



AUBURN UNIVERSITY

OFFICE OF THE PRESIDENT

July 1, 2020

**MEMORANDUM TO:** Board of Trustees

**SUBJECT:** July 9, 2020 Board of Trustees Annual Meeting

Enclosed are materials that comprise the proposed agenda for the Thursday, July 9, 2020 Annual Board Meeting via telephone conference. Listed below is the tentative schedule:

**Thursday, July 9, 2020**  
(via telephone conference)

9:00 a.m.	Property and Facilities Committee
9:15 a.m.	Academic Affairs Committee
9:30 a.m.	Audit and Compliance Committee
9:45 a.m.	Institutional Advancement Committee
10:00 a.m.	Executive Committee
10:15 a.m.	Trustee Reports
10:30 a.m.	Annual Meeting of the Board of Trustees

We appreciate all that you do for Auburn University and look forward to hearing from you on Thursday, July 9, 2020, for the Annual Meeting (via telephone conference). Please call me if you have any questions regarding the agenda. Also, please let Jon Waggoner, Sherri Williams, or me know if you need assistance.

Sincerely,

G. Jay Gogue  
President

JGW/smw

Enclosure

c: President's Cabinet (w/encl.)  
Mr. Jon G. Waggoner (w/encl.)

**SCHEDULE & AGENDA**  
**AUBURN UNIVERSITY BOARD OF TRUSTEES**  
**JULY 9, 2020 ANNUAL MEETING**  
**(VIA TELEPHONE CONFERENCE)**

*Telephone conference instructions to join by phone:*

(312) 626-6799

*or*

(346) 248-7799

**Conference ID: 452 787 9439**

*Password for Listeners/Public: 784484*

**THURSDAY, JULY 9, 2020**

I. COMMITTEE MEETINGS

(Meetings will begin at 9:00 a.m. - - all other meetings are subject to change in starting time, depending upon the length of individual meetings.)

A. Property and Facilities Committee/Chairperson DeMaioribus/9:00 a.m.

**Project Approvals:**

1. Quad Residence Halls Renovation, Project Initiation (Dan King/Bobby Woodard)

**Real Estate Approvals:**

2. South College Street Property Conveyance (Dan King/Mark Stirling)

**Informational Reports:**

3. Real Estate Holdings Annual Report (Dan King/Mark Stirling)

B. Academic Affairs Committee/Chairperson Newton/9:15 a.m.

1. Proposed Bachelor of Science in Genetics (Bill Hardgrave/Nicholas Giordano)
2. Proposed Master of Development Practice (Bill Hardgrave/Susan Hubbard)
3. Proposed Closure of the Master of Turfgrass Management (Bill Hardgrave/Paul Patterson)
4. Proposed Changes to Faculty Personnel Policies (Bill Hardgrave)
5. Agenda Item for the Board of Trustees – Items of Information (Bill Hardgrave)

C. Audit and Compliance Committee/Chairperson Dumas/9:30 a.m.

1. Approval of Independent Auditors for Fiscal Year Ended September 30, 2020 (Kelli Shomaker)
2. Receipt of Code of Ethics Compliance Documents (Bob Dumas)
3. Report on the Board of Trustees Self-Evaluation (Bob Dumas)

D. Institutional Advancement Committee/Chairperson Roberts/9:45 a.m.

1. Auburn University Endowment Portfolio Update (B.T. Roberts/Benny LaRussa)

E. Executive Committee/Chairperson McCrary/10:00 a.m.

1. Posthumous Awarding of the Bachelor of Science in Nursing to Sarah E. Mills (Carl Stockton)
2. Addition of Positions to Managerial Group for the Enablement of Auburn University to Conduct Selected Classified Research Programs (Kevin Robinson)
3. Approval and Naming of the National Pan-hellenic Council Legacy Plaza (Bobby Woodard)
4. Election of Board of Trustees Officers (Charles McCrary)
5. Appointment of Board Members to the Presidential Assessment Working Group (Wayne Smith)
6. Appointment of Board Members to the Trustee Selection Committee (Charles McCrary)
7. Proposed Awards and Namings (Charles McCrary)

F. Trustee Reports/10:15 a.m.

1. Academic Affairs Committee/Sarah Newton, Chair
2. Agriculture and Natural Resources Committee/Gaines Lanier, Chair
3. Audit and Compliance Committee/Bob Dumas, Chair
4. AUM Committee/Clark Sahlie, Chair
5. Executive Committee/Charles McCrary, Chair
6. Finance Committee/Raymond Harbert, Chair
7. Governmental Affairs Committee/Jimmy Rane, Chair

8. Institutional Advancement Committee (Development and AU Foundation)/B.T. Roberts, Chair
9. Property and Facilities Committee/Mike DeMaioribus, Chair
10. Research and Technology Committee (Economic Development)/Lloyd Austin, Chair
11. Student Affairs Committee/Jimmy Sanford, Chair
12. Alumni/Elizabeth Huntley, Lead Trustee
13. Athletics/Quentin Riggins, Lead Trustee
14. Legal/James Pratt, Lead Trustee

II. REGULAR MEETING OF THE BOARD OF TRUSTEES/10:30 A.M.



## RESOLUTION

### APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the Special Called Telephone Meetings on Friday, April 3, 2020 and Thursday, May 7, 2020 have been distributed to all members of this Board of Trustees for review; and

WHEREAS, the members have reviewed the minutes and determined that they constitute a true and correct recitation of the business of the respective meetings.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees that the minutes of its April 3, 2020 and May 7, 2020 Special Called Telephone Meeting are hereby approved as distributed.

## RESOLUTION

### AWARDING OF DEGREES

WHEREAS, Auburn University confers appropriate degrees upon those individuals who have completed requirements previously approved by this Board of Trustees and stated in University Catalogs.

NOW, THEREFORE, BE IT RESOLVED as follows:

1. That all degrees to be awarded by the faculty of Auburn University and Auburn University at Montgomery at the end of Summer Semester 2020, complying with the requirements heretofore established by the Board of Trustees, be and the same are hereby approved.
2. That a list of the degrees awarded be filed in that a list of the degrees awarded be maintained in the records of the University and hereby made an official part of this resolution and of these minutes.

## EXECUTIVE SUMMARY

JULY 9, 2020

### **A. Property and Facilities Committee**

#### **1. Quad Residence Halls Renovation: Approval of Project Initiation and Architect Selection**

Project Summary: The Senior Vice President for Student Affairs proposes a project to renovate the Quad Residence Halls. The Quad Residence Halls complex consists of ten residence halls housing roughly 1,000 students. Four of the residence halls were built in 1938; the remaining six residence halls were built in 1952. The last renovation took place in the 1990s.

The proposed project would renovate the residence halls in five phases, each consisting of two buildings a year until all ten residence halls are updated. The scope of work for the renovation of each building includes the replacement and upgrade of interior finishes, furnishings, and plumbing fixtures as well as Americans with Disabilities Act (ADA) modifications.

It is anticipated the project will cost approximately \$12,350,000 per phase for each of the five phases, for an estimated total project cost of \$61,750,000 to be financed by Campus Housing.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the initiation of the Quad Residence Halls Renovation project and authorizing the commencement of the project architect selection process.

Previous Approvals: None.

#### **2. South College Street: Property Conveyance**

Project Summary: The City of Auburn requests that Auburn University convey to City of Auburn a parcel of approximately 1.95 acres of land to execute a project to improve South College Street. The City of Auburn has proposed a \$3.1 million project to facilitate roadway improvement on South College Street between Thach Avenue and Garden Drive. The project will improve traffic capacity, traffic and pedestrian safety, Americans with Disabilities Act compliance, street lighting, and drainage. The project will benefit the University and the general public, in that the proposed traffic improvements will provide safer roadways and pedestrian paths for Auburn University faculty, staff, and students and City of Auburn residents. As such, it is in the best interest of Auburn University to support this project by conveying the parcel to the City of Auburn. Consistent with Board of Trustees policy, allows for the sale of property when it is in the best interest of the University and when the use of the existing property is required for public purposes.

The City of Auburn has requested that Auburn University provide the property without reimbursement.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the conveyance. All documents consummating the conveyance shall be reviewed as to form by legal counsel for Auburn University.

### **3. Annual Report of Real Estate Holdings: For Information Only**

Board of Trustees policy requires that a listing of property owned by Auburn University be submitted to the Board, through the Property and Facilities Committee, for its information and review at its annual meeting. Accordingly, a property listing and summary based on last year's report, and changes that have occurred since June 2019 are enclosed.

## **B. Academic Affairs Committee**

### **1. Proposed Bachelor of Science in Genetics**

The College of Sciences and Mathematics is proposing a new undergraduate degree, the Bachelor of Science in Genetics, for students interested in pursuing professional careers in the evolving field of genetics. The proposed degree focuses on the scientific study of the organization, function, and transmission of heritable information, and will provide a flexible program for students in other applicable fields of study. Students will be prepared for diverse careers in the fields of biotechnology, agriculture and food safety, public health, environmental and conservation, higher education, hospitals, government departments, and research institutes.

### **2. Proposed Master of Development Practice**

The College of Human Sciences is proposing a new graduate degree, the Master of Development Practice, for students interested in pursuing careers as development professionals. By addressing global issues, particularly those affecting the most vulnerable populations, the program emphasizes an interdisciplinary approach that integrates theory with hands-on, experiential learning. Graduates will be prepared for professional careers across a broad scope of global issues, such as food insecurity, environmental sustainability, poverty, and the health and well-being of communities around the world.

### **3. Proposed Closure of the Master of Turfgrass Management**

The College of Agriculture is proposing that the Master of Turfgrass Management be closed due to low enrollment and the inability to meet post-implementation criteria required by both Auburn and the Alabama Commission on Higher Education (ACHE). No students are currently enrolled in the program.

### **4. Proposed Changes to the *Auburn University Faculty Handbook***

The University Senate is proposing changes to Chapter 3 of the Faculty Handbook. These changes reflect (1) the conversion of librarians and archivists

from numbered ranks to professorial ranks and (2) provide revised, non-substantive language to dismissal procedures for faculty.

## **5. Academic Affairs Items of Information**

The Provost's Office is providing several items of information to the Board of Trustees, all *of which have* been approved for implementation. All items have received the support of the appropriate college or school, the University Curriculum Committee, the Graduate Council, the Academic Program Review Committee, and the Provost's Office. Following the Board of Trustees meeting, these items will be forwarded to the Alabama Commission on Higher Education (ACHE) for appropriate notification. A brief rationale for each item is provided in materials.

## **C. Audit and Compliance Committee**

### **1. Approval of Independent Auditors for Fiscal Year Ended September 30, 2020**

Time will be allowed for Auburn University's external auditors to communicate their responsibilities, the planned scope of the FY20 financial statements and A-133 Audits, and the timing of the audits. Subject to favorably review by the Audit and Compliance Committee PricewaterhouseCoopers would be approved for the FY20 audit.

### **2. Receipt of Code of Ethics Compliance Documents**

Time will be allocated to report on the receipt of the 2019-2020 Code of Ethics Compliance Documents.

### **3. Report on the Board of Trustees Self-Evaluation**

Time will be allocated for a report by Chairperson Bob Dumas on the results of the Auburn University Board of Trustees Self-Evaluation.

## **D. Institutional Advancement Committee**

### **1. Auburn University Endowment Portfolio Update**

Time will be allocated for the Auburn University Endowment Portfolio Update.

## **E. Executive Committee**

### **1. Posthumous Awarding of the Bachelor of Science in Nursing to Sarah E. Mills**

Time will be allocated for approval of a posthumous awarding of the Bachelor of Science in Nursing to Sarah E. Mills.

### **2. Addition of Positions to Managerial Group for the Enablement of Auburn University to Conduct Selected Classified Research Programs**

The Department of Defense (DoD) 5220.22-M National Industrial Security Program Operating Manual, paragraph 2-106.1 authorizes the Board of Trustees to delegate certain duties and responsibilities related to contracts and grants to a university managerial group. This authority pertaining to the protection of classified information will be delegated to a managerial group within Auburn University. This resolution is required by Department of Defense regulations. Approval is requested to invoke the “Temporary Exclusion Resolutions” pertaining to Dr. G. Jay Gogue and Dr. John Weyhenmeyer from access to classified information under classified contracts until such time as the appropriate personnel security clearances are issued. Approval is requested to update the list of eligible university positions known as the Managerial Group for Auburn University by removing the following positions:

Associate Provost and Associate Vice President for Research  
Associate Vice President for Facilities Management  
Executive Director for Office of External Engagement and Support  
Senior Counsel for National Security Programs, Cyber Programs & Military Affairs

and replacing those positions with the following:

Executive Vice President  
Associate Vice President for Audit, Compliance and Privacy  
General Counsel  
Executive Director Institutional Compliance and Privacy

as well as adding one At-Large position:

Associate Dean of Research College of Engineering.

### **3. Approval and Naming of the National Pan-hellenic Council Legacy Plaza**

A proposal is before the Board for The NPHC Legacy Plaza, to be located in front of the new Academic Classroom and Laboratory (ACLC) which will include one central commemorative marker in recognition of the project donors and nine individual markers recognizing each NPHC chapter. Construction on the project is expected to begin in 2022.

**4. Election of Board of Trustees Officers**

Time will be allocated for election of the *President Pro Tempore* and *Vice President Pro Tempore* of the Auburn University Board of Trustees

**5. Appointment of Board Members to the Presidential Assessment Working Group**

Time will be allocated for discussion of the appointment of members of the Board of Trustees, along with the *President Pro Tempore* to a working group that will assist the Board with the Annual Presidential Assessment. This appointment will be for the 2019-2020 Assessment that will be provided to the Board of Trustees at the September 4, 2020 meeting.

**6. Appointment of Board Members to the Trustee Selection Committee**

Time will be allocated for selection of members of the Board to serve on the Trustee Selection Committee. This appointment will become effective immediately through the Annual Meeting in 2021, or until the appointment or reappointment of a member.

**7. Proposed Awards and Namings**

Time will be allocated for discussion of any awards and namings.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

QUAD RESIDENCE HALLS RENOVATION  
APPROVAL OF PROJECT INITIATION AND  
AUTHORIZATION TO COMMENCE THE ARCHITECT SELECTION PROCESS

WHEREAS, Student Affairs proposes a project to renovate the Quad Residence Halls; and

WHEREAS, the Quad Residence Halls complex consists of ten residence halls housing approximately 1,000 students; and

WHEREAS, four of the ten resident halls were built in 1938, with the remaining six being built in 1952; and

WHEREAS, the Quad Residence Halls have not been renovated since the 1990s; and

WHEREAS, the proposed project would renovate the Quad in five phases, with each phase consisting of two buildings a year, until all ten residence halls are updated; and

WHEREAS, the scope of work for the renovation of each building includes the replacement and upgrade of interior finishes, furnishings, and plumbing fixtures, upgrades to building electrical and mechanical systems, and American with Disabilities Act (ADA) modifications; and

WHEREAS, each phase will be presented to the Board of Trustees for Final Approval, prior to the start of the renovation for that phase; and

WHEREAS, it is anticipated that this renovation project would be financed by Campus Housing; and

WHEREAS, pursuant to the Board of Trustees policy 'D-3, Capital Projects Approval,' the initiation of this project must be submitted to the Auburn University Board of Trustees, through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the new Quad Residence Halls Renovation project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the project architect selection process with each phase to be presented to the Board of Trustees for final approval prior to the start of work.





FACILITIES MANAGEMENT  
M E M O R A N D U M

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**TO:** JAY GOGUE, President  
**THROUGH:** RONALD BURGESS, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**QUAD RESIDENCE HALLS RENOVATION: APPROVAL OF PROJECT  
INITIATION AND AUTHORIZATION TO COMMENCE THE ARCHITECT  
SELECTION PROCESS**

**DATE:** JUNE 25, 2020

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for July 9, 2020.

**Proposal:**

Consistent with standing policy, it is proposed that the Quad Residence Halls Renovation project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action to approve the initiation of the project and authorize the commencement of the project architect selection process.

**Review and Consultation:**

The Senior Vice President for Student Affairs has proposed a project to renovate the Quad Residence Halls. The Quad Residence Halls complex consists of ten residence halls housing roughly 1,000 students. Four of the residence halls were built in 1938; the remaining six residence halls were built in 1952. The Quad Residence Halls were last renovated in the 1990s.

The proposed project would renovate the Quad in five phases, each consisting of two buildings a year, until all ten residence halls are updated. Thus, the overall project duration is anticipated to be five years.

The scope of work for the renovation of each building includes the replacement and upgrade of interior finishes, furnishings, and plumbing fixtures. The proposed project also includes building electrical and mechanical system upgrades and American with Disabilities Act (ADA) modifications. Some room layouts will be reconfigured to provide more ADA accessible rooms in each building.

It is anticipated that the Quad Residence Halls Renovation project will cost approximately \$12,350,000 per phase for each of the five phases, for an estimated total project cost of \$61,750,000 to be financed by Campus Housing.

This request to the Board of the Trustees is for the initiation of the entire project. However, each phase will be presented to the Board of Trustees for Final Approval, prior to the start of the renovation for that phase.

If you concur, it is proposed that a resolution initiating the Quad Residence Halls Renovation project and authorizing the commencement of the project architect selection process, be presented to the Board of Trustees through the Property and Facilities Committee, for appropriate review and action at the meeting scheduled for July 9, 2020.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

SOUTH COLLEGE STREET PROPERTY CONVEYANCE

WHEREAS, the City of Auburn requests that Auburn University convey approximately 1.95 acres of University land to facilitate an road improvement project in Auburn, Alabama; and

WHEREAS, the City of Auburn is planning to begin a \$3.1 million dollar project on South College Street during the fall of 2020 to increase traffic capacity and improve traffic safety, pedestrian safety and American with Disabilities Act compliance, as well as to enhance street lighting and and drainage; and

WHEREAS, the University owns property adjacent to the existing South College Street roadway, and the City of Auburn project would encroach onto 1.95 acres of the University's land as shown in Attachment (1), which includes 1.10 acres identified for a temporary construction easement for the duration of the project; and

WHEREAS, conveyance of this property to City of Auburn will benefit the University and the general public, in that the proposed traffic improvements will provide safer roadways and pedestrian paths for the University community and area residents; and

WHEREAS, the City of Auburn has requested that the parcel be conveyed without charge; and

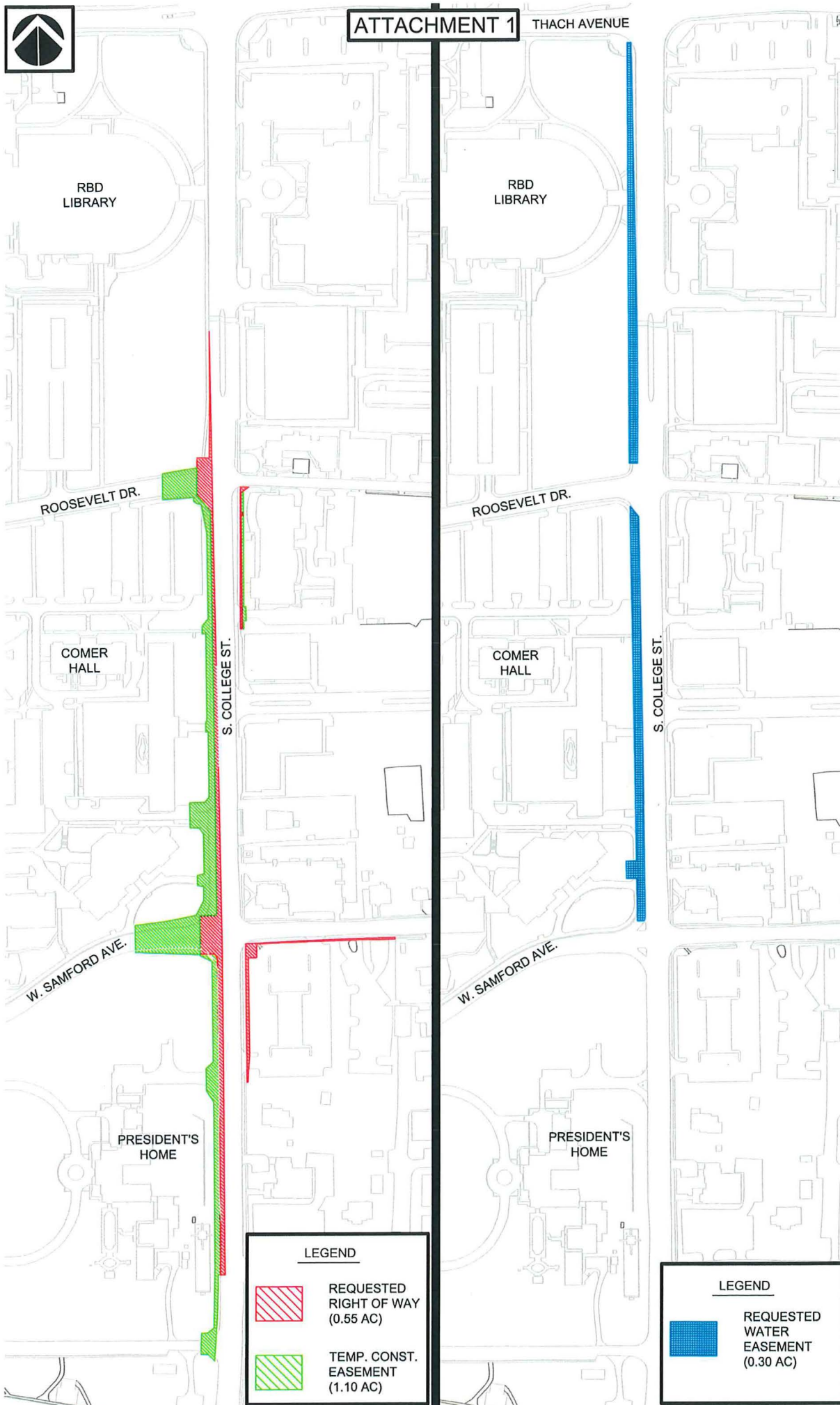
WHEREAS, the proposed transaction with the City of Auburn for easements and rights of way is exempt from state bidding requirements.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such person as may be acting as President, be and the same is hereby authorized and empowered to convey 0.85 acres of land at the Auburn University main campus described in Attachment (1) to the City of Auburn, and to grant a temporary easement over an additional 1.10 acres of University land for the duration of the City's project, to facilitate the City's South College Street improvement project. All documents consummating the conveyance shall be reviewed as to form by legal counsel for Auburn University.



# ATTACHMENT 1

THACH AVENUE



## LEGEND



REQUESTED  
RIGHT OF WAY  
(0.55 AC)



TEMP. CONST.  
EASEMENT  
(1.10 AC)

## LEGEND




REQUESTED  
WATER  
EASEMENT  
(0.30 AC)





REAL ESTATE DEPARTMENT  
M E M O R A N D U M

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**TO:** JAY GOGUE, President  
**THROUGH:** RONALD BURGESS, Executive Vice President  
**FROM:** MARK STIRLING, Director of Real Estate   
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
**SOUTH COLLEGE STREET PROPERTY CONVEYANCE  
AUBURN, ALABAMA**  
**DATE:** JULY 9, 2020

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This is to request that the following item be presented to the Board of Trustees through the Property and Facilities Committee and be included on the agenda of the called meeting scheduled for July 9, 2020.

**Proposal:**

The City of Auburn (COA) requests that Auburn University convey a small portion of University land to facilitate a roadway improvement project on S. College Street between Thach Ave. and Garden Drive. The project will require 0.55 acres of Right of Way for street improvement, 0.30 acres of water line easement to relocate a city water main, and 1.10 acres of Temporary Construction Easement to allow the city perform the work and install sidewalks. The 0.85 acres for street and water line improvements would be conveyed as a permanent Right of Way and a temporary easement would be granted on the remaining 1.10 acres for the duration of the project.

**Review and Consultation:**

COA is developing a project on S. College Street, as depicted on Exhibit 1. According to COA, the \$3.1 million dollar project will improve traffic capacity, traffic safety, improve lighting, ADA compliance, pedestrian safety, and drainage. The University owns the adjacent property immediately west of S. College Street, and COA's design would encroach onto 0.55 acres of University land, the water main easement will encroach on 0.30 acres of University land, and the city will construct pedestrian sidewalks on 1.10 acres of University land.

The University originally acquired the land to establish the Auburn University main campus in two transactions in 1923 and 1960. The project will not interfere with any current use or intended future development plans. Conveying the parcels and granting the temporary easement described herein to COA will benefit the University and the general public, in that the proposed traffic improvements will provide safer roadways and pedestrian paths for the University community and area residents.

The Board of Trustees 'Policy D-7, Real Estate Sale and Lease Policy' allows for the sale of property when it is in the best interest of the University and when the use of the existing property is required for other public purposes. This transaction is not subject to state bidding requirements.

