



AUBURN UNIVERSITY  
OFFICE OF THE PRESIDENT

May 27, 2016

**MEMORANDUM TO:** Board of Trustees

**SUBJECT:** June 9-10, 2016 Board of Trustees Workshop and Meeting

Enclosed are materials that comprise the proposed agenda for the Thursday, June 9, 2016 workshop and Friday, June 10, 2016 meeting of the Board of Trustees. Listed below is the tentative schedule; times and locations that are subject to adjustment, depending on length of individual meetings.

Thursday, June 9, 2016

1:30 p.m. Workshop (Pebble Hill Program Building)

Friday, June 10, 2016 (Ballroom B, AU Hotel)

8:30 a.m. Agriculture and Natural Resources and Property and Facilities  
9:00 a.m. Academic Affairs, AUM and Finance Committees  
9:15 a.m. Audit Committee  
9:30 a.m. Executive Committee  
9:45 a.m. Trustee Reports  
10:00 a.m. Regular Meeting of the Board of Trustees (Ballroom B, AU Hotel)  
(Proposed Executive Session – Meeting Room A, AU Hotel)  
10:30 a.m. Reconvened Meeting of the Board of Trustees (Ballroom B, AU Hotel)  
11:00 a.m. Brunch (Ballroom A, Right, AU Hotel)

We appreciate all that you do for Auburn University and look forward to seeing you on Thursday, June 9, 2016 and Friday, June 10, 2016. Please call me, if you have questions regarding the agenda. Also, please let Grant Davis, Sherri Williams, or me know if you need assistance with travel and/or lodging arrangements.

Sincerely,

Jay Gogue  
President

JG/smw

Enclosure

c: President's Cabinet (w/encl.)  
Mr. Grant Davis (w/encl.)

**SCHEDULE & AGENDA**  
**AUBURN UNIVERSITY BOARD OF TRUSTEES**  
**JUNE 9-10, 2016**  
**AUBURN UNIVERSITY MAIN CAMPUS**

**THURSDAY, JUNE 9, 2016**

- I. 1:30 p.m. - Workshop (Pebble Hill Program Building)

**FRIDAY, JUNE 10, 2016**

- I. Meetings (Ballroom B, AU HOTEL)

\*\*Meetings will begin at 8:30 a.m. - - all other meetings are subject to change in starting time, depending upon the length of individual meetings.

- A. Agriculture and Natural Resources, and Property and Facilities Committees/Chairpersons Sanford and Roberts/8:30 a.m.

**Project Approvals:**

1. Gavin Engineering Research Laboratory Renovation, Final Project Approval (Dan King/Chris Roberts)
2. Broun Hall Renovation, Final Project Approval (Dan King/Chris Roberts)
3. Leach Science Center Addition, Final Project Approval (Dan King/Nicholas Giordano)
4. Performing Arts Center, Selection of Construction Manager (Dan King/Don Large)
5. Haley Center Quad Renovation, Approval of Project Initiation and Authorization to Commence Project Architect Selection Process (Dan King/Tim Boosinger)
6. Recreation & Wellness Center Basement Buildout, Approval of Project Initiation and Authorization to Commence the Project Architect Selection Process (Dan King/Bobby Woodard)
7. Poultry Infectious Disease Biocontainment Research Facility & Fish Biodiversity Laboratory Relocation, Approval of Project Initiation and Selection of the Project Architect (Dan King/Paul Patterson)
8. Auburn University Hotel & Dixon Conference Center Ballroom Renovation, Approval of Project Initiation and Selection of the Project Architect (Dan King/Don Large)
9. Timber Harvest and Sales at the Solon Dixon Forestry Education Center (Dan King/Janaki Alavalapati)

**Approval of Real Estate Transactions:**

10. Kappa Sigma Fraternity Lease Extension (Dan King/Mark Stirling)

**Informational Reports:**

11. Real Estate Holdings Annual Report (Dan King/Mark Stirling) *For Information Only*

12. Status Updates: For Information Only
  - a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater
  - b. Project Status Report
- B. Academic Affairs, AUM, and Finance Committees/Chairpersons Newton, Sahlie and Harbert/9:00 a.m.
  1. Proposed Bachelor of Science Degree in Agricultural Science (Tim Boosinger/Paul Patterson) (Academic Affairs)
  2. Proposed Bachelor of Science Degree in Geospatial and Environmental Informatics (Tim Boosinger/Janaki Alavalapati) (Academic Affairs)
  3. Proposed Changes to Faculty Personnel Policies (Tim Boosinger) (Academic Affairs)
  4. Proposed Department of Communication Disorders, Auburn University at Montgomery (John Veres/Joe King) (Academic Affairs and AUM)
  5. Proposed Undergraduate Certificate in Substance Abuse Prevention Studies (John Veres/Joe King) (Academic Affairs and AUM)
  6. Proposed Graduate Certificate in Nursing Education (John Veres/Joe King) Academic Affairs and AUM)
  7. Proposal to Establish a Professional Fee for the Interior Design Program in the College of Human Sciences (Tim Boosinger/June Henton) (Academic Affairs and Finance)
  8. Proposal to Increase Professional Fees for the College of Veterinary Medicine (Tim Boosinger/Calvin Johnson) (Academic Affairs and Finance)
  9. Non-Endowment Cash Pool Investment Policy and Guidelines (Don Large/Marcie Smith) (Finance)
  10. Proposed Revisions to Auburn University Debt Policy (Don Large/Marcie Smith) (Finance)
  11. Fiscal-Year 2017 Budget Development and Salary/Wages Guidelines) (Don Large/Marcie Smith) (Finance)
- C. Audit and Compliance Committee/Chairperson Dumas/9:15 a.m.
  1. Receipt of Code of Ethics Compliance Documents (Bob Dumas)
  2. Presentation by External Auditors PricewaterhouseCoopers Regarding FY16 Audits (Don Large)
- D. Executive Committee/Chairperson DeMaioribus/9:30 a.m.
  1. Posthumous Awarding of the Bachelor of Science Degree to John Eric Jaegge (Tim Boosinger)
  2. Proposed Policy Statement (Lee Armstrong)
  3. Election of Officers (Mike DeMaioribus)

4. Presidential Assessment (Mike DeMaioribus)
5. Proposed Awards and Namings (Mike DeMaioribus)

E. Trustee Reports/9:45 a.m.

1. Academic Affairs/Sarah Newton, Chair
2. Agricultural and Natural Resources/Jimmy Sanford, Chair
3. Audit and Compliance/Bob Dumas, Chair
4. AUM Committee/Clark Sahlie, Chair
5. Executive Committee/Mike DeMaioribus, Chair
6. Finance/Mr. Raymond Harbert, Chair
7. Governmental Affairs/Jimmy Rane, Chair
8. Institutional Advancement (Development and AU Foundation)/Wayne Smith, Chair
9. Property and Facilities Committee/B.T. Roberts, Chair
10. Research and Technology (Economic Development)/Mr. Jim Pratt, Chair
11. Student Affairs/Ms. Elizabeth Huntley, Chair
12. Alumni/Mr. Clark Sahlie, Lead Trustee
13. Athletics/Mr. Gaines Lanier, Lead Trustee
14. Legal/Ms. Elizabeth Huntley, Lead Trustee

II. REGULAR MEETING OF THE BOARD OF TRUSTEES/10:00 A.M.  
(Ballroom B, AU Hotel)

Proposed Executive Session (Meeting Room A, AU Hotel)

III. RECONVENED MEETING OF THE BOARD OF TRUSTEES/10:30 A.M.  
(Ballroom B, AU Hotel)

(Agenda items are determined primarily based upon committee actions.)

11:00 A.M. - Brunch (Ballroom A, right)



## RESOLUTION

### APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the Reconvened Meeting on Friday, April 8, 2016 have been distributed to all members of this Board for review.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees that the minutes of its Friday, April 8, 2016 meeting is hereby approved as distributed.

## RESOLUTION

### AWARDING OF DEGREES

WHEREAS, Auburn University confers appropriate degrees upon those individuals who have completed requirements previously approved by this Board of Trustees and stated in University Catalogs.

NOW, THEREFORE, BE IT RESOLVED as follows:

1. That all degrees to be awarded by the faculty of Auburn University at the end of Summer Semester 2016, complying with the requirements heretofore established by the Board of Trustees, be and the same are hereby approved.
2. That a list of the degrees awarded be filed in the Book of Exhibits and made a part of this resolution and of these minutes.

**EXECUTIVE SUMMARIES**  
**JUNE 10, 2016**

**A. Agriculture and Natural Resources and Property and Facilities Committees**

**Project Approvals:**

1. Gavin Engineering Research Laboratory Renovation, Final Project Approval (Dan King/Chris Roberts)

Project Summary: This project involves the complete renovation of the Gavin Engineering Research Laboratory, formerly known as the Textile Building. The renovation will provide the necessary space to relocate and consolidate research labs for:

- a) Polymer Composites Center
- b) Additive Manufacturing
- c) Aerospace Engineering Wind Tunnels
- d) Nuclear Power Generation Simulation
- e) Pulp & Paper Pilot Machine
- f) General Research assets from the Engineering Shops and L-Building

Requested Action: It is requested that the Board of Trustees approve the project program, site, budget, funding plan, and schematic design for the Gavin Engineering Research Laboratory Renovation project. The estimated total project cost of the Gavin Engineering Research Laboratory Renovation project is \$16.75 million, to be financed by University general funds and Samuel Ginn College of Engineering gift funds.Previous Approvals: At its meeting held on November 7, 2014, the Board of Trustees adopted a resolution that approved the initiation of the Gavin Engineering Research Laboratory Renovation project and authorized the commencement of the architect selection process. At its meeting of February 6, 2015, the Board of Trustees approved the firm Stevens & Wilkinson, of Atlanta, Georgia, as the project architect. Project Execution Schedule: October 2016-October 2017.

2. Broun Hall Renovation, Final Project Approval (Dan King/Chris Roberts)

Project Summary: The Broun Hall Renovation project includes a two-story main entrance addition, revised lighting, finishes, information technology, mechanical and electrical systems, and landscape improvements adjacent to the concourse. This modernization will create a high quality teaching and learning environment for current students and faculty and will significantly improve the College of Engineering's ability to recruit electrical and computer engineering students.

Requested Action: It is requested that the Board of Trustees approve the project program, site, budget, funding plan, and schematic design for the Broun Hall Renovation project.

The estimated total project cost of the Broun Hall Renovation project is \$5.0 million, to be financed by Samuel Ginn College of Engineering gift funds.

Previous Approvals: At its meeting on June 5, 2015, the Board of Trustees approved the project initiation and authorized the commencement of the architect and construction manager selection processes. At its meeting held on June 5, 2015, the Board of Trustees approved the firm Chambliss King Architects, of Montgomery, Alabama, as the project architect. Project Execution Schedule: December 2016-November 2017.

3. Leach Science Center Addition, Final Project Approval (Dan King/Nicholas Giordano)

Project Summary: The project will construct a 50,000 square foot addition to the Leach Science Center consisting of instructional laboratories, research laboratories, student success and collaborative study spaces, departmental offices, and support facilities for the College of Sciences and Mathematics. The project will relocate and consolidate the Physics Department and faculty from Parker Hall and Allison Laboratory. Requested Action: It is requested that the Board of Trustees approve the project program, site, budget, funding plan, and schematic design for the Leach Science Center Addition project. The estimated total project cost of the Leach Science Center Addition project is \$19.0 million, to be financed by University general and gift funds. Previous Approvals: At its meeting on September 25, 2015, the Board of Trustees approved the project initiation, and approved the firm Perkins+Will, of Atlanta, Georgia, as the project architect. Project Execution Schedule: November 2016-January 2018.

4. Performing Arts Center, Selection of Construction Manager (Dan King/Don Large)

Project Summary: The University proposes to construct a new Performing Arts Center to celebrate the performing arts at Auburn University, and within the region, by providing a high quality space to support musical, theatrical, and other events. Requested Action: It is requested that the Board of Trustees approve the firm B. L. Harbert International Inc., of Birmingham, Alabama, as the construction manager for the Performing Arts Center project. Previous Approvals: At its meeting on April 8, 2016, the Board of Trustees approved the firm tvsdesign, of Atlanta, Georgia, teamed with Wilson Butler, of Boston, Massachusetts, as the project architects.

5. Haley Center Quad Renovation, Approval of Project Initiation and Authorization to Commence the Project Architect Selection Process (Dan King/Tim Boosinger)

Project Summary: The Provost proposes a project to renovate part of Haley Center to facilitate the relocation of the Department of Mathematics and Statistics from Parker Hall and Allison Laboratory. In preparation for construction of the Academic Classroom and Laboratory Complex, all departmental and academic functions need to be relocated from Parker Hall and Allison Laboratory prior to the demolition of those buildings. Requested Action: It is requested that the Board of Trustees approve the Haley Center Quad Renovation project and authorize the commencement of the project architect selection process. Previous Approvals: None.

6. Recreation & Wellness Center Basement Buildout, Approval of Project Initiation and Authorization to Commence the Project Architect Selection Process (Dan King/Bobby Woodard)

Project Summary: Campus Recreation proposes a project to build out approximately 13,000 square feet of space in the basement of the Recreation & Wellness Center (RWC) to create dedicated space for personal training, small group training, and powerlifting/Olympic-style weightlifting programs. Since its opening in August 2013, the RWC's personal training programs have continued to steadily grow along with an increasing demand for additional small group and powerlifting space. Requested Action: It is requested that the Board of Trustees approve the Recreation & Wellness Center Basement Build Out project and authorize the commencement of the project architect selection process. Previous Approvals: None.

7. Poultry Infectious Disease Biocontainment Research Facility & Fish Biodiversity Laboratory Relocation, Approval of Project Initiation and Selection of the Project Architect (Dan King/Paul Patterson)

Project Summary: The College of Agriculture has ongoing research programs in the Poultry Infectious Disease Biocontainment Research Facility & Fish Biodiversity Laboratory located along Woodfield Drive. However, these facilities are in the proposed footprint of the new Performing Arts Center and need to be relocated. To begin the relocation process, it is recommended that the subject project be initiated. This relocation needs to be accomplished per a schedule that supports the construction of the Performing Arts Center, beginning in 2017. Requested Action: It is requested that the Board of Trustees approve the Poultry Infectious Disease Biocontainment Research Facility & Fish Biodiversity Laboratory Relocation project and authorize Foil Wyatt Architects and Planners, of Jackson, Mississippi, as the project architect. Previous Approvals: None.

8. Auburn University Hotel & Dixon Conference Center Ballroom Renovation, Approval of Project Initiation and Selection of the Project Architect (Dan King/Don Large)

Project Summary: As part of a continuing effort to enhance the facility to better serve the University and its guests, the Auburn University Hotel & Dixon Conference Center proposes a project to renovate and expand the conference center. The intent of these renovations is to better utilize available space within the hotel, to expand the size of Ballroom A, and to provide more flexible use of the conference center space. Requested Action: It is requested that the Board of Trustees approve the Auburn University Hotel & Dixon Conference Center Ballroom Renovation project and authorize Birchfield Penuel & Associates, of Birmingham, Alabama, as the project architect. Previous Approvals: None.

9. Timber Harvest and Sales at the Solon Dixon Forestry Education Center (Dan King/Janaki Alavalapati)

Project Summary: Auburn University owns and manages lands known as the Solon Dixon Forestry Education Center, consisting of approximately 5,350 acres located in Escambia and Covington Counties, Alabama. The School of Forestry & Wildlife Sciences manages timber harvesting and sales of said lands through full time professional staff at the Solon Dixon Forestry Education Center. Requested Action: It is requested that Board of Trustees authorize the President to implement the Five Year Forest Management Plan and approve an annual schedule for the harvesting and sale of timber consistent with University policy. Previous Approvals: The Board of Trustees approved the Five Year Forest Management Plan for Solon Dixon Forestry Education Center at its meeting on September 25, 2015.

#### **Approval of Real Estate Transactions:**

10. Kappa Sigma Fraternity Lease Extension (Dan King/Mark Stirling)

Project Summary: The Kappa Sigma Fraternity previously occupied a fraternity house located on the Auburn University campus at 104 Hemlock Drive, pursuant to a ground lease that expires May 31, 2063. The ground lease stipulated that Kappa Sigma was to execute an extensive renovation and repair project on the existing house, to be completed by 2017. Since that time, subsequent studies and inspections have revealed major structural deficiencies. Kappa Sigma has decided to demolish the existing house by December 2016, and to proceed with a project to design and construct a new house on the site. The fraternity will be required to complete all phases of work on the new house by May 31, 2022. Requested Action: It is requested that Board of Trustees approve the ground lease extension for Kappa Sigma Fraternity with new requirements to demolish and construct a new fraternity house by May 31, 2022. Previous Approvals: At its November 2012 meeting, the Board of Trustees approved the renewal of the ground lease for Kappa Sigma Fraternity contingent upon extensive renovations to be completed by 2017.

### **Informational Reports:**

11. Real Estate Holdings Annual Report (Dan King/Mark Stirling) *For Information Only*
12. Status Updates: For Information Only
  - a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater
  - b. Project Status Report

### **B. Academic Affairs, AUM and Finance Committees**

1. Proposed Bachelor of Science Degree in Agricultural Science (Tim Boosinger/Paul Patterson) (Academic Affairs)

The College of Agriculture is requesting approval of a new bachelor's degree program in Agricultural Science. The degree will provide students with a general agricultural science degree option as well as a double-major option for students in the Agriscience Education program. The program emphasizes broad knowledge of agricultural disciplines and will enable students to pursue jobs with agribusiness, extension, education, and other fields. No additional resources or space are required.

2. Proposed Bachelor of Science Degree in Geospatial and Environmental Informatics (Tim Boosinger/Janaki Alavalapati) (Academic Affairs)

The School of Forestry and Wildlife Sciences is requesting approval of a new undergraduate degree program in Geospatial and Environmental Informatics. The degree teaches students to use geospatial analyses of surface land use for the sustainable management of forest, wildlife, and natural resources, and also examines external factors that impact the environment. Graduates will be prepared for careers in non-profit, government, education and natural resource and environmental management fields. No additional resources or space are required.

3. Proposed Changes to Faculty Personnel Policies (Tim Boosinger) (Academic Affairs)

The University Senate has recommended additional changes to the faculty personnel policies. The changes were approved by the University Senate at the November 27, 2015 full Senate meeting and provide additional language and clarity. These changes were postponed to the June 2016 BOT meeting to allow the University to complete its annual promotion and tenure process.

4. Proposed Department of Communication Disorders, Auburn University at Montgomery (John Veres/Joe King) (Academic Affairs and AUM)

This proposal arose from an academic reorganization at AUM approved on November 7, 2014 by the Board of Trustees. This reorganization includes the transformation of an existing School of Nursing to a College of Nursing and Health Sciences with a School of Nursing, Department of Medical and Clinical Sciences, Speech and Hearing Clinic and Student Health Services. Since this reorganization, we have received Board and ACHE approval to elevate a Speech Pathology and Audiology option under the B.A. in Communication in the Department of Communication within the College of Arts and Sciences to a B.S. in Communication Disorders. We are now ready to place this degree into a Department of Communication Disorders within the College of Nursing and Health Sciences. This academic configuration places the health-related units together within the same college. This will foster greater collaboration between these units and, in fact, synergies are already beginning to occur between these units. Placing health-related units together makes them easier to locate by potential students and provides a platform for promoting existing programs and for the development of new programs. This proposal has been approved by the Dean of the College of Arts and Sciences, the Dean of the College of Nursing and Health Sciences, the Director of the Speech and Hearing Clinic and the Chair of the Department of Communication and Theatre. The Provost and Chancellor also endorse this proposal. The proposed new Department of Communication Disorders will not require additional funding.

5. Proposed Undergraduate Certificate in Substance Abuse Prevention Studies (John Veres/Joe King) (Academic Affairs and AUM)

The Department of Sociology, Anthropology and Geography at Auburn University at Montgomery currently offers a Bachelor's Degree in Sociology with concentrations in general sociology and marriage and family. The Department of Sociology, Anthropology, and Geography wishes to provide an undergraduate certificate in substance abuse prevention that will provide students with education, training and internship opportunities to prepare them to work with at-risk children, adolescents, transitional-age youth, and others at risk for developing substance abuse problems. This certificate will target sociology, social work and psychology students as well as current and aspiring paraprofessional in the community. This undergraduate certificate will assist Alabama in expanding the substance abuse workforce by supporting education and internship opportunities for the community. More importantly, this undergraduate certificate will expand our program offerings to include training that will be more attractive to students and will prepare them to compete and thrive in the substance abuse prevention job market. The proposed certificate would not require additional resources or faculty and has been endorsed by the Sociology faculty, the Head of the Department of Sociology, Anthropology and Geography, the Dean of the College of Public Policy and Justice, the Provost, and the Chancellor. It is requested that the Board consider a resolution to approve the proposed undergraduate certificate



in Substance Abuse Prevention Studies for Auburn University at Montgomery at the June 10, 2016 meeting.

6. Proposed Graduate Certificate in Nursing Education (John Veres/Joe King)  
(Academic Affairs and AUM)

This proposal is submitted to the committee from the College of Nursing and Health Sciences, School of Nursing for a Proposed Graduate Certificate in Nursing Education. Designed by the Nursing faculty to further develop professional nurses with skills in classroom, clinical, and online education necessary to meet the growing need for nursing faculty. This proposal was approved by the AUM Graduate Faculty, AUM School of Nursing, Dean of Nursing and Health Sciences, Provost and Chancellor. The program will require no additional resources. It is requested that the Board consider a resolution to approve the proposed Graduate Certificate in Nursing Education.

7. Proposal to Establish a Professional Fee for the Interior Design Program in the College of Human Sciences (Tim Boosinger/June Henton) (Academic Affairs and Finance)

The College of Human Sciences is requesting approval of a professional fee for all students enrolled in the Interior Design Program. Beginning Fall 2017, the proposed fee is \$1,000 per student, per semester, to be increased to \$2,000 per student, per semester in Fall 2018. The fee is proposed as a means of sustaining and enhancing existing instructional and research programs for Interior Design. The program maintains high demand, resulting in a need for additional resources to deliver courses and remain in compliance with recommended class sizes articulated by the unit's accrediting bodies. The program's most recent accreditation by the National Association of Schools of Art and Design and Auburn's Academic Program Review process indicate a need for the College to provide additional resources to address increased enrollment, in addition to facility and safety needs.

8. Proposal to Increase Professional Fees for the College of Veterinary Medicine (Tim Boosinger/Calvin Johnson) (Academic Affairs and Finance)

The College of Veterinary Medicine has requested to increase in its professional fees by \$542 per student, per semester (for resident and non-resident students), effective Fall 2017. The College first established a fee in 2011 to support the development of new teaching and research facilities, including instructional programs that enable the college to recruit prospective students and faculty. Increases in technological needs for its new facilities and continued enrollment growth have presented a significant need for additional revenue. The proposed

increase would enable the College to maintain assessment standards required by its accreditation bodies.

9. Non-Endowment Cash Pool Investment Policy and Guidelines (Don Large/Marcie Smith) (Finance)

Time will be allocated for a Non-Endowment Cash Pool Investment Policy and Guidelines.

10. Proposed Revisions to Auburn University Debt Policy (Don Large/Marcie Smith) (Finance)

Time will be allocated for proposed revisions to Auburn University Debt Policy.

11. Fiscal-Year 2017 Budget Development and Salary/Wages Guidelines (Don Large/Marcie Smith) (Finance)

Time will be allocate for the Fiscal-Year 2017 Budget Development and Salary Wages Guidelines.

C. **Audit Committee**

1. Receipt of Code of Ethics Compliance Documents (Bob Dumas)

Time will be allocated to report on the receipt of the 2015-2016 Code of Ethics Compliance Documents.

2. Presentation by External Auditors PricewaterhouseCoopers Regarding FY16 Audits (Don Large)

Time will be allocated for a presentation by External Auditors PricewaterhouseCoopers regarding FY16 Audits.

D. **Executive Committee**

1. Posthumous Awarding of the Bachelor of Science Degree to John Eric Jaegge (Tim Boosinger)

The College of Sciences and Mathematics is requesting approval to award posthumously the Bachelor of Science degree in Biomedical Sciences for Mr. John Eric Jaegge. Mr. Jaegge passed away in March 2016 before completing the requirements necessary for a Bachelor of Science degree in Biomedical Sciences (Pre-Pharmacy).

2. Proposed Policy Statement (Lee Armstrong)

Time allocated for a proposed policy statement.

3. Election of Officers (Mike DeMaioribus)

Time will be allocated for election of a President Pro Tempore and Vice Pro Tempore of the Auburn University Board of Trustees.

4. Presidential Assessment (Mike DeMaioribus)

Time will be allocated for discussion of appointment of three members of the Board Trustees for the Presidential Assessment. This appointment will be for the 2016 Assessment to be provided to the Board at the September 16, 2016 meeting.

