




AUBURN UNIVERSITY

OFFICE OF THE PRESIDENT

MEMORANDUM

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**TO:** The Board of Trustees

**FROM:** Dr. Jay Gogue, President 

**SUBJECT:** June 10, 2021 Workshop and June 11, 2021 Annual Board of Trustees Meeting

**DATE:** May 27, 2021

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Enclosed, please find the materials for the June 10, 2021 Workshop and June 11, 2021 Annual Board of Trustees Meeting. Please note that all events will take place at The Hotel at Auburn University and Dixon Conference Center. See Jon Waggoner for additional information.

**Thursday, June 10, 2021**

1:00 p.m. Workshop

**Friday, June 11, 2021**

9:00 a.m. Call to Order and Opening Remarks  
9:05 a.m. Audit and Compliance Committee  
9:25 a.m. Property and Facilities Committee  
9:45 a.m. Academic Affairs Committee  
9:50 a.m. Institutional Advancement Committee  
10:00 a.m. Executive Committee  
10:05 a.m. Trustee Reports  
10:15 a.m. Regular Meeting of the Board of Trustees  
10:20 a.m. Proposed Executive Session  
11:00 a.m. Reconvened Meeting of the Board of Trustees  
11:15 a.m. Recess Meeting

We appreciate all that you do for Auburn University and look forward to seeing you soon. Please let Jon Waggoner, Sherri Williams, or me know if you have any questions or concerns.

JG/nm

Enclosures

cc: President's Cabinet (w/encl.)  
Mr. Jon Waggoner (w/encl.)

**SCHEDULE AND AGENDA**  
**JUNE 11, 2021 ANNUAL BOARD MEETING**  
**AUBURN UNIVERSITY BOARD OF TRUSTEES**

- I. Call to Order and Opening Remarks | 9:00 a.m.
- II. Committee Meetings | 9:05 a.m.
  - A. Audit and Compliance Committee | Chairperson Dumas | 9:05 a.m.
    - 1. Approval of Independent Auditors for Fiscal Year Ended September 30, 2021 (Kelli Shomaker/Kevin Kelly)
    - 2. Receipt of Code of Ethics Compliance Documents – *For Information Only* (Bob Dumas)
  - B. Property and Facilities Committee | Chairperson DeMaioribus | 9:25 a.m.
    - 1. Quad Residence Halls Renovation – Phase I: Harper and Broun Halls, Final Project Approval (Dan King/Bobby Woodard)
    - 2. Auburn University Regional Airport Maintenance Hangar Expansion, Project Initiation and Engineer Selection (Dan King/Ronald Burgess)
    - 3. Auburn University Regional Airport T-Hangar Construction, Project Initiation and Engineer Selection (Dan King/Ronald Burgess)
    - 4. College of Human Sciences Clinic Renovation and Relocation, Project Initiation and Architect Selection (Dan King/Bill Hardgrave)
    - 5. Summary of Real Estate Holdings Annual Report – *For Information Only*
    - 6. Annual Report of Timber Harvest Revenue – *For Information Only*
    - 7. Status Updates – *For Information Only*
      - a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater
      - b. Project Status Reports
  - C. Academic Affairs Committee | Chairperson Newton | 9:45 a.m.
    - 1. Proposed Master of Science in Forest Business and Investment (Bill Hardgrave)
    - 2. Agenda Item for the Board of Trustees – *For Information Only* (Bill Hardgrave)
  - D. Institutional Advancement Committee | Chairperson Roberts | 9:50 a.m.
    - 1. Auburn University Endowment Portfolio Update – *For Information Only* (John Morris/Cheryl Casey)

E. Executive Committee | Chairperson McCrary | 10:00 a.m.

1. Proposed Awards and Namings (Charles McCrary)
2. Election of the *President Pro Tempore* (Charles McCrary)
3. Appointment of Board Members to the Trustee Selection Committee (Charles McCrary)

F. Trustee Reports | 10:05 a.m.

III. Regular Meeting of the Board of Trustees | 10:15 a.m.

IV. Proposed Executive Session | 10:20 a.m.

V. Reconvened Meeting of the Board of Trustees | 11:00 a.m.

1. Approval of the April 16, 2021 Meeting Minutes
2. Awarding of Degrees for Summer 2021
3. AUM Chancellor's Report
4. President's Report
5. Action Items and Committee Meeting Reports

A. Audit and Compliance Committee

1. Approval of Independent Auditors for Fiscal Year Ended September 30, 2021

B. Property and Facilities Committee

1. Quad Residence Halls Renovation – Phase I: Harper and Broun Halls, Final Project Approval
2. Auburn University Regional Airport Maintenance Hangar Expansion, Project Initiation and Engineer Selection
3. Auburn University Regional Airport T-Hangar Construction, Project Initiation and Engineer Selection
4. College of Human Sciences Clinic Renovation and Relocation, Project Initiation and Architect Selection

C. Academic Affairs Committee

1. Proposed Master of Science in Forest Business and Investment

D. Executive Committee

1. Proposed Awards and Namings
2. Election of the *President Pro Tempore*
3. Appointment of Board Members to the Trustee Selection Committee

VI. Recess Meeting | 11:15 a.m.



## **EXECUTIVE SUMMARY**

### **JUNE 11, 2021 ANNUAL BOARD OF TRUSTEES MEETING**

#### **A. Audit and Compliance Committee**

##### **1. Approval of Independent Auditors for Fiscal Year Ended September 30, 2021**

In compliance with Board of Trustees' policy, *Selection of External Auditors*, University management annually reviews the completed audit process, internally and with the external auditors. At the end of each five-year period, University management specifically reviews the continuation of the audit firm based on industry expertise, cost, and overall satisfaction with the services received.

Based on the annual and the five-year evaluations, University management believes it is in the best interest of Auburn University to maintain the current external auditors, PricewaterhouseCoopers.

Therefore, management's recommendation is to retain our current external auditor without issuing a request for qualifications or a request for proposal.

##### **2. Receipt of Code of Ethics Compliance Documents – *For Information Only***

Time will be allotted for discussion of the receipt of the 2020-2021 Code of Ethics Compliance Documents.

#### **B. Property and Facilities Committee**

##### **1. Quad Residence Halls Renovation – Phase I: Harper and Broun Halls, Final Project Approval**

Project Summary: The Senior Vice President for Student Affairs proposed a project to renovate the Quad Residence halls. The Quad Residence Halls complex consists of ten residence halls. The proposed project will renovate the residence halls in five phases, each consisting of two buildings a year until all ten residence halls are updated. The Quad Residence Halls Renovation-Phase I: Harper and Broun Halls project ('Phase I') will renovate approximately 51,510 square feet of existing interior and exterior space located in Harper and Broun Halls.

The estimated total project cost for Phase I is \$13.5 million, to be financed by Campus Housing funds and University Repair and Renovation Funds.

Requested Action: It is requested that the Board of Trustees adopt a resolution providing final approval of the Quad Residence Halls Renovation-Phase I: Harper and Broun Halls project.

Previous Approval(s): At its previous meeting on July 9, 2020, the Board of Trustees adopted a resolution that approved the initiation of the Quad Residence Halls Renovation project, and at its meeting on September 4, 2020, the Board of Trustees adopted a resolution that approved DAVIS of Birmingham, Alabama, as the project architect.

**2. Auburn University Regional Airport Maintenance Hangar Expansion,  
Project Initiation and Engineer Selection**

Project Summary: The Executive Vice President proposes a project to expand the maintenance hangar at the Auburn University Regional Airport which was constructed in 2018. The proposed project will construct an addition to the existing maintenance hangar located at the south ramp and will provide additional maintenance space and administrative offices to support operations.

It is anticipated that this project would be financed by Aviation Center funds.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the initiation of the Auburn University Regional Airport Maintenance Hangar Expansion, and to facilitate an accelerated design process, approve the selection of Garver, LLC, of Huntsville, Alabama as the project engineer.

Previous Approval(s): At its previous meeting on November 20, 2020, the Board of Trustees adopted a resolution that approved the engagement of Garver, LLC, of Huntsville, Alabama, as the Airport Engineer.

**3. Auburn University Regional Airport T-Hangar Construction,  
Project Initiation and Engineer Selection**

Project Summary: The Executive Vice President proposes a project to construct an additional T-Hangar at the Auburn University Regional Airport at the north ramp. The proposed T-Hangar would consist of ten aircraft bays that would be leased by the Airport to commercial or private aircraft owners and serve as a source of future revenue.

It is anticipated that this project would be financed by an Alabama Department of Transportation grant and University General Funds.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the initiation of the Auburn University Regional Airport T-Hangar Construction project and to facilitate an accelerated design process, approve the selection of Garver, LLC, of Huntsville, Alabama, as the project engineer.

Previous Approval(s): At its previous meeting on November 20, 2020, the Board of Trustees adopted a resolution that approved the engagement of Garver, LLC, of Huntsville, Alabama, as the Airport Engineer.

**4. College of Human Sciences Clinic Renovation and Relocation,  
Project Initiation and Architect Selection**

Project Summary: At its meeting on September 13, 2019, the Board of Trustees approved the initiation of the University Student Housing project. The proposed site location for the new University Student Housing project is located on the Haley Concourse.

The proposed site is currently occupied by three College of Human Sciences clinic buildings. To facilitate site preparations for the University Student Housing project, the Provost proposes to relocate the academic and outreach programs of the three clinics to other facilities on campus. The proposed project would renovate the Dawson Building for the eventual relocation of the Early Learning Center program. Due to the need to expedite this renovation and relocation, the University Architect has determined the architectural firm, Birchfield Penuel & Associates, of Birmingham, Alabama, is best qualified to provide design services on this project.

It is anticipated that the project would be financed by University General Funds.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the initiation of the College of Human Sciences Clinic Renovation and Relocation project and to approve the selection of Birchfield Penuel & Associates, of Birmingham, Alabama as the project architect.

Previous Approval(s): None.

#### **5. Summary of Real Estate Holdings Annual Report – *For Information Only***

Board policies require that a listing of property owned by Auburn University be submitted to the Board for its information and review at its annual meeting. Accordingly, the summary based on last year's report and changes that have occurred since June 2020 have been prepared for the Board's review.

#### **6. Annual Report of Timber Harvest Revenue – *For Information Only***

A summary report of annual timber harvest revenue is provided to the Board of Trustees for its information and review at its annual meeting. The report shows timber revenue received since June 1, 2020.

#### **7. Status Updates – *For Information Only***

Time will be allocated for discussion of the following information items:

##### **a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater**

Consistent with standing practice, it is proposed that the current status report of new construction/renovation/infrastructure projects with budgets greater than \$1,000,000 be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

## **b. Project Status Reports**

It is proposed that a brief report regarding the status of Board of Trustees approved capital projects be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

## **C. Academic Affairs Committee**

### **1. Proposed Master of Science in Forest Business and Investment**

The School of Forestry and Wildlife Sciences proposes a new Master of Science in Forest Business and Investment (Non-Thesis). The proposed program combines advanced forestry and business knowledge, specifically strategic investing and financial decision making. In addition to online courses in the School of Forestry and Wildlife Sciences, students will also complete courses in the Harbert College of Business.

It is recommended that the Board approve the proposed Master of Science in Forest Business and Investment. If approved by the Board, the program will be forwarded to the Alabama Commission on Higher Education (ACHE) for review and approval.

### **2. Agenda Item for the Board of Trustees – *For Information Only***

*Marriage and Family Therapy Non-Thesis Option, MS in Human Development and Family Science*, Department of Human Development and Family Science, College of Human Sciences

The College currently offers an MS in Human Development and Family Science – Marriage and Family Therapy Thesis Option focused on research skills and pursuits. The College proposes adding a non-thesis option for students entering clinical positions.

*Graduate Certificate in Economic Development*, Department of Political Science, College of Liberal Arts

The Department of Political Science in the College of Liberal Arts has developed a Graduate Certificate in Economic Development which provides specialization in theories and practice of economic development, primarily within the United States and Alabama.

## **D. Institutional Advancement Committee**

### **1. Auburn University Endowment Portfolio Update – *For Information Only***

It is proposed that a representative of the Auburn University Foundation Board of Directors present an *information only* update regarding the Auburn University Endowment Portfolio to the Institutional Advancement Committee of the Board of Trustees at its annual meeting.

## **E. Executive Committee**

### **1. Proposed Awards and Namings**

Time will be allotted for discussion of the proposed awards and namings.

### **2. Election of the *President Pro Tempore***

Time will be allotted for the election of the *President Pro Tempore* of the Board of Trustees of Auburn University.

### **3. Appointment of Board Members to the Trustee Selection Committee**

Time will be allotted for the appointment of two members of the Board of Trustees to serve on the Trustee Selection Committee. The committee—comprised of the Governor, the *President Pro Tempore* of the Board of Trustees or his/her designee, one member of the Board of Trustees, and two members of the Alumni Association Board of Directors—are tasked with filling vacant seats on the Board of Trustees. Committee members' terms will last until the 2022 Annual Board Meeting or until the appointment/reappointment of members to the committee, whichever comes first.

**AUDIT AND COMPLIANCE COMMITTEE  
JUNE 11, 2021 ANNUAL BOARD MEETING  
AUBURN UNIVERSITY BOARD OF TRUSTEES**

1. Approval of Independent Auditors for Fiscal Year Ended September 30, 2021  
(Kelli Shomaker/Kevin Kelly)
2. Receipt of Code of Ethics Compliance Documents – *For Information Only*  
(Bob Dumas)

Committee Chairperson:      *Vice President Pro Tempore* Bob Dumas

*Please Note: All trustees serve on all committees, with the exception of the Executive Committee.*

## RESOLUTION

### APPROVAL OF INDEPENDENT AUDITORS FOR FISCAL YEAR ENDED SEPTEMBER 30, 2021

WHEREAS, the Audit and Compliance Committee of the Board of Trustees is charged with recommending the appointment of the University's external auditor; and

WHEREAS, the Audit and Compliance Committee recommends the appointment of PricewaterhouseCoopers to audit the University's financial statements and to conduct the federally-required A-133 audit.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that PricewaterhouseCoopers is appointed as the University's external auditor for Fiscal Year ended September 30, 2021.



AUBURN UNIVERSITY

OFFICE OF THE ASSOCIATE VICE  
PRESIDENT, FINANCIAL SERVICES/  
CONTROLLER

March 2, 2021

MEMORANDUM TO: Mr. Bob Dumas, Auburn University Board of Trustees, Audit and Compliance Committee Chair

THROUGH: Jon Waggoner, Secretary to the Board of Trustees *JDW*

THROUGH:

Dr. Jay Gogue, President *JG*  
Ronald L. Burgess, Jr., Lieutenant General, US Army, Retired, *RLB*  
Executive Vice President  
Kelli D. Shomaker, Vice President for Business & Finance and *KD Shomaker*  
Chief Financial Officer

FROM: Amy K. Douglas, Associate Vice President for Financial Services/Controller *AKD*

RE: Annual Evaluation of External Auditors

In compliance with the Board of Trustees' (BOT) policy *Selection of External Auditors*, University management annually reviews the completed audit process, internally and with the external auditors. At the end of each five-year period, University management specifically reviews the continuation of the audit firm based on industry expertise, cost, and overall satisfaction with the services received.

Based on the annual and the five-year evaluations, management believes it is in the best interest of the University to maintain the current external auditors, PricewaterhouseCoopers. Therefore, management's recommendation is to retain our current external auditor without issuing a request for qualifications or a request for proposal.

Please contact me at [amydouglas@auburn.edu](mailto:amydouglas@auburn.edu) or 334-844-3604 if further discussions are necessary.








AUBURN UNIVERSITY

OFFICE OF THE VICE PRESIDENT FOR  
BUSINESS & FINANCE AND CFO

MEMORANDUM

**TO:** JAY GOGUE, President   
**THROUGH:** RONALD L. BURGESS, Executive Vice President   
**FROM:** KELLI D. SHOMAKER, Vice President for Business & Finance/CFO   
**SUBJECT:** PRESENTATION BY EXTERNAL AUDITORS  
PRICEWATERHOUSECOOPERS REGARDING FY21 AUDITS AND  
APPROVAL OF AUDITORS  
**DATE:** APRIL 30, 2021

Please allow time at the June 11, 2021 meeting of the Audit Committee of the Board of Trustees for our external auditors to communicate their responsibilities, the planned scope of the FY21 financial statements and A-133 audits, and the timing of the audits. Subject to a favorable review by the Audit Committee PricewaterhouseCoopers would be approved for the FY21 audit.

Please let me know if you have additional questions.

**RECEIPT OF CODE OF ETHICS COMPLIANCE DOCUMENTS  
JUNE 11, 2021 ANNUAL BOARD MEETING  
AUBURN UNIVERSITY BOARD OF TRUSTEES**

Time will be allotted for discussion of the receipt of the 2020-2021 Code of Ethics Compliance Documents.

