of Trustees through the Compensation Committee and included on the agenda of the meeting scheduled for November 15, 2013.

**Proposal:** Experience and changing circumstances suggest that the Compensation Policy be revised. In today's competitive job market for outstanding employees, timely review of compensation recommendations is essential. Accordingly, it is proposed that the time for Compensation Committee members to request a meeting after receiving notice of a compensation recommendation be shortened from five (5) to two (2) business days.

Under existing policy, any proposed annual salary improvement that exceeded twice the Board approved percentage set forth in the budget guidelines required additional approval. In recent years, with less funding available for salary improvement, the Board approved percentage is substantially lower than was historically the case. However, in approving the budget guidelines, the Board authorized adjustments above that amount with a cap that was in excess of twice the approved percentage. The proposed revision aligns the Policy with evolving Board practices by requiring that the budget guidelines adopted annually contain any approval requirements.

Other revisions are recommended removing redundant material and/or making optional at the request of the Committee's receipt of certain reports.

Finally, it is recommended that, consistent with practice in recent years, the Compensation Committee and the Finance Committee hold a joint meeting when considering annual budget guidelines. As a practical matter, the Compensation Committee cannot recommend salary improvement without knowing the overall budget condition of the University. Rather than making in effect a budget presentation to the Compensation Committee to inform their judgment about salary improvement and then make essentially the same budget presentation to the Finance Committee, it is recommended that they hold joint meetings.

**Review and Consultation:** This proposal has been discussed with the Executive Vice President and the Provost.

**Rationale for Recommendation:**

Compensation Policy - The Board first adopted a Compensation Policy in 2006. Experience operating under that Policy and in particular in light of changing economic conditions, suggest revisions to streamline the process and revise it to correspond to recent Board approved compensation practices are appropriate.

## STUDENT AFFAIRS COMMITTEE

### REPORT ON STUDENT AFFAIRS (FOR INFORMATION ONLY)

Leadership within Auburn University's Division of Student Affairs changed on August 1, 2013, when the Vice President for Student Affairs, Dr. Ainsley Carry, accepted a position at the University of Southern California. Mr. Jon Waggoner assumed the role of Interim Vice President for Student Affairs, and a search is currently underway for replacement leadership. In the wake of these changes within the Division, it was suggested that the Student Affairs Committee of the Board of Trustees might wish to be presented with an overview of Student Affairs. To that end, the Division of Student Affairs has compiled information concerning its twelve departments to share briefly with the Committee. The departments that make up the Division of Student Affairs are Assessment & Strategic Planning, Auburn University Medical Clinic, Campus Recreation, Development, Greek Life, Health Promotion & Wellness Services, Parent & Family Programs, Residence Life, Student Center Operations, Student Conduct, Student Counseling Services, and Student Involvement. In the interest of time, Mr. Waggoner will present brief data snapshots concerning the Division and will respond to questions about Student Affairs activities and departments, if any exist.