



AUBURN UNIVERSITY

OFFICE OF THE PRESIDENT

November 13, 2019

MEMORANDUM TO: Board of Trustees

SUBJECT: November 21-22, 2019 Board of Trustees Workshop and Meeting

Enclosed, please find materials which comprise the proposed agenda for the Thursday, November 21, 2019 Workshop in Rooms #5007-5008, of Horton-Hardgrave Hall, as well as the Friday, November 22, 2019 Meeting of the Board of Trustees in the Legacy Ballroom of the Auburn University Hotel and Dixon Conference Center. Listed below is the tentative schedule, times, and locations as follows:

Thursday, November 21, 2019

1:00 p.m. Workshop (Rooms #5007-5008 of Horton-Hardgrave Hall)

Friday, November 22, 2019 (Legacy Ballroom, AU Hotel)

8:00 a.m.	Property and Facilities Committee
8:30 a.m.	Finance Committee
8:45 a.m.	Joint Academic Affairs and AUM Committees
9:00 a.m.	Institutional Advancement Committee
9:15 a.m.	Executive Committee
9:30 a.m.	Trustee Reports
9:45 a.m.	Regular Meeting of the Board of Trustees

(Proposed Executive Session – The Azalea Room, AU Hotel)

We appreciate all that you do for Auburn University and look forward to seeing you on Thursday, November 21, 2019 and Friday, November 22, 2019. Please call me if you have questions regarding the agenda. Also, please let Jon Waggoner, Sherri Williams, or me know if you need assistance with travel and/or lodging arrangements.

Sincerely,

Jay Gogue
Interim President

Enclosure

c: President's Cabinet (w/encl.)
Mr. Jon Waggoner (w/encl.)

SCHEDULE & AGENDA
AUBURN UNIVERSITY BOARD OF TRUSTEES
NOVEMBER 21, 2019 – AU WORKSHOP,
NOVEMBER 22, 2019 – AU BOARD OF TRUSTEES MEETING, AU HOTEL

THURSDAY, NOVEMBER 21, 2019

- I. WORKSHOP - 1:00 p.m. (Rooms #5007-5008, Horton-Hardgrave Hall)

FRIDAY, NOVEMBER 22, 2019

- I. MEETINGS (Legacy Ballroom, AU Hotel)

(Meetings will begin at 8:00 a.m. - - all other meetings are subject to change in starting time, depending upon the length of individual meetings.)

- A. Property and Facilities Committee/Chairperson DeMaioribus/8:00 a.m.

Project Approvals:

1. Leach Science Center Basement Build-Out, Project Initiation and Architect Selection (Dan King/Nicholas Giordano)
2. Village Residence Hall Refurbishment: Project Approval and Authorization to Execute the Work (Dan King/Bobby Woodard)
3. Quad Residence Hall Complex Fire Alarm System Upgrades Phase I: Project Approval and Authorization to Execute the Work (Dan King/Bobby Woodard)
4. Auburn University Hotel and Conference Center Room Refurbishment: Project Approval and Authorization to Execute the Work (Dan King/Hans van der Reijden)
5. Jordan-Hare Stadium Field Lighting Replacement, Project Approval and Authorization to Execute the Work (Dan King/Allen Greene)

Real Estate Approvals:

6. North College Street and Farmville Road Improvements, Conveyance (Dan King/Mark Stirling)
7. Skyway Drive, Property Exchange (Dan King/Mark Stirling)
8. Authority to Engage a Real Estate Broker to Market University Land in Shorter, Alabama (Dan King/Mark Stirling)

Informational Reports:

9. Status Updates: *For Information Only*

- a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater
- b. Quarterly Report for Projects Costing More than \$500,000 but Less than \$1,000,000 –4th QTR Fiscal Year 2019
- c. Project Status Report

B. Finance Committee/Chairperson Harbert/8:30 a.m.

1. Proposed Auburn University Housing Main Campus Rates for 2020-2021 Academic Year (Kelli Shomaker/Bobby Woodard)
2. Proposed Tuition Increase for Auburn University Main Campus and AUM (Kelli Shomaker)

C. Joint Academic Affairs and AUM Committees/Chairpersons Newton and Sahlie/8:45 a.m.

1. Proposed Changes to the AUM Faculty Personnel Policies (Carl Stockton)

D. Institutional Advancement Committee/Chairperson Roberts/9:00 a.m.

1. Auburn University Portfolio Update (For Information Only) (Jane Parker/Greg DeSisto/Cheryl Casey)

E. Executive Committee/Chairperson McCrary/9:15 a.m.

1. Undergraduate Enrollment Policy for the Auburn Campus (Joffery Gaymon)
2. Proposed Awards and Namings (Charles McCrary)

F. Trustee Reports/9:30 a.m.

1. Academic Affairs Committee/Sarah Newton, Chair
2. Agricultural and Natural Resources Committee/Gaines Lanier, Chair
3. Audit and Compliance Committee/Bob Dumas, Chair
4. AUM Committee/Clark Sahlie, Chair
5. Executive Committee/Charles McCrary, Chair
6. Finance Committee/Raymond Harbert, Chair
7. Governmental Affairs Committee/Jimmy Rane, Chair

8. Institutional Advancement Committee (Development and AU Foundation)/B.T. Roberts, Chair
9. Property and Facilities Committee/Michael A. DeMaioribus, Chair
10. Research and Technology Committee (Economic Development)/Lloyd Austin, Chair
11. Student Affairs Committee/Jimmy Sanford, Chair
12. Alumni/Elizabeth Huntley, Lead Trustee
13. Athletics/Quentin Riggins, Lead Trustee
14. Legal/James Pratt, Lead Trustee

II. RECONVENED MEETING OF THE BOARD OF TRUSTEES/9:45 a.m.
(Legacy Ballroom, AU Hotel)

(Proposed Executive Session - The Azalea Room, AU Hotel)

Agenda items are determined primarily based upon committee actions.

RESOLUTION

APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the Reconvened Annual Meeting on Friday, September 13, 2019, and the Special Called Meeting on Monday, October 28, 2019 have been distributed to all members of this Board of Trustees for review; and

WHEREAS, the members have reviewed each set of minutes and determined that they constitute a true and correct recitation of the business of the respective meetings.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees that the minutes of its September 13, 2019 Reconvened Annual Meeting and the Monday, October 28, 2019 Special Called Meeting are hereby approved as distributed.

MINUTES OF RECONVENED MEETING OF THE
BOARD OF TRUSTEES
OF
AUBURN UNIVERSITY

SEPTEMBER 13, 2019

RECONVENED MEETING AGENDA

AUBURN UNIVERSITY BOARD OF TRUSTEES

SEPTEMBER 13, 2019

1. Approval of the June 7, 2019 Reconvened Meeting and the July 8, 2019 Special Called Meeting Minutes
2. AUM Chancellor Report
3. President's Report
4. Action Items and Committee Reports

A. Property and Facilities Committee/Chairperson DeMaioribus

1. Auburn Research Park Infrastructure Expansion, Final Approval
2. Agricultural Heritage Park ALFA Pavilion Renovation, Final Approval
3. Jordan-Hare Stadium East Suite Renovations – Phase II, Final Approval
4. Football Performance Center, Project Initiation
5. University Student Housing – Phase I, Project Initiation

B. Finance Committee/Chairperson Harbert

1. Approval of the 2019-2020 Budget
2. Authority to Manage the Cash Pool and Endowment Investments
3. Proposal to Increase Professional Fees for the College of Veterinary Medicine

C. Academic Affairs Committee/Chairperson Newton

1. Proposed Child Life Option in the Bachelor of Science in Human Development and Family Studies
2. Proposed Early Child Development Option in the Bachelor of Science in Human Development and Family Studies
3. Proposed Master of Science in Child Life
4. Proposed Master of Science in Hospitality Management
5. Proposed Doctor of Philosophy in Hospitality Management
6. Proposed Department and Degree Renamings in the College of Liberal Arts from “Communications Disorders” to “Speech, Language, and Hearing Sciences”
7. Proposed Changes to Faculty Personnel Policies

D. Executive Committee/Chairperson McCrary

1. Posthumous Awarding of the Master of Science Degree to Dr. Daniel K. Newhard
2. Proposed Revision to the University Vision and Mission Statement
3. Purple Heart University Proclamation
4. Selection of Board Members to the Alumni Lifetime Achievement Awards Committee
5. Selection of Committee Chairs and Lead Trustees by *President Pro Tempore* Smith/Service on Affiliated Non-profit Boards
4. Proposed Awards and Namings

5. Recess Meeting

MINUTES OF A RECONVENED MEETING OF THE
AUBURN UNIVERSITY BOARD OF TRUSTEES
FRIDAY, SEPTEMBER 13, 2019
LEGACY BALLROOM
THE HOTEL AT AUBURN UNIVERSITY AND DIXON CONFERENCE CENTER

The Board of Trustees of Auburn University convened its meeting at 9:30 a.m., Friday, September 13, 2019, in the Legacy Ballroom of the Hotel at Auburn University and Dixon Conference Center. *President Pro Tempore* Wayne Smith asked for the roll call by Board Secretary Jon Waggoner. The following named members of the Board of Trustees were deemed to be in attendance: Lloyd Austin, Mike DeMaioribus, Bob Dumas, Raymond Harbert, Elizabeth Huntley, Gaines Lanier, Charles McCrary, Sarah Newton, James Pratt, Jimmy Rane, Quentin Riggins (via telephone), B.T. Roberts, Clark Sahlie, Jimmy Sanford, and Wayne Smith.

Governor Kay Ivey was absent from the meeting. The individuals listed above represent all persons recognized as members of the Board of Trustees of Auburn University at the time of the meeting. Also sitting with the Board were Michael Baginski, Faculty Advisor for Auburn University; Aaron Cobb, Faculty Advisor for AUM; Mary Margaret Turton, Auburn University SGA President; and Ciara Freeman, AUM SGA President. Mr. Jon Waggoner attended as Secretary to the Board of Trustees.

Other persons in attendance at the meeting included the following: Jay Gogue, Interim President; Bill Hardgrave, Provost and Senior Vice President for Academic Affairs; Carl Stockton, Chancellor of AUM; Mrinal Varma, Provost of AUM; Bobby Woodard, Senior Vice President for Student Affairs; Kelli Shomaker, Vice President for Business and Finance and Chief Financial Officer; Brian Keeter, Director of Public Affairs; Jared White, Executive Director of Governmental Affairs; Allen Green, Director of Athletics; Jaime Hammer, General Counsel; Dan King, Associate Vice President for Facilities; Larry Fillmer, Executive Director of External Engagement and Support; Steve Pelham, Associate Vice President for Economic Development; Mike Clardy, Assistant Vice President for Communications and Marketing; Jim O'Connor, Chief Information Officer; Benny LaRussa, Chair of the Auburn University Foundation Board of Directors; Van Henley, President of the Auburn Alumni Association; Nedret Billor, Chair of the Auburn University Senate and Auburn University Faculty Representative, Academic Affairs Committee; Kira Brown, Auburn University Faculty Representative for the Agriculture and Natural Resources Committee; Sheila Mehta, AUM Faculty Representative, AUM Committee; Andrew McLelland, Auburn University Faculty Representative; Kim Brackett, AUM Faculty Representative, Finance Committee; Ana Franco-Watkins, Auburn University Faculty Representative for the Institutional Advancement Committee; David Hinson, Auburn University Faculty Representative for the Property and Facilities Committee; Jung Won Hur, Auburn University Faculty Representative, Student Affairs Committee; Mark Bransby, Chair of the Auburn University Administrative and Professional Assembly; and Kim Brumeloe, Auburn University Staff Council.

At 9:45 a.m., *President Pro Tempore* Smith asked General Counsel Hammer if there were matters to be discussed in Executive Session. Ms. Hammer indicated that there were pending or potential litigation matters to discuss. A motion was received from *President Pro Tempore* Smith to move into Executive Session, seconded by Ms. Huntley, and the Board of Trustees unanimously

approved that it would go into Executive Session and reconvene in approximately forty-five minutes.

After reconvening the annual meeting at 10:15 a.m., *President Pro Tempore* Smith asked for a motion to adopt the minutes from the June 7, 2019 Reconvened Meeting and from the July 8, 2019 Special Called Meeting. A motion was received from Ms. Newton, seconded by Ms. Huntley, and the following minutes were unanimously approved:

RESOLUTION

APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the Reconvened Meeting on Friday, June 7, 2019, and the Special Called Meeting on July 8, 2019 have been distributed to all members of this Board of Trustees for review; and

WHEREAS, the members have reviewed the minutes and determined that they constitute a true and correct recitation of the business of such meeting;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees that the minutes of its Friday, June 7, 2019 and Monday, July 8, 2019 meetings are hereby approved as distributed.

Mr. Smith called upon Dr. Stockton for the AUM Chancellor's Report. Dr. Stockton reported on AUM campus events including the following: The U.S. News and World Report ranked AUM 33rd among regional public universities in the south for overall quality. He also discussed the results of the Noel-Levitz Student Satisfaction Survey showing significant improvements from the 2014 results. He reported on AUM's ranking as the top university in the River Region by the Montgomery Advertiser Readers' Choice Awards.

Dr. Gogue presented an update regarding rankings from the Princeton Review, Money Magazine, Niche, Forbes, Wall Street Journal, and U.S. News & World Report. He then gave a report on an Auburn University student, Kuan Peng who was injured while working on his thesis at the Rural Studio by falling from a ladder. His attorney wanted the Auburn Family to know that Mr. Peng and his family are very appreciative of the support from Auburn University during this difficult time. He then concluded with a discussion on innovative, transformative scientific research.

Mr. Smith thanked Dr. Stockton and Dr. Gogue for their reports.

Mr. Smith then moved to Committee Reports as follows:

Property and Facilities Committee — Chairperson DeMaioribus indicated that the Property and Facilities Committee met earlier in the day and discussed numerous items. Chairperson DeMaioribus moved for adoption of a unanimous consent agenda. The motion was seconded by Mr. Rane and approved by voice vote with abstentions as follows: Mr. McCrary, Mr. Sanford and Mr. Rane abstained on the resolution approving the Auburn Research Park Infrastructure Expansion. Mr. Harbert, Mr. Rane and Mr. Sahlie abstained on the resolution concerning the Jordan-Hare Stadium East Suite Renovations. The following resolutions were approved in the unanimous consent agenda, as follows:

RESOLUTION

AUBURN RESEARCH PARK INFRASTRUCTURE EXPANSION FINAL PROJECT APPROVAL

WHEREAS, at its previous meeting on February 15, 2019, the Board of Trustees adopted a resolution that approved the initiation and engineer selection for the Auburn Research Park Infrastructure Expansion project; and

WHEREAS, the project will expand existing road and utility infrastructure located in the Auburn Research Park in support of future development of the park and the new East Alabama Medical Center facility; and

WHEREAS, the project will connect Camp Auburn Road to Shug Jordan Parkway by extending and widening the existing Camp Auburn Road, installing a roundabout at the Camp Auburn Road and Old Camp Road intersection, widening Shug Jordan Parkway to incorporate turn lanes; and

WHEREAS, the project will also extend the campus utility system in the Auburn Research Park by installing storm and sanitary sewer, domestic water, natural gas, electric power, and data infrastructures; and

WHEREAS, the estimated total project cost for the Auburn Research Park Infrastructure Expansion project is \$11.0 million, to be financed by University General Funds, City of Auburn funds, and other funding sources, as available; and

WHEREAS, the project will be located in the northwest sector of the Auburn Research Park adjacent to Shug Jordan Parkway; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval,” the final approval of the project must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Auburn Research Park Infrastructure Expansion project is approved and that Jay Gogue, Interim President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Establish a budget for the Auburn Research Park Infrastructure Expansion project in the amount of \$11.0 million, to be financed by University General Funds, City of Auburn funds, and other funding sources, as available; and
 2. Direct the consultants to complete the required plans for the project; and
 3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget approved above.
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RESOLUTION

AGRICULTURAL HERITAGE PARK ALFA PAVILION RENOVATION FINAL PROJECT APPROVAL

WHEREAS, at its previous meeting on April 12, 2019, the Board of Trustees adopted a resolution that approved the initiation of the Agricultural Heritage Park ALFA Pavilion Renovation project, and at its meeting on June 7, 2019, the Board of Trustees approved the architect selection for the project; and

WHEREAS, the project will improve the quality of the pavilion's interior and exterior to increase its marketability as a more modern, upscale venue in support of university-sponsored events and private social engagements; and

WHEREAS, the estimated total project cost for the Agricultural Heritage Park ALFA Pavilion Renovation project is \$1.1 million, to be financed by College of Agriculture gift funds and revenues generated by events hosted at the ALFA Pavilion; and

WHEREAS, pursuant to the Board of Trustees policy "D-3, Capital Projects Approval," the final approval of the project must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Agricultural Heritage Park ALFA Pavilion Renovation project is approved and that Jay Gogue, Interim President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Establish a budget for the Agricultural Heritage Park ALFA Pavilion Renovation project in the amount of \$1.1 million, to be financed by College of Agriculture gift funds and revenues generated by events hosted at the ALFA Pavilion; and
2. Direct the consultants to complete the required plans for the project; and

3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget approved above.
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RESOLUTION

JORDAN-HARE STADIUM EAST SUITES RENOVATIONS – PHASE II FINAL PROJECT APPROVAL

WHEREAS, at its previous meeting on June 8, 2018, the Board of Trustees adopted a resolution that approved the initiation and architect selection for the Jordan-Hare East Suites Renovation project, to be executed in two phases; and

WHEREAS, Phase I of the project, to install operable windows in the third and fourth level East suites, was completed in July 2019; and

WHEREAS, Phase II of the project will enable the Athletics Department to provide an enhanced Gameday experience for suite holders by renovating the suites which will include the installation of new fixed seating, flooring, cabinets, wall and bathroom finishes, and the replacement of the existing HVAC units; and

WHEREAS, the estimated total project cost for the Jordan-Hare Stadium East Suites Renovations – Phase II is \$9.2 million, to be financed by Athletics Department funds; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval,” the final approval of the project must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Jordan-Hare Stadium East Suites Renovations – Phase II project is approved and that Jay Gogue, Interim President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Establish a budget for the Jordan-Hare Stadium East Suites Renovations – Phase II project in the amount of \$9.2 million, to be financed by Athletics Department funds; and
2. Direct the consultants to complete the required plans for the project; and

3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget approved above.

RESOLUTION

FOOTBALL PERFORMANCE CENTER
APPROVAL OF PROJECT INITIATION AND AUTHORIZATION
TO COMMENCE THE PROJECT ARCHITECT SELECTION PROCESS

WHEREAS, the Athletics Department has proposed the construction of a Football Performance Center; and

WHEREAS, the intent of the Football Performance Center project is to provide the Auburn University football team with the key and essential capabilities and resources needed to successfully compete within the Southeastern Conference and with national peer programs; and

WHEREAS, it is anticipated the facility will enhance student-athlete development with space dedicated to strength and conditioning, health and recovery, and sports science, as well as to team meeting rooms, coach and staff offices, equipment storage space, and common areas; and

WHEREAS, it is anticipated that this facility would be financed by a combination of gift funds and University general revenue bonds, with the debt service on the bonds being paid for out of Athletics Department funds; and

WHEREAS, pursuant to the Board of Trustees policy "D-3, Capital Projects Approval," the initiation of this project must be submitted to the Board through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Football Performance Center project is approved; and Jay Gogue, Interim President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the project architect selection process.

RESOLUTION

UNIVERSITY STUDENT HOUSING PHASE I
APPROVAL OF PROJECT INITIATION AND AUTHORIZATION
TO COMMENCE THE PROJECT ARCHITECT SELECTION PROCESS

WHEREAS, over the last several years, the University has developed a new housing strategy taking into account affordability, demand, student satisfaction, and local market conditions; and

WHEREAS, based upon this review, a priority for student housing is to construct sufficient housing to eventually replace the Hill Residence Hall Complex and Cambridge Residence Hall through a series of projects over the next five to ten years; and

WHEREAS, the Senior Vice President for Student Affairs has proposed the University Student Housing Phase I project to begin the process of replacing the Hill Residence Hall Complex and the Cambridge Residence Hall; and

WHEREAS, it is anticipated that this project would be financed by University general revenue bonds with the debt service being paid for out of student housing revenues; and

WHEREAS, this project would supersede the New Student Housing project initiated in September 2016; and

WHEREAS, pursuant to the Board of Trustees policy "D-3, Capital Projects Approval," the initiation of this project must be submitted to the Board through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the new University Student Housing Phase I project is approved; and Jay Gogue, Interim President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the project architect selection process.

Finance Committee — Chairperson Harbert indicated a discussion of three items earlier in the morning committee meeting. He moved for adoption of a unanimous consent agenda. Mr. Lanier seconded the motion, and the resolutions were unanimously approved, as follows:

RESOLUTION

2019-2020 BUDGET

BE IT RESOLVED by the Board of Trustees of Auburn University as follows:

Section 1. The operating budget for Auburn University covering current operating funds and auxiliary funds for the fiscal year beginning October 1, 2019, and ending September 30, 2020, as presented by the Interim President and approved by the Finance Committee of the Board of Trustees, be, and the same is hereby approved.

Section 2. Nothing in said budget shall be accepted or construed to be legal obligations or liabilities against Auburn University. The amounts fixed in the components of the budget for the year 2019-2020 shall be understood to be the relative amounts to be paid or expended for those

components in relationship to the funds and/or income of the University available for the support and maintenance of the University.

Section 3. The proposed expenditure amounts as set out in the budget are hereby approved and adopted and the Interim President is authorized and empowered to enact such budget on October 1, 2019. The Interim President is further authorized and empowered to effect routine adjustments to this budget as deemed necessary and appropriate.

Section 4. The Finance Committee of the Board of Trustees is requested and authorized to approve material adjustments in this budget as may be determined necessary and presented by the President.

Section 5. In order to manage the University's opportunities to refinance its outstanding General Fee Revenue Bonds and thereby reduce the University's overall debt service obligations as and when possible, the Authorization attached as Exhibit A is hereby approved and adopted as if set forth in full in this resolution.

EXHIBIT A

AUTHORIZATION FOR THE ISSUANCE

OF REVENUE REFUNDING BONDS OF AUBURN UNIVERSITY

WHEREAS, it is desirable and appropriate for Auburn University (the "University") to issue its General Fee Revenue Bonds from time to time for the purpose of refunding and paying certain of its prior outstanding bonds and thereby achieving an overall interest rate expense savings to the University; and

WHEREAS, in order to manage the University's refunding opportunities in the most efficient manner possible and to permit the University to take advantage of changing market conditions, it is desirable and appropriate to authorize the officers of the University to arrange for the issuance of refunding bonds without further approval of the Board of Trustees, subject to the limitations expressed in this authorization.

THEREFORE, by virtue of the Resolution to which this Authorization is attached, the Board of Trustees hereby and thereby authorizes the following:

Section 1. Findings. The following bonds or leases of the University are currently outstanding:

- (i) General Fee Revenue Bonds, Series 2008, dated September 1, 2008 and not previously refunded, issued under the Thirteenth Supplemental General Fee Indenture dated as of September 1, 2008;

- (ii) General Fee Revenue Bonds, Series 2009, dated December 29, 2009, issued under the Fourteenth Supplemental General Fee Indenture dated as of December 1, 2009;
- (iii) General Fee Revenue Bonds, Series 2011-A, dated May 1, 2011, issued under the Fifteenth Supplemental General Fee Indenture dated as of May 1, 2011;
- (iv) General Fee Revenue Bonds, Series 2012-A and Series 2012-B (Taxable), dated March 27, 2012, issued under the Sixteenth Supplemental General Fee Indenture dated as of March 1, 2012;
- (v) General Fee Revenue Bonds, Series 2014-A, dated July 1, 2014, issued under the Seventeenth Supplemental General Fee Indenture dated as of July 1, 2014;
- (vi) General Fee Revenue Bonds, Series 2015-A, dated March 18, 2015, issued under the Eighteenth Supplemental General Fee Indenture dated as of March 1, 2015;
- (vii) General Fee Revenue Bonds, Series 2015-B, dated September 10, 2015, issued under the Nineteenth Supplemental General Fee Indenture dated as of September 1, 2015;
- (viii) General Fee Revenue Bonds, Series 2016-A, dated August 17, 2016, issued under the Twentieth Supplemental General Fee Indenture dated as of August 1, 2016;
- (ix) Athletic Revenue Bonds, Series 2001-A, dated December 1, 2001;
- (x) Dormitory Revenue Bonds, Series 1978;
- (xi) Lease Revenue Bonds, Series 2017 (Auburn University Educational Complex Gulf Shores Project); and
- (xii) General Fee Revenue Bonds, Series 2018-A dated June 21, 2018, issued under the Twenty-First Supplemental General Fee Indenture dated as of June 1, 2018

The bonds described in (i) – (xii) above are herein referred to as the “Outstanding Bonds.”