**PROPERTY AND FACILITIES COMMITTEE  
JUNE 11, 2021 ANNUAL BOARD MEETING  
AUBURN UNIVERSITY BOARD OF TRUSTEES**

1. Quad Residence Halls Renovation – Phase I: Harper and Broun Halls, Final Project Approval (Dan King/Bobby Woodard)
2. Auburn University Regional Airport Maintenance Hangar Expansion, Project Initiation and Engineer Selection (Dan King/Ronald Burgess)
3. Auburn University Regional Airport T-Hangar Construction, Project Initiation and Engineer Selection (Dan King/Ronald Burgess)
4. College of Human Sciences Clinic Renovation and Relocation, Project Initiation and Architect Selection (Dan King/Bill Hardgrave)
5. Summary of Real Estate Holdings Annual Report – *For Information Only*
6. Annual Report of Timber Harvest Revenue – *For Information Only*
7. Status Updates – *For Information Only*
  - a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater
  - b. Project Status Reports

Committee Chairperson: Mr. Michael DeMaioribus

Faculty Representative: Mr. David Hinson, Auburn University (ex-officio, non-voting)

*Please Note: All trustees serve on all committees, with the exception of the Executive Committee.*

RESOLUTION  
QUAD RESIDENCE HALLS RENOVATION  
PHASE I: HARPER AND BROUN HALLS  
FINAL PROJECT APPROVAL

WHEREAS, at its previous meeting on July 9, 2020, the Board of Trustees adopted a resolution that approved the initiation of the Quad Residence Halls Renovation project, and at its meeting on September 4, 2020, the Board of Trustees adopted a resolution that approved DAVIS of Birmingham, Alabama, as the project architect; and

WHEREAS, the Quad Residence Halls Renovation project will be executed in five phases, each consisting of two buildings a year, until all ten residence halls are updated; and

WHEREAS, the Quad Residence Halls Renovation – Phase I: Harper and Broun Halls project (‘Phase I’) will renovate approximately 51,510 square feet of existing interior and exterior space located in Harper and Broun Halls; and

WHEREAS, Phase I program requirements include replacing mechanical, electrical, plumbing and HVAC systems, upgrades to furnishings and fixtures, renovations to meet current ADA code requirements, replacing all doors and windows, and utility improvements to provide hot water; and

WHEREAS, the estimated total project cost for Phase I is \$13.5 million, to be financed by Campus Housing funds and University Repair and Renovation funds; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval,” the final approval of the project must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Quad Residence Halls Renovation – Phase I: Harper and Broun Halls project is approved and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Establish a budget for the Quad Residence Halls Renovation – Phase I: Harper and Broun Halls project in the amount of \$13.5 million, to be financed by Campus Housing funds and University Repair and Renovation funds; and
2. Direct the consultants to complete the required plans for the project; and
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget approved above.

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**TO:** JAY GOGUE, President**THROUGH:** RONALD BURGESS, Executive Vice President**FROM:** DAN KING, Associate Vice President for Facilities**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE**QUAD RESIDENCE HALLS RENOVATION – PHASE I: HARPER AND BROUN  
HALLS: FINAL PROJECT APPROVAL****DATE:** MAY 11, 2021

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 11, 2021.

**Proposal:**

Consistent with standing policy, it is proposed that the Quad Residence Halls Renovation—Phase I: Harper and Broun Halls project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that provides final approval of the project. If approved, the resolution would authorize the President to direct Auburn University Facilities Management to solicit bids and award a contract for construction.

**Review and Consultation:**

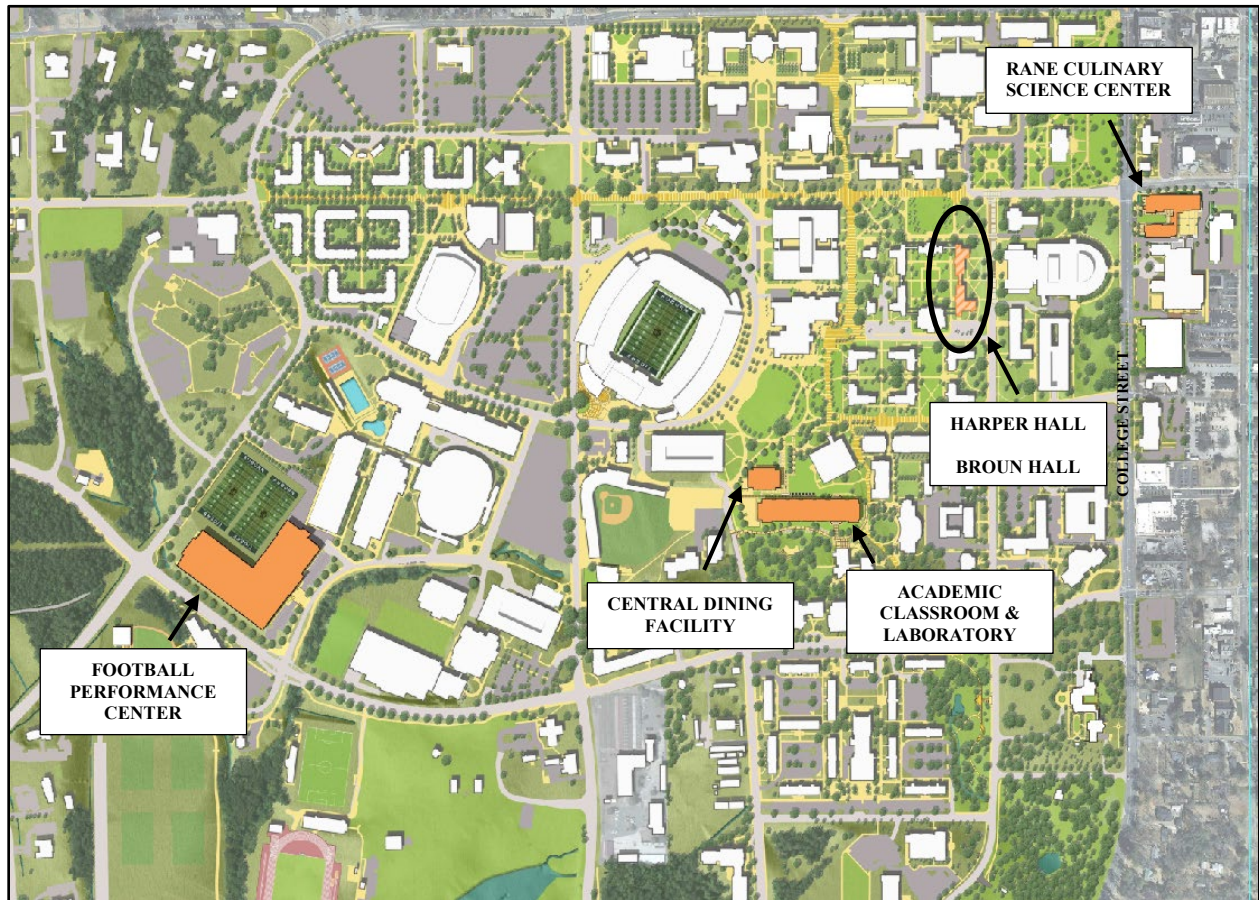
At its previous meeting on July 9, 2020, the Board of Trustees adopted a resolution that approved the initiation of the Quad Residence Halls Renovation project, and at its meeting on September 4, 2020, the Board of Trustees approved DAVIS of Birmingham, Alabama, as architect selection for the project. The Quad Residence Halls Renovation project will be executed in five phases, each consisting of two buildings a year, until all ten residence halls are updated.

Since that time, the project team has worked to complete the design for the Quad Residence Halls Renovation – Phase I: Harper and Broun Halls project, hereinafter referred to as ‘Phase I’. The project details are provided below:

- **Project Location:** Phase I will renovate Harper and Broun Halls located on Mell Street and Quad Drive. Refer to Attachment 1 for a site map.
- **Program Requirements:** Phase I will renovate approximately 51,510 square feet of existing interior and exterior space located in Harper and Broun Halls. Program requirements include replacing mechanical, electrical, plumbing and HVAC systems, upgrades to furnishings and fixtures, renovations to meet current ADA code requirements, replacing all windows and doors, and utility improvements to provide hot water. Phase I will be completed prior to the Fall 2022 academic semester.
- **Budget:** The estimated total project cost of Phase I is \$13.5 million, to be financed by Campus Housing funds and University Repair and Renovation funds.

If you concur, it is proposed that a resolution providing final approval of the Quad Residence Halls Renovation – Phase I: Harper and Broun Halls project be presented to the Board of Trustees for consideration at its meeting scheduled for June 11, 2021.

**Attachment 1**  
**Quad Residence Halls Renovation – Phase I: Harper and Broun Halls**  
**Site Map**



## RESOLUTION

### AUBURN UNIVERSITY REGIONAL AIRPORT MAINTENANCE HANGAR EXPANSION

#### APPROVAL OF PROJECT INITIATION AND PROJECT ENGINEER SELECTION

WHEREAS, the Executive Vice President proposes a project to expand the maintenance hangar at the Auburn University Regional Airport which was constructed in 2018; and

WHEREAS, the proposed project will construct an addition to the existing maintenance hangar located at the south ramp to provide additional hangar space and administrative offices; and

WHEREAS, at its meeting on November 20, 2020, the Board of Trustees approved the engagement of Garver, LLC, of Huntsville, Alabama, as the Airport Engineer; and

WHEREAS, to facilitate an accelerated design process, the University Architect recommends the approval of Garver, LLC, as the project engineer; and

WHEREAS, this project will be financed by Aviation Center funds; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval,” the initiation of a project and the engineer selection must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Auburn University Regional Airport Maintenance Hangar Expansion project is approved, and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Engage Garver, LLC, of Huntsville, Alabama, as project engineer to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.





FACILITIES MANAGEMENT  
M E M O R A N D U M

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**TO:** JAY GOGUE, President  
**THROUGH:** RONALD BURGESS, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
**AUBURN UNIVERSITY REGIONAL AIRPORT MAINTENANCE HANGAR  
EXPANSION: APPROVAL OF PROJECT INITIATION AND PROJECT  
ENGINEER SELECTION**  
**DATE:** MAY 26, 2021

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 11, 2021.

**Proposal:**

Consistent with standing policy, it is proposed that the Auburn University Regional Airport Maintenance Hangar Expansion project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will approve the initiation of the project and authorize the selection of the project engineer.

**Review and Consultation:**

The Executive Vice President proposes a project to expand the maintenance hangar at the Auburn University Regional Airport which was constructed in 2018. The proposed project will construct an addition to the existing maintenance hangar located at the south ramp. The hangar expansion will provide additional maintenance space and administrative offices to support operations.

During its meeting on November 20, 2020, the Board of Trustees approved the engagement of Garver, LLC, of Huntsville, Alabama, as the Airport Engineer. To facilitate an accelerated design process, the University Architect recommends the use of Garver, LLC, to design the Auburn University Regional Airport Maintenance Hangar Expansion project.

It is anticipated that this project would be financed by Aviation Center funds.

It is, therefore, appropriate that a resolution be presented to the Board of Trustees for their consideration to approve the initiation of the Auburn University Regional Airport Maintenance Hangar Expansion project and the selection of Garver, LLC, of Huntsville, Alabama, as the project engineer. If you concur, it is recommended the resolution be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action at the meeting scheduled for June 11, 2021.

## RESOLUTION

### AUBURN UNIVERSITY REGIONAL AIRPORT T-HANGAR CONSTRUCTION

#### APPROVAL OF PROJECT INITIATION AND PROJECT ENGINEER SELECTION

WHEREAS, the Executive Vice President proposes a project to construct a new ten (10) bay T-Hangar at the Auburn University Regional Airport at the north ramp; and

WHEREAS, the proposed hangar would be leased by the Airport to commercial or private aircraft owners and would serve as a source of future revenue; and

WHEREAS, at its meeting on November 20, 2020, the Board of Trustees approved the engagement of Garver, LLC, of Huntsville, Alabama, as the Airport Engineer; and

WHEREAS, to facilitate an accelerated design process, the University Architect recommends the approval of Garver, LLC, as the project engineer; and

WHEREAS, this project will be financed by an Alabama Department of Transportation grant and University General Funds; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval,” the initiation of a project and the engineer selection must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Auburn University Regional Airport T-Hangar Construction project is approved, and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Engage Garver, LLC, of Huntsville, Alabama, as project engineer to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

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**TO:** JAY GOGUE, President**THROUGH:** RONALD BURGESS, Executive Vice President**FROM:** DAN KING, Associate Vice President for Facilities**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE**AUBURN UNIVERSITY REGIONAL AIRPORT T-HANGAR CONSTRUCTION:  
APPROVAL OF PROJECT INITIATION AND PROJECT ENGINEER SELECTION****DATE:** MAY 26, 2021

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 11, 2021.

**Proposal:**

Consistent with standing policy, it is proposed that the Auburn University Regional Airport T-Hangar Construction project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will approve the initiation of the project and authorize the selection of the project engineer.

**Review and Consultation:**

The Executive Vice President proposes a project to construct an additional T-Hangar at the Auburn University Regional Airport at the north ramp. The proposed T-Hangar would consist of ten aircraft bays. It would be leased by the Airport to commercial or private aircraft owners and would serve as a source of future revenue.

During its meeting on November 20, 2020, the Board of Trustees approved the engagement of Garver, LLC, of Huntsville, Alabama, as the Airport Engineer. To facilitate an accelerated design process, the University Architect recommends the use of Garver, LLC, to design the Auburn University Regional Airport New T-Hangar Construction project.

It is anticipated that this project would be financed by an Alabama Department of Transportation grant and University General Funds.

It is, therefore, appropriate that a resolution be presented to the Board of Trustees for their consideration to approve the initiation of the Auburn University Regional Airport T-Hangar Construction project and the selection of Garver, LLC, of Huntsville, Alabama, as the project engineer. If you concur, it is recommended the resolution be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action at the meeting scheduled for June 11, 2021.

## RESOLUTION

### COLLEGE OF HUMAN SCIENCES CLINIC RENOVATION AND RELOCATION

#### APPROVAL OF PROJECT INITIATION AND ARCHITECT SELECTION

WHEREAS, at its meeting on September 13, 2019, the Board of Trustees approved the initiation of the University Student Housing project with the proposed site location for the new student housing on the Haley Concourse; and

WHEREAS, the proposed site is currently occupied by three College of Human Sciences clinic buildings: Early Learning Center, Marriage & Family Therapy Center, and the Center for Health Ecology & Equity Research; and

WHEREAS, the Provost proposes to relocate the academic and outreach programs of the three College of Human Sciences clinics to other facilities on campus; and

WHEREAS, the proposed project would renovate the Dawson Building for the eventual relocation of the Early Learning Center program; and

WHEREAS, due to the need to complete this renovation and relocation to enable the student housing project to begin construction by the summer of 2022, the University Architect requests that the architectural firm, Birchfield Penuel & Associates, of Birmingham, Alabama, be approved to provide design services on this project; and

WHEREAS, it is anticipated that this facility would be financed by University General Funds; and

WHEREAS, pursuant to the Board of Trustees policy, “D-3, Capital Projects Approval,” the initiation of this project must be submitted to the Board, through the Property and Facilities Committee, for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the College of Human Sciences Clinic Renovation and Relocation project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Engage Birchfield Penuel & Associates, of Birmingham, Alabama, as project architect to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

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**TO:** JAY GOGUE, President**THROUGH:** RONALD BURGESS, Executive Vice President**FROM:** DAN KING, Associate Vice President for Facilities**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE**COLLEGE OF HUMAN SCIENCES CLINIC RENOVATION AND RELOCATION:  
APPROVAL OF PROJECT INITIATION AND ARCHITECT SELECTION****DATE:** MAY 26, 2021

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 11, 2021.

**Proposal:**

Consistent with standing policy, it is proposed that the College of Human Sciences Clinic Renovation and Relocation project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will approve the initiation of the project and authorize the selection of the project architect.

**Review and Consultation:**

At its meeting on September 13, 2019, the Board of Trustees approved the initiation of the University Student Housing project. The proposed site location for the new University Student Housing project is located on the Haley Concourse (See Attachment 1). The proposed site is currently occupied by three College of Human Sciences clinic buildings: Early Learning Center, Marriage & Family Therapy Center, and the Center for Health Ecology & Equity Research.

To facilitate site preparations for the University Student Housing project, the Provost proposes to relocate the academic and outreach programs of the three College of Human Sciences clinics to other facilities on campus. The proposed project would renovate the Dawson Building for the eventual relocation of the Early Learning Center program.

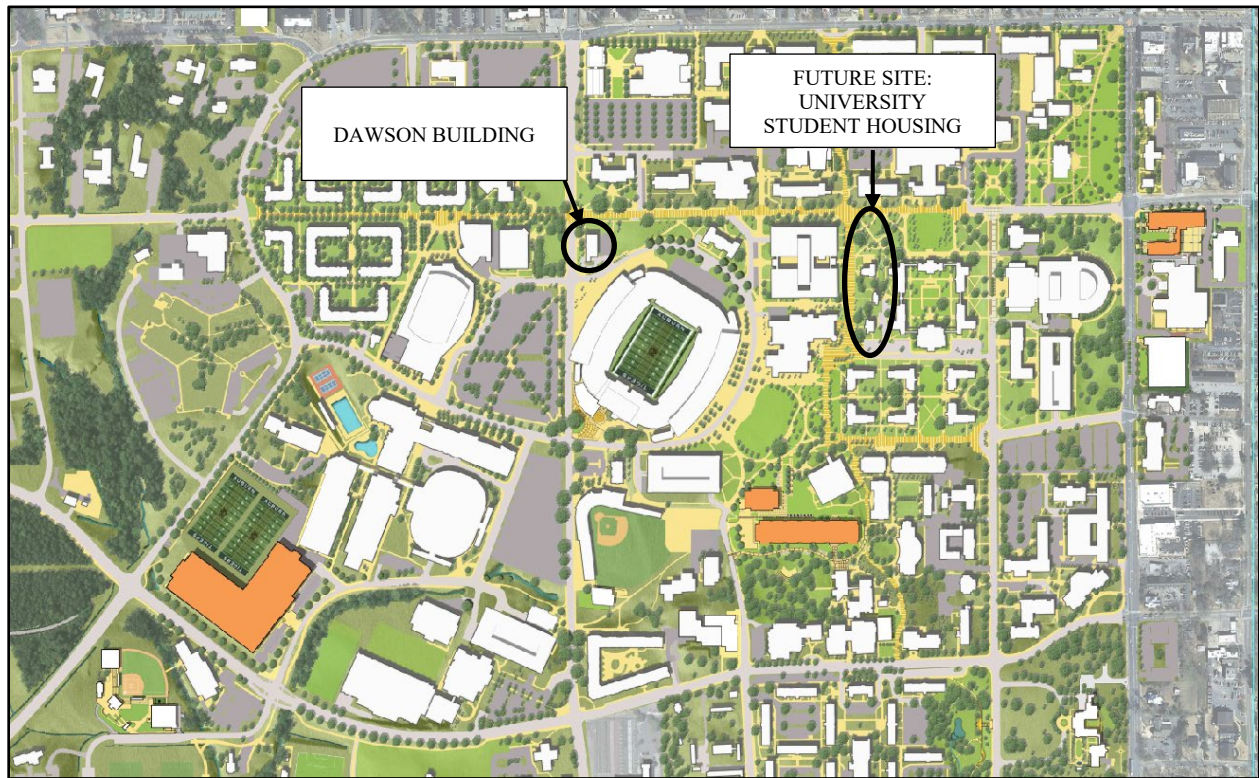
Due to the need to complete this renovation and relocation to enable the student housing project to begin construction by the summer of 2022, the University Architect requests that the architectural firm, Birchfield Penuel & Associates, of Birmingham, Alabama, be approved to provide design services on this project.

