



AUBURN UNIVERSITY
OFFICE OF THE PRESIDENT

April 6, 2015

MEMORANDUM TO: Board of Trustees

SUBJECT: April 16, 2015 Workshop and April 17, 2015 Meeting

Enclosed are materials that comprise the proposed agenda for the April 16, 2015 workshop and the April 17, 2015 meeting of the Board of Trustees in Auburn. Listed below is the tentative schedule; times and locations that are subject to adjustment, depending on length of individual meetings.

Thursday, April 16, 2016 (Dean's Conference Room, 1302 Shelby Center)
1:00 p.m. Workshop

Friday, April 17, 2015 (Ballroom B, AU Hotel)
9:30 a.m. Property and Facilities Committee
10:00 a.m. Combined AUM, Academic Affairs and Finance Committees
10:30 a.m. Institutional Advancement Committee
10:45 a.m. Audit Committee
11:00 a.m. Executive Committee
11:05 a.m. Regular Meeting of the Board of Trustees (Ballroom B, AU Hotel)
(Proposed Executive Session – Meeting Room A, AU Hotel)
11:30 a.m. Reconvene Regular Meeting of the Board of Trustees (Ballroom B)
12:00 noon Luncheon (Ballroom A, Right)

We appreciate all that you do for Auburn University and look forward to seeing you on Thursday, April 16 and Friday, April 17, 2015. Please call me, if you have questions regarding the agenda. Also, please let Grant Davis, Sherri Williams, or me know if you need assistance with travel and/or lodging arrangements.

Sincerely,

Jay Gogue
President

JG/smw

Enclosure

c: President's Cabinet (w/encl.)
Mr. Grant Davis (w/encl.)

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DRAFT SCHEDULE & AGENDA
AUBURN UNIVERSITY BOARD OF TRUSTEES
THURSDAY, APRIL 16, 2017 AND FRIDAY, APRIL 17, 2015
AUBURN UNIVERSITY

THURSDAY, APRIL 16, 2015

- I. 1:00 p.m. - Workshop (Dean's Conference Room, 1302 Shelby Center)
1. Financial Report (Don Large)
 2. Facilities Report (Dan King)

FRIDAY, APRIL 17, 2015

- I. Committee Meetings (Ballroom B, AU Hotel)
**Committee Meetings will begin at 9:30 a.m. - - all other meetings are subject to change in starting time, depending upon the length of individual meetings.
- A. Property and Facilities Committee/Chairperson Harbert/9:30 a.m.
1. [Broun Hall Renovation: Approval of Project Initiation and Authorization to Commence the Architect Selection Process](#) (Dan King/Chris Roberts)
 2. [Repairs and Renovation of the President's House: Approval of Project Initiation and Authorization to Commence the Architect Selection Process](#) (Dan King)
 3. [Academic Classroom and Laboratory Complex: Approval of Project Architect and Construction Manager Selections](#) (Dan King/Tim Boosinger)
 4. [School of Nursing Facility: Approval of Project Architect and Construction Manager Selections](#) (Dan King/Gregg Newschwander)
 5. [Auburn Arena Volleyball Team Space: Approval of Project Architect Selection](#) (Dan King/Jay Jacobs)
 6. [Cater Hall Repairs and Renovation: Approval of Project Program, Site, Budget, Funding Plan and Schematic Design for Phase I](#) (Dan King/Melissa Baumann)
 7. [Solon Dixon Mitigation Bank](#) (Dan King/Mark Stirling/ B.G. Lockaby)
 8. [Health Science Sector Pond Easement](#) (Dan King/Mark Stirling/B.G. Lockaby)
 9. [Status Updates: For Information Only](#) (Dan King)
 - A. [Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \\$1,000,000 and Greater](#) (Dan King)
 - B. [Quarterly Report for Projects Costing More than \\$500,000 but Less than \\$1,000,000—1st Quarter Fiscal Year 2015](#) (Dan King)
 - C. Project Status Report (Dan King)

- B. Combined AUM/Academic Affairs/Finance Committees/Chairpersons Sahlie, Huntley and McCrary/10:00 a.m.
1. [Proposed Tuition and Fees Pricing, Auburn University and Auburn University at Montgomery](#) (Don Large and John Veres) (AUM and Finance Committees)
 2. [Bond Reimbursement](#) (Don Large) (Finance Committee Only)
 3. [Issuance of Revenue Refunding Bonds of Auburn University](#) (Don Large)
 4. [Support of Military and Veterans](#) (Timothy Boosinger/Don Large) (Academic Affairs and Finance Committees Only)
 5. [Proposed Option in Earth Science within the Existing Bachelor of Science in Geology](#) (Timothy Boosinger/Nicholas Giordano) (Academic Affairs Only)
 6. [Proposed Graduate Certificate in Intervention for Students with Autism and Development Disabilities](#) (Timothy Boosinger/Betty Lou Whitford) (Academic Affairs Only)
 7. [Proposed Elimination of the MEd Programs and Addition of Non-Thesis MS Programs in the School of Kinesiology](#) (Timothy Boosinger/Betty Lou Whitford) (Academic Affairs Only)
 8. [Proposed Change of the Name of the Bachelor of Liberal Arts to the Bachelor of Interdisciplinary Studies](#) (John Veres/Joe King) (Academic Affairs and AUM Committees Only)
 9. [Proposed Bachelor of Science in Economics](#) (John Veres/Joe King) (Academic Affairs and AUM Committees Only)
- C. Institutional Advancement Committee/Chairperson Roberts/10:30 a.m.
1. [Minor Changes in Asset Allocation of Auburn University Endowment Investment Policy Statement](#) (For Information Only) (Don Large/Jane Parker)
- D. Audit Committee/Chairperson Dumas/10:45 a.m.
1. [Selection of External Auditors](#) (Don Large)
- E. Executive Committee/Chairperson Lanier/11:00 a.m.
1. [Policy on the Selection of Financial Institutions](#) (Don Large)
 2. [Posthumous Awarding of the Bachelor of Science Degree in Psychology to Nicholas Richardson](#) (John Veres)
 3. [Revision of Policy on Erecting Statues, Monuments, or Other Types of Recognition on Campus](#) (Lee Armstrong)
 4. Proposed Awards and Namings (Gaines Lanier)

- II. REGULAR MEETING OF THE BOARD OF TRUSTEES/11:05 A.M. (Ballroom B, AU Hotel)
 - A. Proposed Executive Session (Meeting Room A, AU Hotel)
- III. RECONVENED MEETING OF THE BOARD OF TRUSTEES/11:30 A.M. (Ballroom B)
(Agenda items are determined primarily based upon committee actions.)
12:00 Noon - LUNCHEON (Ballroom A, Right)

RESOLUTION

APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the Reconvened Meeting on Friday, February 6, 2015 have been distributed to all members of this Board for review.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees that the minutes of its Friday, February 6, 2015 meeting is hereby approved as distributed.

RESOLUTION

AWARDING OF DEGREES

WHEREAS, Auburn University confers appropriate degrees upon those individuals who have completed requirements previously approved by this Board of Trustees and stated in University Catalogs.

NOW, THEREFORE, BE IT RESOLVED as follows:

1. That all degrees to be awarded by the faculties of Auburn University on Saturday, May 9, 2015 and Sunday, May 10, 2015, and Auburn University Montgomery on May 16, 2015, complying with requirements heretofore established by the Board of Trustees, be and the same are hereby approved.
2. That a list of the degrees awarded on the above listed dates be filed in the Book of Exhibits and made a part of this resolution and of these minutes.

EXECUTIVE SUMMARIES
APRIL 17, 2015

A. Property and Facilities Committee

1. Broun Hall Renovation: Approval of Project Initiation and Authorization to Commence the Architect Selection Process (Dan King/Chris Roberts)

The Samuel Ginn College of Engineering proposes a project to partially renovate Broun Hall. The renovation is envisaged to upgrade classrooms, auditoriums, study and common areas, architectural finishes, and electrical, mechanical, and information technology systems. Modernizing Broun Hall will enhance the teaching and learning environment for students and faculty as well as improve the College of Engineering's recruiting of candidate students. It is anticipated the project will be funded by gift funds.

2. Repairs and Renovation of the President's House: Approval of Project Initiation and Authorization to Commence the Project Architect Selection Process (Dan King)

The President's home was originally built in 1938. Facilities Management proposes a project to repair and renovate the President's home. This project would replace deteriorated or worn building envelope components, interior architectural finishes, and mechanical and electrical systems, as well as modernize and upgrade the private living space within the home. These changes are necessary to ensure the President's home remains a fully functional facility as well as an attractive and comfortable home for future Presidents of Auburn University. The resolution requests that the Board of Trustees approve the project and authorize the commencement of the selection process for a project architect.

3. Academic Classroom and Laboratory Complex: Approval of Project Architect and Construction Manager Selections (Dan King/Tim Boosinger)

During its meeting of February 6, 2015, the Board of Trustees adopted a resolution that authorized the commencement of the architect and construction manager selection processes for the new Academic Classroom and Laboratory Complex project. After conducting interviews with six candidate firms, the University Architect has determined that the firm Perkins+Will, of Atlanta, Georgia was best qualified to provide architectural services on this project. After conducting interviews with four candidate firms, the Executive Director of Design & Construction has determined that the firm Brasfield & Gorrie General Contractors of Birmingham, Alabama, was best qualified to provide construction management services on this project. The action before the Board of Trustees requests approval of this selection.

4. School of Nursing Facility: Approval of Project Architect and Construction Manager Selections (Dan King/Gregg Newschwander)

During its meeting of February 6, 2015, the Board of Trustees adopted a resolution that authorized the commencement of the architect and construction manager selection processes for the new School of Nursing facility project. After conducting interviews with four candidate firms, the University Architect has determined that the architectural firm of Stacy Norman Architects, of Auburn, Alabama, with Ayers Saint Gross, of Baltimore, Maryland, was best qualified to provide architectural services on this project. After conducting interviews with four candidate firms, the Executive Director of Design & Construction has determined that the firm Hoar Program Management, of Hoar Program Management, of Birmingham, Alabama, was best qualified to provide construction management services on this project. The action before the Board of Trustees requests approval of this selection.

5. Auburn Arena Volleyball Team Space: Approval of Project Architect Selection (Dan King/Jay Jacobs)

During its meeting of February 6, 2015, the Board of Trustees adopted a resolution that approved the commencement of the architect selection process for the Auburn Arena Volleyball Support Improvements project. After conducting interviews with three candidate firms, the University Architect has determined that the firm The Architects Group, of Mobile, Alabama, was best qualified to provide architectural services on this project.

6. Cater Hall Repairs and Renovation: Approval of Project Program, Site, Budget, Funding Plan, and Schematic Design for Phase I (Dan King/Melissa Baumann)

It is requested that the Board of Trustees approve the program requirements, budget, funding plan, site, and schematic design for Phase I of the Cater Hall Repairs and Renovation project. This project will repair the foundation, replace windows, siding, electrical and mechanical systems, as well as renovate the interior to improve space utilization. Phase I will repair the foundation and replace the exterior siding, and will commence in the summer of 2015. Phase II is scheduled for the summer of 2016. Phase I of the project is estimated to cost \$0.9 million, to be funded by Repair and Renovation and other University funds.

7. Solon Dixon Forestry Education Center Streamside/Wetland Mitigation Bank (Dan King/Mark Stirling/B.G. Lockaby)

The Solon Dixon Forestry Education Center (SDFEC) as part of the School of Forestry & Wildlife Sciences at Auburn University is requesting authorization from Auburn University's Board of Trustees to create a Streamside/Wetland Mitigation Bank. The Bank would approach 300 acres in size and be located on forestland managed by SDFEC for timber, education and research in Covington & Escambia Counties. Such a Bank

would align with the missions of SDFEC and Auburn University by generating support for operation costs, while also opening new doors for education and research opportunities in the area of water resources management.

8. Health Science Sector Pond Easement (Dan King/Mark Stirling/B.G. Lockaby)

The Edward Via College of Osteopathic Medicine (VCOM) is requesting a Construction and Recreational Easement from Auburn University for a parcel of land adjacent to the Health Science Sector of campus for student and staff recreational access. The parcel of land is designated as a Natural Resource Management Area and contains an approximate two acre pond adjacent to the land leased by VCOM. VCOM would like to fund a project to improve the pond and its surrounding land, and will incur all design, construction, and maintenance expenses associate with the project.

9. Status Updates (Dan King)

B. Combined Academic Affairs, AUM and Finance Committees

1. Proposed Tuition and Fees Pricing, Auburn University and Auburn University at Montgomery (Don Large/John Veres) (Finance and AUM Committee)

Time will be allocated to discuss tuition and fees changes for Auburn University and Auburn University at Montgomery.

2. Bond Reimbursement Resolution (Don Large) (Finance Committee Only)

Time will be allocated for discussion of a Bond Reimbursement.

3. Issuance of Revenue Refunding Bonds of Auburn University (Don Large)

Time will be allotted for discussion of Issuance of Revenue Refunding Bonds of Auburn University.

4. Support of Military and Veterans (Timothy Boosinger) (Academic and Finance Committees)

Time will be allotted for discussion of support of Military and Veterans.

5. Proposed Option in Earth Science within the Existing Bachelor of Science in Geology (Timothy Boosinger/Nicholas Giordano) (Academic Affairs Only)

Faculty in the Department of Geosciences in the College of Sciences and Mathematics have initiated the degree option to provide an additional plan of study for students desiring broad careers in the field of environmental sciences. The option will enable students to pursue a course of study that integrates a greater breadth of topics related to earth and environmental systems. The

proposed enrollment for the option would be 20-30 students annually and will not require any additional resources.

6. Proposed Graduate Certificate in Intervention for Students with Autism and Development Disabilities (Timothy Boosinger/Betty Lou Whitford) (Academic Affairs Only)

Faculty in the Department of Special Education, Rehabilitation, and Counseling in the College of Education are proposing a 15-hour certificate to provide graduate-level coursework for educators who possess a bachelor's degree in general or special education and desire additional expertise in working with students affected with autism spectrum or other developmental disorders. The certificate program would utilize existing on-campus and distance education courses available through the Alternative Masters (M.Ed.) in Early Childhood Special Education and would offer advanced knowledge and skills to professionals who work with both students and families of students diagnosed with autism or other developmental disabilities. This will be the 29th Graduate Certificate approved by the BOT.

7. Proposed Elimination of the Med Programs and Addition of Non-Thesis MS Programs in the School of Kinesiology (Timothy Boosinger/Betty Lou Whitford) (Academic Affairs Only)

The School of Kinesiology in the College of Education is proposing: (1) the addition of non-thesis completion options for the current MS in Physical Education and its two formal options in Exercise Science and in Physical Activity and Health and (2) the simultaneous elimination of the current MEd programs in these same three areas of study, which have been serving as non-thesis completion options. The School is proposing to consolidate all Master's degree awards in these subjects under the existing Master of Science program, creating a non-thesis option for the MS and eliminating as redundant the current MEd Program.

8. Proposed Change of the Name of the Bachelor of Liberal Arts to the Bachelor of Interdisciplinary Studies (John Veres/Joe King) (Academic Affairs and AUM Committees)

The Bachelor of Liberal Arts degree is an interdisciplinary program in which students can combine coursework from any undergraduate program on campus. The program has been modified to foster more integrative learning, provide versatility in the curriculum and to provide opportunities to balance life responsibilities with educational opportunities. The program's name, however, misleads some students into thinking that it applies only to liberal arts students in the narrower sense. Prospective employers may be similarly misled. For these reasons, AUM proposes that the name "Bachelor of Liberal Arts" be changed to "Bachelor of Interdisciplinary Studies" to

better represent the nature of the program. At the same time, the CIP code would change from 24.010: Liberal Arts and Sciences, General Studies and Humanities to 30.0000: Multi/Interdisciplinary Studies, General. No other CIP code is more appropriate. The proposal has been reviewed by the Faculty Advisory Board, the Dean of the College of Arts and Sciences, the University Curriculum Committee, the Provost and Chancellor. No additional resources are required. It is requested that the Board consider a resolution to approve the proposal to change the name of the Bachelor of Liberal Arts to the Bachelor of Interdisciplinary Studies for Auburn University at Montgomery.

9. Proposed Bachelor of Science in Economics (John Veres/Joe King) (Academic Affairs and AUM Committees)

With the recent academic reorganization at AUM, the economics program moved from the College of Business to the Department of Economics in the College of Public Policy and Justice. This proposal is to change the B.S.B.A in Economics which was offered in the College of Business to a Bachelor of Science in Economics which will be offered in the new College of Public Policy and Justice. Within the proposed B.S. in Economics are two options: global economics and political economy. The wishes of the faculty are to provide the opportunities to undergraduate students to tailor their studies to their unique career goals. The proposed degree program, and the options therein, would provide Auburn University at Montgomery with a more comprehensive undergraduate program and position AUM to be more visible and attract more students desiring a degree focused on economics. Furthermore, the proposed degree provides students with a solid foundation in economic analysis and prepares students for careers in business, financial institutions, the public sector, and for graduate or professional schools in business, law, economics, international relations, and other related fields of study. The proposed degree would not require additional space or additional faculty; and the request to change the B.S.B.A. in Economics to a B.S. in Economics has been endorsed by the Chair and the Faculty of the Economics Department, the Dean of the College of Public Policy and Justice, the University Undergraduate Curriculum Committee, the Provost, and the Chancellor. It is requested that the Board consider a resolution to approve the proposed B.S. in Economics with options in global economics and political economy for Auburn University at Montgomery.

C. Institutional Advancement Committee

1. Minor Changes in the Asset Allocation of Auburn University Endowment Investment Policy Manager (Don Large/Jane Parker)

A request is before the Committee to approve minor changes in the asset allocation model.

D. Audit Committee

1. Selection of External Auditors (Don Large)

Time will be permitted for discussion on Selection of External Auditors.

E. Executive Committee

1. Policy on the Selection of Financial Institutions (Don Large)

Time will be allocated for discussion of the policy on Selection of Financial Institutions.

2. Posthumous Awarding of the Bachelor of Science Degree in Psychology to Nicholas Richardson (Timothy Boosinger)

3. Revision of Policy on Erecting Statues, Monuments, or Other Types of Recognitions (Lee Armstrong)

Time will be allocated for discussion of a revision of the policy on erecting statues, monuments, or other types of recognitions.

4. Proposed Awards and Namings

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

BROUN HALL RENOVATION

APPROVAL OF PROJECT INITIATION AND AUTHORIZATION
TO COMMENCE THE PROJECT ARCHITECT SELECTION PROCESS

WHEREAS, Broun Hall is a four story, 101,459 square foot facility, originally built in 1983, which currently hosts the Department of Electrical and Computer Engineering; and

WHEREAS, the Samuel Ginn College of Engineering proposes a project to partially renovate the Broun Hall to upgrade several classrooms, auditoriums, and student study areas by improving lighting, architectural finishes, information technology, as well as mechanical and electrical systems; and

WHEREAS, this modernization is intended to create better teaching and learning environment for students and faculty as well as to improve the College of Engineering's recruiting of prospective electrical and computer engineering students; and

WHEREAS, this project will be financed by gift funds to the College of Engineering; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Broun Hall Renovation project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the selection process of a project architect.

TO: JAY GOGUE, President

THROUGH: DONALD L. LARGE, Executive Vice President

FROM: DAN KING, Associate Vice President for Facilities

SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**BROUN HALL RENOVATION: APPROVAL OF PROJECT INITIATION AND
AUTHORIZATION TO COMMENCE THE PROJECT ARCHITECT**

DATE: APRIL 6, 2015

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for April 17, 2015.

Proposal:

Consistent with standing policy, it is proposed that the Broun Hall Renovation project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will: (1) approve the initiation of the project and (2) authorize the commencement of the architect selection process.

Review and Consultation:

Broun Hall is a 101,459 square foot facility which was originally built in 1983. It currently hosts the Department of Electrical and Computer Engineering.

The Samuel Ginn College of Engineering proposes a project to renovate portions of Broun Hall. The renovation is envisioned to upgrade several common areas, classrooms/auditoriums, and student study areas by improving lighting, architectural finishes, information technology, as well as mechanical and electrical systems. This modernization is intended to create a better teaching and learning environment for current students and faculty as well as to improve the College of Engineering's ability to recruit electrical and computer engineering candidate students.

It is anticipated that this project will be executed in several phases, and that the total renovation cost will exceed the \$1,000,000 Board of Trustees project approval threshold. This project will be financed with gift funds to the College of Engineering.

Rationale for Recommendation:

The Broun Hall Renovation project is expected to cost in excess of \$1,000,000. Standing policy of the Board of Trustees stipulates that all construction, renovation/adaptation, infrastructure, or outdoor facility projects with budgets of \$1,000,000 or more, be submitted to the Board of Trustees for action.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

REPAIR AND RENOVATION OF THE PRESIDENT'S HOUSE

APPROVAL OF PROJECT INITIATION AND AUTHORIZATION
TO COMMENCE THE PROJECT ARCHITECT SELECTION PROCESS

WHEREAS, the President's home was originally built in 1938 and has served, since that time, both as the residence for Auburn University presidents and as an important entertainment and social facility for the University; and

WHEREAS, a project is proposed to repair and renovate the President's home to replace deteriorated or worn building envelope components, interior architectural finishes, and mechanical and electrical systems, as well as modernize and upgrade the private living space at the home; and

WHEREAS, these repairs and renovation are necessary to ensure the President's home remains a fully functional facility as well as an attractive and comfortable home for future presidents of Auburn University.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Repair and Renovation of the President's House project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the selection process of a project architect.