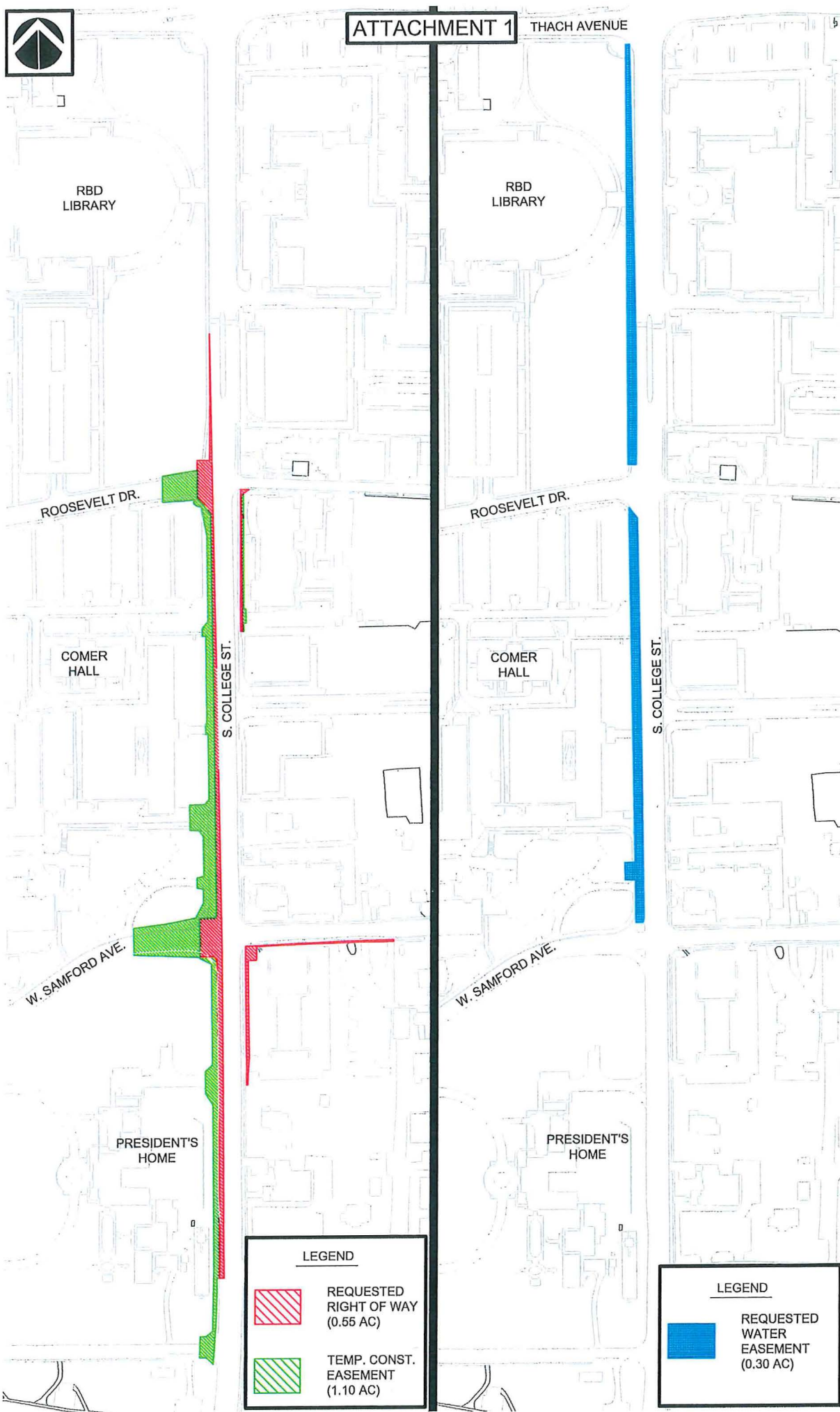
COA has requested that AU provide the property without reimbursement. COA intends to execute the S. College Street Road Improvements project beginning in the fall of 2020.

**Recommendation:** University staff recommends approval of this transaction and requests your consideration whether it should be presented to the Board of Trustees through the Property and Facilities Committee at the called meeting scheduled for July 9, 2020.



# ATTACHMENT 1

THACH AVENUE



RBD LIBRARY

RBD LIBRARY

ROOSEVELT DR.

ROOSEVELT DR.

COMER HALL

COMER HALL

W. SAMFORD AVE.

W. SAMFORD AVE.



PRESIDENT'S HOME

PRESIDENT'S HOME


S. COLLEGE ST.

S. COLLEGE ST.

## LEGEND

-  REQUESTED RIGHT OF WAY (0.55 AC)
-  TEMP. CONST. EASEMENT (1.10 AC)

## LEGEND

-  REQUESTED WATER EASEMENT (0.30 AC)

PROPERTY AND FACILITIES COMMITTEE

REAL ESTATE HOLDINGS ANNUAL REPORT

Time will be allocated for the Real Estate Holdings Annual Report.



## PROPERTY AND FACILITIES COMMITTEE

### EXECUTIVE SUMMARY

#### REAL ESTATE REPORT ANNUAL REPORT TO COMMITTEE

The Real Estate Records Procedure of Auburn University stipulates that a report of the University's real estate holdings be furnished to the Property and Facilities Committee on the occasion of the annual meeting of the Board of Trustees. With the beginning of Fiscal Year 2010-2011 (FY2011), responsibility for maintenance of the real estate records for Auburn University and Auburn University at Montgomery was assigned to the Auburn University Real Estate Department. Members of the senior staff of the Auburn University Real Estate Department will be prepared to address questions concerning the "Summary of Real Estate Holdings for 2019" which itemizes the property inventory and conveyances that have occurred since the report in 2018. There were three (3) conveyances of real property reported during this period.



AUBURN  
UNIVERSITY

REAL ESTATE DEPARTMENT  
M E M O R A N D U M

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TO: JAY G. GOGUE, PRESIDENT  
THROUGH: RON BURGESS, CHIEF OPERATING OFFICER  
FROM: MARK STIRLING, DIRECTOR OF REAL ESTATE  
SUBJECT: PROPERTY AND FACILITIES COMMITTEE  
ANNUAL REPORT OF REAL ESTATE HOLDINGS  
DATE: JULY 9, 2020  
CC: FILE

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This is to request that the following item be presented to the Board of Trustees through the Property and Facilities Committee and be included on the agenda of the meeting scheduled for July 9, 2020.

**Proposal:**

Policy of the Board of Trustees requires that a listing of property owned by Auburn University be submitted to the Board, through the Property and Facilities Committee, for its information and review on the occasion of its annual meeting. Accordingly, property listing and summary based on last year's report and changes that have occurred since June 2019 have been prepared and are submitted for the Board's information and review. (Attachments 1 and 2)

**Review and Consultation:**

Responsibility for management of real estate records was assigned to the Director of Real Estate at the close of Fiscal Year 2010. Records indicate that the following conveyances of real property have occurred since June 2019: three (3) for Auburn University; none (0) for Auburn University at Montgomery; none (0) for the Alabama Agricultural Experiment Station; and none (0) for the Alabama Cooperative Extension System.

**Rationale for Recommendation:**

Submission of the information included in the "Summary of Real Estate Holdings" (Attachment 1) is required by policy of the Auburn University Board of Trustees.

ATTACHMENT 1

**SUMMARY OF REAL ESTATE HOLDINGS**  
AUBURN UNIVERSITY *and* AUBURN UNIVERSITY AT MONTGOMERY

ANNUAL REPORT  
2020



JULY 9, 2020

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**AUBURN UNIVERSITY  
REAL ESTATE TRANSACTIONS FY-2019  
July 9, 2020**

**Auburn University Real Estate Department**

**DIVISION I:**                    **AUBURN UNIVERSITY**

1. North Auburn Research Station. AU conveyed 0.51 acres of property at the intersection of N. College Street and Farmville Road in Auburn, AL. The property will be used by the Alabama Department of Transportation to construct a round-a-bout to improve traffic flow, reduce congestion and enhance vehicular and pedestrian safety.
2. InSouth Property. AU swapped 0.33 acres of AU land at 2013 Skyway Drive, Auburn, AL for an adjacent 2.9-acre parcel owned by Scott Land Company. Scott Land required the Au property to facilitate a development project for the Alabama Department of Revenue
3. North Alabama Horticulture Substation Conveyance. AU conveyed 0.60 acres of property fronting Highway 157 in Cullman, AL. The property will be used as Right of Way by the Alabama Department of Transportation in a construction project to improve traffic capacity, traffic safety, and drainage.

**DIVISION II:**                    **AUBURN UNIVERSITY AT MONTGOMERY**

No conveyances reported.

**DIVISION III:**                    **ALABAMA AGRICULTURAL EXPERIMENT STATION**

No conveyances reported.

**DIVISION IV:**                    **ALABAMA COOPERATIVE EXTENSION SYSTEM**

No conveyances reported.

**AUBURN UNIVERSITY**  
**SUMMARY OF REAL ESTATE HOLDINGS FY 2019**  
June 9, 2020

Auburn University Real Estate Department

DEED FILE NUMBER	DATE OF ACQUISITION	FROM WHOM ACQUIRED	ORIGINAL COST / FMV	CURRENT USE	ACREAGE / RESTRICTIONS	LOCATION OF PARCEL
2	Feb. 28, 1945	Mary E. Cox (Auburn Development Society, Inc.)	38,335.36	Academic	9.73 acres/No	Magnolia Ave AU/Main Campus
3	July 17, 1915	A.H. Cox	2,400.00	Academic	6.5 acres/No	Thach Ave. & Donahue Dr. AU/Main Campus
4	August 7, 1920 - August 17, 1901	Eva A. Smythe, et al	3,200.00	Academic	6 acres/No	Magnolia Ave. & Tiger Street AU/Main Campus
5	April 6, 1877	Matilda Lee	250.00	Academic	2.75 acres/No	Magnolia Ave. & Tiger Street AU/Main Campus
6	Feb. 8, 1923	Walter & Fannie Hoffman	2,500.00	Academic	2.14 acres/No	Thach Ave. AU/Main Campus
6A	March 3, 1915	J.W. Leila & F. Wright	400.00	Academic	1.5 acres/No	Thach Ave. AU/Main Campus
8	Feb. 17, 1872	East Ala. Male College	150.00	Academic	15 acres/No	College St. & Thach Ave.
10	June 5, 1889	W.L. Chambers, et al	2,755.98	Academic	1.5 acres/No	Magnolia Ave. (W) AU/Main Campus
11	Sept. 14, 1887	E. & T.L. Frazier	10.00	Academic	.5 acres/No	Magnolia Ave. & College Street AU/Main Campus
13	Aug. 20, 1926	Lee County Probate Court	4,500.00	Academic	.25 acres/No	Magnolia Ave. & College Street AU/Main Campus
14	Dec. 9, 1922	W.F. Heavey	2,200.00	Academic	.22 acres/No	Magnolia Ave. & College Street AU/Main Campus
15	March 26, 1908	Roger A.P.C. & C.C. Jones	200.00	Academic	.02 acres/No	Magnolia Ave. & College Street AU/Main Campus
16	Aug. 2, 1907	M.L. Bradford	3,500.00	Academic	.67 acres/No	College St. AU/Main Campus
16A	Aug. 2, 1907	Clay Zuber	800.00	Academic	Part of #16/No	College St. AU/Main Campus
16B	Aug. 2, 1907	T.C. & G.E. Bradford	726.27	Academic	Part of #16/No	College St. AU/Main Campus
17	May 9, 1914	Bessie L. Brown	50.00	Academic	.11 acre/No	College St. AU/Main Campus
18	April 12, 1922	Young Men's Christian Assoc. of API- Exchange of Property to API	Land Exchange	Academic	.29 acre/No	College St. AU/Main Campus
20	Nov. 4, 1925	Amos H. Cox	1,000.00	Academic	.75 acres/No	Donahue Dr. AU/Main Campus
21	Sept. 13, 1920	Elijah & Annie Lampkin	900.00	Academic	1.5 acre/No	Thach & Donahue Dr. AU/Main Campus
22	Aug. 2, 1915	C.A. & Emma Cary	38.00	Academic	.11 acre/No	Thach Ave. AU/Main Campus
23	Aug. 2, 1915	C.A. & Emma Cary	522.00	Academic	1 acre/No	Thach Ave. AU/Main Campus
24	Aug. 27, 1915	R.M. Mitchell	1,500.00	Academic	17 acres/No	Thach & Donahue Dr. AU/Main Campus
25	July 9, 1923 (Recd 6/16/65)	J.H. & M.E. Drake	2,500.00	Academic	13.6 acres/No	Thach Ave. AU/Main Campus
26	March 13, 1923	E.E. & D.C. Thomas	2,500.00	Academic	4 acres/No	Duncan Dr. AU/Main Campus
27	April 17, 1907	Lula A. & Lummis A. Knapp	2,000.00	Academic	5.5 acres/No	Mell & Roosevelt AU/Main Campus
28	Feb. 17, 1930	Thach Estate	35,000.00	Academic	2.5 acres/No	Mell & Thach AU/Main Campus
29	Aug. 18, 1903	P.H. & A.W. Mell	2,700.00	Academic	1 acre/No	Thach & Mell AU/Main Campus
30	March 29, 1930	Thach, Smith & Hamilton	14,000.00	Academic	.610 acres/No	Mell St. AU/Main Campus

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31	April 19, 1934 (Recd 6/16/65)	M.A. Glenn	15,000.00	Academic	.750 acres/No	Mell St. AU/Main Campus
32	Dec. 13, 1923	D.T. & L.D. Gray	5,600.00	Academic	.600 acres/No	Mell & Roosevelt AU/Main Campus
33	March 16, 1920	T.J. Boyd & Flemings	6,000.00	Academic	2 acres/No	Mell St. AU/Main Campus
34	Oct. 26, 1928	M.E. Drake & Askews	11,200.00	Leased	1.86 acres/Yes	College & Thach AU/Main Campus
35	June 12, 1923	Mason Estate	10,000.00	Leased	1.9 acres/Yes	College St. AU/Main Campus
36	Oct. 26, 1928	S.B. Madre	12,000.00	Leased	.77 acres/Yes	College St. AU/Main Campus
37	Oct. 27, 1928	F.C. & C.W. Hulse	3,400.00	Leased	.70 acres/Yes	College St. AU/Main Campus
38	Oct. 15, 1937	R.P. & D. Ward	6,765.00	Academic	165 acres/No	Samford & Hiram Ln. AU/Main Campus
39	Oct. 27, 1928-April 23, 1930	James H. Farrar Estate	2,265.00	Academic	15 acres/No	Biggio Drive AU/Main Campus
40	Oct. 12, 1884	J.H. & M.E. Drake	636.00	Academic	31.8 acres/No	Duncan Drive AU/Main Campus
41	Jan. 14, 1884	E.R. Rivers	500.00	Academic	37 acres/No	College St. AU/Main Campus
41A	Dec. 30, 1886	Strom Estate	135.00	Academic	7 acres/No	College St. AU/Main Campus
43	Oct. 11, 1935	F.L. & J.W. Drake	6,000.00	Leased	.6 acres/Yes	College St. AU/Main Campus
43A	March 23, 1929	J.H. Drake Family	3,200.00	Leased	.38 acres/Yes	College St. AU/Main Campus
44	Nov. 29, 1899	L.A. Foster Estate	538.00	Academic	78 acres/Yes	Donahue Drive AU/Main Campus
45	March 5, 1884	J.B. Gay	1,700.00	Academic	70.7 acres/No	Mell Street AU/Main Campus
46	Oct. 27, 1924	J.A. Cullars Estate	2,500.00	Leased?	1.35 acres/Yes	College & Samford AU/Main Campus
47	Sept. 1, 1923	R.T. & P.P. Dubose	3,995.00	Academic	93 acres/Yes	Wire Road AU/Main Campus
48	Nov. 23, 1921	O.B. & R.E. Ennis/T.O. & M.B. Wright	1,800.00	Academic	50 acres/No	Farm Road AU/Main Campus
49	Sept. 17, 1923	A.Z. & W.H. Pace	4,360.00	Academic	109 acres/Yes	Donahue Drive AU/Main Campus
50	May 15, 1924	Sophonra E. Foster	5,219.50	Academic	47.5 acres/No	Donahue Drive AU/Main Campus
51	July 9, 1943	Cherry Estate	1.00	Academic	2.8 acres/No	Shug Jordan Pkwy. AU/Main Campus
51A	May 1, 1944	B.C. & L.C. Pope	3,250.00	Academic	58.2 acres/No	Wire Road AU/Main Campus
52	Dec. 7, 1923	Foster Family	2,160.50	Academic	50.2 acres/No	Shug Jordan Parkway AU/Main Campus
53	Sept. 17, 1923	W.T. & C.P. Rutledge	2,376.00	Academic	60 acres/No	Camp Auburn Rd. AU/Main Campus
54	Sept. 1, 1923	John D. Foster	5,000.00	Academic	40 acres/Yes	Donahue & College AU/Main Campus
55	Oct. 23, 1938	Bessie A., Emrick, L.A. & G.A. Miller	3,698.00	Research	26 acres/No	College & Woodfield AES/Main Campus
56	Feb. 3, 1939	M.L. Cullars	5,000.00	Academic	225 acres/Yes	College & Shug Jordan Pkwy AU/Main Campus
57	3/28/40-3/3/43, 10/11/98	A. - O. Airport, Inc. et al	41,149.10	Academic	333.23 acres/No	Pitts Airport Au/Lee County
58	Feb. 16, 1940 - May 20, 1940	Bradley, Evans, & Duke	8,175.00	Academic	596.173 acres/No	N. Auburn Fisheries Unit State 147 AU/Lee County
58A	Dec. 18, 1943	G.L. & M.V. Story	8,500.00	Academic	699 acres/Yes	N. AU Fisheries Unit Lee Rd. 046 AU/Lee County
59	July 10, 1943 - June 10, 1941	D.E. & Minnie Lee, B'ham T. & S. Co.	1,990.00	Research	300 acres/No	Autauga Co. Forest Unit - AU/Autauga Co.
	Aug. 22, 1928 - Oct 9, 1937	Autauga Co. Probate Off., Birdie I. Doster	2,405.00	Research	80 acres/No	Prattville Exp. Field - AES/Autauga Co.

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60	Dec. 29, 1929 (Recd 9/13/52)	Mary J. Brassil	1.00	Research	5 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	May 14, 1930 (Recd 9/13/52)	S.E. & B.W. Riall	1.00	Research	80 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Nov. 27, 1929 (Recd 7/6/50)	J. & J. Shuller	1.00	Research	1 acre/No	Gulf Coast Subst-AES-Baldwin Co.
	July 27, 1936	W.C. Mason	1.00	Research	76.91 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Nov. 27, 1929	H.A. Goodrich	1.00	Research	73.87 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Nov. 27, 1929	O. & J. Lowell	1.00	Research	1 acre/No	Gulf Coast Subst-AES-Baldwin Co.
	Nov. 27, 1929	J.M. & M.C. Green	10.00	Research	20 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Nov. 27, 1929	R. & B. Klump	1.00	Research	3 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Nov. 27, 1929	C.G. & F.L. Godard	1.00	Research	80 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Oct. 9, 1929	W.H. & M. Stapleton	1.00	Research	100 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Oct. 9, 1929	F.A. & F. Duba	1.00	Research	79.56 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Oct. 9, 1929	D.C. & L. Stapleton	1.00	Research	140 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Oct. 9, 1929	J.R. Aylin	1.00	Research	40 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Dec. 14, 1993	Baldwin Co. Court Decree	Donated	Research	85.72 acres/No	Gulf Coast Subst-AES-Baldwin Co.
61	May 23, 1940	Barbour County	1.00	Research	178 acres/No	Barbour Co. Forest Unit - AU Barbour County
64	April 11, 1940	J.T. & M.C. Newman	1.00	Research	160 acres/No	Coosa Co. - Forest Unit - AU Coosa County
65A	June 7, 1946	J.A. Cams Family	12,000.00	Research	100 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
	Dec. 8, 1937	W.V. & G. Roden	5,760.00	Research	66 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
	Sept. 30, 1935	L. & M. Weatherington	2,000.00	Research	40 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
	Aug. 22, 1928	W.V. & G. Roden	1,700.00	Research	18 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
	Aug. 22, 1928	J.W. & E. Black	4,400.00	Research	40 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
	Aug. 22, 1938	W.B. & E.E. Jones	18,000.00	Research	182 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
65B	Oct. 16, 1946	R.C. & N.M. Christopher	7,200.00	Research	60 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
66	Aug. 21, 1929	C.S. & M. Cook	5,000.00	Research	60 acres/No	Brewton Exp. Field-AES-Escambia Co.
	July 16, 1938	A. & F. Nicholson	500.00	Research	19.6 acres/No	Brewton Exp. Field-AES-Escambia Co.
67	Nov. 2, 1942	R.E. & B. Soloman	750.00	Research	23 acres/No	Wiregrass Subst-AES-Henry Co.
	May 14, 1936	A.D. & K. Walden	2,852.00	Research	38.021 acres/No	Wiregrass Subst-AES-Henry Co.
	March 14, 1929	Roberts & Wilkinson Families	26,801.00	Research	220 acres/No	Wiregrass Subst-AES-Henry Co.
	Aug. 23, 1941	O. & C.F. Wilkinson	2,401.00	Research	40 acres/No	Wiregrass Subst-AES-Henry Co.
	April 30, 1929	E. & M. Lindsay	1.00	Research	Private Road	Wiregrass Subst-AES-Henry Co.
	April 30, 1929	Mary Baker	1.00	Research	Private Road	Wiregrass Subst-AES-Henry Co.
69	March 5, 1946	John & Irene Grayson	57,417.60	Research	475.18 acres/No	Tenn. Valley Subst-AES-Limestone Co.
	March 9, 1928	J.H. Tolley & Limestone Co.	30,000.00	Research	240 acres/No	Tenn. Valley Subst-AES-Limestone Co.
	April 6, 1937	Limestone Co., Alabama	1.00	Research	35 acres/No	Tenn. Valley Subst-AES-Limestone Co.
72	Oct. 29, 1930-July 19, 1967	City of Mobile-4 Deeds	4.00	Research	17.2 acres/Yes	Ornamental Hort. Subst-AES-Mobile Co.
73	June 19, 1929	J.R. Eddins	4,500.00	Research	40 acres/Yes	Monroeville Exp Field-AES-Monroe Co.

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	Jan. 6, 1940	Willie Sawyer	3,200.00	Research	39 acres/No	Monroeville Exp. Field-AES-Monroe Co.
74	March 19, 1930	Dallas Co., Alabama	Donated	Research	80 acres/No	Blackbelt Subst.-AES-Dallas County
	March 19, 1930	Dallas Co., Alabama	Donated	Research	1035 acres/No	Blackbelt Subst.-AES-Dallas County
76	Sept. 21, 1946	L.S. & S.H. Smith	1,580.00	Research	158 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Oct. 2, 1946	L.S. & S.H. Smith	2,900.00	Research	103.5 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Sept. 2, 1946	Z.A. & D. Rowland	1,600.00	Research	160 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Nov. 6, 1944	Z. Housh & J.D. Bone	1,440.00	Research	120 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Nov. 4, 1944	C.M. & C.C. Anderson	750.00	Research	60 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Nov. 4, 1944	T.M. & E. McClesky	875.00	Research	70 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Nov. 4, 1944	B.F. & M.B. Harkins	3,750.00	Research	160 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Nov. 6, 1944	J.V. & R. Gilpin	3,750.00	Research	200 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Nov. 6, 1944	J.P. & P. Trim	800.00	Research	60 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Dec. 15, 1944	Estate of T.H. Robertson	4,250.00	Research	240 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
77	Feb. 23, 1945	A.T. & J. Couch	175.00	Research	2 acres/No	Upper Coastal Plain Subst-AES-Marion Co.
	Dec. 28, 1944	A.T. & J.Y. Couch	5,200.00	Research	80.2 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
	Dec. 28, 1944	E.M. & M.E. Eamest	2,000.00	Research	42 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
	Dec. 28, 1944	G.W. & C. Couch	7,000.00	Research	150 acres/No	Upper Coastal Plain Subst-AES-Fayette & Marion Co.
	Dec. 28, 1944	J.W. & Julia M. Ward	6,050.00	Research	82 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
	Dec. 28, 1944	Hazel Couch	10,000.00	Research	298 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
	Dec. 28, 1944	D.H. & E.J. May	2,100.00	Research	40 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
	Dec. 28, 1944	J.D. May	1,900.00	Research	41 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
78	June 21, 1945	Auburn Country Club	30,000.00	Academic	60.95 acres/No	W. Magnolia Ave. AU/Main Campus
	Sept. 7, 1945 (Recd 7/31/78)	Beulah Gerson	52,500.00	Research	664 acres/No	PBU-AES-Elmore County
81	October 3, 1946	Cary Estate	30,000.00	Academic	20 acres/No	Wire Road AU/Main Campus
82	June 6, 1946	County Condemnation	6,749.00	Research	258 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 20, 1944	H.J. & D.E. Willis	3,640.00	Research	240 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 21, 1944	J.S. Norris	2,400.00	Research	112 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	A. & E. Evans	4,040.00	Research	160 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	S. & E. Woodyard	2,340.00	Research	107 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 20, 1944	L. Ward	812.00	Research	51.45 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	W.F. & Donna McGinty	540.00	Research	20 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	G. & S. Brown	3,540.00	Research	100 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 27, 1944	C.B. & C.E. Sullivan	5,040.00	Research	160 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	L. Garlington	4,040.00	Research	32 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Nov. 15, 1945	L.E. & T.G. Jennings	2,290.00	Research	9 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	T.J. & B.M. Henderson	3,240.00	Research	160 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 27, 1944	Federal Land Bank of New Orleans	60.00		Mineral Rights	Piedmont Subst.-AES-Tallapoosa Co.
83	Jan. 27, 1948	Presbyterian Church	10.00	Academic	.13/No	Thach Ave. - AU/Main Campus
84	Feb. 2, 1948	E.J. & Leona Orth	1.00	Research	159.32 acres/No	N. Alabama Horticulture Substation-AES-Cullman Cty.