It is anticipated that the renovation would be financed by University General Funds.

If you concur, it is proposed that a resolution initiating the College of Human Sciences Clinic Renovation and Relocation project and approving Birchfield Penuel & Associates, of Birmingham, Alabama, as the project architect selection be presented to the Board of Trustees for approval at the meeting scheduled for June 11, 2021.

**Attachment 1****College of Human Sciences Clinic Renovation and Relocation – Existing Location**

## Site Map



**SUMMARY OF REAL ESTATE HOLDINGS**  
AUBURN UNIVERSITY *and* AUBURN UNIVERSITY AT  
MONTGOMERY

ANNUAL REPORT  
2021



JUNE 11, 2021

## PROPERTY AND FACILITIES COMMITTEE

### EXECUTIVE SUMMARY

#### REAL ESTATE REPORT ANNUAL REPORT TO COMMITTEE

The Real Estate Records Procedure of Auburn University stipulates that a report of the University's real estate holdings be furnished to the Property and Facilities Committee on the occasion of the annual meeting of the Board of Trustees. With the beginning of Fiscal Year 2010-2011 (FY2011), responsibility for maintenance of the real estate records for Auburn University and Auburn University at Montgomery was assigned to the Auburn University Real Estate Department. Members of the senior staff of the Auburn University Real Estate Department will be prepared to address questions concerning the "Summary of Real Estate Holdings for 2021" which itemizes the property inventory and conveyances that have occurred since the report in 2020. There were two (2) conveyances of real property reported during this period.





AUBURN  
UNIVERSITY

## REAL ESTATE DEPARTMENT

### MEMORANDUM

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**TO:** JAY G. GOGUE, PRESIDENT  
**THROUGH:** RON BURGESS, EXECUTIVE VICE PRESIDENT  
**FROM:** MARK STIRLING, DIRECTOR OF REAL ESTATE  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
ANNUAL REPORT OF REAL ESTATE HOLDINGS  
**DATE:** JUNE 11, 2021  
**CC:** FILE

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This is to request that the following item be presented to the Board of Trustees through the Property and Facilities Committee and be included on the agenda of the meeting scheduled for June 11, 2021.

#### **Proposal:**

Policy of the Board of Trustees requires that a listing of property owned by Auburn University be submitted to the Board, through the Property and Facilities Committee, for its information and review on the occasion of its annual meeting. Accordingly, property listing and summary based on last year's report and changes that have occurred since June 2020 have been prepared and are submitted for the Board's information and review. (Attachments 1 and 2)

#### **Review and Consultation:**

Responsibility for management of real estate records was assigned to the Director of Real Estate at the close of Fiscal Year 2011. Records indicate that the following conveyances of real property have occurred since June 2020: one (1) for Auburn University; one (1) for Auburn University at Montgomery; none (0) for the Alabama Agricultural Experiment Station; and none (0) for the Alabama Cooperative Extension System.

#### **Rationale for Recommendation:**

Submission of the information included in the "Summary of Real Estate Holdings" (Attachment 1) is required by policy of the Auburn University Board of Trustees.

**AUBURN UNIVERSITY**  
**SUMMARY OF REAL ESTATE HOLDINGS FY 2020**  
June 11, 2021

**Auburn University Real Estate Department**

DEED FILE NUMBER	DATE OF ACQUISITION	FROM WHOM ACQUIRED	ORIGINAL COST / FMV	CURRENT USE	ACREAGE / RESTRICTIONS	LOCATION OF PARCEL
2	Feb. 28, 1945	Mary E. Cox (Auburn Development Society, Inc.)	38,335.36	Academic	9.73 acres/No	Magnolia Ave AU/Main Campus
3	July 17, 1915	A.H. Cox	2,400.00	Academic	6.5 acres/No	Thach Ave. & Donahue Dr. AU/Main Campus
4	August 7, 1920 - August 17, 1901	Eva A. Smythe, et al	3,200.00	Academic	6 acres/No	Magnolia Ave. & Tiger Street AU/Main Campus
5	April 6, 1877	Matiida Lee	250.00	Academic	2.75 acres/No	Magnolia Ave. & Tiger Street AU/Main Campus
6	Feb. 8, 1923	Walter & Fannie Hoffman	2,500.00	Academic	2.14 acres/No	Thach Ave. AU/Main Campus
6A	March 3, 1915	J.W. Leila & F. Wright	400.00	Academic	1.5 acres/No	Thach Ave. AU/Main Campus
8	Feb. 17, 1872	East Ala. Male College	150.00	Academic	15 acres/No	College St. & Thach Ave.
10	June 5, 1889	W.L. Chambers, et al	2,755.98	Academic	1.5 acres/No	Magnolia Ave. (W) AU/Main Campus
11	Sept. 14, 1887	E. & T.L. Frazier	10.00	Academic	0.5 acres/No	Magnolia Ave. & College Street AU/Main Campus
13	Aug. 20, 1926	Lee County Probate Court	4,500.00	Academic	0.25 acres/No	Magnolia Ave. & College Street AU/Main Campus
14	Dec. 9, 1922	W.F. Heavey	2,200.00	Academic	0.22 acres/No	Magnolia Ave. & College Street AU/Main Campus
15	March 26, 1908	Roger A.P.C. & C.C. Jones	200.00	Academic	0.02 acres/No	Magnolia Ave. & College Street AU/Main Campus
16	Aug. 2, 1907	M.L. Bradford	3,500.00	Academic	0.67 acres/No	College St. AU/Main Campus
16A	Aug. 2, 1907	Clay Zuber	800.00	Academic	0 Part of #16/No	College St. AU/Main Campus
16B	Aug. 2, 1907	T.C. & G.E. Bradford	726.27	Academic	0 Part of #16/No	College St. AU/Main Campus
17	May 9, 1914	Bessie L. Brown	50.00	Academic	0.11 acres/No	College St. AU/Main Campus
18	April 12, 1922	Young Men's Christian Assoc. of API-Exchange of Property to API	Land Exchange	Academic	0.29 acres/No	College St. AU/Main Campus
20	Nov. 4, 1925	Amos H. Cox	1,000.00	Academic	0.75 acres/No	Donahue Dr. AU/Main Campus
21	Sept. 13, 1920	Elijah & Annie Lampkin	900.00	Academic	1.5 acres/No	Thach & Donahue Dr. AU/Main Campus
22	Aug. 2, 1915	C.A. & Emma Cary	38.00	Academic	0.11 acres/No	Thach Ave. AU/Main Campus
23	Aug. 2, 1915	C.A. & Emma Cary	522.00	Academic	1 acres/No	Thach Ave. AU/Main Campus
24	Aug. 27, 1915	R.M. Mitchell	1,500.00	Academic	17 acres/No	Thach & Donahue Dr. AU/Main Campus
25	July 9, 1923 (Recd 6/16/65)	J.H. & M.E. Drake	2,500.00	Academic	13.6 acres/No	Thach Ave. AU/Main Campus
26	March 13, 1923	E.E. & D.C. Thomas	2,500.00	Academic	4 acres/No	Duncan Dr. AU/Main Campus
27	April 17, 1907	Lula A. & Lummis A. Knapp	2,000.00	Academic	5.5 acres/No	Mell & Roosevelt AU/Main Campus
28	Feb. 17, 1930	Thach Estate	35,000.00	Academic	2.5 acres/No	Mell & Thach AU/Main Campus
29	Aug. 18, 1903	P.H. & A.W. Mell	2,700.00	Academic	1 acres/No	Thach & Mell AU/Main Campus
30	March 29, 1930	Thach, Smith & Hamilton	14,000.00	Academic	0.61 acres/No	Mell St. AU/Main Campus
31	April 19, 1934 (Recd 6/16/65)	M.A. Glenn	15,000.00	Academic	0.75 acres/No	Mell St. AU/Main Campus

DEED FILE NUMBER	DATE OF ACQUISITION	FROM WHOM ACQUIRED	ORIGINAL COST / FMV	CURRENT USE	ACREAGE / RESTRICTIONS	LOCATION OF PARCEL
32	Dec. 13, 1923	D.T. & L.D. Gray	5,600.00	Academic	0.6	Mell & Roosevelt AU/Main Campus
33	March 16, 1920	T.J. Boyd & Flemings	6,000.00	Academic	2	Mell St. AU/Main Campus
34	Oct. 26, 1928	M.E. Drake & Askews	11,200.00	Leased	1.86	College & Thach AU/Main Campus
35	June 12, 1923	Mason Estate	10,000.00	Leased	1.9	College St. AU/Main Campus
36	Oct. 26, 1928	S.B. Madre	12,000.00	Leased	0.77	College St. AU/Main Campus
37	Oct. 27, 1928	F.C. & C.W. Hulse	3,400.00	Leased	0.7	College St. AU/Main Campus
38	Oct. 15, 1937	R.P. & D. Ward	6,765.00	Academic	165	Samford & Hiram Ln. AU/Main Campus
39	Oct. 27, 1928-April 23, 1930	James H. Farrar Estate	2,265.00	Academic	15	Biggio Drive AU/Main Campus
40	Oct. 12, 1884	J.H. & M.E. Drake	636.00	Academic	31.8	Duncan Drive AU/Main Campus
41	Jan. 14, 1884	E.R. Rivers	500.00	Academic	37	College St. AU/Main Campus
41A	Dec. 30, 1886	Strom Estate	135.00	Academic	7	College St. AU/Main Campus
43	Oct. 11, 1935	F.L. & J.W. Drake	6,000.00	Leased	0.6	College St. AU/Main Campus
43A	March 23, 1929	J.H. Drake Family	3,200.00	Leased	0.38	College St. AU/Main Campus
44	Nov. 29, 1899	L.A. Foster Estate	538.00	Academic	78	Donahue Drive AU/Main Campus
45	March 5, 1884	J.B. Gay	1,700.00	Academic	70.7	Mell Street AU/Main Campus
46	Oct. 27, 1924	J.A. Cullars Estate	2,500.00	Leased?	1.35	College & Samford AU/Main Campus
47	Sept. 1, 1923	R.T. & P.P. Dubose	3,995.00	Academic	93	Wire Road AU/Main Campus
48	Nov. 23, 1921	O.B. & R.E. Ennis/T.O. & M.B. Wright	1,800.00	Academic	50	Farm Road AU/Main Campus
49	Sept. 17, 1923	A.Z. & W.H. Pace	4,360.00	Academic	109	Donahue Drive AU/Main Campus
50	May 15, 1924	Sophonra E. Foster	5,219.50	Academic	47.5	Donahue Drive AU/Main Campus
51	July 9, 1943	Cherry Estate	1.00	Academic	2.8	Shug Jordan Pkwy. AU/Main Campus
51A	May 1, 1944	B.C. & L.C. Pope	3,250.00	Academic	58.2	Wire Road AU/Main Campus
52	Dec. 7, 1923	Foster Family	2,160.50	Academic	50.2	Shug Jordan Parkway AU/Main Campus
53	Sept. 17, 1923	W.T. & C.P. Rutledge	2,376.00	Academic	60	Camp Auburn Rd. AU/Main Campus
54	Sept. 1, 1923	John D. Foster	5,000.00	Academic	40	Donahue & College AU/Main Campus
55	Oct. 23, 1938	Bessie A., Emrick, L.A. & G.A. Miller	3,698.00	Research	26	College & Woodfield AES/Main Campus
56	Feb. 3, 1939	M.L. Cullars	5,000.00	Academic	225	College & Shug Jordan Pkwy AU/Main Campus
57	3/28/40-3/3/43, 10/11/98	A. - O. Airport, Inc. et al	41,149.10	Academic	333.23	Pitts Airport Au/Lee County
58	Feb. 16, 1940 - May 20, 1940	Bradley, Evans, & Duke	8,175.00	Academic	596.173	N. Auburn Fisheries Unit State 147 AU/Lee County
58A	Dec. 18, 1943	G.L. & M.V. Story	8,500.00	Academic	699	N. AU Fisheries Unit Lee Rd. 046 AU/Lee County
59	July 10, 1943 - June 10, 1941	D.E. & Minnie Lee, B'ham T. & S. Co.	1,990.00	Research	300	Autauga Co. Forest Unit - AU/Autauga Co.
	Aug. 22, 1928 - Oct 9, 1937	Autauga Co. Probate Off., Birdie I. Doster	2,405.00	Research	80	Prattville Exp. Field - AES/Autauga Co.
60	Dec. 29, 1929 (Recd 9/13/52)	Mary J. Brassil	1.00	Research	5	Gulf Coast Subst-AES-Baldwin Co.
	May 14, 1930 (Recd 9/13/52)	S.E. & B.W. Riall	1.00	Research	80	Gulf Coast Subst-AES-Baldwin Co.

DEED FILE NUMBER	DATE OF ACQUISITION	FROM WHOM ACQUIRED	ORIGINAL COST / FMV	CURRENT USE	ACREAGE / RESTRICTIONS	LOCATION OF PARCEL
	Nov. 27, 1929 (Recd 7/6/50)	J. & J. Shuller	1.00	Research	1 acre/No	Gulf Coast Subst-AES-Baldwin Co.
	July 27, 1936	W.C. Mason	1.00	Research	76.91 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Nov. 27, 1929	H.A. Goodrich	1.00	Research	73.87 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Nov. 27, 1929	O. & J. Lowell	1.00	Research	1 acre/No	Gulf Coast Subst-AES-Baldwin Co.
	Nov. 27, 1929	J.M. & M.C. Green	10.00	Research	20 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Nov. 27, 1929	R. & B. Klumpp	1.00	Research	3 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Nov. 27, 1929	C.G. & F.L. Godard	1.00	Research	80 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Oct. 9, 1929	W.H. & M. Stapleton	1.00	Research	100 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Oct. 9, 1929	F.A. & F. Duba	1.00	Research	79.56 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Oct. 9, 1929	D.C. & L. Stapleton	1.00	Research	140 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Oct. 9, 1929	J.R. Aylin	1.00	Research	40 acres/No	Gulf Coast Subst-AES-Baldwin Co.
	Dec. 14, 1993	Baldwin Co. Court Decree	Donated	Research	85.72 acres/No	Gulf Coast Subst-AES-Baldwin Co.
61	May 23, 1940	Barbour County	1.00	Research	178 acres/No	Barbour Co. Forest Unit - AU Barbour County
64	April 11, 1940	J.T. & M.C. Newman	1.00	Research	160 acres/No	Coosa Co. - Forest Unit - AU Coosa County
65A	June 7, 1946	J.A. Carns Family	12,000.00	Research	100 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
	Dec. 8, 1937	W.V. & G. Roden	5,760.00	Research	66 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
	Sept. 30, 1935	L. & M. Weathington	2,000.00	Research	40 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
	Aug. 22, 1928	W.V. & G. Roden	1,700.00	Research	18 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
	Aug. 22, 1928	J.W. & E. Black	4,400.00	Research	40 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
	Aug. 22, 1938	W.B. & E.E. Jones	18,000.00	Research	182 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
65B	Oct. 16, 1946	R.C. & N.M. Christopher	7,200.00	Research	60 acres/Yes	Sand Mountain Subst-AES-Dekalb Co.
66	Aug. 21, 1929	C.S. & M. Cook	5,000.00	Research	60 acres/No	Brewton Exp. Field-AES-Escambia Co.
	July 16, 1938	A. & F. Nicholson	500.00	Research	19.6 acres/No	Brewton Exp. Field-AES-Escambia Co.
67	Nov. 2, 1942	R.E. & B. Solomon	750.00	Research	23 acres/No	Wiregrass Subst-AES-Henry Co.
	May 14, 1936	A.D. & K. Walden	2,852.00	Research	38,021 acres/No	Wiregrass Subst-AES-Henry Co.
	March 14, 1929	Roberts & Wilkinson Families	26,801.00	Research	220 acres/No	Wiregrass Subst-AES-Henry Co.
	Aug. 23, 1941	O. & C.F. Wilkinson	2,401.00	Research	40 acres/No	Wiregrass Subst-AES-Henry Co.
	April 30, 1929	E. & M. Lindsay	1.00	Research	0 Private Road	Wiregrass Subst-AES-Henry Co.
	April 30, 1929	Mary Baker	1.00	Research	0 Private Road	Wiregrass Subst-AES-Henry Co.
69	March 5, 1946	John & Irene Grayson	57,417.60	Research	475.18 acres/No	Tenn. Valley Subst-AES-Limestone Co.
	March 9, 1928	J.H. Tolley & Limestone Co.	30,000.00	Research	240 acres/No	Tenn. Valley Subst-AES-Limestone Co.
	April 6, 1937	Limestone Co., Alabama	1.00	Research	35 acres/No	Tenn. Valley Subst-AES-Limestone Co.
72	Oct. 29, 1930-July 19, 1967	City of Mobile-4 Deeds	4.00	Research	17.2 acres/Yes	Ornamental Hort. Subst-AES-Mobile Co.
73	June 19, 1929	J.R. Eddins	4,500.00	Research	40 acres/Yes	Monroeville Exp Field-AES-Monroe Co.
	Jan. 6, 1940	Willie Sawyer	3,200.00	Research	39 acres/No	Monroeville Exp. Field-AES-Monroe Co.
74	March 19, 1930	Dallas Co., Alabama	Donated	Research	80 acres/No	Blackbelt Subst-AES-Dallas County
	March 19, 1930	Dallas Co., Alabama	Donated	Research	1035 acres/No	Blackbelt Subst-AES-Dallas County
76	Sept. 21, 1946	L.S. & S.H. Smith	1,580.00	Research	158 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Oct. 2, 1946	L.S. & S.H. Smith	2,900.00	Research	103.5 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.