Section 2. Authorization of Bonds. The University is hereby authorized to issue its revenue bonds for the purpose of refunding any or all of the Outstanding Bonds. The said bonds shall be issued under the terms, conditions and provisions set out in the General Fee Revenue Trust Indenture dated as of June 1, 1985 between the University and The Bank of New York Mellon Trust Company, N.A., as successor trustee (herein called the "Trustee"), as heretofore supplemented, and as further supplemented by the Supplemental General Fee Revenue Indentures (the "Supplemental General Fee Indentures") provided for in Section 6 of this authorization (the original General Fee Revenue Trust Indenture, as so supplemented being herein referred to as the "General Fee Revenue Indenture"). The bonds herein authorized (the "Bonds") may be issued at such time or times and in such series as may be most advantageous to the University, subject to the provisions of Section 12 of this authorization. The Outstanding Bonds to be refunded by the Bonds are hereinafter referred to as the "Refunded Bonds."

All the provisions of the General Fee Revenue Indenture, as applicable to the Bonds, are hereby adopted as a part of this authorization as if set out at length herein.

Section 3. Bonds to be Issued as Additional Parity Bonds; Special Findings. The Bonds shall be issued as additional parity bonds under Article VIII of the General Fee Revenue Indenture.

In accordance with the provisions of Section 8.2(b) of the General Fee Revenue Indenture, the Board hereby finds and declares as follows:

(a) The University is not now in default under the General Fee Revenue Indenture and no such default is imminent.

(b) Bonds the interest on which is excludable from gross income for purposes of federal income taxation shall be designated "General Fee Revenue Refunding Bonds, Series ____". If it is necessary and appropriate to issue a portion of the Bonds as bonds the interest on which is taxable for purposes of federal income taxation, such bonds shall be designated "General Fee Revenue Refunding Bonds, Series ____ (Taxable)". The series designation shall be completed to reflect the calendar year in which the Bonds are issued and to provide any further identification of the Bonds as is appropriate.

(c) The persons to whom the Bonds are to be delivered are set forth in Sections 7 and 9 hereof.

(d) All of the Bonds are to be issued by sale in accordance with Section 7 hereof.

(e) The sale price of the Bonds shall be as set forth in Sections 7 and 12 hereof.

(f) The only parity bonds that have previously been issued by the University under the General Fee Revenue Indenture and that are currently outstanding are those bonds listed in (i) – (xi) of Section 1 above.

(g) The Refunded Bonds are to be refunded from proceeds of the Bonds, subject to the determinations and conditions set forth in Sections 11 and 12 hereof.

The Trustee is hereby requested to authenticate and deliver the Bonds to the purchaser specified in Section 7 hereof upon payment of the purchase price designated therein.

Section 4. Source of Payment of the Bonds. The principal of and the interest on the Bonds shall be payable from (i) the gross revenues from those general tuition fees levied against students at the Auburn, Alabama campus and the Montgomery, Alabama campus of the University that are more particularly described and referred to as “General Fees” in the General Fee Indenture; (ii) the gross revenues derived by the University from the operation of its housing and dining facilities located on the Auburn campus and on the Montgomery campus that are more particularly described and referred to as “Housing and Dining Revenues” in the General Fee Indenture; (iii) the gross revenues derived by the University from the operation of its athletics programs that are more particularly described and referred to as “Athletic Fee Revenues” in the General Fee Indenture; and (iv) the several student fees levied against students at the Auburn campus and the Montgomery campus that are more particularly described and referred to as the “Pledged Student Fees” in the General Fee Indenture. The said General Fees, Housing and Dining Revenues, Athletic Fee Revenues, and Pledged Student Fees are referred to herein as the “Pledged Revenues.”

Nothing contained in this authorization, in the Bonds, in the General Fee Revenue Indenture, or in the supplemental indentures hereinafter authorized shall be deemed to impose any obligations on the University to pay the principal of or the interest on the Bonds except from the Pledged Revenues. Neither the Bonds, nor the pledge or any agreement contained in the General Fee Revenue Indenture, in any supplemental indenture or in this authorization shall be or constitute an obligation of any nature whatsoever of the State of Alabama, and neither the Bonds nor any obligation arising from the aforesaid pledge or agreements shall be payable out of any moneys appropriated to the University by the State of Alabama. The agreements, covenants or representations contained in this authorization, in the Bonds, in the General Fee Revenue Indenture, and in any supplemental indenture do not and shall never constitute or give rise to any personal or pecuniary liability or charge against the general credit of the University, and in the event of a breach of any such agreement, covenant or representation, no personal or pecuniary liability or charge payable directly or indirectly from the general revenues of the University shall arise therefrom. Nothing contained in this section shall, however, relieve the University from the observance and performance of the several covenants and agreements on its part herein contained.

Section 5. Bonds Payable at Par. All remittances of principal of and interest on the Bonds to the holders thereof shall be made at par without any deduction for exchange or other costs, fees or expenses. The bank or banks at which the Bonds shall at any time be payable shall be considered by acceptance of their duties hereunder to have agreed that they will make or cause to be made remittances of principal of and interest on the Bonds, out of the moneys provided for that purpose, in bankable funds at par without any deduction for exchange or other cost, fees or

expenses. The University will pay to such bank or banks all reasonable charges made and expenses incurred by them in making such remittances in bankable funds at par.

Section 6. Authorization of Supplemental Indentures. The Board does hereby authorize and direct the President of the University to approve, execute and deliver, for and in the name and behalf of the University, to the Trustee, a Supplemental General Fee Revenue Indenture with respect to each series of the Bonds, and does hereby authorize and direct the Secretary of the Board to affix the official seal of the University to said Supplemental General Fee Revenue Indenture and to attest the same.

Section 7. Sale of the Bonds. The Bonds may be sold as an underwritten public sale, or by a private placement with one or more banks or other institutional purchasers, as determined under Section 12 hereof. If the Bonds are sold through an underwritten public sale, the Board does hereby authorize and direct the President of the University to approve, execute and deliver, for and in the name and behalf of the University, one or more Bond Purchase Agreements with respect to each series of Bonds between the University and an underwriter or underwriters (the "Underwriter") approved under Section 12 of this authorization. If the Bonds are sold by private placement, the Board does hereby authorize and direct the President of the University to approve, execute and deliver, for and in the name and behalf of the University, a Placement Agreement with the purchaser(s) of the Bonds approved under Section 12 of this resolution.

Section 8. Authorization of Official Statements. The Board does hereby authorize and direct the Underwriter and/or Professionals designated under Section 14 of this authorization to prepare and distribute, for and in the name and on behalf of the University, a Preliminary Official Statement and a final Official Statement with respect to each series of Bonds issued under this authorization. The Board does hereby further authorize and direct the President or the Vice President for Business & Finance and CFO of the University to execute and deliver, for and on behalf of the University, such final Official Statement(s) and does hereby declare that the Official Statement(s) so executed by the President or the Executive Vice President of the University shall be the Official Statement(s) of the University with respect to the Bonds covered by such Official Statement(s).

Section 9. Execution and Delivery of Bonds. The Board does hereby authorize and direct the President of the University to execute the Bonds, in the name and on behalf of the University, by causing his signature or a facsimile thereof to be placed or imprinted on the Bonds, and does hereby authorize and direct the Secretary of the Board to cause a facsimile of the official seal of the University to be imprinted on the Bonds and to attest the same by causing his signature or a facsimile thereof to be placed or imprinted on the Bonds, all in the manner provided in the General Fee Revenue Indenture. The President of the University is hereby further authorized and directed to deliver the Bonds, subsequent to their execution as provided herein to the Trustee, and to direct the Trustee to authenticate all the Bonds and to deliver them to the Underwriter, upon payment to the University of the purchase price therefor in accordance with the provisions of Sections 7 and 12 hereof.

Section 10. Application of Proceeds. The entire proceeds derived by the University from the sale of the Bonds shall be paid to the Trustee under the General Fee Revenue Indenture. The

Trustee is thereupon authorized and directed to apply and disburse such moneys for the purposes and in the order specified in the Supplemental General Fee Indentures.

Section 11. Redemption of Refunded Bonds; Authorization of Escrow Trust Agreement. Any series of Outstanding Bonds to be refunded by the Bonds or any series of the Bonds shall be called for redemption on the first date permitted for the call and redemption of such Outstanding Bonds subsequent to the date of issuance of the Bonds, at and for a redemption price equal to 100% of the principal amount of each bond so redeemed, plus accrued interest. The President and the Vice President for Business & Finance and CFO of the University are separately authorized to direct the Trustee to mail and/or publish notice of such redemption as required under the terms of the General Fee Revenue Indenture. Any such redemption notice mailed or published prior to the date of issuance of the Bonds shall provide that the call of the affected Refunded Bonds for redemption is contingent upon the issuance and sale of the Bonds.

Pursuant to Section 6.1(a) of the General Fee Revenue Indenture, the Board hereby confirms that the University is not in default under said indenture.

The President of the University is hereby authorized to approve, execute and deliver in the name and on behalf of the University an Escrow Trust Agreement or Agreements, between the University and the Trustee, if necessary or desirable, with respect to each series of Refunded Bonds to provide for the escrow and investment of proceeds of the Bonds until the redemption date of the Refunded Bonds.

Section 12. Authorization to Approve Certain Matters. The Board has determined that it is in the best interest of the University to authorize the issuance of the Bonds for the purposes described in this authorization and subject to the limitations of this authorization without a further meeting or approval of the Board. The Vice President for Business & Finance and CFO of the University and the Chairman of the Finance Committee of the Board are hereby authorized:

(a) to determine when and if any Bonds shall be issued and to approve the schedule of issuance for each series of Bonds; provided that no Bonds shall be issued under the authority of this authorization after December 31, 2020;

(b) to approve the principal amount of the Bonds to be issued in each series and the designation of the Bonds as tax-exempt or taxable Bonds; provided that the aggregate principal amount of each series of Bonds shall not exceed the amount necessary to pay the principal and interest on the Refunded Bonds (taking into account any original issue premium or discount) and the costs of issuing the Bonds;

(c) to determine which of the Refunded Bonds are to be refunded and redeemed by the Bonds; provided that any such refunding shall result in a minimum net present value savings of at least 3%;

(d) to determine whether the Bonds are to be sold to the public or are to be privately placed with one or more banks or other institutions, and the terms of either form of sale;

(e) to approve the forms of Supplemental General Fee Indenture(s), Bond Purchase Contract(s) (if the Bonds are sold to the public), Placement Agreement (if the Bonds are privately placed with a bank or financial institution), Preliminary Official Statement(s), Official Statement(s) and Escrow Agreement(s) to be delivered in connection with each series of Bonds;

(f) to approve the final form and pricing details of each series of Bonds, including the interest rates to be borne by such Bonds, the principal maturities thereof and any original issue discount or premium with respect to the Bonds; provided that the net interest cost of any series of Bonds shall not exceed 6%;

(g) to approve the expenses of issuing the Bonds; and

(h) to take such other steps and to execute and approve such other documents as may be necessary or appropriate to cause the Bonds to be issued, sold and delivered consistent with the provisions of this authorization and the General Fee Revenue Indenture.

The final approval by the Vice President for Business & Finance and CFO of the University and the Chairman of the Finance Committee of the Board of the items listed above may be conclusively evidenced by a certificate signed by each of them and delivered at the time of issuance of the Bonds.

Section 13. Severability. The various provisions of this authorization are hereby declared to be severable. If any provision hereof shall be held invalid by a court of competent jurisdiction, such invalidity shall not affect any other portion of this authorization.

Section 14. Designation of Professionals. The retention of PFM Financial Advisors LLC as financial advisor to the University, and the law firm of Balch & Bingham LLP as bond counsel to the University for the issuance of the Bonds are hereby authorized.

Section 15. General Authorization. The President of the University, Vice President for Business & Finance and CFO of the University and the Secretary of the Board are hereby authorized to execute such further certifications or other documents and to take such other action as any of them may deem appropriate or necessary for the consummation of the matters covered by this authorization, to the end that the Bonds may be executed and delivered at the times and on the terms most advantageous to the University.

RESOLUTION

AUTHORITY TO MANAGE THE CASH POOL AND ENDOWMENT INVESTMENTS

WHEREAS, Dr. Jay Gogue was appointed Interim President of Auburn University was effective July 08, 2019; and

WHEREAS, Ronald Burgess was appointed Executive Vice President of Auburn University on June 23, 2019; and

WHEREAS, Kelli Shomaker was appointed Vice President for Business and Finance and Chief Financial Officer of Auburn University on September 16, 2016; and

WHEREAS, the Board has approved a cash pool investment policy and an endowment investment policy establishing guidelines for the investment of University funds; and

WHEREAS, the daily management of University cash and endowments, within the parameters established by the investment policies, requires the establishment of various types of accounts at banks, brokerage firms and other financial institutions; and

WHEREAS, the University must provide banks, brokerage firms, and other financial institutions with evidence that persons acting on the University's behalf have been authorized to do so.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Interim President Jay Gogue, or Executive Vice President Ronald Burgess, or Vice President for Business and Finance and /Chief Financial Officer Kelli D. Shomaker, or other such persons as may be authorized to act on their behalf, are hereby authorized in writing and empowered to instruct banks, brokers, and other financial institutions regarding the establishment of accounts, the transfer, change of title, investment and safekeeping of cash and/or securities consistent with cash and endowment management investment guidelines.

RESOLUTION

PROPOSAL TO INCREASE PROFESSIONAL FEES FOR
THE COLLEGE OF VETERINARY MEDICINE

WHEREAS, the College of Veterinary Medicine is committed to providing the highest quality instruction to its professional students and to maintaining nationally ranked programs and facilities; and

WHEREAS, the college offers advanced instructional and research facilities designed to prepare graduates who will meet the critical needs of professionals working in the field of veterinary medicine; and

WHEREAS, in recognition of the differential cost of providing high quality veterinary education, students enrolled in the veterinary curriculum are assessed a professional fee over and above mandatory graduate tuition and fees; and

WHEREAS, currently the total of tuition and fees—including the professional fee—paid by Auburn’s veterinary students who are Alabama residents (including SREB contract students from Kentucky) is below the average for the Association of American Veterinary Medical Colleges; and

WHEREAS, the College of Veterinary Medicine wishes to provide adequate resources and faculty to ensure that Auburn’s veterinary students continue to receive a professional education and learning experience commensurate with those afforded by the leading colleges of veterinary medicine in the country; and

WHEREAS, remaining competitive with those leading colleges may require periodic adjustments of the professional fee assessed to students in the veterinary curriculum.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, Interim President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to do the following:

1. Increase the professional fee for Alabama residents and SREB contract students to \$5,542 per semester, effective Fall 2020, an increase of \$1,000 per semester.
2. Annually thereafter, starting in Fall 2021, adjust the professional fees for veterinary students so that their total of all tuition and fees remains as near as possible to the average for Association of American Veterinary Medical Colleges, provided that professional fee increases in any single year not exceed \$1,000 per semester.

Academic Affairs Committee — Chairperson Newton indicated that the Academic Affairs Committee met earlier in the day and discussed numerous items and moved for adoption of a unanimous consent agenda. The motion was seconded by Mr. Rane and the following resolutions were unanimously approved, as follows:

PROPOSED CHILD LIFE OPTION IN THE
BACHELOR OF SCIENCE IN HUMAN DEVELOPMENT AND FAMILY STUDIES

WHEREAS, the College of Human Sciences is committed to offering academic programs designed to improve the quality of life through the study of individuals, environments and relationships; and

WHEREAS, the College of Human Sciences offers coursework leading to the Bachelor of Science in Human Development and Family Studies; and

WHEREAS, the Department of Human Development and Family Studies has proposed the establishment of a new option in Child Life that emphasizes community-focused care and advocacy for families and children experiencing hospitalization and trauma; and

WHEREAS, the proposed option will prepare students for professional careers in the field of medical care or with community agencies; and

WHEREAS, the proposed degree option will utilize existing faculty and courses; and

WHEREAS, the request to establish a Child Life Option in the Bachelor of Science in Human Development and Family Studies has been endorsed by the Dean of the College of Human Sciences, the University Curriculum Committee, the Provost, and the Interim President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the establishment of a Child Life Option in the Bachelor of Science in Human Development and Family Studies be approved and submitted to the Alabama Commission on Higher Education for review and approval.

RESOLUTION

PROPOSED EARLY CHILD DEVELOPMENT OPTION IN THE BACHELOR OF SCIENCE IN HUMAN DEVELOPMENT AND FAMILY STUDIES

WHEREAS, the College of Human Sciences offers coursework leading to the Bachelor of Science in Human Development and Family Studies; and

WHEREAS, the Department of Human Development and Family Studies has proposed the establishment of a new option in Early Child Development that emphasizes the healthy cognitive, social, and physical development of young children; and

WHEREAS, the proposed option will prepare students for careers in early childhood settings; and

WHEREAS, the proposed degree option will utilize existing faculty and courses; and

WHEREAS, the request to establish an Early Child Development Option in the Bachelor of Science in Human Development and Family Studies has been endorsed by the Dean of the College of Human Sciences, the University Curriculum Committee, the Provost, and the Interim President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the establishment of an Early Child Development Option in the Bachelor of Science in Human Development and Family Studies be approved and submitted to the Alabama Commission on Higher Education for review and approval.

RESOLUTION

PROPOSED MASTER OF SCIENCE IN CHILD LIFE

WHEREAS, the College of Human Sciences is committed to offering academic programs designed to improve the quality of life through the study of individuals, environments and relationships; and

WHEREAS, the Department of Human Development and Family Studies has proposed the establishment of a Master of Science in Child Life that offers advanced coursework in community-focused care and advocacy for families with children who are experiencing trauma and hospitalization; and

WHEREAS, the proposed degree will prepare students and professionals for administrative careers working as advocates in areas such as hospitals, surgical centers, community organizations, and non-profit agencies; and

WHEREAS, the proposed degree will require additional resources, to be provided by the department; and

WHEREAS, the request to establish a Master of Science in Child Life has been endorsed by the Dean of the College of Human Sciences, the Graduate Council, the Provost, and the Interim President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the establishment of a Master of Science in Child Life in the Department of Human Development and Family Studies be approved and submitted to the Alabama Commission on Higher Education for review and approval.

RESOLUTION

PROPOSED MASTER OF SCIENCE IN HOSPITALITY MANAGEMENT

WHEREAS, the Department of Nutrition, Dietetics and Hospitality Management supports Alabama's tourism industry by preparing graduates to work in the hospitality industry; and

WHEREAS, the State of Alabama continues to experience a significant increase in tourism and tourist-related activity, resulting in an increased demand for qualified individuals to work across various aspects of the hospitality industry; and

WHEREAS, the Department of Nutrition, Dietetics and Hospitality Management currently offers a hospitality option within the existing Master of Science in Nutrition; and

WHEREAS, the Department requests to establish a new graduate degree, the Master of Science in Hospitality Management (Thesis and Non-Thesis Option); and

WHEREAS, the proposed degree would offer students and professionals opportunities to acquire advanced skills and theory in the fields of hospitality management, operations and consumer science; and

WHEREAS, the proposed degree will not require additional resources to establish; and

WHEREAS, the proposal to establish a Master of Science in Hospitality Management has been endorsed by the Dean of the College of Human Sciences, the Graduate Council, the Provost, and the Interim President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the establishment of a Master of Science in Hospitality Management (Thesis and Non-Thesis Option) in the Department of Nutrition, Dietetics and Hospitality Management be approved and submitted to the Alabama Commission on Higher Education for review and approval.

RESOLUTION

PROPOSED DOCTOR OF PHILOSOPHY IN HOSPITALITY MANAGEMENT

WHEREAS, the Department of Nutrition, Dietetics and Hospitality Management supports Alabama's tourism industry by preparing graduates to work in the hospitality industry; and

WHEREAS, the State of Alabama continues to experience a significant increase in tourism and tourist-related activity, resulting in an increased demand for qualified individuals to work across various aspects of the hospitality industry; and

WHEREAS, the Department of Nutrition, Dietetics and Hospitality Management currently offers a hospitality option within the existing Doctor of Philosophy in Nutrition; and

WHEREAS, the Department requests to establish a new graduate degree, the Doctor of Philosophy in Hospitality Management; and

WHEREAS, the proposed degree would offer students and professionals opportunities to acquire a terminal degree and advanced skills and theory in the fields of hospitality management, operations and consumer science; and

WHEREAS, the proposed degree options will not require additional resources; and

WHEREAS, the proposal to establish a Doctor of Philosophy in Hospitality Management has been endorsed by the Dean of the College of Human Sciences, the Graduate Council, the Provost, and the Interim President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the establishment of a Doctor of Philosophy in Hospitality Management in the Department of

Nutrition, Dietetics and Hospitality Management be approved and submitted to the Alabama Commission on Higher Education for review and approval.

RESOLUTION

PROPOSED DEPARTMENT AND DEGREE RENAMINGS IN THE COLLEGE OF LIBERAL ARTS FROM “COMMUNICATIONS DISORDERS” TO “SPEECH, LANGUAGE, AND HEARING SCIENCES”

WHEREAS, the College of Liberal Arts is committed to providing the highest quality instruction to its students by offering programs that promote the humanities and social sciences; and

WHEREAS, the field of communication disorders continues to experience a demand for professionals working in communication sciences and speech and hearing disorders; and

WHEREAS, the Department of Communication Disorders currently offer undergraduate and graduate degrees in audiology and speech pathology; and

WHEREAS, the unit has proposed a renaming of the department and its degrees to better align with current industry practices and to reflect a greater breadth of topics and approaches necessary for work in the fields of communication sciences and disorders; and

WHEREAS, the proposal has been endorsed by the Dean of the College of Liberal Arts, the University Curriculum Committee, the Graduate Council, the Provost, and the Interim President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University’s Board of Trustees that the following renamings of the department and degree programs be approved, with notice of these changes in nomenclature being sent to the Alabama Commission on Higher Education as items of information:

1. *Department of Communication Disorders* as the *Department of Speech, Language, and Hearing Sciences*;
2. *Bachelor of Science in Communication Disorders* as the *Bachelor of Science in Speech, Language, and Hearing Sciences*;
3. *Master of Science in Communication Disorders* as the *Master of Science in Speech, Language, and Hearing Sciences*.

RESOLUTION

PROPOSED CHANGES TO FACULTY PERSONNEL POLICIES

WHEREAS, the faculty personnel policies included in the *Faculty Handbook* reflect Auburn University policies and information; and

WHEREAS, the proposed changes to the faculty personnel policies have been recommended by the University Senate, with additional recommendations provided by the Senate Executive Committee, and have received the approval of the Provost and the President; and

WHEREAS, the Board defines policies related to appointment, promotion, tenure and dismissal of faculty, and development and approval of procedures to implement those policies is the responsibility of the President or those duly authorized by the President.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, Interim President, or such other person as may be acting as President, be and the same is hereby authorized to revise the contents of the faculty personnel policies designated as Board of Trustee approved policies in Attachment A and is delegated the authority to approve procedures for the administration of these policies.

ATTACHMENT A

Suggested wording for Faculty Handbook revision to Section 3.6.5.3.C Outreach

3.6.5. Policy and Procedure for Promotion and Tenure

C. Information on the Candidate:

(3) Information to Be Supplied by the Department Head/Chair

C. Outreach

1. A statement of how the candidate's outreach program

- 1. serves the mission of an appropriate university unit (which information may be obtained from the sponsoring unit if it is not the candidate's own department), and**
- 2. reflects scholarship (e.g., of application or integration) in the candidate's discipline. (See C.1.c above In "Information to Be Supplied by the Candidate.")**

2. Participant, client, or peer evaluations from outreach activities. These letters may be solicited by the candidate or the department head/chair.