TO: JAY GOGUE, President

THROUGH: DONALD L. LARGE, Executive Vice President

FROM: DAN KING, Associate Vice President for Facilities

SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**REPAIR AND RENOVATION OF THE PRESIDENT'S HOUSE: APPROVAL OF
PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE PROJECT
ARCHITECT SELECTION PROCESS**

DATE: APRIL 6, 2015

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for April 17, 2015.

Proposal:

Consistent with standing policy, it is proposed that the Repair and Renovation of the President's House project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will: (1) approve the initiation of the project and (2) authorize the commencement of the architect selection process.

Review and Consultation:

The President's home was originally built in 1938. Since that time, this iconic campus building has served as both the residence for Auburn University presidents and an important entertainment and social facility for the University. Given the age of the building, Facilities Management proposes a project to repair and renovate the President's home. This project would replace deteriorated or worn building envelope components, interior architectural finishes, and mechanical and electrical systems, as well as modernize and upgrade the private living space at the home. These changes are necessary to ensure the President's home remains a fully functional facility as well as an attractive and comfortable home for future presidents of Auburn University.

Rationale for Recommendation:

Given the importance of this facility to the University, the University administration requests the Board of Trustees approve the Repair and Renovation of the President's House project.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

ACADEMIC CLASSROOM AND LABORATORY COMPLEX

APPROVAL OF PROJECT ARCHITECT
AND CONSTRUCTION MANAGER SELECTIONS

WHEREAS, the Board of Trustees, at the meeting held on February 6, 2015, approved the initiation of a project to construction a new Academic Classroom and Laboratory Complex, and authorized the commencement of the processes to select a project architect and a construction manager; and

WHEREAS, the University Architect, after conducting interviews with six candidate firms, determined the firm, Perkins+Will, of Atlanta, Georgia, was best qualified to provide architectural services on this project; and

WHEREAS, the Executive Director of Design & Construction, after conducting interviews with four candidate firms, determined the firm, Brasfield & Gorrie General Contractors, of Birmingham, Alabama, was best qualified to provide construction management services on this project.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage the architectural firm, Perkins+Will, of Atlanta, Georgia, as project architect to consult in the development of the facility program and project design.
2. Engage the construction management firm, Brasfield & Gorrie General Contractors, of Birmingham, Alabama, to perform construction management services and to assist in the development of the facility program and manage the construction of the facility.
3. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

TO: JAY GOGUE, President
THROUGH: DONALD L. LARGE, Executive Vice President
FROM: DAN KING, Associate Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**ACADEMIC CLASSROOM AND LABORATORY COMPLEX: APPROVAL OF
PROJECT ARCHITECT AND CONSTRUCTION MANAGER SELECTIONS**

DATE: APRIL 6, 2015

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for April 17, 2015.

Proposal:

Consistent with standing policy, it is proposed that the Academic Classroom and Laboratory Complex project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that approves the selections of the project architect and construction manager.

Review and Consultation:

During its meeting of February 6, 2015, the Board of Trustees approved the initiation of a project to construct a new Academic Classroom and Laboratory Complex, and authorized the commencement of the architect and construction manager selection processes.

Since that time, a Requests for Qualifications were published for architectural and construction management services. Of the twenty-one architectural firms who submitted qualifications, six were interviewed by the Architect Selection Committee. The committee determined that the firm, Perkins+Will, of Atlanta, Georgia, was best qualified to provide architectural services on this project. The University Architect concurs with this recommendation.

Of the four construction management firms who submitted qualifications, all were interviewed by the Construction Manager Selection Committee. The committee determined that the firm Brasfield & Gorrie General Contractors, of Birmingham, Alabama was best qualified to provide construction management services on this project. The Executive Director of Design & Construction concurs with this recommendation.

It is, therefore, appropriate that a resolution be presented to the Board of Trustees for their consideration to approve the selections of Perkins+Will, of Atlanta, Georgia, as the project architect, and Brasfield & Gorrie General Contractors, of Birmingham, Alabama, as the construction manager for the new Academic Classroom and Laboratory Complex. If you concur, it is recommended the resolution be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action.

Rationale for Recommendation:

Standing policy of the Board of Trustees stipulates that all construction, renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, be submitted to the Board of Trustees for action.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

SCHOOL OF NURSING FACILITY

APPROVAL OF PROJECT ARCHITECT
AND CONSTRUCTION MANAGER SELECTIONS

WHEREAS, the Board of Trustees, at the meeting held on February 6, 2015, approved the initiation of a project to construction a new School of Nursing facility, and authorized the commencement of the processes to select a project architect and a construction manager; and

WHEREAS, the University Architect, after conducting interviews with four candidate firms, determined the architectural team of Stacy Norman Architects, of Auburn, Alabama, with Ayers Saint Gross, of Baltimore, Maryland, was best qualified to provide architectural services on this project; and

WHEREAS, the Executive Director of Design & Construction, after conducting interviews with four candidate firms, determined the firm, Hoar Program Management, of Birmingham, Alabama, was best qualified to provide construction management services on this project.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage the architectural team of Stacy Norman Architects, of Auburn, Alabama, with Ayers Saint Gross, of Baltimore, Maryland, as project architects to consult in the development of the facility program and project design.
2. Engage the construction management firm, Hoar Program Management, of Birmingham, Alabama, to perform construction management services and to assist in the development of the facility program and manage the construction of the facility.
3. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.



FACILITIES MANAGEMENT
M E M O R A N D U M

TO: JAY GOGUE, President

THROUGH: DONALD L. LARGE, Executive Vice President

FROM: DAN KING, Associate Vice President for Facilities

SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**SCHOOL OF NURSING FACILITY: APPROVAL OF PROJECT ARCHITECT AND
CONSTRUCTION MANAGER SELECTIONS**

DATE: APRIL 6, 2015

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for April 17, 2015.

Proposal:

Consistent with standing policy, it is proposed that the School of Nursing facility project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that approves the selections of the project architect and construction manager.

Review and Consultation:

During its meeting of February 6, 2015, the Board of Trustees approved the initiation of a project to construct a new School of Nursing facility, and authorized the commencement of the architect and construction manager selection processes.

Since that time, Requests for Qualifications were published for architectural and construction management services. Of the nineteen architectural firms who submitted qualifications, four were interviewed by the Architect Selection Committee. The committee determined that the architectural team of Stacy Norman Architects, of Auburn, Alabama, with Ayers Saint Gross, of Baltimore, Maryland, was best qualified to provide architectural services on this project. The University Architect concurs with this recommendation.

Of the four construction management firms who submitted qualifications, all were interviewed by the Construction Manager Selection Committee. The committee determined that the firm Hoar Program Management, of Birmingham, Alabama, was best qualified to provide construction management services on this project. The Executive Director of Design & Construction concurs with this recommendation.

It is, therefore, appropriate that a resolution be presented to the Board of Trustees for their consideration to approve the selections of team consisting of Stacy Norman Architects and Ayers Saint Gross as the project architect, and Hoar Program Management, of Birmingham, Alabama, as the construction manager for the new School of Nursing facility. If you concur, it is

recommended the resolution be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action.

Rationale for Recommendation:

Standing policy of the Board of Trustees stipulates that all construction, renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, be submitted to the Board of Trustees for action.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

AUBURN ARENA VOLLEYBALL TEAM SPACE IMPROVEMENTS

APPROVAL OF PROJECT ARCHITECT SELECTION

WHEREAS, the Board of Trustees, at the meeting held on February 6, 2015, approved the initiation of the Auburn Arena Volleyball Team Space Improvements project, and authorized the commencement of the architect selection process; and

WHEREAS, the University Architect, after conducting interviews with three candidate firms out of the twelve that submitted qualifications, determined the firm, The Architects Group, of Mobile, Alabama, was best qualified to provide architectural services on this project; and

WHEREAS, the proposed project is anticipated to cost in excess of \$1,000,000 and Board of Trustee policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets over \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage the architectural firm The Architects Group, of Mobile, Alabama, as project architect to consult in the development of the facility program and project design.
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.



TO: JAY GOGUE, President

THROUGH: DONALD L. LARGE, Executive Vice President

FROM: DAN KING, Associate Vice President for Facilities

SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**AUBURN ARENA VOLLEYBALL TEAM SPACE IMPROVEMENTS: APPROVAL
OF PROJECT ARCHITECT SELECTION**

DATE: APRIL 6, 2015

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for April 17, 2015.

Proposal:

Consistent with standing policy, it is proposed that the Auburn Arena Volleyball Team Space Improvements project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that approves the selection of the project architect.

Review and Consultation:

During its meeting of February 6, 2015, the Board of Trustees approved the initiation of the Auburn Arena Volleyball Team Space Improvements project and authorized the commencement of the architect selection process.

Since that time, a Requests for Qualifications was published for architectural services. Of the twelve architectural firms who submitted qualifications, three were interviewed by the Architect Selection Committee. The committee determined that the firm, The Architects Group, of Mobile, Alabama, was best qualified to provide architectural services on this project. The University Architect concurs with this recommendation.

It is, therefore, appropriate that a resolution be presented to the Board of Trustees for their consideration to approve the selection of The Architects Group, of Mobile, Alabama, as the project architect. If you concur, it is recommended the resolution be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action.

Rationale for Recommendation:

Standing policy of the Board of Trustees stipulates that all construction, renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, be submitted to the Board of Trustees for action.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

CATER HALL REPAIRS AND RENOVATION

APPROVAL OF PROJECT PROGRAM, SITE, BUDGET, FUNDING PLAN,
AND SCHEMATIC DESIGN FOR PHASE I

WHEREAS, the Board of Trustees approved the initiation of the Cater Hall Repairs and Renovation project at its meeting of September 5, 2014; and

WHEREAS, the Cater Hall Repairs and Renovation project will replace siding, windows, mechanical and electrical systems, repair the foundation, and renovate the interior space to improve space utilization and maintain the architectural quality of the facility; and

WHEREAS, the Cater Hall Repairs and Renovation project will be executed in two phases during the summer and fall of 2015 and 2016, respectively, with the initial Phase I work consisting of repairs to the foundation and replacement of the exterior siding; and

WHEREAS, the estimated total cost for Phase I of the Cater Hall Repairs and Renovation project is \$0.9 million, to be funded by Repair and Renovation and other University general funds; and

WHEREAS, the schematic design of the Cater Hall Repairs and Renovation project, has been determined by the University Architect to be consistent with the "*Comprehensive Master Plan*," the "*Image and Character of Auburn University*," the facility program, and the project budget.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a budget for Phase I of the project in the amount of \$0.9 million, to be funded by Repair and Renovation and other University general funds.
2. Direct the consultants to complete the required plans for the project.
3. Solicit bids for construction conditioned upon the availability of sufficient funding as approved by the President.
4. Award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.



FACILITIES MANAGEMENT
M E M O R A N D U M

TO: JAY GOGUE, President

THROUGH: DONALD L. LARGE, Executive Vice President

FROM: DAN KING, Associate Vice President for Facilities

SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**CATER HALL REPAIRS AND RENOVATION: APPROVAL OF PROJECT
PROGRAM, SITE, BUDGET, FUNDING PLAN, AND SCHEMATIC DESIGN FOR
PHASE I**

DATE: APRIL 6, 2015

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for April 17, 2015.

Proposal:

Consistent with standing policy, it is proposed that the Cater Hall Repairs and Renovation project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that approves the program requirements, budget, funding plan, site, and schematic design for Phase I of the project. If approved, the resolution would authorize the President to direct the consultants to complete the project design; solicit bids; and award a contract for construction.

Review and Consultation:

At its meeting held on September 5, 2014, the Board of Trustees adopted a resolution that approved the initiation of the Cater Hall Repairs and Renovation project, and at its meeting held on November 7, 2014, authorized Goodwyn, Mills, Cawood, Inc., as the project architect.

Since that time, the project design team has worked to complete the schematic design for the Cater Hall Repairs and Renovation project. The project details are provided below:

- **Program Requirements:** The project will repair the foundation, and replace siding, windows, electrical and mechanical systems. Architectural renovations will improve utilization and ensure the quality of Cater Hall interior spaces are maintained. The project will be executed in two phases during summers 2015 and 2016. Phase I will repair the foundations and replace the exterior siding.

- Budget: The estimated project cost for Phase I of the Cater Hall Repairs and Renovation project is \$0.9 million.
- Funding Plan: The project will be funded using Repair and Renovation funds and other University general funds.
- Project Location: Katherine Cooper Cater Hall.
- Project Schedule: Phase I (Exterior Repairs) of the project will be accomplished during the summer/fall of 2015. Phase II (Interior Renovation) will be accomplished in 2016.

If you concur, it is proposed the facility program, budget, funding plan, site, and schematic design be presented to the Board of Trustees for consideration of an appropriate resolution for approval at the meeting scheduled for April 17, 2015.

Rationale for Recommendation:

Standing policy of the Board of Trustees stipulates that all construction, renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, be submitted to the Board of Trustees for action.

RESOLUTION

OLON DIXON FORESTRY EDUCATION CENTER

STREAMSIDE/WETLAND MITIGATION BANK

WHEREAS, Auburn University owns certain real property known as the Solon Dixon Forestry Education Center (SDFEC) located at 12130 Dixon Center Road, Andalusia, Alabama that consists of approximately 5,350 acres of undeveloped forest land; and

WHEREAS, this land is managed by SDFEC, under the School of Forestry & Wildlife Sciences, with stated missions of education, research, and outreach while generating operational income from the forested land to support those activities; and

WHEREAS, these lands are restricted by the "Deed of Gift" from real estate or other development; and

WHEREAS, SDFEC has been contacted regarding the creation of a Streamside/Wetland mitigation bank and has received positive feedback from both a feasibility study and a market analysis as they pertain to such a mitigation bank on this property; and

WHEREAS, such a mitigation bank would support the mission of SDFEC by generating operation income, while supporting education, research, and outreach; and

WHEREAS, SDFEC will issue a Request for Proposals to solicit bidders to assist in the creation of the mitigation bank and the management of credits; and

WHEREAS, SDFEC is requesting authorization from Auburn University's Board of Trustees to create a Streamside/Wetland Mitigation Bank of approximately 300 acres on lands managed by SDFEC in Covington & Escambia, Counties.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Dr. Jay Gogue, President, or such persons as may be acting as President, is hereby authorized and empowered to:

1. Take such action necessary and desirable for the creation of a Streamside/Wetland Mitigation Bank located on the Solon Dixon Forestry Education Center in Covington & Escambia, Counties.
2. Execute such agreements as may be necessary to complete this transaction with the understanding that all instruments required for consummation be reviewed by legal counsel for Auburn University.



TO: JAY GOGUE, President
THROUGH: DONALD L. LARGE, Executive Vice President
FROM: DAN KING, Associate Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**SOLON DIXON FORESTRY EDUCATION CENTER STREAMSIDE/WETLAND
MITIGATION BANK**

DATE: APRIL 6, 2015

This is to request that the following item be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for April 17, 2015.

Proposal:

It is proposed that a portion of the real estate at the Solon Dixon Forestry Education Center in Andalusia, Alabama be contributed to a Wetland/Stream Mitigation Bank which will supply credits for mitigation of unavoidable impacts to wetland and stream resources.

Review and Consultation:

Since its establishment in 1980, the Solon Dixon Forestry Education Center (SDFEC) has served as an education, research, and outreach facility for Auburn University students, faculty, and many others. The timber on the property is managed to provide partial operating expenses for the SDFEC. While timber in particular locations is more readily managed and easily accessed, in some cases, such as streamside zones, the timber is less accessible, and its removal may be subject to environmental regulation. Consequently, an opportunity exists to contribute approximately 300 streamside acres (from a total of 5,300 acres) to a wetland mitigation banking system in order to generate additional financial resources to the SDFEC, while maintaining and even enhancing Auburn University's education, research, and outreach missions there. If these acres were enrolled in the mitigation banking system, students and others would have an opportunity (previously unavailable) to learn about and financially explore the wetland mitigation system using a first-hand example.

To become part of a mitigation bank, the 300 acres would be put into a conservation easement which then would be held by a mutually selected Land Trust. The primary land use functions which would be restricted by the easement would be real estate development and timber harvest. However, these restrictions would not negatively impact Auburn University or SDFEC since real estate or other development is not permitted for SDFEC under the "Deed of Gift". Additionally, the value of timber on the site would be exceeded over time by the value of mitigation credits. The management options for these sites will be clearly defined in a plan designed by SDFEC, Auburn University and a Mitigation Board. The implementation, construction, and management of the SDFEC mitigation bank would be bid, using Requests for Proposals, to firms that

specialize in land management and have experience in organizing mitigation systems. The estimated return to Auburn University from enrolling 300 acres is \$1.5 million, after payment for services to the firm with the winning bid. There is also a \$500,000 endowment created at the beginning of the process. The purpose of this endowment is to generate interest which would be used for long-term management of the mitigation bank, payable to SDFEC for maintenance on an annual basis. No Auburn University funds would be required to implement the mitigation bank project.

To better understand the potential this project may have for success, SDFEC and the School of Forestry & Wildlife Science have conducted a feasibility study and a market analysis by separate outside parties. Results from the study and analysis were supportive of the project.

This request is recommended by the Interim Dean of the School of Forestry and Wildlife Sciences, and the Director of the SDFEC and is supported by the Provost of Auburn University, the Associate Vice President for Facilities Management, and the Solon and Martha Dixon Foundation.

Rationale for Recommendation:

Standing policy of the Board of Trustees stipulates that all real estate actions be submitted to the Board for action.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

HEALTH SCIENCE SECTOR POND EASEMENT

WHEREAS, Auburn University has a parcel of land adjacent to the Health Science Sector of campus that is designated as a Natural Resource Management Area and used for the observation of nature and as a field teaching lab by Auburn University faculty and students; and

WHEREAS, this parcel contains a pond covering approximately two acres, adjacent to the property leased by the Edward Via College of Osteopathic Medicine from the Auburn Research and Technology Foundation; and

WHEREAS, the Edward Via College of Osteopathic Medicine is requesting a Construction and Recreational Easement from Auburn University for the pond and immediate surrounding area that would benefit the University's use of the pond and allow the Edward Via College of Osteopathic Medicine students and staff recreational access to the natural amenity; and

WHEREAS, the Edward Via College of Osteopathic Medicine will incur all design, construction and maintenance expenses to provide improvements to the area; and

WHEREAS, the University units that have an interest in the area have worked collaboratively on this project to maintain the natural setting and ensure the ecological function of the pond, and, as a result of this effort, have agreed that the project will be a mutually beneficial for Edward Via College of Osteopathic Medicine and Auburn University; and

WHEREAS, all real estate actions, including easements, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Dr. Jay Gogue, President, or such persons as may be acting as President, is hereby authorized and empowered to execute such agreements as may be necessary to complete this transaction with the understanding that all instruments required for consummation be reviewed by legal counsel for Auburn University.



TO: JAY GOGUE, President

THROUGH: DONALD L. LARGE, Executive Vice President

THROUGH: DAN KING, Associate Vice President for Facilities

FROM: MARK STIRLING, Director of Real Estate

SUBJECT: PROPERTY AND FACILITIES COMMITTEE
HEALTH SCIENCE SECTOR POND EASEMENT, AUBURN, ALABAMA

DATE: APRIL 6, 2015

This is to request that the following item be presented to the Board of Trustees through the Property and Facilities Committee, and be included on the agenda of the meeting scheduled for April 17, 2015.

Proposal:

The Edward Via College of Osteopathic Medicine (VCOM) is requesting that Auburn University grant a Construction and Recreational Easement for the improvement and use of the area immediately surrounding a pond that is located adjacent to Research Park. The Edward Via College of Osteopathic Medicine would like to improve the rustic beauty of the pond and install walking paths to be enjoyed by its students and staff.

Review and Consultation

Auburn University owns a parcel of land adjacent to Auburn Research Park that is designated as a Natural Resource Management Area. This parcel contains a two acre pond adjacent to the property leased by Edward Via College of Osteopathic Medicine from ARTF. The pond is used by Auburn University faculty and students for forestry and biology research, the general observation of nature, and as a field teaching lab. The Edward Via College of Osteopathic Medicine would like to provide improvements to the pond and surrounding area that would benefit the University and also allow its students and staff recreational access to the natural amenity of the pond. The Edward Via College of Osteopathic Medicine is requesting an Construction and Recreational Easement from Auburn University for the pond and immediate surrounding area. The Edward Via College of Osteopathic Medicine will incur all design, construction and maintenance expenses to make these improvements.

Since the land is designated as a Natural Resource Management area, Auburn University Facilities Management held multiple stakeholder meetings with the University units that would have an interest in the area, including representatives from the School of Forestry and Wildlife Services, Office of Sustainability, Department of Biological Sciences, Auburn University Real Estate, and the Auburn Research and Technology Foundation. These University units have worked collaboratively on this project to ensure the natural setting and ecological functions of the pond are maintained by this project, and as a result of this effort, have agreed that the project will be a mutually beneficial for Edward Via College of Osteopathic Medicine and Auburn University. The project design is complete and awaiting final Auburn University approval of the easement in anticipation of an April bid opening.

Rational and Recommendation:

It is recommended that the resolution to grant a Construction and Recreational Easement to the Edward Via College of Osteopathic Medicine to construct, use, and maintain the improvements to the pond.



TO: JAY GOGUE, President
THROUGH: DONALD L. LARGE, Executive Vice President
FROM: DAN KING, Associate Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**CURRENT STATUS OF NEW CONSTRUCTION/RENOVATION/
INFRASTRUCTURE PROJECTS WITH BUDGETS OF \$1,000,000
AND GREATER**

(For Information Only)

DATE: APRIL 6, 2015

This is to request that the following proposal be submitted to the Property and Facilities Committee and included on the agenda of the Board of Trustees meeting scheduled for April 17, 2015.