DEED FILE NUMBER	DATE OF ACQUISITION	FROM WHOM ACQUIRED	ORIGINAL COST / FMV	CURRENT USE	ACREAGE / RESTRICTIONS	LOCATION OF PARCEL
	Sept. 2, 1946	Z.A. & D. Rowland	1,600.00	Research	160 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Nov. 6, 1944	Z. Housh & J.D. Bone	1,440.00	Research	120 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Nov. 4, 1944	C.M. & C.C. Anderson	750.00	Research	60 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Nov. 4, 1944	T.M. & E. McClesky	875.00	Research	70 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Nov. 4, 1944	B.F. & M.B. Harkins	3,750.00	Research	160 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Nov. 6, 1944	J.V. & R. Gilpin	3,750.00	Research	200 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Nov. 6, 1944	J.P. & P. Trim	800.00	Research	60 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
	Dec. 15, 1944	Estate of T.H. Robertson	4,250.00	Research	240 acres/No	Fayette Co. Exp. Forestry Unit-AES-Fayette Co.
77	Feb. 23, 1945	A.T. & J. Couch	175.00	Research	2 acres/No	Upper Coastal Plain Subst-AES-Marion Co.
	Dec. 28, 1944	A.T. & J.Y. Couch	5,200.00	Research	80.2 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
	Dec. 28, 1944	E.M. & M.E. Earnest	2,000.00	Research	42 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
	Dec. 28, 1944	G.W. & C. Couch	7,000.00	Research	150 acres/No	Upper Coastal Plain Subst-AES-Fayette & Marion Co.
	Dec. 28, 1944	J.W. & Julia M. Ward	6,050.00	Research	82 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
	Dec. 28, 1944	Hazel Couch	10,000.00	Research	298 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
	Dec. 28, 1944	D.H. & E.J. May	2,100.00	Research	40 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
	Dec. 28, 1944	J.D. May	1,900.00	Research	41 acres/No	Upper Coastal Plain Subst-AES-Fayette Co.
78	June 21, 1945	Auburn Country Club	30,000.00	Academic	60.95 acres/No	W. Magnolia Ave. AU/Main Campus
	Sept. 7, 1945 (Recd 7/31/78)	Beulah Gerson	52,500.00	Research	664 acres/No	PBU-AES-Elmore County
81	October 3, 1946	Cary Estate	30,000.00	Academic	20 acres/No	Wire Road AU/Main Campus
82	June 6, 1946	County Condemnation	6,749.00	Research	258 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 20, 1944	H.J. & D.E. Willis	3,640.00	Research	240 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 21, 1944	J.S. Norris	2,400.00	Research	112 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	A. & E. Evans	4,040.00	Research	160 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	S. & E. Woodyard	2,340.00	Research	107 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 20, 1944	L. Ward	812.00	Research	51.45 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	W.F. & Donna McGinty	540.00	Research	20 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	G. & S. Brown	3,540.00	Research	100 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 27, 1944	C.B. & C.E. Sullivan	5,040.00	Research	160 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	L. Garlington	4,040.00	Research	32 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Nov. 15, 1945	L.E. & T.G. Jennings	2,290.00	Research	9 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 19, 1944	T.J. & B.M. Henderson	3,240.00	Research	160 acres/No	Piedmont Subst.-AES-Tallapoosa Co.
	Dec. 27, 1944	Federal Land Bank of New Orleans	60.00	Research	0 Mineral Rights	Piedmont Subst.-AES-Tallapoosa Co.
83	Jan. 27, 1948	Presbyterian Church	10.00	Academic	0.13 No	Thach Ave. - AU/Main Campus
84	Feb. 2, 1948	E.J. & Leona Orth	1.00	Research	159.32 acres/No	N. Alabama Horticulture Substation-AES-Cullman Cty.
85	April 3, 1948	O.D. & C.F. Wilkinson	33,000.00	Research	276 acres/No	Wiregrass Subst.-AES-Henry County
87	Jan. 11, 1949	T.J. & M.F. Whatley	5,080.00	Leased	25.4 acres/Yes	Forestry Unit AU/Lee County
88	Nov. 27, 1948	Sims Family	13,500.00	Academic	20 acres/No	Wire Road AU/Main Campus
89	Jan. 25, 1961-	U.S.D.A. (Farrar Estate)	10.00	Academic	40 acres/No	Roosevelt St. AU/Main Campus
93	Dec. 8, 1948	R. & L.S. Gore	9,750.00	Research	65 acres/No	Chilton Area Hort. Subst.-AES- Chilton
	Dec. 8, 1948	R.T. & M. Davis	12,000.00	Research	80 acres/No	Chilton Area Hort. Subst.-AES-Chilton

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93A	Dec. 8, 1960	Cox Family	5,000.00	Research	16 acres/No	Chilton Area Hort. Subst.-AES-Chilton
94	Jan. 10, 1949	McGhee, Merritt & McCabe Families (SOLD)	-	Support	0.6 acre/No	RR Warehouse AU/Lee County (SOLD - 5/5/2016)
98	Jan. 14, 1948	W.D. & M. Clements	17,280.00	Academic	576 acres/No	N. Auburn Dairy Unit-AU-Lee County
99	Feb. 23, 1949	Mr. & Mrs. J.L. Fincher	2,500.00	Academic	80 acres/No	N. Auburn Fisheries Unit-AU-Lee Co.
100	March 21, 1949	A.C. & S.C. Carter	19,630.00	Academic	394.2 acres/No	N. Auburn Beef Cattle-AU-Lee Co.
101	July 22, 1949	L.L. & W.W. Bradley	4,050.00	Academic	81 acres/No	N. Auburn Beef Cattle-AU-Lee Co.
101A	July 22, 1949	M.E. & M.B. Earnest	4,050.00	Academic	81 acres/No	N. Auburn Beef Cattle-AU-Lee Co.
102	July 5, 1949	J.S. & C. Smith	3,000.00	Research	1 acre/No	Wiregrass Subst.-AES-Henry County
	May 14, 1936	R.S. & E. Solomon	1,200.00	Research	3 acres/No	Wiregrass Subst.-AES-Henry County
103	Aug. 5, 1963	E.P. Strother	36,000.00	Research	240 acres/No	Lower Coastal Plain Subst.-AES-Wilcox Co.
	Aug. 29, 1949	Wilcox County	1.00	Research	1210.2 acres/No	Lower Coastal Plain Subst.-AES-Wilcox Co.
	May 30, 1951	Wilcox County	1.00	Research	539 acres/No	Lower Coastal Plain Subst.-AES-Wilcox Co.
	Jan. 7, 1967	J. & S.M. Strother	39,600.00	Research	240 acres/No	Lower Coastal Plain Subst.-AES-Wilcox Co.
104	April 18, 1950	A.C. & S.C. Carter	15,712.50	Academic	384.1 acres/Yes	N. Auburn Beef Cattle Un.-AU-Lee Co.
107	June 6, 1950	G.L. & M.B. Jackson	2,000.00	Academic	40 acres/No	N. Auburn Beef Cattle Un.-AU-Lee Co.
108	Oct. 11, 1950	Dewey Hodge	5,000.00	Academic	51.5 acres/No	N. Auburn Beef Cattle Un.-AU-Lee Co.
	Dec. 2, 1953	D.W. Ward	1,200.00	Academic	1.3 acres/No	N. Auburn Beef Cattle Un.-AU-Lee Co.
112	Aug. 21, 1957	R.E. Hudson Estate	104,193.21	Academic	333.81 acres/Yes	Wire Road AU/Main Campus
114	Jan. 27, 1960	K.M. Lane	150,000.00	Academic	4.47 acres/No	College Street AU/Main Campus
115	May 2, 1962	S.R. Copeland	6,500.00	Reserve	1.92 acres/No	Wire Road AU/Main Campus
117	July 5, 1962	C.A. Lowery	9,000.00	Reserve	1 acre/No	Wire Road AU/Main Campus
118	Sept. 19, 1962	Z. Judd Estate	580.98	Academic	0.17 acre/No	Arboretum - College St AU/Main Campus
119	Dec. 26, 1962 - Sept. 14, 1990	P. Kappa Alpha Dowdell Haygood -Yancey	52,954.76	Leased	6.13 acres/No	Sigma Phi Epsilon & Sigma Pi Magnolia Ave. AU/Main Campus
122	Nov. 9, 1966	John A.C. & Ruby W. Callan	32,500.00	Leased	0.39 acres/Yes	College Street AU/Main Campus
124	Oct. 27, 1971	A. Hutchinson	10,500.00	Academic	18.8 acres/No	N. Auburn Fisheries AU/Lee County
126	Nov. 24, 1971	J. & L. Walker	14,840.00	Academic	42.4 acres/No	N. Auburn Fisheries AU/Lee County
127	June 2, 1973	J.D. & M.L. Davis	58,315.00	Academic	44 acres/No	N. Auburn Fisheries AU/Lee County
128	May 31, 1976	L.R. Turner (Land Exchange)	1.00	Academic	1.71 acres/No	Farmville Church AU/Lee County
129	Dec. 23, 1974 - June 30, 1975	Sheppard, Crowley & Jeter	255,078.00	Research	511.37 acres/No	E.V. Smith Research Ctr.-AES-Macon Co.
131	May 31, 1974	B.W. & F.L. Walker, J.M. & S.R. Walker	1,250,672.29	Research	2715.52 acres/No	E.V. Smith Research Ctr.-AES-Macon Co.
133	Feb. 5, 1975	W.E. & M.L. Bell	25,000.00	Research	5.43 acres/No	North Auburn Fisheries-AU-Lee County
134	Sept. 10, 1976	Turnipseed - Ikenberry	275,000.00	Research	1028 acres/No	Turnipseed - Ikenberry Unit AES- Bullock County
135	Oct. 8, 1976	J.W. & V.M. Goodwin	101,904.00	Reserve	3.04 acres/No	Birmingham-AU-Jefferson County
136	May 21, 1975	S.A. & P.W. Edgar	120,500.00	Academic	112.5 acres/No	N. Auburn Fisheries-AU-Lee County
137	Nov. 21, 1978	Solon Dixon, M.D. & H.P. Coale	120,000.00	Academic	160 acres/Yes	S. Dixon Ctr-AU-Escambia & Covington
	Dec. 7, 1979	Solon Dixon	145,350.00	Academic	193.8 acres/Yes	S. Dixon Ctr-AU-Escambia & Covington
	August 20, 1980	Solon Dixon	9,334.00	Academic	40 acres/Yes	Solon Dixon Center-AU-Covington Co.
	Sept. 8, 1986	Solon Dixon & Timber Value	693,919.35	Academic	1193.6 acres/Yes	Solon Dixon Ctr. - AU - Escambia Co.
	Sept. 4, 1986	Solon Dixon & Timber Value	2,206,226.21	Academic	3794.9 acres/Yes	Solon Dixon Ctr. - AU - Covington Co.



DEED FILE NUMBER	DATE OF ACQUISITION	FROM WHOM ACQUIRED	ORIGINAL COST / FMV	CURRENT USE	ACREAGE / RESTRICTIONS	LOCATION OF PARCEL
	May 21, 1987	Solon Dixon	10,333.25	Academic	40 acres/Yes	Solon Dixon Ctr. - AU - Covington Co.
139	Oct. 17, 1980	Wigley - Harper Estates	76,500.00	Research	116 acres/Yes	Wigley - Harper - AU - Dekalb Co.
140	Dec. 8, 1983	Mt. Vernon Mills, Inc.	5,000.00	Research	5.47 acres/No	Plant Breeding Unit - AES - Elmore Co.
142	June 6, 1984	R. L. F. & T. M. Baxter, Jr.	48,000.00	Research	40 acres/No	Wiregrass Substation-AES-Henry County
143	Sept. 21, 1984	Mary Olive Thomas Estate	380,000.00	Extension	399.79 acres/Yes	Moore's Mill Rd. (Hwy. 12)-CES-Lee County
144	Sept. 30, 1984	Auburn Industrial Development Board	8,711.87	Academic	2.25 Yes	Shug Jordan Pkwy. AU/Main Campus
147	April 4, 1986	Knight, Robinson, Kendrick, Stallings & Janies	198,000.00	Academic	88.137 acres/No	Airport AU/Lee County
148	Dec. 8, 1986	Fairchild Industries, Inc. (Insouth)	300,000.00	Research	12.63 acres/No	Skyway Drive AU/Lee County
149	Feb. 17, 1987	J.C. Mullins	2,500.00	Academic	0.072 acre/Yes	Woodfield Drive AU/Lee County
150	Dec. 18, 1987	Alumni Association	175,000.00	Leased	0.4 acres/Yes	Miller Ave. AU/Lee County
151	Dec. 29, 1988	Maranatha Christian Churches Inc.	135,000.00	Academic	0.25 acre/No	Magnolia Ave. AU/Lee County
152	March 17, 1994	Louise Kreher Turner (Through AUF)	297,500.00	Academic	119 acres/Yes	N. Auburn-Hwy 147 AU/Lee County
153	Nov. 10, 1994	B.W. Capps & Sons, Inc.	524,091.61	Research	Lot	AU Pavement Test Facility/Lee County
154	June 22, 1995	Lee County Educational Foundation	2,308,014.50	Academic	16.19 acres/Yes	Pitts Airport AU/Lee County
155	Sept. 27, 1996	Solitude Creek Joint Venture II	940,000.00	Research	Fish Ponds	Beauregard, AL
159	Jan. 26, 2000	Glenn Estates, Inc.	260,000.00	Academic	20 acres/No	CVM AU, Lee County
160	May 18, 2000	John L. Hartman	106,900.44	Research	31.36 No	N. Auburn/Lee County
"	June 30, 2000	Raymond and Rebecca Dowdell	101,960.54	Research	33.95 No	N. Auburn/Lee County
A128/295	April 28, 1998	AU Foundation/Gift Morrisett	410,000.00	Academic	9.5 acres & 6.33 acres/Yes	Hwy 61, Newberg, AL
161	June 18, 2001	Floyd & Wilner Richards/Richards Family	1,215,228.57	Academic	38.28 acres/No	Webster Rd. AU/Lee County
162	Jan. 23, 1965	James Haygood/Lamb Dowdell	144,500.00	Reserve	0.714 acres/No	Lincoln Hts. Subdivision/Auburn/Lee County, AL
163	Sept. 18, 2006	Pebble Hill Property - AU Foundation	208,000.00	Academic		101 Debardeleben St/Auburn/Lee County, AL
164	Sept. 18, 2006	CLOSED Robert G. & Leslie Pitts	0.00	Reserve	1.92 acres/No	724 S. College St (swapped for 174)
165	Oct. 18, 2007	Yarbrough Farms Golf Facility	575,000.00	Academic	25.5 acres/No	1493 N. Donahue Dr/Auburn/Lee County, AL
165A	Oct. 18, 2007	Burbic - Yarbrough Farms Golf Facility	75,000.00	Academic	25.5 acres/No	1493 N. Donahue Dr/Auburn/Lee County, AL
166	June 27, 2008	Liddell-Hall-Stroud Property - AU Found.	349,500.00	Research	50 acres/No	Wilcox County, AL
167	July 26, 2011	Cary Pick House	740,000.00	Academic	0.95 acres/No	N. College St, Lee County, AL
168	November 3, 2009	McClure Clinton Estate	1,260,000.00	Research	248 acres/Yes	Sumter County, AL
169	December 15, 2011	Bruno's Property	2,400,000.00	Academic	6 acres/No	E. Glenn Ave, Lee County, AL
170	October 15, 2011	Golden Property	337,500.00	Academic	1.6 acres/No	Webster Road, Lee County, AL
171	April 1, 1975	James S. Boyd	Gift	Reserve	12.4 acres leased	Arlington Va - Washington and Lee Apartments
172	AUF	Graham/Head Farm	Pending	Research	7.7 acres/Leased	waiting on transfer from AUF
173	March 10, 2012	Harris Early Learning Center	Leased Property	Academic	1.74 acres/leased	Birmingham - Child Development Center
174	October 18, 2013	Church of Christ	276,160.00	undecided	2.74 acres/no	Church of Christ Rental Houses (Pitts Swap)
175	June 2, 2014	Walthall	3,500.00	Academic	0.85 acres/No	Rural Studio
176	October 29, 2014	Dean Property	1,004,976.65	Reserve	0.8 acres/No	Samford Ave
177	January 9, 2015	Cambridge	11,500,000.00	Academic	2.55 acres	S. College Dr
178	February 4, 2015	West	270,000.00	Academic	80 acres/Yes	Jackson County - Timber Harvest for Forestry
179	December 11, 2015	Castilian	635,000.00	undecided	0.29 acres/Leased	Thach and Gay
180	March 28, 2016	North Park Deck	6,250,000.00	undecided	2 acres/No	W. Magnolia Ave. AU/Main Campus

DEED FILE NUMBER	DATE OF ACQUISITION	FROM WHOM ACQUIRED	ORIGINAL COST / FMV	CURRENT USE	ACREAGE / RESTRICTIONS	LOCATION OF PARCEL
181	April 4, 2016	Koart Property	1,169,500.00	Academic	750 acres/No	Talbot County, GA - Timber Harvest for C.O Business
182	May 5, 2016	Pumphrey Warehouse	759,000.00	Administration	2.3 acres/No	Pumphrey Ave. AU/Main Campus
183	May 27, 2016	Ann B. Pearson	Leased Property	Academic	4.58 acres/Leased	Sunny Slope
184	March 16, 2017	T.K. Bullock	\$128,000.00	Research	80 acres/No	Covington County - Solon Dixon Center
185	March 31, 2017	Biggin/Woltosz House	\$900,000.00	Academic	0.6 acres/No	Lee County, Auburn, North College
186	August 10, 2017	Dudley Apartments	\$600,000.00	Administration	0.25 acres/No	Lee County, Auburn, W. Magnolia Ave.
187	April 3, 2018	Pace Property	\$5,000,000.00	Administration	177 acres/No	Lee County, Auburn, Longleaf Drive
188	January 11, 2018	Scott Property	\$115,000.00	Administration	1.74 acres/No	Lee County, Auburn, Auburn University Airport
189	September 19, 2018	David O. Whitten	\$372,500.00	undecided	1.01 acres/No	102 Kimberly Drive, Auburn, AL
190	November 28, 2018	Hood-McPherson Building	\$1,500,000.00	Administration	0.2 acres/No	2013 4th Avenue North, Birmingham, AL
TOTAL			50,650,841.04		28773.347	





**AUBURN UNIVERSITY  
REAL ESTATE TRANSACTIONS FY-2020  
June 11, 2021**

**Auburn University Real Estate Department**

DIVISION I:                    AUBURN UNIVERSITY

1. South College Street. AU conveyed 1.95 acres of property along S. College Street in Auburn, AL. The property will be used by the city of Auburn to improve traffic flow, reduce congestion and enhance vehicular and pedestrian safety.