3. Faculty who hold tenured or tenure-track Extension Specialist positions must Have an evaluation letter from the Alabama Cooperative Extension System (ACES) Director included in their dossiers.

Executive Committee — Chairperson McCrary indicated that the Executive Committee met earlier and discussed three action items and moved for a unanimous consent agenda of those items. A second was received from Mr. Dumas and the following resolutions were unanimously approved, as follows:

RESOLUTION

POSTHUMOUS AWARDING OF THE MASTER OF SCIENCE DEGREE TO
DR. DANIEL K. NEWHARD

WHEREAS, Dr. Daniel K. Newhard, a graduate student in Biomedical Sciences at Auburn University, passed away on June 8, 2019; and

WHEREAS, Dr. Daniel K. Newhard passed away before he could complete the final requirement necessary for a Master of Science in Biomedical Sciences (non-thesis); and

WHEREAS, Dr. Daniel K. Newhard excelled as a cardiology resident at Auburn University where he was selected as one of ten recipients of the Outstanding Graduate Student Award for 2018-2019, and where he received the American College of Veterinary Internal Medicine Resident Research Award; and

WHEREAS, the Dean of the College of Veterinary Medicine, the Provost, and the Interim President recommend that the Master of Science in Biomedical Sciences (non-thesis) be awarded posthumously.

NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that the degree of Master of Science in Biomedical Sciences (non-thesis) is hereby granted posthumously to Dr. Daniel K. Newhard in recognition of his academic achievements as a student at Auburn University.

BE IT FURTHER RESOLVED that the Board hereby expresses its sympathy and condolences to the family and fiancée of Dr. Daniel K. Newhard in this period of sadness and that a copy of this resolution be presented to them so that they will know of this action and the university's deep loss.

RESOLUTION

PROPOSED REVISION TO THE UNIVERSITY VISION AND MISSION STATEMENT

WHEREAS, the statement of a university's vision and mission should reflect its distinctive purposes, characteristics, and values; and

WHEREAS, a vision and mission statement that is clear and comprehensive promotes shared understanding of the institution, shaping public expectations and guiding the actions of its governing board, administration, faculty, students, staff and constituents; and

WHEREAS, assessing the extent to which the stated mission is being achieved is the mainspring of institutional effectiveness in educational programs, research, and public service; and

WHEREAS, to these ends, the Auburn University Board of Trustees formally approves and periodically reviews the institution's vision and mission statement; and

WHEREAS, the current vision and mission statement for Auburn University was approved by the Board of Trustees on November 18, 2016; and

WHEREAS, following an informed process, a revision of the university's vision and statement has been developed, recommended by the Provost, and approved by the Interim President; and

WHEREAS, the proposed revision affirms the University's commitment to be an exemplary land-grant university and announces its aspiration to be a global leader in discovery, inquiry and innovation.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the vision and mission statement as shown in Attachment A attached to this resolution is approved for immediate use and is to be published consistently by the institution in all places where the previously approved vision and mission statement currently appears.

Attachment A

Revised Vision:

To lead and shape the future of higher education.

Our vision describes the aspiration for Auburn University 20 years in the future. It is deliberately intended to be lofty — realistic and ever challenging while also bold and ambitious. It is a challenge to ourselves to achieve greatness. Our vision is an invitation to the Auburn Family and those who do not yet know Auburn University to join us in our quest to inspire, innovate, and transform.

Auburn University has established itself as an excellent comprehensive, public land-grant university. However, excellence is not enough. We aspire to become a world-renowned institution that excels in education, research, and service—and to become a model of higher education. We understand that higher education is on the precipice of change. We intend to lead and shape that change.

Revised Mission:

As a land-grant institution, Auburn University is dedicated to improving the lives of the people of Alabama, the nation, and the world through forward-thinking education, life enhancing research and scholarship, and selfless service.

Auburn University's mission concisely describes our central purpose. Our mission statement begins with a reference to the university's origins and obligations as a public land-grant university. The 1862 Morrill Act created institutions of higher education that focused on agriculture and mechanical arts—a response to changing social and economic conditions. Land-grant universities would provide practical solutions to pressing societal problems and provide higher education to a much broader segment of American citizenry. Land-grant universities would eventually serve as the creators of economic opportunity and development. As such, we must never lose sight of the important educational, research and service responsibilities inherent in our land-grant lineage. Subsequent to its founding as a land-grant university, Auburn has also been designated, through federal legislation, as a sea-grant and space-grant university.

Our first responsibility is to educate our students and prepare them for life. We endeavor to expand their minds, broaden their experiences, and hone their capabilities by imparting both theoretical knowledge and practical skills. Our goal is to empower and inspire our students to be their very best and to achieve their hopes and dreams. A key element of our public charter and of the Auburn Creed is to ensure our students are instilled with a strong work ethic, sound character traits, and core values of honesty and respect. We encourage students to make valuable contributions and to lead their fellow citizens in creating meaningful change. This responsibility to build moral character and inculcate active social responsibility distinguishes the student experience at all land-grant universities, and certainly at Auburn University.

Our second responsibility is to drive the development of research and scholarship that creates and advances knowledge. We support, build upon, and leverage the expertise of our faculty, students, and partners to discover, innovate, and create new science, new technologies, and new applications and methodologies that tangibly improve our world.

Our third responsibility, engagement and outreach, leverages the value of the first two elements. Our duty is to enable our students, graduates, faculty and partners to transform the fruits of our research and scholarship into products, methods, and services that meet our communities' most pressing needs. Delivering real-world, practical solutions is what sets land-grant universities apart and is core to Auburn University's foundation.

To be among the best land-grant universities, we must continue to excel in all three responsibilities. This requires leveraging the synergy found in the interchange of education, research, and service to maximize our impact on Alabama and the world.

Chairperson McCrary introduced the next item by indicating that *President Pro Tempore* Smith appointed Trustees Newton and Huntley to serve a three-year term alongside himself to the Alumni Lifetime Achievement Awards Committee. Their terms will begin spring 2020, to allow the Committee to review and award the 2021 winners, due to the 2020 award process already in progress.

Chairperson McCrary called upon *President Pro Tempore* Smith who reported on the Committee Chairs and Lead Trustees and Service on Affiliated Non-Profit Boards. *President Pro Tempore* Smith informed everyone that the 2019-2020 Committee Chairs and Lead Trustees list has been updated, and is included in the meeting materials, as well as available on the Board of Trustees' website. He then reported that Trustee Riggins will serve on the Board of Directors for the Tigers Unlimited Foundation as the Trustee Representative for Athletics, and Trustee Austin will serve on the Board of Directors of the Auburn Research and Technology Foundation as the Trustee Representative for Research.

(See Attachment A)

ATTACHMENT A

BOARD OF TRUSTEES
COMMITTEES AND LEAD TRUSTEES 2019-2020

COMMITTEES

ACADEMIC AFFAIRS

Ms. Sarah Newton, Chair
Dr. Nedret Billor, Chair Univ. Sen.
(ex-officio, non-voting)

AGRICULTURE AND NATURAL
RESOURCES

Mr. Gaines Lanier, Chair
Dr. Kira Bowen, AU Fac. Rep. (ex-officio, non-
voting)

AUDIT AND COMPLIANCE

Mr. Bob Dumas, Chair

AUM

Mr. Clark Sahlie, Chair
Sheila Mehta, Fac. Rep. (ex-officio, non-voting)

EXECUTIVE

Mr. Charles McCrary, Chair
Mr. Mike DeMaioribus
Mr. Bob Dumas
Mr. Raymond Harbert
Mr. Jimmy Rane
Mr. Wayne Smith

FINANCE

Mr. Raymond Harbert, Chair
Dr. Andy McLelland, AU Fac. Rep. (ex-officio,
non-voting)
Dr. Kim Brackett, AUM Fac. Rep., (ex-officio,
non-voting)

GOVERNMENTAL AFFAIRS

Mr. Jimmy Rane, Chair

*All board members serve on all committees
with the Exception of Executive Committee

INSTITUTIONAL ADVANCEMENT

(Development and AU Foundation)

Mr. B.T. Roberts, Chair
Dr. Ana Franco-Watkins, AU Fac. Rep. (ex-
officio, non-voting)

PROPERTY AND FACILITIES

Mr. Mike DeMaioribus, Chair
Mr. David Hinson, AU Fac. Rep. (ex-officio,
non-voting)

RESEARCH AND TECHNOLOGY
(ECONOMIC DEVELOPMENT)

General Lloyd Austin, Chair

STUDENT AFFAIRS

Mr. Jimmy Sanford, Chair
Dr. Jung Won Hur, AU Fac. Rep. (ex-off., non-
voting)
Ms. Mary Margaret Turton, AU SGA President
Ciara Freeman, AUM SGA President.

*All board members serve on all committees
with the Exception of Executive Committee

LEAD TRUSTEES

ALUMNI -- Lead Trustee

Ms. Elizabeth Huntley

ATHLETICS -- Lead Trustee

(Tigers Unlimited Board Member)

Mr. Quentin Riggins

LEGAL --Lead Trustee

Mr. James Pratt

(As of August 7, 2019)

RESOLUTION

AUBURN UNIVERSITY VETERANS RESOURCE CENTER
PURPLE HEART UNIVERSITY PROCLAMATION
FINAL APPROVAL

WHEREAS, Auburn University has always supported its military population: and

WHEREAS, the Purple Heart is the oldest military decoration in present use and was initially created as the Badge of Military Merit by General George Washington in 1782; and

WHEREAS, the Purple Heart was the first United States service award or decoration made available to the common soldier and is specifically awarded to members of the United States Armed Forces who have been wounded or paid the ultimate sacrifice in combat with a declared enemy of the United States of America: and

WHEREAS, the mission of the Military Order of the Purple Heart is to foster an environment of good will among combat-wounded veteran members and their families, promote patriotism, support legislative initiatives, and most importantly – make sure we never forget; and

WHEREAS, the contributions and sacrifices of the men and women of Auburn University that served in the Armed Forces has been vital in maintaining the freedoms and way of life enjoyed by our citizens.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Auburn University is and shall henceforth be a Purple Heart University, honoring the service and sacrifice of our men and women in uniform wounded or killed by the enemy while serving to protect the freedoms of all.

RESOLUTION

NAMING THE NEW EQUESTRIAN BARN AS
THE WILLIAMS FAMILY BARN

September 13, 2019

WHEREAS, Coach Greg Williams, a 1986 Auburn University graduate, has served as Auburn's Head Equestrian Coach for 24 years; and

WHEREAS, under Coach Williams' leadership, Auburn Equestrian and equestrian student-athletes have garnered six national championships, two SEC Championships, 78 All-American honors, and 82 All-SEC and Freshman All-SEC honors; and

WHEREAS, the Williams family, wife Sandi, parents Michael and Carolyn, and daughters Toni and Erica, have played an integral role in starting and sustaining the equestrian program; and

WHEREAS, the Williams have supported the team by donating the original horses to start the program; transported horses, equipment and riders to competitions; provided team meals and snacks when budget funds weren't available; assisted with team camps and recruiting events; and helped build the existing riding arenas, fences, and horse facilities; and

WHEREAS, Auburn Athletics donors, Suzanne Mott-Dansby and Walt and Ginger Woltosz, have contributed more than \$1.5 million to fund the construction of the new equestrian barn, and wish to forego naming the barn for themselves; and

WHEREAS, the Mott-Dansby and Woltosz families have asked that their gifts honor the Williams Family.

NOW, THEREFORE, BE IT RESOLVED that the new equestrian barn be named The Williams Family Barn in honor of the family's ongoing support and dedication.

RESOLUTION

NAMING VARIOUS SPACES WITHIN THE AUBURN UNIVERSITY EQUESTRIAN FACILITIES

September 13, 2019

WHEREAS, Auburn Equestrian is the most successful collegiate equestrian program, garnering six national championships and an undefeated 2019 season; and

WHEREAS, Auburn Athletics is committed to providing our equestrian program, student-athletes, and coaches the facilities they need to compete on a national level; and

WHEREAS, several generous donors have made significant contributions to provide nearly \$5 million for the construction of new equestrian facilities.

NOW, THEREFORE, BE IT RESOLVED that Auburn University recognizes the generosity of these donors by naming the following areas within the new equestrian facilities in honor of their dedication and support.

Location	Naming Request
Western Tack Room	The Winchester Family Western Tack Room
Scholarship Room	The Ladove Scholarship Lounge
Horse Stall	In Honor of Betsy Brown and Leroy
Horse Stall	In Honor of Smarty
Horse Stall	In Honor of Sandi Williams
Horse Stall	In Honor of Carolyn “Mom” Williams
Horse Stall	In Honor of Michelle Grubb
Horse Stall	In Honor of Judge John and Susan Bryan

RESOLUTION

NAMING A STUDENT TEAM ROOM IN THE GRADUATE BUSINESS BUILDING AS
THE MARCUS AND JENNIFER CLARK STUDENT TEAM ROOM

September 13, 2019

WHEREAS, Marcus Clark received his bachelor’s degree from Auburn University’s Harbert College of Business in 1983 majoring in accounting and finance; and

WHEREAS, Marcus and Jennifer have been significant contributors to Auburn and the Harbert College of Business for many years; and

WHEREAS, Marcus and Jennifer’s dedication to Auburn and the Harbert College is evident through their giving and his volunteer service to his local Auburn Club; and

WHEREAS, the Clarks are members of Auburn University’s 1856 Society, the James E. Foy Loyalty Society and the Petrie Society; and

WHEREAS, the Clarks support the Harbert College through an endowed scholarship and the Shareholders’ Club; and

WHEREAS, their passion for their alma mater and their generosity extend throughout campus, including Athletics and the Gogue Performing Arts Center.

NOW, THEREFORE, BE IT RESOLVED that one of the student team rooms on the ground floor of the Graduate Business Building be named The Marcus and Jennifer Clark Student Team Room, in recognition of the Clarks' generosity and ongoing support of the Harbert College of Business and Auburn University.

RESOLUTION

NAMING THE EXECUTIVE TERRACE IN THE GRADUATE BUSINESS BUILDING AS THE SCOTT AND COLLEEN SPORT EXECUTIVE TERRACE

September 13, 2019

WHEREAS, Mr. J. Scott Sport received his bachelor's degree from Troy University in 1986, and earned his master's degree in business administration from Auburn University's Harbert College of Business in 1989; and

WHEREAS, Mrs. Colleen P. Sport earned her bachelor's degree from the Harbert College of Business in 1989, with a degree in marketing; and

WHEREAS, Scott and Colleen have been faithful supporters of Auburn University and the Harbert College of Business for many years; and

WHEREAS, they are members of the 1856 Society and the James E. Foy Loyalty Society; and

WHEREAS, the Sports have enjoyed a very close relationship with Auburn University and the Auburn community over the years and both have fond memories of their days on the Plains; and

WHEREAS, their passion for their alma mater and their generosity extend throughout campus, including Athletics and the Harbert College.

NOW, THEREFORE, BE IT RESOLVED that the executive terrace on the fifth floor of the Graduate Business Building be named The Scott and Colleen Sport Executive Terrace, in recognition of the Sports' generosity and ongoing support of the Harbert College of Business and Auburn University.

RESOLUTION

NAMING A STUDENT TEAM ROOM IN THE GRADUATE BUSINESS BUILDING AS
THE GREG AND JAN WINCHESTER
MASTER OF REAL ESTATE DEVELOPMENT (MRED) ROOM

September 13, 2019

WHEREAS, Greg Winchester received his bachelor's degree from the University of North Carolina in 1979, and his graduate degree from Duke University in 2000; and

WHEREAS, Greg is an adjunct professor teaching in the Master of Real Estate Development Program at Auburn University; and

WHEREAS, Jan Winchester received her bachelor's degree from Georgia State University in 1993; and

WHEREAS, Greg and Jan have been significant contributors to Auburn and the Harbert College of Business for many years; and

WHEREAS, Greg and Jan's dedication to Auburn and the Harbert College is evident through their giving, his teaching, and his membership on the advisory council for the Master of Real Estate Development Program; and

WHEREAS, they are members of Auburn University's 1856 Society and the Athletics Shug Jordan Society; and

WHEREAS, the Winchesters support Auburn through an endowed Scholarship, the Equestrian Team, and this naming opportunity.

NOW, THEREFORE, BE IT RESOLVED that one of the student team rooms on the third floor of the Graduate Business Building be named The Greg and Jan Winchester Master of Real Estate Development (MRED) Room, in recognition of the Winchesters' generosity and ongoing support of the Harbert College of Business and Auburn University.

RESOLUTION

NAMING THE ATRIUM LOBBY AREA
ON THE MAIN FLOOR OF THE LEACH SCIENCE CENTER AS
THE DON AND SANDY LOGAN LOBBY

September 13, 2019

WHEREAS, Don Logan graduated from Auburn University with a bachelor's degree in mathematics in 1966, and has demonstrated a strong commitment to Auburn University

throughout his career with Southern Progress, Time Warner, Birmingham Barons, Seek Publishing, and BASS; and

WHEREAS, Don served on the Auburn University Foundation Board and the Auburn Alumni Association Board; and

WHEREAS, Don was recognized with an Auburn University Honorary Doctorate, an Auburn Alumni Association Lifetime Achievement Award, and a College of Sciences and Mathematics Distinguished Alumni Award; and

WHEREAS, Don and his wife, Sandra, reside in Birmingham, Alabama, and have provided significant philanthropic support to Auburn University through the years; and

WHEREAS, their philanthropy includes the Don Logan Endowed Chair in the Department of Mathematics and Statistics, the Society of Women in Sciences and Mathematics Endowed Scholarship, the Don and Sandy Logan Endowment for Fellowships in Mathematics and Statistics, support for the Colleges of Human Sciences and Veterinary Medicine, Auburn University Athletics, and support for construction of the Leach Science Center.

NOW, THEREFORE, BE IT RESOLVED that the atrium lobby area on the main floor of the Leach Science Center be named as The Don and Sandy Logan Lobby in honor of their generous support and ongoing dedication.

RESOLUTION

NAMING THE CUPOLA ENGINEERING AMBASSADORS OFFICE
ON THE MAIN FLOOR OF THE BROWN-KOPEL ENGINEERING
STUDENT ACHIEVEMENT CENTER AS
THE AMERICAN CAST IRON PIPE COMPANY
CUPOLA ENGINEERING AMBASSADORS OFFICE

September 13, 2019

WHEREAS, AMERICAN Cast Iron Pipe Company has been a significant contributor to Auburn University and the Samuel Ginn College of Engineering for nearly half a century; and

WHEREAS, AMERICAN manufactures ductile iron and welded steel pipe for water and waste water transmission and distribution; a variety of valves for fluid flow control; the American-Darling and Waterous brands of fire hydrants for municipal and industrial use; high-pressure pumps for fire trucks; electric-resistance welded steel pipe for high-pressure gas and oil transmission; castings for agricultural, mining and construction equipment; and rubber products for a variety of industries; and

WHEREAS, AMERICAN's products contribute to the betterment of mankind through the water-energy nexus, the food and construction industries and contribute to public safety through fire protection; and

WHEREAS, with shipments to every state in the nation and many countries around the world, AMERICAN's influence has continued to flourish since its founding in 1905; and

WHEREAS, the company's mission of doing business according to the Golden Rule is a model and beacon of good corporate citizenship; and

WHEREAS, down through the years, AMERICAN has been a loyal and generous supporter of Auburn University and Auburn Engineering, and many Auburn men and women have gone on to fulfilling and impactful careers at AMERICAN.

NOW, THEREFORE, BE IT RESOLVED that the Cupola Engineering Ambassadors Office on the main floor of the Brown-Kopel Engineering Student Achievement Center be named The AMERICAN Cast Iron Pipe Company Cupola Engineering Ambassadors Office in honor of the company's longstanding support and ongoing dedication.

RESOLUTION

NAMING A STUDY ROOM ON THE MAIN FLOOR OF THE BROWN-KOPEL ENGINEERING STUDENT ACHIEVEMENT CENTER AS THE TORCH TECHNOLOGIES STUDY ROOM

September 13, 2019

WHEREAS, Torch Technologies Inc. is one of the nation's Top 100 defense companies; and

WHEREAS, founded in 2002, the company is 100 percent employee-owned and is headquartered in Huntsville, Alabama; and

WHEREAS, Torch Technologies provides superior research, development, and engineering services to the U.S. federal government and Department of Defense; and

WHEREAS, led by President and CEO John Watson, a 1983 electrical engineering graduate from Auburn University, the company has been named a Top Tiger company by the Auburn University Entrepreneurship Summit; and

WHEREAS, as defense contractors, the services it provides directly support the men and women who serve the United States, and the corporate mission statement of Torch Technologies is "Lighting the Pathway of Freedom."

NOW, THEREFORE, BE IT RESOLVED that a Study Room on the ground floor of the Brown-Kopel Engineering Student Achievement Center be named The Torch Technologies Study Room in honor of the company's support and ongoing dedication.

RESOLUTION

NAMING A CLASSROOM IN THE
DELTA AIR LINES AVIATION EDUCATION BUILDING AS
THE McQUEENEY FAMILY CLASSROOM

September 13, 2019

WHEREAS, Mr. James McQueeney received his executive masters of business administration degree from Auburn University in 2007; and

WHEREAS, Mr. McQueeney has more than 38 years of aviation experience in various senior leadership roles with major original equipment manufacturers and suppliers to original equipment manufacturers; and

WHEREAS, Mr. McQueeney has held senior level roles in both supply chain and manufacturing for companies such as Gulfstream, Honeywell, Spirit AeroSystems, and most recently, Bombardier; and

WHEREAS, Mr. McQueeney currently consults and serves as president and partner of Dakota Moon Enterprises, a staffing company specializing in servicing the aerospace industry; and

WHEREAS, Mr. McQueeney made a generous gift to Auburn University in support of aviation education.

NOW, THEREFORE, be it resolved, that a classroom in the Delta Air Lines Aviation Education Building be named The McQueeney Family Classroom in honor of Mr. James McQueeney and his generous support and ongoing dedication.

RESOLUTION

NAMING AN EVALUATION ROOM IN THE
DELTA AIR LINES AVIATION EDUCATION BUILDING AS
THE PENDLETON B747 CHAMPIONSHIP EVALUATION ROOM

September 13, 2019

WHEREAS, Mr. Jon Michael Pendleton flew his first solo flight in a Piper Cherokee 140 on his 16th birthday and earned his pilot's license at age 17; and

WHEREAS, Mr. Pendleton came to Auburn in 1977 to turn his hobby into a career by earning his bachelor's degree in aviation management and professional flight from Auburn University in 1982; and

WHEREAS, his career as a pilot led him to join Delta in 2009; and

WHEREAS, he flew the 2010 Auburn Football National Championship team home on a B747 airplane from their win in Phoenix, AZ.

NOW, THEREFORE, BE IT RESOLVED that an evaluation room in the Delta Air Lines Aviation Education Building be named The Pendleton B747 Championship Evaluation Room in honor of Mr. Pendleton's generous support and ongoing dedication.

RESOLUTION

NAMING A STEINWAY MODEL D GRAND PIANO IN
GOODWIN RECITAL HALL AS
THE SCOTT FAMILY STEINWAY MODEL D GRAND PIANO

September 13, 2019

WHEREAS, Steinway pianos have and continue to represent the gold standard of pianos for more than 166 years, and Auburn University has embarked on an All Steinway School Campaign to become an All Steinway designated university, and

WHEREAS, Isaac and Rhonda Scott, loyal supporters of Auburn University and the Department of Music, made the first philanthropic gift to the All Steinway campaign, and believe becoming an All Steinway School is an invaluable investment in the educational experience of our students and in the teaching skills of our faculty, and

WHEREAS, their philanthropic gift funds the purchase and maintenance of a Steinway Model D grand piano for Goodwin Recital Hall.

NOW, THEREFORE, BE IT RESOLVED that a Steinway Model D grand piano in Goodwin Recital Hall be named The Scott Family Steinway Model D Grand Piano, in recognition of Isaac and Rhonda Scott, whose support will advance the vision of both the Department of Music and the University.