5. Proposed Awards and Namings (Mike DeMaioribus)

Time will be allocated for presentation of any awards and namings.

PROPERTY AND FACILITIES COMMITTEE  
RESOLUTION  
GAVIN ENGINEERING RESEARCH LABORATORY RENOVATION  
FINAL PROJECT APPROVAL

WHEREAS, the Textile Building was originally constructed in 1930 and was renamed as the Gavin Engineering Research Laboratory in January 2016; and

WHEREAS, at its meeting of November 7, 2014, the Board of Trustees approved the initiation of the Gavin Engineering Research Laboratory Renovation project; and

WHEREAS, The project will comprehensively renovate 59,100 square feet of space in the existing Gavin Engineering Research Laboratory to provide the necessary space to relocate and consolidate research labs for:

1. Polymer Composites Center
2. Additive Manufacturing
3. Aerospace Engineering Wind Tunnels
4. Nuclear Power Generation Simulation
5. Pulp & Paper Pilot Machine
6. General Research assets from the Engineering Shops and L-Building; and

WHEREAS, the estimated total project cost for the Gavin Engineering Research Laboratory Renovation project is \$16.75 million, to be financed by University general funds and Samuel Ginn College of Engineering gift funds.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Gavin Engineering Research Laboratory Renovation project is approved and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a budget for the Gavin Engineering Research Laboratory Renovation project in the amount of \$16.75 million, to be financed by University general funds and Samuel Ginn College of Engineering gift funds.
2. Direct the consultants to complete the required plans for the project.
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.



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**TO:** JAY GOGUE, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
**GAVIN ENGINEERING RESEARCH LABORATORY RENOVATION: FINAL  
PROJECT APPROVAL**  
**DATE:** MAY 26, 2016

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 10, 2016.

**Proposal:**

Consistent with standing policy, it is proposed that the Gavin Engineering Research Laboratory Renovation project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that provides final approval of the project. If approved, the resolution would authorize the President to direct Auburn University Facilities Management to complete the project design, solicit bids, and award a contract for construction.

**Review and Consultation:**

The Textile Building was originally constructed in 1930 and was renamed as the Gavin Engineering Research Laboratory in January 2016.

At its meeting held on November 7, 2014, the Board of Trustees adopted a resolution that approved the initiation of the Gavin Engineering Research Laboratory Renovation project and authorized the commencement of the architect selection process.

At its meeting of February 6, 2015, the Board of Trustees approved the firm Stevens & Wilkinson, of Atlanta, Georgia, as the project architect.

Since that time, the project design team has worked to complete the schematic design for the renovation project. The project details are provided below:

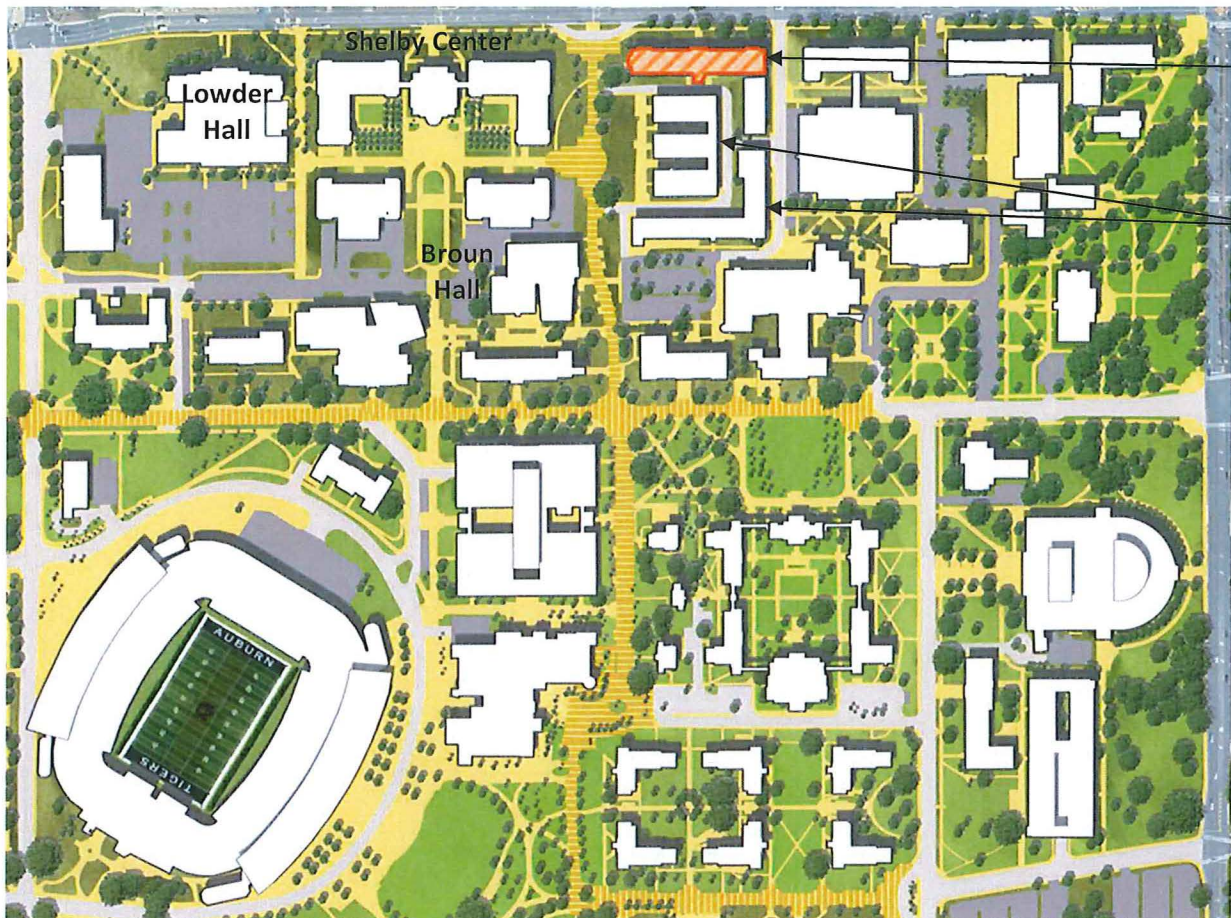
- **Program Requirements:** The project will comprehensively renovate 59,100 square feet of space in the existing Gavin Engineering Research Laboratory to provide the necessary space to relocate and consolidate research labs for:
  1. Polymer Composites Center
  2. Additive Manufacturing
  3. Aerospace Engineering Wind Tunnels
  4. Nuclear Power Generation Simulation
  5. Pulp & Paper Pilot Machine
  6. General Research assets from the Engineering Shops and L-Building

- Budget: The estimated total project cost of the Gavin Engineering Research Laboratory Renovation project is \$16.75 million, to be financed by University general funds and Samuel Ginn College of Engineering gift funds.
- Project Location: The project will be constructed at the existing Gavin Engineering Research Laboratory building, located at the intersection of Ginn Concourse and Magnolia Avenue.

If you concur, it is proposed that a resolution providing final approval of the Gavin Engineering Research Laboratory project be presented to the Board of Trustees for consideration at its meeting scheduled for June 10, 2016.



Attachment 1  
Gavin Engineering Research Laboratory Renovation  
Architectural Rendering & Site Map



Gavin  
Engineering  
Research  
Lab  
Engineering  
Shops  
&  
L-Building

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

BROUN HALL RENOVATION

FINAL PROJECT APPROVAL

WHEREAS, at its meeting of April 17, 2015, the Board of Trustees approved the initiation of the Broun Hall Renovation project; and

WHEREAS, the Broun Hall renovation includes a two-story main entrance addition, revised lighting, finishes, information technology, mechanical and electrical systems, and landscape improvements adjacent to the concourse; and

WHEREAS, this modernization will create a high quality teaching and learning environment for current students and faculty and significantly improve the College of Engineering's ability to recruit electrical and computer engineering students; and

WHEREAS, the estimated total project cost for the Broun Hall Renovation project is \$5.0 million, to be financed by Samuel Ginn College of Engineering gift funds.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Broun Hall Renovation project is approved and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a budget for the Broun Hall Renovation project in the amount of \$5.0 million, to be financed by Samuel Ginn College of Engineering gift funds.
2. Direct the consultants to complete the required plans for the project.
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.



PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

BROUN HALL RENOVATION

FINAL PROJECT APPROVAL

WHEREAS, at its meeting of April 17, 2015, the Board of Trustees approved the initiation of the Broun Hall Renovation project; and

WHEREAS, the Broun Hall renovation includes a two-story main entrance addition, revised lighting, finishes, information technology, mechanical and electrical systems, and landscape improvements adjacent to the concourse; and

WHEREAS, this modernization will create a high quality teaching and learning environment for current students and faculty and significantly improve the College of Engineering's ability to recruit electrical and computer engineering students; and

WHEREAS, the estimated total project cost for the Broun Hall Renovation project is \$5.0 million, to be financed by Samuel Ginn College of Engineering gift funds.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Broun Hall Renovation project is approved and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a budget for the Broun Hall Renovation project in the amount of \$5.0 million, to be financed by Samuel Ginn College of Engineering gift funds.
2. Direct the consultants to complete the required plans for the project.
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.



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**TO:** JAY GOGUE, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
**BROUN HALL RENOVATION: FINAL PROJECT APPROVAL**  
**DATE:** MAY 25, 2016

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 10, 2016.

**Proposal:**

Consistent with standing policy, it is proposed that the Broun Hall Renovation project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that provides final project approval of the project. If approved, the resolution would authorize the President to direct Auburn University Facilities Management to complete the project design, solicit bids, and award a contract for construction.

**Review and Consultation:**

At its meeting held on April 17, 2015, the Board of Trustees adopted a resolution that approved the project initiation for the Broun Hall Renovation project, and authorized the commencement of the architect selection process.

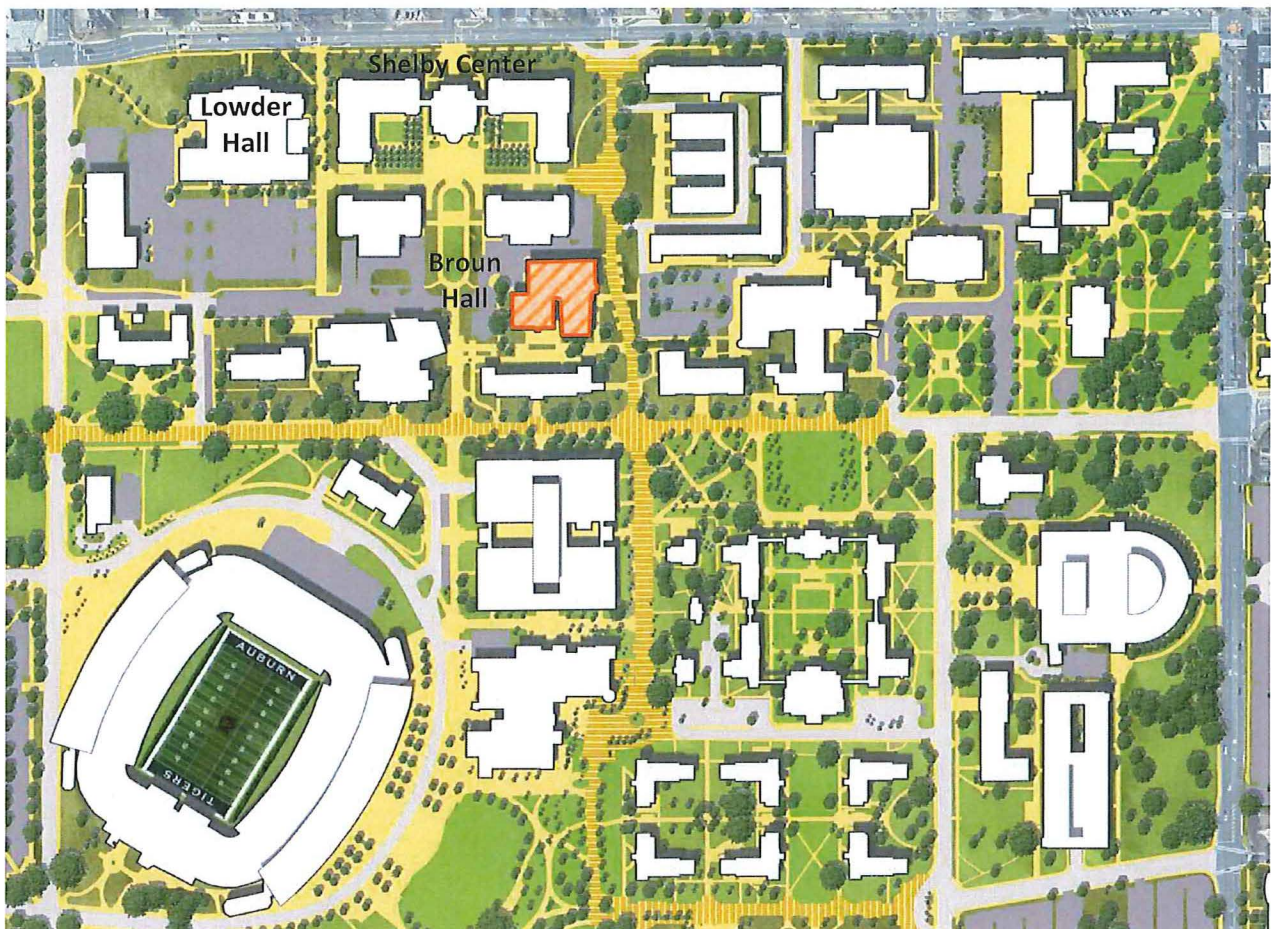
At its meeting held on June 5, 2015, the Board of Trustees approved the firm, Chambliss King Architects, of Montgomery, Alabama, as the project architect.

Since that time, the project design team has worked to complete the schematic design for the renovation project. The project details are provided below:

- **Program Requirements:** The Broun Hall renovation includes improvements to several existing collaboration areas. The new layout includes a two-story main entrance addition, revised lighting, finishes, information technology, mechanical and electrical systems, and landscape improvements adjacent to concourse. This modernization will create a high quality teaching and learning environment for current students and faculty and significantly improve the College of Engineering's ability to recruit electrical and computer engineering students.
- **Budget:** The estimated total cost of Broun Hall Renovation project is \$5.0 million, to be financed by Samuel Ginn College of Engineering gift funds.
- **Project Location:** Broun Hall is located on War Eagle Way facing the Ginn Concourse. See attachment 1.

If you concur, it is proposed that a resolution providing final approval of the Broun Hall Renovation project be presented to the Board of Trustees for consideration at its meeting scheduled for June 10, 2016.

Attachment 1  
Broun Hall Renovation  
Architectural Rendering & Site Map





PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

LEACH SCIENCE CENTER ADDITION

FINAL PROJECT APPROVAL

WHEREAS, at its meeting of September 25, 2015, the Board of Trustees approved the initiation of the Leach Science Center Addition project; and

WHEREAS, the project would construct a 50,000 square foot addition to the Leach Science Center to relocate and consolidate the Physics Department and faculty from Parker Hall and Allison Laboratory; and

WHEREAS, the Leach Science Center addition consists of instructional laboratories, research laboratories, student success and collaborative study spaces, departmental offices, and support facilities for the College of Sciences and Mathematics; and

WHEREAS, the estimated total project cost for the Leach Science Center Addition project is \$19.0 million, to be financed by University general and gift funds.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Leach Science Center Addition project is approved and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a budget for the Leach Science Center Addition project in the amount of \$19.0 million, to be financed by University general and gift funds.
2. Direct the consultants to complete the required plans for the project.
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.



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**TO:** JAY GOGUE, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
**LEACH SCIENCE CENTER ADDITION: FINAL PROJECT APPROVAL**  
**DATE:** MAY 25, 2016

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 10, 2016.

**Proposal:**

Consistent with standing policy, it is proposed that the Leach Science Center Addition project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that provides final approval of the project. If approved, the resolution would authorize the President to direct Auburn University Facilities Management to complete the project design, solicit bids, and award a contract for construction.

**Review and Consultation:**

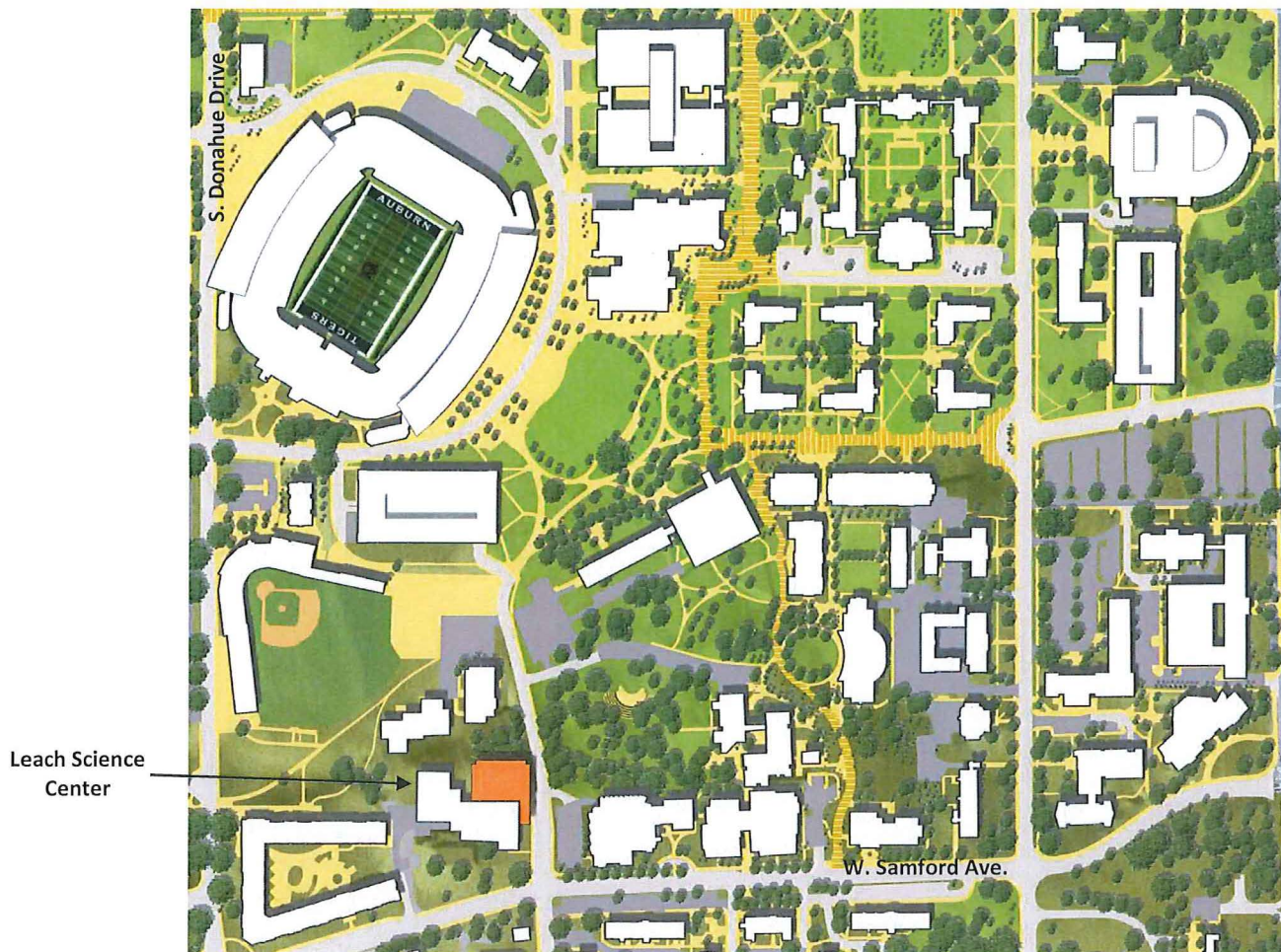
At its meeting held on September 25, 2015, the Board of Trustees adopted a resolution that approved the Leach Science Center Addition project and the selection of Perkins+Will, of Atlanta, Georgia, as the project architect.

Since that time, the project design team has worked to complete the schematic design for the Leach Science Center Addition project. The addition to the Leach Science Center will consolidate and relocate the Physics Department and faculty from Parker Hall and Allison Laboratory. This relocation is required to demolish Parker Hall and Allison Laboratory to prepare the site for the new Academic Classroom and Laboratory Complex. The Leach Science Center currently houses many of the Physics Department's research laboratories. The project details are provided below:

- Program Requirements: The 50,000 square foot addition to the existing Leach Science Center consists of instructional laboratories, research laboratories, student success and collaborative study spaces, departmental offices, and support facilities for the College of Sciences and Mathematics.
- Budget: The estimated total cost of the Leach Science Center Addition project is \$19.0 million, to be financed by University General and Gift Funds.
- Project Location: The addition will be constructed as part of the Leach Science Center located at the corner of West Samford Avenue and Duncan Drive.

If you concur, it is proposed that a resolution providing final approval of the Leach Science Center Addition project be presented to the Board of Trustees for consideration at its meeting scheduled for June 10, 2016.

Attachment 1  
Leach Science Center Addition  
Architectural Rendering & Site Map



PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

PERFORMING ARTS CENTER

SELECTION OF THE CONSTRUCTION MANAGER

WHEREAS, the Board of Trustees, at its meeting held on June 5, 2015, approved the initiation of a project to construct a Performing Arts Center and authorized the commencement of the process to select a construction manager; and

WHEREAS, the Executive Director of Design & Construction, after conducting interviews with three candidate firms, determined the firm B.L. Harbert International Inc., of Birmingham, Alabama, was best qualified to provide construction management services on this project.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage B.L. Harbert International Inc., of Birmingham, Alabama as construction manager to consult in the development of the facility program and manage the construction of the facility.
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.





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**TO:** JAY GOGUE, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
**PERFORMING ARTS CENTER: SELECTION OF THE CONSTRUCTION  
MANAGER**  
**DATE:** MAY 26, 2016

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 10, 2016.

**Proposal:**

Consistent with standing policy, it is proposed that the Performing Arts Center project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that approves the selection of the construction manager.

**Review and Consultation:**

During its meeting of June 5, 2015, the Board of Trustees approved the initiation of a project to construct a new Performing Arts Center and authorized the commencement of the architect and construction manager selection processes.

During its meeting of April 7, 2016, the Board of Trustees approved the selection of the architectural firm, tvsdesign, of Atlanta, Georgia, teamed with Wilson Butler Architects, of Boston, Massachusetts, to provide architectural services on this project.

Since that time, a Request for Qualifications was published for construction management services. Of the three construction management firms who submitted qualifications, three were interviewed by the Construction Manager Selection Committee. The committee determined that B. L. Harbert International Inc., of Birmingham, Alabama, was best qualified to provide construction management services on this project. The Executive Director of Design & Construction concurs with this recommendation.

If you concur, it is proposed that a resolution approving the selection of B. L. Harbert International Inc., of Birmingham, Alabama, as the construction manager for the Performing Arts Center project be presented to the Board of Trustees for consideration at its meeting scheduled for June 10, 2016.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

HALEY CENTER QUAD RENOVATION

APPROVAL OF PROJECT INITIATION AND AUTHORIZATION  
TO COMMENCE THE PROJECT ARCHITECT SELECTION PROCESS

WHEREAS, in preparation for construction of the Academic Classroom and Laboratory Complex project, all departmental and academic functions need to be relocated from Parker Hall and Allison Laboratory prior to the demolition of those buildings; and

WHEREAS, the Provost proposes a project to renovate a portion of Haley Center to facilitate the relocation of the Department of Mathematics and Statistics from Parker Hall and Allison Laboratory; and

WHEREAS, depending on departmental needs, the project will renovate approximately 40,000 square feet of space on the third floor of Haley Center Quads One and Two; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Haley Center Quad Renovation project is approved and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the selection process for a project architect.



FACILITIES MANAGEMENT  
M E M O R A N D U M

**TO:** JAY GOGUE, President

**THROUGH:** DONALD L. LARGE, Executive Vice President

**FROM:** DAN KING, Associate Vice President for Facilities

**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**HALEY CENTER QUAD RENOVATION: APPROVAL OF PROJECT INITIATION  
AND AUTHORIZATION TO COMMENCE THE PROJECT ARCHITECT  
SELECTION PROCESS**

**DATE:** MAY 25, 2016

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 10, 2016.

**Proposal:**

Consistent with standing policy, it is proposed that the Haley Center Quad Renovation project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will approve the initiation of the project and authorize the commencement of the project architect selection process.

**Review and Consultation:**

In preparation for construction of the Academic Classroom and Laboratory Complex (ACLC) project, all departmental and academic functions need to be relocated from Parker Hall and Allison Laboratory prior to the demolition of those buildings. The Provost proposes a project to renovate part of Haley Center to facilitate the relocation of the Department of Mathematics and Statistics from Parker Hall and Allison Laboratory. Depending on departmental needs, the project will renovate approximately 40,000 square feet of space on the third floor of Haley Center Quads One and Two.