Proposal:

Consistent with standing practice, it is proposed that the current status report of new construction/renovation/infrastructure projects with budgets greater than \$1,000,000 be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

Review and Consultation:

The Board of Trustees at its meeting on June 4, 2001, requested that it receive a regular update on the financial status of Board approved projects. The attached list includes projects at Auburn University and outlying units.

Rationale for Recommendation:

Consistent with the request of the Board of Trustees for a current status report of new construction/renovation/infrastructure projects with budgets greater than \$1,000,000, the attached listing is provided, *for information only*, to the Board through the Property and Facilities Committee for inclusion on the agenda of the meeting scheduled for April 17, 2015.

Auburn University Facilities Management

Current Capital Projects

(Spending across Multiple Years)

Summary of Cash Flow by Project Phase

<i>Project Phase</i>	<i>Previous Approved Budget Amount</i>	<i>Current Approved Budget Amount</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Cash Flow Assuming Remainder of Budget for FY2015</i>	<i>Estimated Cash Flow Assuming Remainder of Budget for FY2016 & Forward</i>
Substantial Completion	269,247,620	245,640,000	240,433,709	4,852,095	0 *
Construction	3,600,000	18,873,000	1,758,482	13,220,207	3,894,311
Design	47,099,168	43,526,168	4,533,974	6,100,000	32,892,194
Planning	2,053,127	2,907,855	558,655	1,615,014	734,186
Totals	321,999,915	310,947,023	247,284,820	25,787,316	37,520,691 *
Other Open Capital Projects	44,906,801	47,526,685	12,863,496	17,400,000	17,263,189
Grand Totals	366,906,716	358,473,708	260,148,316	43,187,316	54,783,880 *

* On the lines with the asterisks, the sum of the spending column and both cash flow columns does not equal the current approved budget figure. The reason relates to three projects that were completed under budget: (1) "Telfair Peet Theatre Building Addition" project under budget by \$65,583. (2) "Facilities Buildings 6, 7 and Related Projects" project under budget by \$28,737. (3) "Olympic Sport Training & Support Facility Building Renovations" project under budget by \$259,876.

Current Capital Projects

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	(A)		AU Bond Funding	Federal/ State or Local Funding	(B)		Estimated Cash Flow Assuming Remainder of Budget for FY2016 & Forward	(C)	(A)-(B)-(C)	
			Current Approved Budget Amount	AU Funding (includes gifts/grants)			Actual Spending to Date (across multiple years)	Estimated Cash Flow Assuming Remainder of Budget for FY2015		Current Encumbrances Against Project	Current Open Balance (Budget less Actuals and Encumbrances)	
SUBSTANTIAL COMPLETION PHASE												
Telfair Peet Theatre Building Addition 09-204	Completed	3,300,000	3,990,000	3,990,000			3,924,417	0	0		65,583	*
Olympic Sport Training & Support Facility Building Renovations 11-131	Completed	3,500,000	3,500,000		3,500,000		3,240,124	0	0	0	259,876	*
Facilities Buildings 6, 7 and Related Projects 07-054 & 10-49	Completed	7,500,000	7,500,000	4,323,980		3,176,020	7,471,263	0	0	0	28,737	*
Student Recreation & Wellness Center Building (07-225)	Substantial Completion	72,000,000	72,000,000	5,000,000	67,000,000		70,961,044	1,038,956	0	525,299	513,657	
Small Animal Teaching Hospital (09-083.1 Ph I, 09-083 Ph II)	Substantial Completion	70,000,000	74,000,000	30,000,000	34,000,000	10,000,000	72,868,945	1,131,055	0	876,208	254,847	
Plainsman Park - Team Support Facilities Renovations (12-073)	Substantial Completion	1,200,000	1,200,000	1,200,000			1,107,540	92,460	0	7,300	85,160	
South Donahue Residence Hall, Dinning, Biggio Parking Facility 10-217	Substantial Completion	73,600,000	75,200,000	6,600,000	68,600,000		73,371,564	1,828,436	0	529,088	1,299,348	
Lowder Hall - East Courtyard, New Student Lounge (12-013)	Substantial Completion	1,400,000	1,400,000	1,400,000			1,254,415	145,585	0	84	145,501	
East Glenn Administrative Support Facility - Comprehensive Renovation 13-150	Substantial Completion	3,750,000	3,750,000	3,750,000			3,592,817	157,183	0	92,928	64,255	
Samford Park At Toomer's Corner - Landscape Redevelopment 13-109	Substantial Completion	900,000	900,000	900,000			877,040	22,960	0	20,053	2,907	

Current Capital Projects

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>		<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i>		<i>Estimated Cash Flow Assuming Remainder of Budget for FY2016 & Forward</i>	<i>(C)</i>	<i>(A)-(B)-(C)</i>	
			<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>			<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Cash Flow Assuming Remainder of Budget for FY2015</i>		<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>	
Foy Hall - Convert Loading Dock Area Into A New Dining Facility 13-180	Substantial Completion	1,600,000	2,200,000	2,200,000			1,764,540	435,460	0	249,144	186,316	
Total Substantial Completion			245,640,000	59,363,980	173,100,000	13,176,020	240,433,709	4,852,095	0	2,300,104	2,906,187	*

Current Capital Projects

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>		<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i>		<i>Estimated Cash Flow Assuming Remainder of Budget for FY2016 & Forward</i>	<i>(C)</i>	<i>(A)-(B)-(C)</i>
			<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>			<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Cash Flow Assuming Remainder of Budget for FY2015</i>		<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>
CONSTRUCTION PHASE											
Pebble Hill Renovation 06-176	Construction	2,500,000	2,750,000	2,750,000			624,152	1,700,678	425,170	1,423,320	702,528
Woodfield Drive - Roadway Extension To New VCOM Site & Other Site Improvements 14-052	Construction	1,400,000	1,400,000	1,400,000			1,049,194	350,806	0	234,239	116,567
Facilities Mgmt Complex - New Facility For Waste Reduction, Mail Services & Service Support 13-194	Construction	823,000	823,000	823,000			61,701	761,299	0	9,915	751,384
Jordan-Hare Stadium - South Side, Provide A New Score & Video Board System 14-286	Construction	13,900,000	13,900,000	13,900,000			23,435	10,407,424	3,469,141	739,241	13,137,324
Total Construction			18,873,000	18,873,000	0	0	1,758,482	13,220,207	3,894,311	2,406,715	14,707,803

Current Capital Projects

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>		<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i>		<i>Estimated Cash Flow Assuming Remainder of Budget for FY2016 & Forward</i>	<i>(C)</i>	<i>(A)-(B)-(C)</i>
			<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>			<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Cash Flow Assuming Remainder of Budget for FY2015</i>		<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>
DESIGN PHASE											
Ag Heritage Park 98-333	Construction and Design Future Projects	2,666,320	2,666,320	2,666,320			1,427,581	0	1,238,739	0	1,238,739
Hill Residence Halls - Building Renovations & Upgrades 10-155	Design (Partial budget Phase I only at this point)	15,859,848	15,859,848	13,373,258	2,486,590		1,417,684	100,000	14,342,164	1,909,728	12,532,436
Mell Classroom Building 11-209	Design	25,000,000	25,000,000	21,500,000	3,500,000		1,688,709	6,000,000	17,311,291	1,076,350	22,234,941
Total Design			43,526,168	37,539,578	5,986,590	0	4,533,974	6,100,000	32,892,194	2,986,078	36,006,116

Current Capital Projects

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	(A)		AU Bond Funding	Federal/ State or Local Funding	(B)		Estimated Cash Flow Assuming Remainder of Budget for FY2016 & Forward	(C)	(A)-(B)-(C)	
			Current Approved Budget Amount	AU Funding (includes gifts/grants)			Actual Spending to Date (across multiple years)	Estimated Cash Flow Assuming Remainder of Budget for FY2015		Current Encumbrances Against Project	Current Open Balance (Budget less Actuals and Encumbrances)	
PLANNING PHASE												
Student Activities Center - Comprehensive Renovation 13-110	Schematic Design (Partial budget only at this point)	150,000	150,000	150,000			96,166	53,834	0	20,818	33,016	
Band Rehearsal Hall Facility (phase II) 09-183	Schematic Design (Partial budget only at this point)	230,000	230,000	230,000			228,528	1,472	0	207	1,265	
Athletics Sports Medicine Facility - New Building & Relocation from BEMC 12-132	Schematic Design (Partial budget only at this point)	75,000	75,000		75,000		11	74,989	0	50,000	24,989	
AU Regional Airport - Aviation Education Facility 13-285	Schematic Design (Partial budget only at this point)	120,355	120,355	120,355			79,702	40,653	0	10,300	30,353	
Graduate Business Education Bldg 14-044	Schematic Design (Partial budget only at this point)	650,000	650,000	650,000	0	0	122,221	100,000	427,779	19,421	508,358	
Cater Hall Repair & Renovation 14-220	Schematic Design (Partial budget only at this point)	715,000	715,000	715,000			384	535,962	178,654	0	714,616	
Samford Park Redevelopment: Phase II 14-257	Schematic Design	900,000	900,000	900,000			22,247	750,000	127,753	66,164	811,589	
Auburn Arena Volleyball Support Improvements 14-273	Planning (Partial budget only at this point)	67,500	67,500	67,500			9,396	58,104		58,104	0	
Total Planning			2,907,855	2,832,855	75,000	0	558,655	1,615,014	734,186	225,014	2,124,186	

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

			(A)				(B)				(C)	(A)-(B)-(C)
<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>	<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Cash Flow Assuming Remainder of Budget for FY2015</i>	<i>Estimated Cash Flow Assuming Remainder of Budget for FY2016 & Forward</i>		<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>
Other Open Capital Projects	Various Stages		47,526,685	42,541,989	4,682,194	302,502	12,863,496	17,400,000	17,263,189		0	34,663,189
GRAND TOTAL			358,473,708	161,151,402	183,843,784	13,478,522	260,148,316	43,187,316	54,783,880		7,917,911	90,407,481 *

* On the lines with the asterisks, the sum of the spending column and both cash flow columns does not equal the current approved budget figure. The reason relates to three projects that were completed under budget: (1) "Telfair Peet Theatre Building Addition" project under budget by \$65,583. (2) "Facilities Buildings 6, 7 and Related Projects" project under budget by \$28,737. (3) "Olympic Sport Training & Support Facility Building Renovations" project under budget by \$259,876.



TO: JAY GOGUE, President
THROUGH: DONALD L. LARGE, Executive Vice President
FROM: DAN KING, Associate Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**QUARTERLY REPORT FOR PROJECTS COSTING MORE THAN
\$500,000 BUT LESS THAN \$1,000,000 – 2nd QTR FISCAL YEAR 2015**
(For Information Only)

DATE: APRIL 6, 2015

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda of the meeting scheduled for April 17, 2015.

Proposal:

The Board of Trustees, at its meeting on April 11, 2014, adopted a resolution stipulating that all projects with a total cost in the range of \$500,000 to \$1,000,000 be reported quarterly. The intent of this report is to keep the Property and Facilities Committee informed of those projects as they are occurring on campus. Consistent with standing policy, it is proposed that this report be submitted for information only.

Review and Consultation:

Projects initiated in the 2nd Quarter of Fiscal Year 2015 and costing more than \$500,000 but less than \$1,000,000 are listed in the following table.

2nd Quarter FY 2015 Projects \$500,000 - \$1,000,000	Project Number	Account Number	Cost
Jordan-Hare Stadium – Elevator Modernization & Upgrades	14-232	922385-102048-P100	\$567,179

Rationale for Recommendation:

Consistent with standing policy, this report is submitted to the Board of Trustees through the Property and Facilities Committee for information at the meeting scheduled for April 17, 2015.



AUBURN UNIVERSITY

OFFICE OF THE PRESIDENT

March 13, 2015

MEMORANDUM TO: President Jay Gogue

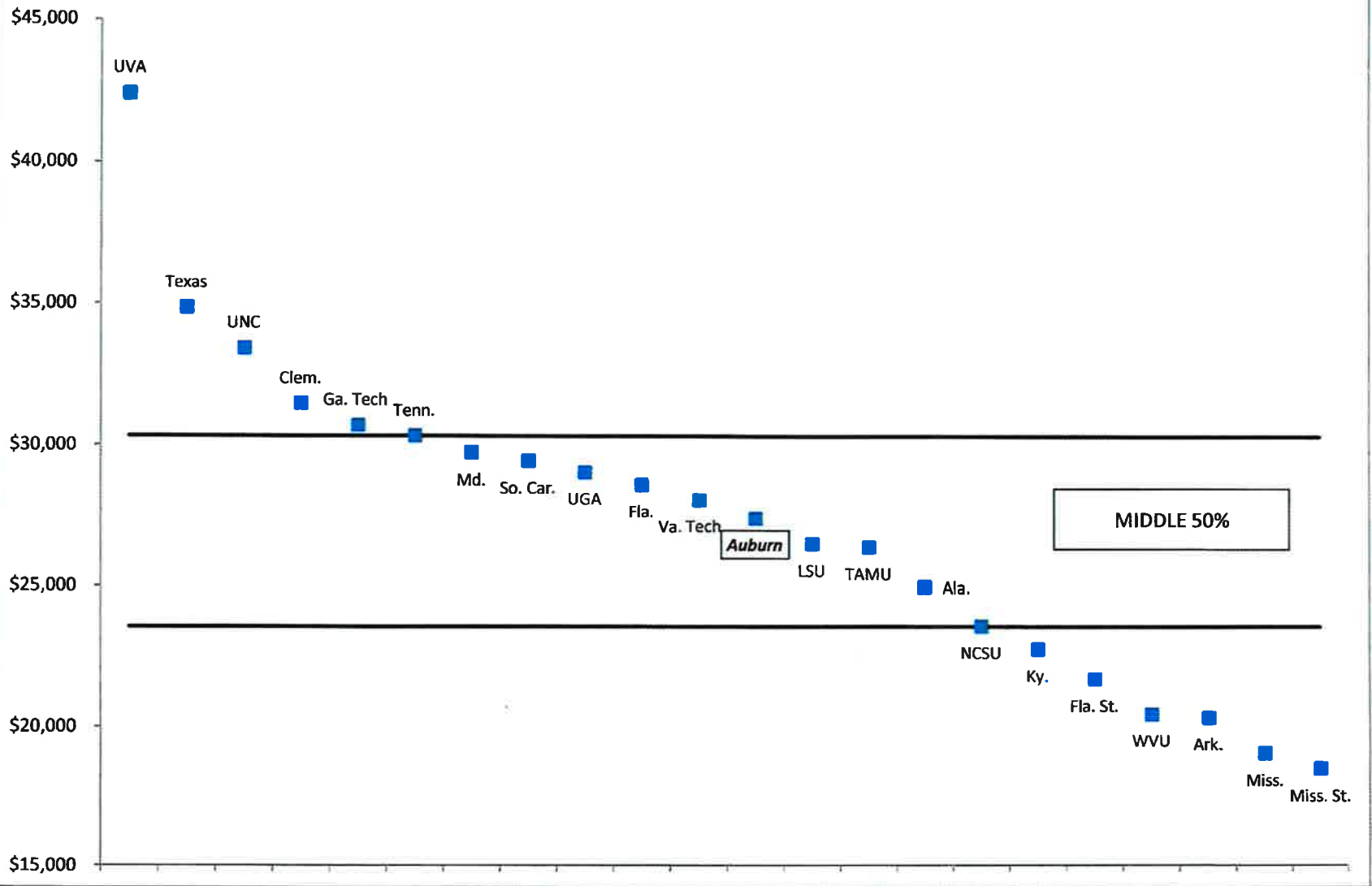
FROM: Donald L. Large, Jr. 

SUBJECT: Board of Trustees Agenda Item

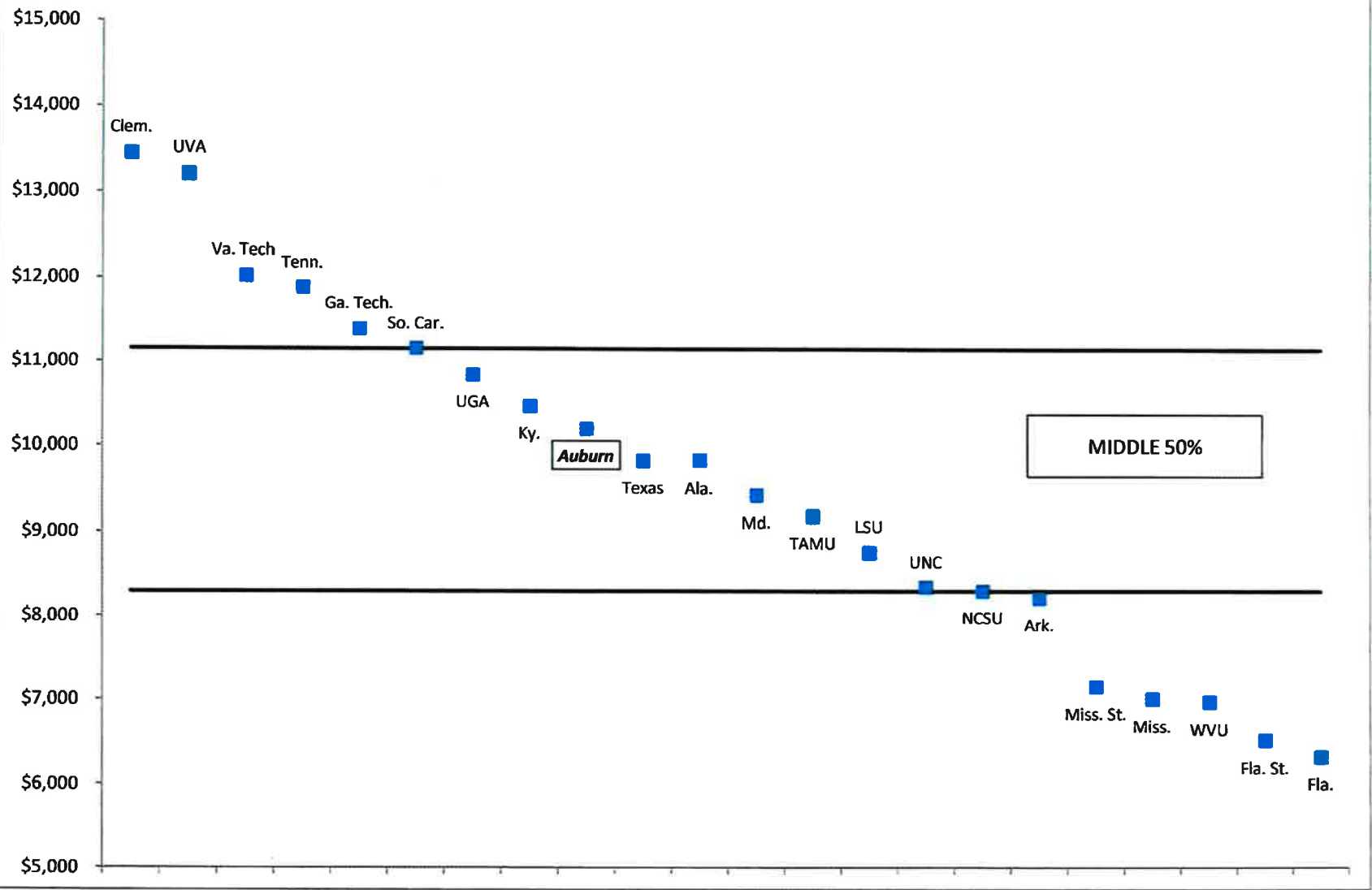
- Tuition Discussions for Fall 2015 for Auburn University and Auburn University at Montgomery

Please allow time for a Finance Committee discussion of our current tuition and appropriate tuition rates for Fall 2015. We are currently developing information for the Board to review and consider for the FY 2016 budget that will begin October 1, 2015. It is likely that additional operating revenues may be required to address increasing operating costs and Board of Trustee and Administration priorities. Additional tuition revenues would likely be the source to address at least some of these priorities. We will be prepared to present information at the upcoming meeting for discussion and consideration of Fall tuition levels. Please find attached certain comparative data relative to peer groups for Auburn University and Auburn University at Montgomery that may be helpful in our discussions and decision making.