DIVISION II:                    AUBURN UNIVERSITY AT MONTGOMERY

1. Alabama Department of Public Health. AUM has leased of 5.03 acres of land to the Alabama Department of Public Health for the State Diagnostic Lab since 1976. The lease expired on March 1, 2021 and the property and remaining structure were returned to AUM for future administrative or academic use.

DIVISION III:                    ALABAMA AGRICULTURAL EXPERIMENT STATION

No conveyances reported.

DIVISION IV:                    ALABAMA COOPERATIVE EXTENSION SYSTEM

No conveyances reported.



SCHOOL OF FORESTRY AND WILDLIFE SCIENCES  
M E M O R A N D U M

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**TO:** JAY GOGUE, President

**THROUGH:** RON BURGESS, EXECUTIVE VICE PRESIDENT

**FROM:** MARK STIRLING, Director of Real Estate  
RUSSELL MILLER, Forest Systems Management, School of Forestry & Wildlife Sciences  
JOEL MARTIN, Director, Solon Dixon Forestry Education Center

**SUBJECT:** ANNUAL REPORT OF TIMBER HARVEST REVENUE

**DATE:** MAY 18<sup>TH</sup>, 2021

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This is to request that the following item be presented to the Board of Trustees through the Property and Facilities Committee and be included on the agenda of the meeting scheduled for June 11, 2021.

**Proposal:**

A summary report of annual timber harvest revenue is provided to the Board of Trustees for its information and review on the occasion of its annual meeting. The report shows timber revenue received since June 1<sup>st</sup>, 2020 and is to be presented during the Annual Real Estate Report.

**Review and Consultation:**

The School of Forestry & Wildlife Sciences and the Solon Dixon Forestry Education Center oversee the management of forest land owned by Auburn University. Staff for the SFWS and SDFEC are responsible for conducting timber sales in accordance with state bid laws and the Five-Year Timber Harvest Plans, last approved by the Board of Trustees at the September 4<sup>th</sup>, 2020 meeting. Timber sales from the approved Harvest Plans have generated a total of \$228,280.20 in revenue for various units over the past year. Foresters on staff utilize two types of sale methods, lump sum and per-unit. Lump sum sales provide an up-front payment, in full, for all timber to be harvested. Per-unit sales provide weekly payments for timber as it is harvested. There are advantages to both methods and staff try to utilize the method that will maximize the revenue received for each sale. Individual sale information for the previous year is shown on the Timber Sale Revenue Annual Report (Attachment 1).

While the COVID-19 pandemic caused some disruptions in the timber supply chain, it was much less affected than many other industries. Timber prices have remained relatively stable throughout the last year, with some slight increases in competitive markets. Despite lumber and other finished wood product prices being at or near all-time highs, timber prices have not moved in correlation. This is primarily a function of the abundant supply of

standing timber throughout the Southeast. As demand for wood products looks to remain high throughout the next year, mill demand for timber will also remain high. This should lead to a mild increase in timber prices in highly competitive markets, especially seasonally. Timber markets with limited competition should at least remain stable over the next year.

Foresters for the SFWS and SDFEC expect a promising year of timber harvests for 2021-2022. Markets should remain steady for the next year and most planned harvests have the potential for positive returns with favorable timing. All planned harvests are subject to local market conditions, weather patterns, and pest/disease outbreaks; which will be monitored closely.

**Rationale for Recommendation:**

Submission of the information above and included in the Timber Sale Revenue Annual Report (Attachment 1) provides an informational update to the Board on the previous year of timber sales.

# Timber Sale Revenue Annual Report

June 1, 2020 - May 31, 2021

Alabama Agricultural Experiment Station				
Sale Name	Harvest Type	Sale Type	Sale Status*	Revenue
Barbour Exp. Forest - Sale #1	Clearcut	Lump Sum		\$ 75,750.00
Barbour Exp. Forest - Sale #2	Thinning	Per-Unit	Incomplete	\$ -
Turnipseed-Ikenberry Timber Sale	Thinning	Per-Unit	Incomplete	\$ 38,295.74
Total				\$ 114,045.74

\*Per-unit sale status reported as "complete" (for harvest operations and payments) or "incomplete" (for harvest operations and payments).

Alabama Cooperative Extension System				
Sale Name	Harvest Type	Sale Type	Sale Status*	Revenue
No harvest information to report				\$ -
Total				\$ -

\*Per-unit sale status reported as "complete" (for harvest operations and payments) or "incomplete" (for harvest operations and payments).

Auburn University				
Sale Name	Harvest Type	Sale Type	Sale Status*	Revenue
NCAT Test Track Timber Sale	Thinning/Clearcut	Per-Unit	Incomplete	\$ -
Total				\$ -

\*Per-unit sale status reported as "complete" (for harvest operations and payments) or "incomplete" (for harvest operations and payments).

Solon Dixon Forestry Education Center				
Sale Name	Harvest Type	Sale Type	Sale Status*	Revenue
SD-2020-01	Clearcut	Per-Unit	Incomplete	\$ 114,234.46
Total				\$ 114,234.46

\*Per-unit sale status reported as "complete" (for harvest operations and payments) or "incomplete" (for harvest operations and payments).

Combined Totals \$ 228,280.20

**STATUS UPDATES**  
**JUNE 11, 2021 ANNUAL BOARD MEETING**  
**AUBURN UNIVERSITY BOARD OF TRUSTEES**

Time will be allotted for the following *information only* status updates:

- A. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater
- B. Project Status Reports

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**TO:** JAY GOUGE, President *JHG*  
**THROUGH:** RONALD BURGESS, Executive Vice President *RS*  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**CURRENT STATUS OF NEW CONSTRUCTION/RENOVATION/  
INFRASTRUCTURE PROJECTS WITH BUDGETS OF \$1,000,000 AND GREATER  
(INFORMATION ONLY)**

**DATE:** MAY 11, 2021

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This is to request that the following proposal be submitted to the Property and Facilities Committee and included on the agenda of the Board of Trustees meeting scheduled for June 11, 2021.

**Proposal:**

Consistent with standing practice, it is proposed that the current status report of new construction/renovation/infrastructure projects with budgets greater than \$1,000,000 be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

**Review and Consultation:**

The Board of Trustees at its meeting on June 4, 2001, requested that it receive a regular update on the financial status of Board approved projects. The attached list includes projects at Auburn University and outlying units.

**Rationale for Recommendation:**

Consistent with the request of the Board of Trustees for a current status report of new construction/renovation/infrastructure projects with budgets greater than \$1,000,000, the attached listing is provided, *for information only*, to the Board through the Property and Facilities Committee for inclusion on the agenda of the meeting scheduled for June 11, 2021.

Data as of 04/26/2021

# *Auburn University Facilities Management*

## *Current Capital Projects*

(Spending across Multiple Years)

### *Summary of Cash Flow by Project Phase*

<i>Project Phase</i>	<i>Previous Approved Budget Amount</i>	<i>Current Approved Budget Amount</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2021</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2022 &amp; Forward</i>
Substantial Completion	313,000,000	290,800,000	281,295,502	4,267,908	5,145,200 *
Construction	367,900,000	356,900,000	120,225,609	110,671,464	126,002,927
Design	22,180,410	25,337,833	1,347,796	7,267,665	16,722,372
Planning	8,877,822	6,811,524	1,392,983	2,867,185	2,551,356
Totals	711,958,232	679,849,357	404,261,890	125,074,222	150,421,855 *
Other Open Projects	76,071,286	76,258,138	42,729,062	5,588,179	27,940,897
Grand Totals	788,029,518	756,107,495	446,990,952	130,662,401	178,362,752 *

\* On the lines with asterisks, the sum of the spending columns does not equal the current approved budget figure. The reason relates to three closed projects: (1) "Graduate Business Education Building" project under budget by \$72,348; (2) "AU Equestrian Center - New Equestrian Team Support Building & Barn" project under budget by \$17,177; (3) "North Auburn - New Poultry Infectious Disease Biocontainment Facility" project under budget by \$1,865.



## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	(A)		AU Bond Funding	Federal/ State or Local Funding	(B)		Estimated Spending Assuming Remainder of Budget for FY2022 & Forward	(C)	(A)-(B)-(C)	
			Current Approved Budget Amount	AU Funding (includes gifts/grants)			Actual Spending to Date (across multiple years)	Estimated Spending Assuming Remainder of Budget for FY2021		Current Encum- brances Against Project	Current Open Balance (Budget less Actuals and Encum- brances)	
<b>SUBSTANTIAL COMPLETION PHASE</b>												
Graduate Business Education Bldg 14-044	COMPLETED	30,000,000	45,000,000	45,000,000			44,927,652	0	0	0	72,348	*
AU Equestrian Center - New Equestrian Team Support Building & Barn 17-088	COMPLETED	5,000,000	5,000,000	5,000,000			4,982,823	0	0	0	17,177	*
North Auburn - New Poultry Infectious Disease Biocontainment Facility 16-485	COMPLETED	2,700,000	2,700,000	2,700,000			2,698,135	0	0	0	1,865	*
President's House Renovation 14-296	Substantial Completion	11,051,195	15,200,000	15,200,000			15,119,881	40,000	40,119	20,362	59,757	
AU Regional Airport - Aviation Education Facility 13-285	Substantial Completion	8,700,000	8,700,000	3,700,000		5,000,000	8,680,579	19,421	0	8,277	11,144	
Brown-Kopel Engineering Achievement Center 15-157	Substantial Completion	39,750,000	44,000,000	44,000,000			43,991,544	7,896	560	7,959	497	
South College Street Parking Deck 18-071	Substantial Completion	15,900,000	15,900,000	15,900,000			15,792,316	75,000	32,684	27,859	79,825	
Performing Arts Center - New Building 15-158	Substantial Completion	65,000,000	69,600,000	69,600,000			69,330,604	269,396	0	23,871	245,525	
Student Activities Center - Auditorium, Renovation & Upgrades 15-321	Substantial Completion	7,000,000	7,000,000	7,000,000			6,759,158	240,842	0	108,956	131,886	
Campus Parking Expansion (Phase I) - West Campus & Hay Fields 19-037	Substantial Completion	3,500,000	3,500,000	3,500,000			2,889,647	310,353	300,000	83,113	527,240	

## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>		<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i>		<i>Estimated Spending Assuming Remainder of Budget for FY2022 &amp; Forward</i>	<i>(C)</i>	<i>(A)-(B)-(C)</i>	
			<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>			<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2021</i>		<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>	
Goodwin Hall - Renovation & Band Rehearsal Hall Addition 15-255	Substantial Completion	5,500,000	7,500,000	7,500,000			7,411,941	65,000	23,059	14,645	73,414	
Lem Morrison Dr - Recreation Field Expansion 18-028	Substantial Completion	17,000,000	17,000,000	17,000,000			16,981,564	0	18,436	378	18,058	
AG Heritage Park - ALFA Pavilion, Renovations & Refurbishments 18-313	Substantial Completion	1,100,000	1,100,000	1,100,000			853,140	10,000	236,860	3,690	243,170	
Northeast Campus Utility Expansion 18-481	Substantial Completion	5,400,000	5,400,000	5,400,000			5,133,266	180,000	86,734	22,886	243,848	
Moore Softball Complex - New Player Development Building & Seating Expansion 17-197	Substantial Completion	4,000,000	4,000,000	4,000,000			3,857,506	50,000	92,494	12,020	130,474	
Leach Science Ctr - Basement Level, Build-Out Of Shell Space For COSAM 17-410	Substantial Completion	2,200,000	2,200,000	2,200,000			1,875,663	50,000	274,337	142,256	182,081	
Advanced Structural Engineering Laboratory 17-255	Substantial Completion	22,000,000	22,000,000	10,000,000		12,000,000	19,591,321	1,800,000	608,679	1,272,092	1,136,587	
Plainsman Park Player Development Improvements 18-200	Substantial Completion	4,000,000	4,000,000	4,000,000			3,830,719	150,000	19,281	104,873	64,408	
Auburn Research Park - Infrastructure Expansion 19-016	Substantial Completion	11,000,000	11,000,000	10,000,000		1,000,000	6,588,043	1,000,000	3,411,957	526,241	3,885,716	
<b>Total Substantial Completion</b>			290,800,000	272,800,000	0	18,000,000	281,295,502	4,267,908	5,145,200	2,379,478	7,125,020	*

## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A) Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>	<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B) Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2021</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2022 &amp; Forward</i>	<i>(C) Current Encumbrances Against Project</i>	<i>(A)-(B)-(C) Current Open Balance (Budget less Actuals and Encumbrances)</i>
<b>CONSTRUCTION PHASE</b>											
Miller Poultry Center - Poultry Farm Relocation Project (Phases III-VI) 17-302	Construction	22,000,000	22,000,000	22,000,000			21,593,280	156,720	250,000	173,056	233,664
Academic Classroom & Laboratory Complex 15-034	Construction	83,000,000	83,000,000		82,071,760	928,240	33,672,280	20,421,702	28,906,018	28,026,059	21,301,661
Central Dining Facility 17-049	Construction	26,000,000	26,000,000	26,000,000			19,110,381	6,889,619	0	6,275,903	613,716
Tony & Libba Rane Culinary Science Center - New Building 16-370	Construction Contract	95,400,000	110,000,000	67,600,000	42,400,000		31,416,055	32,000,000	46,583,945	62,043,743	16,540,202
Chilled Water System Expansion - New CW Plant At South Campus 18-444	Construction	24,000,000	24,000,000		24,000,000		2,896,577	17,503,423	3,600,000	15,423,869	5,679,554
Football Performance Center 19-441	Construction Contract	91,900,000	91,900,000		91,900,000		11,537,036	33,700,000	46,662,964	66,509,659	13,853,305
<b>Total Construction</b>			356,900,000	115,600,000	240,371,760	928,240	120,225,609	110,671,464	126,002,927	178,452,289	58,222,102



## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A) Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>	<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B) Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2021</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2022 &amp; Forward</i>	<i>(C) Current Encumbrances Against Project</i>	<i>(A)-(B)-(C) Current Open Balance (Budget less Actuals and Encumbrances)</i>
<b>DESIGN PHASE</b>											
Goodwin Hall - New Professional Recording Studio 18-459	Design	1,300,000	1,300,000	1,300,000			230,801	500,000	569,199	65,775	1,003,424
Lowder Hall - Suites 011, 012 & 013, New Finance Lab Renovations 19-172	Design	293,000	293,000	88,000			69,538	223,462	0	20,595	202,867
STEM & Agricultural Sciences Complex - New Facility 20-378	Programming	8,287,410	8,287,410		8,287,410		138,523	3,000,000	5,148,887	243,375	7,905,512
Quad Residence Halls - Comprehensive Renovations 19-557	Design & Development	12,300,000	12,300,000	12,300,000			295,714	1,000,000	11,004,286	509,372	11,494,914
University Student Housing Phase I 19-442	Design & Development	2,866,298	2,866,298	2,866,298			609,571	2,256,727	0	1,336,680	920,047
Hood-McPherson Building (Bham) - Comprehensive Renovation 20-554	Design	291,125	291,125	291,125			3,649	287,476		261,425	26,051
<b>Total Design</b>			25,337,833	16,845,423	8,287,410	0	1,347,796	7,267,665	16,722,372	2,437,222	21,552,815

## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>		<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i>		<i>Estimated Spending Assuming Remainder of Budget for FY2022 &amp; Forward</i>	<i>(C)</i>	<i>(A)-(B)-(C)</i>	
			<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>			<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2021</i>		<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>	
<b>PLANNING PHASE</b>												
College of Education Building - New Facility 18-538	Schematic Design	4,011,524	4,011,524		4,011,524		1,268,661	2,742,863	0	2,742,863	0	
Wilson Arena - Facilities Management Training Facility Renovations 19-451	Bidding	2,800,000	2,800,000	2,000,000			124,322	124,322	2,551,356	55,915	2,619,763	
<b>Total Planning</b>			6,811,524	2,000,000	4,011,524	0	1,392,983	2,867,185	2,551,356	2,798,778	2,619,763	

## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

			(A)				(B)				(C)	(A)-(B)-(C)
<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>	<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2021</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2022 &amp; Forward</i>		<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>
Other Open Projects	Various Stages		76,258,138	73,829,092	0	2,429,046	42,729,062	5,588,179	27,940,897		16,430,793	17,098,283
<b>GRAND TOTAL</b>			756,107,495	481,074,515	252,670,694	21,357,286	446,990,952	130,662,401	178,362,752		202,498,560	106,617,983 *

\* On the lines with asterisks, the sum of the spending columns does not equal the current approved budget figure. The reason relates to three closed projects: (1) "Graduate Business Education Building" project under budget by \$72,348; (2) "AU Equestrian Center - New Equestrian Team Support Building & Barn" project under budget by \$17,177; (3) "North Auburn - New Poultry Infectious Disease Biocontainment Facility" project under budget by \$1,865.



FACILITIES MANAGEMENT  
M E M O R A N D U M

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**TO:** JAY GOGUE, President *JG*  
**THROUGH:** RONALD BURGESS, Executive Vice President *RB*  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
**PROJECT STATUS REPORT**  
**DATE:** MAY 11, 2021

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This memorandum requests the following information be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for June 11, 2021.