It is anticipated that this project will be funded by University general funds.

If you concur, it is proposed that a resolution initiating the Haley Center Quad Renovation project and authorizing the commencement of the project architect selection process be presented to the Board of Trustees for approval at the meeting scheduled for June 10, 2016.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

RECREATION & WELLNESS CENTER BASEMENT BUILD OUT

APPROVAL OF PROJECT INITIATION AND AUTHORIZATION  
TO COMMENCE THE PROJECT ARCHITECT SELECTION PROCESS

WHEREAS, since the Recreation & Wellness Center opened in August 2013, the personal training and small group programs have continued to grow steadily, and there is a high demand for additional dedicated personal, small-group, and powerlifting training program spaces; and

WHEREAS, Campus Recreation proposes a project to build out approximately 13,000 square feet of unfinished basement space in the Recreation & Wellness Center to create dedicated space for these programs; and

WHEREAS, this project will be funded by Campus Recreation funds; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Recreation & Wellness Center Basement Build Out project is approved and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the selection process for a project architect.



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**TO:** JAY GOGUE, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**RECREATION & WELLNESS CENTER BASEMENT BUILD OUT: APPROVAL  
OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE  
PROJECT ARCHITECT SELECTION PROCESS**

**DATE:** MAY 25, 2016

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 10, 2016.

**Proposal:**

Consistent with standing policy, it is proposed that the Recreation & Wellness Center Basement Build Out project be presented to the Board of Trustees through the Property and Facilities Committee to approve the initiation of the project and authorize the commencement of the project architect selection process.

**Review and Consultation:**

Since the Recreation & Wellness Center opened in August 2013, the personal training program has continued to grow steadily and so has the need for additional small group training and powerlifting spaces. Campus Recreation proposes a project to build out approximately 13,000 square feet of unfinished space in the basement of the Recreation & Wellness Center to create dedicated space for personal training, small group training, and powerlifting/Olympic-style weightlifting programs. It is also proposed to relocate the golf simulator from the first floor racquetball court to the basement to free-up an additional racquetball court.

It is anticipated that this project will be funded by Campus Recreation funds.

If you concur, it is proposed that a resolution initiating the Recreation & Wellness Center Basement Build Out project and authorizing the commencement of the project architect selection process be presented to the Board of Trustees for approval at the meeting scheduled for June 10, 2016.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

POULTRY INFECTIOUS DISEASE BIOCONTAINMENT FACILITY  
&  
FISH BIODIVERSITY LABORATORY RELOCATION

APPROVAL OF PROJECT INITIATION AND  
SELECTION OF THE PROJECT ARCHITECT

WHEREAS, the College of Agriculture has ongoing research programs in the Poultry Infectious Disease Biocontainment Research Facility & Fish Biodiversity Laboratory located along Woodfield Drive, and these facilities will need to be relocated for the construction of the Performing Arts Center; and

WHEREAS, the University Architect recommends the firm Foil Wyatt Architects and Planners, of Jackson, Mississippi, be retained as the project architect due to their expertise and knowledge of research facilities and laboratories; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the project is approved and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage Foil Wyatt Architects and Planners, Jackson, Mississippi, as project architect to consult in the development of the facility program and project design.
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.



FACILITIES MANAGEMENT  
M E M O R A N D U M

**TO:** JAY GOGUE, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**POULTRY INFECTIOUS DISEASE BIOCONTAINMENT RESEARCH FACILITY  
& FISH BIODIVERSITY LABORATORY RELOCATION: APPROVAL OF  
PROJECT INITIATION AND SELECTION OF THE PROJECT ARCHITECT**

**DATE:** MAY 25, 2016

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 10, 2016.

**Proposal:**

Consistent with standing policy, it is proposed that the Poultry Infectious Disease Biocontainment Research Facility & Fish Biodiversity Laboratory Relocation project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will approve the initiation of the project and selection of the project architect.

**Review and Consultation:**

The College of Agriculture has ongoing research programs in the Poultry Infectious Disease Biocontainment Research Facility & Fish Biodiversity Laboratory located along Woodfield Drive. However, these facilities are in the proposed footprint of the new Performing Arts Center and need to be relocated. See Attachment 1. To begin the relocation process, it is recommended that the subject project be initiated. This relocation needs to be accomplished per a schedule that supports the construction of the Performing Arts Center, beginning in 2017.

To facilitate an accelerated design process, the University Architect recommends the approval of the firm, Foil Wyatt Architects and Planners, of Jackson, Mississippi, as the architect for the project. Foil Wyatt Architects and Planners have designed several Auburn University research facilities in recent years and their expertise in biocontainment facilities will be important in the development of the facility programs for this project.

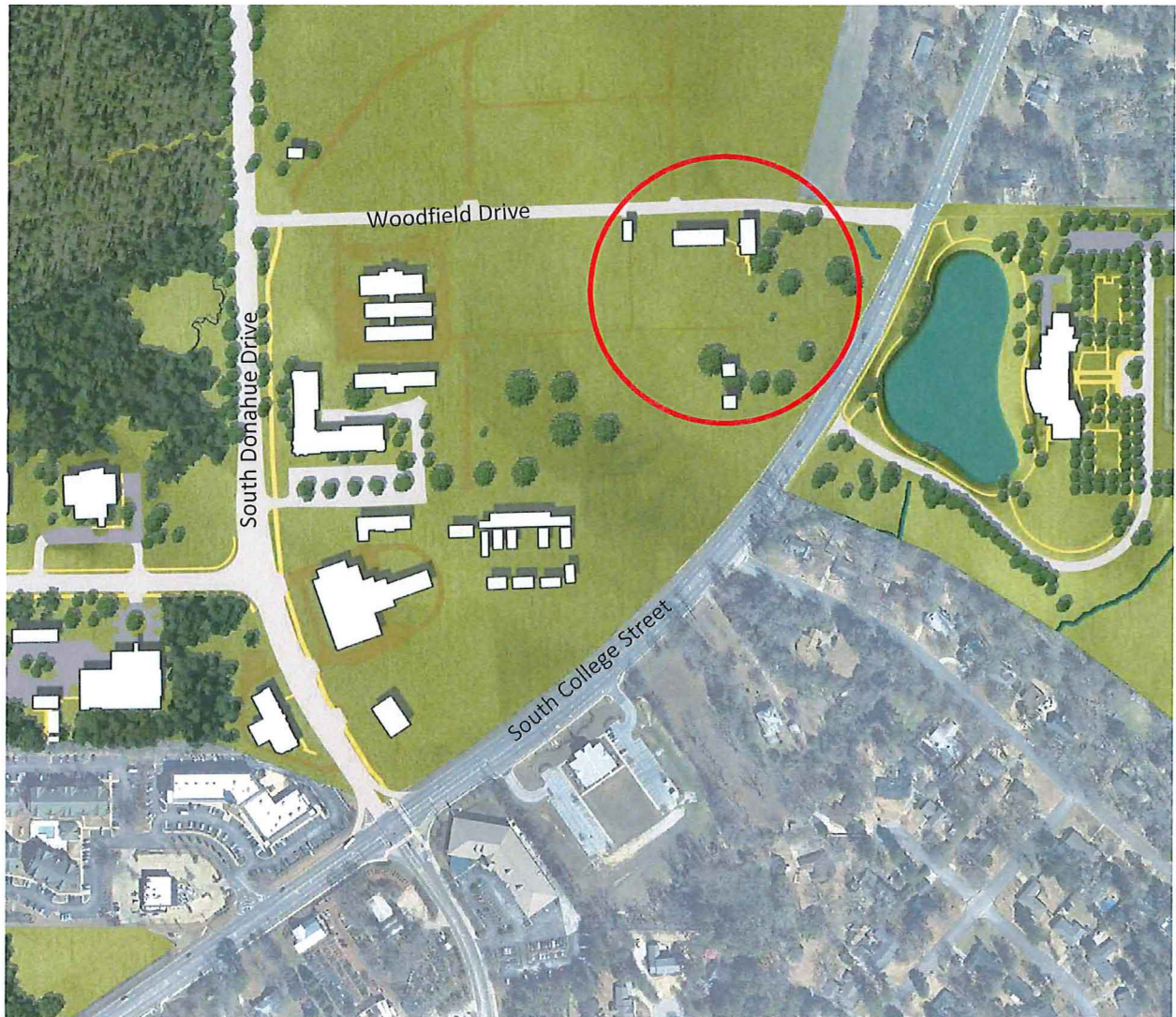
It is anticipated that this project will be funded by University general funds.

If you concur, it is proposed that a resolution initiating the Poultry Infectious Disease Biocontainment Research Facility & Fish Biodiversity Laboratory project and approving the selection of the project architect be presented to the Board of Trustees at the meeting scheduled for June 10, 2016.



## Attachment 1

### Location of Poultry Infectious Disease Biocontainment Research Facility & Fish Biodiversity Laboratory



PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

AUBURN UNIVERSITY HOTEL & DIXON CONFERENCE CENTER  
BALLROOM RENOVATION

APPROVAL OF PROJECT INITIATION AND  
SELECTION OF THE PROJECT ARCHITECT

WHEREAS, the Auburn University Hotel & Dixon Conference Center (AUHCC) proposes a project to enhance the facility to better serve the University and its guests by improving its ballroom and conference spaces; and

WHEREAS, the proposed renovations will improve space utilization by expanding Ballroom A, as well as renovating and refinishing Ballroom A, Ballroom B, four meeting room spaces, and the associated conference center corridors and gathering areas; and

WHEREAS, the University Architect recommends that the firm Birchfield Penuel & Associates, of Birmingham, Alabama, be retained as the project architect due to their expertise and knowledge of AUHCC projects and operations; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the project is approved and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage Birchfield Penuel & Associates, of Birmingham, Alabama, as project architect to consult in the development of the facility program and project design.
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

AUBURN UNIVERSITY HOTEL & DIXON CONFERENCE CENTER  
BALLROOM RENOVATION

APPROVAL OF PROJECT INITIATION AND  
SELECTION OF THE PROJECT ARCHITECT

WHEREAS, the Auburn University Hotel & Dixon Conference Center (AUHCC) proposes a project to enhance the facility to better serve the University and its guests by improving its ballroom and conference spaces; and

WHEREAS, the proposed renovations will improve space utilization by expanding Ballroom A, as well as renovating and refinishing Ballroom A, Ballroom B, four meeting room spaces, and the associated conference center corridors and gathering areas; and

WHEREAS, the University Architect recommends that the firm Birchfield Penuel & Associates, of Birmingham, Alabama, be retained as the project architect due to their expertise and knowledge of AUHCC projects and operations; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the project is approved and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage Birchfield Penuel & Associates, of Birmingham, Alabama, as project architect to consult in the development of the facility program and project design.
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.



FACILITIES MANAGEMENT  
M E M O R A N D U M

**TO:** JAY GOGUE, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**AUBURN UNIVERSITY HOTEL & DIXON CONFERENCE CENTER BALLROOM  
RENOVATION: APPROVAL OF PROJECT INITIATION AND SELECTION OF  
THE PROJECT ARCHITECT**

**DATE:** MAY 25, 2016

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 10, 2016.

**Proposal:**

Consistent with standing policy, it is proposed that the Auburn University Hotel & Dixon Conference Center Ballroom Renovation project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will approve the initiation of the project and selection of the project architect.

**Review and Consultation:**

As part of a continuing effort to enhance the facility to better serve the University and its guests, the Auburn Hotel and Dixon Conference Center (AUHCC) proposes a project to renovate and expand the conference center. The intent of these renovations is to better utilize available space within the hotel, to expand the size of the Ballroom A, and to provide for more flexible use of the space. As such, these renovations include the relocation of an existing storage room and subsequent expansion of Ballroom A as well as renovating and refinishing Ballroom A, Ballroom B, four meeting room spaces, and the associated conference center corridors and gathering areas.

The University Architect recommends the approval of Birchfield Penuel & Associates LLC (BPA), of Birmingham, Alabama, as the project architect for the Ballroom renovation project. BPA has developed the design for two ongoing AUHCC projects and their expertise and understanding of the hotel will be important in executing the design for the Ballroom renovations.

It is anticipated that this project will be funded by University general funds.

If you concur, it is proposed that a resolution initiating the Auburn University Hotel & Dixon Conference Center Ballroom Renovation project and approving the selection of the project architect be presented to the Board of Trustees at the meeting scheduled for June 10, 2016.

AGRICULTURE/PROPERTY AND FACILITIES COMMITTEES

RESOLUTION

TIMBER HARVEST AND SALES AT  
THE SOLON DIXON FORESTRY EDUCATION CENTER

WHEREAS, Auburn University owns and manages those lands known as the Solon Dixon Forestry Education Center, consisting of approximately 5,350 acres located in Escambia and Covington Counties, Alabama; and

WHEREAS, the School of Forestry & Wildlife Sciences manages said lands through the employment of full time professional staff located at the Solon Dixon Center; and

WHEREAS, the Board of Trustees at its meeting of September 25, 2015 approved a Five Year Forest Management Plan for the Solon Dixon Center, based on sound forestry practices, for the years of 2015–2020.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to implement this Five Year Forest Management Plan and approve an annual schedule for the harvesting and sale of timber consistent with University policy.

BE IT FURTHER RESOLVED that the funds generated from the sale of timber be used for forest regeneration, management, use, and support of operations of the Solon Dixon Forestry Education Center.



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**TO:** JAY GOGUE, President

**THROUGH:** TIM BOOSINGER, Provost and Vice President for Academic Affairs

**THROUGH:** DAN KING, Associate Vice President for Facilities

**THROUGH:** Janaki Alavalapati, Dean, School of Forestry and Wildlife Sciences

**FROM:** JOEL S. MARTIN, Director, Solon Dixon Forestry Education Center

**SUBJECT: **TIMBER HARVEST AND SALES AT THE SOLON DIXON FORESTRY EDUCATION CENTER****

**DATE:** MAY 25, 2016

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This is to request that the following item be presented to the Board of Trustees through the Agriculture Committee & Property and Facilities Committee; and be included on the agenda of the meeting scheduled for June 10, 2016.

**Proposal:**

It is proposed that Board of Trustees authorize the President to approve annual plans for the harvest and sale of timber consistent with the Five Year Forest Management Plan for the Solon Dixon Forestry Education Center.

**Review and Consultation:**

The Solon Dixon Forestry Education Center oversees the management of 5,350 acres for the School of Forestry & Wildlife Science in Covington & Escambia Counties, Alabama with a primary objective of maximizing revenues. This property is managed by professional foresters of Dixon Center and Auburn University employees based upon sustainable forest management principles and practices.

At its meeting of September 25, 2015 the Board of Trustees approved a Five Year Forest Management Plan for the Solon Dixon Center, based on sound forestry practices, for the years of 2015–2020. The plan provided a schedule for maintenance, harvest, and reforestation for that period.

It is proposed that the University President be authorized to approve annual plans for timber harvesting and sales in order that each sale of timber does not have to be individually presented to the Board for approval and to allow these plans to be modified annually to respond to market conditions.

If you concur, it is requested that you recommend this proposal to the Board of Trustees through the Agriculture Committee and Property & Facilities Committee for action.

Table 13. Projected Timber Harvest, FY 2016 – FY 2020

Stand	Harvest Type	Acreage		Weight to Harvest**				Revenue
		Lob.	LL	PST (Tons	PP Tons	HST Tons	HP Tons)	
FY 2016								
6-9S	Selection Cut SD-2014-3CO	0	49	696	408	64	138	31,250
2-14	Clearcut w/ Reserves SD-2015-1 CO	23	0	906	178	352	407	40,500
2-14	Selection Cut SD-2015-1 CO	0	18	160	77	0	2	3,300
2-1N-2-20	Clearcut	23	0	1002	194	204	506	25,000
8-3LLP	1 <sup>st</sup> Thinning	0	33	73	598	0	0	6,500
7-9/7-10 LLP	1 <sup>st</sup> Thinning	0	72	219	1267	0	0	18,000
9-2	1 <sup>st</sup> Thinning	0	20	42	301	0	0	4,200
7-3SE/SW	1 <sup>st</sup> Thinning	0	25	109	391	0	0	6,300
7-9,7-10,8-3,8-5	2 <sup>nd</sup> Thinning	108	0	88	1712	0	0	15,000
							*15Carryover	(\$75,050)
							Sub Total:	\$150,050
FY 2017								
3-1	Clearcut	55	0	2062	241	87	2390	82,500
2-7	Shelterwood Removal	0	34	731	103	18	90	18,600
9-7W,9-11	2 <sup>nd</sup> Thinning	48	0	249	638	0	0	14,200
7-11 Hub	Clearcut	24		1586	381	0	47	35,000
							Sub Total:	\$150,300
FY 2018								
6-9N	Selection Cut	0	25	375	0	170	0	10,250
1-1/1-3S/1-8S	Selection Cut	0	49	810	88	0	0	13,100
7-1S/7-5/9-1S	Selection Cut	0	59	660	235	0	58	15,900
7-1N	Selection Cut	0	34	75	80	161	278	10,200
1-9	Clearcut	12.5	0	562	67	0	0	30,000
1-1S	Clearcut	0	5	262	53	0	0	13,400
8-4	3 <sup>rd</sup> Thinning	43	0	1309	167	0	48	30,000
							Sub Total:	\$122,850
FY 2019								
7-11C,C(N),RF,	Clearcut	61	0	3464	840	0	42	81,100
2-10	Clearcut	38	0	825	142	0	261	31,500
7-4	1 <sup>st</sup> Thinning	35	0	0	936	0	0	9,800
2-4	1 <sup>st</sup> Thinning	0	95	0	1779	0	0	18,600
2-3	1 <sup>st</sup> Thinning	0	42	0	786	0	0	8,200
							Sub Total:	149,200
FY 2020								
5-2	2 <sup>nd</sup> Thinning	26	0	60	695	0	26	8,300
5-4	1 <sup>st</sup> Thinning	0	17.5	0	468	0	0	4,500
5-16	Clearcut	15	0	162	43	578	504	25,500
8-9	Clearcut	48	0	0	125	0	0	28,600
2-5	Clearcut	0	41	457	214	382	348	29,360
							Sub Total:	96,260
2016-2020	Five Year Total:	559	618	1694 4	13207	2016	669	\$668,660
	Annual Average:	112	124	3388	2641	403	134	\$133,732

\*\* Weight estimates are from low intensity management cruises. \* Acres for final harvests only.



PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

KAPPA SIGMA FRATERNITY

REQUEST TO RENEW LEASE

104 HEMLOCK DRIVE

WHEREAS, the Kappa Sigma Fraternity previously occupied a fraternity house on campus at 104 Hemlock Drive pursuant to a ground lease that expires May 31, 2063;

WHEREAS, the ground lease contemplated a renovation project that is no longer viable, and the fraternity would like to revise the ground lease to allow the demolition of the existing structure and the construction of a new fraternity house; and

WHEREAS, the request has been reviewed and recommended, with conditions by the Associate Provost and Vice President for Student Affairs, the Committee on Fraternities and Sororities, and the Office of Campus Planning and Space Management.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Revise and execute for, and on behalf of Auburn University, an amendment to the 49-year lease renewal for the site located at 104 Hemlock Drive with the following conditions:
  - A. The fraternity agrees to demolish the existing structure and clean the lot prior to December 2016; and
  - B. The fraternity will raise funds and commence construction of the new fraternity house no later than May 31, 2021 or the new lease will automatically terminate; and
  - C. The fraternity will be required to complete all phases of the work and bring all improvements into compliance with applicable University, local, state, or federal codes, rules and regulations by no later than May 31, 2022.
2. Authorize such other or further provisions of said lease found to be desirable and in the University's best interest. All actions and instruments in this transaction shall be approved as to legal form by the General Counsel for Auburn University.



**TO:** JAY GOGUE, President

**THROUGH:** DONALD L. LARGE, Executive Vice President

**FROM:** DAN KING, Associate Vice President for Facilities

**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**KAPPA SIGMA FRATERNITY: REQUEST TO MODIFY LEASE  
104 HEMLOCK DRIVE**

**DATE:** MAY 25, 2016

This is to request that the following item be presented to the Board of Trustees through the Property and Facilities Committee and be included on the agenda of the meeting scheduled for June 10, 2016.

**Proposal:**

The Kappa Sigma Fraternity has requested the modification of the 49-year lease renewal for the site located at 104 Hemlock Drive on Auburn University property.

**Review and Consultation:**

The Beta Eta Chapter of the Kappa Sigma Fraternity was chartered at Auburn University in 1900. They previously occupied a fraternity house on the Auburn campus located at 104 Hemlock Drive. The house has been in need of significant repairs since 2000.

In November 2012, the Auburn University Board of Trustees approved a renewal of the ground lease, contingent upon the Kappa Sigma Fraternity making extensive repairs and renovations to the house. The lease required these renovations to be completed by 2017. To date, the fraternity has spent \$185,000 for the design and renovations to the house. However, the renovations and subsequent inspections revealed that the house has major structural deficiencies. As a result, Kappa Sigma has decided to abandon the renovation project, demolish the existing structure, and build a new fraternity house.

If this lease renewal is approved, Kappa Sigma will begin a fund raising drive and commence the design for a new fraternity house on the property. Kappa Sigma proposes to build a lodge style house, with minimal residential capacity. The new house is expected to cost in excess of \$1 million dollars.

The lease will be revised to create the following obligations:

1. Demolition of the house and cleaning of the lot prior to December 2016.
2. Completion of the fundraising and start of the new facility by August 2021. The new house plans must be submitted, approved, and construction started before the expiration of the 5 year period.

3. No active chapter activities may be conducted on the property until the completion of the new facility. The lot may only be used for fund raising and special event activities through the event licensing process, Kappa Sigma will be required to remove all event materials within 48 hours.
4. The Fraternity will be required to complete all phases of the work and bring all improvements into compliance with applicable University, local, state, and federal codes, rules and regulations by May 31, 2022.

This request was initiated by Kappa Sigma Fraternity through the Office of Greek Life and the Associate Provost and Vice President for Student Affairs. The Associate Provost and Vice President of Student Affairs indicates this request has been reviewed by a University Committee on Fraternities and Sororities and the Office of Campus Planning and Space Management. Both groups recommend that the Kappa Sigma request be supported by the University.

If you concur, it is requested that a resolution approving the proposed amendment to the Kappa Sigma fraternity lease be presented to the Board of Trustees at the meeting scheduled for June 10, 2016.

AGRICULTURE/PROPERTY AND FACILITIES COMMITTEES

RESOLUTION

TIMBER HARVEST AND SALES AT  
THE SOLON DIXON FORESTRY EDUCATION CENTER

WHEREAS, Auburn University owns and manages those lands known as the Solon Dixon Forestry Education Center, consisting of approximately 5,350 acres located in Escambia and Covington Counties, Alabama; and

WHEREAS, the School of Forestry & Wildlife Sciences manages said lands through the employment of full time professional staff located at the Solon Dixon Center; and

WHEREAS, the Board of Trustees at its meeting of September 25, 2015 approved a Five Year Forest Management Plan for the Solon Dixon Center, based on sound forestry practices, for the years of 2015–2020.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to implement this Five Year Forest Management Plan and approve an annual schedule for the harvesting and sale of timber consistent with University policy.

BE IT FURTHER RESOLVED that the funds generated from the sale of timber be used for forest regeneration, management, use, and support of operations of the Solon Dixon Forestry Education Center.



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**TO:** JAY GOGUE, President

**THROUGH:** TIM BOOSINGER, Provost and Vice President for Academic Affairs

**THROUGH:** DAN KING, Associate Vice President for Facilities

**THROUGH:** Janaki Alavalapati, Dean, School of Forestry and Wildlife Sciences

**FROM:** JOEL S. MARTIN, Director, Solon Dixon Forestry Education Center

**SUBJECT: **TIMBER HARVEST AND SALES AT THE SOLON DIXON FORESTRY EDUCATION CENTER****

**DATE:** MAY 25, 2016

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This is to request that the following item be presented to the Board of Trustees through the Agriculture Committee & Property and Facilities Committee; and be included on the agenda of the meeting scheduled for June 10, 2016.

**Proposal:**

It is proposed that Board of Trustees authorize the President to approve annual plans for the harvest and sale of timber consistent with the Five Year Forest Management Plan for the Solon Dixon Forestry Education Center.

**Review and Consultation:**

The Solon Dixon Forestry Education Center oversees the management of 5,350 acres for the School of Forestry & Wildlife Science in Covington & Escambia Counties, Alabama with a primary objective of maximizing revenues. This property is managed by professional foresters of Dixon Center and Auburn University employees based upon sustainable forest management principles and practices.