Annual Published Undergraduate Tuition & Fees, Non-Resident Students Auburn University and SREB Peers, 2014-15



Annual Published Undergraduate Tuition & Fees, Resident Students Auburn University and SREB Peers, 2014-15



Alabama Public Senior Institutions

GRADUATE TUITION AND FEE CHARGES

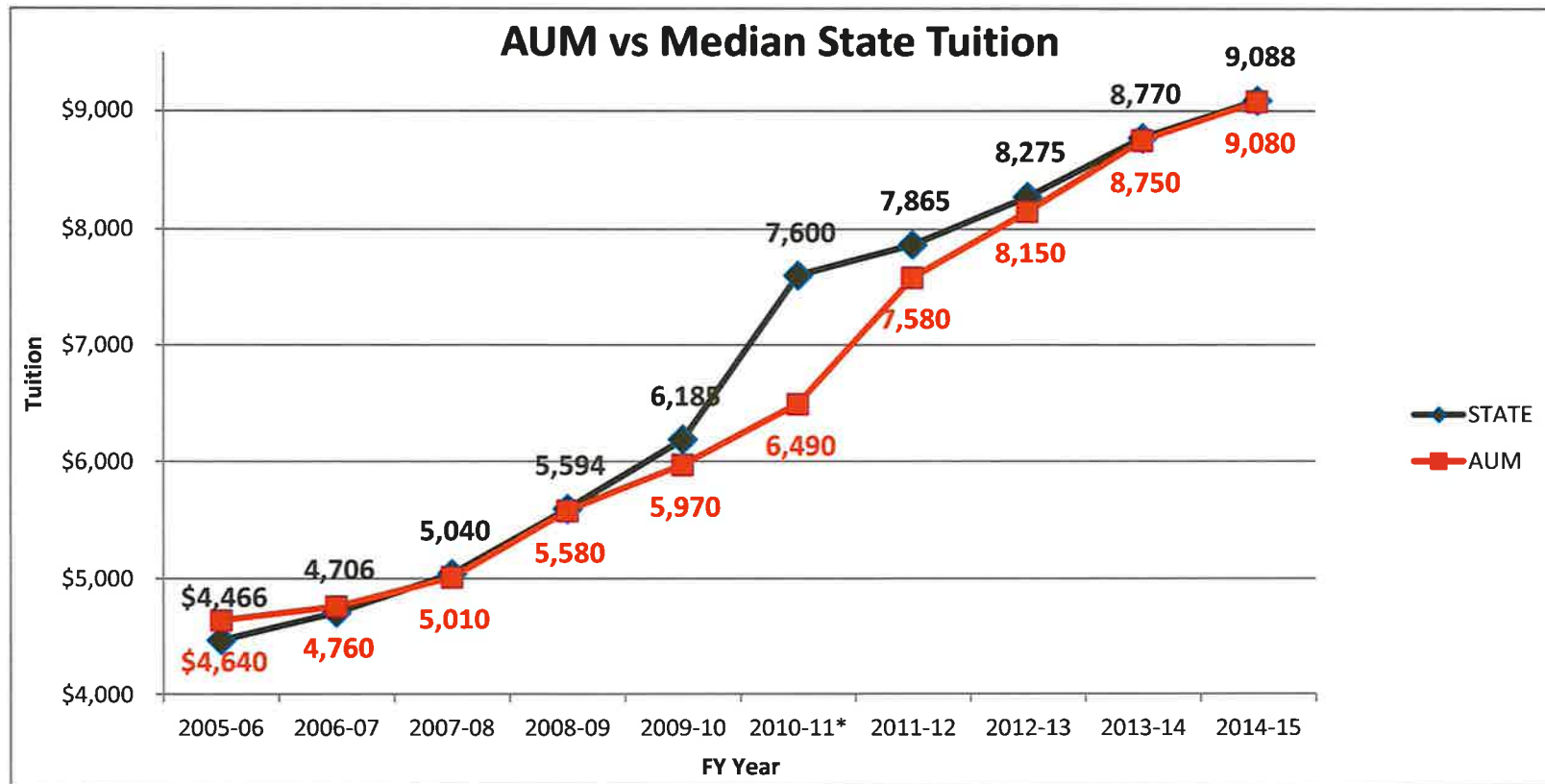
2014-2015

<u>Institutions</u>	<u>Annual Tuition & Fees</u>		<u>Resident Rank</u>
	<u>Resident</u>	<u>Non-Resident</u>	
Alabama A&M	\$10,716	\$19,836	1
Auburn University	\$10,194	\$27,366	2
Alabama State University	\$10,016	\$18,248	3
University of Alabama	\$9,826	\$24,950	4
Troy University	\$9,796	\$18,556	5
University of Montevallo	\$9,352	\$18,568	6
University of Alabama at Birmingham	\$9,310	\$21,286	7
University of South Alabama	\$9,288	\$18,576	8
University of Alabama at Huntsville	\$9,180	\$21,232	9
<i>Auburn University Montgomery</i>	\$9,002	\$19,442	10
Jacksonville State University	\$8,676	\$17,052	11
University of North Alabama	\$8,179	\$15,067	12
University of West Alabama	\$8,156	\$16,172	13

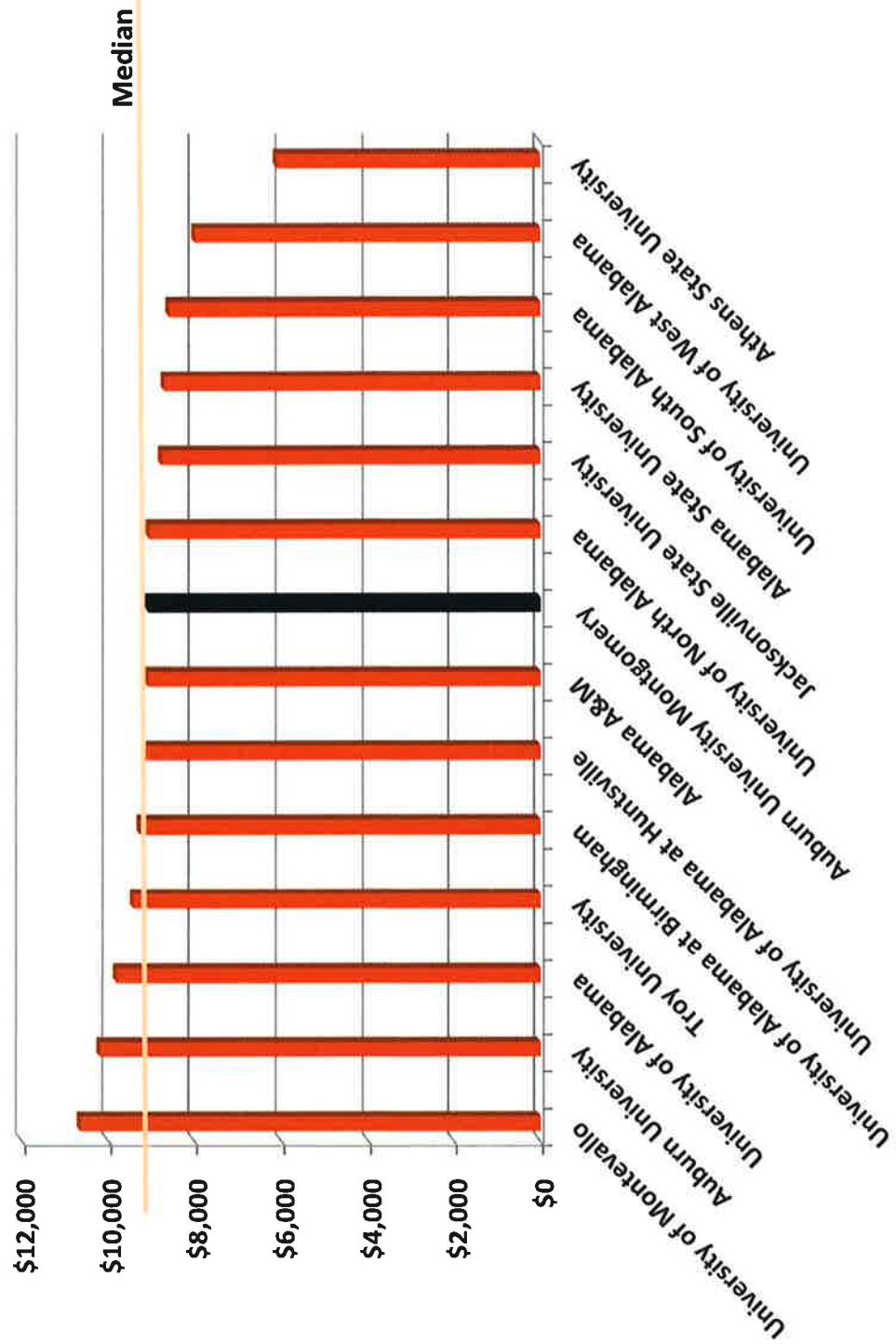
UNDERGRADUATE TUITION and FEES

COMPARISON OF TUITION & FEE CHANGES AUM & ACHE PUBLIC FOUR-YEAR INSTITUTIONAL 2005-06 TO 2014-15

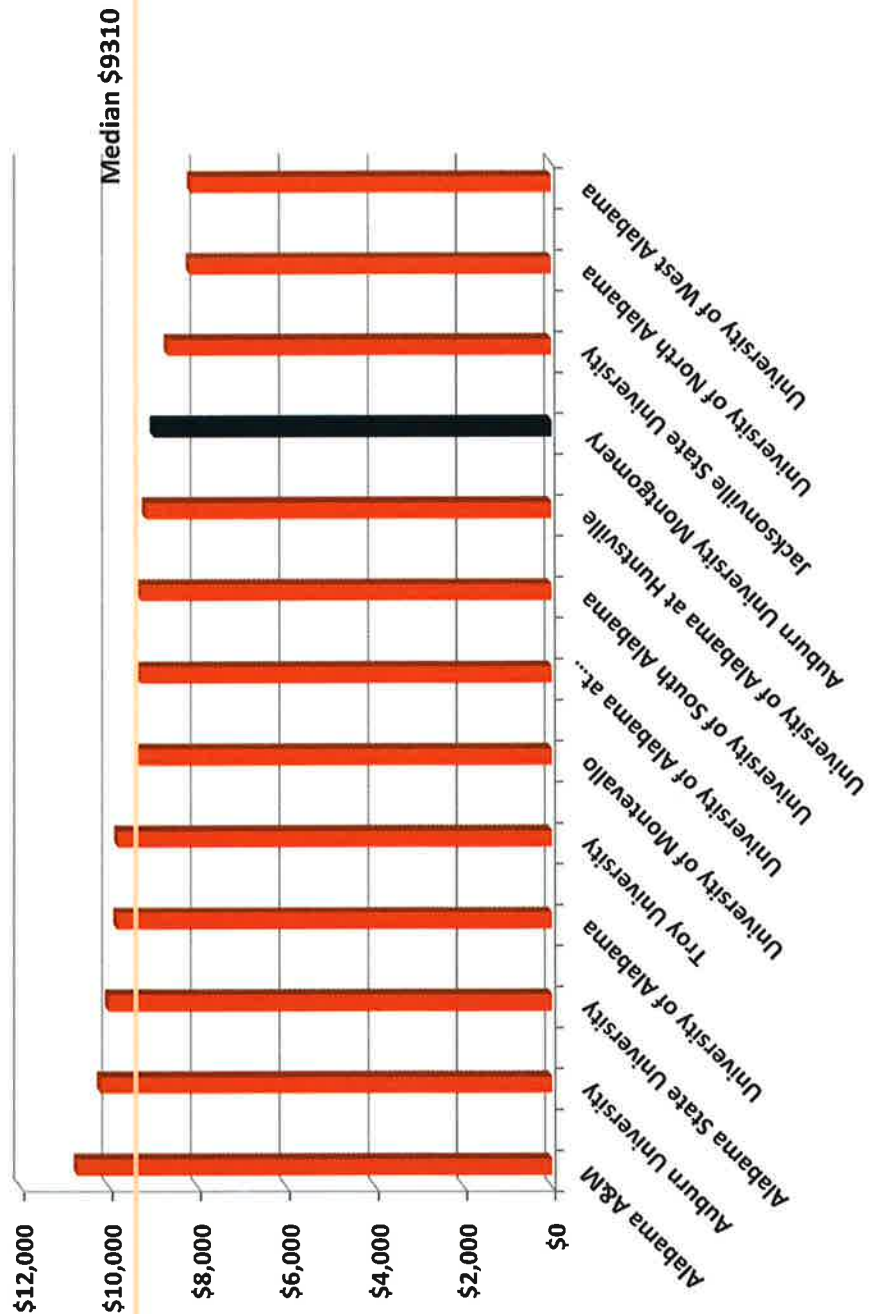
	<u>PUBLIC 4 YR Resident</u>		<u>AUM Resident</u>		<u>AUM/ ACHE</u>
	<u>Median</u>	<u>Increase</u>	<u>Actual</u>	<u>Increase</u>	
2005-06	\$ 4,466	16.2%	\$ 4,640	12.3%	103.9%
2006-07	4,706	5.4%	4,760	2.6%	101.1%
2007-08	5,040	7.1%	5,010	5.3%	99.4%
2008-09	5,594	11.0%	5,580	11.4%	99.7%
2009-10	6,185	10.6%	5,970	7.0%	96.5%
2010-11*	7,600	22.9%	6,490	8.7%	85.4%
2011-12	7,865	3.5%	7,580	16.8%	96.4%
2012-13	8,275	5.2%	8,150	7.5%	98.5%
2013-14	8,770	6.0%	8,750	7.4%	99.8%
2014-15	9,088	3.6%	9,080	3.8%	99.9%
Average Annual \$ Increase	513	10.1%	\$ 493	8.3%	



AUM Annual Resident Tuition and Fees per ACHE-Undergraduate 2014-15



AUM Annual Resident Tuition and Fees per ACHE-Graduate 2014-15



WHEREAS, the Board of Trustees (the "Board") of Auburn University has previously approved the construction, installation and/or design of the new buildings and other facilities described in the "Auburn University Capital Projects Program 2015-2020" attached as Exhibit A to this resolution, together with other associated infrastructure (collectively, the "Facilities");

WHEREAS, the Facilities are estimated to cost approximately \$617 million;

WHEREAS, the Board expects to finance a portion of the costs of the Facilities through the issuance of tax-exempt bonds in an amount up to \$506 million;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF AUBURN UNIVERSITY that it does hereby express its official intent (i) to issue tax-exempt bonds in a principal amount not to exceed \$506 million to pay or reimburse costs for the Facilities, and (ii) to issue such additional amount of bonds, if any, as may be necessary to fund any required reserve for the bonds, to pay or reimburse interest expense during the acquisition and construction period for the Facilities (including the interest expense on any interim borrowing for such Facilities), and to pay the costs of financing the Facilities (including any original issue discount and any premium or fees for credit enhancement). Such bonds may be issued as traditional tax-exempt bonds or in such other form as may subsequently be determined. This resolution is intended to allow the Board of Trustees to finance costs of the Facilities incurred prior to the date of adoption of this resolution, to the extent permitted by applicable federal tax regulations, as well as costs paid after the date of adoption of this resolution.

Auburn University Capital Projects Program 2015-2020

March 2 2015

\$505 Million Bond Borrowing

Priority	Project Title	Estimated Project Cost (\$M)	Gift or Other Funding	Bond Funding	2015 Execution	2016 Execution	2017 Execution	2018 Execution	Funding Source
Academic/Administrative--To Be Funded by Bond Borrowing									
1	Mell Classroom Building	\$ 25.0	\$ -	\$ 25.0	\$ 10.0	\$ 15.0			Bonds
2	Academic Classroom and Laboratory Complex	\$ 75.0	\$ -	\$ 75.0	\$ 5.0	\$ 5.0	\$ 35.0	\$ 30.0	Bonds
	Leach Hall Addition	\$ 10.0	\$ -	\$ 10.0	\$ 1.0	\$ 9.0			Bonds
3	New School of Nursing Facility	\$ 24.0	\$ -	\$ 24.0	\$ 3.0	\$ 21.0			Tuition & Bonds
4	New College of Education Building	\$ 35.0	\$ 5.0	\$ 30.0		\$ 3.0	\$ 16.0	\$ 16.0	Gifts & Bonds
	Subtotal	\$ 169.0	\$ 5.0	\$ 164.0	\$ 19.0	\$ 53.0	\$ 51.0	\$ 46.0	
	Annual Debt Service								
Academic/Administrative--To Be Funded by College/School Gifts or Other Funds									
	Food Animal Research Facility	\$ 3.5	\$ 3.5	\$ -		\$ 3.5			School of Pharm
	Gavin Engineering Research Laboratory Renovation	\$ 14.0	\$ 8.0	\$ 6.0	\$ 6.0	\$ 8.0			Gifts/R&R
	Pharmaceutical Research Facility	\$ 15.0	\$ 15.0	\$ -					School of Pharm
	Harbert College of Business-Graduate Business Building	\$ 25.0	\$ 25.0	\$ -			\$ 3.0	\$ 22.0	Gifts
	Engineering Achievement Facility	\$ 30.0	\$ 30.0	\$ -			\$ 4.0	\$ 26.0	Gifts
	Subtotal	\$ 87.5	\$ 81.5	\$ 6.0	\$ 6.0	\$ 11.5	\$ 7.0	\$ 48.0	
Athletics Department									
	Jordan-Hare Stadium Scoreboard Replacement	\$ 13.9		\$ 13.9	\$ 13.9				Athletics
	Auburn Arena Volleyball Support Improvements	\$ 1.2	\$ 1.2		\$ 1.2				Athletics
	Jordan-Hare Stadium Improvements	\$ 145.0		\$ 145.0	\$ 10.0	\$ 75.0	\$ 60.0		Athletics
	Softball Facility	\$ 15.0	\$ 10.0	\$ 5.0	\$ 3.0	\$ 12.0			Athletics
	Athletics Department Administration Complex	\$ 30.0		\$ 30.0				\$ 30.0	Athletics
	Sports Medicine Facility	\$ 25.0		\$ 25.0		\$ 5.0	\$ 20.0		Athletics
	Subtotal	\$ 230.1	\$ 11.2	\$ 218.9	\$ 28.1	\$ 92.0	\$ 80.0	\$ 30.0	
Student Housing and Dining--Auxiliaries									
	Hill Residence Hall-4 Phases	\$ 56.0	\$ 14.0	\$ 42.0		\$ 14.0	\$ 14.0	\$ 14.0	Auxiliaries
	Terrell Hall Replacement	\$ 10.0		\$ 10.0				\$ 10.0	Auxiliaries
	New Student Housing	\$ 50.0		\$ 50.0				\$ 50.0	Auxiliaries
	AUM Residence Hall	\$ 15.0	\$ -	\$ 15.0	\$ 8.0	\$ 7.0			
	Subtotal	\$ 131.0	\$ 14.0	\$ 117.0	\$ 8.0	\$ 21.0	\$ 14.0	\$ 74.0	
Total		\$ 617.6	\$ 111.7	\$ 505.9	\$ 61.1	\$ 177.5	\$ 152.0	\$ 198.0	
Total Annual Debt Service/Yr @ 4.00% Interest for 25 Years				\$ 32.2					
General Fund/Academic Annual Debt Service/Yr @ 4.00% Interest for 25 Years				\$ 10.4					



AUBURN UNIVERSITY
OFFICE OF THE PRESIDENT

March 16, 2015

MEMORANDUM TO: President Jay Gogue

FROM: Donald L. Large, Jr. 

SUBJECT: Board of Trustees Agenda Item

– Bond Reimbursement Resolution

Please find attached a proposed Bond Reimbursement Resolution for presentation to the Board of Trustees at the April Board meeting. The purpose of this resolution is simply to allow Auburn University to reimburse itself with future bond proceeds should expenditures occur on any of the projects noted therein. We may, or may not, choose to reimburse ourselves but having this Board approved Resolution will allow us to do so if desired.

Another key point of this resolution is that it provides an all-inclusive list of possible projects, some of which may not materialize. Again, this is done just to provide flexibility of reimbursement to Auburn University at a later date if the Board ultimately approves the project (s) and disbursements occur prior to receiving any bond proceeds. The proposed Bond Resolution has been prepared by our outside bond counsel and has been reviewed our General Counsel and my office.

I would appreciate this proposed reimbursement resolution be forwarded for inclusion in the Board material for the upcoming Board meeting. Should you have any questions, I would be happy to discuss.

pah

Enclosure

FINANCE COMMITTEE

RESOLUTION

AUTHORIZING THE ISSUANCE OF REVENUE REFUNDING BONDS OF AUBURN UNIVERSITY

WHEREAS, it is desirable and appropriate for Auburn University (the “University”) to issue its General Fee Revenue Bonds from time to time for the purpose of refunding and paying certain of its prior outstanding bonds and thereby achieving an overall interest rate expense savings to the University; and

WHEREAS, in order to manage the University’s refunding opportunities in the most efficient manner possible and to permit the University to take advantage of changing market conditions, it is desirable and appropriate to authorize the officers of the University to arrange for the issuance of refunding bonds without further approval of the Board of Trustees, subject to the limitations expressed in this resolution.

THEREFORE BE IT RESOLVED by the Board of Trustees as follows:

Section 1. Findings. The following bonds of the University are currently outstanding:

- (i) General Fee Revenue Bonds, Series 2006-A, dated November 1, 2006 and not previously refunded, issued under the Eleventh Supplemental General Fee Indenture dated as of November 1, 2006;
- (ii) General Fee Revenue Bonds, Series 2007-A and Series 2007-B (Taxable) dated November 1, 2007 and not previously refunded, issued under the Twelfth Supplemental General Fee Indenture dated as of December 1, 2007;
- (iii) General Fee Revenue Bonds, Series 2008, dated September 1, 2008, issued under the Thirteenth Supplemental General Fee Indenture dated as of September 1, 2008;
- (iv) General Fee Revenue Bonds, Series 2009, dated December 29, 2009, issued under the Fourteenth Supplemental General Fee Indenture dated as of December 1, 2009;
- (v) General Fee Revenue Bonds, Series 2011-A, dated May 1, 2011, issued under the Fifteenth Supplemental General Fee Indenture dated as of May 1, 2011;

- (vi) General Fee Revenue Bonds, Series 2012-A and Series 2012-B (Taxable), dated March 27, 2012, issued under the Sixteenth Supplemental General Fee Indenture dated as of March 1, 2012;
- (vii) General Fee Revenue Bonds, Series 2014-A, dated July 1, 2014, issued under the Seventeenth Supplemental General Fee Indenture dated as of July 1, 2014;
- (viii) General Fee Revenue Bonds, Series 2015-A, dated March 18, 2015, issued under the Eighteenth Supplemental General Fee Indenture dated as of March 1, 2015;
- (ix) Athletic Revenue Bonds, Series 2001-A, dated December 1, 2001; and
- (x) Dormitory Revenue Bonds, Series 1978.

The bonds described in (i) – (x) above are herein referred to as the “Outstanding Bonds.”