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85	April 3, 1948	O.D. & C.F. Wilkinson	33,000.00	Research	276 acres/No	Wiregrass Subst.-AES-Henry County
87	Jan. 11, 1949	T.J. & M.F. Whatley	5,080.00	Leased	25.4 acres/Yes	Forestry Unit AU/Lee County
88	Nov. 27, 1948	Sims Family	13,500.00	Academic	20 acres/No	Wire Road AU/Main Campus
89	Jan. 25, 1961-	U.S.D.A. (Farrar Estate)	10.00	Academic	40 acres/No	Roosevelt St. AU/Main Campus
93	Dec. 8, 1948	R. & L.S. Gore	9,750.00	Research	65 acres/No	Chilton Area Hort. Subst.-AES- Chilton
	Dec. 8, 1948	R.T. & M. Davis	12,000.00	Research	80 acres/No	Chilton Area Hort. Subst.-AES-Chilton
93A	Dec. 8, 1960	Cox Family	5,000.00	Research	16 acres/No	Chilton Area Hort. Subst.-AES-Chilton
94	Jan. 10, 1949	McGhee, Meritt & McCabe Families (SOLD)	-	Support	.6 acre/No	RR Warehouse AU/Lee County (SOLD - 5/5/2016)
98	Jan. 14, 1948	W.D. & M. Clements	17,280.00	Academic	576 acres/No	N. Auburn Dairy Unit-AU-Lee County
99	Feb. 23, 1949	Mr. & Mrs. J.L. Fincher	2,500.00	Academic	80 acres/No	N. Auburn Fisheries Unit-AU-Lee Co.
100	March 21, 1949	A.C. & S.C. Carter	19,630.00	Academic	394.2 acres/No	N. Auburn Beef Cattle-AU-Lee Co.
101	July 22, 1949	L.L. & W.W. Bradley	4,050.00	Academic	81 acres/No	N. Auburn Beef Cattle-AU-Lee Co.
101A	July 22, 1949	M.E. & M.B. Earnest	4,050.00	Academic	81 acres/No	N. Auburn Beef Cattle-AU-Lee Co.
102	July 5, 1949	J.S. & C. Smith	3,000.00	Research	1 acre/No	Wiregrass Subst.-AES-Henry County
	May 14, 1936	R.S. & E. Solomon	1,200.00	Research	3 acres/No	Wiregrass Subst.-AES-Henry County
103	Aug. 5, 1963	E.P. Strother	36,000.00	Research	240 acres/No	Lower Coastal Plain Subst.-AES-Wilcox Co.
	Aug. 29, 1949	Wilcox County	1.00	Research	1,210.2 acres/No	Lower Coastal Plain Subst.-AES-Wilcox Co.
	May 30, 1951	Wilcox County	1.00	Research	539 acres/No	Lower Coastal Plain Subst.-AES-Wilcox Co.
	Jan. 7, 1967	J. & S.M. Strother	39,600.00	Research	240 acres/No	Lower Coastal Plain Subst.-AES-Wilcox Co.
104	April 18, 1950	A.C. & S.C. Carter	15,712.50	Academic	384.1 acres/Yes	N. Auburn Beef Cattle Un.-AU-Lee Co.
107	June 6, 1950	G.L. & M.B. Jackson	2,000.00	Academic	40 acres/No	N. Auburn Beef Cattle Un.-AU-Lee Co.
108	Oct. 11, 1950	Dewey Hodge	5,000.00	Academic	51.5 acres/No	N. Auburn Beef Cattle Un.-AU-Lee Co.
	Dec. 2, 1953	D.W. Ward	1,200.00	Academic	1.3 acres/No	N. Auburn Beef Cattle Un.-AU-Lee Co.
112	Aug. 21, 1957	R.E. Hudson Estate	104,193.21	Academic	333.81 acres/Yes	Wire Road AU/Main Campus
114	Jan. 27, 1960	K.M. Lane	150,000.00	Academic	4.47 acres/No	College Street AU/Main Campus
115	May 2, 1962	S.R. Copeland	6,500.00	Reserve	1.92 acres/No	Wire Road AU/Main Campus
117	July 5, 1962	C.A. Lowery	9,000.00	Reserve	1 acre/No	Wire Road AU/Main Campus
118	Sept. 19, 1962	Z. Judd Estate	580.98	Academic	.17 acre/No	Arboretum - College St AU/Main Campus
119	Dec. 26, 1962 - Sept. 14, 1990	P. Kappa Alpha Dowdell Haygood -Yancey	52,954.76	Leased	6.13 acres/No	Sigma Phi Epsilon & Sigma Pi Magnolia Ave. AU/Main Campus
122	Nov. 9, 1966	John A.C. & Ruby W. Callan	32,500.00	Leased	.39 acres/Yes	College Street-AU/Main Campus
124	Oct. 27, 1971	A. Hutchinson	10,500.00	Academic	18.8 acres/No	N. Auburn Fisheries AU/Lee County
126	Nov. 24, 1971	J. & L. Walker	14,840.00	Academic	42.4 acres/No	N. Auburn Fisheries AU/Lee County
127	June 2, 1973	J.D. & M.L. Davis	58,315.00	Academic	44 acres/No	N. Auburn Fisheries AU/Lee County
128	May 31, 1976	L.R. Turner (Land Exchange)	1.00	Academic	1.71 acres/No	Farmville Church AU/Lee County
129	Dec. 23, 1974 - June 30, 1975	Sheppard, Crowley & Jeter	255,078.00	Research	511.37 acres/No	E.V. Smith Research Ctr.-AES-Macon Co.
131	May 31, 1974	B.W. & F.L. Walker, J.M. & S.R. Walker	1,250,672.29	Research	2,715.52 acres/No	E.V. Smith Research Ctr.-AES-Macon Co.
133	Feb. 5, 1975	W.E. & M.L. Bell	25,000.00	Research	5.43 acres/No	North Auburn Fisheries-AU-Lee County
134	Sept. 10, 1976	Turnipseed - Ikenberry	275,000.00	Research	1,028 acres/No	Turnipseed - Ikenberry Unit AES- Bullock County

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135	Oct. 8, 1976	J.W. & V.M. Goodwin	101,904.00	Reserve	3.04 acres/No	Birmingham-AU-Jefferson County
136	May 21, 1975	S.A. & P.W. Edgar	120,500.00	Academic	112.5 acres/No	N. Auburn Fisheries-AU-Lee County
137	Nov. 21, 1978	Solon Dixon, M.D. & H.P. Coale	120,000.00	Academic	160 acres/Yes	S. Dixon Ctr-AU-Escambia & Covington
	Dec. 7, 1979	Solon Dixon	145,350.00	Academic	193.8 acres/Yes	S. Dixon Ctr-AU-Escambia & Covington
	August 20, 1980	Solon Dixon	9,334.00	Academic	40 acres/Yes	Solon Dixon Center-AU-Covington Co.
	Sept. 8, 1986	Solon Dixon & Timber Value	693,919.35	Academic	1,193.6 acres/Yes	Solon Dixon Ctr. - AU - Escambia Co.
	Sept. 4, 1986	Solon Dixon & Timber Value	2,206,226.21	Academic	3,794.9 acres/Yes	Solon Dixon Ctr. - AU - Covington Co.
	May 21, 1987	Solon Dixon	10,333.25	Academic	40 acres/Yes	Solon Dixon Ctr. - AU - Covington Co.
139	Oct. 17, 1980	Wigley - Harper Estates	76,500.00	Research	116 acres/Yes	Wigley - Harper - AU - DeKalb Co.
140	Dec. 8, 1983	Mt. Vernon Mills, Inc.	5,000.00	Research	5.47 acres/No	Plant Breeding Unit - AES - Elmore Co.
142	June 6, 1984	R. L. F. & T.M. Baxter, Jr.	48,000.00	Research	40 acres/No	Wiregrass Substation-AES-Henry County
143	Sept. 21, 1984	Mary Olive Thomas Estate	380,000.00	Extension	399.79 acres/Yes	Moore's Mill Rd.(Hwy. 12)-CES-Lee County
144	Sept. 30, 1984	Auburn Industrial Development Board	8,711.87	Academic	2.25/Yes	Shug Jordan Pkwy. AU/Main Campus
147	April 4, 1986	Knight,Robinson,Kendrick,Stallings & Janies	198,000.00	Academic	88.137 acres/No	Airport AU/Lee County
148	Dec. 8, 1986	Fairchild Industries, Inc. (Insouth)	300,000.00	Research	12.63 acres/No	Skyway Drive AU/Lee County
149	Feb. 17, 1987	J.C. Mullins	2,500.00	Academic	0.072 acre/Yes	Woodfield Drive AU/Lee County
150	Dec. 18, 1987	Alumni Association	175,000.00	Leased	.4 acres/Yes	Miller Ave. AU/Lee County
151	Dec. 29, 1988	Maranatha Christian Churches Inc.	135,000.00	Academic	.25 acre/No	Magnolia Ave. AU/Lee County
152	March 17, 1994	Louise Kreher Turner (Through AUF)	297,500.00	Academic	119 acres/Yes	N. Auburn-Hwy 147 AU/Lee County
153	Nov. 10, 1994	B.W. Capps & Sons, Inc.	524,091.61	Research	1 Lot	AU Pavement Test Facility/Lee County
154	June 22, 1995	Lee County Educational Foundation	2,308,014.50	Academic	16.19 acres/Yes	Pitts Airport AU/Lee County
155	Sept. 27, 1996	Solitude Creek Joint Venture II	940,000.00	Research	Fish Ponds	Beauregard, AL
159	Jan. 26, 2000	Glenn Estates, Inc.	260,000.00	Academic	20 acres/No	CVM AU, Lee County
160	May 18, 2000	John L. Hartman	106,900.44	Research	31.36/No	N. Auburn/Lee County
"	June 30, 2000	Raymond and Rebecca Dowdell	101,960.54	Research	33.95/No	N. Auburn/Lee County
A128/295	April 28, 1998	AU Foundation/Gift Morisett	410,000.00	Academic	3.17 acres & 6.33	Hwy 61, Newberg, AL
161	June 18, 2001	Floyd & Winer Richards/Richards Family	1,215,228.57	Academic	38.28 acres/No	Webster Rd. AU/Lee County
162	Jan. 23, 1965	James Haygood/Lamb Dowdell	144,500.00	Reserve	.714 acres/No	Lincoln Hts. Subdivision/Auburn/Lee County, AL
163	Sep. 18, 2006	Pebble Hill Property - AU Foundation	208,000.00	Academic		101 Debardeleben St/Auburn/Lee County, AL
164	Sep. 18, 2006	CLOSED Robert G. & Leslie Pitts	0.00	Reserve	1.92 acres/No	724 S. College St (swapped for 174)
165	Oct. 18, 2007	Yarbrough Fams Golf Facility	575,000.00	Academic	25.5 acres/No	1493 N. Donahue Dr/Auburn/Lee County, AL
165A	Oct. 18, 2007	Burbic - Yarbrough Fams Golf Facility	75,000.00	Academic	25.5 acres/No	1493 N. Donahue Dr/Auburn/Lee County, AL
166	June 27, 2008	Liddell-Hall-Stroud Property - AU Found.	349,500.00	Research	50 acres/No	Wilcox County, AL
167	July 26, 2011	Cary Pick House	740,000.00	Academic	.95 acres/No	N. College St, Lee County, AL
168	November 3, 2009	McClure Clinton Estate	1,260,000.00	Research	248 acres/yes	Sumter County, AL
169	December 15, 2011	Bruno's Property	2,400,000.00	Academic	6 acres/No	E. Glenn Ave, Lee County, AL
170	October 15, 2011	Golden Property	337,500.00	Academic	1.6 acres/No	Webster Road, Lee County, AL
171	April 1, 1975	James S. Boyd		Gift Reserve	12.4 acres leased	Arlington Va - Washington and Lee Apartments
172	AUF	Graham/Head Fam	Pending	Research	7.7 acres/Leased	waiting on transfer from AUF
173	March 10, 2012	Hamis Early Learning Center	Leased Property	Academic	1.74 acres/leased	Birmingham - Child Development Center

DEED FILE NUMBER	DATE OF ACQUISITION	FROM WHOM ACQUIRED	ORIGINAL COST / FMV	CURRENT USE	ACREAGE / RESTRICTIONS	LOCATION OF PARCEL
174	October 18, 2013	Church of Christ	276,160.00	undecided	2.74 acres/no	Church of Christ Rental Houses (Pitts Swap)
175	June 2, 2014	Walthall	3,500.00	Academic	.85 acres/No	Rural Studio
176	October 29, 2014	Dean Property	1,004,976.65	Reserve	.8 acres/No	Samford Ave
177	January 9, 2015	Cambridge	11,500,000.00	Academic	2.55 acres	S. College Dr
178	February 4, 2015	West	270,000.00	Academic	80 acres/Yes	Jackson County - Timber Harvest for Forestry
179	December 11, 2015	Castillian	635,000.00	undecided	0.29 acres/Leased	Thach and Gay
180	March 28, 2016	North Park Deck	6,250,000.00	undecided	2 acres/No	W. Magnolia Ave. AU/Main Campus
181	April 4, 2016	Koart Property	1,169,500.00	Academic	750 acres/No	Talbot County, GA - Timber Harvest for C.O.Business
182	May 5, 2016	Pumphrey Warehouse	759,000.00	Administration	2.3 acres/No	Pumphrey Ave. AU/Main Campus
183	May 27, 2016	Ann B. Pearson	Leased Property	Academic	4.58 acres/Leased	Sunny Slope
184	March 16, 2017	T.K. Bullock	\$128,000.00	Research	80 acres/No	Covington County - Solon Dixon Center
185	March 31, 2017	Biggin/Woltosz House	\$900,000.00	Academic	.6 ares/No	Lee County, Auburn, North College
186	August 10, 2017	Dudley Apartments	\$600,000.00	Administration	.25 acres/No	Lee County, Auburn, W. Magnolia Ave.
187	April 3, 2018	Pace Property	\$5,000,000.00	Administration	177 acres/No	Lee County, Auburn, Longleaf Drive
188	January 11, 2018	Scott Property	\$115,000.00	Administration	1.74 acres/No	Lee County, Auburn, Auburn University Airport
189	September 19, 2018	David O. Whitten	\$372,500.00	undecided	1.01 acres/No	102 Kimberly Drive, Auburn, AL
190	November 28, 2018	Hood-McPherson Building	\$1,500,000.00	Administration	0.20 acres/No	2013 4th Avenue North, Birmingham, AL
<b>TOTAL</b>			50,650,841.04			

**AUBURN UNIVERSITY AT MONTGOMERY**  
**SUMMARY OF REAL ESTATE HOLDINGS FY 2019**

**June 9, 2020**

## AUBURN UNIVERSITY REAL ESTATE

DEED FILE NUMBER	DATE OF ACQUISITION	FROM WHOM ACQUIRED	ORIGINAL COST / FMV	CURRENT USE	ACREAGE / RESTRICTIONS	LOCATION OF PARCEL
1	July 29, 1969	McLemore Family	\$744,135.00	Academic	498.2 acres	AUM, Montgomery County
3B		Waller	40,000.00			AUM, Montgomery County
4	February 2, 2006	Cauthen Property (Hyundai)	162,900.00	Reserve	1.15 acres	AUM, Montgomery County
4	February 2, 2006	Cauthen Property (US 331))	350,900.00	Reserve	5.59 acres	AUM, Montgomery County
5	November 6, 2009	Beck Property (Bell Rd / I-85)	350,000	Reserve	8.57 acres	AUM, Montgomery County
6	October 30, 2015	Prescott Property	400,000.00	Reserve	6 acres	AUM, Montgomery County
7	February 23, 2016	McMahon Property	2,650,000.00	Reserve	22 Acres	AUM, Montgomery County
TOTALS			\$4,697,935.00			

## ACADEMIC AFFAIRS COMMITTEE

### RESOLUTION

#### PROPOSED BACHELOR OF SCIENCE IN GENETICS

WHEREAS, the College of Sciences and Mathematics is committed to providing the highest quality instruction by offering programs that advance human and animal health and well-being through scientific discovery; and

WHEREAS, the Department of Biological Sciences is proposing a new program, the Bachelor of Science in Genetics, for students interested in the study of the organization, function, and transmission of heritable information; and

WHEREAS, the establishment of a Bachelor of Science in Genetics would provide students with a flexible curriculum that promotes the study of molecular biology and genetic information theories necessary for advancing global solutions to genetic problems and human diseases; and

WHEREAS, the proposed Bachelor of Science in Genetics would prepare students for diverse careers in modern science and industry fields such as biotechnology, agriculture, food safety, public health, conservation, higher education, medicine, government departments, and research institutes, among others; and




WHEREAS, the request to create the Bachelor of Science in Genetics has been endorsed by the Dean of the College of Sciences and Mathematics, the University Curriculum Committee, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Bachelor of Science in Genetics from the College of Sciences and Mathematics be approved and submitted to the Alabama Commission on Higher Education for review and approval.



AUBURN UNIVERSITY

OFFICE OF THE PROVOST

**TO:** JAY GOGUE, President   
**THROUGH:** RONALD BURGESS, Executive Vice President   
**FROM:** BILL HARDGRAVE, Provost and Senior Vice President for Academic Affairs   
**SUBJECT:** Agenda Item – Proposed Bachelor of Science in Genetics  
**DATE:** June 3, 2020

I am writing to request that the following item be added to the Board of Trustees' agenda for the **July 9, 2020** meeting.

**Proposal:** The College of Sciences and Mathematics is proposing a new program of study leading to the Bachelor of Science in Genetics (CIP 26.0801).

**Review and Consultation:** Faculty in the Department of Biological Sciences initiated the proposed degree for students interested in pursuing professional careers in the evolving field of genetics. The proposed degree focuses on the scientific study of the organization, function, and transmission of heritable information, and will provide a flexible program for students in other applicable fields of study. By studying molecular biology and genetic information theories, graduates of the program will play an essential role in leading and advancing global solutions to genetic problems. Students will be prepared for diverse careers in the fields of biotechnology, agriculture and food safety, public health, environmental and conservation, higher education, hospitals, government departments, and research institutes. Moreover, the program prepares students for advanced study and graduate programs involving genomics and genetics applications.

By preparing students for professional careers in either the private or public sectors, the college will continue to produce graduates with the knowledge, skills, and technical competence necessary for advancing this important field of study. Projected enrollment is expected to be approximately 40 students initially, with as many as 150 annually. Resources required to offer the program currently exist within the department, and the proposed curricular plan will enable the college to draw from existing coursework. The University of Alabama at Birmingham offers the only comparable program in the State of Alabama.

**Recommendation:** It is recommended that the Board approve the proposed Bachelor of Science in Genetics. The degree was reviewed and approved by Auburn University's Curriculum Committee in spring 2020 and has been recommended by the College of Sciences and Mathematics and the Provost's Office. The proposed degree will be forwarded to the Alabama Commission on Higher Education for review and approval if approved by the Auburn University Board of Trustees.





COLLEGE OF SCIENCES AND MATHEMATICS  
DEPARTMENT OF BIOLOGICAL SCIENCES

TO: Bill Hardgrave  
Provost & Senior Vice President for Academic Affairs

THROUGH: Nick Giordano *Nick Giordano*  
Dean, College of Science and Mathematics

FROM: Scott Santos *Scott Santos*  
Head, Department of Biological Sciences

DATE: June 2, 2020

SUBJECT: Proposed Bachelor of Science in Genetics

*Approved 6/3/20*  
*Bill Hardgrave*

We request that the following proposal be added to the Board of Trustees' agenda for their July, 2020 meeting.

**Program Description:**

The proposed major is an undergraduate degree in Genetics, CIP Code: 26.0801. The proposed genetics major will provide a broad foundation in the biological, physical, and mathematical sciences fundamental to the study of heredity and evolution. The major will be flexible in order to serve students interested in genetics, either as a basic discipline or in its application in biotechnology, agriculture, environment/conservation, and medicine.

**Justification for Program:**

Genetics is central to understanding all aspects of biological science, and this field has driven many advances in modern medicine and agriculture. Understanding how to interpret and manipulate genetic information is a powerful skill that can be applied to a wide variety of careers. Knowledge in this field grows exponentially each year. Its applications in agriculture, the environment, food safety and improvement, conservation, and every aspect of human health are expanding without apparent limit. The Department of Biological Sciences (DBS) has made a number of recent hires with expertise in genetics and genomics. In addition, DBS has recently added several new courses that feature this expertise and offer high-level instruction in this field. These new courses have the capacity to accommodate additional enrollment. Although the department has adjusted programs to keep up with developing aspects of biology, no new majors have been added in more than 20 years. The confluence of existing courses and faculty expertise make this an ideal time to construct an official offering in genetics.

**Need for the Program in the State of Alabama:**

Currently, the University of Alabama in Birmingham is the only state institution that offers an undergraduate degree in Genetics. In keeping with their mission, UAB's degree is focused on medically-based genetics. Auburn's land-grant mission provides the unique ability to expand the scope of Genetics to include major elective choices in animal and plant biology, as well as additional major electives in Animal Science, Biotechnology, Horticulture, Crop and Soil Sciences, and Forestry. The proposed Auburn major would provide a foundation in the applications of genetics and

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genomic-based work in a wide variety of disciplines that would extend beyond what is currently available in the state.

**Employment Opportunities:**

A degree in Genetics will prepare students for industry, business, or graduate/professional programs. A cursory search for genetics jobs on November 1, 2019 resulted in 2733 results on indeed.com. Common industries represented are biotechnology firms, agricultural/food production/crop development firms, industrial/medical/university laboratories, public health programs, environmental and conservation firms, pharmaceutical companies, and genetic counseling programs. HudsonAlpha and the 40 companies housed on their Institute for Biotechnology campus in Huntsville are significant sources of in-state employment for students interested in the industrial or research applications of genetics.

**Student Demand/Enrollment Projections:**

Creation of this degree would result in immediate enrollment of approximately 40 undergraduate students. These students would likely change from the large and oversubscribed Biomedical Sciences (BMSC) major. Many students who have expressed interest in genetics careers have chosen BMSC as an alternative path. Total enrollment when the program matures is expected at 150 students, with approximately 30 graduates per year.

**Resource Requirements:**

All courses and instructors necessary for offering the program are already in place. This new program will allow us to arrange our existing resources into a relevant and flexible degree plan.

**Relationship to Other Programs:**

The program will utilize courses in the College of Agriculture (AG) and the School of Forestry and Wildlife Sciences (FW) as choices for major electives. A total of 10 hours of major elective choices are required, with 23 hours of approved choices from AG, 3 hours of approved choice from FW, and the remaining 27 hours of approved choices in the College of Science and Mathematics. Genetic-based undergraduate research hours in any college on campus are also approved. The new Genetics major will not replace or duplicate any other AU program.

**Recommendation:**

We recommend that the proposed Bachelor of Science in Genetics be approved by the Offices of the Provost and the President and forwarded to the Board of Trustees and the Alabama Commission on Higher Education for review and approval.