RESOLUTION

NAMING THE BOSENDORFER VC280 CONCERT GRAND PIANO IN THE
JAY AND SUSIE GOGUE PERFORMING ARTS CENTER
AT AUBURN UNIVERSITY AS
THE L. NICK DAVIS CONCERT GRAND PIANO

September 13, 2019

WHEREAS, Julie and Bob Broadway met while attending Auburn University; and

WHEREAS, in 1991, Bob received his bachelor's degree in accountancy from Auburn and a master's degree in business administration in 1993; and

WHEREAS, in 2001, Bob founded The Broadway Group. Following in his father's and grandfather's footsteps as a builder, he combined his knowledge of construction and commercial real estate to create a development firm to service national tenants; and

WHEREAS, in addition to the Broadway Group, Bob owns Broadway Construction Company, a general contracting firm, and Broadway Capital Investments, an investment property firm; and

WHEREAS, Bob has served on the Harbert College of Business Dean's Advisory Board and Campaign Committee, where he met and became friends with L. Nick Davis; and

WHEREAS, Nick and Bob and their spouses have traveled together, attended Auburn sporting events, and have mutual respect and admiration for one another; and

WHEREAS, both Bob and Nick have given back to their university in many ways; and

WHEREAS, both Bob and Julie want to honor their friend, Nick Davis; and

WHEREAS, Bob and Julie have made a gift to support the Jay and Susie Gogue Performing Arts Center at Auburn University in honor of L. Nick Davis.

NOW, THEREFORE, BE IT RESOLVED that the name L. Nick Davis shall be placed on the Bosendorfer VC280 Concert Grand Piano in the Jay and Susie Gogue Performing Arts Center in

honor of their lasting friendship and in recognition of their very generous support of Auburn University and the arts.

RESOLUTION

PLACING THE NAME JOY & BILL HARBERT FOUNDATION
ON THE PATRONS WALL IN THE
JAY AND SUSIE GOGUE PERFORMING ARTS CENTER AT
AUBURN UNIVERSITY

September 13, 2019

WHEREAS, Bill L. Harbert, grew up in Birmingham and graduated from Auburn University in 1948; and

WHEREAS, Bill participated in the formation of Harbert Construction Corporation in 1949, eventually serving as Executive Vice President until 1979. He then became President and COO of Harbert International from 1979 until 1990. Bill served as Chairman and CEO of Bill Harbert International Construction Inc. until his retirement in 2000; and

WHEREAS, Bill played a vital role in business, civic, and community life in Birmingham and left a legacy of respect for human dignity, service toward others, and success through hard work and innovation; and

WHEREAS, Joy Harbert grew up in Jasper and graduated from The University of Alabama; and

WHEREAS, Joy was a civic leader, helping charter the Silhouettes Dance Club and Sprig O'Holly Garden Club. She was an active member of Canterbury United Methodist Church and the UAB School of Nursing Board of Visitors; and

WHEREAS, Joy and Bill married in 1952, and together raised three children; and

WHEREAS, The Joy & Bill Harbert Foundation was created in 1997, by the Harberts to promote philanthropy in their community, and its tradition of giving continues with their children; and

WHEREAS, Joy and Bill were known for their deep commitment to serving others, and their contributions to the Birmingham community. Through this gift to Auburn, their son, Billy, is honoring his parents' legacy.

NOW, THEREFORE, BE IT RESOLVED that the name The Joy & Bill Harbert Foundation shall be placed on the Patrons Wall in the Gogue Performing Arts Center at Auburn University in recognition of the support of Billy L. Harbert, Jr.

RESOLUTION

NAMING THE MACHINE SHOP ON THE GROUND FLOOR OF THE
BROWN-KOPEL ENGINEERING STUDENT ACHIEVEMENT CENTER AS
THE LARRY AND MARY MONTGOMERY MANUFACTURING MACHINE SHOP

September 13, 2019

WHEREAS, Larry and Mary Montgomery are the representatives of the Montgomery Family Foundation; and

WHEREAS, Larry serves as president of the foundation and manages Montgomery Farms in Georgia and the Double U Ranch in Texas; and

WHEREAS, he received a bachelor's degree in mechanical engineering from the Georgia Institute of Technology and a master's of business administration with an emphasis in marketing and finance from Emory University; and

WHEREAS, he retired after 30 years with the Kimberly-Clark Corporation, where he served in engineering, manufacturing, research and development, and management of major capital projects; and

WHEREAS, the Montgomery Family Foundation is a member of the Engineering Eagles and Ginn societies, as well as the university's 1856 and Samford societies.

NOW, THEREFORE, BE IT RESOLVED that the Manufacturing Machine Shop on the ground floor of the Brown-Kopel Engineering Student Achievement Center be named The Larry and Mary Montgomery Manufacturing Machine Shop in honor of their generous support and ongoing dedication.

RESOLUTION

NAMING THE MACHINE SHOP ON THE GROUND FLOOR OF THE
BROWN-KOPEL ENGINEERING STUDENT ACHIEVEMENT CENTER AS
THE LARRY AND MARY MONTGOMERY MANUFACTURING MACHINE SHOP

September 13, 2019

WHEREAS, Larry and Mary Montgomery are the representatives of the Montgomery Family Foundation; and

WHEREAS, Larry serves as president of the foundation and manages Montgomery Farms in Georgia and the Double U Ranch in Texas; and

WHEREAS, he received a bachelor's degree in mechanical engineering from the Georgia Institute of Technology and a master's of business administration with an emphasis in marketing and finance from Emory University; and

WHEREAS, he retired after 30 years with the Kimberly-Clark Corporation, where he served in engineering, manufacturing, research and development, and management of major capital projects; and

WHEREAS, the Montgomery Family Foundation is a member of the Engineering Eagles and Ginn societies, as well as the university's 1856 and Samford societies;

NOW, THEREFORE, BE IT RESOLVED that the Manufacturing Machine Shop on the ground floor of the Brown-Kopel Engineering Student Achievement Center be named The Larry and Mary Montgomery Manufacturing Machine Shop in honor of their generous support and ongoing dedication.

RESOLUTION

NAMING THE GROUND FLOOR DESIGN STUDIO IN THE
BROWN-KOPEL ENGINEERING STUDENT ACHIEVEMENT CENTER AS
THE LARRY AND MARY MONTGOMERY DESIGN STUDIO

September 13, 2019

WHEREAS, Lawrence and Mary Montgomery are the representatives of the Montgomery Family Foundation; and

WHEREAS, Lawrence serves as president of the foundation and manages Montgomery Farms in Georgia and the Double U Ranch in Texas; and

WHEREAS, he received a bachelor's degree in mechanical engineering from the Georgia Institute of Technology and a master's of business administration with an emphasis in marketing and finance from Emory University; and

WHEREAS, he retired after 30 years with Kimberly-Clark Corp., where he served in engineering, manufacturing, research and development, and management of major capital projects; and

WHEREAS, the Montgomery Family Foundation is a member of the Engineering Eagles and Ginn societies, as well as the University's 1856 and Samford societies.

NOW, THEREFORE, BE IT RESOLVED that the ground floor Design Studio in the Brown-Kopel Engineering Student Achievement Center be named The Larry and Mary Montgomery Design Studio in honor of its generous support and ongoing dedication.

RESOLUTION

NAMING THE SECOND FLOOR GALLERY IN ROSS HALL AS THE BRAD CORSON GALLERY; THE SECOND FLOOR CONFERENCE ROOM IN ROSS HALL AS THE BRAD CORSON CONFERENCE ROOM; AND THE CHEMICAL ENGINEERING DEPARTMENT CHAIR'S SUITE IN ROSS HALL AS THE BRAD CORSON CHEMICAL ENGINEERING DEPARTMENT CHAIR SUITE

September 13, 2019

WHEREAS, Brad Corson earned his bachelor's degree in chemical engineering from Auburn University in 1983, and joined ExxonMobil the same year; and

WHEREAS, he spent the first 21 years of his career based in the U.S. with assignments in Louisiana, California, Texas, and Virginia before relocating overseas; and

WHEREAS, in 2004, Brad moved to Hong Kong as manager of Hong Kong Power and chairman of CAPCO, ExxonMobil's joint venture in the power industry; and

WHEREAS, Brad returned to Houston in 2007, as vice president of new business development for ExxonMobil Gas and Power Marketing Co., with global responsibility for commercializing ExxonMobil's major gas resources and pursuit of new opportunities; and

WHEREAS, from 2009-14, he was based in London as chairman and production director of ExxonMobil International Ltd., where he had responsibility for oil and gas production activities in Europe and the Caspian region; and

WHEREAS, he returned to Houston in 2014 and subsequently was appointed to his current position as president of ExxonMobil Upstream Ventures and vice president of ExxonMobil Corporation in 2015.

NOW, THEREFORE, BE IT RESOLVED that the second-floor gallery in Ross Hall be named The Brad Corson Gallery; the second-floor conference room be named The Brad Corson Conference Room; and the chemical engineering department chair's suite be named The Brad Corson Chemical Engineering Department Chair Suite in honor of Mr. Corson's generous support and ongoing dedication.

Chairperson McCrary thanked *President Pro Tempore* Smith and indicated that the Executive Committee report was concluded. However, prior to concluding, *President Pro Tempore* Smith addressed the Board regarding the progress of the presidential search. He indicated that the best practice would be to continue to have discussions about Auburn's future in the coming weeks and months, and that the search for a new president will be extremely inclusive and open in hopes of finding and attracting the most qualified and competent leader. *President Pro Tempore* Smith indicated that the process will start no earlier than Spring 2020, but that updates and specific information would be given at the November meeting. Chairperson McCrary indicated, "We are in no real big hurry."

President Pro Tempore Smith indicated that there were no further items for review, and with that, the Meeting was recessed at 10:45 a.m.

Respectfully submitted,

Jon G. Waggoner
Secretary to the Board of Trustees

SPECIAL CALLED MEETING
AUBURN UNIVERSITY BOARD OF TRUSTEES
(via Telephone Conference Call)

OCTOBER 28, 2019

AGENDA

AUBURN UNIVERSITY BOARD OF TRUSTEES
OCTOBER 28, 2019 AT 10:00 A.M.
(via Telephone Conference Call)

Telephone Conference Call Instructions

Dial: 646-876-9923

Enter Meeting ID: 880 186 249 #

Participation ID: Not Applicable

1. Roll Call
2. Action Items and Committee Reports
 - A. Executive Committee
 1. Football Performance Center: Approval of Project Architect (Dan King)
3. Recess Meeting

SPECIAL CALLED MEETING MINUTES

MONDAY, OCTOBER 28, 2019 AT 10:00 A.M.

(via Telephone Conference Call)

The Auburn University Board of Trustees met via telephone conference call on Monday, October 28, 2019, at 10:00 a.m. *President Pro Tempore* Smith began by welcoming members of the public and the news media on the call. He then reminded everyone that the dial-in number provided is listen-only in order for members of the Board to both listen and be heard for the discussion and subsequent vote. He also reminded everyone that digital copies of the materials for the meeting were available on Auburn University's website under the Board of Trustees tab.

President Pro Tempore Smith called upon Secretary Waggoner to call the roll. Board Members present on the meeting telephone conference call included: *President Pro Tempore* Wayne Smith, Trustee Mike DeMaioribus, Trustee Bob Dumas, Trustee Raymond Harbert, Trustee Elizabeth Huntley, Trustee Gaines Lanier, Trustee Charles McCrary, Trustee Sarah Newton, Trustee James Pratt, Trustee Jimmy Rane, Trustee Quentin Riggins, Trustee B.T. Roberts, Trustee Clark Sahlie, and Trustee Jimmy Sanford.

The Honorable Kay Ivey, Governor of Alabama and Chairperson of the Board of Trustees, and Trustee Lloyd Austin were absent from the meeting. The individuals listed above represent all persons recognized as members of the Board of Trustees of Auburn University at the time of the meeting. Jon Waggoner, Secretary to the Board of Trustees, served as the secretary for the meeting.

Other persons in attendance in the President's Board Room in Samford Hall listening to the call included: Jay Gogue, Interim President; Bill Hardgrave, Provost and Senior Vice President for Academic Affairs; Bobby Woodard, Senior Vice President for Student Affairs; Kelli Shomaker, Vice President for Business and Finance and Chief Financial Officer; Brian Keeter, Director of Public Affairs; Jared White, Executive Director of Governmental Affairs; Allen Green, Director of Athletics; Jaime Hammer, General Counsel; Dan King, Associate Vice President for Facilities; Jim O'Connor, Chief Information Officer; Michael Baginski, Faculty Advisor to the Board of Trustees; and Mary Margaret Turton, President of the Student Government Association.

Secretary Waggoner indicated that a quorum was present. *President Pro Tempore* Smith indicated there was one item for review, the Approval of Architect Selection for the Football Performance Center. He reminded everyone that at the September 13, 2019 meeting of the Board of Trustees, the Board approved a resolution that initiated the Football Performance Center project and authorized the commencement of the architect selection process. *President Pro Tempore* Smith explained that with the process being concluded, a recommendation was before the Board of Trustees as to whom should be selected as the architect of record for the project. He then called on Mr. Dan King who discussed the following:

1. Football Performance Center, Approval of Architect Selection

Mr. King echoed *President Pro Tempore* Smith's introduction of the item by reporting that during its meeting on September 13, 2019, the Board of Trustees adopted a resolution that approved the initiation of the Football Performance Center

project and authorized the commencement of the architect selection process. Since that time, a Request for Qualifications was published for design services. Ten architectural teams or individual firms submitted their qualifications, and four were interviewed by the Architect Selection Committee. The committee determined that the team of Goodwyn, Mills, Cawood of Montgomery, Alabama, and HOK Architects of Kansas City, Missouri, were best qualified to provide design services on this project. Mr. King announced that Goodwyn, Mills, Cawood will serve as the architect of record and will hold the design contract with Auburn University. Mr. King stated that the University Architect concurred with this recommendation.

After Mr. King's discussion of the item, *President Pro Tempore* Smith asked for a motion. A motion was received from Trustee DeMaoribus, seconded by Trustee Sahlie, and the Board unanimously approved the resolution naming the architect of record for the project as shown below:

RESOLUTION

FOOTBALL PERFORMANCE CENTER APPROVAL OF PROJECT ARCHITECT SELECTION

WHEREAS, at its meeting on September 13, 2019, the Board of Trustees adopted a resolution that approved the initiation of the Football Performance Center project and authorized the commencement of the architect selection process; and

WHEREAS, the University Architect, after conducting interviews with four candidate firms, determined the architectural team of Goodwyn, Mills, Cawood of Montgomery, Alabama, and HOK Architects of Kansas City, Missouri, were best qualified to provide design services on this project; and

WHEREAS, pursuant to the Board of Trustees policy "D-3, Capital Projects Approval," the selection of the project architect must be submitted to the Auburn University Board of Trustees for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, Interim President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Engage the architectural team of Goodwyn, Mills, Cawood of Montgomery, Alabama and HOK Architects of Kansas City, Missouri, to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

With there being no further action items, *President Pro Tempore* Smith recessed the meeting at 10:10 a.m.

Jon G. Waggoner
Secretary to the Board of Trustees

RESOLUTION

AWARDING OF DEGREES

WHEREAS, Auburn University confers appropriate degrees upon those individuals who have completed requirements previously approved by this Board of Trustees and stated in University Catalogs.

NOW, THEREFORE, BE IT RESOLVED as follows:

1. That all degrees to be awarded by the faculty of Auburn University and Auburn University at Montgomery at the end of Fall Semester 2019, complying with the requirements heretofore established by the Board of Trustees, be and the same are hereby approved.
2. That a list of the degrees awarded be filed in the Book of Exhibits and made a part of this resolution and of these minutes.

PROPERTY AND FACILITIES COMMITTEE
RESOLUTION
LEACH SCIENCE CENTER BASEMENT BUILD-OUT

APPROVAL OF PROJECT INITIATION AND ARCHITECT SELECTION

WHEREAS, the Dean for the College of Sciences and Mathematics (COSAM) proposes a project to build out the shell space located in the Leach Science Center basement; and

WHEREAS, the proposed project will provide additional research space for the Physics Department, to include two laser laboratories, a biophysics laboratory, laboratory support areas, and the relocation of the College's Information Technology Department to the Leach Science Center basement; and

WHEREAS, the Provost supports the Leach Science Center Basement Build-Out project; and

WHEREAS, it is anticipated that the Leach Science Center Basement Build-Out project will be financed by College of Sciences and Mathematics funds; and

WHEREAS, to facilitate an accelerated design process, the University Architect recommends the approval of the firm Stacy Norman Architects of Auburn, Alabama, as the architect for the project; and

WHEREAS, pursuant to the Board of Trustees policy "D-3, Capital Projects Approval," the final approval of the project must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Leach Science Center Basement Build-Out project is approved and Jay Gogue, Interim President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Engage Stacy Norman Architects of Auburn, Alabama, as project architect to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.



FACILITIES MANAGEMENT
M E M O R A N D U M

TO: JAY GOGUE, Interim President
THROUGH: RONALD BURGESS, Executive Vice President
FROM: DAN KING, Associate Vice President for Facilities
SUBJECT: PROPERTY & FACILITIES COMMITTEE

**LEACH SCIENCE CENTER BASEMENT BUILD-OUT: APPROVAL OF PROJECT
INITIATION AND ARCHITECT SELECTION**

DATE: NOVEMBER 6, 2019

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 22, 2019.

Proposal:

Consistent with standing policy, it is proposed that the Leach Science Center Basement Build-Out project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will approve the initiation of the project and authorize the selection of the project architect.

Review and Consultation:

The Dean for the College of Sciences and Mathematics (COSAM) proposes a project to build out the shell space located in the Leach Science Center basement. The proposed project will provide additional research space for the Physics Department. The program requirements include two laser laboratories, a biophysics laboratory, laboratory support areas, and will relocate the COSAM Information Technology Department.

Although originally conceived as a project under the University's \$1,000,000 capital project approval threshold, the scope of work has progressed to the point where this project will now require Board of Trustees approval. The Provost supports the Leach Science Center Basement Build-Out project.

It is anticipated that the Leach Science Center Basement Build-Out project will be financed by College of Sciences and Mathematics funds.

To facilitate an accelerated design process, the University Architect recommends the approval of the firm Stacy Norman Architects, of Auburn, Alabama, as the architect for the project. Stacy Norman & Associates has designed several Auburn University facilities in recent years, and their expertise will be important in the development of the program for this project.

It is, therefore, appropriate that a resolution be presented to the Board of Trustees for their consideration to approve the initiation the Leach Science Center Basement Build-Out project and the selection of Stacy Norman Architects, of Auburn, Alabama, as the project architect. If you concur, it is recommended the resolution be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action at the meeting scheduled for November 22, 2019.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

VILLAGE RESIDENCE HALL REFURBISHMENT

PROJECT APPROVAL AND AUTHORIZATION TO EXECUTE THE WORK

WHEREAS, Student Affairs proposes to commence a multi-phase, multi-year refurbishment of the Village Residence Hall complex; and

WHEREAS, this project will refurbish 484 suites throughout the complex by replacing existing flooring, casework, countertops, vanities, and bathroom fixtures of each suite as well as repainting the suites and hallways; and

WHEREAS, the intent of this project is to refurbish all suites in all buildings in a phased approach over several years, with much of the work being done over the summer; and

WHEREAS, the overall cost of the project is estimated to be between \$15.0 and \$16.0 million to be financed by Student Affairs.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Village Residence Hall Refurbishment project is approved and that Jay Gogue, Interim President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to execute the project.



FACILITIES MANAGEMENT
M E M O R A N D U M

TO: JAY GOGUE, Interim President
THROUGH: RONALD BURGESS, Executive Vice President
FROM: DAN KING, Associate Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**VILLAGE RESIDENCE HALL REFURBISHMENT: PROJECT APPROVAL AND
AUTHORIZATION TO EXECUTE THE WORK**

DATE: NOVEMBER 6, 2019

This memorandum requests the following item be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 22, 2019.

Proposal:

It is proposed that the Village Residence Hall Refurbishment project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that would approve the proposed project and authorize its execution.

Review and Consultation:

Student Affairs proposes to commence a multi-phase, multi-year refurbishment of the Village Residence Hall complex. This project will refurbish 484 suites throughout the complex, and will upgrade 1,936 bedrooms, 484 kitchenettes, and 968 bathrooms. The scope of work calls for replacing the existing flooring, casework, countertops, vanities, and bathroom fixtures of each suite as well as repainting the suites and hallways.

The intent of this project is to refurbish all suites in all buildings in a phased approach over several years, with much of the work being done over the summer. The exact schedule for this work has yet to be determined, but the target timeframe to start the first phase in the summer of 2020.

The overall cost of the project is estimated to be between \$15.0 and \$16.0 million, to be financed by Student Affairs.

Since this work consists of replacing existing items in the facility, it is categorized as a repair project, and thus does not meet the University criteria as a capital project. However, due to the magnitude of the cost associated with this project, Board of Trustees approval is desired.

If you concur, it is proposed that a resolution approving the Village Residence Hall Refurbishment project and authorizing its execution be presented to the Board of Trustees for consideration at its meeting scheduled for November 22, 2019.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

QUAD RESIDENCE HALL COMPLEX: FIRE ALARM SYSTEM UPGRADE PHASE I

PROJECT APPROVAL AND AUTHORIZATION TO EXECUTE THE WORK

WHEREAS, Student Affairs proposes to commence a multi-phase upgrade to the fire alarm system in the Quad Residence Hall complex; and

WHEREAS, the existing zone fire alarm system will be removed and replaced with an addressable fire alarm system that meets current Auburn University standards; and

WHEREAS, the first phase will upgrade the systems in two residence halls in the Upper Quad and two residence halls in the Lower Quad, with the intent to complete the Phase I upgrade during the summer of 2020; and

WHEREAS, the overall cost of the project is estimated to be between \$1.1 and \$1.3 million, to be financed by Student Affairs.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Quad Residence Hall Complex: Fire Alarm System Upgrade Phase I project is approved and that Jay Gogue, Interim President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to execute the project.

TO: JAY GOGUE, Interim President *[Signature]*
THROUGH: RONALD BURGESS, Executive Vice President *[Signature]*
FROM: DAN KING, Associate Vice President for Facilities *[Signature]*
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**QUAD RESIDENCE HALL COMPLEX: FIRE ALARM SYSTEM UPGRADE
PHASE I: PROJECT APPROVAL AND AUTHORIZATION TO EXECUTE THE
WORK**

DATE: NOVEMBER 6, 2019

This memorandum requests the following item be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 22, 2019.

Proposal:

It is proposed that the Quad Residence Hall Complex: Fire Alarm System Upgrade Phase I project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that would approve the proposed project and authorize its execution.

Review and Consultation:

Student Affairs proposes to commence a multi-phase upgrade to the fire alarm system in the Quad Residence Hall complex. The existing zone fire alarm system will be removed and replaced with an addressable fire alarm system that meets current Auburn University standards. The new system will also have the capability to make mass notifications.

The first phase will upgrade the systems in two residence halls in the Upper Quad and two residence halls in the Lower Quad. The intent of this project is to complete the Phase I upgrade during the summer of 2020.

The overall cost of the project is estimated to be between \$1.1 and \$1.3 million, to be financed by Student Affairs.

Since this work consists of replacing existing items in the facility, it is categorized as a repair project, and thus does not meet the University criteria as a capital project. However, due to the magnitude of the cost associated with this project, Board of Trustees approval is desired.

If you concur, it is proposed that a resolution approving the Quad Residence Hall Complex: Fire Alarm System Upgrade Phase I project and authorizing its execution be presented to the Board of Trustees for consideration at its meeting scheduled for November 22, 2019.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

AUBURN UNIVERSITY HOTEL AND CONFERENCE CENTER
GUEST ROOM REFURBISHMENT

PROJECT APPROVAL AND AUTHORIZATION TO EXECUTE THE WORK

WHEREAS, Auburn University Hotel and Conference Center proposes to commence a project to refurbish its guest rooms; and

WHEREAS, this project will upgrade 236 guest rooms, which were last refurbished in 2008; and

WHEREAS, the scope of work for the project calls for replacing the furniture and soft goods in each guest room, as well as replacing the existing flooring, wall coverings, cabinets, closet units, vanities, and bathroom fixtures of each room and repainting both the rooms and hallways; and

WHEREAS, the intent of this project is to refurbish all guest rooms in a phased approach, with the work commencing in the summer of 2020; and

WHEREAS, the cost of the project is estimated to be between \$8.0 and \$9.0 million to be financed by Hotel and Conference Center revenues.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Auburn University Hotel and Conference Center Guest Room Refurbishment project is approved and that Jay Gogue, Interim President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to execute the project.