At its meeting of September 25, 2015 the Board of Trustees approved a Five Year Forest Management Plan for the Solon Dixon Center, based on sound forestry practices, for the years of 2015–2020. The plan provided a schedule for maintenance, harvest, and reforestation for that period.

It is proposed that the University President be authorized to approve annual plans for timber harvesting and sales in order that each sale of timber does not have to be individually presented to the Board for approval and to allow these plans to be modified annually to respond to market conditions.

If you concur, it is requested that you recommend this proposal to the Board of Trustees through the Agriculture Committee and Property & Facilities Committee for action.

Table 13. Projected Timber Harvest, FY 2016 – FY 2020

Stand	Harvest Type	Acreage		Weight to Harvest**				Revenue
		Lob.	LL	PST (Tons	PP Tons	HST Tons	HP Tons)	
FY 2016								
6-9S	Selection Cut SD-2014-3CO	0	49	696	408	64	138	31,250
2-14	Clearcut w/ Reserves SD-2015-1 CO	23	0	906	178	352	407	40,500
2-14	Selection Cut SD-2015-1 CO	0	18	160	77	0	2	3,300
2-1N-2-20	Clearcut	23	0	1002	194	204	506	25,000
8-3LLP	1 <sup>st</sup> Thinning	0	33	73	598	0	0	6,500
7-9/7-10 LLP	1 <sup>st</sup> Thinning	0	72	219	1267	0	0	18,000
9-2	1 <sup>st</sup> Thinning	0	20	42	301	0	0	4,200
7-3SE/SW	1 <sup>st</sup> Thinning	0	25	109	391	0	0	6,300
7-9,7-10,8-3,8-5	2 <sup>nd</sup> Thinning	108	0	88	1712	0	0	15,000
							*15Carryover	(\$75,050)
							Sub Total:	\$150,050
FY 2017								
3-1	Clearcut	55	0	2062	241	87	2390	82,500
2-7	Shelterwood Removal	0	34	731	103	18	90	18,600
9-7W,9-11	2 <sup>nd</sup> Thinning	48	0	249	638	0	0	14,200
7-11 Hub	Clearcut	24		1586	381	0	47	35,000
							Sub Total:	\$150,300
FY 2018								
6-9N	Selection Cut	0	25	375	0	170	0	10,250
1-1/1-3S/1-8S	Selection Cut	0	49	810	88	0	0	13,100
7-1S/7-5/9-1S	Selection Cut	0	59	660	235	0	58	15,900
7-1N	Selection Cut	0	34	75	80	161	278	10,200
1-9	Clearcut	12.5	0	562	67	0	0	30,000
1-1S	Clearcut	0	5	262	53	0	0	13,400
8-4	3 <sup>rd</sup> Thinning	43	0	1309	167	0	48	30,000
							Sub Total:	\$122,850
FY 2019								
7-11C,C(N),RF,	Clearcut	61	0	3464	840	0	42	81,100
2-10	Clearcut	38	0	825	142	0	261	31,500
7-4	1 <sup>st</sup> Thinning	35	0	0	936	0	0	9,800
2-4	1 <sup>st</sup> Thinning	0	95	0	1779	0	0	18,600
2-3	1 <sup>st</sup> Thinning	0	42	0	786	0	0	8,200
							Sub Total:	149,200
FY 2020								
5-2	2 <sup>nd</sup> Thinning	26	0	60	695	0	26	8,300
5-4	1 <sup>st</sup> Thinning	0	17.5	0	468	0	0	4,500
5-16	Clearcut	15	0	162	43	578	504	25,500
8-9	Clearcut	48	0	0	125	0	0	28,600
2-5	Clearcut	0	41	457	214	382	348	29,360
							Sub Total:	96,260
2016-2020	Five Year Total:	559	618	1694 4	13207	2016	669	\$668,660
	Annual Average:	112	124	3388	2641	403	134	\$133,732

\*\* Weight estimates are from low intensity management cruises. \* Acres for final harvests only.



AUBURN  
UNIVERSITY

FACILITIES MANAGEMENT  
M E M O R A N D U M

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**TO:** JAY GOGUE, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**CURRENT STATUS OF NEW CONSTRUCTION/RENOVATION/  
INFRASTRUCTURE PROJECTS WITH BUDGETS OF \$1,000,000  
AND GREATER**

*(For Information Only)*

**DATE:** MAY 11, 2016

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This is to request that the following proposal be submitted to the Property and Facilities Committee and included on the agenda of the Board of Trustees meeting scheduled for June 10, 2016.

**Proposal:**

Consistent with standing practice, it is proposed that the current status report of new construction/renovation/infrastructure projects with budgets greater than \$1,000,000 be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

**Review and Consultation:**

The Board of Trustees at its meeting on June 4, 2001, requested that it receive a regular update on the financial status of Board approved projects. The attached list includes projects at Auburn University and outlying units.

**Rationale for Recommendation:**

Consistent with the request of the Board of Trustees for a current status report of new construction/renovation/infrastructure projects with budgets greater than \$1,000,000, the attached listing is provided, *for information only*, to the Board through the Property and Facilities Committee for inclusion on the agenda of the meeting scheduled for June 10, 2016.



Data as of 04/29/2016

# Auburn University Facilities Management

## Current Capital Projects

(Spending across Multiple Years)

### *Summary of Cash Flow by Project Phase*

Project Phase	Previous Approved Budget Amount	Current Approved Budget Amount	Actual Spending to Date (across multiple years)	Estimated Cash Flow Assuming Remainder of Budget for FY2016	Estimated Cash Flow Assuming Remainder of Budget for FY2017 & Forward
Substantial Completion	250,423,000	246,673,000	243,554,026	2,317,060	687,195 *
Construction	103,084,651	109,534,651	14,683,960	45,690,904	49,159,787
Design	24,476,168	28,318,297	3,822,666	4,034,532	20,461,099
Planning	16,757,432	13,141,910	2,312,126	5,507,728	5,322,056
Totals	394,741,251	397,667,858	264,372,778	57,550,224	75,630,137 *
Other Open Capital Projects	43,880,191	48,440,301	19,872,912	11,400,000	17,167,389
Grand Totals	438,621,442	446,108,159	284,245,690	68,950,224	92,797,526 *

\* On the lines with the asterisks, the sum of the spending column and both cash flow columns does not equal the current approved budget figure. The reason relates to two projects that were completed under budget: (1) "Lowder Hall - East Courtyard, New Student Lounge" project under budget by \$80,595. (2) "Woodfield Drive - Roadway Extension to New VCOM Site & Other Site Improvements" project under budget by \$34,124.

## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	( A ) Current Approved Budget Amount	AU Funding (includes gifts/grants)	AU Bond Funding	Federal/ State or Local Funding	( B ) Actual Spending to Date (across multiple years)	Estimated Cash Flow Assuming Remainder of Budget for FY2016	Estimated Cash Flow Assuming Remainder of Budget for FY2017 & Forward	( C ) Current Encumbrances Against Project	(A)-(B)-( C ) Current Open Balance (Budget less Actuals and Encumbrances)
<b>SUBSTANTIAL COMPLETION PHASE</b>											
Lowder Hall - East Courtyard, New Student Lounge (12-013)	Completed	1,400,000	1,400,000	1,400,000			1,319,405	0	0	0	80,595 *
Woodfield Drive - Roadway Extension To New VCOM Site & Other Site Improvements 14-052	Completed	1,400,000	1,400,000	1,400,000			1,365,876	0	0	0	34,124 *
Student Recreation & Wellness Center Building (07-225)	Substantial Completion	72,000,000	72,000,000	5,000,000	67,000,000		71,041,730	574,962	383,308	522,299	435,971
Small Animal Teaching Hospital (09-083.1 Ph I, 09-083 Ph II)	Substantial Completion	70,000,000	74,000,000	30,000,000	34,000,000	10,000,000	73,416,037	468,963	115,000	470,250	113,713
South Donahue Residence Hall, Dinning, Biggio Parking Facility 10-217	Substantial Completion	73,600,000	75,200,000	6,600,000	68,600,000		74,700,005	499,995	0	0	499,995
Foy Hall - Convert Loading Dock Area Into A New Dining Facility 13-180	Substantial Completion	1,600,000	2,200,000	2,200,000			2,066,576	133,424	0	0	133,424
Samford Park Redevelopment: Phase II 14-257	Substantial Completion	900,000	900,000	900,000			837,379	62,621	0	5,340	57,281
Martin Hall - 1st Floor, Renovations & New ADA Ramp For The Freshman Advising Ctr 14-302	Substantial Completion	1,200,000	1,200,000	1,200,000			1,145,077	54,923	0	6,062	48,861
Facilities Mgmt Complex - New Facility For Waste Reduction, Mail Services & Service Support 13-194	Substantial Completion	823,000	823,000	823,000			775,205	47,795	0	19,558	28,237
Jordan-Hare Stadium - South Side, Provide A New Score & Video Board System 14-286	Substantial Completion	13,900,000	13,900,000	13,900,000			13,801,225	98,775	0	334	98,441

**ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY**

Project Name	Project Phase	Original Approved Budget Amount	( A )	AU Funding (includes gifts/grants)	AU Bond Funding	Federal/ State or Local Funding	( B )	Estimated Cash Flow Assuming Remainder of Budget for FY2016	Estimated Cash Flow Assuming Remainder of Budget for FY2017 & Forward	( C )	(A)-(B)-( C )
			Current Approved Budget Amount				Actual Spending to Date (across multiple years)				Current Open Balance (Budget less Actuals and Encumbrances)
Pebble Hill Renovation 06-176	Substantial Completion	2,500,000	2,750,000	2,750,000			2,287,043	324,070	138,887	77,508	385,449
Cater Hall Repair & Renovation (Phase I) 14-220	Substantial Completion	900,000	900,000	900,000			798,468	51,532	50,000	63,219	38,313
<b>Total Substantial Completion</b>			246,673,000	67,073,000	169,600,000	10,000,000	243,554,026	2,317,060	687,195	1,164,570	1,954,404 *

## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	( A )	AU Funding (includes gifts/grants)	AU Bond Funding	Federal/ State or Local Funding	( B )	Estimated Cash Flow Assuming Remainder of Budget for FY2016	Estimated Cash Flow Assuming Remainder of Budget for FY2017 & Forward		( C )	(A)-(B)-( C )	
			Current Approved Budget Amount				Actual Spending to Date (across multiple years)				Current Encumbrances Against Project	Current Open Balance (Budget less Actuals and Encumbrances)	
CONSTRUCTION PHASE													
Mell Classroom Building 11-209	Construction	25,000,000	35,000,000	31,500,000	3,500,000		3,906,638	10,000,000	21,093,362		24,039,774	7,053,588	
AU Regional Airport T-Hangar Construction Phase I 15-093	Construction	2,000,000	2,000,000	2,000,000			814,223	1,185,777	0		1,148,641	37,136	
Offsite Library Archiving Facility 15-142	Construction	1,900,000	1,900,000	1,900,000			900,804	999,196	0		302,345	696,851	
Jordan-Hare Stadium Improvements: Phase 1 Storm Drain & Sewer Repairs 15-056	Construction	4,500,000	10,634,651	10,634,651			4,672,678	5,961,973	0		4,302,322	1,659,651	
Cater Hall Repair & Renovation Phase II 15-334	Construction	1,950,000	1,950,000	1,950,000			384,185	1,565,815	0		1,025,613	540,202	
Health Science Sector Infrastructure 15-222	Construction	6,000,000	6,000,000	6,000,000			1,141,232	3,887,014	971,754		3,926,149	932,619	
School of Nursing Facility 15-035	Construction	29,000,000	29,000,000	29,000,000			1,464,424	11,014,230	16,521,346		3,678,063	23,857,513	
Pharmaceutical Research Building 14-193	Construction	16,600,000	16,600,000	16,600,000			1,007,006	6,237,198	9,355,796		1,558,880	14,034,114	
Garden of Memory - Student Memorial 14-336	Construction	1,250,000	1,250,000	1,250,000			62,888	1,187,112	0		1,046,197	140,915	
Auburn Arena Volleyball Team Space Improvements 14-273	Construction	2,200,000	2,200,000	2,200,000			156,799	1,532,401	510,800		1,420,211	622,990	

**ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY**

Project Name	Project Phase	Original Approved Budget Amount	( A )	AU Funding (includes gifts/grants)	AU Bond Funding	Federal/ State or Local Funding	( B )	Estimated Cash Flow Assuming Remainder of Budget for FY2016	Estimated Cash Flow Assuming Remainder of Budget for FY2017 & Forward	( C )	(A)-(B)-( C )
			Current Approved Budget Amount				Actual Spending to Date (across multiple years)			Current Encumbrances Against Project	Current Open Balance (Budget less Actuals and Encumbrances)
Band Practice Field - New Storage & Dressing Facility 15-256	Construction	3,000,000	3,000,000	3,000,000			173,083	2,120,188	706,729	2,444,650	382,267
<b>Total Construction</b>			109,534,651	106,034,651	3,500,000	0	14,683,960	45,690,904	49,159,787	44,892,845	49,957,846

## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	( A )	AU Funding (includes gifts/grants)	AU Bond Funding	Federal/ State or Local Funding	( B )	Estimated Cash Flow Assuming Remainder of Budget for FY2016	Estimated Cash Flow Assuming Remainder of Budget for FY2017 & Forward		( C )	(A)-(B)-( C )
			Current Approved Budget Amount				Actual Spending to Date (across multiple years)				Current Encumbrances Against Project	Current Open Balance (Budget less Actuals and Encumbrances)
<b>DESIGN PHASE</b>												
Ag Heritage Park 98-333	Construction and Design Future Projects	2,666,320	2,666,320	2,666,320			1,427,581	0	1,238,739		0	1,238,739
Hill Residence Halls - Building Renovations & Upgrades 10-155	Design (Partial budget -Phase I only at this point)	15,859,848	15,859,848	13,373,258	2,486,590		2,125,099	0	13,734,749		1,252,148	12,482,601
Poultry Research Farm Unit Relocation Phase I 15-140	Design	1,300,000	1,300,000	1,300,000			49,201	1,250,799	0		11,722	1,239,077
Auburn University Hotel Porte-Cochere & Front Drive Improvements 13-268	Design	1,200,000	1,200,000	1,200,000			68,698	226,260	905,042		9,363	1,121,939
Auburn University Hotel Governor's Room, Board Room, & Restroom Renovations 15-326	Design	852,129	852,129	852,129			587	0	851,542		0	851,542
Risk Management and Safety Facility - New Building 15-150	Design	2,800,000	2,800,000	2,800,000			97,947	1,351,026	1,351,027		15,461	2,686,592
President's House Renovation 14-296	Design (Partial budget only at this point)	240,000	240,000	240,000			53,553	186,447	0		103,213	83,234
Food Animal Research Facility 15-130	Design	3,400,000	3,400,000	3,400,000			0	1,020,000	2,380,000		0	3,400,000
<b>Total Design</b>			28,318,297	25,831,707	2,486,590	0	3,822,666	4,034,532	20,461,099		1,391,907	23,103,724

**ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY**

Project Name	Project Phase	Original Approved Budget Amount	( A )	AU Funding (includes gifts/grants)	AU Bond Funding	Federal/ State or Local Funding	( B )	Estimated Cash Flow Assuming Remainder of Budget for FY2016	Estimated Cash Flow Assuming Remainder of Budget for FY2017 & Forward		( C )	(A)-(B)-( C )
			Current Approved Budget Amount				Actual Spending to Date (across multiple years)				Current Encumbrances Against Project	Current Open Balance (Budget less Actuals and Encumbrances)
<b>PLANNING PHASE</b>												
Student Activities Center - Comprehensive Renovation 13-110	Schematic Design (Partial budget only at this point)	150,000	150,000	150,000			96,166	53,834	0		20,818	33,016
Athletics Sports Medicine Facility - New Building & Relocation from BEMC 12-132	Schematic Design (Partial budget only at this point)	75,000	75,000		75,000		11	0	74,989		50,000	24,989
AU Regional Airport - Aviation Education Facility 13-285	Schematic Design (Partial budget only at this point)	120,355	120,355	120,355			79,702	40,653	0		10,300	30,353
Graduate Business Education Bldg 14-044	Schematic Design (Partial budget only at this point)	650,000	650,000	650,000			180,517	0	469,483		0	469,483
Gavin Engineering Research Laboratory - Comprehensive Renovations 14-308	Schematic Design (Partial budget only at this point)	1,250,000	1,250,000	1,250,000			400,303	849,697	0		370,022	479,675
Broun Hall Building Wide Renovation 15-068	Planning/Schematic Design (Partial budget only at this point)	392,370	392,370	392,370			67,561	324,809	0		210,307	114,502
Leach Science Ctr - Building Expansion & Partial Renovation 15-208	Predesign (Partial budget only at this point)	650,000	650,000	650,000			332,998	317,002	0		256,464	60,538



## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	( A )	AU Funding (includes gifts/grants)	AU Bond Funding	Federal/ State or Local Funding	( B )	Estimated Cash Flow Assuming Remainder of Budget for FY2016	Estimated Cash Flow Assuming Remainder of Budget for FY2017 & Forward	( C )	(A)-(B)-( C )
			Current Approved Budget Amount				Actual Spending to Date (across multiple years)				Current Open Balance (Budget less Actuals and Encumbrances)
Academic Classroom & Laboratory Complex 15-034	Planning/Schematic Design (Partial budget only at this point)	4,047,007	4,047,007	4,047,007			928,240	155,938	2,962,829	0	3,118,767
Engineering Achievement Center 15-157	Planning/Schematic Design (Partial budget only at this point)	3,100,000	3,100,000	3,100,000			97,284	2,011,820	990,896	345,701	2,657,015
Mell Street, West Samford Avenue, & Thach Avenue Traffic & Parking Improvements 15-311	Planning/Schematic Design (Partial budget only at this point)	50,000	50,000	50,000			25,886	24,114	0	21,900	2,214
Performing Arts Center - New Building 15-158	Pre-Design (Partial budget only at this point)	2,600,000	2,600,000	2,600,000			103,458	1,672,683	823,859	147,097	2,349,445
Poultry Research Farm Unit Relocation Phase II 16-040	Pre-Design (Partial budget only at this point)	57,178	57,178	57,178			0	57,178	0	0	57,178
<b>Total Planning</b>			13,141,910	13,066,910	75,000	0	2,312,126	5,507,728	5,322,056	1,432,609	9,397,175
Other Open Capital Projects	Various Stages		48,440,301	46,738,181	1,662,120	40,000	19,872,912	11,400,000	17,167,389	5,403,577	23,163,812
<b>GRAND TOTAL</b>			446,108,159	258,744,449	177,323,710	10,040,000	284,245,690	68,950,224	92,797,526	54,285,508	107,576,961 *

\* On the lines with the asterisks, the sum of the spending column and both cash flow columns does not equal the current approved budget figure. The reason relates to two projects that were completed under budget: (1) "Lowder Hall - East Courtyard, New Student Lounge" project under budget by \$80,595. (2) "Woodfield Drive - Roadway Extension to New VCOM Site & Other Site Improvements" project under budget by \$34,124.



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**TO:** JAY GOGUE, President  
**THROUGH:** DONALD L. LARGE, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
**PROJECT STATUS REPORT**  
**DATE:** MAY 25, 2016

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 10, 2016.

**Proposal:**

It is proposed that a brief report regarding the status of Board of Trustees approved capital projects be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

**Review and Consultation:**

The responsibility of the Board of Trustees extends to the oversight of funding, planning, design, construction, maintenance and operation of University buildings and facilities. The date of project establishment in the development process is often separated by years from the date of project completion or building dedication. This Project Status Report is intended to continually inform interested parties of the status of projects previously approved by the Board of Trustees.

**PROJECT/PHASE****STATUS****Projects in Planning Stage:**

- Equine Sciences – New Facilities Phase I
- Agricultural Sciences Research Building
- Interdisciplinary Science Building
- Public Safety Building Expansion

On hold pending funding  
Initiated November 2015  
Initiated November 2015  
Initiated February 2016

**Projects in Design Stage:**

- Band Rehearsal Hall Phases--Goodwin Hall
- Hill Residence Hall Renovations
- Relocation of Sports Medicine and Other Team Functions from the Coliseum
- Aviation Education Facility
- Graduate Business Education Building
- Gavin Engineering Research Lab Renovation
- Academic Classroom & Laboratory Complex
- Jordan-Hare Stadium Improvements
- Broun Hall Renovation
- Campus Utility System Expansion
- Engineering Achievement Center
- Performing Arts Center
- Leach Science Center Addition
- AUM Admissions & Alumni Center
- Mell Street and Thach Ave Traffic and Parking Improvements
- Poultry Research Farm Unit Relocation Phase II
- AUHCC—Governor's Room, Board Room, and Restrooms

**Initiation Approved**

Initiated September 2009  
Initiated June 2010  
Initiated February 2012  
  
Initiated February 2014  
On hold pending funding  
Initiated November 2014  
Initiated February 2015  
Initiated February 2015  
Initiated April 2015  
Initiated June 2015  
Initiated June 2015  
Initiated June 2015  
Initiated September 2015  
Initiated September 2015  
Initiated September 2015  
Initiated November 2015  
Initiated February 2016

**Schematic Design Approved:**

- AUHCC—Porte-Cochere & Front Drive Improvements
- Repairs and Renovations to President's Home

**Schematic Design Approved**

Approved February 2016  
Approved April 2016

**Projects in Construction Stage:**

- Mell Classroom Building
- AUM Residence Hall
- Airport T-Hangars
- Offsite Library Archive Facility
- Food Animal Research Facility
- The Auburn Memorial
- Cater Hall Repairs & Renovation Phase II
- Health Science Sector Infrastructure
- Jordan-Hare Stadium Sewer Repairs
- Pharmaceutical Research Building
- School of Nursing Facility
- Arena Volleyball Team Space Improvements
- Poultry Research Farm Unit Relocation Phase I
- Band Practice Complex—Dressing Rooms, Storage & Turf
- Risk Management & Safety Building

Approved September 2014  
Approved June 2015  
Approved June 2015  
Approved September 2015  
Approved September 2015  
Approved November 2015  
Approved November 2015  
Approved November 2015  
Approved November 2015  
Approved November 2015  
Approved November 2015  
Approved November 2015  
Approved November 2015  
Approved February 2016  
Approved February 2016  
Approved April 2016

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED BACHELOR OF SCIENCE DEGREE IN  
AGRICULTURAL SCIENCE

WHEREAS, the College of Agriculture is committed to offering innovative academic and outreach programs designed to support students and enhance the quality of life for Alabama's citizens; and

WHEREAS, the College proposes to add a new degree program, the Bachelor of Science in Agricultural Science, that would provide diversified agricultural education for those seeking generalist positions with agricultural companies, producer groups, or extension agencies; and

WHEREAS, Auburn University would be the first institution in Alabama to offer such a degree program in this marketable field; and

WHEREAS, the proposed degree program will utilize existing faculty, and would not require any additional resources or space; and

WHEREAS, the request to create this degree has been endorsed by the Dean of the College of Agriculture, the University Curriculum Committee, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Bachelor of Science in Agricultural Science be approved and submitted to the Alabama Commission on Higher Education for review and approval.



AUBURN UNIVERSITY

OFFICE OF THE PROVOST  
AND VICE PRESIDENT FOR  
ACADEMIC AFFAIRS

April 28, 2016

MEMORANDUM TO: Jay Gogue  
President

FROM: Timothy R. Boosinger *Timothy R. Boosinger*  
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –  
Proposed Bachelor of Science in Agricultural Science

I am writing to request that the following item be added to the Board of Trustees' agenda for the **June 10, 2016** meeting.

**Proposal:** The College of Agriculture is proposing the establishment of a new undergraduate degree program in Agricultural Science (CIP 01.0000).

**Review and Consultation:** Faculty in the Department of Horticulture initiated this proposal to establish a new bachelor's degree program in Agricultural Science. The proposed degree will provide students with a general agricultural science degree option as well as a double-major option for students in the Agriscience Education program. With an emphasis on broad knowledge of agricultural disciplines, students will be prepared for employment with agribusiness, extension, education, and various other professional fields.

If approved, the College of Agriculture will become the first institution in Alabama to offer an undergraduate degree in Agricultural Science. The College projects an annual enrollment of 40-60 students; the degree does not require any additional resources or space and will be taught by existing faculty within the College of Agriculture.

**Recommendation:** It is recommended that the Board approve the proposed Bachelor of Science in Agricultural Science. The proposed degree was reviewed and approved by Auburn University's Curriculum Committee in Spring 2016, and has been approved by both the College of Agriculture and the Provost's Office. If approved by the Auburn University Board of Trustees, the proposed degree will be forwarded to the Alabama Commission on Higher Education for review and approval.