NAME: \_\_\_\_\_

ID#: \_\_\_\_\_

**IN EFFECT:****DRAFT GENETICS CURRICULUM (GENE)****FRESHMAN YEAR**

ENGL 1100 English Comp I.....	3	ENGL 1120 English Comp II.....	3
MATH 1610 Calculus I.....	4	CORE HISTORY I.....	3
CHEM 1030 Fund. of Chemistry I <sup>1</sup> .....	3	CHEM 1040 Fund. of Chemistry II <sup>1</sup> .....	3
CHEM 1031 Chem I Lab .....	1	CHEM 1041 Chem II Lab .....	1
<b>BIOL 1020 Principles of Biol .....</b>	<b>3</b>	<b>BIOL 1030 Organismal Biol.....</b>	<b>3</b>
<b>BIOL 1021 Principles of Biol Lab .....</b>	<b>1</b>	<b>BIOL 1031 Organismal Biol Lab .....</b>	<b>1</b>
BIOL 2100 .....	1		
	16		14

**SOPHOMORE YEAR**

CHEM 2070 Organic Chem I .....	3	CHEM 2080 Organic Chem II .....	3
CHEM 2071 Organic I Lab.....	1	CHEM 2081 Organic II Lab.....	1
CORE HIST or LITERATURE .....	3	<b>BIOL 3030 Evolution and Systematics .....</b>	<b>3</b>
CORE HUMANITIES OR SOCIAL SCI.....	3	<b>BIOL 3020 Genom. Bio or Micro 3200/01</b>	<b>4</b>
<b>BIOL 3000 Genetics .....</b>	<b>3</b>	STAT 2510 Stat for Biol and Health.....	3
<b>BIOL 3001 Genetics Lab .....</b>	<b>1</b>		
	14		14

**JUNIOR YEAR**

CORE LITERATURE .....	3	<b>GENE CORE Elective.....</b>	<b>3</b>
<b>BIOL 5800 Computational Biol.....</b>	<b>3</b>	<b>BIOL 4100 Cell Biology .....</b>	<b>3</b>
CORE SOCIAL SCIENCE.....	3	<b>BIOL 4101 Cell Biology Lab .....</b>	<b>2</b>
PHYS 1500 Physics 1 .....	4	CORE FINE ARTS .....	3
<b>BCHE 5180 Biochemistry I .....</b>	<b>3</b>	CORE SOCIAL SCIENCE <sup>2</sup> .....	3
	16		14

**SENIOR YEAR**

<b>BIOL 5521 Gene Exp &amp; Recom DNA .....</b>	<b>2</b>	<b>BIOL 5330 Developmental Gen .....</b>	<b>3</b>
<b>GENE CORE Elective .....</b>	<b>3</b>	<b>GENE SUPP Electives.....</b>	<b>8</b>
<b>GENE SUPP Electives .....</b>	<b>5</b>	<b>BIOL 4950 Senior Seminar .....</b>	<b>1</b>
CORE HUMANITIES .....	3	FREE ELECTIVE .....	4
FREE ELECTIVE.....	3		
	16		16

**TOTAL HOURS 120**

Long range schedules for COSAM courses are online at <http://aub.ie/cosamlrs>

Courses in **BOLD** will be used to calculate GPA in major.

Options for courses labeled CORE are in the Auburn University Bulletin ([www.auburn.edu/bulletin](http://www.auburn.edu/bulletin)) under Core Curriculum.

<sup>1</sup> The Chemistry 1110/1111-1120/1121 sequence can substitute for CHEM1030/1031-1040/1041. See advisor for details.

## Genetics Electives

### GENE CORE Electives

BIOL 3020 Genomic Biology (4)  
BIOL 4150 Human Genetics (3)  
BIOL 5220 Molecular Genetics (3)  
BIOL 5260 Prokaryotic Molecular Genetics (3)  
BIOL 5320 Plant Gene Expression (3)  
BIOL 5860 Bioinformatics and Genome Analysis (3)

*Potential/future course on Population or Conservation Genetics*

### GENE SUPP Electives

Any additional GENE CORE Elective

BIOL 3200/01 Microbiology (4)  
BIOL 4410 Vertebrate Development (5)  
BIOL 4920 Internship (up to 4)  
BIOL 4970 Special Topics (as appropriate: i.e. Genetics of Mammalian Disease)  
BIOL 4980 UG Research (up to 6)  
BIOL 5230 Virology (3)  
BIOL 5500 Immunology (3)  
BIOL 5600 Mammalian Physiology (5)  
BIOL 5850 Functional Genomics (3)  
BCHE 5190 Biochemistry II (3)  
PHYS 1510 College Physics II (4)  
AGRI 3000 Agricultural Genetics (4)  
ANSC 3500 Animal Breeding (3)  
APBT 1000 Introduction to Applied Biotechnology (1)  
APBT 3100 Applied Biotechnology I (4)  
APBT 4100 Applied Biotechnology II (4)  
CSES 5100/5103 Plant Genetics and Crop Improvement (3)  
HORT 7070 Plant Biotechnology (4)  
FORV 3200 Forest Tree Physiology (3)

## ACADEMIC AFFAIRS COMMITTEE

### RESOLUTION

#### PROPOSED MASTER OF DEVELOPMENT PRACTICE

WHEREAS, the College of Human Sciences is committed to providing the highest quality instruction to its students by offering programs that promote global understanding, social engagement, and sustainable development; and

WHEREAS, the College of Human Sciences wishes to offer a new graduate degree for students interested in pursuing careers as development professionals able to foster programs and support organizations focused on advancing solutions to global issues, particularly those affecting the world's most vulnerable populations; and

WHEREAS, the establishment of a Master of Development Practice would address the growing need for professionals committed to solving global challenges by offering students an interdisciplinary program that integrates theory with hands-on and experiential learning across the human sciences; and

WHEREAS, the proposed Master of Development Practice would offer a curriculum that prepares students for professional careers with major international organizations, corporate and private foundations, non-profit entities, and government agencies; and

WHEREAS, the college will utilize existing faculty and courses to establish the Master of Development Practice, and therefore does not require any additional resources; and




WHEREAS, the request to create the Master of Development Practice has been endorsed by the Dean of the College of Human Sciences, the Graduate Council, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Master of Development Practice from the College of Human Sciences be approved and submitted to the Alabama Commission on Higher Education for review and approval.



AUBURN UNIVERSITY

OFFICE OF THE PROVOST

**TO:** Jay Gogue, President   
**THROUGH:** RONALD BURGESS, Executive Vice President   
**FROM:** BILL HARDGRAVE, Provost and Senior Vice President for Academic Affairs   
**SUBJECT:** Agenda Item – Proposed Master of Development Practice  
**DATE:** June 3, 2020

I am writing to request that the following item be added to the Board of Trustees' agenda for the **July 9, 2020** meeting.

**Proposal:** The College of Human Sciences is proposing a new program of study leading to the Master of Development Practice.

**Review and Consultation:** Faculty in the College of Human Sciences are proposing the establishment of a new graduate degree, the Master of Development Practice, for students interested in pursuing careers as development professionals. By addressing global issues, particularly those affecting the most vulnerable populations, the program emphasizes an interdisciplinary approach that integrates theory with hands-on, experiential learning. Following the success of the college's undergraduate program in Global Studies, the proposed graduate degree provides advanced coursework for professionals who possess the skills, perspectives, decision-making tools, and foundation of human sciences knowledge necessary for sustainable program development.

A first in the State of Alabama, the proposed graduate degree is designed for students seeking advanced careers with major international organizations, corporate and private foundations, non-profit entities, and government agencies. Graduates will be prepared for professional careers across a broad scope of global issues, such as food insecurity, environmental sustainability, poverty, and the health and well-being of communities around the world. Projected enrollment is expected to be approximately three to five students annually during the first year, with upwards of 30 students. The program will utilize existing faculty and courses, and therefore does not require any additional resources.

**Recommendation:** It is recommended that the Board approve the proposed Master of Development Practice. The degree was reviewed and approved by Auburn University's Graduate Council in fall 2019 and has been approved by the College of Human Sciences and the Provost's Office. If approved by the Auburn University Board of Trustees, the proposed degree will be forwarded to the Alabama Commission on Higher Education for review and approval.



AUBURN UNIVERSITY

COLLEGE OF HUMAN SCIENCES

Office of the Dean

**TO:** Bill Hardgrave  
Provost & Senior Vice President for Academic Affairs

**FROM:** Susan Hubbard *Susan Hubbard*  
Dean, College of Human Sciences

**DATE:** June 2, 2020

**SUBJECT:** Proposed Master of Development Practice

We request that the following proposal be added to the Board of Trustees' agenda for their July, 2020 meeting.

**Program Description:**

A 2008 report by the International Commission on Education indicated a significant deficiency in the knowledge and preparation of development practitioners across the world. In response, the MacArthur Foundation allocated \$5.6 million in grants to universities in 2010 to build out interdisciplinary expertise for the development community. MacArthur funding established a network of programs, six in the United States (e.g., Columbia University, Emory University) to address this issue. Based on a cross-disciplinary approach, Master of Development Practice (MDP) programs are designed to train "a new class of problem-solvers to address current global challenges of sustainable development, from poverty to climate change." While additional funding is no longer available, a survey of the current programs showed a gap with respect to development expertise in the human sciences. Given the global focus of Auburn's College of Human Sciences (CHS) with an emphasis on the helping professions, it is apparent that CHS could be the perfect place to create a new Master in Development Practice (CIP 30.2001) program that trains students in design thinking methodologies linked to the various fields in the College.

**Justification for Program:**

With Auburn becoming a new member of the United Nations Sustainable Development Network (January 2019), as well as the College's vast experiences working with both the UN World Food Programme (WFP) and the UN Food and Agriculture Organization (FAO), CHS is well positioned to develop a Master in Development Practice. The great success of the current undergraduate interdisciplinary degree (Global Studies in Human Sciences [GSHS]) in number and quality of students is a strong indicator that graduate students will resonate to the proposed master's degree as well. The MDP will prepare students to compete for meaningful, impactful jobs in the development space.



**Need for the Program in the State of Alabama:**

The MDP will be one-of-a kind degree in the state of Alabama with the potential to become one of the most unique programs in the country. The nexus of Auburn's program would have students completing core coursework across the human sciences, as well as engaging in immersion experiences both in the state of Alabama and in a developing country. Currently, there is no MDP program offered in the U.S. focused on the human sciences and design thinking with both in-country and out-of-country field experience, thus preparing students to work both in-state and in developing country contexts. Graduates of the MDP program will be well-trained professionals prepared to further Auburn's land-grant mission of providing outreach to Alabama, the nation, and the world.

**Employment Opportunities:**

Students graduating with the MDP will have job opportunities in major international organizations (e.g., WFP, FAO, USAID, UNICEF, World Bank), corporate and private foundations, and nonprofit groups like the Red Cross, CARE, and others. Those graduating with the dual MDP/MBA programs will have these same opportunities and more, such as with impact investment consultants like The Bridgespan Group or the Kirchner Group. The best indicator of future success in the job market for students pursuing the MDP may be the success of graduates from CHS's Global Studies in Human Sciences undergraduate program. These students and graduates have been placed with large nonprofits (e.g., Ronald McDonald House, Make-A-Wish Foundation, Compassion International, Habitat for Humanity, New Zealand Green Building Council, Piedmont Columbus Regional Foundation), large corporations (e.g., Indiana Pacers, Aramark, Cohn-Reznick, Shipt), and government entities (U.S. Army and the U.S. State Department). GSHS students have also won two Fulbright Scholarships (Honduras and Brazil), and several have been admitted into premier graduate programs across the country (e.g., Doctor of Laws, Ph.D. in Human Development and Family Studies, Master of Public Health, Master of Nonprofit Management, Master of Public Administration, MA in Development Management and Policy, Master of Science in Social Entrepreneurship). The MDP program has the potential to attract even better qualified applicants and produce equally impressive results post-graduation.

**Student Demand/Enrollment Projections:**

The MDP non-thesis degree is expected to enroll 3-5 students in its first year with expected growth in subsequent years. The GSHS program is currently undergoing its first 5-year review and, while it was projected to have 50 students enrolled by this point in time, there are actually more than 100 students pursuing the degree, with several indicating a desire to obtain an advanced degree in the area of development.

**Resource Requirements:**

No additional resources are required to offer the MDP. A cadre of 12 tenured professors from across all disciplines in the College of Human Sciences make up the CHS global studies faculty. These individuals have a vested interest in building the MDP program and ensuring its success. The new MDP curriculum will include courses with an international focus that are already being taught at the graduate level. In addition to these Human Sciences courses, MDP seminars and

internships focused on professional training for sustainable development will be offered as well. Further, no additional laboratory, classroom, administrative, or library resources will be needed to launch this program.

**Relationship to Other Programs:**

Faculty affiliated with the MBA program in the Harbert College of Business voted and agreed to pursue offering a dual MDP/MBA track at some point in the future following the launch of the MDP. This combined degree is currently not available at any of the schools surveyed and would not only give Auburn graduates a competitive edge in the marketplace, but open opportunities to facilitate development work between the public and private sectors. Dr. Kate Thornton, Director of CHS's Office of Global Education, who has both an earned MBA and Ph.D., is spearheading the development of the MDP and MDP/MBA programs. Dr. Thornton is very experienced in recruiting graduate students, domestically and internationally, having served as Interim Director of Admissions and Operations for the MBA program in Auburn's Raymond J. Harbert College of Business for four years and in her current role for seven years.

**Recommendation:**

We recommend that the proposed Master of Development Practice be approved by the Offices of the Provost and the President and forwarded to the Board of Trustees and the Alabama Commission on Higher Education for review and approval.

Proposed MDP Curriculum Model (32-36 hours)
FALL 1 (11 hours)
CADS 7100 Environmental Design Theories and Applications (3)
CADS 7040 Protocol for Graduate Study (1)
NTRI 7850 Research Seminar for Master's Program (1) OR NTRI 7856 Research Seminar for Master's/Doctoral Program (1)
HDFS 6930 Society and Health (3)
HDFS 7050 Research Methods for Human Development and Family Studies (3) OR HDFS 8090 Qualitative Methods in the Social Sciences (3)
SPRING 1 (11 hours)
HDFS 6200 Applied Research and Evaluation Methods (3)
HOSP 7500 Global Hospitality Strategy (3) OR HOSP 7506 Global Hospitality Strategy (3)
HUSC 6940 Study and Travel in Human Sciences (1)
CADS 7950 Seminar (1)
Elective (3)
SUMMER 1 (1-3 hours)
HUSC 6940 Study and Travel in Human Sciences (1-3) OR CADS 7920 Graduate Internship (3)
FALL 2 (9-11 hours)
CADS 7930 Advanced Design Projects (4)
HDFS 7930 Seminar in Human Development and Family Studies (1)
Elective (3)
Elective (1-3)

#### **Concentration Areas (With Proposed Elective Course Options)**

##### **Human Health and Wellness (Quality of Life)**

HDFS 6300 HDFS and Social Policy (3)  
 HDFS 6950 Seminar on Health Ecology and Equity (3)  
 NTRI 6100 Nutrition in Disease Prevention (2)  
 NTRI 6820/6826 Nutrition in the Life Cycle (3)  
 HUSC 6930 Society and Health (3)  
 HDFS 7040 Family Processes (3)

##### **Human Centered Design**

CADS 7530 Sustainability Theory and Applications (3)  
 CADS 6310 Sustainable Design and LEED Accreditation (3)  
 HOSP 7000/7006 Hospitality Enterprise (3)  
 CADS 6700 Entrepreneurship in Apparel and Interiors (3)  
 HOSP 7090/7096 Consumer Behavior in Hospitality and Tourism (3)  
 HOSP 8860/8866 Current Issues in Hospitality Management (3)  
 HOSP 7010/7016 Advanced Tourism Analysis (3)  
 HDFS 6400 Program Design (3)



## ACADEMIC AFFAIRS COMMITTEE

### RESOLUTION

#### PROPOSED CLOSURE OF THE MASTER OF TURFGRASS MANAGEMENT

WHEREAS, the College of Agriculture offers an undergraduate program leading to a Bachelor of Science in Crop and Soil Science, including an option in Turfgrass Management; and

WHEREAS, based on the success of the undergraduate program, the college established a graduate program in 2013 leading to the Master of Turfgrass Management that offered advanced coursework in the fields of turfgrass science and management; and

WHEREAS, in 2020, the Department of Crop, Soil, and Environmental Sciences elected to discontinue the program due to low levels of student enrollment and the inability to meet post-implementation requirements established by both Auburn University and the Alabama Commission on Higher Education; and

WHEREAS, no students are currently enrolled in the Master of Turfgrass Management; and




WHEREAS, the proposal to close the Master of Turfgrass Management has been approved by the Dean of the College of Agriculture, the Graduate Council, the Academic Program Review Committee, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed closure of the Master of Turfgrass Management be approved and that the closure be submitted to the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC) as a proposed substantive change requiring the approval of that body. Following SACSCOC approval, the closure will be reported to the Alabama Commission on Higher Education.



AUBURN UNIVERSITY

OFFICE OF THE PROVOST

**TO:** JAY GOGUE, President   
**THROUGH:** RONALD BURGESS, Executive Vice President   
**FROM:** BILL HARDGRAVE, Provost and Senior Vice President for Academic Affairs   
**SUBJECT:** Agenda Item – Proposed Closure of the Master of Turfgrass Management  
**DATE:** June 3, 2020

I am writing to request that the following item be added to the Board of Trustees' agenda for the **July 9, 2020** meeting.

**Proposal:** Following a careful review of its programs, the faculty in the College of Agriculture are recommending the closure of the Master of Turfgrass Management, following effective approval by the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC).

**Review and Consultation:** The Department of Crop, Soil, and Environmental Sciences has proposed that the Master of Turfgrass Management be closed. The program was established in 2013, following the success of the undergraduate program in turfgrass management. Earlier this year, the leadership in the college elected to discontinue the program due to low enrollment and the inability to meet post-implementation criteria required by both Auburn and the Alabama Commission on Higher Education (ACHE). With no students currently enrolled in the program, I am confident that the closure of the Master of Turfgrass Management is necessary to provide the college and its students with opportunities for continued success.

**Recommendation:** I recommend that the Board of Trustees approve the proposal to close the Master of Turfgrass Management. Following Board approval, I recommend these actions be submitted to ACHE and submitted to the SACSCOC as a proposed substantive change requiring the approval of that body.



**AUBURN UNIVERSITY**

COLLEGE OF AGRICULTURE

*Department of Crop, Soil and  
Environmental Sciences*

*Approved  
6/15/20  
Bill Hardgrave*

**TO:** Bill Hardgrave  
Provost & Senior Vice President for Academic Affairs

**FROM:** Paul Patterson *Paul Patterson*  
Dean, College of Agriculture

**FROM:** John Beasley *John P. Beasley*  
Head, Department of Crop, Soil and Environmental Sciences

**DATE:** June 2, 2020

**SUBJECT:** Closure of Master of Turfgrass Management

We request that the following proposal to close the Master in Turfgrass Management be added to the Board of Trustees' agenda for their July, 2020 meeting.

**Justification for Program Closure:**

The Department of Crop, Soil and Environmental Sciences within the College of Agriculture requests closure of the Master of Turfgrass Management (MTM) degree program. At the time of MTM creation, program success was anticipated based on the strength of the undergraduate major in turfgrass management as well as the strength of faculty research in this area. Unfortunately, actual student enrollment has not met projections, and the program has not satisfied its post-implementation conditions of averaging at least five graduates per year for the period 2015-16 to 2018-2019. No students are currently enrolled in the program.

**Recommendation:**

We recommend that the closure of the Master of Turfgrass Management degree program be approved by the Offices of the Provost and the President and forwarded to the Board of Trustees and the Southern Association of Colleges and Schools Commission on Colleges for review and approval.

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED CHANGES TO FACULTY PERSONNEL POLICIES

WHEREAS, the faculty personnel policies included in the *Faculty Handbook* reflect Auburn University policies and information; and

WHEREAS, the proposed changes to the faculty personnel policies have been recommended by the University Senate, with additional recommendations provided by the Senate Executive Committee, and have received the approval of the Provost and the President; and

WHEREAS, the Board defines policies related to appointment, promotion, tenure and dismissal of faculty, and development and approval of procedures to implement those policies is the responsibility of the President or those duly authorized by the President.


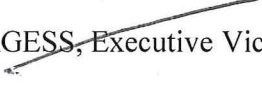

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized to revise the contents of the faculty personnel policies designated as Board of Trustee approved policies in Attachment A and is delegated the authority to approve procedures for the administration of these policies.





AUBURN UNIVERSITY

OFFICE OF THE PROVOST

**TO:** JAY GOGUE, President   
**THROUGH:** RONALD BURGESS, Executive Vice President   
**FROM:** BILL HARDGRAVE, Provost and Senior Vice President for Academic Affairs   
**SUBJECT:** Agenda Item for the Board of Trustees – Proposed Revisions to Faculty Personnel Policies  
**DATE:** June 16, 2020

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I am writing to request that the following item be added to the Board of Trustees' agenda for the **July 9, 2020** meeting.

**Proposal:** It is recommended that the proposed changes to faculty personnel policies published in the *Auburn University Faculty Handbook* be approved.

**Review and Consultation:** The Auburn University Senate has approved the following changes.

1. **Conversion of librarians and archivists from numbered ranks to professorial ranks:** Auburn University maintains many librarians and archivists within the Auburn University Libraries who have held faculty status since 1959. The current ranks assigned to these faculty members (librarian and archivist I, II, III, and IV) are equivalent to the ranks of instructor, assistant professor, associate professor, and professor, all of which are tenure-track positions except for the ranks of instructor, librarian I and archivist I. The AU Libraries has proposed the conversion of librarians and archivists from numbered ranks to professorial ranks. This transition will elevate the AU Libraries' national reputation and enhance the recruitment of highly talented library faculty to the university. Additionally, this change includes non-substantive language clarifying the external reviewer procedure in the promotion and tenure process.
2. **Revised language to dismissal procedures:** Currently, the *Auburn University Faculty Handbook* includes the following phrase throughout: "Dismissal shall not be used to restrain faculty members in their exercise of academic freedom or other rights of American citizens." The Auburn University Faculty Senate has proposed revised language to update the language used to describe the rights afforded to faculty in the dismissal process. This revision is non-substantive as it does not affect rights currently held by faculty. The General Counsel has reviewed the change and found that the rights are not being expanded, but merely clarified.

**Recommendation:** It is recommended that the Board approve the proposed changes to the faculty personnel policies published in the *Auburn University Faculty Handbook*. If approved, the changes will reflect the conversion of librarians and archivists from numbered ranks to professorial ranks and the non-substantive changes will add clarity to these sections of the *Faculty Handbook*.

## ATTACHMENT A

### **Faculty Handbook Review Committee**

*The committee has unanimously approved the following changes:*

Dismissal procedures throughout the handbook include the following phrase:

“Dismissal shall not be used to restrain faculty members in their exercise of academic freedom or other rights of American citizens.”

The proposed change in language is:

“Dismissal and the threat of dismissal shall not be used to restrain faculty members in their exercise of academic freedom, Constitutional rights, or other applicable rights ~~of American citizens~~.”

(3.5.1.J., 3.5.2.K., 3.5.3.K, 3.5.7.H, 3.5.8.L, 3.9.2, identical wording in all instances)

These proposed changes have been discussed and agreed upon with University Counsel and the Auburn AAUP leadership.

## **Proposed Handbook Changes**

***Approved by the Faculty Handbook Review Committee, 06/08/2020***

These changes accomplish two things: They enact the move from Librarian and Archivist titles to Professorial titles, as approved by the Senate, and also make changes to the requirements for external letters in tenure and promotion cases that have been requested by the University Promotion and Tenure Committee.

### **3.3.2 Kinds of Appointment ‡**

Members of the faculty of Auburn University are appointed to temporary, probationary, tenured, or continuing positions. Joint appointments, where half the appointment is a faculty appointment, fall under one of these classifications.

The professorial faculty includes the ranks of instructor, assistant professor, associate professor, and professor, ~~and their equivalents of librarian and archivist I, II, III, and IV. The equivalent ranks for librarians and archivists apply here and throughout this document.~~ Except for the ranks of instructor, ~~librarian I, and archivist I~~, these are tenure-track positions.

### **3.6.4 Eligibility for Promotion and Tenure ‡**

There is no fixed requirement ... retain the position of full-time employee.

Except in highly unusual circumstances, a faculty member holding the rank of assistant professor, ~~librarian II, or archivist II~~ recommended for tenure should be recommended for promotion to associate professor, ~~librarian III, or archivist III~~ as well. However, recommendation for promotion at this level does not necessarily entail recommendation for tenure, since the criteria for tenure, which include collegiality, are more exacting than the criteria for promotion. Recommendations for promotion and tenure must be voted separately.

There are no fixed quotas for tenured positions or for the various ranks established for colleges, schools, or departments.

### **3.6.5. Policy and Procedure for Promotion and Tenure ‡**

#### **C. Information on the Candidate:**

##### ***(2). Information to Be Supplied by the Candidate:***

d. A list of scholarly contributions in accord with the following outline. A candidate should present their work as informatively and accurately as possible. A candidate should cross-reference work that falls in two areas (e.g., "See X.x."). ~~Librarians and archivists~~ Faculty employed by the University Libraries should interpret teaching to apply to performing as a librarian or archivist and adapt the following outline accordingly.

##### ***(3) Information to Be Supplied by the Department Head/Chair***

Information should be supplied in each of the following areas:

#### **A. Teaching**

**3. Letters from thesis/dissertation students.** ~~Librarians and archivists~~ Faculty employed by the University Libraries may include letters from members of the academic community outside the library. Supporting letters in this category are optional. No more than three should be included.