**Proposal:**

It is proposed that a brief report regarding the status of Board of Trustees approved capital projects be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

**Review and Consultation:**

The responsibility of the Board of Trustees extends to the oversight of funding, planning, design, construction, maintenance and operation of University buildings and facilities. Since a project can often take several years to execute, as it progresses through the planning, initiation, design, and construction phases of the project, this report is intended to continually inform the Board of Trustees of the status of previously approved projects.

## CAPITAL PROJECT STATUS

### PROJECT/PHASE

### STATUS

#### Projects in Planning Stage:

#### Initiation Approved

#### Projects in Design Stage:

#### Initiation Approved

- College of Education Building
- University Student Housing – Phase I
- Quad Residence Halls Comprehensive Renovations
- STEM+Ag Complex
- Lowder Hall New Finance Laboratory Renovations
- Hood McPherson Building Renovation
- AUM Science Laboratory Facility Renovation
- Ham Wilson Arena Renovation

Initiated November 2018  
Initiated September 2019  
Initiated July 2020  
Initiated September 2020  
Initiated November 2020  
Initiated November 2020  
Initiated February 2021  
Revise to Demolition

#### Projects with Final Approval:

#### Final Approval

- Goodwin Hall Recording Studio

Approved February 2021

#### Projects in Construction Stage:

#### Final Approval

- Academic Classroom & Laboratory Complex
- Central Dining Facility
- Tony and Libba Rane Culinary Science Center
- New Chilled Water Plant
- Football Performance Center

Approved February 2019  
Approved February 2019  
Approved February 2020  
Approved September 2020  
Approved February 2021

#### Projects Recently Completed:

#### Completion

- Poultry Research Farm Unit Relocation Phases III – VI
- Plainsman Park Player Development Improvements
- ARTF Infrastructure Expansion

Approved September 2018  
Completed January 2021  
Completed April 2021



## RESOLUTION

### PROPOSED MASTER OF SCIENCE IN FOREST BUSINESS AND INVESTMENT

WHEREAS, the School of Forestry and Wildlife Sciences currently offers graduate programs that promote the sustainable management and economic advancement of natural resources; and

WHEREAS, Alabama maintains the second-largest timberland base in the United States that serves as a significant component of our state's economy, accounting for more than \$27 billion in economic impact and employing more than 123,500 citizens; and

WHEREAS, the School of Forestry and Wildlife Sciences proposes a new graduate degree, the Master of Science in Forest Business and Investment (Non-Thesis), for professionals seeking advanced knowledge and skills necessary for strategic investment and financial decision making in the forestry industry; and

WHEREAS, the proposed degree will prepare professionals for leadership positions in lending agencies, timberland investment organizations, real estate investment trusts, forest industries, and land management companies, all of which contribute substantially to Alabama's economy; and

WHEREAS, the request to create this degree has been endorsed by the Dean of the School of Forestry and Wildlife Sciences, the Graduate Council, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Master of Science in Forest Business and Investment (Non-Thesis) be approved and submitted to the Alabama Commission on Higher Education for review and approval.



AUBURN UNIVERSITY

OFFICE OF THE PROVOST

MEMORANDUM TO: Jay Gogue  
President

THROUGH: Ronald Burgess  
Executive Vice President

FROM: Bill Hardgrave  
Provost and Senior Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees – Proposed Master of  
Science in Forest Business and Investment

DATE: May 6, 2021

I am writing to request that the following item be added to the Board of Trustees' agenda for the **June 11, 2021** meeting.

**Proposal:** The School of Forestry and Wildlife Sciences proposes a new Master of Science in Forest Business and Investment.

**Review and Consultation:** Faculty in the School of Forestry and Wildlife Sciences have proposed a new Master of Science in Forest Business and Investment (Non-Thesis) that combines advanced forestry and business knowledge, specifically strategic investing and financial decision making. As the second-largest timberland base in the United States, Alabama's forestry industry continues to serve as a significant component of our state's economy, accounting for more than \$27 billion in economic impact and employing more than 123,500 citizens.

In addition to online courses in the School of Forestry and Wildlife Sciences, students will also complete courses in the Harbert College of Business. The proposed program will benefit our state by preparing professionals for leadership positions necessary to advance economic development and conservation. Graduates will have the opportunity to work in lending agencies, timberland investment organizations, real estate investment trusts, forest industries, and land management companies. Approval of the proposed degree will enable the school to grow its graduate enrollment and expand its programmatic offerings.

**Recommendation:** It is recommended that the Board approve the proposed Master of Science in Forest Business and Investment. The proposal was reviewed and approved by Auburn University's Graduate Council in spring 2021 and the Provost's Office. If approved by the Auburn University Board of Trustees, the program will be forwarded to the Alabama Commission on Higher Education (ACHE) for review and approval.



## SCHOOL OF FORESTRY AND WILDLIFE SCIENCES

**TO:** Dr. Bill Hardgrave  
Provost & Senior Vice President for Academic Affairs

**THROUGH:** Dr. George Flowers *George T. Flowers*  
Dean, Graduate School

**THROUGH:** Dr. Janaki Alavalapati *Janaki Alavalapati*  
Dean, School of Forestry and Wildlife Sciences

**FROM:** Dr. Scott Enebak *S.E.*  
Associate Dean - Academic Affairs, School of Forestry and Wildlife Sciences

**DATE:** May 5, 2021

**SUBJECT:** Proposed Forest Business and Investment Online Master's Program

We request that the following proposal be added to the Board of Trustees' agenda for their June 11, 2021 meeting.

**Program Description:** The business of forestry includes forest land management firms and creditors, large individual and institutional forest landowners, forestry supplies, product manufacturers and distributors, logging contractors, and forestry consultants. Often, professionals have either expertise in forestry *or* business skills, but rarely both. The proposed program in Forest Business and Investment, MS is designed for those professionals with forestry knowledge. Graduates of the program will build on their forestry knowledge and gain expertise in business, finance, and investments specific to the forestry industry. This non-thesis master's program will be available online enabling non-traditional students in Alabama and beyond to acquire these specialized skills needed in the industry.

**Justification for Program:** Land and trees have been used for investment purposes for centuries. Indeed, many traditional forest industry companies have transitioned into Real Estate Investment Trusts (REITs) and Timber Investment Management Organizations (TIMOs). These companies are driven by providing maximum returns to investors and require a workforce skilled in both forestry technical skills, business techniques, and financial decision-making. To gain the necessary business acumen, many professionals seek an MBA. However, the MBA does not cover the background and understanding of the forestry-based skills that forest investment and finance companies need to function effectively in the forest investment sector. To fill this gap, in 2019 the School of Forestry and Wildlife Science (SFWS) created an online Forest Finance and Investment Certificate designed to help professionals understand financial and operational

3301 Forestry and Wildlife

Sciences Building

Auburn, AL 36849-5418

decision-making to best manage and leverage forest assets. This proposed program is an expansion of that certificate offering more in-depth knowledge bringing these skills to a higher level.

**Need for the Program in the State of Alabama:** Alabama has the second largest timberland base in the United States with nearly 23 million acres and the amount of timber volume is increasing annually. With more than a \$21 billion economic impact on the state, forestry is a major component of our economy. Over 42,000 people directly depend on this industry for their livelihoods and the sector is an expanding industry in the state. This expansion requires more professionals who have both forestry and forest business expertise. This proposed program enables professionals to acquire a niche skillset that matches forest management with business investment.

**Employment Opportunities:** Forests in Alabama are responsible for a \$6.7-billion-dollar capital investment<sup>1</sup>, a total employment of 123,500 positions<sup>2</sup>, and comprise a total economic output of 27.7 billion annually<sup>2</sup>. These forest-based employers are seeking trained workforce in business, finance, and investment that have the knowledge and skills in positions ranging from entry-, mid- to higher-level management positions in organizations focused on economic development, conservation, lending agencies, timberland investment organizations (TIMO's), real estate investment trusts (REITs), forest industries and land management companies.

**Student Demand/Enrollment Projections:** The SFWS Advisory Board is comprised of many individuals representing companies such as Westervelt, Resource Management Services (RMS) and Forest Investment Associates (FIA). The Advisory Board brought the forest business need to the School's attention. Our first response was to build the certificate in Forest Finance and Investment, which currently has 10 students enrolled. Three of these students have noted a desire to continue their training. Indeed, requests for training in this area from undergraduate students have consistently been made over the last few years. Finally, our Advisory Board members have noted their intention to send their employees to this new program if fully approved. Based on this information, we project enrolling 5 students in the first year, with an additional 10 students for each year thereafter. Maximum enrollment would be 15-20 students per academic year.

**Resource Requirements:** New resources will be required to create this new program. Specifically, \$96,008 will be needed to hire adjunct faculty to teach lower-level courses to enable our tenure-track faculty to teach courses in this program, to be paid with Mission Enhancement Funds. After the fifth year, the program will hire a full-time Professor of Practice at \$84,000/yr (salary & benefits) to coordinate, instruct and serve as the main point of contact for students enrolled in the program.

**Relationship to Other Programs:** The proposed program will build upon the new and successful certificate in Forest Finance and Investment. It will also rely on several graduate courses from the Raymond J. Harbert College of Business, strengthening our partnership with the College.

**Recommendation:** We recommend that the proposed Forest Business and Investment MS (non-thesis) online program be approved by the Offices of the Provost and the President and forwarded to the Board of Trustees and the Alabama Commission on Higher Education for approval.

<sup>1</sup>Commerce, 2019

<sup>2</sup>Jacksonville State University, Center for Economic Development & Business Research, 2018 IMPLAN

**MS in Forest Business and Investment  
Curriculum Model  
30 Hours Required**

**Core Courses: (18 – 21 hours, depending on extent of Forestry background)**

- FORY 7320 Forest Growth, Silviculture, and Management
- FORY 7400 Forest Valuation and Economics
- FORY 7510 Forest Operations and Wood Supply Chain Management
- FORY 7620 Forest Finance, Accounting and Taxation
- FORY 7350 Forest Statistics
- FORY 7300 Forest Business & Investment Seminar
- BUSI 7110 Financial Analysis

**Supporting Electives: (9 – 12 hours)**

- ACCT 6700 Advanced Business Law
- AGECE 6010 Farm Management
- BUSI 7120 Quantitative Analysis for Business Decisions
- BUSI 7130 Strategic Analysis and Competitive Environment
- BUSI 7150 Operational Excellence through Supply Chain & Quality Management
- BUSI 7230 Cost Analysis and Systems
- FINC 7620 Advanced Real Estate Finance
- FINC 7640 Advanced Investments
- ISMN 6370 Project Management
- ISMN 6390 Integrating Business Processes with ERP
- SCM 6500 Supply Chain Planning
- SCM 6600 Inventory and Warehouse Management
- SCM 6720 Quality and Process Improvement
- SCM 7600 Supply Management and Manufacturing
- SCM 7700 Demand Management and Fulfillment
- SCM 7770 Supply Chain Management
- SCM 7800 Supply Chain Strategy



AUBURN UNIVERSITY

OFFICE OF THE PROVOST

MEMORANDUM TO: Jay Gogue  
President

THROUGH: Ronald Burgess  
Executive Vice President

FROM: Bill Hardgrave  
Provost and Senior Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees – Information Items

DATE: May 6, 2021

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This memorandum requests the following be presented to the Board of Trustees Academic Affairs Committee for the **June 11, 2021** meeting.

The following academic items have been approved for implementation by the Provost's Office. While they do not require formal approval by the Board of Trustees, they are presented as information items. All have received the support of the appropriate college or school, the University Curriculum Committee, the Graduate Council, and the Provost's Office. Following the Board of Trustees meeting, these items will be forwarded to the Alabama Commission on Higher Education (ACHE) for notification, where appropriate. A summary of these items is provided in the accompanying documents.

**New Program Option:**

- *Marriage and Family Therapy Non-Thesis Option MS in Human Development and Family Science*, Department of Human Development and Family Science, College of Human Sciences

**New Graduate Certificate:**

- *Graduate Certificate in Economic Development*, Department of Political Science, College of Liberal Arts



## **Items of Information**

### **Addition of Non-Thesis Option**

#### **MS in Human Development and Family Science – Marriage and Family Therapy Non-Thesis Option**

The Department of Human Development and Family Science in the College of Human Sciences currently offers an MS in Human Development and Family Science. Within the MS program is a Marriage and Family Therapy Thesis Option, which attracts two types of students – those who will pursue clinical positions and those who will pursue research positions. The Thesis Option currently emphasizes research skills and pursuits, so the department has proposed the addition of a Marriage and Family Therapy Non-Thesis Option to better serve students entering clinical positions. The Non-Thesis Option will more closely align curricular requirements to the knowledge and skills needed in the marketplace. The Thesis Option will continue to provide emphasis on research efforts.





## **Item of Information**

### **Addition of Graduate Certificate**

#### **College of Liberal Arts**

The Department of Political Science in the College of Liberal Arts has developed the following graduate certificate to be offered beginning Fall Semester, 2021. A graduate certificate is an integrated curriculum of at least nine hours open to degree and non-degree seeking students. The certificate has been reviewed and approved by appropriate faculty and university committees and the Provost.

#### ***Graduate Certificate in Economic Development (12 hours)***

The Graduate Certificate in Economic Development provides specialization in the theories and practice of economic development, primarily within the U.S and Alabama. Students completing the certificate will be able to apply strategies for economic development, understand challenges and trends in economic development, and develop partnerships and collaborations for economic development. The certificate represents an interdisciplinary partnership between faculty and staff in the Master of Public Administration (MPA) program, the Government and Economic Development Institute (GEDI), and areas such as Agricultural Economics, Community Planning, and Rural Sociology.



**INSTITUTIONAL ADVANCEMENT COMMITTEE  
JUNE 11, 2021 ANNUAL BOARD MEETING  
AUBURN UNIVERSITY BOARD OF TRUSTEES**

1. Auburn University Endowment Portfolio Update – *For Information Only*  
(John Morris/Cheryl Casey)

Committee Chairperson: Mr. B.T. Roberts


Faculty Representative: Dr. Ana Franco-Watkins, Auburn University (ex-officio, non-voting)

*Please Note: All trustees serve on all committees, with the exception of the Executive Committee.*



AUBURN UNIVERSITY  
FOUNDATION

MEMORANDUM TO: Dr. G. Jay Gogue, President, Auburn University

FROM: John Morris, President, Auburn University Foundation 

SUBJECT: Board of Trustees Agenda Item: Auburn University Endowment Portfolio Update

DATE: May 11, 2021

**PROPOSAL:**

It is proposed that a representative of the Auburn University Foundation Board of Directors present a brief update to the Institutional Advancement Committee of the Board of Trustees at its June 11, 2021, meeting.

**BACKGROUND AND INFORMATION:**

While maintaining its fiduciary responsibility for ownership of Auburn University's endowments by establishing investment and spending policies, the Board of Trustees delegates to the Auburn University Foundation authority for the day-to-day management of the endowment portfolio. This includes development of investment strategies; tactical allocation among approved asset classes; strategies within asset classes; and investment manager selection and termination. In order to facilitate communication and reporting between the Auburn University Board of Trustees and the Auburn University Foundation Investment Committee, a member of the Board of Trustees serves on the Investment Committee.

**RATIONALE FOR RECOMMENDATION:**

The Board of Trustees periodically should receive reports from the Foundation. We recommend that a report be provided at the June 11, 2021, meeting.

c: Jon Waggoner  
Gena Isbell

**EXECUTIVE COMMITTEE**  
**JUNE 11, 2021 ANNUAL BOARD MEETING**  
**AUBURN UNIVERSITY BOARD OF TRUSTEES**

1. Proposed Awards and Namings (Charles McCrary)
2. Election of the *President Pro Tempore* (Charles McCrary)
3. Appointment of Board Members to the Trustee Selection Committee (Charles McCrary)

<u>Committee Chairperson:</u>	Mr. Charles McCrary
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<u>Committee Members:</u>	Mr. Michael DeMaioribus
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*Vice President Pro Tempore* Bob Dumas

Mr. Raymond Harbert

Mr. Jimmy Rane

*President Pro Tempore* Wayne Smith

**PROPOSED AWARDS AND NAMINGS  
JUNE 11, 2021 ANNUAL BOARD MEETING  
AUBURN UNIVERSITY BOARD OF TRUSTEES**

Time will be allotted for discussion of the list of proposed awards and namings.

**ELECTION OF THE *PRESIDENT PRO TEMPORE***  
**JUNE 11, 2021 ANNUAL BOARD MEETING**  
**AUBURN UNIVERSITY BOARD OF TRUSTEES**

Time will be allotted for any discussion and the election of the *President Pro Tempore*.

**APPOINTMENT OF BOARD MEMBERS TO THE  
TRUSTEE SELECTION COMMITTEE  
JUNE 11, 2021 ANNUAL BOARD MEETING  
AUBURN UNIVERSITY BOARD OF TRUSTEES**

Time will be allotted for discussion of the appointment of board members to the Trustee Selection Committee.

## RESOLUTION

### APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the April 16, 2021 meeting of the Board of Trustees have been distributed to all members of this Board for review; and

WHEREAS, the members have reviewed the minutes and determined that they constitute a true and correct recitation of the business of the respective meeting.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the minutes of the April 16, 2021 meeting of the Board of Trustees are hereby approved as distributed.