**TO:** Dr. Timothy Boosinger  
Provost & Vice President for Academic Affairs

**THROUGH:** Constance Relihan  
Associate Provost for Undergraduate Studies

**THROUGH:** Paul Patterson  
Dean, College of Agriculture

**FROM:** J. David Williams  
Head, Department of Horticulture

**DATE:** April 21, 2016

**SUBJECT:** Proposed BS in Agricultural Science

We request that the following proposal be added to the Board of Trustees' agenda for their June 10, 2016 meeting.

**Program Purpose and Description:** The program will provide diversified agricultural education for those seeking positions in agricultural companies, producer groups, and agricultural extension agencies.

**Justification for Request:** There is a high need for competent professionals in the field of agriculture with a broad knowledge base. This program will provide a general agriculture science degree that has been demanded from various stakeholder groups. Agribusiness firms today are very diverse and seek employees with broad knowledge. The program will also provide a double major option for Agriscience Education students. Currently, Alabama State Department Education mandates that each teacher preparation program be completed as a double major with the corresponding science or arts program; this program will serve that function for Agriscience Education students. The need for this program is also demonstrated by the number of Agriscience Education graduates that are recruited by agricultural agencies away from the secondary classroom. There is a current drastic shortage of secondary agriscience teachers that is exacerbated by this attraction of individuals away from the secondary classroom because of their diverse agricultural training. The proposed program has been endorsed by the Alabama Farmers Federation, Agricultural Science Alumni, Agriscience education teachers, Horticulture Faculty, Agriscience Education Faculty and College of Education Administration at Auburn University.

**State Need:** No other four-year institutions in the state of Alabama offer a general agricultural science program at the undergraduate level.

**Employment Opportunities:** A degree in Agricultural Science will prepare students as practitioners in agricultural business, formal and non-formal education, extension education, and other agriculture-related fields. Graduates will be adept at developing and implementing programs that prepare individuals for the agricultural industry and keep the general public literate to the importance of agriculture and food, fiber, and natural resources in our daily life.

The scope of employment opportunities that the program will offer ranges from small scale local entities to multi-national agrochemical and agricultural biotechnology corporations. Examples of the wide variety of career choices the program might offer graduates include positions as Extension Educator, Organizational Trainer, Consumer Relations Manager, Corporate Sales Representative, and many others. There are an estimated 250 job openings for graduates of this program, with an estimated 15 for the local area, 75 for the state, 75 for the region, and 85 at the national level.

***Student Demand – Enrollment Projections:*** Creation of this degree will result in an immediate enrollment of approximately 40 undergraduate students as double majors. This number is expected to increase due to those who will enroll for the Agricultural Science major alone. Student enrollment for the program itself, not counting Agriscience education students, is expected to be approximately 60 students per year.

**Resource Requirements:** All courses and instructors necessary for offering the program are already in place.

**Recommendation:** We recommend that the proposed BS in Agricultural Science be approved by the Offices of the Provost and the President and be forwarded to the Board of Trustees and the Alabama Commission on Higher Education for review and approval.



## Proposed B.S. in Agricultural Science Curriculum Model

<b>Freshman Year</b>			
<b>Fall</b>	<b>Hours</b>	<b>SPRING</b>	<b>Hours</b>
<b>ANSC 1000 Introduction to Animal Science</b>	4	<b>BIOL 1030/1031 Organismal Biology + Lab</b>	4
MATH 1130 Pre-calculus Trigonometry	3	CHEM 1030 Fundamentals of Chemistry I	3
ENGL 1100 English Composition I	3	CHEM 1031 Fundamentals of Chemistry I Lab	1
Core History Sequence I <sup>1</sup>	3	ENGL 1120 English Composition II	3
BIOL 1020/1021 Principles of Biology + Lab	4	Core History Sequence II or Core Social Science <sup>1</sup>	3
	17		14

### Sophomore Year

<b>Fall</b>	<b>Hours</b>	<b>SPRING</b>	<b>Hours</b>
<b>CSES 2040/43 Basic Soil Science</b>	4	<b>HORT 2020 Horticulture Crop Production</b>	3
POUL 1000 Introduction to Poultry Science	3	STAT 2510 Stats for Bio./Health Sciences	3
Core Literature I <sup>1</sup>	3	CSES 1000/03 Basic Crop Science	4
ECON 2020 Principles of Microeconomics	3	Core Literature II or Humanities Choice <sup>1</sup>	3
Social Science Choice	3	COMM 1000 Public Speaking	3
	16		16

### Junior Year

<b>Fall</b>	<b>Hours</b>	<b>SPRING</b>	<b>Hours</b>
<b>HORT 2210 Landscape Gardening</b>	4	<b>CTCT 2100 Power Equipment Technology</b>	3
<b>AGEC 3010 Agribusiness Marketing or AGECE 4000 Agribusiness Management<sup>2</sup></b>	3	ENTM 4020 Economic Entomology	4
PLPA 3000 General Plant Pathology	4	Agriculture Supporting Course	3
<b>Agriculture Supporting Course (3000-5000 level)</b>	4	Agriculture Supporting Course	3
		Directed Science Elective <sup>3</sup>	3
	15		16

### Senior Year

<b>Fall</b>	<b>Hours</b>	<b>SPRING</b>	<b>Hours</b>
<b>FISH 5210 Principles of Aquaculture<sup>4</sup> Or FISH 5520 Small Impoundment Mgmt<sup>4/5</sup></b>	3	<b>CTCT 4140 Ag Structures/Metal Fabrication</b>	3
<b>CTCT 4030 Career &amp; Technical Student Orgs</b>	3	<b>Agriculture Supporting Course (3000-5000 level)</b>	4
HORT 4000 Pesticide Management Or CSES 3120/23 Principles of Weed Science	3	Directed Science Elective <sup>3</sup>	3
		Core Fine Arts	3
Electives	4	UNIV 4AAO University Graduation	0
	13		13

Total Hours Required: 120

#### Agriculture Supporting Courses:

ANSC 2000, 2010, 2700, 2710, 2720, 3300, 3310, 3330, 4800; FISH 5210, 5520, 5410, 5380; POUL 3030, 3060, 3100, 3150; FDSC 1000; CSES 3120/23, 5030/33, 5500/03; HORT 2030, 2040, 2050, 2240, 3000, 4000; AGRI 3800, 5840; AGECE<sup>2</sup> 3010, 3050, 3100, 3300, 4000, 4070, 4100; PLPA 5050, 5200, 5400, 5500; ENTM 4040, 5010, 5140, 5220, 5300, 5240, 5360, 5370, 5440

<sup>1</sup>All student must complete at least one literature course and a history sequence OR a literature sequence and at least one history course for a total of 12 hours in Humanities and 12 hours in Social Sciences.

<sup>2</sup>only offered Fall term

<sup>3</sup>Approved directed science electives: FISH 2100; BIOL 3010, 3100, 3200; CHEM 1040/41, 2030, 2070; BCHE 3200; 6 hours of ROTC

<sup>4</sup>Students not double majoring in Ag Education will need to complete the pre-requisite FISH 2100.

<sup>5</sup>only offered Summer term

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED BACHELOR OF SCIENCE DEGREE IN  
GEOSPATIAL AND ENVIRONMENTAL INFORMATICS

WHEREAS, the School of Forestry and Wildlife Sciences currently offers academic programs that support the sustainable management of forest, wildlife, and natural resources; and

WHEREAS, the School proposes to add a new degree program, the Bachelor of Science in Geospatial and Environmental Informatics, that would provide interdisciplinary knowledge and skills for those seeking professional positions in natural resource and environmental management; and

WHEREAS, Auburn University would be the first institution in Alabama to offer such a degree program; and

WHEREAS, the proposed degree program will utilize existing faculty, and would not require any additional resources or space; and

WHEREAS, the request to create this degree has been endorsed by the Dean of the School of Forestry and Wildlife Sciences, the University Curriculum Committee, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Bachelor of Science in Geospatial and Environmental Informatics be approved and submitted to the Alabama Commission on Higher Education for review and approval.



AUBURN UNIVERSITY

OFFICE OF THE PROVOST  
AND VICE PRESIDENT FOR  
ACADEMIC AFFAIRS

April 28, 2016

MEMORANDUM TO: Jay Gogue  
President

FROM: Timothy R. Boosinger *Tim R. Boosinger*  
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –  
Proposed Bachelor of Science in Geospatial and Environmental  
Informatics

I am writing to request that the following item be added to the Board of Trustees' agenda for the **June 10, 2016** meeting.

**Proposal:** The School of Forestry and Wildlife Sciences is proposing the establishment of a new undergraduate degree program in Geospatial and Environmental Informatics (CIP 45.0702).

**Review and Consultation:** Faculty in the School of Forestry and Wildlife Sciences initiated this proposal to establish a new bachelor's degree program in Geospatial and Environmental Informatics. Geospatial and Environmental Informatics support the sustainable management of forest, wildlife, and natural resources by utilizing geospatial analyses of surface land use as well as external factors that impact the environment. The proposed degree will provide students with interdisciplinary knowledge and skills across various STEM fields, resulting in graduates with a marketable degree in high demand among professional fields such as non-profit, government, education and natural resource and environmental management.

If approved, the School of Forestry and Wildlife Sciences will become the first institution in Alabama to offer an undergraduate degree in Geospatial and Environmental Informatics (a small number of institutions in the state offer concentrations). The School projects an annual enrollment of 50 students; the degree does not require any additional resources or space and will be taught by existing faculty within the School of Forestry and Wildlife Sciences. Faculty from other academic units have expressed support the development of such a degree program.

**Recommendation:** It is recommended that the Board approve the proposed Bachelor of Science in Geospatial and Environmental Informatics. The proposed degree was reviewed and approved by Auburn University's Curriculum Committee in Spring 2016, and has been approved by both the School of Forestry and Wildlife Sciences and the Provost's Office. If approved by the Auburn University Board of Trustees, the proposed degree will be forwarded to the Alabama Commission on Higher Education for review and approval.

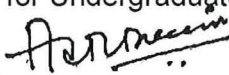


SCHOOL OF FORESTRY AND  
WILDLIFE SCIENCES

OFFICE OF THE DEAN

**TO:** Dr. Timothy Boosinger  
Provost & Vice President for Academic Affairs

**THROUGH:** Constance Relihan  
Associate Provost for Undergraduate Studies

**FROM:** Janaki Alavalapati   
Dean, School of Forestry and Wildlife Sciences

**DATE:** April 28, 2016

**SUBJECT:** Proposed BS in Geospatial and Environmental Informatics

We request that the following proposal be added to the Board of Trustees' agenda for their June 10, 2016 meeting.

**Program Purpose and Description:** The program will provide students rigorous training in fundamental theories, concepts, quantitative tools, analytical technologies and research skills that are used to acquire spatially-referenced information and analyze spatial processes. Research, education, and outreach activities in the School of Forestry and Wildlife Sciences have intensively involved in the geospatial and environmental informatics primarily because sustainable management of forest, wildlife, natural resources and the environment requires spatially-explicit approach.

**Justification for Request:** This program will align with the United States National Science Foundation's Science, Technology, Engineering, & Math (STEM) interdisciplinary program by enhancing knowledge across multiple fields including Ecology, Agriculture, Geosciences, Computing Science and Civil Engineering. This STEM-based interdisciplinary program aligns well with university goals and priorities in terms of enhancing students' success and preparing them for the job market. The proposed program has been endorsed by a number of the SFWS Advisory members including RMS (Resource Management Services) and the Alabama Cooperative Fish and Wildlife Research Unit. In addition, relevant faculty from Colleges of Agriculture, Science and Mathematics and Engineering, were part of the curriculum development and have expressed support to move this program forward.

**State Need:** No other four-year institutions in the state of Alabama offer a full undergraduate degree program in this discipline. Four (4) institutions offer specializations within existing programs or minors in related fields.

**Employment Opportunities:** Graduates of this program would be well prepared to refine their skills through pursuit of a graduate degree, or could immediately apply their unique skill sets in the wide array of industry, government, non-profit organizations, educational institutions, and news media that work in the realm of natural resource management and the environment. There are an estimated 1,585 job openings for graduates of this

3301 FORESTRY AND

WILDLIFE SCIENCES BUILDING

AUBURN, AL 36849-5418

TELEPHONE:

334-844-1004

FAX:

334-844-1084

program, with an estimated 10 for the local area, 85 for the state, 240 for the region, and 1,250 at the national level.

**Student Demand – Enrollment Projections:** Student enrollment for the program is expected to be approximately 50 students per year.

**Resource Requirements:** All courses and instructors necessary for offering the program are already in place.

**Recommendation:** We recommend that the proposed BS in Geospatial and Environmental Informatics be approved by the Offices of the Provost and the President and be forwarded to the Board of Trustees and the Alabama Commission on Higher Education for review and approval.



**Auburn University**  
**School of Forestry and Wildlife Sciences**  
**Geospatial and Environmental Informatics (GSEI) Degree**

**FRESHMAN**

<b>FALL</b>				<b>SPRING</b>			
ENGL	1100	English Composition I	3	ENGL	1120	English Composition II	3
BIOL	1020	Principles of Biology	4	BIOL	1030	Organismal Biology	4
BIOL	1021	Principles of Biology Lab	0	BIOL	1031	Organismal Biology Lab	0
GEOG	1010	Global Geography	3	MATH	1610	Calculus I	4
GSEI	1200	Digital Earth (M)	3	Elec	STAT	Restricted Statistics Elective	3
COMP	1200	Intro computing for Engineers & Scientists	2	FOWS	2060	Introduction to Forested Landscapes	2
			15				16

**SOPHOMORE**

<b>FALL</b>				<b>SPRING</b>			
GSEI	2070	Intro to Environmental Informatics (M)	3	COMP	2000	Network Programming with HTML and Java	3
ECON	2020	Principles of Microeconomics	3	FOWS	2020	Natural Resource Sampling Techniques (M)	3
CORE	HIST	History	3	CORE	SOC	History or Social Science <sup>1</sup>	3
CORE	LIT	Literature	3	CORE	HUM	Literature or Humanities <sup>1</sup>	3
Elec	FREE	Free Elective	3	GEOG	2020	Physical Geography	3
			15				15

**JUNIOR**

<b>FALL</b>				<b>SPRING</b>			
FORY	5470	GIS Applications in Nat Resources (M)	2	FORY	5480	GIS Database Design and Analysis (M)	2
GEOG	5820	Aerial Photography & Remote Sensing (M)	4	FORY	4230	Forest Ecology (M)	3
COMM	1000	Public Speaking	3	COMP	3000	Programming for Engineers and Scientists	3
ENGL	3040	Technical Writing	3	GSEI	5150	Spatial Statistics in Natural Resources (M)	3
Elec	FREE	Free Elective	3	CORE	FINE	Fine Arts	3
			15				14

**SENIOR**

<b>FALL</b>				<b>SPRING</b>			
FOWS	5220	Landscape Ecology (M)	3	FOWS	5320	Environmental Services (M)	3
GEOG	5880	Advanced GIS (M)	3	FOWS	5880	Ecological Economics	3
GSEI	4360	Environmental Modeling (M)	3	FOWS	5270	Natural Resource Policy	3
Elec	ECON	Restricted Economics Elective	3	Elec	MNG	Restricted Management Elective	3
GSEI	4430	Applications in Environ Informatics (M)	3	Elec	FREE	Free Elective	3
			15				15

120 Total Semester Hours

Courses marked with (M) are major courses, and those taught within the School (FOWS, FORY, WILD, and GSEI) must be completed with a "C" or better.

<sup>1</sup> Student must complete a sequence in either Literature or History.

<b>Restricted Statistics Electives</b>				<b>Restricted Management Elective</b>			
STAT	2010	Stats for Social and Behavioral Sciences	3	FORY	5250	Wetland Ecology and Management	3
STAT	2510	Stats for Biological and Health Sciences	3	WILD	3280	Principles of Wildlife Management	3
				FORY	5410	Forest Management and Admin	3
<b>Restricted Economics Electives</b>							
FORY	5400	Forest Economics	3				
ECON	3100	Law and Economics	3				

Students planning a certificate, minor, additional major or second degree must contact the student services office as early as possible at (334) 844-1050 for referral to the appropriate School or College, advising in prerequisites, checks for minimum GPA requirements, and course scheduling. All information presented here is subject to change.

Current information is available at: <http://wp.auburn.edu/sfws/students/prospective-students/majors/>

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED CHANGES TO FACULTY PERSONNEL POLICIES

WHEREAS, the faculty personnel policies included in the *Faculty Handbook* reflect Auburn University policies and information; and

WHEREAS, the proposed changes to the faculty personnel policies have been recommended by the University Senate and have received the approval of the Provost and the President; and

WHEREAS, the Board defines policies related to appointment, promotion, tenure and dismissal of faculty, and development and approval of procedures to implement those policies is the responsibility of the President or those duly authorized by the President.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized to revise the contents of the faculty personnel policies as shown on Attachment A and is delegated the authority to approve procedures for the administration of these policies.

## Attachment A

### 3.6.5.E. The Department's and Dean's Recommendation

After the candidate has made a presentation of his or her credentials if he or she so wishes, and after the faculty eligible to vote have had time to discuss the candidate's qualifications in a closed meeting, a secret ballot shall be taken at the meeting of eligible faculty to determine the final recommendation of the department faculty. Faculty members may participate in the promotion and/or tenure recommendation in one of the following ways:

- a. present and voting;
- b. present and abstaining;
- c. absent but submitting a written vote prior to the meeting; or
- d. absent and not voting (this response does not count as part of the total vote).

The department head/chair, dean, and any other faculty member serving as an administrator who has an official vote on the candidate at a higher administrative level shall not vote at the departmental level. Faculty members who serve on committees at the school, college, or University level may choose to vote at the department level or at higher levels, but they may vote only once on candidates from their departments. Immediate family members shall excuse themselves from discussion and voting.

The department head/chair shall announce the vote at the meeting. The vote shall be transmitted itemized as a, b, c, and d as listed above in writing, first to the dean of the candidate's college or school and the appropriate college committee, then to the Promotion and Tenure Committee along with the other information requested in this document.

The eligible department faculty who voted on a candidate's promotion and/or tenure will write a summary letter that reflects the vote and represents all aspects of the discussion leading to that vote. The department head/chair will also write an evaluative letter (that will count as his/her vote) with an explicit recommendation for or against promotion and/or tenure. In addition to these two required letters, individual faculty members may write letters explaining why they do or do not favor promotion and/or tenure. Where there are fewer than three faculty members in a department who are eligible to write letters of evaluation, the head/chair may ask for letters from faculty members in other departments who have knowledge of the candidate's professional performance. Letters should address the quality of research/creative work and the candidate's potential for continued work, teaching effectiveness, effectiveness in the area of extension, service contributions, and, in tenure cases, potential to contribute as a productive and collegial



member of the academic unit in all relevant areas. In the case of candidates for tenure-on-hire, letters from the candidate's current colleagues as well as from Auburn faculty members are strongly encouraged and should address these same issues.

Faculty should bear in mind that letters to the Promotion and Tenure Committee are an important source of information for the committee. Letters can help the committee to make an informed judgment about the candidate's collegiality by addressing the candidate's performance of his or her duties within a department. Letters can also help the committee, whose members may not come from the candidate's field, understand the significance of the candidate's work and make a fair appraisal of it. Faculty, department heads/chairs, and chairs should note that, unlike letters from outside reviewers, which remain confidential, their letters will be made available to and may be rebutted by the candidate.

The department head/chair shall communicate the department's vote to the candidate and also make available to the candidate all letters submitted by the committee, the department head/chair, and individual faculty members. After reviewing the letters, the candidate has five working days to write a rebuttal if desired. The candidate can also make an informed decision about whether or not to continue with the process of seeking promotion and/or tenure. If the candidate wishes to continue the process despite a negative recommendation, the department head/chair and dean shall honor the candidate's request.

If there is a college committee, its members will review the dossier, letters, and the candidate's rebuttal (if submitted), and they will vote by secret ballot. The committee will write a summary letter that reflects the vote and represents all aspects of the discussion leading to that vote. The dean will also write an evaluative letter (that will count as his/her vote) with an explicit recommendation for or against promotion and/or tenure. The dean shall communicate the college/school committee vote and make available to the candidate the college's/school's and dean's letters. After reviewing the letters, the candidate has five working days to write a rebuttal if desired.

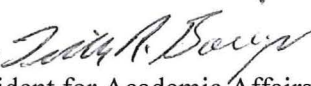


AUBURN UNIVERSITY

OFFICE OF THE PROVOST  
AND VICE PRESIDENT FOR  
ACADEMIC AFFAIRS

April 26, 2016

MEMORANDUM TO: Jay Gogue  
President

FROM: Timothy R. Boosinger   
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees –  
Proposed Revisions to Chapter 3 of the *Faculty Handbook*

I am writing to request that the following item be added to the Board of Trustees' agenda for the **June 10, 2016** meeting.

**Proposal:** It is recommended that the proposed changes to faculty personnel policies published in Chapter Three of the *Auburn University Faculty Handbook* be approved.

**Review and Consultation:** The University Senate has recommended changes to the faculty personnel policies. The changes were approved by the University Senate at the November 27, 2015 full Senate meeting. The proposed changes delineate existing policies and provide increased comprehensiveness, organization, and clarity to standing practices regarding the department's and dean's recommendations for faculty tenure. The proposed changes provide additional language and clarity. These changes were postponed to the June 2016 BOT meeting to allow the University to complete the annual P&T process.

**Recommendation:** It is recommended that the Board approve the proposed changes to selected faculty personnel policies published in the *Auburn University Faculty Handbook*. If approved, the changes will provide greater clarity, better organization, and utility of the faculty personnel policies.



AUBURN UNIVERSITY

OFFICE OF THE PROVOST  
AND VICE PRESIDENT FOR  
ACADEMIC AFFAIRS

April 15, 2016

TO: Timothy R. Boosinger, Provost and Vice President for Academic Affairs

FROM: Emmett Winn, Associate Provost

RE: Approved Faculty Handbook Changes to Clarify Section 3.6.5.E The Department's and Dean's Recommendation in the Promotion and Tenure Process

As the attached documents show, two minor additions have been approved by the Senate and President to Section 3.6.5.E The Department's and Dean's Recommendation in the promotion and tenure process. These additions clarify the current practice that letters from the department heads and deans count as their votes in the process and must include an explicit recommendation for or against promotion and/or tenure.

At this time, we respectfully request that these changes be presented to the Board of Trustees for its approval.



AUBURN  
UNIVERSITY

M E M O R A N D U M

Auburn University Senate • 5 Quad Center • Auburn, Alabama 36849-5274

Larry Teeter, Chair  
Laura Plexico, Secretary

Patricia Duffy, Immediate Past Chair

R. James Goldstein, Chair-Elect  
Xing Ping Hu, Secretary-Elect

**TO:** DR. EMMETT WINN, ASSOCIATE PROVOST  
**FROM:** LAURA KLOBERG, UNIVERSITY SENATE ADMINISTRATIVE SUPPORT  
**SUBJECT:** FACULTY HANDBOOK REVISION TO 3.6.5.E, DEPARTMENTS' AND DEAN'S RECOMMENDATION  
**DATE:** 4/15/2016  
**CC:** SENATE EXECUTIVE COMMITTEE

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On November 23, 2015 this revision was included with another for approval by the Provost and the President and it was signed then delivered via e-mail on Dec. 9, 2015. Please see the attached approval. We are resubmitting it by itself to go forward for the Board of Trustees approval.

AUBURN MONTGOMERY COMMITTEE  
AND  
ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED DEPARTMENT OF COMMUNICATION DISORDERS  
AUBURN UNIVERSITY AT MONTGOMERY

WHEREAS, AUM in 2014 underwent an academic reorganization approved by the Board of Trustees and ACHE; and

WHEREAS, this reorganization entailed the establishment of a College of Nursing and Health Sciences consisting of a School of Nursing, Department of Medical and Clinical Sciences, Student Health Service and Speech and Hearing Clinic; and

WHEREAS, as part of this plan, a Speech Pathology and Audiology option under the B.A. in Communication in the Department of Communication and Theatre in the College of Arts and Sciences was elevated to a B.S. in Communication Disorders; and

WHEREAS, AUM now proposes to place this degree program in a new Department of Communication Disorders in the College of Nursing and Health Sciences; and

WHEREAS, this configuration will group health-related units in a common college to make them easier to locate by potential students, to foster collaborations between these units, and to provide a platform for creation of additional programs; and

WHEREAS, this proposal has been endorsed by the Dean of Arts and Sciences, Dean of Nursing and Health Sciences, Director of Speech and Hearing Clinic, Director of Student Health Services, Provost and Chancellor; and

WHEREAS, there are no additional costs associated with the proposed organization.

NOW, THEREFORE, BE IT RESOLVED, by the Auburn University Board of Trustees that the proposed new Department of Communication Disorders be approved and submitted to The Alabama Commission on Higher Education as an information item.