#### **E. Confidential Letters from Outside Reviewers**






The department head/chair (or the dean) shall solicit information from outside ~~references~~ evaluators in the case of candidates nominated for associate or full professor, ~~librarian III or IV, or archivist III or IV~~. In consultation with the candidate and the faculty voting on the candidate, the head/chair (or dean) shall compile a list of potential evaluators. ~~They~~ The head/chair shall then seek responses from at least three of the potential evaluators. These evaluators shall be people outside of Auburn University who are nationally or internationally acknowledged experts in the candidate's field and can comment on the quality and reputation of the candidate's work. ~~If the evaluator is from an academic institution, they~~ In the case of a candidate seeking promotion and/or tenure, evaluators shall be of higher academic rank than the candidate. Letters from the candidate's major professor for a graduate degree, from former graduate students, and from ongoing research partners are unacceptable. Evaluators may be associated with industry, government agencies, foundations, etc. If these letters arrive in time, they shall be made available to the voting faculty; otherwise, they shall be sent on to the Promotion and Tenure Committee. The letters from these outside ~~referees~~ evaluators shall remain confidential and shall not be made available to candidates at any time.





AUBURN UNIVERSITY

OFFICE OF THE PROVOST

**TO:** JAY GOGUE, President   
**THROUGH:** RONALD BURGESS, Executive Vice President   
**FROM:** BILL HARDGRAVE, Provost and Senior Vice President for Academic Affairs   
**SUBJECT:** Agenda Item for the Board of Trustees – Items of Information  
**DATE:** June 3, 2020

---

This memorandum requests the following items of information be presented to the Board of Trustees Academic Affairs Committee for the July 9, 2020 meeting.

The following academic programs have been approved for implementation by the Provost's Office. While they do not require formal approval by the Board of Trustees, they are presented as items of information. All have received the support of the appropriate college or school, the University Curriculum Committee, the Graduate Council, the Academic Program Review Committee, and the Provost's Office. Following the Board of Trustees meeting, these items will be forwarded to the Alabama Commission on Higher Education (ACHE) for notification where appropriate. A rationale for each item is provided in the accompanying document.

**New Academic Options:**

- Option in Sports Production, Bachelor of Arts in Journalism, School of Communication and Journalism, *College of Liberal Arts*
- Option in Professional Forester, Master of Natural Resources, *School of Forestry and Wildlife Sciences*
- Option (Non-Thesis), Master of Science in Fisheries, Aquaculture, and Aquatic Sciences, School of Fisheries, Aquaculture, and Aquatic Sciences, *College of Agriculture*
- Conversion, Master of Communication Disorder to an Option (Non-Thesis) in the Master of Science in Speech, Language, and Hearing Sciences, Department of Speech, Language and Hearing Sciences, *College of Liberal Arts*

**New Graduate Certificates:**

- Graduate Certificate in Geotechnical Engineering, *Samuel Ginn College of Engineering*
- Graduate Certificate in Pavement Materials, *Samuel Ginn College of Engineering*
- Graduate Certificate in Pavement Analysis and Design, *Samuel Ginn College of Engineering*
- Graduate Certificate in Water Environmental Modeling, *Samuel Ginn College of Engineering*

- Graduate Certificate in Water Resources Engineering, *Samuel Ginn College of Engineering*
- Graduate Certificate in Structural Analysis in Structural Engineering, *Samuel Ginn College of Engineering*
- Graduate Certificate in Structural Design in Structural Engineering, *Samuel Ginn College of Engineering*
- Graduate Certificate in Bioproducts and Bioprocessing, *College of Agriculture*
- Graduate Certificate in Ecosystems Engineering, *College of Agriculture*
- Graduate Certificate in Rural Studies, *College of Agriculture*
- Graduate Certificate in Vocational Forensic Rehabilitation, *College of Education*

#### **Program and Unit Renamings:**

- Department of Human Development and Family Studies as the Department of Human Development and Family Science, *College of Human Sciences*
- Department of Civil Engineering as the Department of Civil and Environmental Engineering, *Samuel Ginn College of Engineering*
- Master of Education in Rehabilitation Counseling as the Master of Education in Clinical Rehabilitation Counseling, *College of Education*

#### **Closure of Options and Certificates:**

- Option in Software, Bachelor of Wireless Engineering, *Samuel Ginn College of Engineering*
- Option in Early Childhood Education, Bachelor of Science in Human Development and Family Studies, *College of Human Sciences*
- Option (Thesis), Master of Science in Clinical Mental Health Counseling, *College of Education*
- Option (Thesis), Master of Science in Rehabilitation Counseling, *College of Education*
- Graduate Certificate in Rehabilitation Leadership and Management, *College of Education*
- Graduate Certificate in Archival Studies, *College of Liberal Arts*



**Item of Information**

**Addition of a Degree Program Option**

**BA in Journalism – Sports Production Option**

The School of Communication and Journalism in the College of Liberal Arts has developed the following ***Sports Production Option*** in its existing BA in Journalism degree program. A degree program option is a formal variation of an existing academic degree program that meets more focused objectives than the general degree. An advantage of creating a formal degree program option is that the specialization appears on a student's transcript. The option has been approved by appropriate faculty and university committees and the Provost.

**Description:** The Sports Production Option provides a diversified education and professional training in the live sports production field for those seeking positions as in-venue producers, field producers, producers for multiplatform shows, directors, control room operators and video editors.

**Need:** While the need for educated and trained individuals to work in the sports production industry continues to increase as audiences demand around-the-clock, top-quality live sports content, no other four-year institutions in the state of Alabama offer a live sports production program at the undergraduate level.

**Employment:** The School of Communication and Journalism currently collaborates with War Eagle Productions to provide opportunities for students to apply their knowledge gained through coursework and hone their skills in a professional setting. Because of this collaboration, current students are increasingly being directly sought after by entities such as ESPN, the SEC Network, the ACC Network, Turner Sports and FOX Sports for behind-the-camera sports production positions.

**Enrollment:** Based on historical enrollments in the existing courses that make up the option, immediate enrollment of 15-20 students is expected with anticipated growth of 5-10 students each year for the foreseeable future.

**Resources:** All courses and faculty necessary to offer the degree option are already in place.

**Curriculum:** An example curriculum model follows this memorandum.



### Item of Information

#### Addition of a Degree Program Option

#### Master of Natural Resources – Professional Forester Option

The School of Forestry and Wildlife Sciences has developed a ***Professional Forester Option*** in its existing Master of Natural Resources degree program. A degree program option is a formal variation of an existing academic degree program that meets more focused objectives than the general degree. An advantage of creating a formal degree program option is that the specialization appears on a student's transcript. The option has been reviewed and approved by appropriate faculty and university committees and the Provost.

**Description:** The Professional Forester Option is for individuals with baccalaureate degrees in fields other than forestry and those who are interested in becoming *Registered Foresters*. The program augments the Master of Natural Resources degree with coursework specific to forestry. The program has existed for many years, but not as a formal program option.

**Need:** Applicants for Registered Forester status in Alabama and several other states must possess a degree from a program accredited by the Society of American Foresters (SAF), which is the accrediting body recognized by the Council on Higher Education Accreditation. SAF has accredited Auburn's program for many years but now requires that the program be reflected as a formal option appearing on a student's transcript. Creation of the Professional Forester option will meet this requirement and extend the program's accreditation.

**Employment:** Employment for graduates within the southern US has been near 100% for the past five years and is expected to continue at this rate into the foreseeable future.

**Enrollment:** Based on historical enrollments and program completions, the School expects 2-3 students to enroll annually, yielding up to 15 graduates in five years.

**Resources:** All courses and faculty necessary for offering the degree option are already in place.

**Curriculum:** An example curriculum model follows this memorandum.





### **Item of Information**

#### **Addition of Non-thesis Options**

##### **MS in Fisheries, Aquaculture, and Aquatic Sciences – Non-thesis option**

The School of Fisheries, Aquaculture, and Aquatic Sciences in the College of Agriculture has developed a non-thesis option for its Master of Science (MS) in Fisheries, Aquaculture, and Aquatic Sciences program. The non-thesis MS option will prepare students for more advanced technical work in state and federal agencies and research laboratories and would be appropriate for students who wish to focus their graduate efforts on coursework and who do not intend to pursue a PhD. Additionally, a non-thesis MS option is appropriate for many 3+2 students who may find it preferable to complete additional coursework rather than a thesis.

##### **MS in Speech, Language and Hearing Sciences – Non-thesis option**

The Department of Speech, Language and Hearing Sciences in the College of Liberal Arts currently offers a Master of Science (thesis) in Speech, Language, and Hearing Sciences (MS) and a non-thesis Master of Communication Disorder (MCD). The MCD program, while containing the same coursework as the MS program, is uncommonly named and has been the source of confusion for students and hiring professionals. The Department has proposed converting the MCD into a new non-thesis option within the MS. This change will allow students to have an applied pathway with nomenclature that is familiar to employers. No program requirements are changing. Students currently enrolled in the MCD will have the option of continuing in this program or transferring into the new non-thesis MS.



### Item of Information

#### Addition of Graduate Certificates

The following describes several new graduate certificates developed by the Ginn College of Engineering, the College of Agriculture, and the College of Education. A graduate certificate is an integrated curriculum of at least nine hours open to degree and non-degree seeking students. Each certificate below has been reviewed and approved by appropriate faculty and university committees and the Provost.

#### **Ginn College of Engineering**

The Department of Civil Engineering has developed the following seven graduate certificates to be offered beginning Fall Semester, 2020.

- ***Geotechnical Engineering (12 hours)***  
Addresses engineering systems that are made from or supported by earth materials.
- ***Pavement Materials (12 hours)***  
Addresses materials behavior, mechanistic principles, and construction details of pavements.
- ***Pavement Analysis and Design (12 hours)***  
Addresses the design, construction, maintenance, and rehabilitation of pavements.
- ***Water Environmental Modeling (12 hours)***  
Addresses flow, water quality, and ecological modeling studies in the field of water quality.
- ***Water Resources Engineering (12 hours)***  
Addresses hydraulic and hydrologic studies in the field of water resources.
- ***Structural Analysis in Structural Engineering (12 hours)***  
Addresses the analysis of structural systems under both static and dynamic loading.
- ***Structural Design in Structural Engineering (12 hours)***  
Addresses the design of structural systems under both static and dynamic loading.



### Rationale

These certificates support education in continuously evolving fields of civil engineering and will be attractive to both current students and prospective students from industry groups such as the U.S. Army Corp of Engineers. The certificates can be stacked together so that students who complete three certificates can earn a MS in Civil Engineering. Alternatively, students who complete two certificates and a third from another engineering field can earn a Master of Engineering. This structure provides great flexibility for students to pursue their specific educational goals while making positive progress towards an advanced degree.

### **College of Agriculture**

The Department of Biosystems Engineering has developed the following two graduate certificates to be offered beginning Fall Semester, 2020.

– ***Bioproducts and Bioprocessing (12 hours)***

Addresses the application of biological/biochemical principles to solve complex problems that are needed in developing systems for processing and converting biological materials to fuels, products and chemicals.

– ***Ecosystems Engineering (12 hours)***

Addresses the application of ecological and biological principles to solve complex engineering problems in natural and man-made systems.

### Rationale

The projected population increase and associated increase in demand for life's essentials – food, water, and energy – will create a need for professionals trained in biological and ecological sciences who can design and develop efficient and effective systems to meet those essential needs. The conversion of biological materials to fuels, chemicals and products will be especially important.

The Department of Agricultural Economics and Rural Sociology has developed the following graduate certificate to be offered beginning Fall Semester, 2020.

– ***Rural Studies (9 hours)***

Addresses the liabilities and assets of rurality in Alabama, nationally, and internationally.



### Rationale

Understanding the unique dynamics of rural communities and institutions is necessary in order to apply sociological-based knowledge and skills to a range of challenges faced by business, industry, nonprofit organizations, and government and non-governmental agencies. The study of rural population change, community structure, poverty, diversity, inequality, and environmental justice will provide a scholarly framework in which to address issues faced by these communities.

### **College of Education**

The Department of Special Education, Rehabilitation, and Counseling has developed the following graduate certificate to be offered beginning Fall Semester, 2020.

– ***Vocational Forensic Rehabilitation Certificate (18 hours)***

Addresses the competencies required in the provision of vocational and forensic services for individuals with disabilities.

### Rationale

The Vocational Forensic Rehabilitation Certification program is designed to help State Vocational Rehabilitation entities meet personnel standards as required by the Workforce Innovation and Opportunity Act (WIOA; 2014; 2016), and the 1998 Amendments to the 1973 Rehabilitation Act. This certificate will address the specific personnel needs of State VR system requirements, providing greater emphasis on evidence-based practices within vocational programming.





## Item of Information

### Changes in Academic Unit and Academic Program Names

#### College of Human Sciences

The Department of Human Development and Family Studies in the College of Human Sciences proposes to change its name to the Department of Human Development and Family Science. The Department is one of several disciplines that has its origins in traditional schools of Home Economics. Over time in the field, home economists chose names that better reflected their work such as "Family and Consumer Science" and "Human Sciences." In scientific rigor and grant funding, the Department of Human Development and Family Studies is one of the top performing programs at Auburn University and across the country in comparison to similar programs. A change in the name to add "Science" better reflects what the department does, and it more closely aligns the department with the mission of the College. Peer departments from institutions such as The Ohio State University; Syracuse University; Oklahoma State University; University of Georgia; University of Missouri; and Florida State University use "Science" rather than "Studies."

#### Samuel Ginn College of Engineering

The Department of Civil Engineering in the Samuel Ginn College of Engineering proposes to change its name to the Department of Civil and Environmental Engineering. The addition of "Environmental" in the departmental name will serve several purposes. First, it will highlight the fact that environmental engineering is a distinct profession that students can pursue. Second, it will increase the department's competitiveness for students, particularly female students. A 2018 American Society for Engineering Education study showed that 50.6% of environmental engineering bachelor's degrees were awarded to women compared to 21.9% across all engineering degrees. Third, the change will bring the department in line with other top civil engineering programs, almost all of which have a combined civil and environmental engineering department or a separate environmental engineering department. Fourth, the change will highlight the significant success and importance of environmental research in the department, thus increasing the visibility of the department and Auburn University. The name change has support from faculty, advisory boards, and other colleges on campus.



### College of Education

The College of Education currently offers a Master of Education in Rehabilitation Counseling. The program is accredited by the Council for the Accreditation of Counseling and Related Programs, which now requires accredited programs to include "Clinical" in the program title. As a result, the College proposes to rename the program, Master of Education in Clinical Rehabilitation Counseling.



## Item of Information

### Closure of Degree Program Options and Graduate Certificates

#### Degree Program Options

The following degree program options have been recommended for closure by the offering units. A degree program option is a formal variation of an existing academic degree program that meets more focused objectives than the general degree. Closing a degree program option leaves the existing academic degree program intact. Each closure has been reviewed and approved by appropriate faculty and university committees and the Provost.

#### – *Bachelor of Wireless Engineering – Software Option*

Despite departmental efforts to recruit more students, the Software Option of the Wireless Engineering program has experienced persistent enrollment decreases for some years, resulting in 11 students enrolled in Spring 2020. In contrast, over 1,300 students are enrolled in the other degree programs offered by the Computer Science and Software Engineering department. Departmental faculty can no longer service the option's courses and are therefore seeking closure. The Ginn College of Engineering has a plan to ensure that students currently enrolled in the option can graduate in a timely fashion.

#### – *Bachelor of Science in Human Development and Family Studies – Early Childhood Education Option*

New regulations in the industry have rendered the Early Childhood Education Option obsolete and have driven enrollment to zero in Spring 2020. Other options in the College of Human Sciences now serve students much better, so the college recommends closure of the option.

#### – *Master of Science in Clinical Mental Health Counseling*

The College of Education currently offers MS (thesis) and MEd (non-thesis) options in Clinical Mental Health Counseling. The college seeks to close the MS (thesis) option, which has only one student in Spring 2020. The college will work with the existing student to complete the MS (thesis) option. The MEd (non-thesis) option, which has 45 students in Spring 2020, will be retained.



– ***Master of Science in Rehabilitation Counseling***

The College of Education currently offers MS (thesis) and MEd (non-thesis) options in Rehabilitation Counseling. The college seeks to close the MS (thesis) option, which has no students in Spring 2020. The college will retain the MEd (non-thesis) option, which has 26 students in Spring 2020.

**Graduate Certificates**

The following certificates have been recommended for closure by the offering units. A graduate certificate as an integrated curriculum of 9 to 21 hours of coursework open to degree and non-degree seeking students. Each closure has been reviewed and approved by appropriate faculty and university committees and the Provost.

– ***Rehabilitation Leadership and Management***

The College of Education is no longer supporting the certificate as it has experienced insufficient enrollment and has no students in Spring 2020.

– ***Archival Studies***

The Department of History in the College of Liberal Arts no longer has faculty with expertise in the field to supervise archival studies coursework. The program has been in decline due to changing professional trends and requirements and has no students in Spring 2020.

AUDIT AND COMPLIANCE COMMITTEE

RESOLUTION

APPROVAL OF INDEPENDENT AUDITORS  
FOR FISCAL YEAR ENDED SEPTEMBER 30, 2020

WHEREAS, The Audit Committee of the Board of Trustees is charged with recommending the appointment of the University's external auditor; and

WHEREAS, The Audit Committee recommends the appointment of PricewaterhouseCoopers to audit the University's financial statements and to conduct the federally required A-133 audit.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University PricewaterhouseCoopers is appointed as the University's external auditor.





AUBURN UNIVERSITY

OFFICE OF THE VICE PRESIDENT FOR  
BUSINESS & FINANCE AND CFO

May 18, 2020

TO: President Jay Gogue   
THROUGH: Ron Burgess, EVP   
FROM: Kelli D. Shomaker   
Vice President for Business & Finance/CFO  
RE: Presentation by External Auditors PricewaterhouseCoopers Regarding FY20 Audits  
And Approval of Auditors

Please allow time at the July 09, 2020 meeting of the Audit Committee of the Board of Trustees for our external auditors to communicate their responsibilities, the planned scope of the FY20 financial statements and A-133 audits, and the timing of the audits. Subject to a favorable review by the Audit Committee PricewaterhouseCoopers would be approved for the FY20 audit.

Please let me know if you have additional questions.



# Report to the Board of Trustees FY2020 audit plan

Auburn University

July 9, 2020





July 9, 2020

Dear Members of the Board of Trustees of Auburn University:

We are pleased to present our 2020 Audit Plan for Auburn University ("Auburn University" or "the University"). The information included in this report allows you to understand the judgments we have made in planning and scoping our audit procedures. This report includes information covering:

- The audit approach;
- Our continued commitment, and;
- Trending topics.

This report was prepared based on information obtained from meetings with management, our knowledge of the University, our consideration of the operating environment and our risk assessment procedures. Our audit approach will remain flexible and responsive to the University's environment. Any significant changes to our audit plan will be discussed with the Board of Trustees at a future meeting.

Discussion of our audit plan ensures our PwC engagement team members understand your concerns and together we agree on mutual needs and expectations, which enables us to provide the highest level of service and audit quality. We remain committed to candid discussions with you and management, delivering a high quality audit, as well as providing an independent point of view. We welcome your feedback on our audit plan.

We look forward to presenting this report, addressing your questions and discussing any other matters of interest. Please feel free to call me at (205) 266-4621 or [kevin.j.kelly@pwc.com](mailto:kevin.j.kelly@pwc.com) with any questions you may have.

Very truly yours,

A handwritten signature in black ink, appearing to read "Kevin Kelly".

Kevin Kelly

Engagement Partner

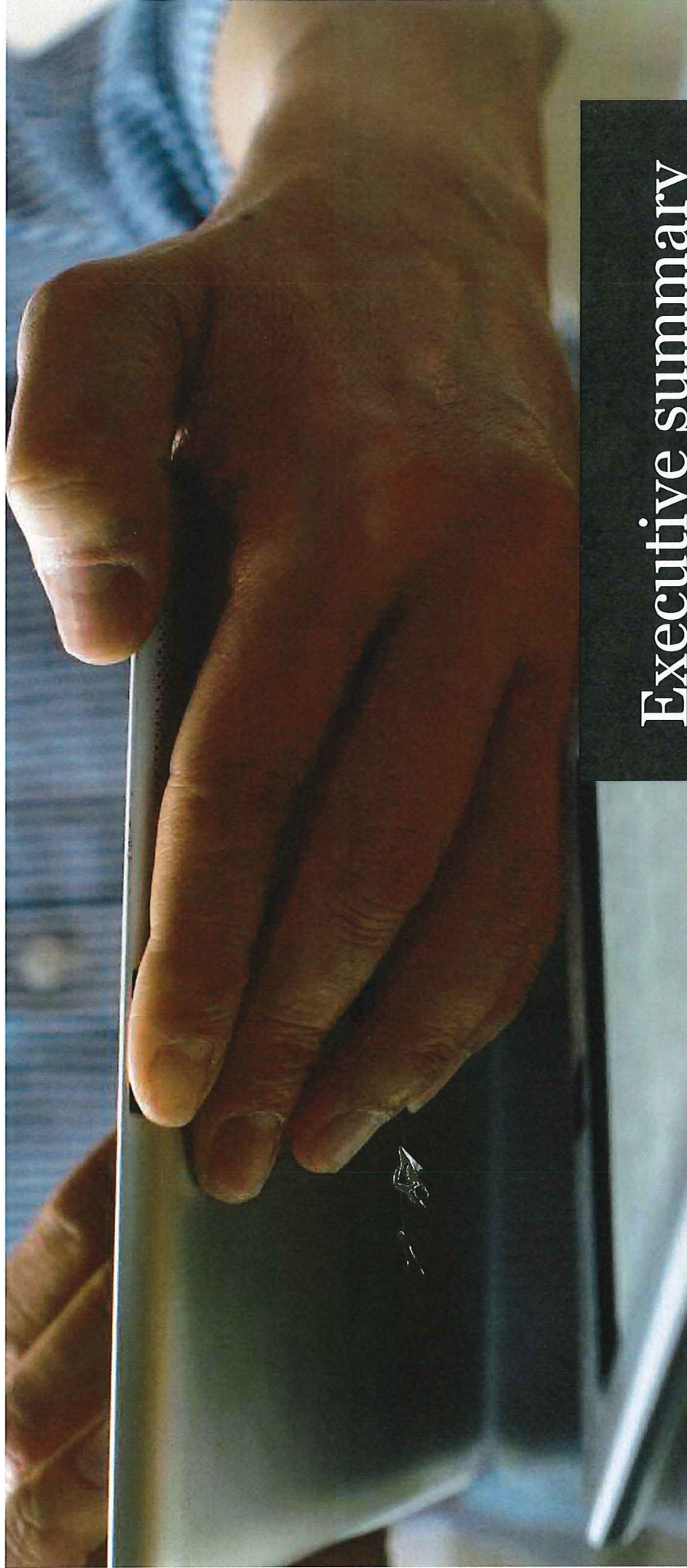
*PricewaterhouseCoopers LLP, 1075 Peachtree Street NE, Suite 2600, Atlanta, GA 30309  
T: (678) 419 1000 F: (678) 419 1239*



# Table of contents

<b>Executive summary</b>	<b>04</b>
<b>Audit approach</b>	<b>06</b>
Audit objectives	07
Risk assessment process and results	08
Scoping	12
Client service team	13
Timeline and communication plan	15
Other required communications	16
Services and fees estimate	19
<b>Our continued commitment</b>	<b>20</b>
<b>Trending topics</b>	<b>25</b>



This report and the information that it contains is intended solely for the information and use of the Board of Trustees or management, if appropriate, and should not be used by anyone other than these specified parties.