MINUTES OF A MEETING OF THE  
BOARD OF TRUSTEES  
OF  
AUBURN UNIVERSITY

APRIL 16, 2021



**AGENDA**  
**APRIL 16, 2021 BOARD MEETING**  
**AUBURN UNIVERSITY BOARD OF TRUSTEES**

- I. Call to Order and Opening Remarks
- II. Committee Meetings
  - A. Property and Facilities Committee | Chairperson DeMaioribus
    - 1. Ham Wilson Arena Renovation, Final Project Approval
    - 2. AUM Science Laboratory Facility Renovation, Architect Selection
    - 3. Status Updates – *For Information Only*
      - a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater
      - b. Quarterly Report for Projects Costing More than \$500,000 but Less than \$1,000,000 – 2<sup>nd</sup> Quarter, Fiscal Year 2021
      - c. Project Status Reports
  - B. Academic Affairs Committee | Chairperson Newton
    - 1. Agenda Item for the Board of Trustees – *For Information Only*
  - C. Finance Committee | Chairperson Harbert
    - 1. Tuition and Fees Discussion for Fall 2021 for Auburn University and AUM
    - 2. Proposed Housing Rates for Fall 2021 for Auburn University and AUM
    - 3. Transit Bus Financing
  - D. Executive Committee | Chairperson McCrary
    - 1. Posthumous Awarding of the Master of Science in Homeland Security and Emergency Management to Tyler Grogan
    - 2. Revisions to the Bylaws and Policies & Procedures of the Board of Trustees of Auburn University
    - 3. Proposed Awards and Namings
  - E. Trustee Reports
    - 1. Academic Affairs Committee | Chairperson Newton
    - 2. Alumni | Lead Trustee Huntley
    - 3. Governmental Affairs Committee | Chairperson Rane
    - 4. Research and Technology (Economic Development) Committee | Trustee Sahlie

**AGENDA  
APRIL 16, 2021 BOARD MEETING  
AUBURN UNIVERSITY BOARD OF TRUSTEES**

III. Regular Meeting of the Board of Trustees

IV. Proposed Executive Session

V. Reconvened Meeting of the Board of Trustees

1. Approval of the February 5, 2021 Meeting Minutes
2. Awarding of Degrees
3. AUM Chancellor's Report
4. President's Report
5. Action Items and Committee Meeting Reports
  - A. Property and Facilities Committee
    1. Ham Wilson Arena Renovation, Final Project Approval
    2. AUM Science Laboratory Facility Renovation, Architect Selection
  - B. Finance Committee
    1. Tuition and Fees Discussion for Fall 2021 for Auburn University and AUM
    2. Proposed Housing Rates for Auburn University and AUM
    3. Transit Bus Financing
  - C. Executive Committee
    1. Posthumous Awarding of the Master of Science in Homeland Security and Emergency Management to Tyler Grogan
    2. Revisions to the Bylaws and Policies & Procedures of the Board of Trustees of Auburn University
    3. Proposed Awards and Namings

VI. Recess Meeting

As *President Pro Tempore* of the Auburn University Board of Trustees, Mr. Wayne Smith convened the meeting of the Auburn University Board of Trustees on Friday, April 16, 2021 at 9:00 a.m. in the Legacy Ballroom of The Hotel at Auburn University and Dixon Conference Center.

After calling the meeting to order, *President Pro Tempore* Smith announced that on the day prior, Mr. Timothy Vines was confirmed to fill the vacant at-large seat on the Board. *President Pro Tempore* Smith reminded everyone that a vacancy on the Board was created following General Lloyd Austin's resignation from the Board after his confirmation as the United States Secretary of Defense. *President Pro Tempore* Smith stated that numerous outstanding candidates applied for the seat, and two weeks before the board meeting, the Trustee Selection Committee interviewed three candidates to fill the vacancy. *President Pro Tempore* Smith announced that the Trustee Selection Committee unanimously selected Mr. Vines for appointment. *President Pro Tempore* Smith indicated that on April 15, 2021, the Alabama Senate unanimously confirmed Mr. Vines' appointment to the Auburn University Board of Trustees. *President Pro Tempore* Smith explained that, pursuant to Alabama law and Board policies, Mr. Vines' appointment was effective immediately, and shall extend for the remainder of Secretary Lloyd Austin's term. *President Pro Tempore* Smith lauded Mr. Vines for all his support and commitment to Auburn University and welcomed him to the Board.

*President Pro Tempore* Smith then called upon Board Secretary Jon Waggoner to call the roll. The following named members of the Board of Trustees were deemed to be in attendance:

Mr. Michael A. DeMaioribus; Mr. Robert W. Dumas; Mr. Raymond J. Harbert; Ms. Elizabeth H. Huntley; Mr. D. Gaines Lanier; Mr. Charles D. McCrary; Mr. James R. Pratt, III; Mr. James W. Rane; Mr. Quentin P. Riggins; Mr. B.T. Roberts; Mr. M. Clark Sahlie; Mr. James H. Samford; Mr. Wayne T. Smith; and Mr. Timothy Vines.

Governor Kay Ivey, President of the Board; and Dr. Tara L. R. Beziat, Faculty Advisor to the Board of Trustees from the AUM campus, were absent from the meeting.

The individuals listed above represent all persons recognized as members of the Auburn University Board of Trustees at the time of the meeting.

Also sitting with the Board were the following persons: Dr. Jay Gogue, Auburn University President; Dr. Nedret Billor, Faculty Advisor to the Board of Trustees from the Auburn University campus; Ms. Ada Ruth Huntley, outgoing Auburn University Student Government Association President; Ms. Niya Kimbell, outgoing Auburn University at Montgomery Student Government Association President; and Mr. Jon G. Waggoner, Secretary to the Board of Trustees.

The following persons were also in attendance, either in person in the Legacy Ballroom or, as a precaution against the COVID-19 pandemic, watching a simulcast of the meeting across the hallway from the ballroom in the Auditorium: Gen. Ronald Burgess, Executive Vice President; Dr. Bill Hardgrave, Provost and Senior Vice President for Academic Affairs; Ms. Kelli Shomaker, Vice President for Business & Finance and Chief Financial Officer; Dr. Bobby Woodard, Senior Vice President for Student Affairs; Ms. Jaime Hammer, General Counsel; Mr. Daniel King, Associate Vice President for Facilities; Dr. Carl Stockton, Chancellor of AUM; Mr. Mark Stirling;

Director of Auburn University Real Estate; Ms. Gretchen VanValkenburg, Vice President for Alumni Affairs and Executive Director of the Auburn Alumni Association; Dr. Joffrey Gaymon, Vice President for Enrollment; Mr. Simon Yendle, University Architect; Mr. Jared White, Executive Director of Governmental Affairs; Mr. Allen Greene, Director of Athletics; Dr. Chris Roberts; Interim Vice President for Development and Interim President of the Auburn University Foundation; Mr. Jim O'Connor, Vice President for Information Technology and Chief Information Officer; and Mr. Rett Waggoner, incoming Auburn University Student Government Association President.

*President Pro Tempore* Smith then welcomed the following persons that serve Auburn University and AUM in various capacities: Dr. Donald Mulvaney, Auburn University Senate Chair and Academic Affairs Faculty Representative; Dr. Glen Ray, AUM Faculty Senate President; Dr. Shelia Mehta, AUM Committee Faculty Representative; Dr. Andrew McLelland, Finance Committee Faculty Representative; Dr. Kim Brackett, Finance Committee Faculty Representative; Dr. Ana Franco-Watkins, Institutional Advancement Committee Faculty Representative; Mr. David Hinton, Property and Facilities Committee Faculty Representative; Dr. Karley Riffe, Student Affairs Faculty Representative; Dr. Paul Fox, Student Affairs Faculty Representative; Ms. Paige Patterson, Chair of the Auburn University Administrative & Professional Assembly; Ms. Penny Houston, Chair of the Auburn University Staff Council; Mr. Rudy Tobias, President of the AUM Staff Council; Ms. Cheryl Casey, Chair of the Auburn University Foundation Board of Directors; and Ms. Regenia Sanders, President of the Auburn Alumni Association Board of Directors.

The Board then met in various committees, each discussing items which would later appear on the agenda of the reconvened meeting, as follows:

**MINUTES OF THE PROPERTY AND FACILITIES COMMITTEE OF THE  
AUBURN UNIVERSITY BOARD OF TRUSTEES  
FRIDAY, APRIL 16, 2021 AT 9:10 A.M.**

Chairperson DeMaoribus convened the meeting of the Property and Facilities Committee of the Auburn University Board of Trustees on Friday, April 16, 2021 at 9:10 a.m. in the Legacy Ballroom of The Hotel at Auburn University and Dixon Conference Center.

After calling the committee meeting to order, Chairperson DeMaoribus called upon Mr. King for discussion of the following Property and Facilities Committee agenda items:

**1. Ham Wilson Arena Renovation, Final Project Approval**

Mr. King first discussed the Ham Wilson Arena Renovation project. Mr. King explained that the project will renovate approximately 12,500 square feet of existing space to be used as a training facility for university staff. Mr. King stated that program requirements include constructing flexible training rooms, accessibility improvements, mechanical and electrical system upgrades, repair or replacement of exterior panels, replacement of the roof, and site improvements. Mr. King reported that the estimated total cost of the Ham Wilson Arena Renovation project is \$2.8 million, to be financed by Facilities Management reserves and University Repair and Renovation Funds.

Mr. King then stated that the request before the Board of Trustees is to adopt a resolution providing final approval of the Ham Wilson Arena Renovation project.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Roberts. The motion was seconded by Ms. Huntley, and the committee unanimously approved the motion by a voice vote.

**2. Auburn University at Montgomery Science Laboratory Facility Renovation, Approval of Architect Selection**

Mr. King then discussed the Auburn University at Montgomery Science Laboratory Facility Renovation project. Mr. King reminded everyone that at its meeting on February 5, 2021, the Board of Trustees adopted a resolution that approved the initiation of the project and authorized the commencement of the architect selection process. Mr. King explained that since that time, a request for qualifications was published for design services, and ten architectural firms responded to the request. Mr. King stated that the Architect Selection Committee interviewed five firms and subsequently determined that the architectural firm Williams Blackstock Architects, of Birmingham, Alabama, was best qualified to provide design services on this project.

Mr. King then stated that the request before the Board of Trustees is to adopt a resolution to approve the selection of Williams Blackstock Architects, of Birmingham, Alabama, as the project architect for the Auburn University at Montgomery Science Laboratory Renovation project.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Sanford. The motion was seconded by *Vice President Pro Tempore* Dumas, and the committee unanimously approved the motion by a voice vote.

3. **Status Updates – *For Information Only***

Mr. King then discussed the following informational reports:

a. **Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater**

Consistent with standing practice, Mr. King provided a report on the current status of new construction, renovation, and infrastructure projects with budgets greater than \$1,000,000.

b. **Quarterly Report for Projects Costing More than \$500,000 but Less than \$1,000,000 – 2<sup>nd</sup> Quarter, Fiscal Year 2021**

Consistent with standing practice, Mr. King provided a report for the second quarter of Fiscal Year 2021 for all projects with a total cost range of \$500,000 to \$1,000,000.

c. **Project Status Report**

Mr. King provided a report on the status of Board of Trustees approved capital projects.

Chairperson DeMaioribus thanked Mr. King for his reports, and with there being no further items, recessed the committee meeting at 9:25 a.m.

**MINUTES OF THE ACADEMIC AFFAIRS COMMITTEE OF THE  
AUBURN UNIVERSITY BOARD OF TRUSTEES  
FRIDAY, APRIL 16, 2021 AT 9:25 A.M.**

Chairperson Newton convened the meeting of the Academic Affairs Committee of the Auburn University Board of Trustees on Friday, April 16, 2021 at 9:25 a.m. in the Legacy Ballroom of The Hotel at Auburn University and Dixon Conference Center.

After calling the committee meeting to order, Chairperson Newton called upon Dr. Hardgrave for discussion of the following Academic Affairs Committee agenda item:

**1. Agenda Item for the Board of Trustees – *For Information Only***

Dr. Hardgrave presented an informational report to the Board on the following topics:

Temporary Pause in Admissions in the Master of Science in Architecture, College of Architecture, Design & Construction

Dr. Hardgrave reported that the College of Architecture, Design & Construction proposed a temporary pause in admissions into the MS in Architecture degree program beginning Summer 2021. Dr. Hardgrave explained that the request was due to a pandemic-induced lack of projects at the Rural Studio. Dr. Hardgrave mentioned that during the admissions pause, the Rural Studio will continue to provide opportunities for undergraduate students, and the college will notify SACSCOC once admission to the graduate program resumes.

Renaming of the Department of Foreign Languages and Literatures as the Department of World Languages, Literatures, and Cultures, College of Liberal Arts

Dr. Hardgrave reported that the College of Liberal Arts proposed a renaming of the Department of Foreign Languages and Literatures as the Department of World Languages, Literatures, and Cultures. Dr. Hargrave explained that the change in nomenclature reflects the profession's movement away from aggregating the world's languages into domestic and foreign. Dr. Hardgrave further explained that the change was also a priority recommendation of the external review team that conducted the department's most recent academic program review.

Renaming of the Department of Theatre as the Department of Theatre and Dance, College of Liberal Arts

Dr. Hardgrave reported that the College of Liberal Arts proposed a renaming of the Department of Theatre as the Department of Theatre and Dance. Dr. Hardgrave explained that the change in nomenclature better reflects the curricular offerings provided by the department and increased assistance for those students frequently seeking dance courses. Dr. Hargrave further explained that the change will also enable the department to better recruit students.

Before concluding his report, Dr. Hardgrave introduced Dr. Jeffery Fairbrother as the new dean of Auburn University's College of Education. Dr. Hardgrave explained that Dr. Fairbrother became dean on October 1, 2020. Dr. Hardgrave shared that Dr. Fairbrother has done an outstanding job so far, and then welcomed him to give remarks to the Board.

Dr. Fairbrother thanked Dr. Hardgrave and the Board for his support during the first year of his service as dean. Dr. Fairbrother stated that the past year has been incredible, and he is looking forward helping move the University further.

Dr. Hardgrave thanked Dr. Fairbrother and concluded his report.

Chairperson Newton thanked Dr. Hardgrave for his report, and with there being no further items, recessed the committee meeting at 9:26 a.m.



**MINUTES OF THE FINANCE COMMITTEE OF THE  
AUBURN UNIVERSITY BOARD OF TRUSTEES  
FRIDAY, APRIL 16, 2021 AT 9:26 A.M.**

Chairperson Harbert convened the meeting of the Finance Committee of the Auburn University Board of Trustees on Friday, April 16, 2021 at 9:26 a.m. in the Legacy Ballroom of The Hotel at Auburn University and Dixon Conference Center.

After calling the committee meeting to order, Chairperson Harbert called upon Ms. Shomaker for discussion of the following Finance Committee agenda items:

**1. Tuition and Fees Discussion for Fall 2021 for Auburn University and Auburn University at Montgomery**

Ms. Shomaker first discussed the current tuition and fees rates for Auburn University and AUM. Then, Ms. Shomaker discussed the proposed tuition and fees rates for Auburn University and AUM for the Fall 2021 semester.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Ms. Huntley. The motion was seconded by Mr. Sahlie, and the committee unanimously approved the motion by a voice vote.

Following the vote, *President Pro Tempore* Smith commended the administration for the zero-percent increase in tuition, stating that the feat is not only impressive, but much needed amid the current financial situation caused by the pandemic.

**2. Proposed Housing Rates for Fall 2021 Auburn University and Auburn University at Montgomery**

Ms. Shomaker first discussed the current housing and dining rates for Auburn University and AUM. Then, Ms. Shomaker discussed the proposed housing and dining rates for Auburn University and AUM for the Fall 2021 semester.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Riggins. The motion was seconded by *Vice President Pro Tempore* Dumas, and the committee unanimously approved the motion by a voice vote.

**3. Transit Bus Financing**

Ms. Shomaker discussed financing options for a new fleet of transit buses that are being purchased as part of the campus transit operation.

A motion to report the item favorably to the full Board during the reconvened meeting was received from *Vice President Pro Tempore* Dumas. The motion was seconded by Mr. DeMaioribus, and the committee approved the motion by a voice vote. Mr. McCrary and Mr. Vines abstained from the vote.

Chairperson Harbert thanked Ms. Shomaker for her reports, and with there being no further items, recessed the committee meeting at 9:35 a.m.

**MINUTES OF THE EXECUTIVE COMMITTEE OF THE  
AUBURN UNIVERSITY BOARD OF TRUSTEES  
FRIDAY, APRIL 16, 2021 AT 9:35 A.M.**

Chairperson McCrary convened the meeting of the Executive Committee of the Auburn University Board of Trustees on Friday, April 16, 2021 at 9:35 a.m. in the Legacy Ballroom of The Hotel at Auburn University and Conference Center.

After calling the committee meeting to order, Chairperson McCrary called upon Dr. Stockton for discussion of the Executive Committee's first agenda item.

**1. Posthumous Awarding of the Master of Science in Homeland Security and Emergency Management to Tyler Grogan**

Dr. Stockton reported that Auburn University at Montgomery requested to award posthumously the Master of Science in Homeland Security and Emergency Management to Mr. Tyler Grogan. Dr. Stockton explained that Mr. Grogan passed away on January 17, 2021. Dr. Stockton stated that Mr. Grogan, an AUM student in good academic standing, had completed all but two classes necessary to satisfy graduation requirements. Dr. Stockton reported that Mr. Grogan was scheduled and expected to graduate in May 2021.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Rane. The motion was seconded by Mr. Harbert, and the committee unanimously approved the motion by a voice vote.

Chairperson McCrary then discussed the remaining Executive Committee agenda items.

**2. Revisions to the Bylaws and Policies & Procedures of the Board of Trustees of Auburn University**

Chairperson McCrary reported that since the Board first adopted its bylaws in 1983, it has become common practice for the Board to periodically review and update its bylaws as well as the university's policies and procedures. Chairperson McCrary explained that during the past year, *President Pro Tempore* Smith assembled the Bylaws and Policies Revisions Working Group, tasking them with a comprehensive review of the Board's bylaws and policies. Chairperson McCrary further explained that since that time, revisions have been proposed and reviewed by members of the working group, Board Secretary Waggoner, General Counsel Hammer, outside counsel, and numerous university stakeholders. Chairperson McCrary stated that the revised versions of the documents included in the materials clarifies roles and improves language in a way that is helpful to moving the University forward.

A motion to report the item favorably to the full Board during the reconvened meeting was received from *Vice President Pro Tempore* Dumas. The motion was seconded by *President Pro Tempore* Smith, and the committee unanimously approved the motion by a voice vote.

**3. Proposed Awards and Namings**

Chairperson McCrary indicated that the final item before the Executive Committee was a list of sixteen proposed awards and namings.

A motion to report the item favorably to the full Board during the reconvened meeting was received from *Vice President Pro Tempore* Dumas. The motion was seconded by Mr. DeMaioribus, and the committee unanimously approved the motion by a voice vote.