AUBURN MONTGOMERY COMMITTEE

AND

ACADEMIC AFFAIRS COMMITTEE

EXECUTIVE SUMMARY

PROPOSED DEPARTMENT OF COMMUNICATION DISORDERS

AUBURN UNIVERSITY AT MONTGOMERY

This proposal arose from an academic reorganization at AUM approved on November 7, 2014 by the Board of Trustees. This reorganization includes the transformation of an existing School of Nursing to a College of Nursing and Health Sciences with a School of Nursing, Department of Medical and Clinical Sciences, Speech and Hearing Clinic and Student Health Services. Since this reorganization, we have received Board and ACHE approval to elevate a Speech Pathology and Audiology option under the B.A. in Communication in the Department of Communication within the College of Arts and Sciences to a B.S. in Communication Disorders. We are now ready to place this degree into a Department of Communication Disorders within the College of Nursing and Health Sciences. This academic configuration places the health-related units together within the same college. This will foster greater collaboration between these units and, in fact, synergies are already beginning to occur between these units. Placing health-related units together makes them easier to locate by potential students and provides a platform for promoting existing programs and for the development of new programs.

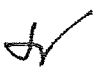
This proposal has been approved by the Dean of the College of Arts and Sciences, the Dean of the College of Nursing and Health Sciences, the Director of the Speech and Hearing Clinic and the Chair of the Department of Communication and Theatre. The Provost and Chancellor also endorse this proposal. The proposed new Department of Communication Disorders will not require additional funding.



OFFICE OF THE CHANCELLOR

May 10, 2016

To: Dr. Jay Gogue  
President

From: John G. Veres III 

Subject: Proposed Board Agenda Item  
New Department of Communication Disorders

Please consider including this proposal on the agenda of the June 10, 2016, meeting of the Board of Trustees.

**Proposal:**

On November 7, 2015, the Auburn University Board of Trustees approved an academic reorganization plan to create a College of Nursing and Health Sciences consisting of a School of Nursing, Department of Medical and Clinical Sciences, Speech and Hearing Clinic, and Student Health Services. Recently, the Board of Trustees and ACHE approved a B.S. in Communication Disorders to replace an option in Speech Pathology and Audiology in the B.A. in Communication in the Department of Communication and Theatre (College of Arts and Sciences). AUM is now requesting that this degree be placed in a new Department of Communication Disorders to be housed along with other health-related units in the College of Nursing and Health Sciences.

**Review and Consultation:**

This proposal has been reviewed and recommended by the Dean of Arts and Sciences, Dean of Nursing and Health Sciences, Director of the Speech and Hearing Clinic, and Chair of the Department of Communication and Theatre. The Provost concurs with their recommendation. No additional funds are required to establish this department.

**Rationale for Recommendation:**

The creation of the Department of Communication Disorders with a B.S. in Communication Disorders and its placement in the College of Nursing and Health Sciences will place our health-related units together making them easier to locate by potential students. This configuration allows for greater collaboration between health-related fields. In fact, synergies have already developed as exemplified by the collaboration of Nursing faculty, Medical Laboratory Sciences faculty and clinicians in the Speech and Hearing Clinic in our Healthy Kids Program. This program provides free health screening for students in local elementary schools. Also, this College will be better positioned to serve as a platform for promotion of existing programs and for development of new programs.

It is recommended that this proposal be submitted to the Board of Trustees through the Auburn Montgomery Committee and Academic Affairs Committee and placed on the agenda at the meeting scheduled for June 10, 2016. If the Board approves, the changes will then be sent as an information item to the Alabama Commission on Higher Education.

Dr. King and I are available to answer any questions you may have regarding this proposal.

Thank you for your kind attention.



AUBURN MONTGOMERY COMMITTEE  
AND  
ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED UNDERGRADUATE CERTIFICATE PROGRAM IN SUBSTANCE ABUSE  
PREVENTION STUDIES AT AUBURN UNIVERSITY AT MONTGOMERY

WHEREAS, the Department of Sociology, Anthropology and Geography at Auburn University at Montgomery wishes to provide an undergraduate certificate in Substance Abuse Prevention Studies; and

WHEREAS, the proposed certificate program will target students pursuing bachelor's degrees in Sociology, Social Work, and Psychology as well as current and aspiring paraprofessionals in the community, supporting their education and providing them with internship opportunities; and

WHEREAS, the proposed certificate program will help to expand Alabama's substance abuse prevention workforce by training students who are ready to compete and thrive in that field; and

WHEREAS, the addition of this certificate program will expand AUM's undergraduate program offerings to be more comprehensive, competitive, visible, and attractive to students and community members interested in making themselves more marketable; and

WHEREAS, the proposed certificate program will strengthen current partnerships between AUM and the Alabama Department of Mental Health, the Alabama Department of Public Health, and the Montgomery community; and

WHEREAS, the proposed certificate program will not require the addition of new faculty members or course offerings; and

WHEREAS, the proposal to create this undergraduate certificate program has been endorsed by the Sociology faculty; the Head of the Department of Sociology, Anthropology and Geography; the Dean of the College of Public Policy and Justice; the Provost; and the Chancellor.

NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that the proposed undergraduate certificate program in Substance Abuse Prevention Studies from the AUM College of Public Policy and Justice be approved and submitted to the Alabama Commission on Higher Education for review as an information item.

AUBURN MONTGOMERY COMMITTEE  
AND  
ACADEMIC AFFAIRS COMMITTEE

EXECUTIVE SUMMARY

AUBURN UNIVERSITY AT MONTGOMERY

UNDERGRADUATE CERTIFICATE IN SUBSTANCE ABUSE PREVENTION STUDIES

The Department of Sociology, Anthropology and Geography at Auburn University at Montgomery currently offers a Bachelor's Degree in Sociology with concentrations in general sociology and marriage and family. The Department of Sociology, Anthropology, and Geography wishes to provide an undergraduate certificate in substance abuse prevention that will provide students with education, training and internship opportunities to prepare them to work with at-risk children, adolescents, transitional-age youth, and others at risk for developing substance abuse problems. This certificate will target sociology, social work and psychology students as well as current and aspiring paraprofessional in the community. This undergraduate certificate will assist Alabama in expanding the substance abuse workforce by supporting education and internship opportunities for the community. More importantly, this undergraduate certificate will expand our program offerings to include training that will be more attractive to students and will prepare them to compete and thrive in the substance abuse prevention job market.

The proposed certificate would not require additional resources or faculty and has been endorsed by the Sociology faculty, the Head of the Department of Sociology, Anthropology and Geography, the Dean of the College of Public Policy and Justice, the Provost, and the Chancellor.

It is requested that the Board consider a resolution to approve the proposed undergraduate certificate in Substance Abuse Prevention Studies for Auburn University at Montgomery at the June 10, 2016 meeting.




AUBURN

MONTGOMERY

OFFICE OF THE CHANCELLOR

May 11, 2016

TO: Dr. Jay Gogue  
President

FROM: John G. Veres, III   
Chancellor

SUBJECT: Proposed Board Agenda Item  
Undergraduate Certificate in Substance Abuse Prevention Studies (CSAPS)

Please consider including this proposal on the agenda of the June 10, 2016 meeting of the Board of Trustees.

Proposal: Auburn University at Montgomery (AUM) proposes to develop an undergraduate certificate in substance abuse prevention studies. This certificate will target sociology, social work and psychology students as well as current and aspiring paraprofessional in the community. This undergraduate certificate will assist Alabama in expanding the substance abuse workforce by supporting education and internship opportunities for the community. More importantly, this undergraduate certificate will expand our program offerings to include training that will be more attractive to students and will prepare them to compete and thrive in the substance abuse prevention job market.

Review and Consultation:

This undergraduate certificate was reviewed by the Department of Sociology, Anthropology and Geography, the Dean of the College of Public Policy and Justice, and the Provost.

This undergraduate certificate will not require any additional resources or faculty members.

Rationale for Recommendation:

Skilled specialists in substance abuse prevention will be in great need throughout the state. This anticipated need supported by the *2015 Community Health Improvement Plan* developed by the Alabama Department of Public Health (ADPH). The ADPH and community stakeholders identified 1) strengthening infrastructure for mental health promotion and substance abuse prevention and 2) reducing the prevalence of individuals with or at risk of substance abuse or mental illness as priorities in the *2015 Community Health Improvement Plan*. In addition to alcohol and illicit drug abuse prevention, they also recommended a focus on prevention

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education related to prescription drug abuse. With the increase in alcohol and drug use and abuse across all age groups, prevention efforts are critically important to ensure the health and well-being of Alabamians. Auburn University Montgomery can be responsive to this rapidly growing area through the creation of such a certificate program.

This project will also strengthen current, and enable new partnerships to form between Auburn University Montgomery, the Alabama Department of Mental Health, the Alabama Department of Public Health and the community.

This proposed certificate is critical for fulfilling the supply and demand for a substance abuse prevention workforce serving children, adolescents and transitional-age youth at risk for developing substance abuse problems.

It is recommended that the proposal be submitted to the Board of Trustees through the Auburn Montgomery Committee and Academic Affairs Committee and placed on the agenda at the meeting scheduled for June 10, 2016.

Dr. King and I are available to answer any questions you may have regarding this proposal.

Thank you for your kind attention.

Academic Affairs Committee

RESOLUTION

PROPOSED GRADUATE CERTIFICATE in NURSING EDUCATION

WHEREAS, the College of Nursing and Health Sciences, School of Nursing seeks to provide professional nurses interested in combining their clinical expertise with a passion for teaching with the option for a Graduate Certificate in Nursing Education; and

WHEREAS, such nurses desire to further develop skills as a nurse educator; and

WHEREAS, the Graduate Certificate in Nursing Education will provide opportunity for currently licensed registered nurses to further develop skills in nursing education theory, teaching and learning strategies, distance learning, assessment, evaluation, and curriculum and program development.

WHEREAS, instruction in nursing education is necessary to facilitate the professional nurse into the role of educator; and

WHEREAS, the request to create the Graduate Certificate in Nursing Education has been endorsed by AUM Graduate Council, AUM School of Nursing, Dean of the College of Nursing and Health Sciences, Provost, Chancellor, and Auburn University's President;

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Graduate Certificate in Nursing Education be approved and submitted as an information item to the Alabama Commission on Higher Education.




AUBURN

MONTGOMERY

OFFICE OF THE CHANCELLOR

May 5, 2016

To: Dr. Jay Gogue  
President

From: John G. Veres III 

Subject: Proposed Board Agenda Item  
Proposed Graduate Certificate in Nursing Education

Please consider including this proposal on the agenda of the June 9, 2016 meeting of the Board of Trustees.

**Proposal:**

The College of Nursing and Health Sciences (CONHS), School of Nursing (SON) is requesting Board of Trustees approval for a Graduate Certificate in Nursing Education. This program is in response to the need to prepare more professional nurses with the knowledge and skills necessary to educate the nursing workforce including clinical preceptors. This program will provide professional nurses interested in combining their clinical expertise with a passion for teaching with the option for a Graduate Certificate in Nursing Education. The proposed Certificate Program will include content related to nursing education theory, teaching and learning strategies, distance education, assessment and evaluation, and curriculum and program development.

**Review and Consultation:**

The proposal has been reviewed by the Graduate Council, the Dean of the College of Nursing and Health Sciences, and the Provost. This program will require no additional resources.

**Rationale for Recommendation:**

The proposed program is in response to faculty shortages in schools of nursing nationwide and the need to provide graduate coursework to professional nurses seeking a transition to clinical educator and faculty roles. Numerous factors have been cited to contribute to the faculty shortage, including but not limited to, an aging faculty workforce, limited opportunity for professional nurses to obtain skills necessary for teaching, and a growing disparity in compensation for nurse educators. In a study done by the Southern Regional Education Board (SREB), a serious shortage of nurse faculty was documented in all 16 SREB states and the District of Columbia. The shortfall of nurse educators was attributed to resignations, projected retirements, lack of well-prepared faculty and unfilled positions. The proposed program is designed to meet the needs of the working registered nurse and advanced practice nurse in preparation for this vital role and the need for well-prepared nursing faculty. It is recommended

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that the proposal be submitted to the Board of Trustees through the Auburn Montgomery Committee and Academic Affairs Committee and placed on the agenda at the meeting scheduled for June 9, 2016.

Dr. King and I are available to answer any questions you may have regarding this proposal.

Thank you for your kind attention.

## **Graduate Certificate Program**

Title: Nursing Education

Offering Unit: School of Nursing  
College of Nursing and Health Sciences

Institution: Auburn University at Montgomery

Curriculum: Total 16 credit hours

NURS 7346 – Advanced Theory Foundations 3cr

NURS 6516 – Nurse Educator Role and Competencies 3cr

NURS 7326 – Curriculum Development and Evaluation 3cr

NURS 6526 – Instructional Design Process for Nurse Educator 3cr

NURS 6536 – Nurse Educator Practicum (Capstone) 4cr



ACADEMIC AFFAIRS COMMITTEE

AND

FINANCE COMMITTEE

RESOLUTION

PROPOSAL TO ESTABLISH A PROFESSIONAL FEE FOR  
THE INTERIOR DESIGN PROGRAM IN THE COLLEGE OF HUMAN SCIENCES

WHEREAS, the College of Human Sciences is committed to providing the highest quality instruction to its students; and

WHEREAS, the Department of Consumer and Design Sciences maintains a nationally ranked program leading to the Bachelor of Science in Interior Design and has a strong record of placing its graduates in design-related professions; and

WHEREAS, requirements to offer the Interior Design program include low faculty-student ratios, advanced technology, specialized equipment, and adequate design studio space; and

WHEREAS, the program continues to experience increased student demand, resulting in the need for additional course sections, faculty, software technology, and studio space; and

WHEREAS, establishing a professional fee for students enrolled in the Interior Design Program would enable the department to continue offering smaller classes and focused capstone opportunities; and

WHEREAS, the proposed fee amounts align with similar program fees at peer institutions; and

WHEREAS, the College has effectively communicated the proposed fee to its primary stakeholders, including current students, faculty, staff, and alumni; and

WHEREAS, the accrediting bodies and peer review team for Interior Design program have recommended the department increase its resources to accommodate current and future students; and

WHEREAS, the request to establish a professional fee for the Interior Design Program in the Department of Consumer and Design Sciences has been endorsed by the Dean of the College of Human Sciences, the Dean's Advisory Board, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to implement the establishment of a Professional Fee for students enrolled in the Interior Design Program in the College of Human Sciences according to the following schedule:

1. Effective Fall 2017 for academic year 2017-18 only, the fee will be \$1,000 per semester for students enrolled in the program;
2. Effective Fall 2018 for academic year 2018-19 and thereafter, the fee will be \$2,000 per semester for students enrolled in the program;
3. Effective Fall 2019 for academic year 2019-20 and thereafter, each fee level will be adjusted in proportion to any percentage increases that may be necessary in undergraduate tuition, such that a 1% increase in tuition would correspond to a 1% increase in the Interior Design Professional Fee.



AUBURN UNIVERSITY

OFFICE OF THE PROVOST  
AND VICE PRESIDENT FOR  
ACADEMIC AFFAIRS

May 6, 2016

**MEMORANDUM TO:** Jay Gogue  
President

**FROM:** Timothy R. Boosinger *Timothy R. Boosinger*  
Provost and Vice President for Academic Affairs

**SUBJECT:** Agenda Item for the Board of Trustees –  
Proposal to Establish a Professional Fee for the Interior Design Program  
in the College of Human Sciences

I am writing to request that the following item be added to the Board of Trustees agenda for the **June 10, 2016** meeting.

**Proposal:** It is recommended that the Board approve the request to establish a professional fee for students enrolled in the Interior Design Program in the Department of Consumer and Design Sciences, beginning Fall 2017. If approved, the fee would be \$1,000 per student, per semester beginning in Fall 2017, to be increased to \$2,000 per student, per semester in Fall 2018. Revenue generated from the fee is estimated to be \$500,000 annually, with automatic and proportional adjustment of the fee to be accommodated according to future tuition increases.

**Review and Consultation:** The Department of Consumer and Design Sciences is proposing a professional fee for its undergraduate students in the Interior Design Program (INDS) as a means of sustaining and enhancing its existing instructional and research programs. Continued demand for this program has resulted in an increased need for additional resources to effectively deliver courses while remaining in compliance with recommended class sizes articulated by the unit's accrediting bodies.

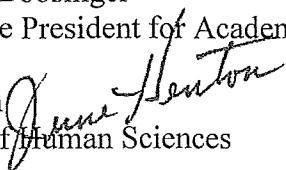
Maintaining small class sizes and faculty/student ratios in the INDS program requires necessary resources for faculty and facilities, including studio space. For reasons of effective pedagogy and accreditation, class sizes must be limited, and additional revenue is required for specialized equipment, supplies, and practica in order to meet national standards required for the program. To address these pedagogical and programmatic needs, the department has utilized its reserved funds—a practice that I require academic units to employ in order to address budget discrepancies. However, I strongly believe it is critical that the department maintain adequate operating fund balances to ensure the long-term viability of the Interior Design program.

Moreover, the program's most recent accreditation by the National Association of Schools of Art and Design recommended the College provide additional resources to address increased enrollment to address facility and safety needs. Last year, the University's Academic Program Review committee indicated a fee would be justified to support increased faculty and additional resource needs to administer its program.

Dean Henton has taken several steps to inform the unit's stakeholders of the proposed fee, including communicating the fee to current students and parents. This request is supported by the Dean and faculty within the department, in addition to multiple advisory groups.

**Recommendation:** The proposed professional fee will allow the Department of Consumer and Design Sciences to sustain the instructional needs in the Interior Design program. The proposed fee structure includes \$1,000 per student, per semester effective Fall 2017 for academic year 2017-18 only, and \$2,000 per student, per semester for students enrolled in the program effective Fall 2018 for academic year 2018-19 and thereafter. Effective Fall 2019 for academic year 2019-20 and thereafter, the fee will be adjusted in proportion to any percentage increases that may be necessary in undergraduate tuition, such that a 1% increase in tuition would correspond to a 1% increase in the Interior Design Professional Fee.

**TO:** Dr. Timothy R. Boosinger  
Provost and Vice President for Academic Affairs

**FROM:** Dr. June Henton   
Dean, College of Human Sciences

**DATE:** May 4, 2016

**SUBJECT:** Agenda Item for the Board of Trustees  
Proposal to Establish a Professional Fee for the Interior Design Program  
Department of Consumer and Design Sciences, College of Human Sciences

**Rationale:** Interior Design (INDS/INDX) has been an outstanding program over the years, currently ranked #9 nationally by *DesignIntelligence* ([www.di.net](http://www.di.net)), a division of the Design Futures Council which publishes annual rankings of *America's Best Architecture and Design Schools*. INDS/INDX is a highly technical program that requires more space per student, lower student/teacher ratios, more advanced technology and technical support, specialized equipment and supplies, internship site development and supervision, and additional costs related to achieving and maintaining accreditations. While these size and space limited experiences represent strong points of pride for our department and college, they also require support that we cannot currently provide. The need for additional funds has been recently substantiated by our review and accrediting bodies, all of which agree that the INDS/INDX program remains under-resourced.

The INDS/INDX program is nationally accredited by the Council of Interior Design Accreditation (CIDA). In the most recent review in 2015, CIDA gave the Interior Design program high marks for quality and granted the maximum six-year re-accreditation. In that same year, Auburn's Academic Program Review of the Department of Consumer and Design Sciences reported, "The numerous achievements and national standing have occurred without adequate university financial resources." It further stated, "fees can be justified . . . (to) increase faculty lines and the department budget for operations to support the general academic and scholarly needs of the department such as telephone, faculty/staff computing, photocopying, supplies, accreditation/endorsement fees, and travel support." Also as part of Auburn's institutional re-accreditation by the National Association of Schools of Art and Design (NASAD), the Interior Design program was cited by NASAD in its 2015 review for deficiencies as follows: "With the robust growth of Interior Design, the Commission asks that the institution consider implications with regard to enrollment management, safety, and facility needs."

Over the past five years, Interior Design students have achieved virtually 100% placement in design-related positions within twelve months of graduation. Despite the heavy demand and strong placement in top 100 architectural and interior design firms nationally, with current resources the INDS/INDX program is limited to enrolling only two classes of 18 students annually, for an overall maximum of 144 students in the program. Increased student demand has resulted in a need for

more classrooms, enhanced technology, and more studio space. Currently, the College funds two faculty positions in Interior Design and four graduate students for the department using reserves, a practice that is not fiscally viable or sustainable. Additional funding is necessary to increase our faculty, ensure that our students are well supported, and that scholarly productivity remains high.

**Request:** This request is for approval to establish a professional fee of \$1,000 per semester, effective Fall 2017, and \$2,000 per semester, effective Fall 2018, for undergraduate students in the Interior Design (INDS/INDX) program. This stepwise fee structure is intended to give students and parents adequate time to integrate the cost of fees into their budgets. **Effective Fall 2019 for academic year 2019-20 and thereafter, each fee level will be adjusted in proportion to any percentage increase that may be necessary in undergraduate tuition such that a 1% increase in tuition would correspond to a 1% increase in Interior Design Professional Fees.** Based on current student enrollment, the revenue generated in 2018-19 would be approximately \$500,000/year. Funds generated from the fee will enable the program to better serve our students by increasing faculty, enrollment capacity, space allocation, and opportunities for student learning experiences.

According to Interior Design Advisory Board Chair Wallace Williams, former Chair of the Alabama State Board of Registration for Interior Design for a number of years, the cost of educating Interior Design students is essentially the same as for Architecture students, since they both require similar training to sit for their respective professional examinations. Therefore, the proposed fee for INDS/INDX is benchmarked against the semester fees for the College of Architecture, Design and Construction (\$2,151). With the proposed fee assessment, among the top 10 ranked programs nationally, Auburn's tuition and fees for the Interior Design program will remain highly competitive. With the addition of fees, the cost of Auburn's program will be basically equivalent to the University of Cincinnati, with only Kansas State University assessing a slightly lower amount (Attachment A).

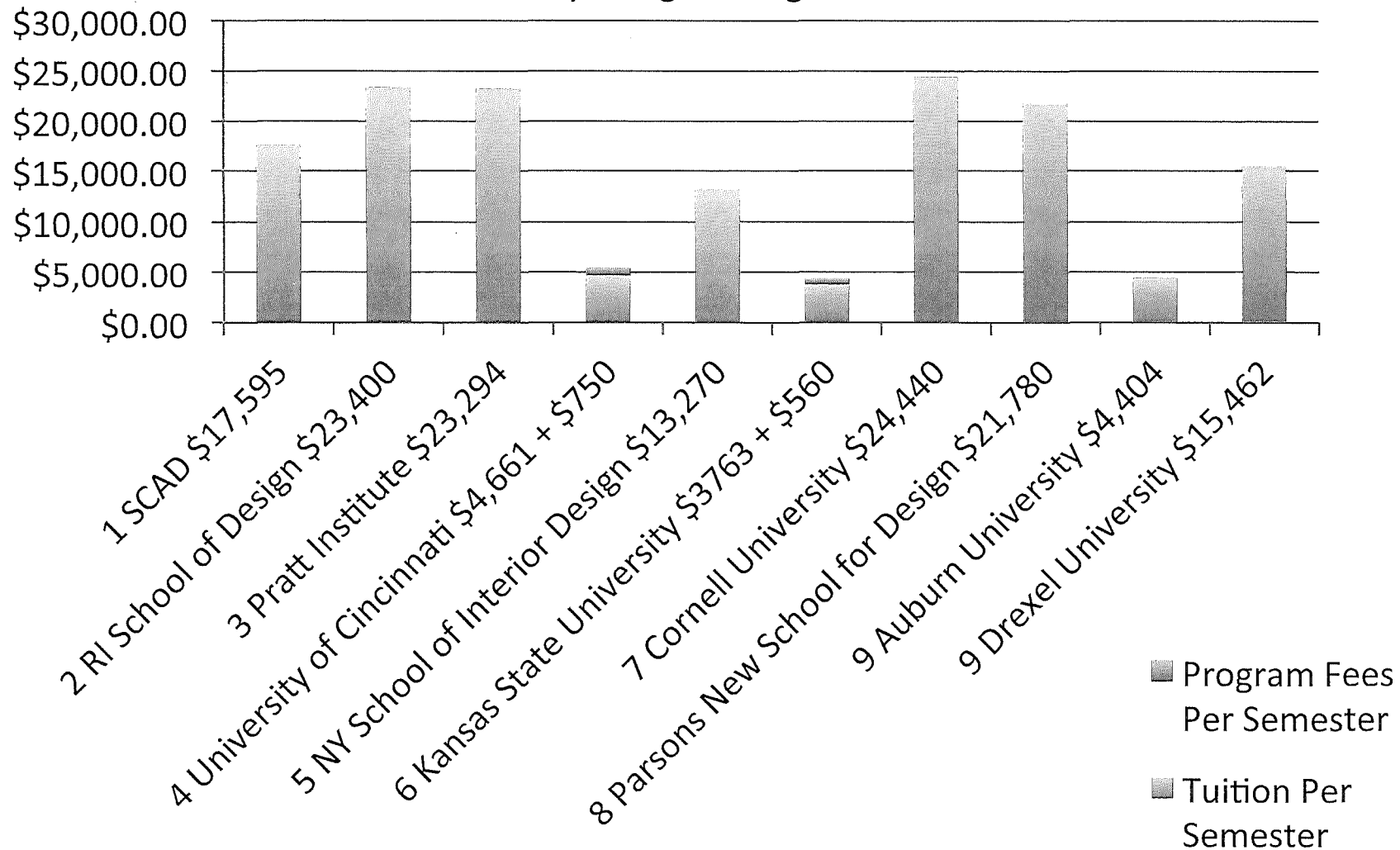
The proposed fee has been strongly endorsed by the department head and faculty, as well as the Interior Design Advisory Board. There is no question that the Interior Design program needs and deserves additional support. Therefore, I urge you to approve this proposed fee and thank you for your consideration of this request.