## Executive summary

# Executive summary

## Significant and elevated risks

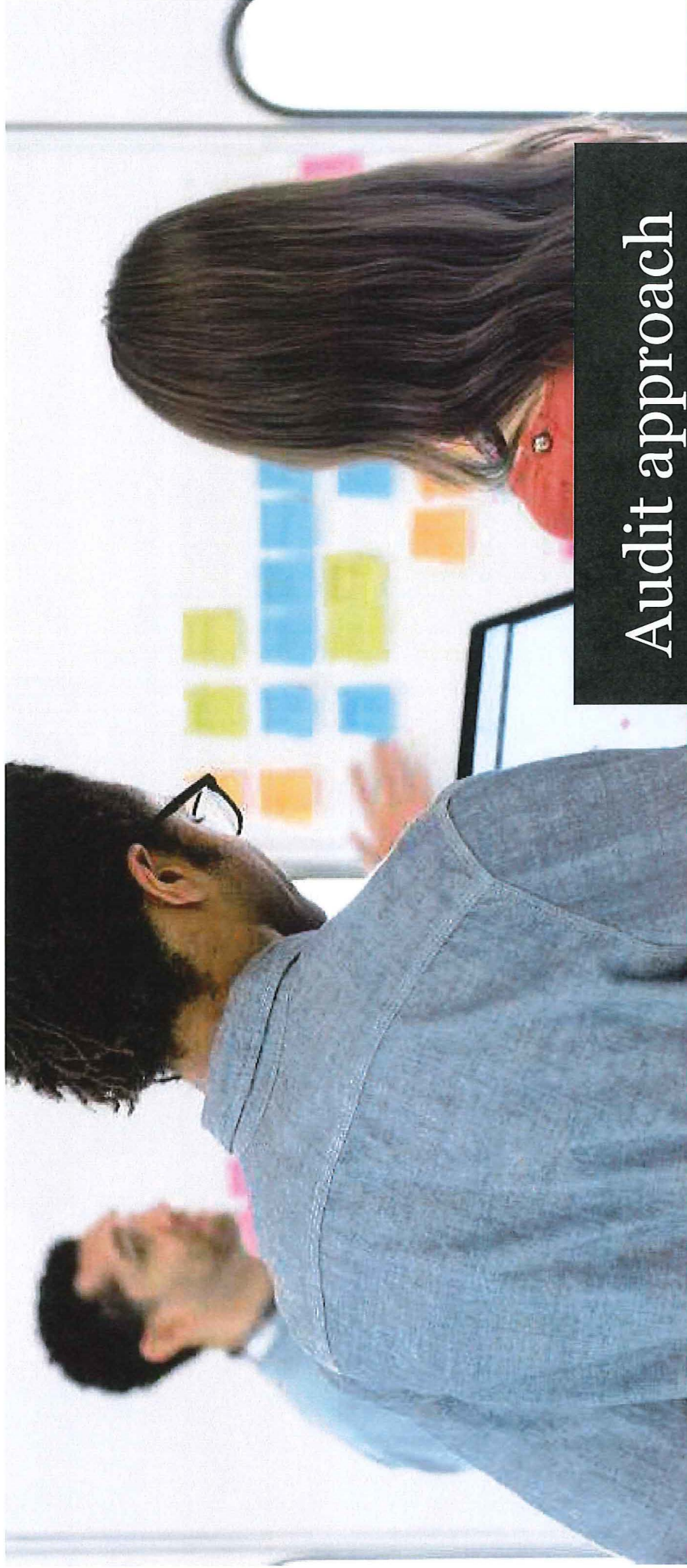
Risk	
	Risk of management override of controls
	Risk of fraud in revenue recognition – Grant and sponsored research revenue

 **Significant Risk**

## Other matters of emphasis

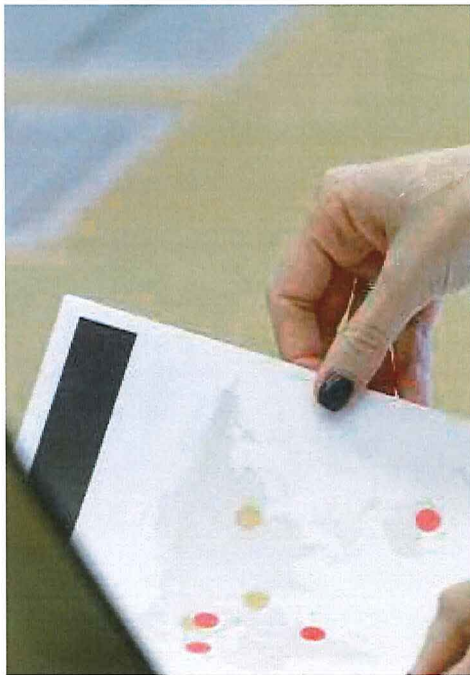
Item
Internal control environment, including information technology general controls
Compliance with OMB Uniform Guidance
Our continued commitment
Perspectives and relevant insights from the audit
Responsiveness, timeliness and transparency
Quality and innovation
Trending Topics
COVID-19
GASB No. 87 - Leases





## Audit approach

# Audit objectives



## Our primary objectives are to:

- Perform an audit in accordance with auditing standards generally accepted in the United States of America to obtain reasonable assurance the University's basic financial statements are prepared in accordance with accounting principles generally accepted in the United States of America and are free from material misstatement whether caused by error or fraud, and
- Render an opinion on the basic financial statements as of September 30, 2020 and for the year then ending
- Our audit does not relieve management of its responsibilities with regard to the financial statements.
- An audit of financial statements includes consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control over financial reporting. The engagement team is placing partial reliance on controls in certain areas, tuition and fee and federal grants and contract revenue and receivables, property plant and equipment, and investments.

## Our audit deliverables:

- Audit Opinions
  - Report on the financial statements of Auburn University
  - Reports on internal controls and compliance with Uniform Guidance
  - Report on the financial statements of Auburn Research and Technology Foundation (ARTF)
- Review Report for Auburn University at Montgomery
- Other Services – Inclusion letters for debt offerings (as applicable) and ARTF Form 990 tax preparation
- Report of Internal Control and Business Observations
  - Audit Committee summary report
  - Management letters, if necessary
- Audit Committee Reporting
  - Regular meetings
  - Required communications to the Audit Committee of the Board of Trustees




# Risk assessment process and results


## Approach and definitions

Our audit approach is based on the following principles:

- The use of a top-down, risk-based approach
- The application of well-reasoned professional judgment

These principles, with the application of materiality, allow us to develop and execute our audit approach in an effective and efficient manner. The results of our risk assessment include the identification of audit risks and also drives the identification of significant accounts. We evaluate audit risks as defined below.

 **Significant risk** – requires special audit consideration in terms of the nature, timing or extent of testing (or in other respects) due to the risk's nature, likely magnitude of potential misstatement and/or likelihood of that risk occurring - including the possibility that the risk may give rise to multiple misstatements.



 **Elevated risk** – requires additional audit consideration beyond what would be required for a normal risk, but that does not rise to the level of a significant risk because of the nature, likely magnitude of the potential misstatements and/or the likelihood of the risk occurring.


**Normal risk** – relates to the relatively routine, non-complex transactions that tend to be subject to systematic processing and require little management judgment. Although a risk of material misstatement exists, there are no special factors related to the nature, the likely magnitude of the potential misstatements or the likelihood of the risk occurring.


# Risk assessment process and results

## Significant risks

We have outlined below the significant risks identified based on our preliminary risk assessment process, together with our planned audit response.

	Risk description	Related accounts (including relevant assertions)	Planned audit response
	Risk of management override of controls	 All financial statement line items (A, C, CO, EO, PD, RO, V)	<ul style="list-style-type: none"> <li>• Confirm our understanding of entity level controls surrounding period-end and financial reporting</li> <li>• Conduct inquiries regarding fraud with individuals throughout the University</li> <li>• Perform journal entry testing to target areas of highest fraud risk</li> <li>• Review accounting estimates recorded by management including performing a retrospective review of these estimates and considering whether there was any indication of possible bias on the part of management.</li> <li>• Gain an understanding of and test management's accounting for material significant non-recurring transactions that are outside of the normal course of business or otherwise appear unusual</li> <li>• Suspense/intercompany accounts will be reviewed to ensure they have been eliminated in a timely manner.</li> <li>• Incorporate an element of unpredictability into the planned audit approach</li> </ul>

 Risk reduced versus 2019

 Risk increased versus 2019

 Risk consistent with 2019

Assertion  
legend:

A – Accuracy  
C – Completeness

CO – Cutoff  
EO – Existence/Occurrence  
PD – Presentation/Disclosure



RO – Rights and Obligations  
V – Valuation





# Risk assessment process and results

## Significant risks

We have outlined below the significant risks identified based on our preliminary risk assessment process, together with our planned audit response.

	Risk description		Related accounts (including relevant assertions)	Planned audit response
	Risk of fraud in revenue recognition –Grant and sponsored research (due to grant kiting)		Federal grants and contracts revenue and receivables (A,EO)	<ul style="list-style-type: none"> <li>•Consider incentives, opportunities and rationalizations which include               <ul style="list-style-type: none"> <li>•Pressure to meet budget enrollment and /or fundraising targets</li> <li>•Timing of grant/contract fund expenditures</li> <li>•Types of information technology systems used</li> <li>•Volume of manual journal entries</li> </ul> </li> <li>•Update understanding of management's revenue recognition policies.</li> <li>•Identify cost transfers from over budget federal funds to another federal fund and perform substantive testing to ensure cost transfers identified have a valid business purpose, were recorded appropriately, timely, and accurately and were duly authorized.</li> <li>•Test sample of revenue transactions to ensure transactions occurred and were properly recorded.</li> <li>•Incorporate an element of unpredictability into the planned audit approach by varying the federal grants selected for testing from those tested in prior year.</li> </ul>

 Risk reduced versus 2019

 Risk increased versus 2019

 Risk consistent with 2019

Assertion  
legend:

A – Accuracy  
C – Completeness

CO – Cutoff  
EO – Existence/Occurrence  
PD – Presentation/Disclosure

RO – Rights and Obligations  
V – Valuation

# Risk assessment process and results

## Materiality

We determine the materiality level for the basic financial statements as a whole for purposes of (1) identifying and assessing risks of material misstatement and (2) for determining the nature, timing and extent of audit procedures. We consider both quantitative and qualitative factors in our assessment of materiality.

We also assess the metrics used by the users of the financial statements in determining the appropriate basis for calculating materiality. Users of the University's financial statements include the following:

- The Auburn University Board of Trustees
- State of Alabama
- Bondholders
- Bankers
- Rating Agencies, and
- Federal Government

The metrics determined to be the most important to the users of the financial statements include total assets, total revenues, and total net assets as these users are focused on the stability and diversity (sources) of the revenue basis and the University's ability to fulfill its mission and meet its obligations.

# Scoping

Our audit strategy is based on our top-down, risk-based audit approach is an understanding of:

- The size and complexity of the business and its environment;
- The existence of entity-level controls (ELCs) and information technology general controls (ITGCs); and
- Management's process for evaluating internal control over financial reporting.

Once we have completed our initial risk assessment and gained an understanding of ELCs and ITGCs, we identify, at the financial statement level, significant accounts and disclosures, considering the relevant assertions related to those accounts and disclosures and identifying the significant processes and relevant controls.

The determination of whether an account or disclosure is significant to the audit of the financial statements is based on whether there is a reasonable possibility the account could contain a misstatement that, individually or when aggregated with others, could have a material effect on the financial statements.

## Considerations for scoping accounts

- Size and composition of the account
- Susceptibility of misstatement due to errors or fraud
- Volume of activity, complexity and homogeneity of the individual transactions processed through the account or reflected in the disclosure
- Nature of the account or disclosure
- Changes from the prior period in account or disclosure characteristics
- Accounting and reporting complexities associated with the account or disclosure
- Exposure to losses in the account
- Possibility of significant contingent liabilities arising from the activities reflected in the account or disclosure
- Existence of related-party transactions in the account
- Knowledge obtained in prior audits

## Client service team

	Name	Audit role	Office	Email address	Office number
	Kevin Kelly	Engagement Leader	Atlanta	<a href="mailto:kevin.j.kelly@pwc.com">kevin.j.kelly@pwc.com</a>	(678) 419-1428
	Kelly Thornton	Quality Review Partner	Philadelphia	<a href="mailto:kelly.a.thornton@pwc.com">kelly.a.thornton@pwc.com</a>	(267) 330-3857
	Adam Arnold	Engagement Manager	Birmingham	<a href="mailto:adam.s.arnold@pwc.com">adam.s.arnold@pwc.com</a>	(205) 213-7837
	Bill Anderson	Director	Birmingham	<a href="mailto:james.w.anderson@pwc.com">james.w.anderson@pwc.com</a>	(205) 747-6797



# Client service team

## Specialists



*Travis Patton*  
*Tax Partner*

**Tax:** Supports the engagement team in the audit of all domestic and international tax provision matters. Assists with the testing of unique or complex transactions that require specific tax knowledge.



*Erin Sells*  
*Uniform Guidance Specialist*

**Uniform Guidance:** Assists with the Single Audit Act and OMB cost and administrative circulars.



*Amanda Martin*  
*Engagement Process Assurance Leader*

**Process Assurance:** Liaise with the University's Information Technology personnel to update our understanding of information technology general controls applied over systems development, security, change management and operations functions in audit-critical systems and technology infrastructure and advise us on the implications for our procedures.



*Jason Li*  
*Manager, Data Assurance*

**Data Assurance Group:** Tests complex spreadsheets and data outputs and assist with journal entry testing by using data analytics to identify journal entries to review based on specific risk parameters.

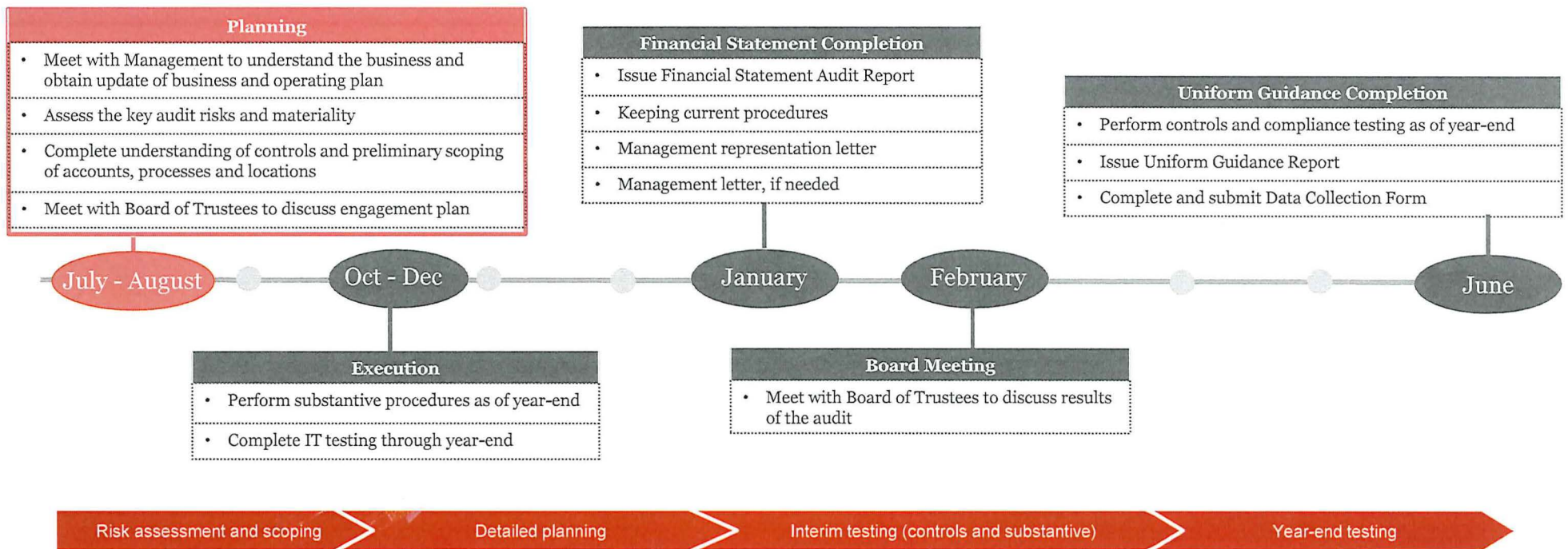


*Martin Hill*  
*Director, GHRS*

**Global Human Resource Solutions:** Reviews the conclusions of the actuarial process, including consideration of actuarial assumptions used, valuation methodology and other procedures.

# Timeline and communication plan

The table below outlines our expected timing of communications with you. In addition, we may communicate with you more frequently, if and when significant matters arise.





# Other required communications

## Fraud

We are required to make certain inquiries of the Audit Committee related to fraud risks. In addition, as part of our overall response to fraud risk, we incorporate unpredictability into our audit by modifying the nature, timing and extent of our procedures.

**Fraud** is a broad legal concept and auditors do not make legal determinations of whether fraud has occurred. Rather, the auditor's interest specifically relates to acts that result in a material misstatement of the financial statements. The primary factor that distinguishes fraud from error is whether the underlying action that results in the misstatement of the financial statements is intentional. The following two types of misstatements are relevant to the auditor's consideration of fraud:

**Misstatements arising from fraudulent financial reporting** are intentional misstatements or omissions of amounts or disclosures in financial statements designed to deceive financial statement users when the effect causes the financial statements not to be presented, in all material respects, in conformity with generally accepted accounting principles (GAAP).

**Misstatements arising from misappropriation of assets** (sometimes referred to as theft or defalcation) involve the theft of an entity's assets when the effect of the theft causes the financial statements not to be presented, in all material respects, in conformity with GAAP.

### Fraud items for discussion:

- Programs and controls in place to mitigate the risk of fraud and error
- Specific concerns about the risk of fraud or error
- Any actual, alleged or suspected fraud
- Oversight of the assessment of fraud risks and mitigating controls
- Violations or possible violations of law
- Nature and extent of communications about misappropriations by lower level employees
- Other matters relevant to the audit

## Other required communications

### Independence

We will communicate to you relationships and/or other matters identified that might reasonably be thought to bear on independence. In accordance with the AICPA's Code of Professional Conduct, we are required to communicate a breach of external independence requirements to you as soon as possible or in line with a communication protocol that is confirmed in writing. As of the date of this report, we are not aware of any breach of external independence requirements.

### Non-compliance with laws and regulations and illegal acts

We are not aware of any instances of non-compliance with laws and regulations.  
We are not aware of any potential illegal acts.

### Significant issues discussed with management prior to appointment or retention

There were no significant issues discussed with management in connection with the retention of PwC.

### Communications Plan

Our communications plan as presented in these slides provides an overview of the form, timing and expected general content of communications with management and the audit committee.

### Obtain information relevant to the audit

We will inquire of the Board of Trustees about whether it is aware of matters relevant to the audit and about the risks of material misstatement.



# Other communications

## Our shared responsibility for independence

### New or expected new affiliate, or new or expected new officers, directors, or significant shareholders

- Compliance with the auditor independence rules is a shared responsibility between a company's management and its independent auditor. The independence rules encompass not only the Company but also its affiliates.
  - If there is a new or expected new affiliate or new or expected new officers, directors, or significant shareholders not previously identified, PwC is required to complete an independence assessment **in advance** of the effective date of the independence restriction.
  - If PwC is providing impermissible non-audit services to an entity being acquired by an audit client, PwC **must cease providing or restructure those services** for the newly-acquired affiliate **prior to the closing of the transaction**.
  - PwC is subject to the ethical obligation of confidentiality (Rule 1.700.001 of the AICPA Code of Professional Conduct). PwC's policy is to treat as strictly confidential all information related to a client's affairs (other than that already in the public domain) acquired in the course of providing services to clients.
- In signing the engagement letter, the University agrees to inform PwC periodically about the identity of each affiliate and to **notify PwC in advance regarding any expected addition or removal of an affiliate**.

The following PwC and management working practices have been discussed with management to support this communication on a timely basis such that PwC has sufficient time to complete the necessary independence assessment.

PwC	Management
<ul style="list-style-type: none"><li>• PwC inquires of management whether there have been any changes in affiliates or new officers or directors during our planning and completion procedures. Additionally, we hold weekly meetings with management to stay current on items that may impact our audit procedures.</li></ul>	<ul style="list-style-type: none"><li>• Management would be made aware of any potential changes in affiliates through their extensive involvement in University affairs. For example, the controller attends meetings with various University groups (i.e. Research and Economic Development meetings and Board meetings) and regularly reviews the Auburn University news site. Thus, through these activities, she would be informed of any expected changes in affiliates. She would inform us of a potential change in affiliates as soon as the change is being explored by the University (when presented to the Board or other department / committee for exploration).</li></ul>

# Services and fees estimate

The following table summarizes our estimated fees:

Service description	Estimated fees FY2020	Actual fees 2019
<b>Audit services<sup>1</sup></b>		
Financial Statement audit	\$392,000	\$381,350
Uniform Guidance audit	238,500	231,500
<b>Total audit services</b>	<b>\$630,500</b>	<b>\$612,850</b>
<b>Other Services</b>		
Auburn University at Montgomery Review	\$31,300	30,400
<b>Total other services</b>	<b>\$31,300</b>	<b>\$30,400</b>
<b>Efficiency Goal</b>	<b>(\$9,900)</b>	
<b>Total services</b>	<b>\$651,900</b>	<b>\$643,250</b>

1. The draft engagement letter for audit services is attached as Appendix I

2. Additional effort related to the transition of ARTF's accounting function from Auburn University's Controller's Office to an in-house model with third-party accounting firm bookkeeping services and work related to the NMTC.





Our continued commitment



# Our continued commitment

We affirm our commitment to the Board of Trustees to help you meet your responsibilities. We will endeavor to fulfill our responsibilities to you and management by focusing on quality and the following key commitments:

## Perspectives and relevant insights from the audit

- Provide PwC thought leadership to Audit Committee Chair and management.
- Provide observations at conclusion of audit to management sharing our perspective on potential enhancements to existing practices.

## Responsiveness, timeliness and transparency

- Provide insights on US tax reform on the higher education industry

## Quality and innovation

- Aura Platinum: ERP system enabling reporting real-time progress on the audit
- EMT Web: automated project management tool integrating economics of management with resource needs, ensuring the right personnel are assigned at the right time.
- Halo data visualization: leveraging and analyzing large quantities of data to identify trends and outliers.
- Connect v3: enhancing flow of information between management and PwC.



# Our continued commitment

Our multi-year audit innovation strategy



Create a **global xLOS** platform to meet clients' needs in all geographies and promote **reusability** of analytic modules, client data and insights in **appropriate** and approved ways.



**Securely extract and analyze** our client's information through state of the art methods and cryptography.



**Tech-enable** the audit to streamline, standardize, automate and centralize our work in Centers of Excellence/SDCs.



**Train** our people in new digital skills that will be in demand at PwC and elsewhere, to be their best at work and in life, and to use their skills to make a difference.



Deliver client engagements with **enhanced quality** through **data-driven audit procedures**, machine learning and our best in class resource expertise.



Deliver **next-generation insight** to clients about their data, performance, process efficiency and optimization in ways not possible with today's PwC tools and applications.



Deliver a workforce of talented professionals with the **triple threat of business knowledge, digital skills and technology know-how**, who are highly valued in the marketplace.

**[Click here to hear more about our innovation strategy](#)**

# Our continued commitment

## Audit innovation tools

### Our current technology used on the audit engagement today



Aura

**Aura**, our global ERP system, is used by our 100,000 auditors worldwide on every PwC audit. It involves a systematic, risk-based approach with workflow technology. This ensures that things are done one way – the right way – consistently and efficiently across the global engagement team. The result is all of the elements of our audit fitting together seamlessly – no duplication, no omissions.



Connect

**Connect** is our data sharing and collaborative workflow tool, providing fast, efficient and secure information sharing at every stage of the audit globally. It monitors the status of information flows on a real-time basis.



Halo

**Halo** is revolutionizing the PwC audit, providing greater assurance and deeper insight. It is market-leading assurance technology that tests and visualizes information, testing more, more often. We are able to share insight with management based on trends and anomalies identified in the data.



ADC

**Automated Disclosure Checklist (ADC)** is a cloud-based technology solution which allows multiple users to work on a checklist at the same time from different locations. The application utilizes a tailoring function to create customized checklists for each company, and allows both the engagement team and the client to collaborate on meeting disclosure requirements.



### Next generation technology



GL.ai

**GL.ai** is a revolutionary bot that uses AI and machine learning to 'x-ray' a business, analyzing billions of data points in milliseconds, seeing what humans can't, and applying judgment to detect anomalies in the general ledger. It is the first module of PwC's Audit.ai.



Data Sieve

We have bespoke optical character recognition tools that utilize machine-learning technology and can be 'trained' to scan contracts and extract the relevant information. This information is easily searchable and can be analyzed in several different ways. The technology is already being used to help clients to implement the revenue and leases standards, for example.



Process mining

Our range of Halo tools can illustrate the journey that each transaction takes through the Company's systems. This generates a detailed illustration of the transaction flows for each key process, showing not only the most common route that transactions take, but also when transactions follow an unexpected path.

This enables us to compare expected data flows to reality and to properly understand the reliance companies are placing on automated and manual controls. This drives a higher-quality risk-based audit and also generates unique insight into the Company's business.

# Our diversity and inclusion strategy

“When you include a diversity of minds in the decision-making process, you inevitably have a better and stronger result.”

- Tim Ryan, US Chairman and Senior Partner

## An integrated approach

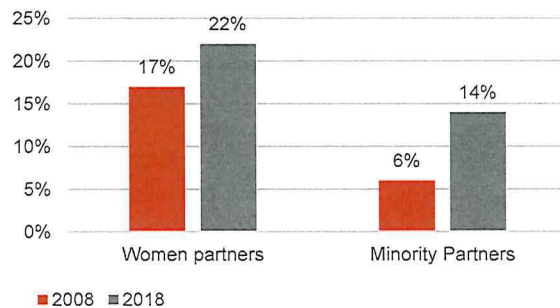
As a result of our efforts to promote diversity and sustain an inclusive environment, women and minorities comprise 46% and 33% of our firm, respectively. To better align our programs and initiatives with our people and business strategies, our diversity priorities are incorporated into our organization at many levels, starting with our core values of caring and working together, including:

- Our **“Blind Spots” program** is required for all new hires and promotees and available to all our people, and helps individuals gain awareness of unconscious biases and their consequences.
- We continued a series of **Color Brave™** conversations about race that has helped break down barriers to employees bringing their best selves to work.