TO: JAY GOGUE, Interim President *R2B*
THROUGH: RONALD BURGESS, Executive Vice President
FROM: DAN KING, Associate Vice President for Facilities *D. King 11/6/19*
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**AUBURN UNIVERSITY HOTEL AND CONFERENCE CENTER GUEST ROOM
REFURBISHMENT: PROJECT APPROVAL AND AUTHORIZATION TO
EXECUTE THE WORK**

DATE: NOVEMBER 6, 2019

This memorandum requests the following item be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 22, 2019.

Proposal:

It is proposed that the Auburn University Hotel and Conference Center Room Refurbishment project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that would approve the proposed project and authorize its execution.

Review and Consultation:

The Auburn University Hotel and Conference Center proposes to commence a refurbishment of the rooms in the hotel. These rooms were last refurbished in 2008. This project will refurbish 236 guest rooms throughout the hotel. The scope of work calls for replacing the furniture and soft goods in each guest room, as well as replacing the existing flooring, wall coverings, cabinets, closet units, vanities, and bathroom fixtures of each room and repainting both the rooms and hallways.

The intent of this project is to refurbish all guest rooms in a phased approach. The work will commence in the summer of 2020.

The overall cost of the project is estimated to be between \$8.0 and \$9.0 million, to be financed by Hotel and Conference Center revenues.

Since this work consists of replacing existing items in the hotel, it is categorized as a repair project, and thus does not meet the University criteria as a capital project. However, due to the magnitude of the cost associated with this project, Board of Trustees approval is desired.

If you concur, it is proposed that a resolution approving the Auburn University Hotel and Conference Center Guest Room Refurbishment project and authorizing its execution be presented to the Board of Trustees for consideration at its meeting scheduled for November 22, 2019.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

JORDAN-HARE STADIUM FIELD LIGHTING REPLACEMENT

PROJECT APPROVAL AND AUTHORIZATION TO EXECUTE THE WORK

WHEREAS, the Athletics Department proposes to replace the existing field lighting fixtures in the stadium with LED fixtures as well as the associated light poles; and

WHEREAS, the existing metal halide field lighting system at Jordan-Hare Stadium has exceeded its life expectancy and the current bulbs are no longer being manufactured, thus necessitating replacement; and

WHEREAS, the new LED field lighting system will also improve the level of illumination within the stadium for televised night games; and

WHEREAS, the schedule for this project is to complete the lighting replacement prior to the 2020 football season; and

WHEREAS, the overall cost of the project is estimated to be between \$3.75 million and \$4.25 million, to be financed by the Athletics Department.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Jordan-Hare Stadium Field Lighting Replacement project is approved and that Jay Gogue, Interim President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to execute the project.



FACILITIES MANAGEMENT
M E M O R A N D U M

TO: JAY GOGUE, Interim President *[Signature]*
THROUGH: RONALD BURGESS, Executive Vice President *[Signature]*
FROM: DAN KING, Associate Vice President for Facilities *[Signature]*
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**JORDAN-HARE STADIUM FIELD LIGHTING REPLACEMENT: PROJECT
APPROVAL AND AUTHORIZATION TO EXECUTE THE WORK**

DATE: NOVEMBER 6, 2019

This memorandum requests the following item be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 22, 2019.

Proposal:

It is proposed that the Jordan-Hare Stadium Field Lighting Replacement project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that would approve the proposed project and authorize its execution.

Review and Consultation:

The Athletics Department proposes to replace the existing metal halide field lighting fixtures in the stadium with LED fixtures. The existing field light fixtures at Jordan-Hare Stadium have exceeded their life expectancy and replacement bulbs are no longer being manufactured. The new LED field lighting will also improve the level of illumination within the stadium for televised night games. The project also includes the replacement of the twenty existing galvanized steel light poles. The schedule for this project is to complete the replacement prior to the 2020 football season.

The overall project is estimated to cost \$3.75 to \$4.25 million, to be financed by the Athletics Department.

Since this work consists of replacing existing items in the Stadium, the work is categorized as a repair project, and thus does not meet the University criteria as a capital project. However, due to the magnitude of the cost associated with this project, Board of Trustees approval is desired.

If you concur, it is proposed that a resolution approving the Jordan-Hare Stadium Field Lighting Replacement project and authorizing its execution be presented to the Board of Trustees for consideration at its meeting scheduled for November 22, 2019.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

NORTH COLLEGE STREET AND FARMVILLE ROAD IMPROVEMENTS

CONVEYANCE

WHEREAS, the Alabama Department of Transportation (ALDOT) has proposed a \$2.5 million project to construct a traffic circle and make related improvements to the North College Street intersection at Farmville Road, intended to improve safety and traffic flow; and

WHEREAS, ALDOT requests that Auburn University convey to it approximately 0.51 acres of land (Parcel), which would facilitate construction of the North College Street and Farmville Road Improvements project; and

WHEREAS, the 0.51 acre Parcel has an appraised value of \$20,400; and

WHEREAS, conveyance of the Parcel to ALDOT is exempt from statutory bidding requirements; and

WHEREAS, given the traffic and safety enhancements that will result, it is in the best interest of Auburn University to support the North College Street and Farmville Road Improvements project by conveying the Parcel to ALDOT.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, Interim President, or such person as may be acting as President, be and the same is hereby authorized and empowered to convey the Parcel described in Exhibit 1 to ALDOT for the price of \$20,400, to facilitate ALDOT's improvements to North College Street. All documents consummating the conveyance shall be reviewed as to form by legal counsel for Auburn University.

Exhibit 1

North College Street and Farmville Road Improvements Conveyance





REAL ESTATE DEPARTMENT

MEMORANDUM

TO: JAY GOGUE, Interim President *[Signature]*
THROUGH: RONALD BURGESS, Executive Vice President *[Signature]*
VIA: DAN KING, Associate Vice President for Facilities *[Signature]*
FROM: MARK STIRLING, Director of Real Estate *[Signature]*
SUBJECT: PROPERTY AND FACILITIES COMMITTEE
**NORTH COLLEGE STREET AND FARMVILLE ROAD IMPROVEMENTS
CONVEYANCE
AUBURN, ALABAMA**
DATE: NOVEMBER 6, 2019

This is to request that the following item be presented to the Board of Trustees through the Property and Facilities Committee and be included on the agenda of the meeting scheduled for November 22, 2019.

Proposal:

The Alabama Department of Transportation (ALDOT) requests that Auburn University convey approximately 0.51 acres of University land to ALDOT, to facilitate an ALDOT project intended to improve traffic flow and safety at the intersection of North College Street and Farmville Road.

Review and Consultation:

ALDOT is developing a project to install a traffic circle at the intersection of North College Street and Farmville Road, as depicted on Exhibit 1. According to ALDOT, the \$2.5 million dollar project will improve traffic flow, reduce congestion at the intersection, and enhance vehicular and pedestrian safety. The University owns property adjacent to that intersection, and ALDOT's design would encroach onto 0.51 acres of the University's land (note: this 0.51 acres is referred to herein as "the Parcel").

The University originally acquired the land in 2012 in a land swap with the Farmville Baptist Church. The acquisition removed the possibility of storm water run-off generated by any future development at the intersection from contaminating the adjacent College of Agriculture fisheries research ponds. There is no current or intended future use for the Parcel, which is completely wooded property with no improvements. ALDOT has worked with University engineers to ensure the traffic circle design will direct water away from the research ponds.

The Board of Trustees Policy D-7, Real Estate Sale and Lease Policy, allows for the sale of property when it is in the best interest of the University and when the use of the existing property is required for other public purposes. Transfers of property between state departments and state universities are exempt from state bidding requirements.

The conveyance of the Parcel to ALDOT will benefit the University, in that it will provide safer access to the main campus for its employees, students, and visitors.

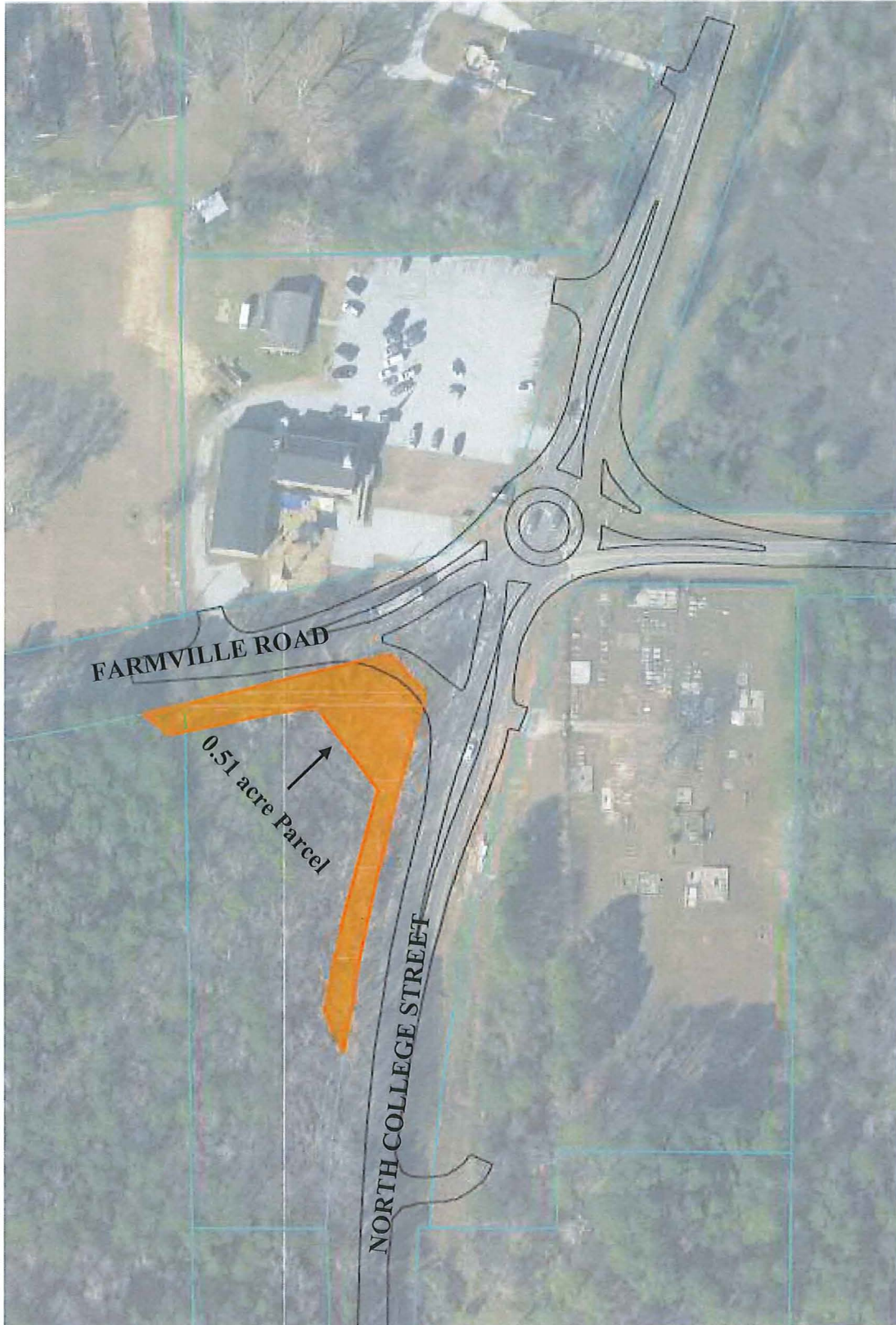
As required by Board Policy, the Parcel was appraised by an MAI appraiser at \$40,000/acre, for a total value of \$20,400. ALDOT intends to purchase the Parcel at that price and plans to execute the North College Street and Farmville Road Improvements project beginning in the summer of 2020.

The Dean for the College of Agriculture, the Associate Vice President for Facilities, and Director of Real Estate recommend approval of this transaction and request your consideration whether it should be presented to the Board of Trustees through the Property and Facilities Committee at the meeting scheduled for November 22, 2019.

It is recommended that the University convey the 0.51-acre Parcel to ALDOT in order to facilitate ALDOT's construction of a traffic circle at the intersection of North College Street and Farmville Road, which will enhance the safety of an important thoroughfare to campus and surrounding areas.

Exhibit 1

North College Street and Farmville Road Improvements Conveyance



PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

SKYWAY DRIVE, PROPERTY EXCHANGE

WHEREAS, Auburn University owns certain real property located at 3410 Skyway Drive, which consists of approximately 12.63 acres located in the City of Auburn, as depicted in Exhibit 1; and

WHEREAS, Scott Land Company, Inc. (Scott), owns property immediately west of the University's property and seeks to construct a new building on its land; and

WHEREAS, Scott has proposed to exchange a 2.9-acre portion of its property (labeled Parcel B on Exhibit 1 hereto) for a 0.33-acre portion of the University's property (labeled as Parcel A on Exhibit 1 hereto), in order to facilitate Scott's development of the building; and

WHEREAS, Board of Trustees Policy D-8, Real Property Exchange Policy, allows the exchange of real property when the transaction is in the best interest of the University, and the existing University property is no longer required to carry out its mission, so long as the value of the property to be acquired by the University is equal to or exceeds the value of the property to be conveyed; and

WHEREAS, the University has no current or future intended use of the 0.33-acre portion of its property, and acquisition of the 2.9-acre parcel would be in the best interests of the University by expanding a buffer zone between the Auburn University Regional Airport and surrounding property; and

WHEREAS, as determined by MAI appraisal, the fair market values of the two parcels to be exchanged are equivalent, with no cash to be exchanged between the parties.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the real property exchange described herein is approved, upon the terms stated above, and that Jay Gogue, Interim President, or such person as may be acting as President, is hereby authorized and empowered to execute such agreements and other documents as are necessary to effectuate the exchange of the University's 0.33 acres (Parcel A) for Scott Land Company, Inc.'s 2.9 acres (Parcel B), with the understanding that all instruments required for consummation of this transaction be reviewed as to form by legal counsel for Auburn University.

ACREAGE TOTALS			
OWNER	ORIGINAL (ac)	GAIN (ac)	LOSS (ac)
SCOTT AND COMPANY	6.2	0.27	2.5
AUBURN UNIVERSITY	11.8	2.5	0.27
			14.4

LOCATION MAP

ACREAGE TOTALS				
OWNER	ORIGINAL (ac)	GAIN (ac)	LOSS (ac)	REMAINING (ac)
CITY AND COUNTY	6.2	0.0	2.8	3.4
AUBURN UNIVERSITY	11.8	2.5	0.0	14.4

TO: JAY GOGUE, Interim President *JHG*
THROUGH: RONALD BURGESS, Executive Vice President *R23*
VIA: DAN KING, Associate Vice President for Facilities *Due 2:17 11/6/19*
FROM: MARK STIRLING, Director of Real Estate *MS*
SUBJECT: PROPERTY AND FACILITIES COMMITTEE
SKYWAY DRIVE, PROPERTY EXCHANGE
DATE: NOVEMBER 6, 2019

This is to request that the following item be presented to the Board of Trustees through the Property and Facilities Committee and be included on the agenda of the meeting scheduled for November 22, 2019.

Proposal:

Scott Land Company, Inc. (Scott) has proposed an exchange of real property with the University. Scott proposes to exchange approximately 2.9 acres it owns at 3414 Skyway Drive in Auburn (Parcel B) for approximately 0.33 acres owned by the University (Parcel A). The two parcels are located on adjacent properties, as depicted on Exhibit 1 to the corresponding resolution. Scott has proposed this exchange to facilitate its construction of a new building.

Review and Consultation:

The AU Parcel is a small portion of the University's property at 3410 Skyway Drive in Auburn, which is adjacent to the Auburn University Regional Airport. The University's property consists of 12.63 acres and includes two buildings that house various Ginn College of Engineering student labs, Agrisciences Educational Printing, Future Farmers of America, and the Offsite Library Archiving Facility.

Scott owns the property immediately to the west of the University's property, which currently is vacant. Scott is planning to construct a new building on its property for use by the Alabama State Department of Revenue. The footprint of the proposed building exceeds the capacity of the existing Scott property. In order to construct the building, Scott would require Parcel A along the southern portion of the adjacent University property. In exchange for Parcel A, Scott would convey Parcel B, which is 2.9 acres located on the north side of the boundary between the University and Scott properties.

Parcel A is currently vacant and undeveloped. The University does not intend to develop or utilize Parcel A. Acquisition of the Parcel B, which also is vacant, would benefit the University by providing an additional buffer zone near the airport.

Under Board of Trustees Policy D-3, Real Property Exchange Policy, an exchange of real property may be approved if it is in the best interest of the University and when the use of the existing University property is no longer required to carry out its mission, so long as the value of the property to be acquired by the University is equal to or greater than the value of the property to be

conveyed. The exchange of real property of equivalent values is exempt from state bidding requirements.

Consistent with Board Policy, the property offered for exchange was appraised by a MAI appraiser. The Scott parcel appraised at \$20,345/acre. The University parcel appraised at \$50,000. The University Parcel carries a higher per acre value due to its adjacency to the street and its potential for development. No money will be exchanged between the parties. To make the exchange of equal value, Scott will provide the 2.9-acre Parcel B in exchange for the 0.33-acre Parcel A.

The Executive Vice President, Provost, Associate Vice President for Facilities, and Director of Real Estate recommend approval of this proposal and request your consideration whether it should be presented to the Board of Trustees through the Property and Facilities Committee at the meeting scheduled for November 22, 2019.

It is recommended that the University accept Scott's offer to exchange property as described in this memorandum. The exchange would be in the best interest of the University, involves a parcel that the University owns but does not intend to develop or use, and would result in the University acquiring an additional buffer area for the airport. The exchange also would involve parcels of equivalent value, as required by Board Policy.

Skyway Drive Property Exchange



PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

AUTHORITY TO ENGAGE A REAL ESTATE BROKER TO MARKET
UNIVERSITY LAND IN SHORTER, ALABAMA

WHEREAS, the Auburn University E.V. Smith Research Center ('Research Center'), located in Shorter, Alabama, engages in a comprehensive program of agricultural research and related educational activities, in support of the University's missions of extension and outreach; and

WHEREAS, the Research Center's campus includes approximately fifty-five acres of vacant University-owned land located at the US Interstate 85 and Alabama Highway 229 (depicted as Highway Site East and Highway Site West in Exhibit 1) intersection; and

WHEREAS, neither the University nor the Research Center currently utilizes the Highway Site East or the Highway Site West for their own operations, nor do they intend to do so in the foreseeable future; and

WHEREAS, leasing of all or portions of the two Highway Sites to appropriate parties may generate revenue and other benefits that could support Research Center operations;

WHEREAS, Alabama law permits the University to offer real property for lease by listing it with a duly-licensed real estate broker, provided that the institution determines that this procedure is economically justified and in the best interest of the University; and

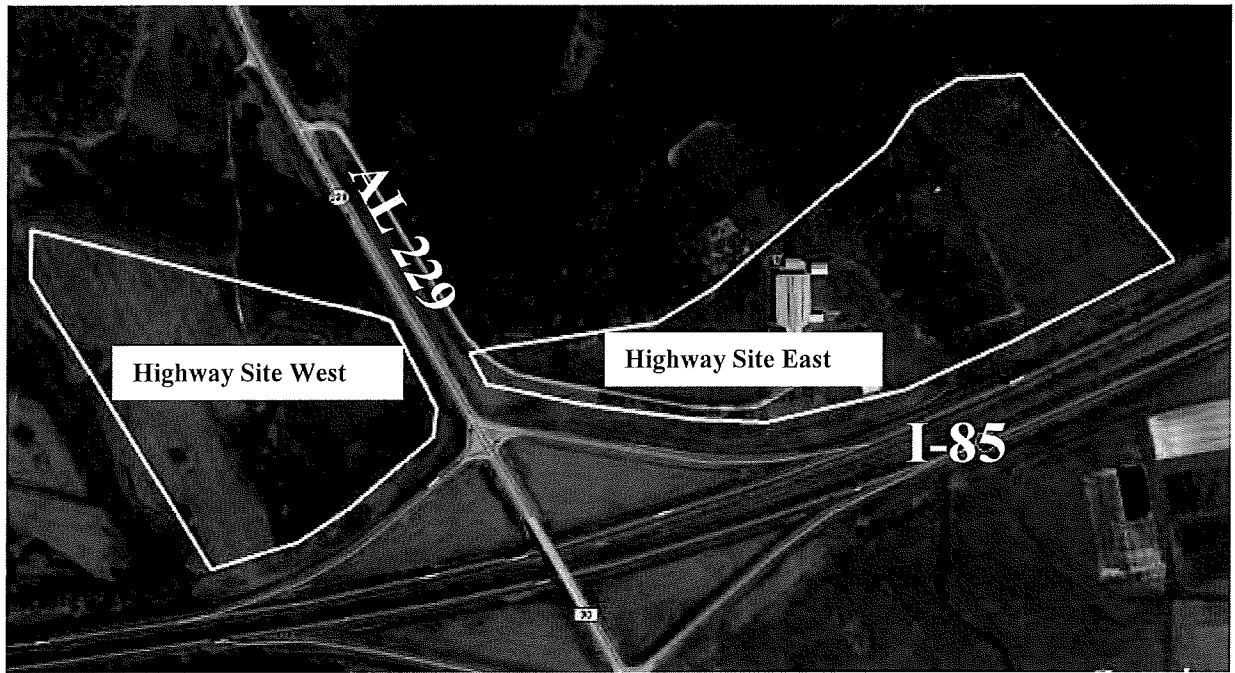
WHEREAS, the University proposes to hire a real estate broker to market these sites since it is likely that marketing this property through an appropriate broker will result in better lease opportunities than if advertised through an ordinary auction or bid process; and

WHEREAS, any proposed lease arrangements resulting from the broker engagement shall be presented to the Board of Trustees for its review and approval prior to execution.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that, given the unique location of the Highway Sites and possible commercial and retail opportunities there, it is economically justified and in the best interest of the University to engage a duly-licensed real estate broker to market and identify opportunities to lease the two Highway Sites.

BE IT FURTHER RESOLVED that Jay Gogue, Interim President, or such person as may be acting as President, or his designee, is hereby authorized and empowered to engage a duly-licensed real estate broker to market and solicit lease offers for all or portions of the two Highway Sites, consistent with customary broker practices and Alabama law, provided that any proposed lease arrangements must be reviewed and approved by the Board of Trustees prior to execution of the leases.

Exhibit 1
E.V. Smith Research Center



TO: JAY GOGUE, Interim President
THROUGH: RONALD BURGESS, Executive Vice President
VIA: DAN KING, Associate Vice President for Facilities
FROM: MARK STIRLING, Director of Real Estate
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**AUTHORITY TO ENGAGE A REAL ESTATE BROKER TO MARKET
UNIVERSITY LAND IN SHORTER, ALABAMA**

DATE: NOVEMBER 6, 2019

This memorandum is to request that the following item be presented to the Board of Trustees, through the Agriculture Committee & Property and Facilities Committee, and be included on the agenda of the meeting scheduled for November 22, 2019.

Proposal:

It is proposed that Board of Trustees authorize the President or designee to engage a real estate broker to market and seek opportunities to lease certain University property near the E. V. Smith Research Center (EVSRC), in order to generate revenue to support the Research Center's operations. Any proposed lease arrangements generated by the broker would be brought to the Board for its review and approval before lease execution.

Review and Consultation:

The College of Agriculture and the Alabama Agricultural Experiment Stations oversee the operation of the majority of property owned by Auburn University, totaling approximately 28,000 acres. The University has entered into leases on a number of the properties when the leases did not interfere with the primary function of the land. The leases provide additional revenue to help support the outlying units and to help offset the cost of operation and maintenance. In addition, the presence of tenants provides additional oversight and security at the otherwise-vacant sites.