Section 1. Authorization of Bonds. The University is hereby authorized to issue its revenue bonds for the purpose of refunding any or all of the Outstanding Bonds. The said bonds shall be issued under the terms, conditions and provisions set out in the General Fee Revenue Trust Indenture dated as of June 1, 1985 between the University and The Bank of New York Mellon Trust Company, N.A., as successor trustee (herein called the “Trustee”), as heretofore supplemented, and as further supplemented by the Supplemental General Fee Revenue Indentures (the “Supplemental General Fee Indentures”) provided for in Section 5 of this resolution (the original General Fee Revenue Trust Indenture, as so supplemented being herein referred to as the “General Fee Revenue Indenture”). The bonds herein authorized (the “Bonds”) may be issued at such time or times and in such series as may be most advantageous to the University, subject to the provisions of Section 11 of this resolution. The Outstanding Bonds to be refunded by the Bonds are hereinafter referred to as the “Refunded Bonds.”

All the provisions of the General Fee Revenue Indenture, as applicable to the Bonds, are hereby adopted as a part of this resolution as if set out at length herein.

Section 2. Bonds to be Issued as Additional Parity Bonds; Special Findings. The Bonds shall be issued as additional parity bonds under Article VIII of the General Fee Revenue Indenture.

In accordance with the provisions of Section 8.2(b) of the General Fee Revenue Indenture, the Board hereby finds and declares as follows:

(a) The University is not now in default under the General Fee Revenue Indenture and no such default is imminent.

(b) Bonds the interest on which is excludable from gross income for purposes of federal income taxation shall be designated “General Fee Revenue

Refunding Bonds, Series ____". If it is necessary and appropriate to issue a portion of the Bonds as bonds the interest on which is taxable for purposes of federal income taxation, such bonds shall be designated "General Fee Revenue Refunding Bonds, Series ____ (Taxable)". The series designation shall be completed to reflect the calendar year in which the Bonds are issued and to provide any further identification of the Bonds as is appropriate.

(c) The persons to whom the Bonds are to be delivered are set forth in Sections 6 and 8 hereof.

(d) All of the Bonds are to be issued by sale in accordance with Section 6 hereof.

(e) The sale price of the Bonds shall be as set forth in Sections 6 and 11 hereof.

(f) The only parity bonds that have previously been issued by the University under the General Fee Revenue Indenture and that are currently outstanding are those bonds listed in (i) – (viii) of Section 1 above.

(g) The Refunded Bonds are to be refunded from proceeds of the Bonds, subject to the determinations and conditions set forth in Sections 10 and 11 hereof.

The Trustee is hereby requested to authenticate and deliver the Bonds to the purchaser specified in Section 6 hereof upon payment of the purchase price designated therein.

Section 3. Source of Payment of the Bonds. The principal of and the interest on the Bonds shall be payable from (i) the gross revenues from those general tuition fees levied against students at the Auburn, Alabama campus and the Montgomery, Alabama campus of the University that are more particularly described and referred to as "General Fees" in the General Fee Indenture; (ii) the gross revenues derived by the University from the operation of its housing and dining facilities located on the Auburn campus and on the Montgomery campus that are more particularly described and referred to as "Housing and Dining Revenues" in the General Fee Indenture; (iii) the gross revenues derived by the University from the operation of its athletics programs that are more particularly described and referred to as "Athletic Fee Revenues" in the General Fee Indenture; and (iv) the several student fees levied against students at the Auburn campus and the Montgomery campus that are more particularly described and referred to as the "Pledged Student Fees" in the General Fee Indenture. The said General Fees, Housing and Dining Revenues, Athletic Fee Revenues, and Pledged Student Fees are referred to herein as the "Pledged Revenues."

Nothing contained in this resolution, in the Bonds, in the General Fee Revenue Indenture, or in the supplemental indentures hereinafter authorized shall be deemed to impose any obligations on the University to pay the principal of or the interest on the Bonds except from the Pledged Revenues. Neither the Bonds, nor the pledge or any agreement contained in the General

Fee Revenue Indenture, in any supplemental indenture or in this resolution shall be or constitute an obligation of any nature whatsoever of the State of Alabama, and neither the Bonds nor any obligation arising from the aforesaid pledge or agreements shall be payable out of any moneys appropriated to the University by the State of Alabama. The agreements, covenants or representations contained in this resolution, in the Bonds, in the General Fee Revenue Indenture, and in any supplemental indenture do not and shall never constitute or give rise to any personal or pecuniary liability or charge against the general credit of the University, and in the event of a breach of any such agreement, covenant or representation, no personal or pecuniary liability or charge payable directly or indirectly from the general revenues of the University shall arise therefrom. Nothing contained in this section shall, however, relieve the University from the observance and performance of the several covenants and agreements on its part herein contained.

Section 4. Bonds Payable at Par. All remittances of principal of and interest on the Bonds to the holders thereof shall be made at par without any deduction for exchange or other costs, fees or expenses. The bank or banks at which the Bonds shall at any time be payable shall be considered by acceptance of their duties hereunder to have agreed that they will make or cause to be made remittances of principal of and interest on the Bonds, out of the moneys provided for that purpose, in bankable funds at par without any deduction for exchange or other cost, fees or expenses. The University will pay to such bank or banks all reasonable charges made and expenses incurred by them in making such remittances in bankable funds at par.

Section 5. Authorization of Supplemental Indentures. The Board does hereby authorize and direct the President of the University to approve, execute and deliver, for and in the name and behalf of the University, to the Trustee, a Supplemental General Fee Revenue Indenture with respect to each series of the Bonds, and does hereby authorize and direct the Secretary of the Board to affix the official seal of the University to said Supplemental General Fee Revenue Indenture and to attest the same.

Section 6. Sale of the Bonds. The Board does hereby authorize and direct the President of the University to approve, execute and deliver, for and in the name and behalf of the University, one or more Bond Purchase Agreements with respect to each series of Bonds between the University and an underwriter or underwriters (the "Underwriter") approved under Section 11 of this resolution,

Section 7. Authorization of Official Statements. The Board does hereby authorize and direct the Underwriter to prepare and distribute, for and in the name and on behalf of the University, a Preliminary Official Statement and a final Official Statement with respect to each series of Bonds issued under this resolution. The Board does hereby further authorize and direct the President or the Executive Vice President of the University to execute and deliver, for and on behalf of the University, such final Official Statement(s) and does hereby declare that the Official Statement(s) so executed by the President or the Executive Vice President of the University shall be the Official Statement(s) of the University with respect to the Bonds covered by such Official Statement(s).

Section 8. Execution and Delivery of Bonds. The Board does hereby authorize and direct the President of the University to execute the Bonds, in the name and on behalf of the University, by causing his signature or a facsimile thereof to be placed or imprinted on the Bonds, and does hereby authorize and direct the Secretary of the Board to cause a facsimile of the official seal of the University to be imprinted on the Bonds and to attest the same by causing his signature or a facsimile thereof to be placed or imprinted on the Bonds, all in the manner provided in the General Fee Revenue Indenture. The President of the University is hereby further authorized and directed to deliver the Bonds, subsequent to their execution as provided herein to the Trustee, and to direct the Trustee to authenticate all the Bonds and to deliver them to the Underwriter, upon payment to the University of the purchase price therefor in accordance with the provisions of Sections 6 and 11 hereof.

Section 9. Application of Proceeds. The entire proceeds derived by the University from the sale of the Bonds shall be paid to the Trustee under the General Fee Revenue Indenture. The Trustee is thereupon authorized and directed to apply and disburse such moneys for the purposes and in the order specified in the Supplemental General Fee Indentures.

Section 10. Redemption of Refunded Bonds; Authorization of Escrow Trust Agreement. Any series of Outstanding Bonds to be refunded by the Bonds or any series of the Bonds shall be called for redemption on the first date permitted for the call and redemption of such Outstanding Bonds subsequent to the date of issuance of the Bonds, at and for a redemption price equal to 100% of the principal amount of each bond so redeemed, plus accrued interest. The President and the Executive Vice President of the University are separately authorized to direct the Trustee to mail and/or publish notice of such redemption as required under the terms of the General Fee Revenue Indenture. Any such redemption notice mailed or published prior to the date of issuance of the Bonds shall provide that the call of the affected Refunded Bonds for redemption is contingent upon the issuance and sale of the Bonds.

Pursuant to Section 6.1(a) of the General Fee Revenue Indenture, the Board hereby confirms that the University is not in default under said indenture.

The Board hereby authorizes and directs the President of the University to approve, execute and deliver in the name and on behalf of the University an Escrow Trust Agreement or Agreements, between the University and the Trustee, if necessary or desirable, with respect to each series of Refunded Bonds to provide for the escrow and investment of proceeds of the Bonds until the redemption date of the Refunded Bonds.

Section 11. Authorization to Approve Certain Matters. The Board has determined that it is in the best interest of the University to authorize the issuance of the Bonds for the purposes described in this resolution and subject to the limitations of this resolution without a further meeting or approval of the Board. The Board does hereby authorize Dr. Donald Large, the Executive Vice President of the University and the Chairman of the Finance Committee of the Board:

(a) to determine when and if any Bonds shall be issued and to approve the schedule of issuance for each series of Bonds; provided that no Bonds shall be issued under the authority of this resolution after December 31, 2015;

(b) to approve the principal amount of the Bonds to be issued in each series and the designation of the Bonds as tax-exempt or taxable Bonds; provided that the aggregate principal amount of each series of Bonds shall not exceed the amount necessary to pay the principal and interest on the Refunded Bonds (taking into account any original issue premium or discount) and the costs of issuing the Bonds;

(c) to determine which of the Refunded Bonds are to be refunded and redeemed by the Bonds; provided that any such refunding shall result in a minimum net present value savings of at least 3%;

(d) to approve the forms of Supplemental General Fee Indenture(s), Bond Purchase Contract(s), Preliminary Official Statement(s), Official Statement(s) and Escrow Agreement(s) to be delivered in connection with each series of Bonds;

(e) to approve the final form and pricing details of each series of Bonds, including the interest rates to be borne by such Bonds, the principal maturities thereof and any original issue discount or premium with respect to the Bonds; provided that the net interest cost of any series of Bonds shall not exceed 5%;

(f) to approve the expenses of issuing the Bonds; and

(g) to take such other steps and to execute and approve such other documents as may be necessary or appropriate to cause the Bonds to be issued, sold and delivered consistent with the provisions of this resolution and the General Fee Revenue Indenture.

The final approval by the Executive Vice President of the University and the Chairman of the Finance Committee of the Board of the items listed above may be conclusively evidenced by a certificate signed by each of them and delivered at the time of issuance of the Bonds.

Section 12. Resolution Constitutes Contract. The provisions of this resolution shall constitute a contract between the University and each holder of the Bonds.

Section 13. Severability. The various provisions of this resolution are hereby declared to be severable. If any provision hereof shall be held invalid by a court of competent jurisdiction, such invalidity shall not affect any other portion of this resolution.

Section 15. Designation of Professionals. The Board hereby approves and confirms the retention of Public Financial Management, Inc. as financial advisor to the University, the

firm of Balch & Bingham LLP as bond counsel to the University for the issuance of the Bonds, and the firm of Maynard, Cooper Gale, P.C. as counsel to the Underwriter for the issuance of the Bonds.

Section 16. General Authorization. The President of the University, the Executive Vice President of the University and the Secretary of the Board are hereby authorized to execute such further certifications or other documents and to take such other action as any of them may deem appropriate or necessary for the consummation of the matters covered by this resolution, to the end that the Bonds may be executed and delivered at the times and on the terms most advantageous to the University.



AUBURN UNIVERSITY
OFFICE OF THE PRESIDENT

April 8, 2015

MEMORANDUM TO: Jay Gogue
President

FROM: Donald L. Large
Executive Vice President

SUBJECT: Requesting Board of Trustees Approval to Refund Existing Bonds
Under Favorable Market Conditions

Please find attached a proposed resolution that would delegate authority to the Administration to seek bond refundings should favorable market conditions exist up to December 31, 2015. The minimum acceptable parameters are defined in the resolution at a net present value savings of 3%. We would likely, however, only pursue opportunities that exceed 5% net present value. Because of the volatility of market conditions the ability to move quickly when significant savings can be achieved is critical and Board approval of this proposed resolution would be very helpful along those lines. This proposed resolution was prepared by bond counsel and reviewed by AU General Counsel and me.

ACADEMIC AFFAIRS AND FINANCE
COMMITTEES

RESOLUTION

SUPPORT OF MILITARY AND VETERANS

WHEREAS, Auburn University has a long history of support for military service members, exemplified by strong ROTC programs on campus since 1946, by commending active and courageous service of others in the Auburn Creed, and by awarding academic credit for military science courses and experience; and

WHEREAS, Auburn University has a tradition of prominent graduates serving in upper leadership positions in the United States Military who have both represented and served our University and our country with highest honor; and

WHEREAS, Auburn University's Veterans Resource Center is already well equipped with proper resources and knowledge to help students and their families make the transition from military life to civilian life at Auburn; and

WHEREAS, Auburn University has a unique partnership with Walter Reed National Military Medical Center known as Project SERVE that provides Auburn students with an opportunity to learn proper veteran care through hands-on experiences; and

WHEREAS, Auburn University wishes to further recognize the contributions of active duty service members and veterans by awarding academic credit, as appropriate, for certain military training courses as well as by enabling qualified service members, veterans, and their dependents to enroll at tuition rates equivalent to those paid by Alabama residents.

THEREFORE, BE IT RESOLVED that the Auburn University Board of Trustees reaffirms its commitment to the University's awarding of academic credit for certain forms of military courses and training by adopting the "Policy on Military Service Credits" as set forth in Exhibit A; and

BE IT FURTHER RESOLVED that the Board hereby revises the "Student Residency Tuition Policy" to allow qualified military service members, veterans, and their dependents to attend Auburn University at tuition rates equivalent to those paid by Alabama residents, effective Fall Semester 2014, as set forth in Exhibit B.

Exhibit A

Policy on Military Service Credits

Auburn University awards academic credit for courses that are part of an enrolled student's military training or service, provided that the military training credits accepted are at the collegiate level and have resulted in learning outcomes comparable to those students would achieve through the Auburn University's own instruction. In determining the academic credit to be awarded, Auburn University uses as a guideline the standards and recommendations of the Guide to the Evaluation of Educational Experiences in the Armed Services published by the American Council on Education.

ADOPTED: April __, 2015

EXHIBIT B

STUDENT RESIDENCY TUITION POLICY

For the purpose of assessing fees, applicants shall be classified as Alabama or non-Alabama students. Non-Alabama students are required to pay a non-resident tuition fee.

An Alabama student is a person who shall be a citizen of the United States, or a resident alien, and who shall have resided and had habitation, home, and permanent abode in the State of Alabama for at least twelve (12) consecutive months immediately preceding current registration. In applying this regulation, "applicant" shall mean a person applying for admission to the institution, if applicant is married or nineteen (19) years of age, and financially independent. Otherwise, it shall mean parents, parent, or legal guardian of his/her person. If the parents are divorced, residence will be determined by the residency of the parent to whom the court has granted custody.

A person who establishes a guardianship for purpose of avoiding non-Alabama fees will be subject to non-resident tuition.

No person who moves to Alabama for the primary purpose of attending college shall be considered to have demonstrated intent to establish domicile in the State of Alabama and will generally not be considered eligible for classification as a resident student. Clear and convincing evidence to the contrary must be presented to overcome this presumption.

In determining Alabama student status for purposes of assessing fees, the burden of proof is on the applicant.

ADDITIONAL PERSONS ELIGIBLE FOR RESIDENT TUITION

A. Military personnel on active duty stationed in Alabama, their spouses, and dependent children (as defined by Internal Revenue Codes), as well as military personnel whose "Home of Record" is Alabama, who have continuously filed Alabama income tax returns for the duration of their service, and their spouses and dependent children.

B. Non-resident undergraduate students who have been awarded academic, athletic, and/or other scholarship(s) by Auburn University that are at least in the amount of the current resident rate of tuition, graduate students appointed on assistantships of at least one-fourth -time and veterans who live in Alabama consistent with the provisions of Alabama Act 2013-423. Veterans and their spouses and dependents who live in Alabama may qualify for resident tuition under Section 702 of the Veterans Access, Choice and Accountability Act of 2014

C. Full-time employees of a State of Alabama agency or institution, their spouses, and dependent children.

D. Spouse and dependent children of a nonresident, provided the non-resident has been employed in Alabama full-time for at least twelve (12) consecutive months prior to registration, has filed an Alabama Income Tax Return for the tax year prior to the year in which the student is admitted, and did not claim a credit on the Alabama return for income taxes paid to another state.

Non-resident students enrolled in programs included in the Southern Regional Education Board Academic Common Market, provided the student does not change to another program not included. In such cases of change, the student will be classified as a non-resident for tuition purposes.

Persons whose spouses by legal marriage are bona fide Alabama residents.

Spouses and dependent children of persons, who establish domicile within the State of Alabama, provided that the person who establishes domicile is employed full-time in a permanent position in Alabama.

Non-resident persons enrolled in programs of Auburn University not funded by tax revenues of the State of Alabama.

Students enrolled in the College of Veterinary Medicine professional D.V.M. program, admitted under contract with the Southern Regional Education Board.

INITIAL DETERMINATION OF ELIGIBILITY

In order to be initially classified as eligible for resident tuition, students must demonstrate that they or their parent, guardian, or spouse qualify for one of the eligibility categories prior to the first day of class. A signed statement is required attesting that qualification for the eligibility category claimed has been met prior to registration.

CHANGE IN ELIGIBILITY FOR RESIDENT TUITION

Students determined to be eligible for resident tuition will maintain that eligibility upon re-enrollment within twelve (12) months of their most recent enrollment, unless there is evidence that the student subsequently has abandoned resident status (e.g., registering to vote in another state). Students failing to re-enroll within twelve (12) months must establish eligibility upon re-enrollment.

Students initially classified as ineligible for resident tuition will retain that classification for tuition purposes until they provide clear and convincing evidence that they have established permanent domicile in Alabama. The burden of proof of change in eligibility rests upon those requesting change. Evidence relevant to an initial determination of eligibility is also relevant to establishing a change in eligibility.

Non-resident students who carry an academic load considered normal (ten (10) or more hours per term) for students at Auburn University will be presumed to be in the State of Alabama primarily for the purpose of gaining an education and, thus, have not demonstrated the intent to establish a true domicile in Alabama. Clear and convincing proof may overcome this presumption, but again, the burden of proof rests upon those requesting change in eligibility. Any change in resident tuition eligibility occurring during an academic term will not become effective until registration for the succeeding term.



AUBURN UNIVERSITY

OFFICE OF THE PROVOST
AND VICE PRESIDENT FOR
ACADEMIC AFFAIRS

March 25, 2015

MEMORANDUM TO: Jay Gogue
President

FROM: Timothy R. Boosinger *Timothy R. Boosinger*
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees – Proposed Policies
in Support of Military Service Members and Veterans

I am writing to request that the following resolution be added to the Board of Trustees' agenda for the **April 17, 2015** meeting.

Proposal: Long supportive of our nation's military service members and veterans, the University is proposing to strengthen that support by revising and extending two current policies, one pertaining to academic credit for military training courses and the other pertaining to eligibility for tuition at rates charged to residents of Alabama.

Rationale for Adoption of Military Academic Credits Policy: Maintaining Auburn's commitment to supporting individuals who have served or are currently serving our country, the University is proposing a revised policy on the awarding of academic credit for military service credits. This policy revision is consistent with Act 2013-350 of the Alabama Legislature.

The University's current policy on "Credit for Military Science and Physical Education" allows six or more credits of military science courses to count toward graduation in all undergraduate curricula and allows active duty service to count for up to four credits of physical education. Under the proposed policy revision, Auburn University would broaden its current practices to award academic credit for courses that are part of an enrolled student's military training or service, provided the University has determined that the proposed transfer credits meet the requirements at the collegiate level and have resulted in learning outcomes comparable to those students would have achieved through Auburn's own instruction. Students' military service documents will be evaluated using the American Council of Education's Guide to the Evaluation of Educational Experiences in the Armed Services as a guideline.

Rationale for Revision of Student Residency Tuition Policy: In recognition of their service and to ensure affordable access to postsecondary education, the University is proposing to revise its "Student Residency Tuition Policy" to ensure that qualified military service members,

veterans, and their dependents are able to attend Auburn University at tuition rates equivalent to those paid by Alabama residents. This policy revision is consistent with Act 2013-423 of the Alabama Legislature and with the federal Veterans Access, Choice and Accountability Act (Choice Act), which takes effect on July 1, 2015.

The Choice Act requires that all public postsecondary institution offer tuition at in-state rates to qualified veterans and their dependents who live in the state, regardless of their formal state residency status. Act 2013-423 of the Alabama Legislature established additional categories of eligible active-duty service members, veterans, and dependents. The proposed revision to Auburn University's "Student Residency Tuition Policy," adopted on October 27, 1989, and thereafter revised and reaffirmed from time to time, is consistent with both of these legal requirements.

Recommendation: It is recommended that the Board of Trustees approve the proposed "Military Service Credits Policy." The proposal was reviewed and approved by the Office of the Registrar, the Office of Undergraduate Studies, the University Senate, and the Provost's Office. It is further recommended that the Board of Trustees approve the proposed revisions in the "Student Residency Tuition Policy," which has been reviewed and approved by the Provost, the Executive Vice President, and the President.



Office of the Registrar

DATE: March 25, 2015

TO: Timothy R. Boosinger, Provost and Vice President for Academic Affairs *Timothy R. Boosinger*

THROUGH: Emmett Winn, Associate Provost *Emmett Winn*

FROM: Laura Ann Forest, University Registrar *LA Forest*

SUBJECT: Agenda Item for the Board of Trustees – Proposed Revision of “Student Residency Tuition Policy”

I am writing to request that the following item be added to the Board of Trustees’ agenda for the **April 17, 2015** meeting.