## Attachment A

# TUITION AND FEES COMPARISON

## TOP 10 INTERIOR DESIGN PROGRAMS

As Ranked by DesignIntelligence 2016



ACADEMIC AFFAIRS COMMITTEE

AND

FINANCE COMMITTEE

RESOLUTION

PROPOSAL TO INCREASE PROFESSIONAL FEES FOR  
THE COLLEGE OF VETERINARY MEDICINE

WHEREAS, the College of Veterinary Medicine is committed to providing the highest quality instruction to its professional students; and

WHEREAS, the College offers advanced instructional and research facilities designed to prepare graduates who will meet the critical needs of professionals working in the field of veterinary medicine; and

WHEREAS, the College has maintained professional fees for its students since 2011; and

WHEREAS, when compared to both peer institutions and the national average, the current tuition and fees for resident and non-resident students in the College of Veterinary Medicine remain below the median; and

WHEREAS, the College of Veterinary Medicine wishes to continue providing adequate resources and faculty to ensure and advance the overall education and learning experience of professional students in veterinary medicine.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University, that Jay Gogue, President, or such other person as may be acting a President, be and the same is hereby authorized and empowered to implement the following.

1. Increase the Professional Fees for the College of Veterinary Medicine by \$542 per student per semester, effective Fall 2017. Increased fees will be used to meet instructional obligations as required by accreditation standards and provide support for the operation of facilities.





AUBURN UNIVERSITY

OFFICE OF THE PROVOST  
AND VICE PRESIDENT FOR  
ACADEMIC AFFAIRS

May 20, 2016

**MEMORANDUM TO:** Jay Gogue  
President

**FROM:** Timothy R. Boosinger *Timothy R. Boosinger*  
Provost and Vice President for Academic Affairs

**SUBJECT:** Agenda Item for the Board of Trustees – Proposal to Increase  
Professional Fees for College of Veterinary Medicine

I am writing to request that the following item be added to the Board of Trustees' agenda for the **June 10, 2016** meeting.


**Proposal:** It is recommended that the Board approve the requested increase in the Professional Fees for current and future students in the College of Veterinary Medicine. If approved, the professional fees would increase by \$542 per student, per semester (for resident and non-resident students), effective Fall 2017.

**Review and Consultation:** The current professional fees assessed by the College serves as a means of supporting the development of new teaching and research facilities, sustaining its instructional programs, and recruiting prospective students and faculty. Increases in technological needs for its new facilities and increased enrollments have presented a significant need for additional revenue. The proposed increase in the professional fees would enable the College to continue recruiting high performing students and maintain assessment standards required by its accreditation bodies. As presented in the dean's memo, the proposed fee would move Auburn's tuition and fees for resident students from 3<sup>rd</sup> to 5<sup>th</sup> least expensive for in-state students, and from 10<sup>th</sup> to 12<sup>th</sup> least expensive for non-resident students out of 31 institutions.

**Recommendation:** The proposed increase in the Professional Fees will allow the College of Veterinary Medicine to enhance its academic programs, facilities, and accommodate the accreditation needs of its faculty and students. The proposed increase of \$542 per student, per semester would yield enough revenue to allow the College to continue offering its existing programs.



AUBURN UNIVERSITY  
COLLEGE OF VETERINARY MEDICINE  
OFFICE OF THE DEAN

Date: May 10, 2016  
To: Dr. Timothy R. Boosinger  
Provost and Vice President for Academic Affairs  
From: Dr. Calvin M. Johnson, Dean   
Subject: Proposed increase in veterinary student professional fees

*The College of Veterinary Medicine requests approval to increase professional fees by \$542 per semester for resident and non-resident students beginning fall 2017.*

#### Background

In 2011, the College of Veterinary Medicine implemented a professional fee for students enrolled in the veterinary curriculum. These funds have supported major improvements in the college over the past five years (2011-16): (1) the college has constructed and placed into operation state-of-the-art facilities, such as the Veterinary Education Center and the Wilford and Kate Small Animal Teaching Hospital; (2) enrollment has increased from 90 to 120 students per year; (3) faculty FTE has increased by approximately 15%; and (4) salaries for assistant professors have moved above the national median (ranked 13/29 in 2015 from 26/28 in 2011).

#### Proposed Changes

The improvements have created new budgetary challenges: (1) new facilities are technology-intensive and equipment must be replaced on regular cycles; (2) increased enrollment and accreditation-driven demands for refined curriculum management and direct/indirect assessments of student competency result in increased needs for academic and student support; and (3) significant salary compression now exists for associate professors; this must be addressed through other budgetary mechanisms.

*We request authorization to increase professional fees for all veterinary students by \$542 per semester as follows:*

	Resident	Non Resident
Current	\$4,000*	\$8,000
Proposed	\$4,542	\$8,542

\*Professional fee paid by each student per semester

The impact of the proposed change is to move Auburn's tuition and fees for resident students from 3<sup>rd</sup> to 5<sup>th</sup> least expensive (of 31 schools), and from 10<sup>th</sup> to 12<sup>th</sup> least expensive for non-resident students. Summaries of these data and budget justifications are attached.

**Ranking of US Colleges of Veterinary Medicine:  
Resident Tuition and Fees**

Rank	University	Resident Tuition and Fees	
1	North Carolina State University	\$18,168	
2	Oklahoma State University	\$18,340	
3	Auburn University	\$18,418	Current Position
4	University of Georgia	\$19,010	
5	Purdue University	\$19,426	Position After Fee Increase
6	Mississippi State University	\$20,209	
7	University of Wisconsin	\$20,818	
8	Texas A&M University	\$21,714	
9	Iowa State University	\$21,742	
10	Washington State University	\$22,390	
11	Oregon State University	\$22,656	
12	Virginia-Maryland Regional College	\$23,094	
13	University of Missouri	\$23,756	
14	Louisiana State University	\$25,430	
15	Kansas State University	\$25,774	
16	University of Tennessee	\$27,350	U.S. Median
17	University of Florida	\$28,790	
18	Michigan State University	\$29,220	
19	Colorado State University	\$29,724	
20	University of Illinois	\$29,852	
21	Ohio State University	\$30,564	
22	University of Minnesota	\$31,054	
23	University of California, Davis	\$31,247	
24	Cornell University	\$31,800	
25	Ross University	\$36,668	
26	Lincoln Memorial University	\$42,432	
27	Tuskegee University	\$42,930	
28	University of Pennsylvania	\$43,712	
29	Tufts University	\$43,906	
30	Western University of Health Sciences	\$50,775	
31	Midwestern University	\$55,020	
	US Avg	\$29,226	



**Ranking of US Colleges of Veterinary Medicine:  
Non-Resident Tuition and Fees**

Rank	University	Non-Resident Tuition and Fees	
1	University of Wisconsin	\$30,911	
2	Texas A&M University	\$33,844	
3	Ross University	\$36,668	
4	Oklahoma State University	\$39,600	
5	Lincoln Memorial University	\$42,432	
6	Tuskegee University	\$42,930	
7	North Carolina State University	\$42,982	
8	University of California, Davis	\$43,492	
9	Oregon State University	\$43,746	
10	Auburn University	\$44,022	Current Position
11	Purdue University	\$44,256	
12	Mississippi State University	\$45,409	Position After Fee Increase
13	University of Florida	\$45,500	
14	Cornell University	\$46,650	
15	Iowa State University	\$47,288	
16	University of Georgia	\$47,380	U.S. Median
17	Virginia-Maryland Regional College	\$49,646	
18	University of Illinois	\$50,230	
19	Tufts University	\$50,316	
20	Western University of Health Sciences	\$50,775	
21	University of Missouri	\$52,828	
22	Washington State University	\$53,444	
23	University of Pennsylvania	\$53,712	
24	Louisiana State University	\$54,530	
25	Midwestern University	\$55,020	
26	Michigan State University	\$55,362	
27	University of Tennessee	\$55,380	
28	University of Minnesota	\$55,888	
29	Kansas State University	\$56,249	
30	Colorado State University	\$56,587	
31	Ohio State University	\$68,188	
US Avg		\$48,234	

<b>FEE JUSTIFICATION</b>	<b>2016-17</b>	<b>2017-18</b>	<b>2018-19</b>	<b>2019-20</b>	<b>Notes</b>
<b>Academic Support for Veterinary Students</b>					
Psychologist (Half-time) (Salary)	\$25,530	\$26,551	\$27,613	\$28,718	Shared with Student Counseling Svcs.
Psychologist (Half-time) (Fringe)	\$8,170	\$8,496	\$8,836	\$9,190	
Communications Educator (Contract)	\$22,880	\$23,795	\$24,747	\$25,737	
Work Study Student Wages	\$1,046	\$1,088	\$1,132	\$1,177	
Non Work Study Student Wages	\$7,177	\$7,464	\$7,763	\$8,073	
Director of Clinical Education (Salary)	\$40,000	\$41,600	\$43,264	\$44,995	0.35 FTE Faculty
Director of Clinical Education (Fringe)	\$12,800	\$13,312	\$13,844	\$14,398	
Director of Student Affairs (Salary)		\$39,600	\$41,184	\$42,831	0.35 FTE Faculty
Director of Student Affairs (Fringe)		\$12,672	\$13,179	\$13,706	
Admin Assist Office of Acad Aff (Salary)	\$27,000	\$28,080	\$29,203	\$30,371	Academic Affairs Management
Admin Assist Office of Acad Aff (Fringe)	\$8,640	\$8,986	\$9,345	\$9,719	
<b>Subtotal Academic Personnel</b>	<b>\$153,243</b>	<b>\$211,644</b>	<b>\$220,111</b>	<b>\$228,915</b>	
Recruitment and Admissions	\$8,300	\$8,300	\$8,300	\$8,300	
Orientation	\$4,675	\$4,675	\$4,675	\$4,675	
Academic Standards and Support	\$81,892	\$85,004	\$88,234	\$91,587	
Student Mentorship	\$38,262	\$37,188	\$39,188	\$41,147	
Faculty Development	\$200	\$200	\$200	\$200	
Office of Academic Affairs Development	\$7,380	\$7,350	\$9,180	\$9,639	
Accreditation Issues	\$7,168	\$7,168	\$7,168	\$7,168	
Awards and Scholarships	\$4,975	\$4,975	\$4,975	\$4,975	
White Coat Ceremony	\$22,000	\$22,000	\$22,000	\$22,000	
Graduation Expenses	\$7,497	\$7,708	\$7,930	\$8,247	
Operations and Supplies	\$32,925	\$31,925	\$31,425	\$31,425	
<b>Subtotal Academic Operations</b>	<b>\$215,274</b>	<b>\$216,493</b>	<b>\$223,275</b>	<b>\$229,364</b>	
<b>Academic Support for Veterinary Students (Total)</b>	<b>\$368,517</b>	<b>\$428,137</b>	<b>\$443,386</b>	<b>\$458,279</b>	
<b>IIT Technology Fee</b>	<b>\$96,000</b>	<b>\$96,000</b>	<b>\$96,000</b>	<b>\$96,000</b>	Justification attached
	<b>AY 2017</b>	<b>AY 2018</b>	<b>AY 2019</b>	<b>AY 2020</b>	
Total Annual Professional Fee Revenue Required	\$464,517	\$524,137	\$539,386	\$554,279	
Average Professional Fee Revenue Required	\$520,580				
Average Professional Fee Per Student/Year	\$1,085				
<b>Professional Fee Increase Per Student/Semester</b>	<b>\$542</b>				

**Professional Fees in Support of IT Fee  
(four-year replacement cycle of IT equipment)**

Veterinary Education Center (VEC) 101 (Freshman)	\$90,000
VEC 140 (Sophomore)	\$90,000
VEC 255 (Junior)	\$192,000
VEC 247/252	\$50,000
VEC 246/248/252	\$41,000
VEC 106/235/237/239/241/242/245/260/224	\$60,000
VEC proctor camera monitoring system	\$16,000
VEC Digital Signage	\$49,000
Greene 107	\$98,000
Greene Gross Anatomy Lab	\$30,000
Greene 160	\$18,000
Classrooms/Conference room computers	\$60,000
Overton Pods/Vaughan 2013	\$14,000
Overton Auditorium	\$18,000

<b>Total Needed (Four Year Cumulative)</b>	<b>\$826,000</b>
College ICRE	\$58,000
Professional Fees	\$768,000
Professional Fees (per year X 4 years)	\$192,000
Per Student per year	\$400
<b>Per Student per semester</b>	<b>\$200</b>

FINANCE COMMITTEE

RESOLUTION

REVISION OF THE NON-ENDOWMENT CASH POOL INVESTMENT POLICY

WHEREAS, experience and changing circumstances suggest that the Non-Endowment Cash Pool Investment Policy of the Board be revised to make it current and align with evolving practices.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees adopts the “Non-Endowment Cash Pool Investment Policy” as set forth in Exhibit A.

**Auburn University**  
**Non-Endowment Cash Pool Investment Policy**  
**June 10, 2016**

**Policy Statement**

The purpose of this policy is to establish a framework within which the Office of Cash Management and Treasury manages the cash operations of Auburn University:

- To maximize earnings potential by acquiring assets within prudent guidelines.
- To provide liquidity necessary to conduct the day-to-day business activities of the University.
- To generate a favorable return on investments without undue compromise of other objectives.
- To implement efficient, cost effective banking operations.
- To conform to all statutes governing the investment and deposit of University funds.

**Scope**

This policy statement applies to all investment activities of the Office of Cash Management and Treasury for non-endowed cash entrusted to the Treasurer by Auburn University Board of Trustees as well as related foundations non-endowed cash. These funds are accounted for in Auburn University's Comprehensive Annual Financial Report.

**Delegation of Authority**

Amendment 161 as amended, Constitution 1901, states, Auburn University shall be under the management and control of a board of trustees. Therefore, the Board of Trustees shall ultimately be responsible for the investment policy. By Board Resolution the Board of Trustees has delegated investment authority to the President or Treasurer or other such persons as may be authorized to act on their behalf.

The Investment Policy is established to provide guidance in the management of the University's Non-Endowment Cash Pool to insure compliance with the laws of the State of Alabama and investment objectives. The Treasurer has delegated such authority to the Office of Cash Management and Treasury, which is accorded full discretion, within policy limits, to select individual investments and to diversify the portfolio by applying their own judgments concerning relative investment values.

**Standards of Care**

- Prudence- Investments shall be made with judgment and care, under circumstances then prevailing, which persons of prudence, discretion, and intelligence exercise in the management of their own affairs, not for speculation, but for investment, considering the probable safety of their capital as well as the probable income to be derived. The standard of prudence to be used by investment officials shall be the "prudent person" and/or "prudent investor" standard and shall be applied in the context of managing an overall portfolio. Investment officials acting in accordance with the investment policy and exercising due diligence shall be relieved of personal responsibility for an individual security's credit risk or market price changes, provided deviations from expectations are reported in a timely fashion and appropriate action is taken to control adverse developments.
- Ethics and Conflict of Interest- The Treasurer, the Vice President for Business and Finance and the Office of



Cash Management and Treasury staff shall refrain from personal business activity that could conflict with proper execution of the investment program, or which could impair their ability to make impartial investment decisions. Employees shall disclose to the Treasurer any financial interests in financial institutions that conduct business with the Office. Officers and employees of the Office of Cash Management and Treasury will comply with the State of Alabama Code of Ethics, as provided in Sections 36-25-1 thru 30, Code of Alabama (1975).

## **Objectives**

The primary objectives, in priority order, of the non-endowed cash pool investment activities shall be:

- Safety- Investments shall be undertaken in a manner that seeks to ensure the preservation of principal. To obtain this objective, risk diversification will be considered and monitored, including investing funds among a variety of securities offering independent returns. Funds invested in state depositories shall be adequately collateralized.
- Liquidity- The investment portfolio shall remain sufficiently liquid to enable the Office of Cash Management and Treasury to meet all operating requirements that might be reasonably anticipated or occur. Additionally, a fundamental characteristic of investment securities will be the existence of a resilient secondary market in which assets may be sold timely at market prices.
- Return on Investment- The highest available return will be attained throughout economic cycles, commensurate with the Office of Cash Management and Treasury's investment risk constraints, statutory constraints, and the cash flow characteristics of the portfolio.

Safety and liquidity will not be compromised in favor of increased rate of return. The use of the investment portfolio for market oriented trading activities or speculative purpose is expressly prohibited.

## **Investment Procedures**

The Office of Cash Management and Treasury shall establish a written investment procedures manual consistent with this policy. The procedures shall include reference to safekeeping, approved brokers and or professional investment managers, banking service agreements, approved depository agreements and procedures, collateral requirements, and bank deposit requests. Such procedures shall include explicit delegation of authority to staff responsible for investment transactions. No person may engage in an investment transaction except as provided under the terms of this policy and the established procedures.

## **Authorized Financial Dealers/Brokers, Managers and Institutions**

- Authorized Dealers/Institutions- The Office of Cash Management and Treasury shall maintain a list of authorized brokers /investment managers and institutions to transact investments for the University. All interested parties must meet minimum eligibility requirements and provide audited financial statements upon request.
- Investment Managers- The Office of Cash Management and Treasury may seek qualified firms through an open, competitive search and review process to provide professional investment management services. A Request for Proposal will be consistent with the requirements of Section 14-16-72(4), Code of Alabama (1975). Investment managers will assume the standard of care defined in Section 4.1 of this document.

The Office of Cash Management and Treasury may from time to time request disciplinary history, educational background and work experience of candidate firms or representatives. Any false information knowingly submitted, any failed delivery, or failure to follow the non-Endowment Cash Pool Investment Policy may result in the permanent exclusion of the provider from any further business dealings with the University at the sole discretion of the Office of Cash Management and Treasury.

## Authorized and Suitable Investment Types

All investments will be restricted to fixed income securities with the maturity range to be consistent with the liquidity needs of the pooled fund groups. It is essential that cyclical cash flow be offset by liquid investments while maintaining an overall duration not to exceed ten years. Permissible investment instruments may include but not limited to:

- Repurchase Agreements- Market value of the securities transferred shall equal at least 102% of the amount invested.
- Commercial Paper issued within the United States by any corporation provided the instrument carries a rating of at least P1 by Moody's or A1 by Standard & Poors or a comparable rating by another nationally recognized rating agency.
- Banker's Acceptances issued within the United States by banks on the AU Official Depositories List or by depository institutions with a long term debt rating of at least AA or short term debt rating of AAA (or comparable ratings) as provided by one of the top nationally recognized rating agencies.
- Money Market Deposit Accounts in banks on the AU Official Depositories List. These funds are subject to full collateralization for the amounts above the FDIC coverage limit.
- Money Market Mutual Funds that are rated AAA. (invested solely in the items listed under this section)
- Certificates of Deposit issued by banks on the AU Official Depositories List and fully collateralized for the amounts above the FDIC coverage limit. Negotiable Certificates of Deposit or Deposit Notes issued by credit worthy United States Banks in amounts not to exceed the FDIC coverage limit.
- Direct obligations of the United States or obligations unconditionally guaranteed as to principal and interest by the United States.
- Obligations of a Federally sponsored instrumentality of the United States (including mortgage-backed securities), including but not limited to the following:
  - Federal Home Loan Bank (FHLB)
  - Federal Home Loan Mortgage Corporation (FHLMC)
  - Federal Farm Credit Banks (FFCB)
  - Government National Mortgage Association (GNMA)
  - Federal National Mortgage Association (FNMA)
  - Student Loan Marketing Association (SLMA)
  - Financing Corporation (FICO)
  - Tennessee Valley Authority (TVA)
  - Government Trust Certificates (GTC)
  - Resolution Funding Corp. (REFCO)
- Municipal Bonds, both taxable and tax free, of bank qualified investment quality.

## Collateralization

Collateralization is required on all bank deposits and repurchase agreements.

- Bank deposits will be collateralized consistent with the SAFE Program, Title 41, Chapter 14A of the Code of Alabama (1975).
- Repurchase agreements must be collateralized as follows:
  - Collateral shall consist of U.S. Treasury Securities, Federal Agency Securities, or Agency-Issued Mortgage Backed Securities.
  - Market value of the collateral shall be maintained at one hundred two percent (102%) of the cash invested in order to accommodate market fluctuations.
  - A safekeeping agent designated by the Office of Cash Management and Treasury will hold collateral as evidenced by safekeeping receipts.
  - The right of collateral substitution is granted.

## **Safekeeping and Custody**

All securities purchased by the University shall be settled on a delivery versus payment (“DVP”) basis. A safekeeping agent designated by the University pursuant to a competitive selection process, or by a Federal Reserve Bank, will hold these securities.

## **Diversification**

The investment portfolio shall be diversified by security type, institution, and maturity. The Office of Cash Management and Treasury shall take into account concentrations resulting from the obligations of a single/related issuer or industry, geographic and type distributions.

- Inherent Risk- Investment managers are expected to make decisions based upon an analysis of each security instrument to determine its quality, inherent risks, fit within the overall objectives of the University, and prospects for yield and/or appreciation. These risks include the following:
  - Credit (Default) risk- the potential for failure of a debtor to make timely payments of principal and interest as they become due.
  - Liquidity Risk- The risk that a financial instrument cannot be sold or closed out quickly, at or close to its implicit economic value. As liquidity decreases bid/offer spreads typically widen.
  - Interest Rate Risk- The risk that interest rates will change, causing a decline in either the market price for the security or a decline in yield.
  - Prepayment Risk- The risk that the actual prepayment of principal is different from the expected prepayment speed assumptions, thereby affecting the actual market price and yield of the investment.
  - Market Risk- The risk that the market price of the security will decline substantially for reasons such as market pricing aberrations, and changes in supply and demand characteristics of a particular security market(s). Market sensitivity, or the potential variability of price, yield (income), and cash flow characteristics of a security depending upon changes in market interest rates, is also a consideration.
  - Operating Risk-Potential for loss because of inadequate policies, procedures, controls, error, fraud, etc.
- Financial Institutions- Approved financial institutions perform various transactions for the University, such as cash letter processing, funds transfers, and acceptance of deposits. Additionally, these institutions may hold Certificate of Deposit accounts, and transact repurchase agreements.

## **Maturity and Portfolio Mix**

In order to meet the primary objectives of the University’s policy, the investment portfolio will be divided into three portfolio pools:

- Primary Liquidity Portfolio- This portfolio is to be the major source for disbursement requirements and operational needs. Safety of principal and liquidity at the expense of return on investment are the foremost objectives of this portfolio. Investments in this portfolio will have an average maturity of no more than twelve months and include money market accounts, Certificate of Deposits, and repurchase agreements.
- Intermediate Portfolio- Investments within this Portfolio will be liquid within five business days or less. The investment objective of this portfolio is to produce a total return higher than the return on assets of the Primary Liquidity Portfolio. Investments in this portfolio will have an average maturity of no more than three years and include treasuries, agencies, commercial paper, mortgage backed securities, and Certificate of Deposits.
- Short Term Portfolio- The objective of the Short Term Portfolio is to generate an investment return higher than the return on assets of the Primary Liquidity Portfolio and Intermediate Portfolio. Investments in this portfolio

with maturities greater than 5 years are permitted (overall duration not to exceed ten years). It is expected that when maturity increases, return should also increase. Investments in this portfolio include treasuries, agencies, commercial paper and mortgage backed securities.