The E.V. Smith Research Center (EVSRC) is located in Macon County along US Interstate 85 at exit 26 (Tallasse exit) in Shorter, Alabama. The proposed property to be marketed is located on the north side of the exit and is split into two blocks by AL Hwy 229, (depicted as Highway Site East and Highway Site West in Exhibit 1). The Highway Site East property consists of approximately 30 acres of land and the existing facilities that once comprised the EVSRC Dairy Unit milking facility. The Highway Site West property contains approximately 25 acres that are above flood plain and suitable for development. Neither property is suitable for agricultural cultivation due to either existing structures or soil degradation related to the construction of the exit in the 1985. Both properties have road frontage on I-85 and AL 229.

Dairy operations ceased in the summer of 2014 with the closure of the dairy and sale of all of the cattle and milking infrastructure. Attempts were made to find alternative uses for the facilities, but the sloped-floor design of the dairy milking parlor and free-stall barn limit potential occupants.

Shortly after the dairy closure, discussions were held with administration and faculty from the School of Fisheries, Aquaculture and Aquatic Sciences related to an production scale, indoor fish and aquaponics facility. While the location and facilities held promise, estimates for costs of renovation exceeded two million dollars and discussions were terminated. Administration and faculty of the CoA Department of Animal Sciences evaluated the site for the AU Bull Test but due to the intersecting highway and flood-prone nature of land to the west, it was determined that there is not enough land suitable for grazing. The EVSRC Farm Services Unit maintains the areas, but there are no research or production operations conducted on either of the aforementioned sites.

Management of the EVSRC would like to pursue options for potential long-term lease of the two areas described above for generating revenue for the Research Center. Ideally, potential leasees would be involved in the agriculture industry, which may benefit both the Research Center and the community. In the absence of such tenants, appropriate enterprises that benefit the cities of Shorter and Tallassee would be preferred.

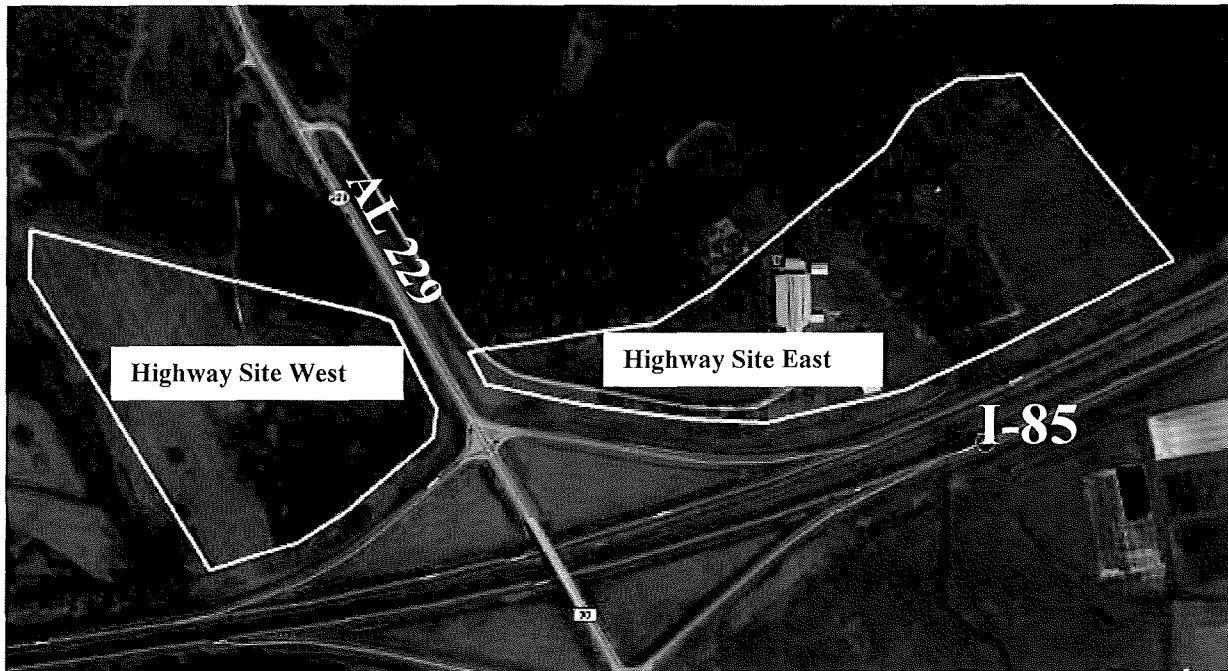
Under Alabama law, the University may offer real property for lease by listing it with a duly licensed real estate broker, if the institution determines that this procedure is economically justified and in the best interest of the University.

Dean of the College of Agriculture, Director of Research Operations, and the Director of the E. V. Smith Research Extension Research Center propose to hire a broker to market these sites and solicit acceptable offers to lease all or a portion of those sites for fair market value. Although these sites are located in an area that generally is commercially underdeveloped, their proximity to I-85 may yield interesting offers from a variety of businesses. Given the nature of those sites, and given that possible lessees include sophisticated commercial and retail businesses, it is likely that marketing them through an appropriate broker will result in better lease opportunities than if advertised through an ordinary auction or bid process. Any future lease proposal will be brought back to the Board of Trustees for approval.

Therefore, it is recommended by the Dean of the College of Agriculture that the President be authorized to execute a professional services contract to engage a real estate broker to assist in the marketing of the property described herein. If you concur, it is requested that you recommend this proposal to the Board of Trustees through the Agriculture Committee and Property & Facilities Committee for action.

It is recommended that a real estate broker be engaged to assist in marketing and exploring potential leases of University property at the E.V. Smith Research Center, for the reasons described above. Any proposed lease arrangements will be submitted to the Board for its review and approval before leases are signed. Revenue generated from any such leases will be applied to the operation and maintenance needs of the Research Center.

Exhibit 1
E.V. Smith Research Center



TO: JAY GOGUE, Interim President
THROUGH: RONALD BURGESS, Executive Vice President
FROM: DAN KING, Associate Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**CURRENT STATUS OF NEW CONSTRUCTION/RENOVATION/
INFRASTRUCTURE PROJECTS WITH BUDGETS OF \$1,000,000 AND GREATER
(FOR INFORMATION ONLY)**

DATE: NOVEMBER 6, 2019

This is to request that the following proposal be submitted to the Property and Facilities Committee and included on the agenda of the Board of Trustees meeting scheduled for November 22, 2019.

Proposal:

Consistent with standing practice, it is proposed that the current status report of new construction/renovation/infrastructure projects with budgets greater than \$1,000,000 be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

Review and Consultation:

The Board of Trustees at its meeting on June 4, 2001, requested that it receive a regular update on the financial status of Board approved projects. The attached list includes projects at Auburn University and outlying units.

Rationale for Recommendation:

Consistent with the request of the Board of Trustees for a current status report of new construction/renovation/infrastructure projects with budgets greater than \$1,000,000, the attached listing is provided, *for information only*, to the Board through the Property and Facilities Committee for inclusion on the agenda of the meeting scheduled for November 22, 2019.

Data as of 10/01/2019

Auburn University Facilities Management

Current Capital Projects

(Spending across Multiple Years)

Summary of Cash Flow by Project Phase

<i>Project Phase</i>	<i>Previous Approved Budget Amount</i>	<i>Current Approved Budget Amount</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2020</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2021 & Forward</i>
Substantial Completion	314,950,000	305,850,000	293,206,421	9,844,266	972,026 *
Construction	238,400,000	165,800,000	40,394,019	85,365,383	39,040,598 *
Design	134,800,000	146,900,000	8,808,659	82,473,525	55,617,816
Planning	1,880,970	10,419,982	555,827	9,422,516	25,639 *
Totals	690,030,970	628,969,982	342,964,926	187,105,690	95,656,079 *
Other Open Capital Projects	79,843,782	78,948,755	39,025,707	15,969,219	23,953,829
Grand Totals	769,874,752	707,918,737	381,990,633	203,074,909	119,609,908 *

* On the lines with asterisks, the sum of the spending columns does not equal the current approved budget figure. The reason relates to one closed project: (1) "Poultry Science Research & Education Center - New Administrative Building" project under budget by \$27,287. The difference is also due to three open projects: (1) "Jordan-Hare Stadium - 3rd & 4th Levels, East Suite Renovations" project under budget by \$1.8M; (2) "Village Dining Facility Renovation" project under budget by \$1M; (3) "Haley Center - Renovations for Relocation of Mathematics & Statistics Department" project under budget by \$416k.

Current Capital Projects

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	(A)		AU Funding (includes gifts/grants)	AU Bond Funding	Federal/ State or Local Funding	(B)		Estimated Spending Assuming Remainder of Budget for FY2021 & Forward	(C)	(A)-(B)-(C)	
		Original Approved Budget Amount	Current Approved Budget Amount				Actual Spending to Date (across multiple years)	Estimated Spending Assuming Remainder of Budget for FY2020		Current Encum- brances Against Project	Current Open Balance (Budget less Actuals and Encum- brances)	
SUBSTANTIAL COMPLETION PHASE												
Poultry Science Research & Education Ctr - New Administrative Building 16-040	COMPLETED	2,950,000	2,950,000	2,950,000			2,922,713	0	0	0	27,287	*
Risk Management and Safety Facility - New Building 15-150	Substantial Completion	2,800,000	2,800,000	2,800,000			2,636,543	30,000	133,457	0	163,457	
School of Nursing Facility 15-035	Substantial Completion	29,000,000	29,000,000	29,000,000			28,848,694	10,000	141,306	0	151,306	
AU Rec. & Wellness Ctr - Basement, Build-Out For Personal Training & Weightlifting Center 16-249	Substantial Completion	2,900,000	2,900,000	2,900,000			2,871,985	0	28,015	2,672	25,343	
President's House Renovation 14-296	Substantial Completion	11,051,195	15,200,000	15,200,000			14,923,240	50,000	226,760	55,467	221,293	
Gavin Engineering Research Laboratory - Comprehensive Renovations 14-308	Substantial Completion	16,750,000	18,000,000	18,000,000			17,926,454	73,546	0	39,024	34,522	
Mell Street, West Samford Avenue, & Thach Avenue Traffic & Parking Improvements 15-311	Substantial Completion	4,000,000	4,000,000	4,000,000			3,937,742	0	62,258	26,590	35,668	
North Auburn - New Fisheries Biodiversity Research Laboratory 16-486	Substantial Completion	2,100,000	2,100,000	2,100,000			1,806,648	0	293,352	5,748	287,604	
AU Regional Airport - Aviation Education Facility 13-285	Substantial Completion	8,700,000	8,700,000	3,700,000		5,000,000	8,655,422	44,578	0	22,423	22,155	
Leach Science Ctr - Building Expansion & Partial Renovation 15-208	Substantial Completion	19,000,000	24,000,000	24,000,000			23,101,846	898,154	0	798,488	99,666	

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	(A)		AU Funding (includes gifts/grants)	AU Bond Funding	Federal/ State or Local Funding	(B)		Estimated Spending Assuming Remainder of Budget for FY2021 & Forward		(C)	(A)-(B)-(C)
		Original Approved Budget Amount	Current Approved Budget Amount				Actual Spending to Date (across multiple years)	Estimated Spending Assuming Remainder of Budget for FY2020			Current Encumbrances Against Project	Current Open Balance (Budget less Actuals and Encumbrances)
Brown-Kopel Engineering Achievement Center 15-157	Substantial Completion	39,750,000	44,000,000	44,000,000			43,298,767	701,233	0		365,138	336,095
AU Equestrian Center - New Equestrian Team Support Building & Barn 17-088	Substantial Completion	5,000,000	5,000,000	5,000,000			4,683,122	280,000	36,878		254,503	62,375
Campus Utility System Expansion	Substantial Completion	6,500,000	6,500,000	6,500,000			6,397,846	102,154	0		0	102,154
Jordan-Hare Stadium - 3rd & 4th Levels, East Suite Renovations 18-100	Substantial Completion	4,500,000	4,500,000	4,500,000			2,275,113	424,887	0		105,450	2,119,437 *
Graduate Business Education Bldg 14-044	Substantial Completion	30,000,000	45,000,000	45,000,000			42,884,551	2,115,449	0		932,444	1,183,005
South College Street Parking Deck 18-071	Substantial Completion	15,900,000	15,900,000	15,900,000			14,610,272	1,289,728	0		851,864	437,864
North Auburn - New Poultry Infectious Disease Biocontainment Facility 16-485	Substantial Completion	2,700,000	2,700,000	2,700,000			2,435,805	264,195	0		85,618	178,577
Performing Arts Center - New Building 15-158	Substantial Completion	65,000,000	69,600,000	69,600,000			67,083,890	2,466,110	50,000		1,989,519	526,591
Auburn Arena - Men's Locker Room & Practice Gymnasium, Renovation & Expansion 17-119	Substantial Completion	3,000,000	3,000,000	3,000,000			1,905,768	1,094,232	0		864,949	229,283
Total Substantial Completion			305,850,000	300,850,000	0	5,000,000	293,206,421	9,844,266	972,026		6,399,897	6,243,682 *

Current Capital Projects

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>		<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i>		<i>Estimated Spending Assuming Remainder of Budget for FY2021 & Forward</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2020</i>	<i>(C)</i>		<i>(A)-(B)-(C)</i>
			<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>			<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2020</i>			<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>	
CONSTRUCTION PHASE													
Goodwin Hall - Renovation & Band Rehearsal Hall Addition 15-255	Construction	5,500,000	7,500,000	7,500,000			5,065,454	2,409,546	25,000		1,697,660	736,886	
Student Activities Center - Auditorium, Renovation & Upgrades 15-321	Construction	7,000,000	7,000,000	7,000,000			4,779,423	1,000,000	1,220,577		736,997	1,483,580	
Lem Morrison Dr - Recreation Field Expansion 18-028	Construction	17,000,000	17,000,000	17,000,000			8,161,434	8,738,566	100,000		7,672,835	1,165,731	
Miller Poultry Center - Poultry Farm Relocation Project (Phases III-VI) 17-302	Construction	22,000,000	22,000,000	22,000,000			8,307,120	13,692,880	0		11,925,129	1,767,751	
Village Dining Facility Renovation 18-446	Construction	3,800,000	3,800,000	3,800,000			1,739,786	1,060,214	0		334,237	1,725,977	*
Campus Parking Expansion (Phase I) - West Campus & Hay Fields 19-037	Construction	3,500,000	3,500,000	3,500,000			1,584,986	1,515,014	400,000		949,314	965,700	
Advanced Structural Testing Laboratory 17-255	Construction	22,000,000	22,000,000	10,000,000		12,000,000	2,050,837	19,949,163	0		15,988,107	3,961,056	
Academic Classroom & Laboratory Complex 15-034	Construction	83,000,000	83,000,000		82,071,760	928,240	8,704,979	37,000,000	37,295,021		5,201,375	69,093,646	
Total Construction			165,800,000	70,800,000	82,071,760	12,928,240	40,394,019	85,365,383	39,040,598		44,505,654	80,900,327	*

Current Capital Projects

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>		<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i>		<i>Estimated Spending Assuming Remainder of Budget for FY2021 & Forward</i>	<i>(C)</i>	<i>(A)-(B)-(C)</i>	
			<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>			<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2020</i>		<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>	
DESIGN PHASE												
Moore Softball Complex - New Player Development Building & Seating Expansion 17-197	Bidding	4,000,000	4,000,000	4,000,000			461,095	3,008,069	530,836	2,849,762	689,143	
Tony & Libba Rane Culinary Science Center - New Building 16-370	Bidding	95,400,000	95,400,000	53,000,000	42,400,000		5,844,745	45,000,000	44,555,255	1,426,565	88,128,690	
Central Dining Facility 17-049	Design	26,000,000	26,000,000	26,000,000			1,555,181	17,111,373	7,333,446	0	24,444,819	
Plainsman Park Player Development Improvements 18-200	Construction Document	4,000,000	4,000,000	4,000,000			282,882	3,159,550	557,568	116,308	3,600,810	
Northeast Campus Utility Expansion 18-481	Bidding	5,400,000	5,400,000	5,400,000			183,340	4,694,994	521,666	658,461	4,558,199	
Auburn Research Park - Infrastructure Expansion 19-016	Bidding	11,000,000	11,000,000	11,000,000			404,777	8,476,178	2,119,045	120,609	10,474,614	
AG Heritage Park - ALFA Pavilion, Renovations & Refurbishments 18-313	Bidding	1,100,000	1,100,000	1,100,000			76,639	1,023,361	0	683,514	339,847	
Total Design			146,900,000	104,500,000	42,400,000	0	8,808,659	82,473,525	55,617,816	5,855,219	132,236,122	

Current Capital Projects

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>		<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i>		<i>Estimated Spending Assuming Remainder of Budget for FY2021 & Forward</i>	<i>(C)</i>	<i>(A)-(B)-(C)</i>	
			<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>			<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2020</i>		<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>	
PLANNING PHASE												
Haley Center - Renovations For Relocation Of Mathematics & Statistics Department 16-110	Hold	500,000	500,000	500,000			83,610	390	0	265,001	151,389	*
Jordan-Hare Stadium North Endzone Videoboard Improvements 17-038	Hold	153,820	153,820	153,820			128,181	0	25,639	4,485	21,154	
College of Education Building - New Facility 18-538	Pre-design	316,162	316,162		316,162		250,076	66,086	0	2,398	63,688	
Chilled Water System Expansion - New CW Plant At South Campus 18-444	Schematic-design	250,000	250,000		250,000		93,960	156,040	0	0	156,040	
Jordan-Hare Stadium East Suite Renovations - Phase II	Schematic-design	9,200,000	9,200,000	9,200,000			0	9,200,000	0	0	9,200,000	
Total Planning			10,419,982	9,853,820	566,162	0	555,827	9,422,516	25,639	271,884	9,592,271	*

Current Capital Projects

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>		<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i>		<i>Estimated Spending Assuming Remainder of Budget for FY2021 & Forward</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2020</i>	<i>(C)</i>		<i>(A)-(B)-(C)</i>
			<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>			<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2020</i>			<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>	
Other Open Capital Projects	Various Stages		78,948,755	77,396,202	0	1,552,553	39,025,707	15,969,219	23,953,829		15,134,317	24,788,731	
GRAND TOTAL			707,918,737	563,400,022	125,037,922	19,480,793	381,990,633	203,074,909	119,609,908		72,166,971	253,761,133	*

* On the lines with asterisks, the sum of the spending columns does not equal the current approved budget figure. The reason relates to one closed project: (1) "Poultry Science Research & Education Center - New Administrative Building" project under budget by \$27,287. The difference is also due to three open projects: (1) "Jordan-Hare Stadium - 3rd & 4th Levels, East Suite Renovations" project under budget by \$1.8M; (2) "Village Dining Facility Renovation" project under budget by \$1M; (3) "Haley Center - Renovations for Relocation of Mathematics & Statistics Department" project under budget by \$416k.

TO: JAY GOGUE, Interim President
THROUGH: RONALD BURGESS, Executive Vice President
FROM: DAN KING, Associate Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**QUARTERLY REPORT FOR PROJECTS COSTING MORE THAN \$500,000
BUT LESS THAN \$1,000,000 – 4th QTR FISCAL YEAR 2019
(FOR INFORMATION ONLY)**

DATE: NOVEMBER 6, 2019

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda of the meeting scheduled for November 22, 2019.

Proposal:

The Board of Trustees, at its meeting on April 11, 2014, adopted a resolution stipulating that all projects with a total cost in the range of \$500,000 to \$1,000,000 be reported quarterly. The intent of this report is to keep the Property and Facilities Committee informed of those projects as they are occurring on campus. Consistent with standing policy, it is proposed that this report be submitted for information only.

Review and Consultation:

Projects initiated in the 4th Quarter of Fiscal Year 2019 and costing more than \$500,000 but less than \$1,000,000 are listed in the following table.

4 th Quarter FY 2019 Projects \$500,000 - \$1,000,000	Project Number	Account Number	Cost
Parkerson Mill Creek - New Greenway From Samford Avenue To New Recreation Fields	19-169	923433-102048-P100	\$875,000

Rationale for Recommendation:

Consistent with standing policy, this report is submitted to the Board of Trustees through the Property and Facilities Committee for information at the meeting scheduled for November 22, 2019.



FACILITIES MANAGEMENT
M E M O R A N D U M

TO: JAY GOGUE, Interim President
THROUGH: RONALD BURGESS, Executive Vice President
FROM: DAN KING, Associate Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

PROJECT STATUS REPORT

DATE: NOVEMBER 6, 2019

This memorandum requests the following information be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for November 22, 2019.

Proposal:

It is proposed that a brief report regarding the status of Board of Trustees approved capital projects be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

Review and Consultation:

The responsibility of the Board of Trustees extends to the oversight of funding, planning, design, construction, maintenance and operation of University buildings and facilities. Since a project can often take several years to execute, as it progresses through the planning, initiation, design, and construction phases of the project, this report is intended to continually inform the Board of Trustees of the status of previously approved projects.

PROJECT/PHASE**STATUS****Projects in Planning Stage:**

- University Student Housing – Phase I

Initiated September 2019

Projects in Design Stage:

- Campus Utilities System Expansion Improvements – New Chilled Water Plant
- College of Education Building
- Football Performance Center

Initiation Approved

Initiated June 2015

Initiated November 2018

Initiated September 2019

Projects with Final Board of Trustees Approval:

- Tony and Libba Rane Culinary Science Center
- Northeast Campus Utility Expansion
- Jordan-Hare Stadium East Suite Renovations – Phase II

Final Approval

Approved February 2019

Approved February 2019

Approved September 2019

Projects in Construction Stage:

- Goodwin Hall Addition -Band Building Phase III
- Plainsman Park Player Development Improvements
- Moore Softball Player Development Improvements
- Recreation Field Expansion
- Poultry Research Farm Unit Relocation Phases III – VI
- Advanced Structural Testing Laboratory
- Academic Classroom & Laboratory Complex
- Central Dining Facility
- Village View Dining Facility Renovations
- ARTF Infrastructure Expansion
- Ag Heritage Park ALFA Pavilion

Approved April 2017

Approved April 2018

Approved April 2018

Approved June 2018

Approved September 2018

Approved February 2019

Approved February 2019

Approved February 2019

Approved April 2019

Approved September 2019

Approved September 2019

Projects Recently Completed:

- Jordan-Hare Stadium East Suite Renovations – Phase I
- Brown-Kopel Engineering Student Achievement Center
- Horton Hardgrave Hall
- Gogue Performing Arts Center
- Poultry Infectious Disease Biocontainment Research
- Campus Parking Expansion – Phase I
- Auburn Arena Locker Room Renovation
- Student Activities Center Renovation

Completed July 2019

Completed August 2019

Completed September 2019

Completed September 2019

Completed September 2019

Completed September 2019

Completed September 2019

Completed October 2019

FINANCE COMMITTEE

RESOLUTION

PROPOSED AUBURN UNIVERSITY HOUSING MAIN CAMPUS RATES FOR 2020-2021 ACADEMIC YEAR

WHEREAS, residence halls, operated by Auburn University Housing (AUH), are provided on the Auburn University main campus as a convenience and service to our undergraduate students; and

WHEREAS, the administration regularly reviews housing operations to assure that it is responsive to student needs and that it continues to be fiscally sound and self-supporting; and

WHEREAS, AUH needs to increase a reserve for deferred maintenance, increased debt retirement commitments, rising operational costs, anticipated renovations, programming, new construction, and non-recurring expenditures; and

WHEREAS, AUH leadership has proposed a housing rate increase in response to those demands and these recommendations are endorsed by the President.

NOW THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Dr. Jay Gogue, Interim President, or such person as may be acting as President, be and the same is hereby authorized to affect the proposed student housing rates for Auburn University main campus, as displayed on Attachment A to this resolution, with the new rates becoming effective with the 2020 fall semester.