Proposal: It is recommended that the University’s “Student Residency Tuition Policy” be revised so that qualified active-duty military service members, veterans, and their dependents may attend Auburn University at tuition rates equivalent to those paid by Alabama residents.

Rationale for Revision of Student Residency Tuition Policy: In recognition of their military service and to ensure affordable access to postsecondary education, the University’s “Student Residency Tuition Policy” has been revised to ensure that qualified military service members, veterans, and their dependents are able to attend Auburn University at tuition rates equivalent to those paid by Alabama residents. When approved by the Board of Trustees, this policy revision will be consistent with Act 2013-423 of the Alabama Legislature and with the federal Veterans Access, Choice and Accountability Act (Choice Act), which takes effect on July 1, 2015. The Choice Act requires that all public postsecondary institution offer tuition at in-state rates to qualified veterans and their dependents who live in the state, regardless of their formal state residency status. Act 2013-423 of the Alabama Legislature established additional categories of eligible active-duty service members, veterans, and dependents. The proposed revision to Auburn University’s “Student Residency Tuition Policy,” adopted on October 27, 1989, and thereafter revised and reaffirmed from time to time, is consistent with both of these legal requirements.

Recommendation: It is recommended that the Board of Trustees approve the proposed revision of the “Student Residency Tuition Policy.”

March 16, 2015

TO: Timothy Boosinger
Provost and Vice-President for Academic Affairs

FROM: Constance Relihan 
Associate Provost for Undergraduate Studies

SUBJECT: Item for Board of Trustees—Proposed Policy on Military
Service Credits

I am writing to request that a new proposed policy on military service credits be presented to the Board of Trustees for consideration at their meeting on April 17, 2015. It is my understanding that this policy will form one exhibit supporting a broader resolution of support for military service members and veterans that the Board will be asked to consider.

As you know, Auburn University has long awarded up to six credits toward graduation for military science courses and has required that all undergraduate curriculum models accommodate these credits, either through electives or substitutions. Additionally, it has been our longstanding policy to award up to three credits for physical education activity courses to students who have served on active duty in the Armed Forces.

The proposed new “Policy on Military Service Credits” (attached) would continue and broaden these practices, consistent with the requirements of Act 2013-350 of the Alabama Legislature. Under this policy, if approved by the Board, Auburn would award academic credit for courses that are part of an enrolled student’s military training or service, subject to the institution’s determination that the proposed credits are at the collegiate level and have resulted in learning outcomes comparable to those students would have achieved through Auburn’s own instruction. The American Council on Education has developed a Guide to the Evaluation of Educational Experiences in the Armed Services that the University will use as a guideline.

This policy is designed to augment, not replace, the University’s existing policy on “Credit for Military Science and Physical Education.”

Thank you for your consideration. I would be happy answer questions you may have.

Exhibit A

Policy on Military Service Credits

Auburn University awards academic credit for courses that are part of an enrolled student's military training or service, provided that the military training credits accepted are at the collegiate level and have resulted in learning outcomes comparable to those students would achieve through the Auburn University's own instruction. In determining the academic credit to be awarded, Auburn University uses as a guideline the standards and recommendations of the Guide to the Evaluation of Educational Experiences in the Armed Services published by the American Council on Education.

ADOPTED: April __, 2015

EXHIBIT B

STUDENT RESIDENCY TUITION POLICY

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A person who establishes a guardianship for purpose of avoiding non-Alabama fees will be subject to non-resident tuition.

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In determining Alabama student status for purposes of assessing fees, the burden of proof is on the applicant.

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B. Non-resident undergraduate students who have been awarded academic, athletic, and/or other scholarship(s) by Auburn University that are at least in the amount of the current resident rate of tuition, graduate students appointed on assistantships of at least one-fourth-time and veterans who live in Alabama consistent with the provisions of Alabama Act 2013-423. Veterans and their spouses and dependents who live in Alabama may qualify for resident tuition under Section 702 of the Veterans Access, Choice and Accountability Act of 2014

C. Full-time employees of a State of Alabama agency or institution, their spouses, and

dependent children.

D. Spouse and dependent children of a nonresident, provided the non-resident has been employed in Alabama full-time for at least twelve (12) consecutive months prior to registration, has filed an Alabama Income Tax Return for the tax year prior to the year in which the student is admitted, and did not claim a credit on the Alabama return for income taxes paid to another state.

Non-resident students enrolled in programs included in the Southern Regional Education Board Academic Common Market, provided the student does not change to another program not included. In such cases of change, the student will be classified as a non-resident for tuition purposes.

Persons whose spouses by legal marriage are bona fide Alabama residents.

Spouses and dependent children of persons, who establish domicile within the State of Alabama, provided that the person who establishes domicile is employed full-time in a permanent position in Alabama.

Non-resident persons enrolled in programs of Auburn University not funded by tax revenues of the State of Alabama.

Students enrolled in the College of Veterinary Medicine professional D.V.M. program, admitted under contract with the Southern Regional Education Board.

INITIAL DETERMINATION OF ELIGIBILITY

In order to be initially classified as eligible for resident tuition, students must demonstrate that they or their parent, guardian, or spouse qualify for one of the eligibility categories prior to the first day of class. A signed statement is required attesting that qualification for the eligibility category claimed has been met prior to registration.

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Students initially classified as ineligible for resident tuition will retain that classification for tuition purposes until they provide clear and convincing evidence that they have established permanent domicile in Alabama. The burden of proof of change in eligibility rests upon those

requesting change. Evidence relevant to an initial determination of eligibility is also relevant to establishing a change in eligibility.

Non-resident students who carry an academic load considered normal (ten (10) or more hours per term) for students at Auburn University will be presumed to be in the State of Alabama primarily for the purpose of gaining an education and, thus, have not demonstrated the intent to establish a true domicile in Alabama. Clear and convincing proof may overcome this presumption, but again, the burden of proof rests upon those requesting change in eligibility. Any change in resident tuition eligibility occurring during an academic term will not become effective until registration for the succeeding term.

The following types of evidence may be presented in an effort to establish 12- month residency in the State of Alabama. In all cases, the person must be at least nineteen (19) years of age or married and financially independent. Otherwise, the person's residency will be based upon that of the parent or guardian.

- A. Ownership or rental of residential property in the State of Alabama and continuous occupation thereof on an extended term of not less than twelve (12) consecutive months.
- B. Full-time permanent employment in the State of Alabama.
- C. Possession of State of Alabama License(s) required doing business or practicing a profession in Alabama.
- D. Legal marriage to a bona fide Alabama resident.
- E. Registration to vote in the State of Alabama.
- F. Filing of Alabama resident income tax returns.
- G. Holding of a current Alabama driver's license.
- H. Registration of vehicle in Alabama and payment of property taxes thereon.
- I. Evidence of banking activity within the State of Alabama for twelve (12) consecutive months prior to making application for residency change.

The Registrar at Auburn University and the Director of Admissions at AUM shall have the responsibility for determining whether a student shall be classified as an Alabama or non-Alabama student. The decision of the Registrar/Admissions Director shall be subject to review by the President (at Auburn) or the Chancellor (at AUM), or the designated representative of each, upon written request of the applicant.

ADOPTED: October, 27, 1989

REVISED: March 25, 1994

REVISED: August 18, 1995

REAFFIRMED: June 19, 2009

REVISED: April __, 2015

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED OPTION IN EARTH SYSTEM SCIENCE WITHIN THE EXISTING
BACHELOR OF SCIENCE IN GEOLOGY

WHEREAS, the Department of Geosciences currently offers the Bachelor of Science in Geology; and

WHEREAS, the Department of Geosciences seeks to create a formal degree option in Earth System Science; and

WHEREAS, the proposed degree option would offer a curricular emphasis in the broad concepts, theoretical principles, and interdisciplinary areas of study related to earth system science; and

WHEREAS, the proposed degree option would also offer an additional program of study for students enrolled in Secondary General Science Education within the College of Education; and

WHEREAS, the proposed degree option would not require additional resources, faculty, or space; and

WHEREAS, the request to create this option has been endorsed by the Dean of the College of Sciences and Mathematics, the Dean of the College of Education, the University Curriculum Committee, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed option in Earth System Science within the existing Bachelor of Science in Geology be approved and forwarded to the Alabama Commission on Higher Education for review and approval.



AUBURN UNIVERSITY

OFFICE OF THE PROVOST
AND VICE PRESIDENT FOR
ACADEMIC AFFAIRS

March 16, 2015

MEMORANDUM TO: Jay Gogue
President

FROM: Timothy R. Boosinger *Tim R. Boosinger*
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –
Proposed Option in the Bachelor of Science in Geology

I am writing to request that the following item be added to the Board of Trustees' agenda for the **April 17, 2015** meeting.

Proposal: The Department of Geosciences is proposing the addition of an option in Earth System Science to the existing Bachelor of Science degree in Geology.

Review and Consultation: Faculty in the Department of Geosciences initiated the degree option to provide an additional plan of study for students desiring careers in the field of environmental sciences. The option will enable students to pursue a course of study that integrates a greater breadth of topics related to earth and environmental systems. By providing a curriculum that incorporates major concepts, theoretical principles, and interdisciplinary areas of study related to earth systems science, students will develop an understanding of the interactions between geological, scientific, and social systems. The program also provides an option of study for students enrolled in the College of Education to complete courses within the program that will better prepare them for careers as secondary school educators teaching General Science.

If approved, the proposed enrollment for the option would be 20-30 students annually and will not require any additional resources. Following completion of the necessary approval processes, the Department of Geosciences would begin offering the proposed option immediately.

Recommendation: It is recommended that the Board approve the proposed option in Earth System Sciences within the existing Bachelor of Science in Geology. The proposal was reviewed and approved by Auburn University's Curriculum Committee in spring 2015, and has been approved by the College of Sciences and Mathematics and the Provost's Office. If approved by the Auburn University Board of Trustees, the proposed option will be forwarded to the Alabama Commission on Higher Education (ACHE) for review and approval.



OFFICE OF
THE DEAN

AUBURN UNIVERSITY

COLLEGE OF
SCIENCES AND MATHEMATICS

February 2, 2015

MEMORANDUM: B.S. Degree Option in Geology

TO: **Timothy Boosinger**
Provost and Vice-President of Academic Affairs

THROUGH: **Constance Relihan**
Associate Provost for Undergraduate Studies and
Chair, University Curriculum Committee

THROUGH: **Nicholas Giordano**
Dean, College of Sciences and Mathematics

FROM: **Mark Steltenpohl**
Chair, Department of Geosciences

We request that the following item be reviewed and considered for approval by the Board of Trustees.

Proposal: The Department of Geosciences is proposing an additional option for the B.S. degree in Geology: Earth System Science (ESS).

The Department of Geosciences serves the needs of Alabama -- a state rich in fossil fuels, natural construction materials, environmental concerns, and human issues. Students majoring in Geology under the one existing option are well-equipped to become professional geologists, finding employment as professional geologists in such areas as oil and gas exploration, mining, and environmental consulting, or continuing their education in graduate school.

However, the proposed additional degree option will serve the needs of more students in two ways: (1) With its more flexible curriculum, the ESS option will attract a wider range of students than those committed to traditional geology career paths. In the process of selecting courses according to their individual needs and preferences, students will come to appreciate the interconnections between the different components -- the lithosphere, atmosphere, hydrosphere, and biosphere -- that make up the integrated Earth system. This will make them better stewards of the planet regardless of their ultimate career choices. (2) Importantly, the proposed new Geology B.S. degree option will provide an imbedded major within a science department for those College of Education students who select the General Science degree. Thus, through granting Secondary Sciences Education degrees with the Earth System Science major, the University will continue to influence the quality of teaching in junior-high and high-school classrooms, ensuring that the state's youth will be well informed on important issues dealing with our natural resources.

The Department of Geosciences and the College of Sciences and Mathematics have worked closely with the College of Education to craft a curriculum that meets both their needs and ours. The attached documents detail the Earth System Science curriculum model and provide a comparison between the major in ESS and the Secondary General Science Education degree requirements.

NAME: _____

ID# _____

GEOLOGY CURRICULUM (GEOL) EARTH SYSTEM SCIENCE OPTION

FRESHMAN YEAR

ENGL 1100 English Comp I	3	ENGL 1120 English Comp II	3
GEOL 1100 Dynamic Earth	4	GEOL 1110 Earth & Life Through Time..	4
CHEM 1030 Fundamentals of Chem I.....	3	CHEM 1040 Fundamentals of Chem II	3
CHEM 1031 Chem Lab.....	1	CHEM 1041 Chem Lab	1
CORE HISTORY I	3	CORE HISTORY II.....	3
	14		14

SOPHOMORE YEAR

BIOL 1020/1021 Principles of Biology	4	BIOL 1030/1031 Organismal Biology.....	4
GEOL 2010 Min & Opt Cryst	5	BIOL 3030 Evolution and Syst..	3
MATH 1610 Calculus I	4	ESS Elective	4
CORE LITERATURE I	3	ESS Elective	4
	16		15

JUNIOR YEAR

PHYS 1500 Physics I	4	PHYS 1510 Physics II	4
GEOL 3200 Prin of Paleontol	3	BIOL 3060 Ecology	4
GEOL 5220 Geomorphology	3	CORE SOCIAL SCIENCE I ¹	3
GEOL 3060 Lunar and Planetary Geology	3	GEOG 5210 Climatology.....	3
CORE FINE ARTS	3		14
	16		

SUMMER TERM

*ESS Elective..... 4²

SENIOR YEAR

ESS Elective.....	3	ESS Elective	3
ESS Elective	3	EES Elective	4
GEOG 5830 GIS	4	GEOL 4740 Senior Seminar	3
CORE HUMANITIES	3	CORE SOCIAL SCIENCE II	3
	13	CORE LITERATURE II	3
			16

TOTAL HOURS 122

¹GEOG 1010 Global Geography is suggested for majors.

²BIOL 3075 Intro to Oceanography, offered at Dauphin Island Sea Lab in summer, is recommended.

EARTH SYSTEM SCIENCE ELECTIVES

GEOL 2050 Ign & Met Petrol (4)	GEOG 2850 Map Reading and Analysis (3)
GEOL 3400 Structural Geology (4)	GEOG 5400 Geography of Natural Hazards (3)
GEOL 4010 Sed. Petrol. (3)	GEOG 5500 Geography of Environmental Management
GEOL 4260 Geochemistry (3)	GEOG 5510 Human-Environment Interaction (3)
GEOL 4300 Geodynamics (3)	GEOG 5550 Geography of Water Resources (3)
GEOL 4930 Directed Study (1-2)	GEOG 5600 Global Resources and the Environment (3)
GEOL 5100 Hydrogeology (3)	GEOG 5820 Aerial Photography and Remote Sensing (4)
GEOL 5600 Applied Geophysics (4)	

BIOL 2500 Human Anatomy and Physiology (4)
BIOL 3040 Biology of Marine Systems (3)
BIOL 3075 Intro to Oceanography (4) (Dauphin Island Sea Lab)
BIOL 3100 Plant Biology (4)
BIOL 3200 General Microbiology (4)
BIOL 4010 Invertebrate Biodiversity (4)
BIOL 4020 Vertebrate Biodiversity (4)
BIOL 5090 Conservation Biology (3)
BIOL 5150 Community Ecology (3)
CHEM 2030 Survey of Organic Chemistry (3)
CHEM 2071 Organic Chemistry I Laboratory (1)
CHEM 2080 Organic Chemistry II (3)
CHEM 2081 Organic Chemistry II Laboratory (1)
CHEM 3050 Analytical Chemistry (3)
CHEM 3160 Survey of Physical Chemistry (3)
PHYS 3500 Physics of the World Around Us (3)
FORY 5310 Environmental Ethics (3)
FOWS 5050 Urban Ecology (3)
CIVL 3220 Water and Waste Treatment (4)

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED GRADUATE CERTIFICATE IN INTERVENTION FOR STUDENTS WITH
AUTISM AND DEVELOPMENTAL DISABILITIES

WHEREAS, the College of Education wishes to provide qualified individuals with the opportunity to acquire additional knowledge and skills to excel in the field of special education; and

WHEREAS, a graduate certificate in intervention for students with autism and developmental disabilities would provide professional educators with additional knowledge and expertise in working with students and families of students affected with autism spectrum or other developmental disorders; and

WHEREAS, the establishment of a graduate certificate program would enable professional educators to enhance their credentials while addressing an area of increasing demand; and

WHEREAS, such a certificate program could be completed either on campus or by distance education, would utilize existing courses within the existing Alternative Masters (M.Ed.) in Early Childhood Special Education, and would not require any additional resources or faculty; and

WHEREAS, the request to create this graduate certificate has been endorsed by the Dean of the College of Education, the Graduate Council, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Graduate Certificate in Intervention for Students with Autism and Developmental Disabilities from the College of Education be approved, and forwarded to the Alabama Commission on Higher Education as an item of information.



AUBURN UNIVERSITY

OFFICE OF THE PROVOST
AND VICE PRESIDENT FOR
ACADEMIC AFFAIRS

March 17, 2015

MEMORANDUM TO: Jay Gogue
President

FROM: Timothy R. Boosinger *Timothy R. Boosinger*
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –
Proposed Graduate Certificate in the Department of Special
Education, Rehabilitation, and Counseling

I am writing to request that the following item be added to the Board of Trustees' agenda for the **April 17, 2015** meeting.

Proposal: It is recommended that the Board approve the proposed Graduate Certificate in Intervention for Students with Autism and Developmental Disabilities from the College of Education.

Review and Consultation: Faculty in the Department of Special Education, Rehabilitation, and Counseling initiated this 15-hour certificate to provide graduate-level coursework for educators who possess a bachelor's degree in general or special education and desire additional expertise in working with students affected with autism spectrum or other developmental disorders. Within recent years, the number of children diagnosed with Autism or Asperger's Syndrome has increased significantly, resulting in a demand for professionals trained in this specialized area. The certificate program would utilize existing on-campus and distance education courses available through the Alternative Masters (M.Ed.) in Early Childhood Special Education and would offer advanced knowledge and skills to professionals who work with both students and families of students diagnosed with autism or other developmental disabilities.

The College of Education anticipates its annual enrollment in the Graduate Certificate in Intervention for Students with Autism and Developmental Disabilities to be approximately 15 students annually. If approved, the Graduate Certificate in Intervention for Students with Autism and Developmental Disabilities would become the 31st graduate certificate approved by the Board of Trustees.

Recommendation: The proposed Graduate Certificate would enable the College of Education to offer a relevant plan of study to prospective educators and professionals while addressing a critical social need. The proposal has been reviewed and approved by Auburn University's Graduate Council in spring 2015, and has received the approval of the Dean of the College of

Education and the Provost's Office. If approved by the Board of Trustees, this proposed certificate would be forwarded to the Alabama Commission on Higher Education as an item of information.

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED ELIMINATION OF THE MED PROGRAMS AND ADDITION OF NON-THESIS MS PROGRAMS IN THE SCHOOL OF KINESIOLOGY

WHEREAS, the School of Kinesiology currently offers students opportunities to earn a Master's degree in Physical Education by following either a thesis option leading to the degree of Master of Science or a non-thesis option leading to the degree of Master of Education; and

WHEREAS, this degree structure and nomenclature is also currently used for the formal options of the MS/MEd program that are not aimed at teacher/coaching preparation, namely, the formal option in Exercise Science and the formal option in Physical Activity and Health; and

WHEREAS, the School proposes to clarify and simplify its degree nomenclature by eliminating the MEd in Physical Education and its two options and replacing it with a non-thesis completion option for the Master of Science; and

WHEREAS, these proposed changes to programs in the School of Kinesiology have been endorsed, as appropriate, by the Dean of the College of Education, the Graduate Council, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed elimination of all MEd programs in the School of Kinesiology, together with the simultaneous creation of non-thesis options in the School's MS programs, be approved and forwarded to the Alabama Commission on Higher Education for review and approval.



AUBURN UNIVERSITY

OFFICE OF THE PROVOST
AND VICE PRESIDENT FOR
ACADEMIC AFFAIRS

March 17, 2015

MEMORANDUM TO: Jay Gogue
President

FROM: Timothy R. Boosinger *Timothy R. Boosinger*
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –
Proposed Changes to the Programs in the School of Kinesiology

I am writing to request that the following item be added to the Board of Trustees' agenda for the **April 17, 2015** meeting.

Proposal: It is recommended that the Board approve the following changes in degree nomenclature and classification for the School of Kinesiology in the College of Education: (1) the addition of non-thesis completion options for the current MS in Physical Education and its two formal options in Exercise Science and in Physical Activity and Health; (2) the simultaneous elimination of the current MEd programs in these same three areas of study, which have been serving as non-thesis completion options; and (3) the reclassification of all School of Kinesiology degree programs in the Classification of Educational Programs (CIP) used by the Alabama Commission on Higher Education (ACHE) in its inventory of instructional programs.

Rationale for Recommendations (1) and (2): Currently, the School of Kinesiology offers students two ways by which to complete Master's degree requirements in Physical Education and its formal options in Exercise Science and Physical Activity and Health. Students who complete a thesis are awarded a Master of Science degree, while students who elect to complete non-thesis degree requirements are awarded a Master of Education degree. The School is proposing to consolidate all Master's degree awards in these subjects under the existing Master of Science program, creating a non-thesis option for the MS and eliminating as redundant the current MEd program. If this action is approved, all students currently enrolled in the non-thesis MEd program would be transitioned to the new non-thesis option for the MS.