- Characteristics of the Auburn University investment portfolio include:
  - The target asset allocation for the overall investment portfolio is:
    - Primary Liquidity Portfolio 5%-20%
    - Intermediate Portfolio 30%-40%
    - Short Term Portfolio 30%-40%
  - The weighted average maturity shall not be more than three (3) years, unless authorized by the Office of Cash Management and Treasury.
  - Quality Rating. The minimum investment quality rating for all investments at time of purchase shall be “AA or Aa” by Standard & Poor’s and/or Moody’s. “Split” ratings where one agency rating differs from another will be reviewed on a case-by-case basis. Debt securities downgraded below BBB or equivalent rating should be sold as soon as the market conditions are favorable to avoid/minimize loss.
  - All investment instruments will be denominated in US currency only.
  - Maturity and issuer limitations are as follows:

Investment Type	Maximum Maturity at time of Purchase	Maximum Per Issuer
Treasuries	10 years	No limit
Agencies	10 years	No limit
Agency-Issued Mortgage Backed	15 year final, 5 year Average Life	No limit
Taxable Municipal Bonds	10 Years	No limit
Repurchase Agreements	60 days	15% of issuer’s capital or \$400 million, whichever is less.
Mutual Funds	N/A	10% of average investment portfolio

## Gifts/Awards

It is anticipated that from time to time the University will receive gifts/awards in the form of marketable securities. In such event the policy requires that the University will liquidate the securities as soon as possible. In the event that the securities are restricted from sale for a designated period of time due to regulatory reasons, the University will hold said securities until the restricted period has elapsed and then liquidate the securities as soon as possible thereafter. The University will make no attempt to add value to the Pool by holding gifted securities. Any exceptions to hold marketable securities must be approved by the Treasurer.

## Exceptions to Policy

Investments are required to comply with the objectives and guidelines set forth in this policy. The Treasurer requires that any exceptions taken in this Investment Policy Statement be submitted in writing pending the approval of the Treasurer. The Treasurer must explicitly authorize each exception in writing. Failure to notify the Treasurer and obtain written authorization will result in the investment manager being liable for any corresponding loss to the University.

## Pass Through or Designated Funds

This policy shall also cover pass through funds (endowment funds to be forwarded to external endowment fund managers) and any funds managed by the Office of Cash Management and Treasury and designated for specific

purposes and not covered by individual investment restrictions (i.e. endowment funds that may not be co-mingled, Bond Proceeds during construction, Health Insurance Trust, etc.).

### **Internal Control Objectives**

The Office of Cash Management and Treasury shall establish internal controls and procedures to regulate the investment function and related activities performed by staff. The controls shall be designed to prevent the loss of University funds arising from fraud, employee error, or imprudent actions by authorized investment officers.

The Office of Internal Audit, Compliance and Privacy will provide an independent review and audit of the internal controls every 3-5 years to assure compliance with policies, procedures and applicable State laws and regulations.

### **Performance Standards**

The portfolio shall be designed to obtain an attractive rate of return throughout economic cycles commensurate with investment risk constraints and cash flow needs. Benchmarks will be established that most closely reflect the strategy pursued or securities purchased.

### **Reporting**

The Office of Cash Management and Treasury will maintain accurate, complete and timely records of all investment activities and submit quarterly detailed reports to the Treasurer.

Investment managers/brokers will provide monthly reports to the Office of Cash Management and Treasury and/or custodian that include performance results and market value. Additionally, quarterly reports will be provided that include economic and investment outlook, notice of any material changes in organizational structure, ownership, key personnel, etc. of the firm, and any material outstanding litigation against the firm or any of its members.

### **Effective Date and Transition Provisions**


This policy is adopted and is effective June 10, 2016. The Office of Cash Management and Treasury shall review the policy at least annually; and make recommendations for revision to the Treasurer.



AUBURN UNIVERSITY  
OFFICE OF THE PRESIDENT

May 19, 2016

TO: President Jay Gogue

THROUGH: Donald L. Large, Jr.  
Executive Vice President 

FROM: Marcie C. Smith  
Vice President for Business and Finance

RE: Non-Endowment Cash Pool Investment Policy

Please consider the attached policy for presentation to the Board of Trustees at the April 8, 2016 meeting.

**Action Recommended:** We request the Board of Trustees be asked to approve the attached policy which guides the investment of non-endowment funds by the Office of Cash Management and Treasury.

**Review and Consultation:** The existing policy was adopted in 1995 and reaffirmed in 2009 and has not been revised since that time. The Office of Cash Management and Treasury has proposed significant revisions and these have been reviewed by the Vice President for Business and Finance. The draft policy was also shared with representatives of the related organizations for which the Office of Cash Management and Treasury invests non-endowed cash.

**Rationale for Recommendation:** Auburn University currently invests the University's non-endowed cash and the related organizations, including the Auburn University Foundation, the Auburn University Alumni Association, and the Tigers Unlimited Fund. Policy changes are provided to:

- reflect current department and position names
- reflect current investment instruments in the market
- reflect an approach to stratify the portfolio into highly liquid and less liquid portfolios
- address the disposal of non-endowed stock
- provide for a regular review of internal controls
- specifically codify a conflict of interest provision and
- provide policy and direction for outside investment managers.

Please let me know if there is further clarification needed.

FINANCE COMMITTEE

RESOLUTION

REVISION OF DEBT POLICY

WHEREAS, prudent financial management calls for periodic debt policy review to ensure consideration is given to current higher education industry practices and standards and such review has identified revisions to the Debt Policy to align it with current best practices, accounting terminology and organizational structure.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees adopts the “Debt Policy” as set forth in Exhibit A.



## E- 2. DEBT POLICY

### PURPOSE AND PHILOSOPHY

This Debt Policy serves to articulate Auburn University's (the University) philosophy regarding debt and to establish a framework to help guide decisions regarding the use and management of debt. As the University establishes institutional priorities through its strategic planning processes, including its campus master planning, the University will consider utilizing an appropriate mix of financing and funding sources, including State-state funding, gifts, internal reserves and investments, and external debt. This policy will help ensure that ~~an appropriate mix of funding sources are utilized, that~~ the University's debt capacity is allocated strategically, and that Auburn University's debt levels and types of debt are appropriate and responsible, given the University's financial strength and risk tolerance levels.

### POLICY STATEMENT

Debt is a valuable source of capital project financing, and its use should be limited to projects that relate to the mission and strategic objectives of the University. The amount of debt incurred impacts the financial health of the University and its credit rating. The University will consider other funding opportunities (e.g., joint ventures, real estate development, grants, etc.) when appropriate and advantageous to the University. Opportunities and financing sources will be evaluated within the context of the Debt Policy.

### OBJECTIVES

The broad objectives stated below provide the framework by which decisions will be made regarding the use and management of debt. The Debt Policy and objectives are subject to re-evaluation and change over time. This Debt Policy is set forth to:

1. Strategically use the University's credit to fund mission critical projects;
2. Manage the University's credit to maintain the highest acceptable credit rating that will permit the University to continue to issue debt at favorable rates. Use of key financial ratios provides management and the Board of Trustees (the Board) with feedback and assurances the University is not exceeding its desired use of credit capacity;
3. Optimize the debt portfolio as a whole, while also optimizing transactional and project-specific debt using an appropriate mix of ~~fixed and variable rate~~ debt to achieve the lowest cost of capital while limiting exposure to market interest rate shifts; and
4. Assign responsibilities for the implementation and management of the University's Debt Policy.



## PROCEDURES AND GUIDELINES

Consideration is given to purpose, affordability, risk management, and financial structure (balance sheet) management in developing a framework for debt utilization and management. Generally, the following guidelines will be used, although they are not intended to be all-inclusive. Judgment by management and the Trustees Board ultimately will determine the use and amount of debt.

1. Only projects that further the mission and strategic goals of the University, either directly or indirectly will be considered for debt financing.
2. To the extent possible, State-state funding, gifts, grants, and internal reserves will be used to fund capital projects. Debt represents a valuable resource and will be used conservatively and strategically.
33. Bond financing will be coordinated to the extent possible so that multiple projects can be accommodated in a single borrowing to reduce overall issuance costs per dollar of debt issued.
54. The University will limit its overall debt to a level that, when viewed in the context of its current and future strategic objectives, is intended to optimize creditworthiness over the long term. In considering debt capacity and affordability, the University will monitor its financial condition and performance through review of (i) appropriate financial ratios (ii) public ratings; (iii) the merits and feasibility of projects being financed; and (iv) other relevant industry data such as comparison to other higher education institutions.
56. The University will seek the lowest cost source of financing when issuing debt, considered concurrently with the associated risk. It will consider the costs and benefits associated with different types of financing and liquidity options.
76. The portfolio allocation to variable rate debt will have an appropriate relationship to short-term liquid assets and will not exceed fifty percent (50%) of the debt portfolio. The allocation to variable rate debt may be managed or adjusted through the issuance of new debt or refundings and through the use of interest rate swaps and other derivative products such as caps and collars. The University will analyze costs and benefits of any derivative instrument relative to achieving its long-term capital structure objectives and will consider risk mitigation features. Under no circumstances will a derivative transaction be utilized that is not understood fully by management or that imposes inappropriate risk on the University. Certain risks to be considered include, but are not limited to, tax risk, interest rate risk, liquidity risk, counterparty risk, basis risk, and any other potential risk.

87. Annual debt interest and principal amortization payments will be provided for in the annual operating budget or in specific designated or restricted funds.-  
~~Variable rate interest will be budgeted conservatively at fixed rate levels adjusted annually.~~
98. The University will interact with credit rating agencies and will strive to maintain the highest acceptable credit rating.
109. The University will monitor and consider current or advanced refunding opportunities of outstanding debt when the net present value savings are positive, and the refunding will support the strategic need of the University. A refunding will also be considered if it relieves the University of certain limitations, covenants, payment obligations, or reserve requirements that reduce flexibility. The University will also consider refinancing certain obligations within a new money offering even if savings levels are minimal in order to consolidate debt into a general revenue pledge and/or reduce the administrative burden and cost of managing many small outstanding obligations.
110. The University will invest bond proceeds appropriately to achieve the highest return available under arbitrage limitations. The University will comply with arbitrage requirements on any invested bond funds.

#### **DEBT RATIOS, PROJECT CONSIDERATION GUIDELINES, AND ONGOING REVIEW OF DEBT AND FINANCIAL PERFORMANCE**

[11]

The following financial ratios, when considered together and over time, will help to provide a clear, high level assessment of the overall financial health of the University.

- a. Primary Reserve Ratio  
Expendable Net ~~Assets~~Position/Total Expenses – This ratio provides a snapshot of financial strength and flexibility. A positive ratio or increasing trend over time may indicate increasing strength in financial condition.
- b. Viability Ratio  
Expendable Net ~~Assets~~Position/Long Term Debt – This ratio measures the availability of expendable net ~~assets~~position to cover debt. A strong viability ratio is one measure of the University's ability to respond to adverse conditions, attract capital from external sources, and maintain flexibility to fund new objectives.
- c. Return on Net ~~Position~~Assets Ratio  
Change in Net ~~Position~~Assets/Total Assets – This ratio measures total economic return and can be used to indicate whether the University is financially better or worse over time.



d. Net Income Ratio

Change in Unrestricted Net ~~Assets~~Position/Total Unrestricted Revenue –

This ratio measures success of financial operations for a given year.

Taken together, and in consideration of other indicators, the above ratios can represent a composite financial index which may be used to provide an overall financial measurement of the University. Adjustments to ratios, such as may be calculated by rating agencies, may be necessary to ensure the most appropriate calculation of the pertinent ratio.

The University recognizes the financial ratios noted above, and other industry measures of creditworthiness and sound financial management may change over time. The University will periodically review its debt policy to ~~ie~~ensure consideration is given to current higher education industry practices and standards.

Every project considered for financing must have a defined, supportable plan of total funding costs (both construction and operating costs) approved by management.

~~Associated revenues~~  
and cost savings should be estimated conservatively. ~~Determination of the~~  
prioritization of individual projects to be allocated a portion of available debt capacity is a separate, internal decision that must be made before a project is initiated.

The University will monitor its debt and review financial performance on an ongoing basis. A report will be provided to the Trustees annually summarizing the University's outstanding (and proposed if applicable) debt and financial condition as indicated by appropriate industry measures, including financial ratios, public debt ratings and other relevant data.

### ASSIGNMENT OF RESPONSIBILITIES

The Board ~~of Trustees~~ will review and consider for approval the annual capital project plan as well as each individual debt financing transaction. Additionally, the Board will review and approve the implementation of this Debt Policy and will approve any significant future changes.

The President/Executive Vice President is directly responsible for capital debt issuance and debt management. The authority to execute such debt issuance is delegated to the Vice President for Business and Finance and Chief Financial Officer.

~~Campus Planning and Facilities~~ The Associate Vice President for Facilities Management in conjunction with the University Architect will take the lead role in estimating and defining project costs and obtaining Board of Trustee approval of the projects before debt issuances are constructed. These offices will work with the Executive Vice President, Director of Management Accounting, and the ~~Associate~~ Vice President for Business and Finance and Chief Financial Officer, as well as the Vice President for Development, to explore the most advantageous funding plan for individual projects. The Capital Project Prioritization Process will be employed to ensure the strategic use of debt for the highest priority projects.

~~The Director of Management Accounting~~ The Associate Vice President for

Business and Finance or designee will coordinate with ~~Campus Planning and Facilities~~the Associate Vice President for Facilities Management to oversee the capital budgeting and funding plans for major projects.

~~This office~~ The Associate Vice President for Business and Finance, or designee will work with ~~the Controller,~~the Controller, Bond Counsel, ~~Campus Planning,~~ Facilities, and others to help prepare and review the documents necessary for bond issuance and rating agency reviews and visits.

The Controller's Office will maintain a schedule of current and forecasted debt and associated payment of principal, interest, and fees. The Controller will provide debt service budgets in the annual budget process and individually to all campus units which are assessed debt service. The Controller's Office is responsible for the accounting, reporting and other disclosures, monitoring compliance with covenants and private use issues, and arbitrage calculations associated with existing debt issues.

~~Treasury Services~~ The Office of Cash Management and Treasury will invest unspent bond funds according to University investment policies to achieve the highest return available under arbitrage limitations.

APPROVED: January 31, 2008

REAFFIRMED: June 19, 2009

REVISED: May 24, 2013

REVISED MAY 19, 2016






AUBURN UNIVERSITY  
OFFICE OF THE PRESIDENT

May 19, 2016

TO: President Jay Gogue

THROUGH: Donald L. Large, Jr.   
Executive Vice President

FROM: Marcie C. Smith  
Vice President for Business and Finance

RE: Debt Policy

Please consider the attached policy for presentation to the Board of Trustees at the June 10, 2016 meeting.

**Action Recommended:** We request the Board of Trustees be asked to approve minor revisions in the attached policy. This policy continues to serve as a guide in decision making related to the use and management of University debt.

**Review and Consultation:** The existing policy was adopted in 2008 and reaffirmed in 2009. Changes were initially proposed by the Controller's Office as result of changes in accounting pronouncements and since that time, additional minor revisions have been identified. In a series of Board of Trustee workshops related to debt, the policy has also been reviewed.


**Rationale for Recommendation:** The proposed revisions relate to changes in financial statement terminology and calculations, changes in roles and responsibilities and associated organizational titles.

Please let me know if there is further clarification needed.



AUBURN UNIVERSITY  
OFFICE OF THE PRESIDENT

May 20, 2015

MEMORANDUM TO: President Jay Gogue  
THROUGH: Donald L. Large, Jr.   
FROM: Marcie C. Smith  
RE: Board of Trustees Agenda Items  
FY17 Budget Development and Compensation

**PROPOSAL**

It is recommended that the Finance Committee of the Board of Trustees be presented the proposed changes in operating budgets and guidelines for salary and wage increases in order to begin preparation of detailed budgets for the Fiscal Year beginning October 1, 2016.

It is proposed that new tuition revenues, state appropriation increases and revenues previously not allocated to continuing needs will be used to provide funding for merit salary increases, promotions, increased costs of operations and maintenance of facilities, and other strategic priorities.

Salary/wage guidelines allow for merit salary increases of 0% up to 6%, with limits as to the maximum dollar amount that can be given for salary improvement in total within a unit. Auburn University Montgomery is proposing no salary increases due to funding issues. For all other divisions, salary improvement will average 3%-4%.

Subject to a favorable review, the administration will initiate the preparation of a detailed budget for Board of Trustee review and formal approval during the September 16, 2016 meeting.

**REVIEW AND CONSULTATION**

The Main Campus budget will be developed under the guidelines of the Strategic Budgeting Initiative, a widely vetted model instituted as a component of the University's Strategic Plan to address the need for more strategic resource allocations to better position the University in the future. This model was developed by the Strategic Budgeting Initiative Steering Committee with the assistance of highly qualified outside consultants. The model was studied by the University Senate, shared with Deans, senior administration and widely discussed with campus constituents.

In the current year, the 26 member Main Campus Budget Advisory committee has met on multiple occasions to discuss the Strategic Budgeting Initiative, as well as the strategies for budget development

under this model. This committee has concurred with the Salary/Wage guidelines, as well as the development of the budget under the strategic resource model. The committee members consist of the following:

- The SGA President or Representative
- The President of the Graduate Student Council
- Chair, and Chair Elect, Administrative and Professional Assembly
- Chair, and Chair Elect, Staff Council
- Chair, University Senate
- Chair, Faculty Salary Review Committee
- Library Representative
- Seven Faculty
- Four Department Heads
- Four Deans
- One Vice President
- Provost
- Executive Vice President, Chair

In addition, the Main Campus Budget Advisory Committee is attended by representatives from the budget advisory committees of Auburn University Montgomery, the Alabama Agricultural Experiment Station, and the Alabama Cooperative Extension System. While the budgets of those divisions will not be developed specifically under the Strategic Budgeting Initiative model, each separate committee expended considerable time and effort in reviewing various data and information related to the fiscal condition, financial capabilities and funding environment of the respective divisions.

#### **RATIONALE FOR RECOMMENDATION**

The proposed budget development process and guidelines represent a diligent and good-faith effort of all involved in the process to best address University needs and priorities in the most equitable manner possible.



AUDIT COMMITTEE

RESOLUTION

APPROVAL OF INDEPENDENT AUDITORS

FOR FISCAL YEAR ENDED SEPTEMBER 30, 2016

WHEREAS, The Audit Committee of the Board of Trustees is charged with recommending the appointment of the university's external auditor; and

WHEREAS, The Audit Committee recommends the appointment of PricewaterhouseCoopers to audit the university's financial statements and to conduct the federally required A-133 audit.


NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that PricewaterhouseCoopers is appointed as the university's external auditor.



**AUBURN UNIVERSITY**  
**OFFICE OF THE PRESIDENT**

May 23, 2016

TO: President Jay Gogue

THROUGH: Donald L. Large, Jr.  
Executive Vice President 

FROM: Marcie C. Smith  
Vice President for Business and Finance

RE: Presentation by External Auditors PricewaterhouseCoopers Regarding FY 16 Audits  
And Approval of Auditors

Please allow time at the June 10, 2016 meeting of the Audit Committee of the Board of Trustees for our external auditors to communicate their responsibilities, the planned scope of the FY16 financial statements and A-133 audits, and the timing of the audits. Subject to a favorable review by the Audit Committee PricewaterhouseCoopers would be approved for the FY 16 audit.

Please let me know if you have additional questions.

EXECUTIVE COMMITTEE

RESOLUTION

POSTHUMOUS AWARDING OF THE BACHELOR OF SCIENCE DEGREE  
TO JOHN ERIC JAEGBE

WHEREAS, John Eric Jaegge, an undergraduate student in Biomedical Sciences at Auburn University, passed away on March 12, 2016; and

WHEREAS, John Eric Jaegge passed away before he could complete the requirements necessary for a Bachelor of Science in Biomedical Sciences; and

WHEREAS, faculty in the College, the Dean of the College of Sciences and Mathematics, the Provost, and the President recommend that the Bachelor of Science in Biomedical Sciences be awarded posthumously.

NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that the degree of Bachelor of Science in Biomedical Sciences is hereby granted posthumously to John Eric Jaegge in recognition of his academic achievements as a student at Auburn University.

BE IT FURTHER RESOLVED that the Board hereby expresses its sympathy and condolences to the family of John Eric Jaegge in this period of sadness and that a copy of this resolution be presented to them so that they will know of this action and the university's deep loss.




AUBURN UNIVERSITY

OFFICE OF THE PROVOST  
AND VICE PRESIDENT FOR  
ACADEMIC AFFAIRS

April 16, 2016

MEMORANDUM TO: Jay Gogue  
President

FROM: Timothy R. Boosinger   
Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees -  
Posthumous Awarding the Bachelor of Science in Biomedical  
Sciences to Mr. John Eric Jaegge

I have received a request from the College of Sciences and Mathematics to award posthumously the Bachelor of Science degree in Biomedical Sciences for Mr. John Eric Jaegge. Mr. Jaegge passed away in March 2016 before completing the requirements necessary for a Bachelor of Science degree in Biomedical Sciences (Pre-Pharmacy). Following a careful review of his academic records, the faculty in College all concur that his academic achievements ensure Mr. Jaegge meets the academic requirements necessary to be awarded a Bachelor's degree posthumously.

Please find attached a formal request from Dr. Nicholas Giordano, Dean of the College of Sciences and Mathematics. I am requesting that this item be placed on the Board of Trustees Agenda for the June 10, 2016 meeting.

OFFICE OF  
THE DEAN




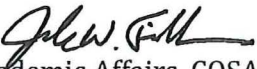
AUBURN UNIVERSITY

COLLEGE OF  
SCIENCES AND MATHEMATICS

**To:** Dr. Timothy Boosinger, Provost

**Date:** 24 March 2016

**Through:** Dr. Nicholas J. Giordano,   
Dean of COSAM

**From:** Dr. Jack Feminella,   
Associate Dean of Academic Affairs, COSAM

**Phone:** 4-3906

**Email:** [feminjw@auburn.edu](mailto:feminjw@auburn.edu)

**Subject:** Request for posthumous degree for John Eric Jaegge

This is a request for consideration of conferring a posthumous B.S. degree for Mr. John "Eric" Jaegge, who passed away March 12, 2016. Mr. Jaegge (903351599), a resident of Knoxville TN, was a senior in Biomedical Sciences (PPHR concentration), who completed 94 semester hours of his baccalaureate degree (59 hours at Auburn), and achieved a cumulative GPA of 2.38.

Thank you for your attention in this matter. Please contact me if I may provide any additional information.

EXECUTIVE COMMITTEE  
RESOLUTION  
PROPOSED POLICY STATEMENT

WHEREAS, the Policy Database serves as the official repository for all University Policies; and

WHEREAS, the Policy on Policies ensures that university-wide policies extend the mission of the institution and are promulgated in accordance with a standardized approval process; and

WHEREAS, University Policies help to ensure compliance with applicable laws and regulations, promote one or more of the University's missions, contain guidelines for governance, and sets limits within which all university employees, faculty, students, visitors are expected to operate; and

WHEREAS, the Auburn University Board of Trustees and authorized Executive Officers of the University maintain exclusive discretion to exercise the customary functions of institutional management to revise University Policies as they deem appropriate.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, and other appropriate administrators as defined by individual policies are hereby authorized to revise University Policies and are delegated the authority to approve procedures for the administration of these policies.

BE IT FURTHER RESOLVED that the Board of Trustees retains the exclusive authority to create, modify, revise or delete policies adopted by the Board.



AUBURN UNIVERSITY  
GENERAL COUNSEL

RECEIVED

MAY 06 2016

PRESIDENTS OFFICE  
AUBURN UNIVERSITY

April 29, 2016

MEMORANDUM TO: Jay Gogue  
President

FROM: Lee Armstrong LEA  
General Counsel

SUBJECT: Agenda Item for the Board of Trustees –  
Proposed Policy Statement

O.K.  
to Grant

I am writing to request that the following item be added to the Board of Trustees' agenda for the **June 10, 2016** meeting.

**Proposal:** It is recommended that the proposed policy statement regarding University Policies be approved.

**Review and Consultation:** In 2011 the General Counsel's office launched a University Policy Database (the "policy database") to better serve all university stakeholders by consolidating all university-wide policies into a searchable, centralized location, with a consistent policy format to create efficiency and reduce redundancy. Following the implementation of the database, the *Policy on Policies* was adopted to mandate the process for drafting, revising, approving, withdrawing, and promulgating university-wide policies. The proposed policy statement provides additional clarification as to who has the authority to approve procedures for the administration of university-wide policies.

**Recommendation:** It is recommended that the Board approve the proposed policy statement. If approved, the changes will provide greater clarity of and authority for the *Policy on Policies*.

## EXECUTIVE COMMITTEE

### ELECTION OF OFFICERS

Time will be allocated for the Board of Trustees to elect two officer positions as follows:  
President Pro Tempore and Vice President Pro Tempore.



## EXECUTIVE COMMITTEE

### PRESIDENTIAL ASSESSMENT

Time will be allocated for discussion of appointment of three members of the Board of Trustees for the Presidential Assessment. This Appointment will be for the 2016 Assessment to be provided to the Board at the September 16, 2016 meeting.