- We engage our people through inclusion networks for Black/African-Americans, Asians/Pacific Islanders, Latinos/Hispanics, women, working parents, veterans, people with disabilities, and LGBT professionals.

Another testament of our progress is our 2018 new partner class with 43% women and minorities.

While we are proud of the progress we have made, there is still more we want to do to enhance our inclusive culture so that we can continue to attract talented people who have unique skills, experiences and ambitions.

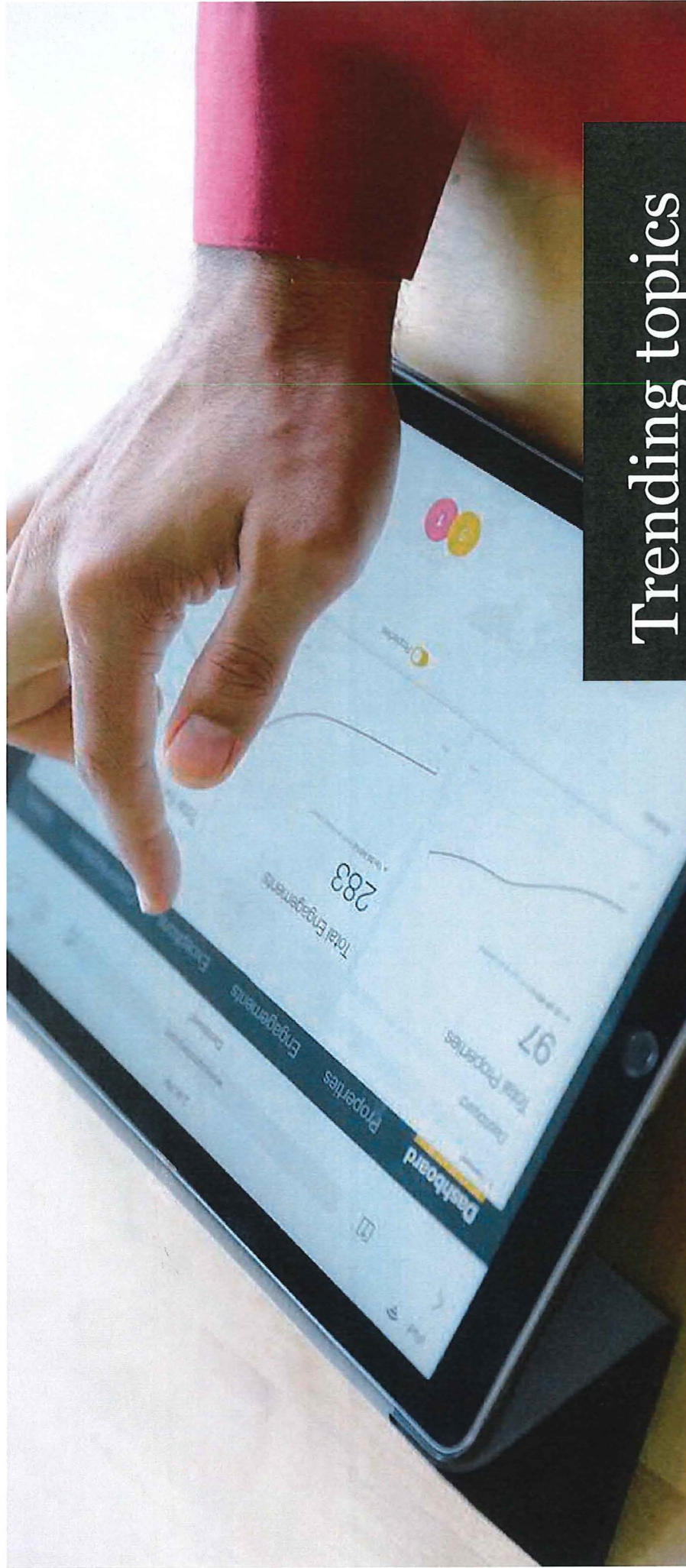


As a result of our efforts to promote diversity and sustain an inclusive environment, the diversity of our partners has gone from 17% women and 6% minorities in 2008 to 22% women and 16% minorities in 2018.





# Trending topics





## COVID-19: What US business leaders should know

### Overview:

PwC's dedicated site with COVID-19 content for business leaders relating to numerous focus areas including:

- Industry impact
- Understanding the CARES Act
- What is top of mind for US finance leaders – COVID-19 CFO Pulse Survey

**Click on the image to visit the site**



# Findings from PwC's COVID-19 US CFO Pulse Survey — May 11, 2020

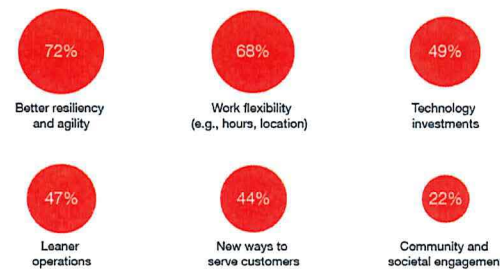
We're tracking the changing sentiment and strategies of US finance leaders. Here are highlights from our fifth [survey installment](#), conducted on an ongoing basis.

**Business-as-usual horizon continues to retreat:** For the first time, over half of CFOs (58%) expect it to take their company at least three months to recover once the virus recedes.

**Flexible work is a better model:** 68% say crisis-driven transitions to remote work will make their company better in the long run.

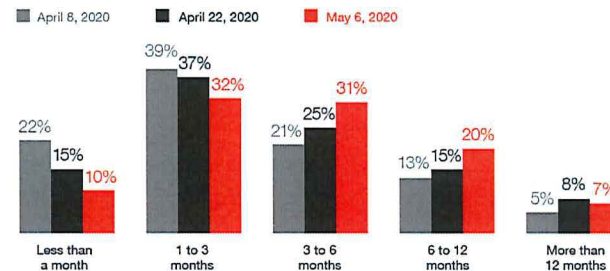
**Confidence in plans to create safe workplaces:** Two-thirds are "very confident" their company can create a safe workplace environment for employees and customers.

What about the current situation will make your company better in the long run? (Select all that apply.)



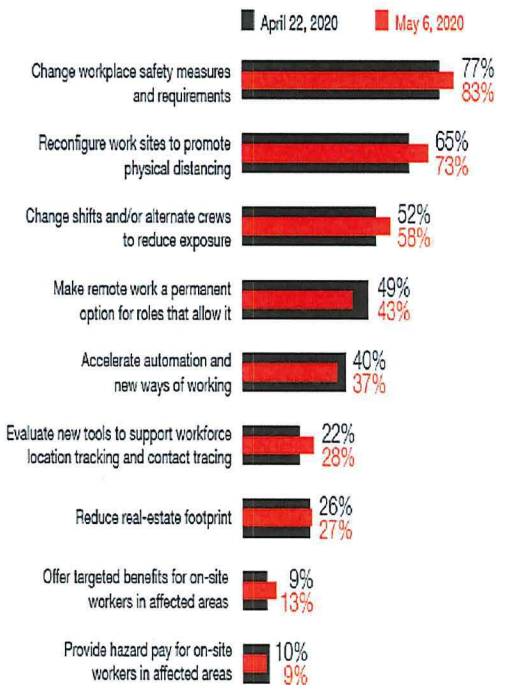
Source: PwC COVID-19 US CFO Pulse Survey, May 6, 2020; base of 288

If COVID-19 were to end today, how long would you estimate it would take for your company to get back to business as usual?



Source: PwC COVID-19 US CFO Pulse Survey, April 8, 2020; base of 313; April 22, 2020; base of 305; May 6, 2020; base of 288

Which of the following is your company planning to implement once you start to transition back to on-site work? (Select all that apply.)



Source: PwC COVID-19 US CFO Pulse Survey, April 22, 2020; base of 305; May 6, 2020; base of 288



# Leases – Executive summary

GASB Statement No. 87

## *What you need to know*

- Virtually all leases on balance sheet
- Renewal options will be included when a significant economic incentive to exercise exists
- Lessors in a sales-type or direct financing lease derecognize the underlying asset and recognize a lease receivable and unguaranteed residual asset

## Reference materials

- [PwC's Leases Guide](#)
- [In brief US2018-09, The new leases standard made easier for lessors](#)
- [In the loop: Are leases embedded in your contracts?](#)
- [In the loop: Lessor accounting – how the new lease and revenue standards interact](#)

## *Impacts*

- Balance sheet will be grossed up for lessees
- Financial metrics and debt covenants may be impacted
- Leases may be embedded in other contracts and will now be on balance sheet

## *Looking forward*

- GASB Statement No. 95 delayed implementation of GASB No. 87 18 months. Leasing standard is now effective for reporting periods beginning after June 15, 2021 (FY 2022 for AU).



AUDIT AND COMPLIANCE COMMITTEE

RECEIPT OF CODE OF ETHICS COMPLIANCE DOCUMENTS

Time will be allocated to report on the receipt of the 2019-2020 Code of Ethics Compliance Documents.

## AUDIT AND COMPLIANCE COMMITTEE

### REPORT ON THE AUBURN UNIVERSITY BOARD OF TRUSTEES SELF-EVALUATION

Time will be allocated for a report by Chairperson Bob Dumas on the results of the Auburn University Board of Trustees Self-Evaluation.

INSTITUTIONAL ADVANCEMENT COMMITTEE  
(DEVELOPMENT AND AU FOUNDATION)

AUBURN UNIVERSITY ENDOWMENT PORTFOLIO UPDATE

Time will be allocated for the Auburn University Endowment Portfolio Update.


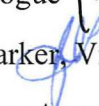




AUBURN UNIVERSITY

OFFICE OF DEVELOPMENT

May 26, 2020

MEMORANDUM TO: President Jay Gogue   
FROM: Jane DiFolco Parker, Vice President for Development   
SUBJECT: Board of Trustees Agenda Item: Auburn University Endowment  
Portfolio Update

**PROPOSAL:**

It is proposed that a representative of the Auburn University Foundation Board of Directors present a brief update to the Institutional Advancement Committee of the Board of Trustees at its July 9 meeting.

**BACKGROUND AND INFORMATION:**

While maintaining its fiduciary responsibility for ownership of Auburn University's endowments by establishing investment and spending policies, the Board of Trustees delegates to the Auburn University Foundation authority for the day-to-day management of the endowment portfolio. This includes development of investment strategies; tactical allocation among approved asset classes; strategies within asset classes; and investment manager selection and termination. In order to facilitate communication and reporting between the Auburn University Board of Trustees and the Auburn University Foundation Investment Committee, a member of the Board of Trustees serves on the Auburn University Investment Committee.

**RATIONALE FOR RECOMMENDATION:**

The Board of Trustees periodically should receive reports from the Foundation. We recommend that a report be provided at the July 9, 2020, meeting.

c: Jon Waggoner  
Gena Isbell

EXECUTIVE COMMITTEE

RESOLUTION

POSTHUMOUS AWARDING OF THE BACHELOR OF SCIENCE IN NURSING TO  
SARAH E. MILLS

WHEREAS, Ms. Sarah Elizabeth Mills, an undergraduate student in the School of Nursing in the College of Nursing and Health Sciences at Auburn University at Montgomery, passed away on April 24, 2020; and

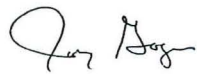
WHEREAS, Ms. Mills passed away before she could complete the final requirements necessary for a Bachelor of Science in Nursing; and


WHEREAS, the faculty in the School Nursing; the Dean of the College of Nursing and Health Sciences; the Provost; and the Chancellor recommend that the Bachelor of Science in Nursing be awarded posthumously,

NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that the degree of Bachelor of Science in Nursing is hereby granted posthumously to Ms. Sarah Elizabeth Mills in recognition of her academic achievements as a student at Auburn University at Montgomery.

BE IT FURTHER RESOLVED that the Board hereby expresses its sympathy and condolences to the family of Ms. Sarah Elizabeth Mills in this period of sadness and that a copy of this resolution be presented to them so that they will know of this action and the university's deep loss.



To: Dr. Jay Gogue   
President

From: Dr. Carl A. Stockton   
Chancellor

Subject: Posthumous degree for Ms. Sarah Elizabeth Mills

Date: May 1, 2020

I have received a request from Provost Varma to award posthumously the Bachelor of Science in Nursing to Ms. Sarah Elizabeth Mills who passed away April 24 after completing all degree requirements with the exception of one final examination and one standardized examination in preparation for the NCLEX-RN licensing examination. Ms. Mills was in good standing and would have graduated this coming May.

Following a careful review of her academic records, the faculty in the School of Nursing all concur that her academic achievements ensure Ms. Mills meets the requirements necessary to be awarded a degree posthumously. Please find attached a formal request from Provost Varma and Dean Leuner of the College of Nursing and Health Sciences. I respectfully request that this item be placed on the Board of Trustees agenda for the Summer 2020 meeting.

Thank you for your kind attention.



To: Dr. Carl Stockton  
Chancellor

From: Mrinal Varma *Mrinal Varma*  
Provost and Senior Vice Chancellor

Subject: Posthumous degree for Ms. Sarah Elizabeth Mills

Date: April 30, 2020

With this memo, I request consideration of a posthumous B.S.N. degree for Sarah Elizabeth Mills, who passed away April 24, 2020. Ms. Mills was a senior in Nursing in good standing at Auburn University at Montgomery who needed to pass one last final exam and satisfactorily complete an NCLEX-RN prep exam. Her overall GPA was 3.23 and the faculty have voted unanimously that she would have completed the requirements for her degree in the Spring 2020 semester.

Thank you for consideration of this request; contact me if I can provide any additional information.



Dr. Mrinal Varma , Provost and Senior Vice Chancellor  
Auburn University at Montgomery  
7430 East Drive  
Montgomery, AL 36117

April 28, 2020

Dear Dr. Varma,

Ms. Sarah Elizabeth Mills was a student in the School of Nursing in the College of Nursing and Health Sciences. Ms. Mills was a baccalaureate candidate for Spring 2020 graduation when she suffered a fatal accident on April 24, 2020. At the time of her death she had completed all degree requirements with the exception of one final examination and one standardized examination in preparation for the NCLEX-RN licensing examination.

Ms. Mills commenced her education at Auburn University at Montgomery (AUM) in Fall, 2018 in the School of Nursing pursuing a Baccalaureate of Science in Nursing (BSN) degree. Ms. Mills was a dedicated and conscientious student whose goal had always been to work in the Emergency Department as a registered nurse. She secured an externship at Jackson Hospital in the Emergency Department to enhance her learning while a student at AUM. Ms. Mills completed her senior preceptorship in the Emergency Department at Baptist Health South and she had accepted a full-time position after graduation in Huntsville, AL. Her ultimate goal was to be an Emergency Department Nurse Practitioner.

Dr. Courtney Bagents Cochran, Department Chair for Undergraduate Affairs has recommended Ms. Mills for a posthumous degree and the nursing faculty and I are in total agreement with this recommendation. Ms. Sarah Mills was an exceptional student graduating with a cumulative GPA of 3.23. She received exemplary course and clinical evaluations as a nursing student and she was very well regarded by her classmates. Ms. Mills worked diligently to complete her degree at AUM and a posthumous degree will publicly recognize her work and her passion for the discipline of nursing.

Thank you for your consideration of this recommendation and action by the Auburn Board of Trustees.

Sincerely,

Jean D'Meza Leuner, PhD, RN, CNE, FAAN  
Barbara S. Witt Professor and Dean  
College of Nursing and Health Sciences

Auburn University at Montgomery  
College of Nursing & Health Sciences *Office of the Dean*  
P.O. Box 244023, Montgomery, AL 36124-4023; (334) 244-3658; (334)244-3243  
[www.aum.edu](http://www.aum.edu)



## EXECUTIVE COMMITTEE

### RESOLUTION

#### ADDITION OF POSITIONS TO MANAGERIAL GROUP FOR THE ENABLEMENT OF AUBURN UNIVERSITY TO CONDUCT SELECTED CLASSIFIED RESEARCH PROGRAMS

WHEREAS, at its previous meeting on November 18, 2011, the Board of Trustees adopted a resolution that approved the establishment of a Managerial Group for the enablement of Auburn University to conduct selected classified research programs.

WHEREAS, the Board of Trustees acknowledges that Auburn University has been conducting classified research since 1971 and that the continuation of such research remains important to Auburn University; and

WHEREAS, only universities and contractors who have been granted a facility security clearance, and individuals holding appropriate security clearances, are permitted access to classified information; and

WHEREAS, in order for Auburn University to continue to conduct classified research, it is required by the Department of Defense to establish a Managerial Group charged with the responsibility for the protection of classified information under classified contracts awarded to Auburn University.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that those persons occupying the following positions among the officers of Auburn University, or such other person as may be acting in such positions, shall be known as the Managerial Group, having the authority and responsibility for the negotiation, execution, and administration of Department of Defense (DoD) or User Agency contracts, as described in DOD 5220.22-M, "National Industrial Security Program Operating Manual" (NISPOM).

Title:

President

Executive Vice President

Provost and Sr. Vice President for Academic Affairs

Vice President for Research and Economic Development

Associate Vice President for Audit, Compliance and Privacy

General Counsel

Executive Director Institutional Compliance & Privacy

Executive Director for AU Huntsville Research Center

Associate Dean of Research College of Engineering

To better serve Auburn University in its research activities and interests, we are removing the following positions:

Associate Provost and Associate Vice President for Research  
Associate Vice President for Facilities Management  
Executive Director for Office of External Engagement and Support  
Senior Counsel for National Security Programs, Cyber Programs & Military Affairs

and replacing those positions with the following:

Executive Vice President  
Associate Vice President for Audit, Compliance and Privacy  
General Counsel  
Executive Director Institutional Compliance and Privacy

as well as adding one At-Large position:

Associate Dean of Research College of Engineering

BE IT FURTHER RESOLVED that the President and all other members of the Managerial Group have been processed, or will be processed, for a personnel security clearance for access to classified information to the level of the facility security clearance granted to this institution as provided for in the NISPOM. The said Managerial Group is hereby delegated all of the Board's duties and responsibilities pertaining to the protection of classified information under classified contracts of the DoD or User Agencies of the NISPOM awarded to Auburn University. The following officer positions as well as any member of the Board of Trustees shall not require, shall not have, and can be effectively excluded from access to all classified information in the possession of Auburn University, and do not occupy positions that would enable them to affect adversely the policies and practices of Auburn University in the performance of classified contracts for the DoD or User Agencies of the NISPOM awarded to Auburn University, and need not be processed for a personnel security clearance.

Officer Titles:

Vice President of University Outreach & Associate Provost (currently Royrickers Cook)  
Sr. Vice President for Student Affairs (currently Bobby R. Woodard)  
Vice President for Alumni Affairs (currently Gretchen VanValkenburg)  
Vice President for Development (currently Jane DiFolco Parker)  
Vice President for Business & Finance and CFO (currently Kelli D. Shomaker)  
Chancellor, Auburn University at Montgomery (currently Carl A. Stockton)  
Director, Alabama Cooperative Extension System (currently Gary D. Lemme)  
Director, Alabama Agricultural Experiment Station (currently Paul M. Patterson)  
Director of Intercollegiate Athletics (currently Allen Greene)  
Secretary to the Board of Trustees (currently Jon G. Waggoner)  
Executive Director Governmental Affairs (Jared White)

Board of Trustees Titles:

President of Board (currently Governor Kay Ivey)

District 1 (currently B.T. Roberts)

District 2 (currently Clark Sahlie)

District 3 (currently James W. Rane)

District 3 – Lee County (currently Bob Dumas)

District 4 (currently Jimmy Sanford)

District 5 (currently Gaines Lanier)

District 6 (currently Elizabeth Huntley)

District 7 (currently Sarah B. Newton)

District 8 (currently Michael A. Demaoribus)

District 9 (currently James Pratt)

At-Large/President Pro Tempore (currently Wayne T. Smith)

At-Large (currently Raymond J. Harbert)

At-Large (currently Charles D. McCrary)

At-Large (currently Quentin P. Riggins)

At-Large (currently Lloyd Austin)

This resolution was approved  
on July 9, 2020 by the  
Auburn University Board of Trustees

Jon G. Waggoner  
Secretary to the Board of Trustees



*Office of Research Security Compliance*

TEMPORARY EXCLUSION RESOLUTION FOR  
MANAGERIAL GROUP

I, Ronald L. Burgess, Jr., LTG (Ret) USA, currently holding the position of Executive Vice President, and a Member of the Managerial Group within Auburn University, certify that Dr. G. Jay Gogue, currently holding the following position(s): President, Auburn University and a Member of the Managerial Group of Auburn University, is being processed for eligibility for access to classified information and shall not require, shall not have, and can be effectively excluded from access to all Top Secret, Secret, & Confidential classified information disclosed to the organization until such time as his eligibility determination is issued. The excluded individual shall not be in a position to adversely affect organization policies or practices in the management or performance of classified contracts for the Department of Defense or other Federal Government Contracting Activities (GCA) participating under the National Industrial Security Program.

Signed: \_\_\_\_\_

Ronald L. Burgess, Jr., LTG (Ret) USA  
Executive Vice President

Dated: \_\_\_\_\_

6/2/2020





*Office of Research Security Compliance*

TEMPORARY EXCLUSION RESOLUTION FOR  
MANAGERIAL GROUP

I, Ronald L. Burgess, Jr., LTG (Ret) USA, currently holding the position of Executive Vice President, and a Member of the Managerial Group within Auburn University, certify that Dr. John Weyhenmeyer, currently holding the following position(s): Vice President for Research and Economic Development of Auburn University and a Member of the Managerial Group of Auburn University, is being processed for eligibility for access to classified information and shall not require, shall not have, and can be effectively excluded from access to all Top Secret, Secret, & Confidential classified information disclosed to the organization until such time as his eligibility determination is issued. The excluded individual shall not be in a position to adversely affect organization policies or practices in the management or performance of classified contracts for the Department of Defense or other Federal Government Contracting Activities (GCA) participating under the National Industrial Security Program.

Signed: \_\_\_\_\_

Ronald L. Burgess, Jr., LTG (Ret) USA  
Executive Vice President

Dated: \_\_\_\_\_

6/2/2020



**AUBURN**  
UNIVERSITY

OFFICE OF AUDIT, COMPLIANCE & PRIVACY  
M. KEVIN ROBINSON, ASSOCIATE VICE PRESIDENT

**TO:** JAY GOGUE, President

**THROUGH:** RONALD BURGESS, Executive Vice President *RB*

**FROM:** KEVIN ROBINSON, Associate Vice President for Audit, Compliance & Privacy

**SUBJECT:** EXECUTIVE COMMITTEE

**REVISION TO MANAGERIAL GROUP RESOLUTION**

**DATE:** June 4, 2020

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This memorandum requests the following proposal be presented to the Board of Trustees through the Executive Committee and included on the agenda at the meeting scheduled for July 9, 2020.

**Proposal:**

Consistent with standing policy, it is proposed that the Revision to Managerial Group Resolution be presented to the Board of Trustees through the Executive Committee for appropriate action that will approve the removal and replacement of four positions as well as the addition of one At-Large position to the approved list of positions known as the Managerial Group.

**Review and Consultation:**

We are proposing the removal of the following positions in the Managerial Group:

Associate Provost and Associate Vice President for Research  
Associate Vice President for Facilities Management  
Executive Director for Office of External Engagement and Support  
Senior Counsel for National Security Programs, Cyber Programs & Military Affairs

and the replacement of those positions with the following:

Executive Vice President  
Associate Vice President for Audit, Compliance and Privacy  
General Counsel  
Executive Director Institutional Compliance and Privacy

as well as adding one At-Large position:

Associate Dean of Research College of Engineering.

**Recommendation:**

It is recommended that the By-Laws be revised to reflect the change in the Managerial Group by the removal and replacement of four positions and the addition of one At-Large position and that the By-Laws be forwarded to Auburn University's Board of Trustees for review and final approval.

EXECUTIVE COMMITTEE

RESOLUTION

APPROVAL AND NAMING OF THE  
NATIONAL PAN-HELLENIC COUNCIL LEGACY PLAZA

April 17, 2020

WHEREAS, it is proposed that a plaza with one central commemorative marker and nine individual markers honoring each of the National Pan-Hellenic Council (NPHC) organizations be erected in front of the new Academic Classroom and Laboratory Complex (ACLC) on the Auburn University campus, to be funded by Student Affairs and gift donation(s); and

WHEREAS, such a space gives homage to the legacy of Black Greek-lettered organizations and African American culture at Auburn University; and

WHEREAS, the NPHC Legacy Plaza will provide a symbolic and functional space that celebrates the NPHC organizations as part of sorority/fraternity life on Auburn's campus; and

WHEREAS, student leaders, members of NPHC, IFC, and Panhellenic chapters and Auburn alumni have pledged financial support of the project.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the request to construct a plaza on Auburn University campus, honoring the NPHC organizations is approved, and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to raise funds and establish the NPHC Legacy Plaza and the commemorative markers contained within.