Rationale for Recommendations (3): The federal Classification of Instructional Programs (CIP) provides a nation-wide system for tracking and reporting enrollment, completions, and other data across fields of study. In Alabama, ACHE uses CIP codes to assign programs to disciplines in its inventory of instructional programs and requires that changes in CIP codes for existing programs come before the Commission as information items. Currently, all programs offered by the School of Kinesiology are coded as teaching-coaching preparation programs (CIP 13.1314), even though

most of the School's programs do not have this aim. The School is requesting that its programs be assigned a code for the discipline of Exercise Physiology (CIP 26.0908), better reflecting the focus of those programs. This request supports an effort by the American Kinesiology Association to facilitate accurate national reporting by aligning related programs under a common classification. Because Exercise Physiology is an aspect of Biology, this change would also enable the School to compete for funding dedicated to STEM subjects.

No additional resources will be needed to implement these proposals.

Recommendation: The proposed changes in options and reclassification of CIP codes within the MS and MEd programs in Physical Education in the School of Kinesiology will better align the degree program with the academic content and potential funding opportunities. If approved by the Auburn University Board of Trustees, the request to rename the degree program would be forwarded to the Alabama Commission on Higher Education (ACHE) as an item of information.



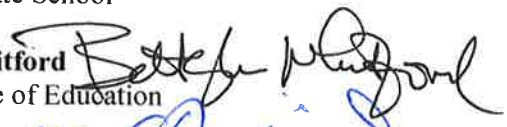
AUBURN UNIVERSITY


SCHOOL OF KINESIOLOGY

March 5, 2015

TO: Timothy Boosinger
Provost and Vice-President for Academic Affairs

THROUGH: George Flowers
Dean, Graduate School

THROUGH: Betty Lou Whitford 
Dean, College of Education

FROM: Mary Rudisill 
Director, School of Kinesiology

SUBJECT: Items for the Board of Trustees – Changes to Graduate Programs in the School of Kinesiology

We are writing to request that the following items be added to the Board of Trustees' agenda for their **April 17, 2015** meeting.

Proposals: The School of Kinesiology within the College of Education is proposing the following changes:

Addition of non-thesis options in the following programs:

- MS, Physical Education (Teacher Education)
- MS, Physical Education (Exercise Science Option)
- MS, Physical Education (Physical Activity and Health Option)

Elimination of the following non-thesis programs:

- MEd, Physical Education (Teacher Education)
- MEd, Physical Education (Exercise Science Option)
- MEd, Physical Education (Physical Activity and Health Option)

Reclassification of CIP codes for the following programs:

From Health Teacher Education (13.1307) to Exercise Physiology (26.0908)

Programs affected:

- BS, Physical Activity and Health
- BS, Physical Activity and Health Fitness, Conditioning & Performance Option

From Physical Education Teaching and Coaching (13.1314) to Exercise Physiology (26.0908)

Programs affected:

- BS, Physical Education (Teacher Education)
- BS, Physical Education (Exercise Science Option)
- MS, Physical Education (Teacher Education)
- MS, Physical Education (Exercise Science Option)
- MS, Physical Education (Physical Activity and Health Option)
- PhD, Kinesiology
- Graduate Certificate, Movement Skills Analysis

If approved by the Offices of the Provost and the President, the proposals would be forwarded to the Auburn University's Board of Trustees for review and approval.

Rationale for Proposed Addition and Elimination of Master's Program Options: Currently, the College of Education uses the nomenclatures of Master of Education (MEd) and Master of Science (MS) to differentiate non-thesis and thesis programs. Thus all MS programs in the School of Kinesiology require a thesis, while the MEd programs are non-thesis only. The request is to add a non-thesis option to the MS programs and eliminate the MEd (non-thesis) programs. Since most of these program options are not teacher-training programs, the MS nomenclature better identifies the scientific focus of the programs. Once approved, all students currently enrolled in the MEd non-thesis programs would be moved to the MS non-thesis options.

Rationale for Proposed Reclassification of Programs: The Classification of Instructional Programs (CIP) provides a system for tracking and reporting fields of study and is used in the degree program inventory of the Alabama Commission on Higher Education. Changes in this inventory must be proposed to ACHE as information items. Currently, all programs offered by the School of Kinesiology are coded as teaching/coaching preparation programs (CIP 13.1314). The School of Kinesiology is requesting that its programs be reclassified as exercise physiology programs (CIP 26.0908). This designation better reflects the purpose and mission of the School's academic programs and is in alignment with the American Kinesiology Association's recommendation regarding use of a common CIP across institutions to facilitate accurate reporting for the academic discipline. Also, because program within the 26 CIP are eligible for STEM funding, the change in CIPs will enhance funding opportunities.

No additional resources will be needed to implement these proposals.

Review and Consultation: Graduate proposals were reviewed and approved Fall 2014 by the Graduate Council; undergraduate proposals were reviewed and approved Spring 2015 by the University Curriculum Committee.

Recommendation: It is recommended that the following proposals be approved and forwarded to Auburn University's Board of Trustees for review and approval: (1) addition of a non-thesis option to the MS programs in Exercise Science, Physical Education Teacher Education, and Physical Activity and Health; (2) elimination of the MEd for these programs; and (3) reclassification of all Kinesiology programs to CIP 26.0908, denoting Exercise Physiology.

AUBURN MONTGOMERY COMMITTEE

AND

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED CHANGE OF NAME OF THE BACHELOR OF LIBERAL ARTS TO THE
BACHELOR OF INTERDISCIPLINARY STUDIES

WHEREAS, Auburn University at Montgomery seeks to offer a versatile undergraduate degree for students with varied interests; and

WHEREAS, AUM's Bachelor of Liberal Arts has traditionally served that purpose; and

WHEREAS, the curriculum for this degree has been modified to provide a more integrated learning experience; and

WHEREAS, the name "Bachelor of Interdisciplinary Studies" would clarify the flexible nature of this degree as a learning experience that would provide broader educational opportunities and so attract students.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed change of name of the Bachelor of Liberal Arts to the Bachelor of Interdisciplinary Studies be approved and submitted to the Alabama Commission on Higher Education for review and approval.




AUBURN

MONTGOMERY

OFFICE OF THE CHANCELLOR

12 March, 2015

To: Dr. Jay Gogue
President

From: John G. Veres III 

Subject: Proposed Board Agenda Item
Change of name of The Bachelor of Liberal Arts degree to Bachelor of
Interdisciplinary Studies degree

Please consider including this proposal on the agenda of the 17 April, 2015, meeting of the Board of Trustees.

Proposal:

We hope the Board will approve the change of name of the "Bachelor of Liberal Arts" degree to the "Bachelor of Interdisciplinary Studies." This program will be administered by the College of Arts and Sciences with oversight by the Dean and a Faculty Advisory Board. This change will help with recruitment because prospective students may associate the name "Bachelor of Liberal Arts" too closely with a traditional liberal arts curriculum. Potential employers may be similarly misled.

Review and Consultation:

The proposal has been reviewed by the Faculty Advisory Board, the Dean of the College of Arts and Sciences, the University Curriculum Committee, and the Provost.

Rationale for Recommendation:

The change of name will more clearly identify the nature of the degree which has been modified to provide a more integrated learning experience, to provide broader educational opportunities for our students and the development of learning experiences that meet individual and professional goals.

It is recommended that the proposal be submitted to the Board of Trustees through the Auburn Montgomery Committee and Academic Affairs Committee and placed on the agenda at the meeting scheduled for *17 April 2015*. If the Board approves, the program will then be sent for review and approval to the Alabama Commission on Higher Education.

Dr. King and I are available to answer any questions you may have regarding this proposal.

Thank you for your kind attention.

AUBURN UNIVERSITY AT MONTGOMERY

P.O. Box 244023, Montgomery, AL 36124-4023; Telephone: 334-244-3602; Fax: 334-244-3920

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AUBURN MONTGOMERY COMMITTEE
AND
ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED BACHELOR OF SCIENCE IN ECONOMICS

WHEREAS, a Bachelor of Science in Economics is designed to develop the knowledge and skills for a professional in a leadership position in a globally competitive market; and

WHEREAS, the Department of Economics at Auburn University at Montgomery wishes to change the existing B.S.B.A in Economics to a B.S. in Economics with options in global economics and political economy; and

WHEREAS, the proposed program will provide Auburn University at Montgomery with a more comprehensive undergraduate economics program with collaboration between colleges; and

WHEREAS, the proposed program would enhance enrollment of students by providing them with the opportunity to tailor their studies to their unique career goals; and

WHEREAS, the proposed program would position Auburn University at Montgomery to be more visible and attract more students interested in making themselves more marketable by enhancing their economics exposure and knowledge; and

WHEREAS, the proposed program would not require any additional faculty or resources; and

WHEREAS, the request to change the existing B.S.B.A in Economics to a B.S. in Economics has been endorsed by the Chair and Faculty of the Department of Economics, the Dean of the College of Public Policy and Justice, the University Undergraduate Curriculum, the Provost, and the Chancellor,

NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that the proposed Bachelor of Science in Economics with options in global economics and political economy from the College of Public Policy and Justice at Auburn University at Montgomery be approved and submitted to the Alabama Commission on Higher Education for review and approval.




AUBURN

MONTGOMERY

OFFICE OF THE CHANCELLOR

12 March 2015

TO: Dr. Jay Gogue
President

FROM: John G. Veres, III 
Chancellor

SUBJECT: Proposed Board Agenda Item
B.S. in Economics

Please consider including this proposal on the agenda of the 17 April 2015 meeting of the Board of Trustees.

Proposal: The recent academic reorganization at Auburn University at Montgomery included moving the Department of Economics from the College of Business to the newly established College of Public Policy and Justice. As a result of that change, AUM proposes to change its B.S.B.A in Economics (formerly offered through College of Business) to a B.S. in Economics (to be offered through the College of Public Policy and Justice). This degree program will have two options: global economics and political economy. These two options are designed to develop the knowledge and skills for a professional in a leadership position in the economics of globally competitive markets. The proposed degree will provide students with the opportunity to develop broad knowledge and skills that will enhance their chances of innovative career choices in business, law, medicine, government, non-profits, and international relations, as well as in academic roles.

Graduates in economics succeed in many occupations. Most economics majors pursue employment in the private sector. Law school is also a common destination for recent graduates in economics, as the careful reasoning in economics is a good fit for law. Some students enter government service or choose jobs with non-profit entities. Governments hire economists at every level for their facility with statistics and analysis. Finally, some graduates in economics are interested in academic careers. They are drawn by the love of the study of economics and the prospect of teaching and writing about economics as a career.

Review and Consultation:

The faculty and chair of the Department of Economics, the Dean of the College of Public Policy and Justice, the University Undergraduate Curriculum Committee, and the Provost, have recommended approval of this program.

AUBURN UNIVERSITY AT MONTGOMERY

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The program will not require any additional space or faculty.

Rational for Recommendation:

The proposed B.S. in Economics with options in global economics and political economy will provide current students with a degree that matches their own career goals in a globally competitive marketplace, and positions the university and college to become more visible and attract more students. In addition, this proposed program is critical for fulfilling demand for employees with advanced skills in economics.

It is recommended that the proposal be submitted to the Board of Trustees through the Auburn Montgomery Committee and Academic Affairs Committee and placed on the agenda at the meeting scheduled for *17 April 2015*. If the Board approves, the program will then be sent for review and approval to the Alabama Commission on Higher Education.

Dr. King and I are available to answer any questions you may have regarding this proposal.

Thank you for your kind attention.



AUBURN UNIVERSITY
OFFICE OF THE PRESIDENT

March 13, 2015

MEMORANDUM TO: President Jay Gogue

FROM: Donald L. Large, Jr. 

SUBJECT: Board of Trustees Agenda Information Item-

Minor Changes in the Asset Allocation of Auburn University
Endowment Investment Policy Statement

It is recommended that the Institutional Advancement Committee be advised of a minor change in the asset allocation model as shown in **Attachment 1**. The memorandum (**Attachment 2**) from Ms. Gena Isbell, Director of the university's Endowment Investment Office provides the background for this change. The Auburn University Endowment Investment Policy (**Attachment 3**) will be changed accordingly as shown in the draft.

pah

c: Mr. Grant Davis

AU Endowment Pool Asset Allocation



	Asset Class (% Allocation)	Current Policy Approved 04/11/2014	Changes	Policy Recommendation 04/17/2015
Equity	<i>Domestic Public Equity</i>	15.0	3.0	18.0
	<i>Non-U.S. Developed Equity</i>	14.0		14.0
	<i>Emerging Markets Equity</i>	7.5		7.5
	<i>Global Private Equity</i>	12.5		12.5
Flexible Capital	<i>Long/Short and Absolute Return</i>	25.0		25.0
Inflation Hedging	<i>Natural Resources (Public and Private)</i>	6.0		6.0
	<i>Commodities</i>	4.0	-4.0	0.0
	<i>Real Estate (Public and Private)</i>	5.0	1.0	6.0
	<i>U.S. TIPS</i>	-		-
Credit	<i>Core Bond</i>	-		-
	<i>High Yield</i>	-		-
Deflation Hedge	<i>U.S. Treasuries</i>	6.0		6.0
Dollar Hedge	<i>Non-U.S. Government Bonds</i>	5.0		5.0
Liquidity	<i>Cash (T-bills)</i>	-		-
Total:		100.0		100.0

ATTACHMENT 1

ENDOWMENT
INVESTMENT
OFFICE



AUBURN UNIVERSITY

OFFICE OF THE
EXECUTIVE VICE PRESIDENT

March 23, 2015

MEMORANDUM TO: Grant Davis, Secretary to
Auburn University Board of Trustees

THROUGH: Donald L. Large, Jr., Executive Vice President and
Chief Financial Officer

FROM: Regena B. Isbell, Director
Endowment Investment Office

Reference: Auburn University Endowment Investment Policy
Statement

On March 20, 2015, an Auburn University Foundation (AUF) Investment Committee meeting was held at the Alumni Center in the Gilmer Board Room from 8:00 am to 12:45 pm. Present at the meeting: Prime Buchholz & Associates, the investment consultant for the endowment; the AUF Investment Committee members; and AU Endowment Investment Office staff.

The AUF and AU endowment pool asset allocation strategy is reviewed annually by the AUF Investment Committee. Prime Buchholz & Associates recommended a minor change to the asset allocation which will modestly improve the expected risk/return profile of the portfolio, based on their updated expected risk and return assumptions. After discussion, a motion was made, seconded, and unanimously approved by the AUF Investment Committee to adopt reallocation changes within the Domestic Public Equity, Commodities, and Real Estate asset classes (attachment 1). On March 21, 2015, the reallocation changes were approved by the Auburn University Foundation Board of Directors. These changes are incorporated in the attached **Auburn University Endowment Fund Investment Policy Statement (IPS)** (attachment 2) strategic asset allocation table (page 4).

The AU Board of Trustees has delegated responsibility for the University's endowment investments to the Auburn University Foundation Investment Committee as outlined in the **IPS** approved April 11, 2014. The AUF Investment Committee shall recommend and implement investment policy with regard to asset allocation, manager selection, and portfolio supervision. The AU Board of Trustees shall approve written investment policy changes and be informed of allocation changes among asset classes as indicated in the **IPS Responsibility Table, Appendix 3**.

AUBURN UNIVERSITY
ENDOWMENT FUND
INVESTMENT POLICY STATEMENT

Draft

04.17.2015

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Auburn University, (the "University"), investments will be managed by the Auburn University Foundation (the "Foundation") Investment Committee and University staff in accordance with the policies established by the Board of Trustees (the "Board"). Policies currently governing significant areas of investment management are based upon actions of the Board of Trustees and the Investment Committee.

I. Management of University Investments

The Board of Trustees has delegated responsibility for the University's investments to the Foundation Investment Committee, which shall recommend and implement investment policy with regard to asset allocation, manager selection, and portfolio supervision. In order to facilitate communication and reporting between the Board and the Foundation Investment Committee, a member of the Board shall be appointed as a liaison to the Committee and shall serve as a voting non-director on that committee. The liaison reports to the Board the status regarding the University's investment policies and results; and reports any recommended changes in investment policy to the Board.

A. Investment Committee Functions

1. The Investment Committee's principal function is to develop and recommend to the Board such investment and investment related policies, as it deems appropriate.
2. No member of the Investment Committee shall have or appear to have a conflict of interest that impairs or appears to impair the member's ability to exercise independent and unbiased judgment in the good faith discharge of his or her duties.
3. The Investment Committee will review regularly all investments of the University.
4. The liaison to the Investment Committee will make regularly scheduled reports to the Board of Trustees.
5. The Investment Committee recommends to the Board appropriate policies and procedures for custodianship and access to securities held by the funds, as it may deem appropriate.
6. The Assistant Treasurer, or his/her designee, is the Secretary of the Committee and shall keep minutes of the actions of the Committee.
7. The Committee may engage an investment consultant to work with staff, and advise staff and the Committee, on investment management issues including, but not limited to investment strategy, asset allocation, market trends, investment manager and custodian selection, manager and custodian evaluation criteria, investment performance evaluation, and any other appropriate matters.

B. Specific Functions of the Investment Committee

1. Establish investment objectives for the Endowment Pool.

2. Set asset allocation and manager structure policies for the investments.
3. Establish and continue to update the investment policy, and report the recommended changes to the Board.
4. Select, and as appropriate terminate, investment managers, fund custodian, and the investment consultant for the investments.
5. Establish, monitor, and update the investment process.
6. Review investment performance against established objectives.
7. Review, at least annually, investment activity to ensure compliance with investment policy.
8. Establish and propose to the Board, the spending policy for the Endowment Pool.

II. Endowment Investment Policy and Guidelines

The goal for AU's Endowment Pool is to provide a real total return that preserves the purchasing power of the Endowment's assets, while generating an income stream to support the academic activities of the University. The Endowment's real total return will be sought from an investment strategy that provides an opportunity for superior total returns within acceptable levels of risk and volatility.

A. Investment Objective

For the long-term, the primary investment objective for the Endowment Pool is to earn a total return (net of portfolio management fees), within prudent levels of risk, which is sufficient to maintain in real terms the purchasing power of the Endowment's assets and support the defined spending policy.

B. Asset Allocation

To achieve its investment objective, the Fund will allocate among several asset classes with a bias toward equity and equity-like investments due to their higher long-term return expectations. Other asset classes may be added to the Fund to enhance returns, reduce volatility through diversification, and/or offer a broader investment opportunity set.

The domestic equity segments are intended to provide long-term growth and offer high expected real returns and liquidity. The international equity segment is intended to enhance return and control risk by reducing the Funds' reliance on domestic financial markets. Private Equity may provide even higher return potential by focusing on opportunities in less efficient and more illiquid markets. Diversified Hedge Strategies are employed to offer market comparable returns with lower expected volatility. Real Assets provide the portfolio with a diversified hedge against inflation as well as a strong yield component. Fixed income provides stability and protection in deflationary

environments. Lastly, Cash provides short-term liquidity and serves as a funding source for distributions and rebalancing.

The Fund will be diversified both by and within asset classes. The purpose of diversification is to provide reasonable assurance that no single security, or class of securities, will have a disproportionate impact on the performance of the total fund. As a result, the risk level associated with the portfolio investment is reduced.

The strategic asset allocation adopted by Board is:

ASSET CLASSES	Target Allocation	Range
Equity		
Global Equity	39.5	29.5 - 49.5
Global Private Capital	12.5	8.0 - 16.0
Flexible Capital		
Absolute Return	11.0	6.0 - 16.0
Global Long/Short	14.0	9.0 - 19.0
Inflation Hedging/Real Assets		
Natural Resources, Real Estate, Commodities	12.0	7.0 - 17.0
U.S. TIPS	0.0	0.0 - 2.0
Credit		
Core Bonds	0.0	0.0 - 0.0
Deflation Hedge		
U.S. Treasuries	6.0	4.0 - 10.0
Uncorrelated (Dollar Hedge)		
Non-U.S. Government	5.0	4.0 - 6.0
Liquidity		
Cash	0.0	0.0 - 5.0
TOTAL	100.0	

Auburn University has modeled the expected return and volatility of the portfolio. The assumptions for the endowment's portfolio are included in Appendix 1.

C. Asset Allocation Review and Balancing Procedure

1. The Investment Committee will review the strategic allocation in the seven asset classes, as well as the allocation to various styles of managers within these asset classes, at least annually.
2. Cash flows into and out of the portfolio (i.e., new gifts and spending) shall be allocated in a manner that is consistent with asset allocation policies. Rebalancing the portfolio by means of liquidating assets managed by investment managers will be the exception, and only done, when recommended by the investment consultant. Asset allocation reports will be provided to the Committee on a quarterly basis.

D. Manager Selection, Performance Measurement, Monitoring, and Evaluation

Auburn University seeks managers who demonstrate effective strategies, sustainable advantages, and high-quality organizational structures. The Fund expects its active managers to generate superior, relative risk-adjusted performance, net of all expenses. Passive mandates may be used in more efficient (occasionally in less efficient) segments of the capital markets, for the purpose of gaining market exposure. The committee shall determine the respective maximum allocations to single, active managers.

Attractive firm characteristics include:

- i. strong reputation in the marketplace and a meaningful, high-quality, institutional client base;
- ii. aligned interests (e.g. significant amount of principal/employee dollars invested in the funds);
- iii. stable and experienced professional team and principals/employees own equity in the firm
- iv. controlled growth and a manageable level of assets under management; and
- v. competitive long-term performance among peers.
- vi. annual audits by an independent audit firm.

Performance (net of fees) will be calculated on a quarterly basis by the consultant.

In addition to reporting time-weighted total returns for each manager and the Pool, a comparison is made with relevant market benchmarks as well as the composite returns for other money managers with similar philosophies to those managers investing for the Pool. Managers should add value above these benchmarks. Reports will include historical data in order to evaluate short-term results against longer-term strategies.