Attachment A



UNIVERSITY HOUSING STUDENT AFFAIRS

Proposed Semester Rental Rates for Academic Year 2020-2021

Area	Room Type	# of Rental Beds	Current 2019-20	Proposed 2020-21
Cambridge	Single	0	\$ 4,440	\$ 4,530
	Double	298	\$ 3,620	\$ 3,690
Cambridge Total		298		
Hill	Single	23	\$ 3,830	\$ 3,910
	Double	1426	\$ 3,060	\$ 3,120
Hill Total		1449		
Quad	Single	31	\$ 4,440	\$ 4,530
	Double	902	\$ 3,620	\$ 3,690
Quad Total		933		
South Donahue	Single	4	\$ 5,920	\$ 6,040
	Double	378	\$ 5,810	\$ 5,930
	Quad	36	\$ 5,810	\$ 5,930
South Donahue Total		418		
Village	Single	27	\$ 4,900	\$ 5,000
	Double	90	\$ 4,900	\$ 5,000
	Triple	63	\$ 4,690	\$ 4,780
	Quad	1478	\$ 4,690	\$ 4,780
Village Total		1658		
AUH Rentable Bed Total		4756		
Semester Average Cost			\$ 4,595	\$ 4,687
*Summer Rate: (fall rate + spring rate)/3				
**Mini-mester Rate: (summer rate)/2				



UNIVERSITY HOUSING
STUDENT AFFAIRS

MEMORANDUM

*Send to
Jan Wiggins*

TO: DR. JAY GOGUE, AUBURN UNIVERSITY INTERIM PRESIDENT *[Signature]*
THROUGH: DR. BOBBY WOODARD, SENIOR VICE PRESIDENT FOR STUDENT AFFAIRS *[Signature]*
THROUGH: DR. BRYAN RUSH, ASSOCIATE VICE PRESIDENT OF CAMPUS LIVING *[Signature]*
FROM: DR. KEVIN HOULT, DIRECTOR, UNIVERSITY HOUSING AND RESIDENCE LIFE *[Signature]*
SUBJECT: HOUSING RENTAL RATES
DATE: OCTOBER 15, 2019

For the 2020-21 academic year, Auburn University Housing (AUH) is proposing an overall annual 2% housing rate increase with each rate rounded to the next zero. The proposed rental rates are based on AUH's commitment to sound fiscal management. As we continue planning for the future of campus housing, rental rates will play an essential role in ensuring financial self-sustainability. Collectively, the proposed rate increases will be allocated to better position AUH for any new developments, renovation projects, preventive/planned maintenance needs and increase AUH's reserves.

As Auburn University Housing continues to enhance our facilities and the residential experience for our students, your careful consideration of this proposal is greatly appreciated. With your assistance, our department aspires to provide the best possible campus living experience for our students. We appreciate your time in reviewing this proposal. If you have any questions or need additional information, please do not hesitate to contact me.

FINANCE COMMITTEE

PROPOSED TUITION INCREASE FOR AUBURN UNIVERSITY MAIN CAMPUS AND
AUM

(Information forthcoming from CFO AND VICE PRESIDENT KELLI SHOMAKER)

AUBURN UNIVERSITY AT MONTGOMERY COMMITTEE AND
ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED CHANGES TO THE AUM FACULTY PERSONNEL POLICIES

WHEREAS, the Auburn University at Montgomery *Faculty Handbook* includes personnel policies applicable to its faculty; and

WHEREAS, pursuant to its By-Laws, the Board defines policies related to appointment, promotion, tenure, and dismissal of faculty, while the Chancellor is responsible for development and approval of procedures to implement those policies; and

WHEREAS, as part of a robust process of shared governance, the AUM Faculty Senate, Faculty Council, and the Provost have recommended, and the Chancellor has approved, changes to AUM's faculty personnel policies that are reflected in Exhibit A attached hereto; and

WHEREAS, the proposed changes reflect appropriate modifications and clarifications regarding faculty appointments, promotion and tenure requirements, and other faculty personnel matters.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of Auburn University approves the revised faculty personnel policies and procedures of the AUM *Faculty Handbook*, as reflected in Exhibit A to this resolution.

Exhibit A
AUM Part IV
Faculty Personnel Policies and Procedures

Page 1

A. KINDS OF APPOINTMENT

"Each member of the faculty employed at Auburn University at Montgomery is appointed in one of four ~~three~~ classifications: Temporary, Non-tenure Track, Probationary Tenure-track, and ~~or~~ Tenured. (Throughout Part IV, references to "Department Chair" shall refer to individuals acting in the capacity of unit heads.)"

2. Non-tenured Track

These appointments are on an annual basis. These appointments are renewable depending upon availability of funds, the need for services, and satisfactory performance. Individuals in these appointments are not eligible for tenure or professional improvement leave with pay. These individuals may also be assigned to participate in other academic activities, such as advising, or service on committees in the division, department, college, and university. They are under the supervision of the department chair and have all of the benefits and privileges of faculty appointment except tenure and professional improvement leave. These privileges include membership in the Faculty Council. These positions are not intended as substitutes for resident, post-doctoral, or regular faculty positions. Neither are they used for extending the time frames associated with temporary, probationary, or tenured positions or as alternatives should regular appointment to such positions be inappropriate for whatever reason. Movement from a non-tenure track to tenure-track faculty may only occur through normal, faculty approved, Department Chair and Dean approved, Provost approved, and AA/EEO approved tenure-track recruitment.

Page 2

4. Tenured Appointments

Tenured appointments normally are assigned to faculty who have completed an appropriate Probationary period and who, following recommendation and review in accordance with University policy and approval by the Chancellor ~~President~~, have been awarded tenure. Only tenured faculty are eligible for professional improvement leave with pay. The Chancellor ~~University President~~ may offer tenure status to a faculty member at the time of initial appointment to Auburn University at Montgomery provided that:

- a. Tenure has been earned at another institution; and
- b. Such appointment is made at the rank of Associate Professor or Professor; and
- c. Tenure status has received approval through the ~~usual~~ tenure review process as set forth in this handbook. (The time of this review process may be altered from the normal deliberations on tenure.)

A. APPOINTMENT TO THE FACULTY

Recommendations for faculty appointments shall be made by the Department Chair. ~~Head or equivalent. (The term "or equivalent" will apply whenever reference is made to Department Head.)~~ When making a recommendation for any appointment to the full-time faculty, the Department Chair ~~Head~~ shall conduct a poll of all members of the department holding professorial rank, seeking from each a written statement either supporting or not supporting a recommendation for appointment. The written comments of the faculty, along with the recommendation of the Department Chair, shall be transmitted to the Dean.

Pages 7-8

D. TENURABLE PROFESSORIAL RANKS

The following general considerations shall apply to appointment or promotion to academic ranks of assistant professor, associate professor, and professor, and Librarian I, II, III, IV. Academic administrators must have had significant non-administrative involvement in the instructional or research programs of a university to qualify for academic rank. Once tenure and academic rank are earned by a faculty member, both will be retained if he or she subsequently assumes a full-time administrative position. Tenure does not apply to the administrative appointment.

1. **Assistant Professor:** Appointment or promotion to the rank of Assistant Professor should be based upon demonstrated academic ability and potential for professional growth. The candidate should have completed a terminal degree appropriate for the discipline or have the equivalent in training and experience. ("Terminal degree" refers to the highest degree awarded in a discipline. The doctorate is the terminal degree for most disciplines represented at Auburn University at Montgomery, although exceptions may be made.)
2. **Associate Professor:** Appointment or promotion to the rank of Associate Professor is based upon actual performance as well as demonstrated potential for further development. Above all, there must be objective evidence that the individual is growing professionally and is contributing to his/her field. Associate Professor is a high academic rank, and promotion to this rank, in and of itself, carries no presumption of future promotion. For a faculty member to reach the Associate Professor rank, he or she ~~must~~should have the terminal degree appropriate to the discipline. ~~In exceptional cases, an Assistant Professor without a terminal degree who has gained distinction as a publishing scholar or creative artist may be promoted to the rank of Associate Professor.~~

Pages 16-18

Application for Promotion: Faculty members who believe they have met the criteria for promotion in rank shall submit a letter of request for promotion, which includes the names of individuals qualified to offer external review to their respective Department ~~Chair~~Head by May 15. ~~In that letter the candidate may request that review by a qualified external referee be arranged by the Department Head~~ or Dean by May 15. The candidate's dossier shall be presented by October 1 to the Department ~~Chair~~Head who will submit it to the department review committee composed of all faculty holding academic rank higher than that of the candidate for promotion. No administrator in the direct supervisory chain (i.e., who has recommending responsibilities at subsequent steps in the promotion process, such as the candidate's Department ~~Chair~~Head or Dean, or the Provost) shall be a member of the Department review committee.— Other tenure track/tenured faculty holding administrative positions and having a significant and continuing involvement in the instructional or research programs of the department shall be members of the department review committee. In cases where at least three faculty with the appropriate rank are not available in the department, the Dean of the College School will establish a committee composed in whole or in part, as necessary, of faculty holding the appropriate rank in other departments. —Recommendations about documentation will be specified in annual workshops provided by the Office of the Provost.

A committee recommendation and the results of a vote conducted by secret ballot will be forwarded to the Department ~~Chair~~Head. The committee report will include reasons for the positive or negative recommendation. Additionally, any member of the departmental review committee may submit a letter to the Department ~~Chair~~Head relating the candidate's ability and performance of the criteria for promotion listed above. The letter will be included in the promotion dossier. The Department ~~Chair~~Head will notify the candidate of the Department's and his/her recommendation including a summary of reasons for the positive or negative recommendation by December 1. A candidate may respond in writing within five business days and any response will become part of the dossier that is forwarded to the Dean. The response may include new documentation that enhances the application for promotion. The Department ~~Chair~~Head will forward all documents and letters, together with his or her evaluation and recommendation for action, to the Dean of the College. If the candidate is a Department ~~Chair~~Head, normal procedures will be followed except that his/her Dean shall ask for participation of all faculty in the department who hold the rank being sought or higher rank; each such faculty member shall submit to the Dean his/her positive or negative recommendation on promotion.

The Dean will forward all materials, including his/her letter of recommendation for action, to the Provost. The Dean's letter will include reasons for the positive or negative recommendation. By the date of his or her transmission of positive or negative recommendations to the Provost, the Dean will notify the candidate of his/her recommendation including reasons. A candidate may

respond in writing within five business days; this response will become part of the dossier that is forwarded to the Provost. ~~The response may include new documentation that enhances an application for promotion.~~ The Dean will forward all documents and letters, together with his or her evaluation and recommendation for action, to the Provost.

The Provost shall transmit the materials to the University Committee on Promotion and Tenure.

By the date of his or her transmission of positive or negative recommendations to the Provost, the Chairperson of the University Committee on Promotion and Tenure will notify the candidate of the Committee's recommendation. A candidate may respond in writing within five business days; this response will become part of the dossier that is forwarded to the Provost. ~~The response may not include new documentation that enhances the application for promotion.~~ The Chairperson of the University Committee on Promotion and Tenure will forward all documents and letters, together with the committee's recommendation for action, to the Provost.

The Provost recommends to the Chancellor, ~~and the Chancellor is responsible for making recommendations on promotion to the President.~~ By the date of his or her transmission of positive or negative recommendations to the Chancellor, the Provost will notify the candidate of his ~~or~~ her recommendation. A candidate may respond in writing within five business days, and any response will become part of ~~the~~ his/her dossier that is forwarded to the Chancellor. The response may not include new documentation that enhances their application for promotion. The Provost will forward all documents and letters, together with his or her recommendation for action, to the Chancellor.

Candidates shall be notified in writing of the ~~Chancellor's~~ President's decision by March 15. If promotion is not approved, the candidate will be notified promptly in writing by the Chancellor of the reason(s) ~~reasons~~ for the denial.

At any time during the process prior to their notification on March 15, candidates for promotion ~~to professor and/or tenure~~ may withdraw their applications from consideration. The Chancellor's decision is final.

Pages 19-20

1. **Eligibility for Tenure:** The normal progression to tenured status of a faculty member is from a probationary tenure-track ~~Probationary~~ appointment. —Faculty members given probationary ~~Probationary~~ appointments with the rank of Assistant Professor ~~Instructor~~ or higher become eligible for tenure consideration after a probationary period of meritorious performance: ~~However, faculty holding the Instructor rank must be promoted or approved for promotion to Assistant Professor to be eligible for tenure.~~

The total length of the probationary period shall not exceed seven years of full-time service, where one year equals two full semesters, excluding summers. —Years of full-time temporary appointment at Auburn University at Montgomery, regardless of rank, count toward tenure

eligibility just as do years of full-time probationary appointment.— Time spent away from the University except when the individual is on a special assignment for the benefit of the University and paid by the University shall not be counted toward fulfillment of eligibility for tenure.

In addition, a faculty member's previous full-time service in another institution of higher education may be a significant factor in determining the length of the probationary period at Auburn University at Montgomery. Faculty members with more than three years of probationary or tenured service at one or more other institutions of higher education may receive credit for not more than three years of such service toward the probationary period at Auburn University at Montgomery. Written notification of the policies set forth in this paragraph will be provided by the appropriate Dean to each candidate at the time an offer of employment is extended. Such notification shall specify the credit allowed for prior service.

Although a minimum probationary period of ~~five~~^{four} complete academic years ~~shall~~^{should} ~~usually~~ be observed prior to nomination for tenure consideration, a decision on the awarding of tenure must be made within three years if the faculty member has received credit for three years of experience at another institution. —Faculty members without more than three years of full-time service at another institution ~~shall~~^{normally should} be considered for tenure during their ~~sixth~~^{fifth} year of full-time service at Auburn University at Montgomery.

~~Consideration for tenure can take place in the seventh year if the candidate signs a proviso acknowledging termination effective at the end of that year if tenure is not achieved.~~

Members of the faculty and academic staff who hold administrative positions can earn tenure only in their faculty classifications. For such administrators, eligibility for tenure requires a significant non-administrative involvement in the instructional or research programs of Auburn University at Montgomery.

Pages 21-24

- 1. De Facto Tenure:** Should a seven-year period of full-time service in a probationary tenure-track appointment— including prior service credited in the manner specified above, be exceeded in the case of a faculty member on probationary tenure track appointment, tenure shall be awarded by the ~~Chancellor.~~^{President.} A faculty member who contends that he/she has served full time in an academic rank for more than seven ~~or more~~ years may appeal through the normal administrative chain to achieve consideration of the claim. —However, tenure gained in this way will be forfeited if it is established that the faculty member's not being recommended for tenure consideration was a deliberate attempt to avoid the formal tenure process by the faculty member and/or Department Chair. If employment begins during a spring semester, the first semester will be counted towards de facto tenure service period. ~~Head or unit head.~~

2. **Factors Affecting Tenure:** Faculty and Department ~~Chairs~~**Heads** should remember that decisions on tenure are different in kind from those on promotion. – As with promotion, the tenure nominee will be evaluated on (1) teaching, (2) research, and (3) service. –Other important elements affecting tenure nominations and recommendations are those factors that are directly related to the prospect of the nominee’s assuming what may well be an appointment of ten, twenty, or thirty years in the departmental unit. –Such considerations may include the nominee’s abilities to support the departmental mission and its long-term goals; the nominee’s exhibited ability and willingness to engage in the shared tasks that a departmental group must often perform; and the nominee’s willingness to participate knowledgeably and reasonably in discussions germane to departmental policies and programs. –In addition, each Department or ~~College~~**School** has developed benchmarks for tenure and promotion ~~and tenure~~ considerations, and these must be made available to all faculty members at the time of their appointment. Because the standards for tenure are more exacting than those for promotion within the junior ranks, a faculty member holding the rank of assistant professor recommended for tenure must also be promoted to associate professor.
3. **Application for Tenure:** Faculty members who believe they have met the criteria for tenure consideration shall submit a letter of request for tenure, which includes the names of individuals qualified to offer external review, ~~consideration~~ to their respective Department ~~Chair or~~**Head** and Dean ~~by~~**before** May 15. ~~In that letter the faculty member may request that review by a qualified external referee be arranged by the Department Head or Dean.~~ —It is the joint responsibility of the faculty member and the ~~Department Chair~~**department head** to assure that the tenure review process occurs no later than in the sixth-year of full-time service or as specified in the appointment~~within three years~~ if credit for previous service at another institution has been given. The candidate’s dossier must be presented to the Department ~~Chair~~**Head** by October 1. The credentials to be included in the dossier are a current vita and supporting documentation of the candidate’s performance in teaching, research, and service. Recommendations about documentation are specified in annual workshops provided by the Office of the Provost.

After ~~receiving~~ ~~the~~ ~~application~~ ~~for~~ ~~tenure~~ ~~consideration~~, ~~the~~ ~~Department~~ ~~Chair~~**Head** shall ~~notify~~ tenured members of the departmental faculty of their responsibility to review the candidate’s credentials. –In cases where there are fewer than three tenured faculty in the department, the Dean of the ~~College~~**School** will ask for participation of tenured faculty in other departments. —The tenured members ~~of~~ ~~the~~ faculty may meet ~~to~~ discuss ~~each~~ applicant’s ~~materials~~; ~~whether~~ tenured faculty meet or not, each will submit to the Department ~~Chair~~**Head** (or appropriate Dean if the candidate is a Department ~~Chair~~**Head**) a written statement of his/her positive or negative vote on tenure, together with a justification of that vote.

No administrator in the direct supervisory chain (i.e., with recommending responsibilities at subsequent steps in the tenure process, such as the candidate’s Department ~~Chair~~**Head** or

Dean, or the Provost) shall be included in the departmental review process. – Other eligible faculty holding administrative positions and having a significant and continuing involvement in the instructional or research programs of the department shall participate. These statements and the Department ~~Chair's~~^{Head's} written recommendation on tenure, including reasons for the positive or negative recommendations, will be sent forward with the candidate's resume and supporting material to the appropriate Dean by December 1. A candidate may respond in writing within five business days, and any response will become part of ~~the his/her~~ dossier that is forwarded to the Dean. The response may include new documentation that enhances ~~If the candidate wants to continue~~ the application for tenure. ~~The process, the~~ Department ~~Chair~~^{Head} will forward all documents and letters, together with his/her evaluation and recommendation for action, to the Dean of the ~~College~~^{School}.

If the candidate is a Department ~~Head~~^{Chair}, normal procedures will be followed except that his/her Dean shall ask for participation of all tenured faculty in the department; each tenured faculty member shall submit to the Dean his or her positive or negative recommendation on tenure. –The Dean will notify him or her of the Department's recommendation, and he ~~or~~^{she} may respond in writing within five working days, and any response will become part of ~~the his/her~~ dossier that is forwarded to the Provost. The response may include new documentation that enhances the application for tenure.

The Dean will forward all materials, including his/her letter of recommendation for or against tenure, to the Provost. The Dean's letter will include reasons for the positive or negative recommendation. By the date of his or her transmission of positive or negative recommendations to the Provost, the Dean will notify the candidate of his/her recommendation including reasons. A candidate may respond in writing within five business days; this response will become part of ~~the his/her~~ dossier that is forwarded to the Provost. The response may include new documentation that enhances ~~If the candidate wants to continue~~ the application for tenure. ~~The process, the~~ Dean will forward all documents and letters, together with his/her evaluation and recommendation for action, to the Provost. The Provost shall transmit the materials to the University Committee on Promotion and Tenure.

By the date of his or her transmission of positive or negative recommendations to the Provost, the Chairperson of the University Committee on Promotion and Tenure will notify the candidate ~~of the~~ –Committee's –recommendation. –The –Committee's –letter –will include –reasons for –the positive or negative recommendations. A candidate may respond in writing within five business days; this response will become part of ~~the his/her~~ dossier that is forwarded to the Provost. The response may not include new documentation that enhances ~~If the candidate wants to continue~~ the application for tenure. ~~The process, the~~ Chairperson of the University Committee on Promotion and Tenure will forward all documents and letters, together with the committee's recommendation for action, to the Provost.

The Provost recommends to the Chancellor. By the date of his or her transmission of positive or negative recommendations to the Chancellor, the Provost will notify the candidate of his/her recommendation. A candidate may respond in writing within five business days, and any response will become part of the dossier that is forwarded to the Chancellor. The response may not include new documentation that enhances the application for tenure.

Candidates shall be notified in writing of the Chancellor's~~President's~~ decision by March 15. When a nomination for tenure is not approved, the candidate will be notified promptly by the Chancellor of the reasons for the denial. The Chancellor's decision is final.

Tenured faculty members who resign from the University and are later re-employed in a faculty position similar to the one they previously held may have their tenure reinstated on the recommendation of the tenured faculty of the affected Department and on the approval of the Department Chair~~Head~~, Dean, Provost, and the Chancellor,~~and the President.~~ A tenured faculty member in a department at Auburn University who accepts a faculty appointment on the Auburn University at Montgomery campus may be awarded tenure at the time of appointment only through the usual tenure process.

Confidentiality and the right of tenured faculty members to express their viewpoints openly without fear of retaliation should be hallmarks of the tenure decision process. —All documents, letters, and other materials shall be held in strict confidence at all levels of the review process. Should confidential discussions, documents, notes and communications become the subject of legal discovery, those who participated in the review process may be guided by University Counsel.

Pages 24-25

LIBRARIAN APPOINTMENTS

The Dean of the Library recommends to the Provost the appointment with appropriate rank.

1. **Kinds of Appointment of Librarians:** Each professional librarian at Auburn University at Montgomery is appointed in one of three classifications: Temporary, Probationary, or Tenured.
 - a. Temporary appointments, as the term implies, are assigned to librarians whose services are required only for a certain specified period of time, usually one year or less, which is identified on the appointment paper. Those on Temporary appointments are not eligible for tenure and promotion or for the insurance, medical, retirement, and various fringe benefits available to other librarians unless the employment period is for at least a full year (twelve months).
 - b. Probationary appointments are assigned to librarians who, because of scholarly or professional achievement, have demonstrated their aptitude for becoming tenured members of the faculty.

- c. Tenured appointments are assigned to librarians who have completed an appropriate probationary period and who, following recommendation and review in accordance with University policy and approval by the Chancellor, have been awarded tenure. Only tenured librarians are eligible for professional improvement leave with pay.

2. Definition of Librarian Academic Ranks: Librarians shall be accorded faculty status with academic appointments in three ranks with titles equivalent to the standard academic ranks and titles. These ranks are:

- a. **Librarian II** (equivalent to Assistant Professor): This is a professional level characterized by assignments with some degree of independent responsibility and action. Appointment or promotion to the rank of Librarian II should be based on demonstrated ability and potential for growth. The candidate should have a strong academic record and shall have completed a master's degree in library science from an American Library Association accredited library school or equivalent.
- b. **Librarian III** (equivalent to Associate Professor): This is an advanced professional level characterized by considerable independent responsibility and action. It should provide for depth in areas of librarianship and may include other subject fields as well. Promotion to this rank shall require evidence of substantial professional contributions to the library and to the University; attainment of a high level of professional effectiveness in research and other professional endeavors, and the ability to handle successfully increased responsibility, including supervision of library personnel. Librarian III is a high professional rank and requires the master's degree in library science from an American Library Association accredited school or equivalent. Promotion to this rank, in and of itself, carries no presumption of further promotion.
- c. **Librarian IV** (equivalent to Professor): This is the highest professional level and is characterized by administrative responsibility for general library operations at the divisional level or above and by demonstrated ability in a specialized field or fields of librarianship. A master's degree in library science from an American Library Association accredited school or equivalent and a doctoral degree in library services or in another discipline is a customary requirement. Exceptional and advanced training, research and publication, and/or evidence of exceptional ability in librarianship may be substituted for the doctorate. Demonstrated ability in providing leadership in professional and university organizations is required.

Candidates for appointment to the position of Dean of the Library must meet the requirements of Librarian III or IV.

M. APPEALS PROCEDURES FOR PROMOTION AND TENURE DECISIONS

Grounds for appeal of a promotion or tenure decision exist when the candidate believes that:

- a. The denial resulted from a significant deviation from University policies and/or from procedural irregularities, including material inconsistencies between basis of denial and annual performance reviews.
- b. Tenure or promotion procedures of the Department, College, or University were not properly followed.
- c. The denial resulted from discrimination with respect to race, sex, religion, national origin, age, physical handicap, marital status, or sexual orientation.

A faculty member who has been denied either promotion or tenure may choose to discuss the reasons for the denial and the appeal process with the Provost. If a faculty member chooses to appeal the denial that intention should be expressed in writing to the Chancellor within thirty days of the notification of the negative decision and should be accompanied by all supporting evidence. The Chancellor shall respond to the faculty member's request for an appeal by appointing an appeals committee within fifteen days of receipt of the request.