STUDENT AFFAIRS

## MEMORANDUM

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**TO:** JAY GOGUE, President

**THROUGH:** RONALD BURGESS, Executive Vice President

**FROM:** BOBBY WOODARD, Senior Vice President for Student Affairs

**SUBJECT:** EXECUTIVE COMMITTEE

**APPROVAL FOR THE NATIONAL PAN-HELLENIC COUNCIL (NPHC)  
LEGACY PLAZA COMMEMORATIVE MARKERS**

**DATE:** March 5, 2020

**CC:** JANE DIFOLCO PARKER, Vice President for Development

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The purpose of this memorandum is to request the following item be presented to the Auburn University Board of Trustees through the Executive Committee during the April 17, 2020 meeting.

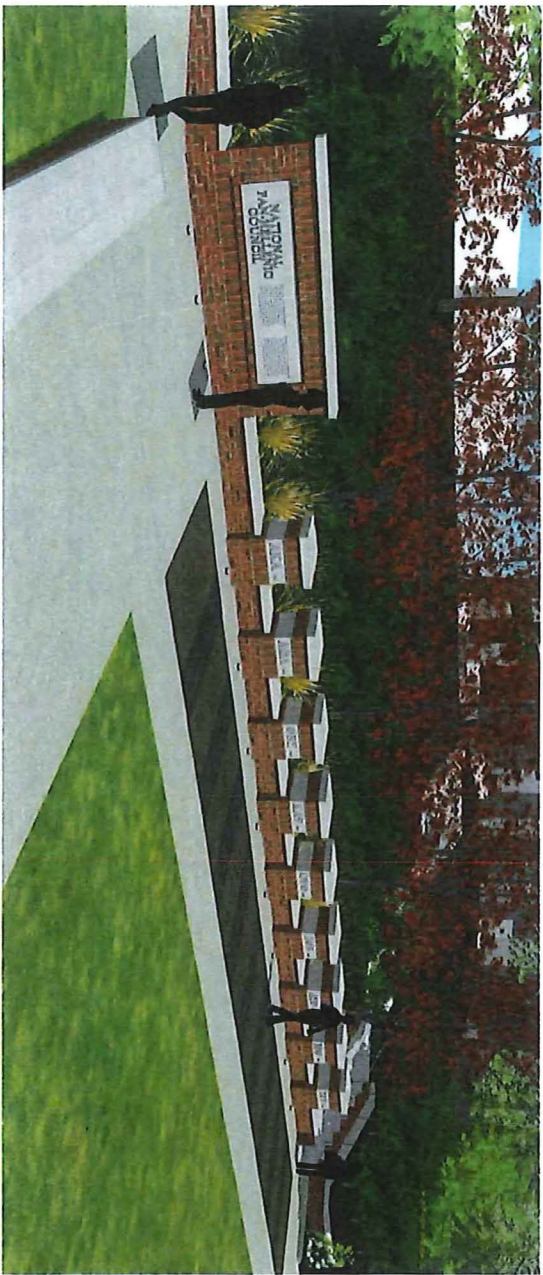
**Proposal:**

The NPHC Legacy Plaza, which will be located in front of the new Academic Classroom and Laboratory Complex (ACLC) which will include one central commemorative marker in recognition of the project donors and nine individual markers recognizing each NPHC chapter. Construction on the project is expected to begin in 2022.

**Rationale for Recommendation:**

The Board of Trustees Policy D-11 (*Policy on Erecting Statues, Monuments, or other Types of Recognition on Campus*) stipulates Board approval for campus projects that include commemorative markers.

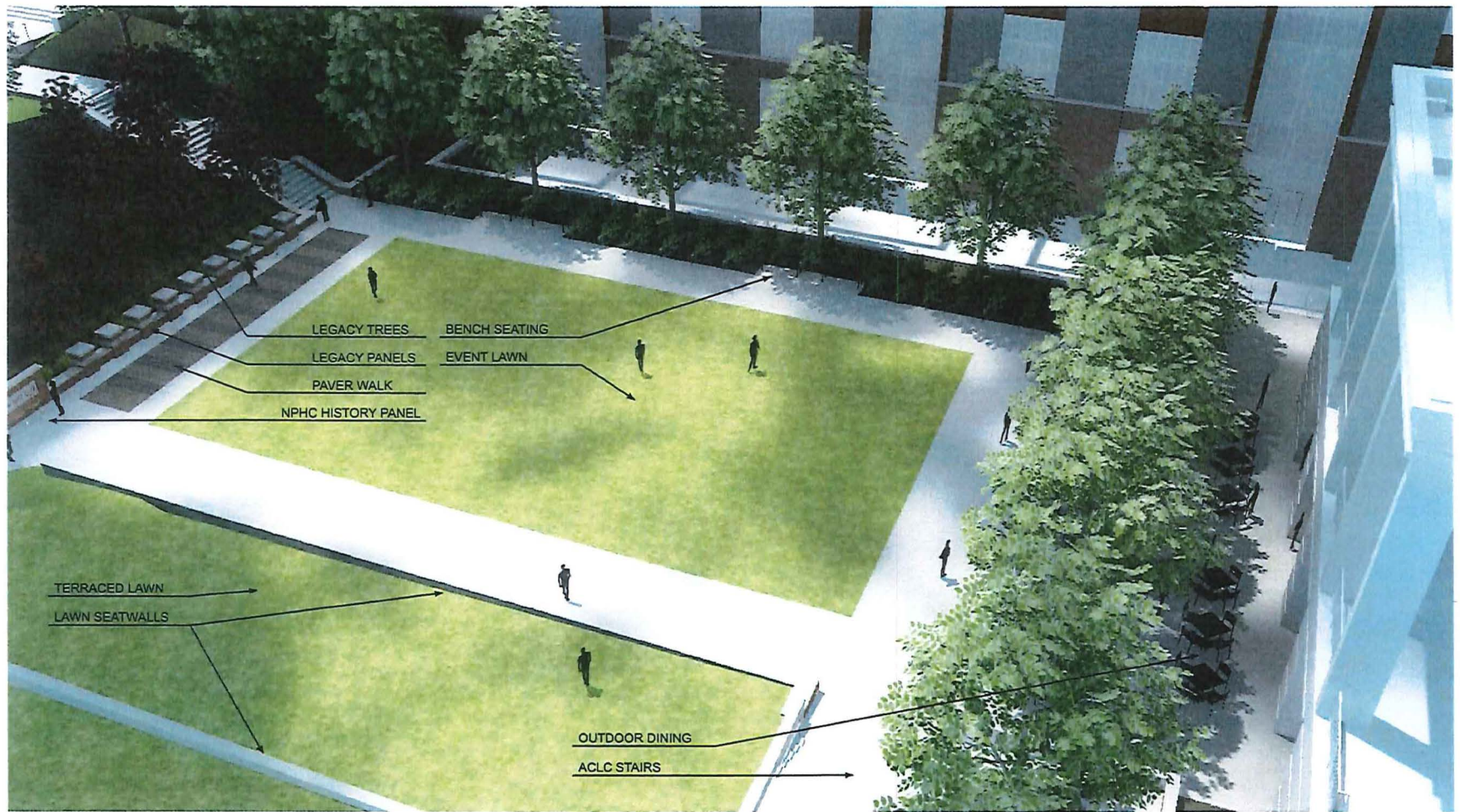




JANUARY 13, 2020  
 NPHC LEGACY PLAZA : LIMESTONE CONCEPT  
 AUBURN UNIVERSITY : AUBURN, AL

NOTE: PRELIMINARY DESIGN. SUBJECT TO CHANGE



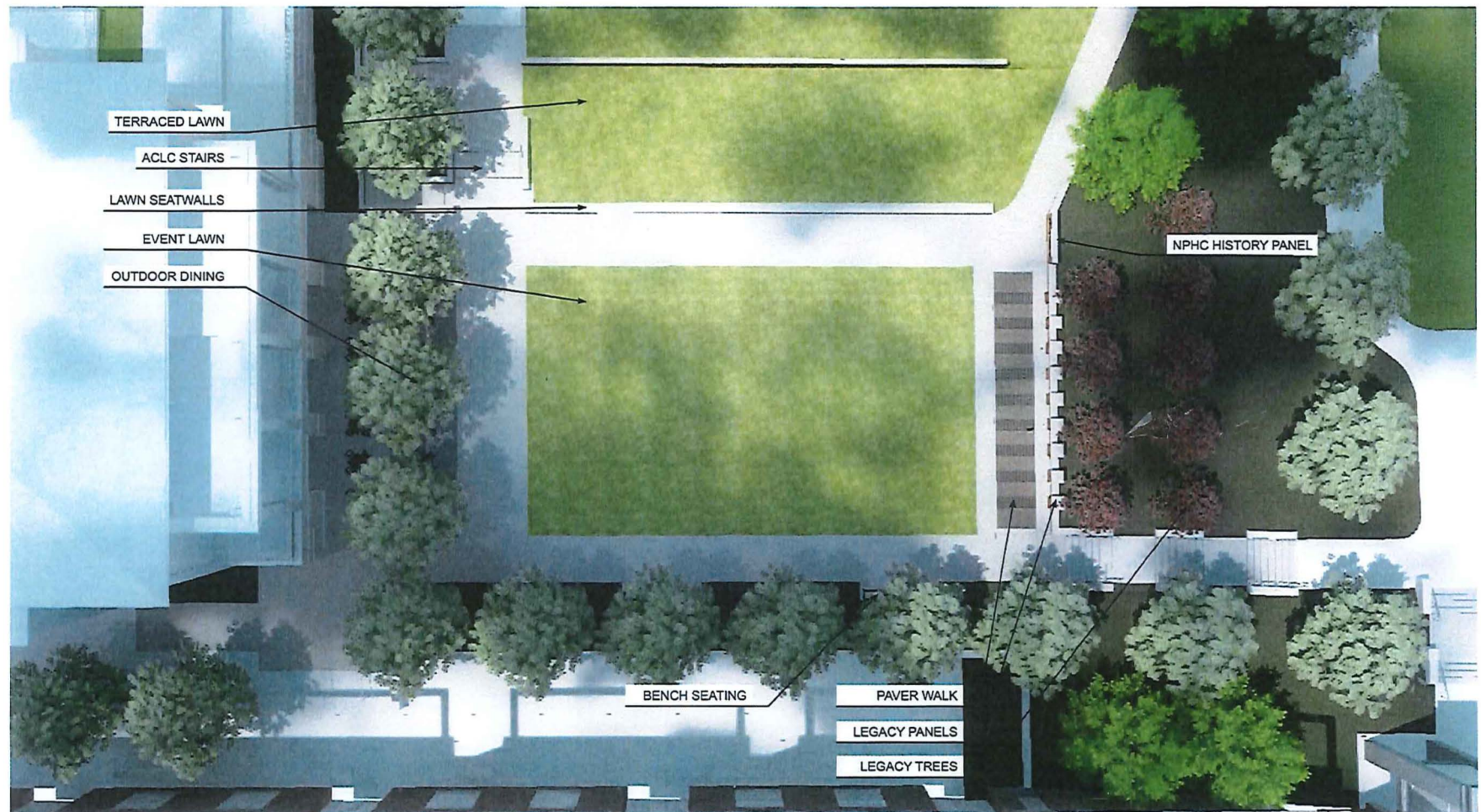


JANUARY 13, 2020  
 NPHC LEGACY PLAZA : LAWN VIEW  
 AUBURN UNIVERSITY : AUBURN, AL

NOTE: PRELIMINARY DESIGN; SUBJECT TO CHANGE







JANUARY 13, 2020

NPHC LEGACY PLAZA : PLAN VIEW

AUBURN UNIVERSITY : AUBURN, AL

NOTE: PRELIMINARY DESIGN: SUBJECT TO CHANGE







AUBURN  
UNIVERSITY

Dane Block Jr.  
President

Schylar Burney  
Vice President

Dixon Simmons  
Treasurer

Mackenzie Yelton  
Chief of Staff

Bailey Hand  
Executive Vice President  
Communications and Marketing

Austin Chandler  
Executive Vice President  
Initiatives

Jordan Kramer  
Executive Vice President  
Outreach

Patrick Starr  
Executive Vice President  
Programs

3130 Auburn University

Student Center

255 Heisman Drive

Auburn, AL 36849-5134

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334-844-4240

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334-844-4172

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[auburn.edu/sga](http://auburn.edu/sga)

STUDENT GOVERNMENT ASSOCIATION

To Whom It May Concern:

I want to make you aware of a piece of legislation that our SGA Student Senate passed on December 3, 2018. Enclosed you will find a copy of PSSR 18-1203-01 "NPHC Legacy Plaza." The purpose of the resolution is to display the student support behind a campaign for a National Pan-Hellenic Council (NPHC) Legacy Plaza. The NPHC is composed of nine historically black sororities and fraternities, commonly referred to as the "Divine 9." It is desired that this plaza would be a location on Auburn's campus that recognizes the past, present, and future contributions to our University. The main goals of this project include:

1. To provide a space on campus that gives homage to the legacy of Black Greek Letter Organizations and African American culture on Auburn University
2. To cultivate a spirit of inclusion and diversity on Auburn's campus with a monument dedicated to not only NPHC organizations, but also minority students as a whole
3. To enhance the beauty of Auburn University with a symbolic and functional space that can make use of a vacant plot of land on campus to recognize the history and achievements of NPHC and its organizations
4. To embrace human touch, reflecting the essence of the Auburn Creed and ensure that all students see themselves as part of Auburn's rich and vibrant community
5. To educate students on African American culture and provide a space that promotes learning and the embracing of different cultures on Auburn's campus
6. To aid in minority recruitment and enrollment efforts by displaying an area that accomplishes the previous goals listed

Due to the dedication of several student leaders in conjunction with members of our Student Senate, we have researched and identified the need for an on-campus space for a legacy plaza. Auburn University has the opportunity to become the second SEC school, behind The University of Mississippi, to establish such a thing. By seeking input from various perspectives, the SGA Student Senate was able to unanimously pass the resolution. This resolution is the first step taken of many needed as we look to see through this project that pushes our campus forward in a positive light and serves for the betterment of Auburn University as a whole. Through all facets of life, we encourage Auburn to continue efforts in supporting an inclusive and diverse environment both within the boundaries of campus and beyond. SGA, on behalf of the students, believe this project will aide in this and fully support the effort moving forward.

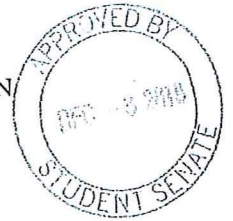
Sincerely,

Dane Block Jr.

SGA President



STUDENT GOVERNMENT ASSOCIATION



**Student Government Association Senate  
Order of Business  
Monday, December 3, 2018**

Dane Block Jr  
President

Schylar Burney  
Vice President

Dixon Simmons  
Treasurer

Mackenzie Yelton  
Chief of Staff

Bailey Hand  
Executive Vice President  
Communications and Marketing

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[auburn.edu/sga](http://auburn.edu/sga)

*Open Forum*

*Roll Call*

1. Reading Approval of Minutes
2. Reports of Officers, Colleges, and Standing Committees
3. Reports of Special Committees and Liaisons
4. Current Orders of Business
  - a) PSSB 18-1203-01 Title I – Format for the Code of Laws
  - b) PSSB 18-1203-02 Schools Council – Chapter 600.12
  - c) PSSB 18-1203-03 Title XII – Student Academic Honesty Code
  - d) PSSR 18-1203-01 Student Senate Support for NPHC Legacy Plaza
5. Future Orders of Business
  - a) PSSB 19-0114-01 Chapter 202: Additional Executive Officers

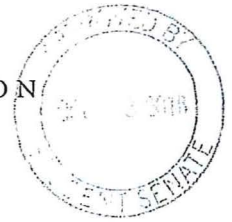
*Announcements*

*Adjournment*





## STUDENT GOVERNMENT ASSOCIATION



### Student Government Association Senate Minutes

Monday, December 3, 2018

Dane Block Jr  
President

Schyler Burney  
Vice President

Dixon Simmons  
Treasurer

Mackenzie Yelton  
Chief of Staff

Bailey Hand  
Executive Vice President  
Communications and Marketing

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The regular weekly meeting of the Auburn University Student Government Association Senate was held on Monday, December 3<sup>rd</sup>, 2018, at 7:35 p.m. with President Schyler Burney being in the chair and Secretary Morgan Kull being present. The minutes of the last meeting were approved as emailed.

Reports were given by the following: Executive Officers, At-Large, Executive Committee, Budget and Finance, Code of Laws, Human Sciences, and Student Affairs.

Senator Zinner asked for the approval of the amendment to Title I of the Code of Laws. The changes included Chapter 101- Style Policies for making future changes to the Code of Laws. The bill was approved.

The bill regarding Chapter 600.12- Schools Council was sent back to the Code of Laws committee due to concerns over the interpretation of the bill.

Senator Zinner presented a complete re-write of the Academic Honesty Code to remove contradictions and to avoid unnecessary repetition along with other changes. A full description of the changes made to the bill was sent in last weeks minutes. Senator Zinner felt so passionately about the bill and its implications that he made a motion for a rising vote. The motion was approved, and the bill was passed unanimously with all Senators standing.

Sentor Huntley and Senator Carroll proposed the Resolution Supporting the Campaign for a National Pan-Hellenic Council Legacy Plaza. Senator Calhoun motioned to remove Dr. Steven Leath, Auburn University President and the Auburn University Board of Trustees from the CC list and instead add Dan King, Associate Vice President for Facilities Management. The amendment stood by a close vote. Senator Payne motioned for a suspension of the rules which was approved. Senator Payne then motioned to include Dan King, Dr. Steven Leath, and the Board of Trustees to the CC list for the Resolution. The motion was approved, and the amendment was passed. The Resolution itself was approved unanimously.

Morgan Kull, Secretary



STUDENT GOVERNMENT ASSOCIATION  
SGA Resolution



PSSB: 18-1203-01

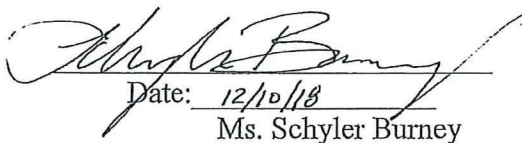
Name of Law: Student Senate Support of a NPHC Legacy Plaza

Student Senate Sponsor(s): Ada Ruth Huntley, College of Human Sciences  
Matthew Carroll, Harbert College of Business

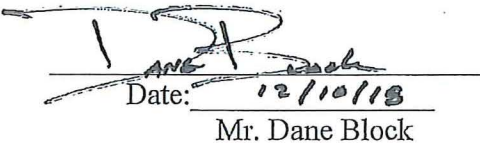
Date of Student Senate Approval: 18-12-03

Vote: Passed

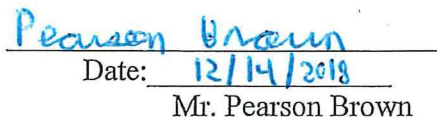
Reviewed by the President of the  
Student Senate:

  
Date: 12/10/18  
Ms. Schyler Burney

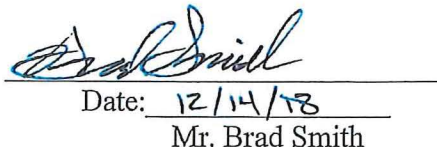
Approved by the President of the  
Student Government Association:

  
Date: 12/10/18  
Mr. Dane Block

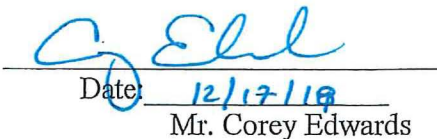
Reviewed by the Advisor of  
Student Governance:

  
Date: 12/14/2018  
Mr. Pearson Brown

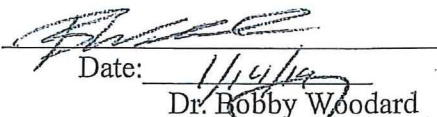
Reviewed by the Assistant Director  
of Student Involvement:

  
Date: 12/14/18  
Mr. Brad Smith

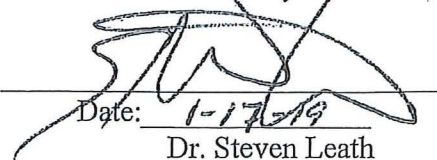
Reviewed by the Director of  
Student Involvement:

  
Date: 12/17/18  
Mr. Corey Edwards

Reviewed by the Vice President  
of Student Affairs:

  
Date: 1/14/19  
Dr. Bobby Woodard

Approved by the President  
of Auburn University:

  
Date: 1-17-19  
Dr. Steven Leath

Copies to be filled with:

President of the Student Senate • President of the Student Government  
Association •  
Advisor to the Student Senate

Dane Block Jr  
President

Schyler Burney  
Vice President

Dixon Simmons  
Treasurer

Mackenzie Yelton  
Chief of Staff

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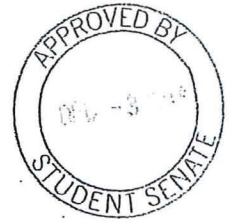
Fax:

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# Student Government Association



AUBURN UNIVERSITY  
STUDENT SENATE

## Resolution

### Supporting the Campaign for a National Pan-Hellenic Council Legacy Plaza

**WHEREAS**, Three fraternities and two sororities of National Pan-Hellenic Council currently have active chapters on Auburn University's campus; and,

**WHEREAS**, Students who are members of these organizations have contributed significantly to Auburn University through academia, community service, involvement and student life; and,

**WHEREAS**, Many African-American alumni, faculty, staff and administration at Auburn University are members of these organizations; and,

**WHEREAS**, There is currently no architectural indication on Auburn University's campus of the existence and activity of National Pan-Hellenic Council organizations; and,

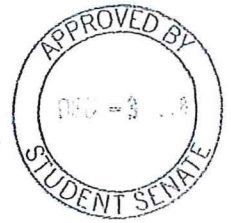
**WHEREAS**, National Pan-Hellenic Council legacy plazas are found on college campuses across the country, particularly historically black colleges and universities, in order to recognize the role these organizations have played in enhancing campus culture; and,

**WHEREAS**, The University of Mississippi is the only SEC school that has a National Pan-Hellenic Council Legacy Plaza on its campus; and,

**WHEREAS**, There is currently a student-led campaign in progress by Auburn University's National Pan-Hellenic Council in an attempt to build a National Pan-Hellenic Council Legacy Plaza on Auburn University's campus; and,



# Student Government Association



AUBURN UNIVERSITY  
STUDENT SENATE

## Resolution

**WHEREAS**, Many student organizations at Auburn University are in support of this project including, but not limited to, Black Student Union, Harold A. Franklin Society, Office of Inclusion and Diversity's Student Excellence Team, Ladies Society of Collegiate Success, and twenty-five non-National Pan-Hellenic Council Greek organizations.

**THEREFORE, LET IT BE RESOLVED**, we the Auburn University Student Senate, show our strong support in favor of this campaign for the building of a National Pan-Hellenic Council Legacy Plaza on Auburn University's campus in order to recognize the role these organizations have played in enhancing campus culture.

CC: Dr. Steven Leath, Auburn University President  
Auburn University Board of Trustees  
Dan King, Associate Vice President for Facilities  
Ryan Powell, Director of Greek Life  
Benard Goins, National Pan-Hellenic Council Advisor  
Julius Hammond, Former President of National Pan-Hellenic Council  
Madison Riggins, Current President of National Pan-Hellenic Council



## EXECUTIVE COMMITTEE

### ELECTION OF BOARD OF TRUSTEES OFFICERS *PRESIDENT PRO TEMPORE AND VICE PRESIDENT PRO TEMPORE*

Time will be allocated for election of the *President Pro Tempore* and *Vice President Pro Tempore* of The Auburn University Board of Trustees.

## EXECUTIVE COMMITTEE

### APPOINTMENT OF BOARD MEMBER TO THE PRESIDENTIAL ASSESSMENT WORKING GROUP

Time will be allocated for discussion of the appointment of members of the Board of Trustees, along with the *President Pro Tempore* to a working group that will assist the Board with the Annual Presidential Assessment. This appointment will be for the 2019-2020 Assessment that will be provided to the Board of Trustees at the September 4, 2020 meeting.

## EXECUTIVE COMMITTEE

### APPOINTMENT OF BOARD MEMBERS TO THE TRUSTEE SELECTION COMMITTEE

Time will be allocated for selection of members of the Board to serve on the Trustee Selection Committee. This appointment will become effective immediately through the Annual Meeting in 2021, or until the appointment or reappointment of a member.

## EXECUTIVE COMMITTEE

### PROPOSED AWARDS AND NAMINGS

Time will be allocated for discussion of any awards and namings.