E. Guidelines for Corrective Action

Corrective action should be taken as a result of an ongoing investment managers review process. The following are instances where corrective action or termination may be in order:

1. Major organizational changes in a firm, including any changes in portfolio managers, may require a new contract and interview process. Failure on the part of the Investment Manager to notify the Committee of such changes is grounds for termination. At all times, communication with the managers should be open and informative. Investment managers should be willing and able to meet at least annually with the Committee.
2. Violation of terms of contract constitutes grounds for termination.
3. As part of its overall asset allocation strategy, the Committee will choose managers with certain styles and approaches to provide portfolio diversification. Therefore, it is critical that managers adhere to the original intent of the Committee at the time they are engaged. Any significant changes in investment approach may be grounds for termination.
4. The Committee will not, as a rule, terminate a manager on the basis of short-term performance. If the organization is sound and the firm is adhering to its style and approach, the Committee will allow a sufficient interval of time over which to evaluate performance. The Committee's consultant will provide insight regarding the appropriate length of time. The manager's performance will be viewed in light of the firm's particular style and approach, keeping in mind at all times the Pool's diversification strategy and, as well as, other organizational and relationship issues.
5. Investment managers may be replaced at any time as part of the overall restructuring of the Endowment Pool
6. Other events or circumstances that are deemed to be in the best interest of the University.

F. Endowment Spending Policy

The long-term objective of the endowment spending policy is to maintain the purchasing power of each endowment with the goal of providing a predictable and sustainable level of income to support current operations. Under this policy, spending for a given year equals 80% of spending in the previous year, adjusted for inflation (CPI within a range of 0% and 6%), plus 20% of the long-term spending rate (4.0%) applied to the twelve month rolling average of market values. This spending policy has two implications. First, by incorporating the previous year's spending, the policy eliminates large fluctuations and so enables the University to plan for operating budget needs. Second, by adjusting spending toward a long-term

rate of 4.0%, the policy ensures that spending levels will be sensitive to fluctuating market value levels thereby providing stability in long-term purchasing power.

The annual administrative fee is calculated each year using the spending model described above using a rate authorized by the Board, currently 1.0%.

An example of the spending calculation can be found in Appendix 2.

G. Gift Policy

It is anticipated that from time to time the University will receive gifts in the form of marketable securities. In such event, the Endowment Investment Office will liquidate the securities as soon as possible. In the event that the securities are restricted from sale for a designated period of time due to regulatory reasons, the University will hold said securities until the restricted period has elapsed and then liquidate the securities as soon as possible thereafter. The University will make no attempt to add value to the Pool by holding gifted securities.

H. Custodian and Depository Activities

The custodian and depository activities of the endowment pool, including agreements with any banks for the temporary, short-term investment of cash and equivalents will be subject to annual review by the Committee to assure that the University is receiving competitive rates and services.

Appendix 1

Asset Allocation Input Assumptions Expected Returns, Risks, and Correlations

	Expected Nominal Return	Expected Standard Deviation	Domestic Public Equity	Non-U.S. Developed Equity	Emerging Markets	Global Private Equity	Flexible Capital	Natural Resources (Private)	Natural Resources (Public)	Commodities	Real Estate (Private)	Real Estate (Public)	U.S. TIPS	Core Bonds	Long Gov/Corp	High Yield	Municipal Bonds	U.S. Treasuries (5+ Years)	Non-U.S. Government Bonds	Cash
Domestic Public Equity	8.5	20.0	1.00																	
Non-U.S. Developed Equity	8.5	20.0	0.82	1.00																
Emerging Markets	10.5	28.0	0.70	0.70	1.00															
Global Private Equity	12.3	30.0	0.67	0.58	0.50	1.00														
Flexible Capital	7.8	12.0	0.80	0.72	0.81	0.74	1.00													
Natural Resources (Private)	11.3	26.0	0.51	0.47	0.40	0.64	0.48	1.00												
Natural Resources (Public)	9.3	22.0	0.62	0.67	0.53	0.46	0.59	0.39	1.00											
Commodities	7.5	20.0	0.04	0.16	0.17	0.13	0.17	0.17	0.59	1.00										
Real Estate (Private)	10.3	26.0	0.11	0.12	-0.04	0.26	-0.02	0.28	0.20	0.20	1.00									
Real Estate (Public)	8.8	22.0	0.58	0.51	0.43	0.37	0.48	0.35	0.50	0.21	0.19	1.00								
U.S. TIPS	3.0	5.0	-0.30	-0.22	-0.07	-0.15	-0.13	-0.08	-0.10	0.28	0.05	0.05	1.00							
Core Bonds	4.0	6.5	-0.10	-0.10	-0.19	-0.21	-0.13	-0.11	-0.16	-0.14	-0.16	0.06	0.65	1.00						
Long Gov/Corp	4.3	11.0	-0.13	-0.11	-0.21	-0.18	-0.17	-0.11	-0.22	-0.21	-0.10	0.03	0.52	0.91	1.00					
High Yield	6.8	11.0	0.66	0.57	0.62	0.37	0.66	0.37	0.51	0.08	-0.11	0.62	0.09	0.05	0.00	1.00				
Municipal Bonds	3.5	7.5	0.02	0.02	-0.03	-0.11	0.04	0.02	0.01	-0.04	-0.17	0.12	0.69	0.81	0.75	0.24	1.00			
U.S. Treasuries (5+ Years)	3.8	10.0	-0.32	-0.31	-0.39	-0.26	-0.35	-0.21	-0.41	-0.30	-0.05	-0.17	0.44	0.82	0.94	-0.26	0.62	1.00		
Non-U.S. Government Bonds	4.3	10.5	-0.08	0.21	-0.13	-0.12	-0.14	0.06	0.00	0.08	-0.12	0.01	0.32	0.51	0.46	-0.07	0.37	0.40	1.00	
Cash	2.5	2.0	0.04	-0.06	0.00	0.12	0.17	0.07	0.03	0.18	0.15	-0.06	0.06	0.27	0.09	-0.13	0.18	0.10	0.00	1.00

* Expected returns are for the next 10-15 years; correlations are based on nominal returns from January 1, 1988-December 31, 2013

Appendix 2

Sample Spending Calculation

In accordance with the Auburn University Endowment Fund Investment Policy, the endowment spending is calculated using the following formula:

$$[(80\%)(\text{prior year's distribution})(1+\text{CPI})] + [(20\%)(\text{twelve-month rolling average market values})(4.0\%)]$$

Spending Calculation Example:

A hypothetical endowment with a \$1,000,000 gift value has a prior year distribution of \$40,000. CPI was 3.4%. The twelve-month rolling average of market values is \$1,080,000.

$$[(.80) (\$40,000)(1+.034)] + [(.20)(\$1,080,000)(.04)] = \$41,728.$$

Responsibility Table

FIDUCIARY LEVEL	WRITTEN INVESTMENT POLICY	WRITTEN INVESTMENT STRATEGY	TACTICAL ALLOCATION AMONG ASSET CLASSES	STRATEGY WITHIN AN ASSET CLASS	MANAGER & FUND SELECTIONS	SECURITY SELECTION
BOARD OF TRUSTEES	APPROVES	INFORMED	INFORMED			
INVESTMENT COMMITTEE	RECOMMENDS	APPROVES	APPROVES	APPROVES	APPROVES	INFORMED
INVESTMENT CONSULTANT & STAFF	RECOMMENDS	RECOMMENDS	RECOMMENDS	RECOMMENDS	RECOMMENDS AND MONITORS	MONITORS
INDIVIDUAL MANAGERS						APPROVES

AUDIT COMMITTEE
RESOLUTION
APPROVAL OF INDEPENDENT AUDITORS
FOR FISCAL YEAR ENDED SEPTEMBER 30, 2015

WHEREAS, The Audit Committee of the Board of Trustees is charged with recommending the appointment of the university's external auditor; and

WHEREAS, consistent with the Board policy on the selection of External Auditors requiring periodic review of auditor relationships, and

WHEREAS, a broad based twelve member Selection Committee was formed for purposes of performing such periodic review, and

WHEREAS, the Committee solicited Statements of Qualification from twelve audit firms, yielding six proposals for consideration, and

WHEREAS, extensive review of the Committee resulted in the recommendation of the Audit firm considered most qualified to serve Auburn University needs, and

WHEREAS, The Audit Committee agrees with the conclusion of the Search Committee and recommends the appointment of PricewaterhouseCoopers to audit the university's financial statements and to conduct the federally required A-133 audit.

NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that PricewaterhouseCoopers is appointed as the university's external auditor.



AUBURN UNIVERSITY
ASSOCIATE VICE PRESIDENT FOR
BUSINESS AND FINANCE

April 6, 2015

TO: Jay Gogue, President

THROUGH: Donald L. Large, Jr., Executive Vice President

FROM: Amy K. Douglas, Controller *AKD*
Marcie C. Smith, Senior Associate Vice President for Business and Finance *MCMS*

SUBJECT: Audit Committee
Selection of External Auditors

This memorandum requests that the following proposal be presented to the Board of Trustees through the Audit Committee for inclusion on the agenda of the meeting scheduled for April 17, 2015.

Proposal:

It is proposed that Auburn University select PricewaterhouseCoopers, LLP to perform the annual financial statement audit and audit of federal funds, beginning with the fiscal year ending September 30, 2015. The firm will also be engaged to perform review services for Auburn University Montgomery and miscellaneous tax services.

Review and Consultation:

The management selection team vetted all Requests for Proposal respondents and has referred the recommendation for selection of PricewaterhouseCoopers, LLP to Senior Management. Senior Management has concurred with the management selection team choice of firm.

Rationale for Recommendation:


Per the *Policy on the Selection of External Auditors (E-5.)* requiring periodic review of auditor relationships, Auburn University chose a 12 member Selection Committee for the purposes of soliciting proposals for auditor services. The broad based committee consists of individuals who work with external auditors. The Committee solicited Statements of Qualifications from 12 audit firms. The Request for Proposal yielded six proposals for consideration. Two firms were selected for oral presentation. Following oral presentation, the Selection Committee recommends the selection of PricewaterhouseCoopers, LLP. This recommendation is based on the perceived ability to provide expert, higher education audit services to a complex research university as confirmed in reference review, the submitted proposal, the oral presentation and follow-up questions. Overall pricing as a function of proposed hours was also a consideration in the recommendation.



AUBURN UNIVERSITY
OFFICE OF THE PRESIDENT

March 18, 2015

TO: Jay Gogue, President

THROUGH: Donald L. Large, Jr., Executive Vice President 

FROM: Marcie C. Smith, Senior Associate Vice President for Business and Finance

SUBJECT: Executive Committee
Policy on the Selection of Financial Institutions (Board Policy E-6)

Proposal:

It is proposed that *E-6. Policy on the Selection of Financial Institutions*, policy established November 5, 1993 (attached), be removed from Board of Trustee policy, and rather selection of all financial institutions with which Auburn University conducts business, be the responsibility of Management through a comprehensive Request for Proposal process and Financial Policies requiring periodic review of such services at least every five years.

Review and Consultation:

The existing policy was reviewed for purposes of updating. In discussion of updating the policy with Senior Management, the consideration for removal of the policy from Board policy was recognized.

Rationale for Recommendation:

The existing policy uses out of date terminology, has historically been applied only to operating banking relationships and indicates that the Board committee ("Budget Committee") will select financial institutions for banking relationships. Since the adoption of the policy, technology and higher education best practices have led to an expansion of historical banking relationships beyond checking account services. Such services include, but are not limited to, merchant services for credit card acceptance, purchasing card, ATM services, and investment services. These service relationships have been established through competitive Request for Proposal processes with pricing, quality, protection of data and other considerations weighed by a comprehensive selection team chosen for their expertise in such services and associated Auburn University processes. Selection of financial service providers in this manner is consistent with selection of business partners, software providers and all other vendor relationships.

E- 6. POLICY ON THE SELECTION OF FINANCIAL INSTITUTIONS

As an integral part of its fiduciary responsibilities, the Board of Trustees deems it important that the University, as a public entity, review periodically to determine that its best interests continue to be served relative to pricing and quality of its financial or banking services. In an effort to ensure effective periodic reviews of these important relationships, Auburn University will seek formal proposals every five (5) years from qualified financial institutions in accordance with the following guidelines:

1. Obtain the Budget Committee's approval for a plan to seek proposals for the financial or banking services.
2. President will identify six (6) to nine (9) members of a selection team.
3. Draft a Statement of Qualifications (SOQ).
4. Evaluate and select the institutions to receive a Request for Proposals (RFP).
5. Draft the RFP and evaluation criteria.
6. Mail RFP and information about the University to the selected financial institutions.
7. Allow each institution to perform a scope visit so that their proposal can address University needs.
8. Proposals will be opened in the presence of the Selection Committee.
9. Hold interviews and oral proposal presentations if needed.
10. Evaluate proposals and select finalist(s) to recommend to the Budget Committee.
11. Decisions made by Budget Committee and notification provided to financial institutions.

ADOPTED: November 5, 1993

REVISED: October 9, 1997

REAFFIRMED: June 19, 2009

EXECUTIVE COMMITTEE

RESOLUTION

POSTHUMOUS AWARDING OF THE BACHELOR OF SCIENCE DEGREE
IN PSYCHOLOGY TO NICHOLAS RICHARDSON

WHEREAS, Nicholas Richardson, an undergraduate student at Auburn University at Montgomery, passed away on September 20, 2014; and

WHEREAS, Nicholas Richardson would have completed the requirements necessary for a Bachelor of Science Degree in Psychology; and

WHEREAS, the Head of the Department of Psychology; the Dean of the College of Arts & Sciences; the Provost; and the Chancellor recommend that the degree of Bachelor of Science in Psychology be awarded posthumously,

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the degree of Bachelor of Science in Psychology is hereby granted posthumously to Nicholas Richardson in recognition of this academic achievement as an undergraduate student at Auburn University.

BE IT FURTHER RESOLVED that the Board hereby expresses its sympathy and condolences to the family of Nicholas Richardson in this period of sadness and that a copy of this resolution be presented to them so they will know of this action and the university's deep loss.



AUBURN

MONTGOMERY

OFFICE OF THE CHANCELLOR

To: Dr. Jay Gogue
President

From: John G. Veres III *JV*
Chancellor

Date: March 6, 2015

Subject: Posthumous Degree Award for Nicholas Richardson

TO: Grant Davis
OK by me
Jim

Nicholas Richardson, a senior pursuing a Bachelor of Science in Psychology, passed away on September 20, 2014. At the time of his death he was nearing completion of the work required for his degree and was in good standing.

Upon recommendation of the faculty in the Department of Psychology and with the concurrence of Dean Michael Burger, and Provost King, I am requesting that a posthumous degree be awarded to Mr. Nicholas Richardson. I recognize that final approval for awarding a posthumous degree rests with the Board of Trustees. I am asking for your help in moving this forward for Board consideration.

Thank you for your time and consideration of this request.



To: John G. Veres III
Chancellor

From: Joe M. King
Provost

Date: March 6, 2015

Subject: Posthumous Degree Award for Nicholas Richardson


I am requesting that you consider forwarding a request for a posthumous degree for Nicholas Richards.

Nicholas was a senior pursuing a Bachelor of Science in Psychology and passed away on September 20, 2014 from injuries sustained in an automobile accident. At the time of his death he was nearing completion of the work required for his degree and was in good standing.

Thank you for your time and consideration of this request.



To: Joy Clark, Associate Provost for Undergraduate Affairs


From: Michael Burger, Dean of the College of Arts and Sciences

Date: March 6, 2015

Re: Posthumous degree for Nicholas Richardson

I write to recommend the award of a posthumous degree to Nicholas Richardson, an undergraduate who sadly died early in the Fall 2014 semester. Mr. Richardson, who had an overall GPA of 3.43, was making fine progress on the BS in Psychology and was expected to be graduated in a couple of semesters. The faculty and the chair of the Department support this recommendation. You will find attached to this memorandum letters of support from a member of the psychology faculty as well as the chair of the department.

Please let me know if I can supply any further information on this matter.

AUBURN UNIVERSITY AT MONTGOMERY

College of Arts & Sciences ♦ *Office of the Dean*

P.O. Box 244023, Montgomery, AL 36124-4023; 334-244-3678 (telephone); 334-244-3826 (fax)



AUBURN UNIVERSITY AT MONTGOMERY

SCHOOL OF SCIENCES

Psychology Department

March 6 2015

Dear Dean Burger:

I am requesting your consideration of granting Mr. Nicholas A. Richardson a posthumous degree (BS in Psychology). Nic died last September and he was very close to graduating. He only had statistics and a WI class left in his major and the math requirement in the core to complete. His overall GPA was 3.43.

The faculty of the Psychology department and I are in support of this posthumous honor. I have attached a copy of his transcript for your review. Please contact me if you need further information.

Thank you for considering this request,

A handwritten signature in black ink, appearing to read "Glen E. Ray", is written over the typed name.

Dr. Glen E. Ray
Professor and Chair
Department of Psychology

P.O. Box 244023

Montgomery, AL 36124

334-244-3306

Fax 334-244-3826

March 2, 2015

Dr. Joy Clark
Associate Provost of Undergraduate Students
Auburn University Montgomery

Dear Dr. Clark:

I am writing to you regarding Nicholas Richardson who, I understand, is being considered for a posthumous degree. I knew Nick as a student in several of my undergraduate psychology courses and as my advisee. I knew him fairly well as he met frequently with me. Nick was a very conscientious student and performed well in my classes. He was making progress toward his bachelor's degree in psychology and was within a couple of semesters of graduating. He was devoted to his young son and was committed to the idea of doing well for his son's sake. The last time we met, he told me he had made the decision to pursue a career in psychology. This decision was not one he made easily as he had been planning to follow in his uncle's footsteps and go into law. Nick was a thoughtful, gentle, likable young man, much loved by his family. I will miss seeing him around, and it gives me pleasure to know that we are considering awarding him a posthumous degree. Please let me know if I can be of further assistance.

Sincerely yours,

Sheila Mehta, Ph.D.
Associate Professor of Psychology

P.O. Box 244023
Montgomery, AL 36124-4023

Telephone:

334-244-3306

Fax:

334-244-3826

EXECUTIVE COMMITTEE

RESOLUTION

REVISION OF POLICY ON ERECTING STATUES, MONUMENTS, OR OTHER TYPES OF
RECOGNITION ON CAMPUS

WHEREAS, current Board Policy sets forth the approval process to erect statues, monuments, or other types of recognitions; and

WHEREAS, authorizing the President to approve the placement of plaques or similar recognition at or near the former site of buildings, structures, or locations that were named by prior action of the Board of Trustees is a ministerial act not warranting further action of the Board.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees adopts the revised "Policy on Erecting Statues, Monuments, or Other Types of Recognition on Campus" as set forth on Exhibit A.

EXHIBIT A

POLICY ON ERECTING STATUES, MONUMENTS, OR OTHER TYPES OF RECOGNITION ON CAMPUS

POLICY STATEMENT

Statues or monuments will be erected only for persons and entities that have made outstanding or meritorious contributions to Auburn University, or have attained the highest level of distinction in their fields of endeavor. Other types of recognitions, including but not limited to plaques or historical markers, will be erected only in recognition of significant events of lasting importance to Auburn University.

POLICY PROCEDURES

- Requests or recommendations for erecting statues, monuments, or other types of recognition on campus must be advanced to the University President through the affected unit's administrative head, Dean, Director, Vice President or Provost. When the request is to place a plaque or similar recognition at the site of a building, structure or other location that had been named for a person or other entity by prior action of the Board of Trustees, the President is authorized to approve that recognition without further action of the Board.
- When the erecting of statues, monuments, or other types of recognition on campus is a part of a private fundraising effort or campaign, all aspects of the project will be considered in the fundraising effort including, but not limited to commissioning and building the statue, monument, or other recognition, preparing the erection site, and erecting the piece. The Vice-President for Development is responsible for submitting such requests to the University President for approval.
- Except for the actions authorized by the President above, all other requests shall require that the President ~~The President will~~ forward supporting documentation for erecting statues, monuments, or other types of recognitions on campus to the President Pro Tem of the Board of Trustees. Upon the concurrence of the President Pro Tem, the proposal will be placed on the Agenda for consideration by the Board of Trustees. Issues, if any, concerning the general reputation and character of candidates shall be discussed in Executive Session. Only through a positive vote of three-fourths of the members present of the Board of Trustees, by written ballot in Open Session, shall a statue, monument, or other type of recognition be erected on campus.
- Approval must be given by the Board of Trustees before any promise or offer concerning the erecting of statues, monuments, or other types of recognition on campus may be made.

ADOPTED: April 20, 2012



TO: Dr. Jay Gogue, President

FROM: Lee F. Armstrong, General Counsel

SUBJECT: EXECUTIVE COMMITTEE
Proposed Revised Board Policy

DATE: APRIL 7, 2015

This is to request that the following proposal be submitted to the Board of Trustees through the Executive Committee and included on the agenda of the meeting scheduled for April 17, 2015.

Proposal: It is recommended that a revision of the "Policy on Erecting Statutes, Monuments, or Other Types of Recognition on Campus" to authorize the President to approve placement of a plaque or similar recognition at or near the site of a building, structure or other location that had been named for a person or entity by prior action of the Board of Trustees.

Review and Consultation: This proposal has been discussed with the Executive Vice President and the Provost.

Rationale for Recommendation:

Requests are received periodically to place a historical marker at a site at or near which was formerly the location of a building, structure, or area that had previously been named for an individual or entity by the Board of Trustees. Given the decision to name that building, structure, or area had already been approved by a previous Board, as a practical matter, the request is simply recognition of that action and requiring additional Board of Trustee action to place such recognition at or near that former site is a ministerial act not warranting additional Board action.