The Appeals Committee shall consist of the Provost (non-voting chairperson), an academic Dean (other than the appellant's), and four members of the tenured faculty appointed by the Chancellor from eight names submitted by the Faculty Senate. This committee shall make its recommendation to the Chancellor within fifteen days of being notified by the Chancellor of the creation of the committee. The Chancellor within fifteen days of receipt of the recommendation will make a decision. The Chancellor's decision is final.

Chancellor's Decision and Appeal:

The Chancellor's decision shall be rendered within thirty (30) working days after receipt of the Hearing Committee's report.— A copy of the decision shall be forwarded to the chair of the Hearing Committee and the faculty member. ~~The Chancellor's—If the Hearing Committee recommends dismissal and the Chancellor sustains this recommendation, the faculty member may, by written request to the Chancellor, submitted within five (5) working days of the date of notice of the Chancellor's action, appeal the decision to President. The Chancellor on receipt of the appeal will transmit to the President of Auburn University, the record of the case. The President's~~ decision will be final. Following notification to—If no such request for an appeal is received from the faculty member, and the Chancellor sustains the Hearing Committee's recommendation, he/she will so notify the Committee and faculty member,—and the case will be considered closed.

If the Hearing Committee concludes that adequate cause for dismissal has not been established, and the Chancellor does not sustain the report, he/she will state his/her reasons, in writing, to the Committee and to the faculty member. The ~~faculty member may appeal the Chancellor's decision to the President within five (5) working days of the date of notice of the Chancellor's action. Procedures noted above~~ will be final, followed.

~~Review by the President or a designated committee will be based on the record of the Hearing Committee and it will provide opportunity for argument, oral or written or both, by the principals or their representatives at the hearing. The decision of the President will be final.~~

If the Hearing Committee concludes that adequate cause for dismissal has been established, and the Chancellor does not sustain this recommendation, he/she will state his/her reasons, in writing, to the Committee and to the faculty member. —The decision of the Chancellor will be final.



OFFICE OF THE CHANCELLOR

To: Dr. Jay Gogue *JG*
Interim President

From: Dr. Carl A. Stockton *CAS*
Chancellor

Subject: Proposed Board Agenda Item
Proposed Changes to the AUM Faculty Handbook

Date: October 18, 2019

*SENT TO
JAN WAGGNER*

Please consider including this proposal on the November 22, 2019, meeting of the Auburn Board of Trustees.

Proposal:

I am requesting the Board of Trustees be asked to approve a revised version of the faculty personnel policies, which are included in Part IV of the Auburn University at Montgomery *Faculty Handbook*. The proposed changes include (i) addition of "non-tenure track" faculty appointments; (ii) clarification of the Chancellor's authority in tenure and promotion matters, as delegated by the President; (iii) reorganized policies relating to librarians with faculty rank; and (iv) miscellaneous revisions to the tenure and promotion eligibility requirements and application process.

Review and Consultation:

The proposed changes to the faculty personnel policies and procedures of the AUM *Faculty Handbook* have been endorsed by the AUM Faculty Senate, Faculty Council, and the Provost. The revised *Faculty Handbook* has been reviewed by Auburn's Office of General Counsel. The recommendations from the Office of General Counsel were accepted and the final revisions were endorsed by me as Chancellor.

Rationale for Recommendation:

It is recommended that the Board approve the proposed changes to selected faculty personnel policies published in the AUM *Faculty Handbook*, as reflected in Exhibit A to the proposed resolution. The revised version better aligns with appropriate faculty personnel practices and will enhance shared governance on campus.

I will be glad to provide any additional information or answer any questions you may have regarding this proposal.

Thank you for your consideration.

AUBURN UNIVERSITY AT MONTGOMERY

P.O. Box 244023, Montgomery, AL 36124-4023; Telephone: 334-244-3602. Fax: 334-244-3920

www.aum.edu

INSTITUTIONAL ADVANCEMENT COMMITTEE

AUBURN UNIVERSITY PORTFOLIO UPDATE

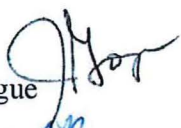

Time will be allocated for a presentation to the Institutional Advancement Committee of the Board of Trustees an update from the investment consultant Prime-Buchholz, the firm that provides investment services to Auburn University Foundation, related to the Foundation's and Auburn University's endowments.



AUBURN UNIVERSITY

OFFICE OF DEVELOPMENT

October 10, 2019

MEMORANDUM TO: President Jay Gogue 
FROM: Jane DiFolco Parker, Vice President for Development 
SUBJECT: Board of Trustees Agenda Item: Auburn University Endowment
Portfolio Update

PROPOSAL:

It is proposed that a principal of Prime-Buchholz, the investment consultant that provides consulting services to the Auburn University Foundation related to investment of Auburn's endowments, present an update to the Institutional Advancement Committee of the Board of Trustees at its November 22 meeting.

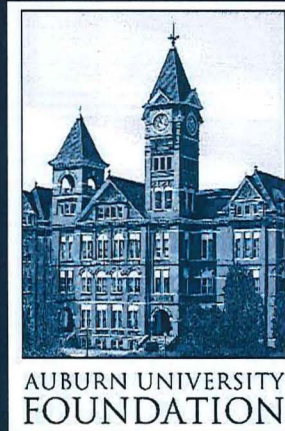
BACKGROUND AND INFORMATION:

While maintaining its fiduciary responsibility for ownership of Auburn University's endowments by establishing investment and spending policies, the Board of Trustees delegates to the Auburn University Foundation authority for the day-to-day management of the endowment portfolio. This includes development of investment strategies; tactical allocation among approved asset classes; strategies within asset classes; and investment manager selection and termination. In order to facilitate communication and reporting between the Auburn University Board of Trustees and the Auburn University Foundation Investment Committee, a member of the Board of Trustees serves on the Auburn University Investment Committee.

RATIONALE FOR RECOMMENDATION:

The Board of Trustees periodically should receive reports from the Foundation and its investment consultant. As has been our custom, we are recommending that a report be provided at the November 22, 2019, meeting.

c: Jon Waggoner
Gena Isbell



ENDOWMENT INVESTMENT REVIEW

November 22, 2019

Long-Range Goals

- To earn a total investment return, with prudent levels of risk, which maintains the purchasing power of the endowment and supports the endowment's mission.
- To provide consistent annual payouts in support of the university's programs.

Investment Fund Responsibility Matrix

Auburn University Foundation Investment Committee:

- Develop and recommend Investment and Spending Policies
- Approve Asset Allocation policy changes
- Approve investment manager, custodian, and investment consultant changes
- Monitor investment performance and liquidity with report to the Board

Investment Fund Responsibility

Matrix

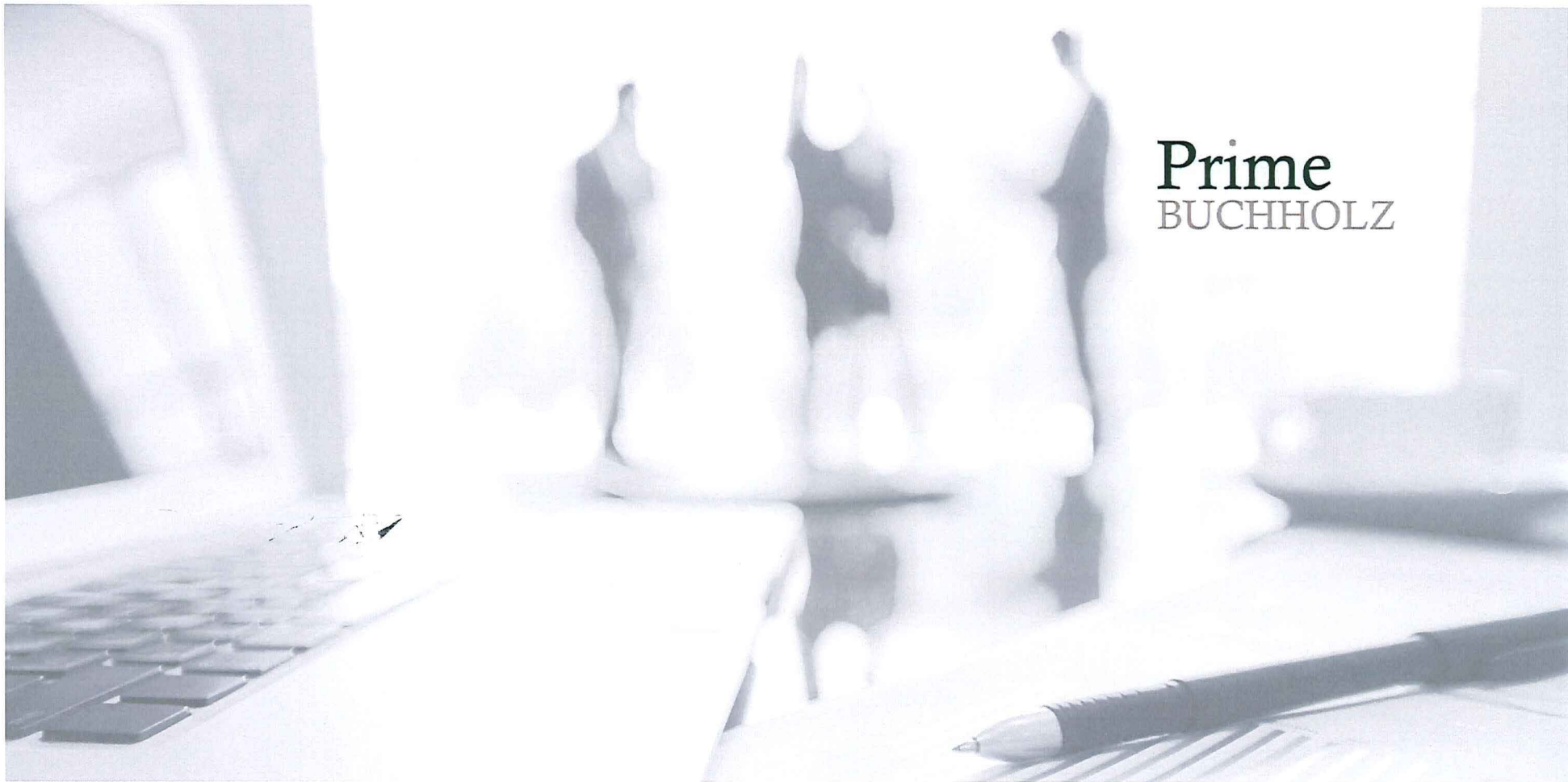
Investment Consultant, Prime Buchholz (hired 2003):

- Provide market overview regarding investment opportunities/risks
- Recommend Investment Policy and Asset Allocation changes
- Conduct due diligence on current and prospective investment managers
- Evaluate fund and manager performance, maintain manager watch list, and report to the Investment Committee
- General support to the committee and Investment Office
- Primary contact: Greg DeSisto

Investment Fund Responsibility Matrix

Auburn University Foundation Board:

- Approve Investment Policy and Spending Policy as recommended by the Investment Committee
- Receive periodic reports from the Investment Committee regarding Fund investment performance



Prime
BUCHHOLZ

Investment Consultant to Auburn University and Auburn University Foundation

November 2019

Prime Buchholz LLC

273 Corporate Drive
Suite 250
Portsmouth, NH 03801

primebuchholz.com
P 603.433.1143
F 603.433.8661

Prime Buchholz by the Numbers

Prime
BUCHHOLZ

30

Years experience working
with institutional clients

03

Locations:
Portsmouth, Boston, Atlanta

250+

Clients

\$58

Billion, total assets under advisement

100%

Employee-owned; 30 owners and growing

124

Total employees

36

CFA charterholders

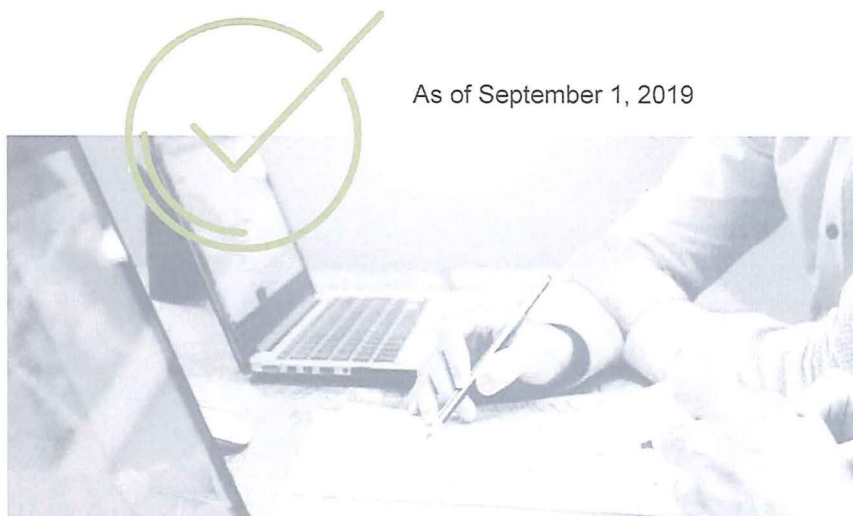
18

CAIA charterholders

06

CIPM designees

As of September 1, 2019



Our People

Prime
BUCHHOLZ



As of December 31, 2018

As our client, you receive personal, quality service and a true partnership with your investment team. From the very beginning of our relationship, your dedicated client service team will stand by you and work with you through various scenarios. Our consulting team members average more than 25 years of industry experience and are ready to leverage that knowledge to meet your needs.

- Client Service
- Research
- Consultants
- Info Systems & Tech
- Communications
- Legal & Admin.



“Our success over the last 30 years is a testament to our exclusive focus on serving our clients’ needs and interests.”

Jon Prime, Founder

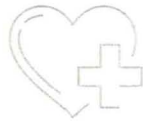
Our Clients

The investments that we create in partnership with our clients have an impact beyond the institutions themselves. Our work is designed to generate and protect resources that provide secure retirements, invest in new technologies, expand access to medical care, increase scholarships for deserving students, and launch bold solutions to global challenges.

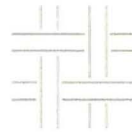
Prime Buchholz is proud that roughly 90% of our client assets comprise sophisticated, trustee-driven programs:



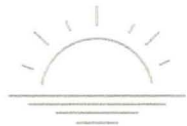
Education



**Health Care
& Insurance**



**Public & Private
Foundations**



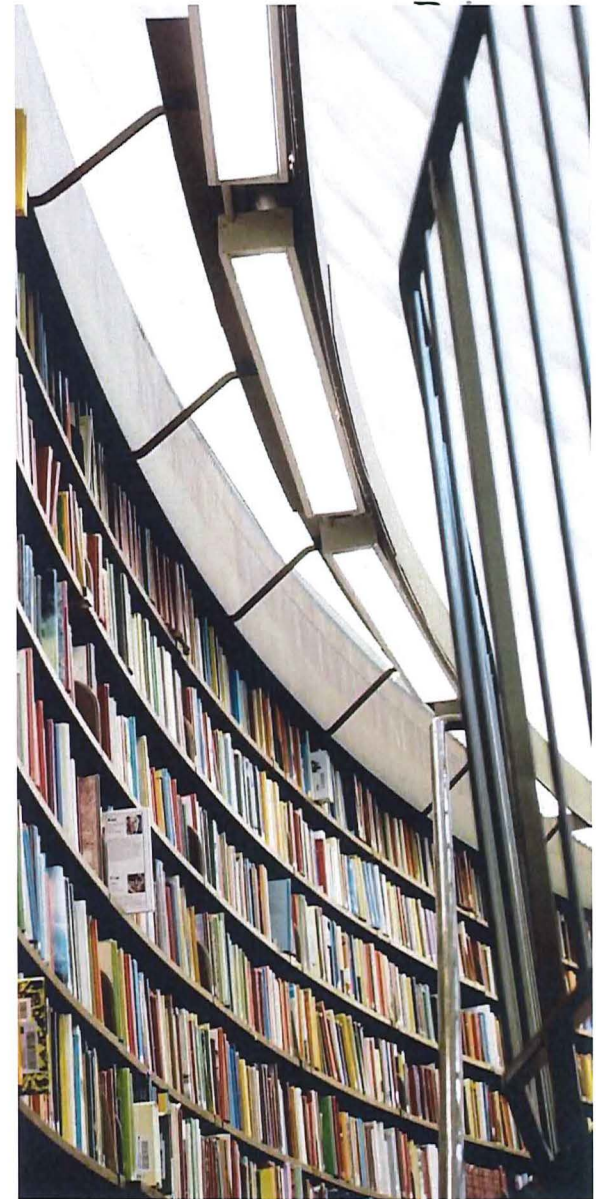
**Cultural & Faith-based
Organizations**



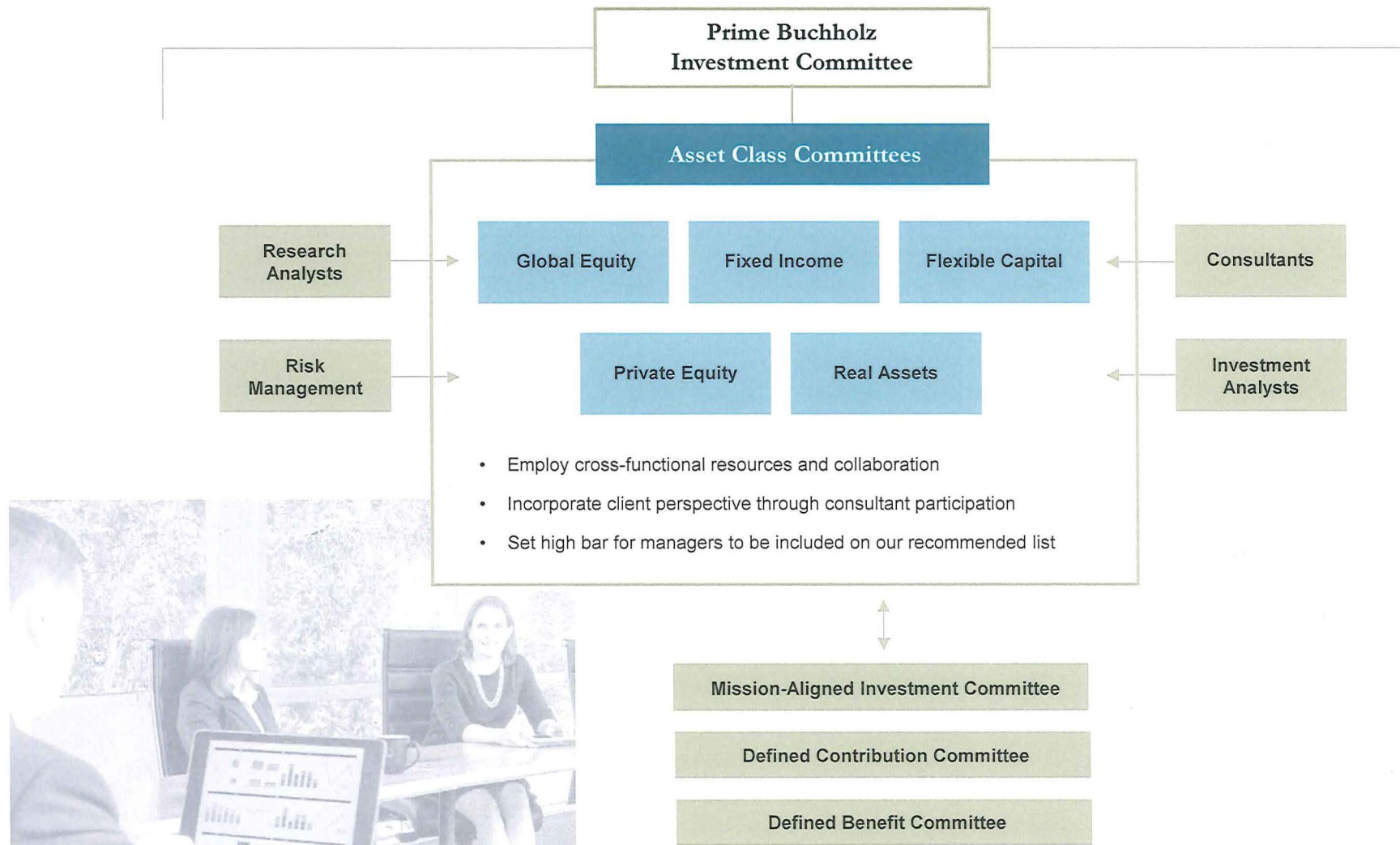
Retirement Plans



Private Wealth



Investment Decision-Making Governance



EXECUTIVE COMMITTEE

RESOLUTION

UNDERGRADUATE ENROLLMENT POLICY FOR THE AUBURN CAMPUS

WHEREAS, the University's Strategic Plan includes updating and improving the accessibility and utility of certain university policies as prescribed by the Board of Trustees; and

WHEREAS, this Board has adopted certain policies published in the Board of Trustees Policy Manual which provide guidance in administering the university; and

WHEREAS, a review of those policies in conjunction with the Strategic Plan has led to a recommendation to replace the Undergraduate Enrollment Policy for the Auburn Campus with that attached hereto as Attachment A.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees adopts the revised Undergraduate Enrollment Policy for the Auburn Campus as set forth in Attachment A.

ATTACHMENT A

UNDERGRADUATE ENROLLMENT POLICY FOR THE AUBURN CAMPUS

C-2. UNDERGRADUATE ENROLLMENT POLICY FOR THE AUBURN CAMPUS

Over the years, the main campus of Auburn University has experienced greater demand for admission than its facilities and resources could support effectively. Accordingly, it has been possible to manage growth, to be selective in the admissions process, and to win recognition for enrolling students of outstanding quality and providing them with an exceptional student experience.

While growth may sometimes produce economies of scale, sound enrollment policy begins with a commitment to maintain high levels of quality in all programs offered. Enrollments should never exceed resources required to provide students with excellent faculty, facilities, libraries, support services, and the like. Further, Auburn has long been known for its personable, warm campus atmosphere, welcoming not only Alabama residents but also many students from the rest of the nation and the world.

Given these considerations, the following policy for undergraduate enrollment on the Auburn campus of Auburn University is adopted:

1. That total undergraduate enrollment be maintained at approximately 25,000 students;
2. That each new freshman cohort comprise not more than approximately 5,000 students;
3. That Alabama residents compose approximately 60% of each new freshman cohort;
4. That qualified undergraduate transfer students continue to be recruited and admitted; and
5. That when space is not available at the Auburn campus, undergraduate applicants be invited to consider Auburn University at Montgomery.

ADOPTED: August 18, 1989

REVISED: June 19, 2009

REVISED: _____



AUBURN UNIVERSITY

OFFICE OF THE PRESIDENT

MEMORANDUM

TO: JAY GOGUE, Interim President *OK*
FROM: RONALD L. BURGESS, Executive Vice President *RB*
SUBJECT: PROPOSED CHANGES TO ENROLLMENT POLICY
DATE: OCTOBER 22, 2019

This memorandum requests that the following proposal be presented to the Board of Trustees through the Executive Committee and included on the agenda of the meeting scheduled for November 22, 2019.

Proposal:

It is recommended that the Board of Trustees consider the adoption of Attachment A as a replacement of the existing Auburn University Enrollment Policy.

Review and Consultation:

With the assistance of the senior administration and after review from the Vice President for Enrollment, the Provost, the Chancellor of Auburn University Montgomery and you, it is recommended that the Board adopt the attached replacement policy at its November, 2019 meeting.

Rationale for Recommendation:

A part of the Strategic Plan's creation included a review of existing policies regarding enrollment. As part of that review process, including strategic listening sessions with alumni and leaders from the state and region, review sessions with administrators on campus, an assessment of best practices in higher education, and a general solicitation of views from the Auburn Family, it was decided that changes were advisable to Auburn University's Enrollment Policy. The general purpose of those changes was to ensure that the university remain accessible, diverse, competitive with challenging universities across the country, and consummately attractive to students who will most capably assist with the fulfilment of Auburn's mission in the coming years.

To that end, it is hereby recommended that Attachment A be adopted by the Board of Trustees as Auburn University's Enrollment Policy.

EXECUTIVE COMMITTEE

PROPOSED AWARDS AND NAMINGS

Time will be allocated for discussion of any awards and namings.