With there being no further items, Chairperson McCrary recessed the committee meeting at 9:40 a.m.

*President Pro Tempore* Smith then moved to reports from various committee chairpersons and lead trustees as follows:

### **Academic Affairs Committee**

Chairperson Newton began her report by stating that enrollment in the Honors College remains strong. She explained that last fall, the University welcomed 693 new students with an average ACT score of 32 and an average high school grade point average of 4.3.

Chairperson Newton then reported that with close to 1,400 applications for the upcoming fall semester, the University is confident that it will welcome an impressive class of high-achieving students who will participate in rigorous coursework, innovative research, community service engagement, and co-curricular programs.

Chairperson Newton then reported that Auburn University students have already received the following prestigious awards and scholarships during the Spring 2021 semester:

- a Goldwater Recipient;
- two NSF Graduate Research Fellowship Recipients; and
- a U.S. Critical Language Scholarship Recipient, which is a highly competitive program designed to increase mastery among foreign languages critical to America's nation security and economic prosperity.

Chairperson Newton then reported that three Auburn University students were recommended as semi-finalists for Fulbright awards for the Spring 2021 semester. She explained that the finalists will be announced by the end of the month.

Chairperson Newton concluded her report by sharing that eight outstanding Auburn students are currently participating in The Alabama Innovative Commission's partnership with the Hoover Institution, which is a public policy think tank affiliated with Stanford University. She explained that these eight students are engaging directly with Hoover Fellows to advance the state's innovation ecosystem by researching and operationalizing various initiatives on technology innovation, education, and economic development.

### **Alumni**

Lead Trustee Huntley began by discussing the "18:56 Series", a virtual series which provided engagement with Auburn alumni and friends. She explained that the series, titled "18:56", is a nod to Auburn University's founding year. She further explained that the series allows guests speakers to discuss various topics for 18 minutes and 56 seconds. She shared that the next broadcast would take place on April 22, 2021 at 11:30 a.m. on the Auburn Alumni Association's Facebook page and will feature WEGL 91.1 which is celebrating its 50<sup>th</sup> anniversary this year.

Lead Trustee Huntley then reported on the “Golden Eagles Reunion”. She shared that, in an effort to keep everyone safe this year, a virtual stroll down memory lane will be provided for the classes of 1970 and 1971 in lieu of an in-person ceremony.

Lead Trustee Huntley then reported on “AMBUSH”, the Alumni Association’s annual engagement tour featuring members of the Athletics staff. Lead Trustee Huntley shared that Athletic Director Allen Greene, Coach Bryan Harsin, and Coach Bruce Pearl, will hold this year’s in-person tour. Lead Trustee Huntley then shared that the tour would take place throughout the month of May in Birmingham, Nashville, Pensacola, and finally in Auburn.

Lead Trustee Huntley concluded her report by sharing that the Lifetime Achievement Awards will be held on June 12, 2021 at The Hotel at Auburn University and Dixon Conference Center. Lead Trustee Huntley stated that this year marks the 20<sup>th</sup> anniversary of these prestigious awards.

### **Governmental Affairs Committee**

Chairperson Rane began his report by solemnly announcing the loss of a “dear friend and respected partner”, Mr. Lamar P. Higgins. Chairperson Rane stated that Mr. Higgins passed away on Thursday, April 15, 2021.

Chairperson Rane stated that Mr. Higgins achieved a great deal in his 61 years. Chairperson Rane shared that Mr. Higgins, a native of Marbury, Alabama, attended Troy University as an academic scholar, and served as SGA President his junior year, and was re-elected as SGA President his senior year. Chairperson Rane referred to Mr. Higgins as, “a dedicated Trojan”, citing his twenty-five years of service on Troy University’s Board of Trustees. Chairperson Rane stated that Mr. Higgins was, “instrumental in many of Troy University’s initiatives, most notably the establishment of the Rosa Parks Museum and the John Lewis Hall.”

Chairperson Rane also recounted Mr. Higgins’ many years of public service, first joining the Fob James Gubernatorial Administration as an intern in 1979. Chairperson Rane explained that, after a short period, Mr. Higgins showed a “can do” attitude, which ultimately resulted in a permanent position in the governor’s administration. Chairperson Rane reported that Mr. Higgins also served north Alabama in the Huntsville area as a member of the Space and Rocket staff and later as the special assistant to the mayor of Huntsville. Chairperson Rane then recounted fond memories of Mr. Higgins, referring to him as, “the dean of the African American lobbyists” in the Alabama Statehouse. Chairperson Rane said that Mr. Higgins was “an integral part of Alabama politics” and his legacy will be remembered for generations.

Chairperson Rane then moved that the Auburn University Board of Trustees adopt a resolution commending the life and legacy of Mr. Lamar P. Higgins. The motion was seconded by *President Pro Tempore* Smith, and the motion was unanimously approved. Chairperson Rane asked that a framed copy of the resolution be presented to the Higgins family following its passage and sign by Governor Ivey and President Gogue.

**Research and Technology (Economic Development) Committee**

Mr. Sahlie began his report by sharing that nearly 350 students from Auburn University and AUM presented their research and creative scholarship in an array of disciplines during the Auburn Research: Student Symposium which was held virtually from March 29, 2021 through April 2, 2021.

Mr. Sahlie then reported that the final round in the annual LAUNCH competition was held on March 24, 2021, with five faculty teams competing for a share of \$100,000 in total funding to help commercialize their innovations. Mr. Sahlie stated that the following projects were funded:

- A breath test to diagnose heartworm disease in dogs (Dr. Lindsay Starkey and Dr. Byron Blagburn, Department of Pathobiology, College of Veterinary Medicine); and
- Novel bacterial strains to enhance survival of crops challenged by drought and/or saltwater intrusion (Dr. Kathy Lawrence and Mr. John McInroy, Department of Entomology & Plant Pathology, College of Agriculture).

Mr. Sahlie concluded his report by sharing that Dr. Valentina Hartarska of the Department of Economics and Rural Sociology and a team of agricultural economists from Auburn University and North Carolina State University received a \$500,000 award from the United States Department of Agriculture – National Institute of Food and Agriculture to develop an analytical framework to help begin small- and medium-sized farm owners in the Southeast improve efficiency and productivity. Mr. Sahlie stated that the project will run for three years and will also identify constraints and opportunities specific to female beginning farmers in the region, among other objectives.

*President Pro Tempore* Smith thanked the committee chairpersons and lead trustees for their reports, and then indicated that the committee meetings and trustee reports were complete.

**MINUTES OF A REGULAR MEETING OF THE  
AUBURN UNIVERSITY BOARD OF TRUSTEES  
FRIDAY, APRIL 16, 2021 AT 9:45 A.M.**

*President Pro Tempore* Smith convened the regular meeting of the Board of Trustees on Friday, April 16, 2021 at 9:45 a.m. in the Legacy Ballroom of The Hotel at Auburn University and Dixon Conference Center.

After convening the regular meeting, *President Pro Tempore* announced that this meeting was the last for Ms. Ada Ruth Huntley, the outgoing Auburn University SGA President and Ms. Niya Kimbell, the outgoing AUM SGA President. *President Pro Tempore* Smith then invited the two outgoing SGA presidents to give final remarks to the Board, beginning with Ms. Kimbell.

Ms. Kimbell began her final remarks by sharing what a privilege and honor it was to serve the AUM student body over the past year. Ms. Kimbell shared that, despite the past year being less traditional than past years, the opportunity to serve as SGA President was still special and rewarding. Ms. Kimbell then welcomed Mr. Keenan Carter as the incoming AUM SGA President. Ms. Kimbell shared that she plans to graduate at the end of the semester and that she plans to become a teacher following graduation.

*President Pro Tempore* Smith congratulated Ms. Kimbell on an outstanding year of service. *President Pro Tempore* Smith thanked Ms. Kimbell for all of her efforts and wished her the best of luck in her future endeavors.

*President Pro Tempore* Smith then called upon Ms. Ada Ruth Huntley for her remarks.

Ms. Ada Ruth Huntley began her remarks by stating how special it was to finally join the Board at an in-person meeting. Ms. Huntley thanked *President Pro Tempore* Smith for allowing her to reserve her final remarks so that she would be able to deliver them in person. Ms. Huntley shared that, at no surprise, the past twelve months presented unprecedented and unbelievable challenges, but that she was extremely proud of the Auburn student body for persevering and having a positive attitude during such trying and difficult times. She recounted countless initiatives that she and her team accomplished despite the pandemic and indicated that the experience to serve Auburn is one that she will cherish for the rest of her life. Ms. Ada Ruth Huntley then welcomed Mr. Rett Waggoner, the incoming Auburn University SGA President. Ms. Ada Ruth Huntley stated that she was looking forward to seeing all that Rett and his team will accomplish over the next year.

*President Pro Tempore* Smith congratulated Ms. Ada Ruth Huntley on an exemplary job and shared that the Board was beyond proud of her service and leadership. *President Pro Tempore* Smith stated that he, along with the rest of the Board, is proud of her and is looking forward to her future success. *President Pro Tempore* Smith then welcomed Mr. Rett Waggoner, stating that he was looking forward to working with him during the next year.

Following the SGA presidents' remarks, *President Pro Tempore* Smith asked General Counsel Hammer if there was any pending litigation that needed to be discussed in an executive session. General Counsel Hammer indicated that there was pending litigation that needed to be discussed in an executive session.

*President Pro Tempore* Smith then asked for a motion for the Board to enter an executive session. A motion was received from Mr. Rane. The motion was seconded by Ms. Huntley, and the Board unanimously approved the motion by a voice vote. *President Pro Tempore* Smith recessed the regular meeting of the Board of Trustees at 9:55 a.m., indicating that the Board planned to reconvene around 11:00 a.m.



**MINUTES OF A RECONVENED MEETING OF THE  
AUBURN UNIVERSITY BOARD OF TRUSTEES  
FRIDAY, APRIL 16, 2021 AT 11:00 A.M.**

*President Pro Tempore* Smith reconvened the meeting of the Board of Trustees on Friday, April 16, 2021 at 11:00 a.m. in the Legacy Ballroom of The Hotel at Auburn University and Dixon Conference Center.

After reconvening the meeting, *President Pro Tempore* Smith asked for a motion to adopt the minutes of the February 5, 2021 board meeting. A motion was received from Mr. Rane. The motion was seconded by Ms. Huntley, and the resolution was approved by a voice vote.

The following resolution was approved:

**RESOLUTION**

**APPROVAL OF MINUTES**

WHEREAS, copies of the minutes of the February 5, 2021 meeting of the Board of Trustees via Zoom video conference call have been distributed to all members of this Board for review; and

WHEREAS, the members have reviewed the minutes and determined that they constitute a true and correct recitation of the business of the respective meeting.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the minutes of the February 5, 2021 meeting of the Board of Trustees via Zoom video conference call are hereby approved as distributed.

Following the approval of the minutes of the February 5, 2021 meeting, *President Pro Tempore* asked for a motion to approve the resolution regarding the awarding of degrees for the Spring 2021 semester. A motion was received from Mr. Rane. The motion was seconded by Ms. Huntley, and the resolution was approved by a voice vote.

The following resolution was approved:

RESOLUTION

AWARDING OF DEGREES

WHEREAS, Auburn University confers appropriate degrees upon those individuals who have completed requirements previously approved by this Board of Trustees and stated in University Catalogs.

NOW, THEREFORE, BE IT RESOLVED as follows:

1. That all degrees to be awarded by the faculty of Auburn University and Auburn University at Montgomery at the end of Spring Semester 2021, complying with the requirements heretofore established by the Board of Trustees, be and the same are hereby approved.
2. That a list of the degrees awarded be filed and maintained in the records of the University and hereby made an official part of this resolution and of these minutes.

Following the approval of the awarding of degrees for the Spring 2021 semester, *President Pro Tempore* Smith called upon Dr. Stockton for the AUM Chancellor's Report.

### **AUM Chancellor's Report**

Dr. Stockton began his report by sharing an update on the COVID-19 pandemic and its effects on the AUM campus. Dr. Stockton indicated that AUM has done quite well considering the obstacles created by the pandemic. Dr. Stockton stated that over the past several weeks, AUM has conducted sentinel testing for nearly 900 faculty, staff members, and students. Dr. Stockton reported that each of the tests returned with a negative test result for the virus. Dr. Stockton thanked the AUM personnel who assisted with this project and indicated that AUM plans to continue to adhere to CDC, state, local, and university guidelines.

Dr. Stockton then reported that AUM has provided more than 6,200 doses of the Pfizer vaccine to employees, students, and community members through clinics offered beginning in late-February. Dr. Stockton thanked the AUM volunteers and the College of Nursing and Health Sciences for working 12-14 hour shifts at the many clinics.

Dr. Stockton then reported that the Doctorate in Nursing Practice (DNP), which began in 2019, will graduate its first three students at the Spring 2021 commencement ceremony.

Dr. Stockton then reported that, according to *Healthcare Degree Search*, AUM's Bachelor of Clinical and Medical Laboratory Sciences ranked first in Alabama for the "Best Value Schools". Dr. Stockton shared that students in this program have maintained a 96% passage rate of the national exam.

Dr. Stockton then reported that City of Talladega Mayor Timothy Ragland will serve as AUM's commencement speaker for a pair of Spring 2021 ceremonies.

Dr. Stockton then reported Brett Davis, professor of kinesiology, recently earned a \$948,000 grant from the Center for Medicaid & Medicare Services in support of a statewide project aimed at serving Alabama nursing home residences. Dr. Stockton stated that Mr. Davis will lead the implementation of "Bingocize," which merges bingo with range motion exercises, in 40 nursing homes over a three-year period. Dr. Stockton explained that in addition to serving 1,800 residents of certified nursing facilities, the program will create experiential learning opportunities for students from AUM and other partner institutions.

Dr. Stockton concluded his report by sharing that AUM, in only its second year of NCAA Division II competition, has numerous teams nationally ranked. Dr. Stockton shared that each team has maintained above a 3.0 team grade point average.

Dr. Stockton then thanked *President Pro Tempore* Smith and concluded his report.

*President Pro Tempore* Smith thanked Dr. Stockton for his report and then called upon Dr. Gogue for the Auburn University President's Report.

### **President's Report**

Dr. Gogue began his report by stating how nice it was to be meeting in person for the first time in over a year. Dr. Gogue praised the administration, faculty, staff, students, and the entire Auburn Family for their support during the past difficult year. Dr. Gogue remarked that the University is beginning to see signs of returning to normal conditions, but that support from the entire community will be required to make that a reality.

Dr. Gogue then introduced two new Auburn employees, Mr. John Morris, Senior Vice President for Advancement and Dr. Mike Phillips, Director of the Alabama Cooperative Extension System.

First, Dr. Gogue welcomed Mr. John Morris. Dr. Gogue stated that before working at Auburn, Mr. Morris served the Kansas State University Foundation as Senior Vice President for Development. Dr. Gogue explained that Mr. Morris' new position, Senior Vice President for Development, will oversee Alumni, Advancement, and Communications & Marketing. Dr. Gogue stated that he was looking forward to seeing the work Mr. Morris and his team will accomplish and then welcomed Mr. Morris to give remarks to the Board.

Mr. Morris began by stating that he was honored to begin serving in his new role at Auburn. He shared that, from the moment he and his family arrived, he has been greeted with kindness and generosity by members of the Auburn Family. He indicated that he is excited and optimistic for the future of the university and looks forward to working with the Board and administrators.

Dr. Gogue then welcomed Dr. Mike Phillips. Dr. Gogue explained that following Dr. Gary Lemme's retirement as Director of the Alabama Cooperative Extension System, a search was conducted to fill the vacant role. Dr. Gogue stated that he was pleased to announce Dr. Phillips as the new ACES Director. Dr. Gogue explained the importance of the ACES and the impact it has on Alabama. Dr. Gogue then welcomed Dr. Phillips to give remarks to the Board.

Dr. Phillips began by stating that he has big shoes to fill following Dr. Lemme's retirement. Dr. Phillips stated that he looks forward to serving the Auburn Family and Alabamians alike in his new role. Dr. Phillips thanked Dr. Gogue and the Board for their confidence and support as he begins this transition.

Dr. Gogue thanked Mr. Morris and Dr. Phillips and concluded his report.

*President Pro Tempore* Smith thanked Dr. Gogue for his report and then moved to the action items and committee reports as follows:

**Property and Facilities Committee**

Chairperson DeMaioribus indicated that the Property and Facilities Committee met earlier and discussed two action items and one item of information. Chairperson DeMaioribus moved for approval of a consent agenda. The motion was seconded by Mr. Sanford, and the resolutions were approved by a voice vote.

The following resolutions were approved in the consent agenda:

RESOLUTION

HAM WILSON ARENA RENOVATION

FINAL PROJECT APPROVAL

WHEREAS, at its previous meeting on February 7, 2020, the Board of Trustees adopted a resolution that approved the initiation and architect selection for the Ham Wilson Arena Renovation project; and

WHEREAS, the proposed project will renovate approximately 12,500 square feet of existing space in Ham Wilson Arena to provide flexible training and demonstration spaces to serve the university's professional and skilled trades staff; and

WHEREAS, the program requirements include constructing training rooms, accessibility improvements, mechanical and electrical system upgrades, repair or replacement of exterior metal panels, replacement of the roof, and site improvements; and

WHEREAS, the estimated total cost of the Ham Wilson Arena Renovation project is \$2.8 million, to be financed by Facilities Management reserves and University Repair and Renovation funds; and

WHEREAS, pursuant to the Board of Trustees policy "D-3, Capital Projects Approval," the final approval of the project must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Ham Wilson Arena Renovation project is approved and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Establish a budget for the Ham Wilson Arena Renovation project in the amount of \$2.8 million, to be financed by Facilities Management reserves and University Repair and Renovations Funds; and
2. Direct the consultants to complete the required plans for the project; and
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget approved above.



RESOLUTION

AUBURN UNIVERSITY AT MONTGOMERY LABORATORY RENOVATION

APPROVAL OF PROJECT ARCHITECT

WHEREAS, at its meeting of February 5, 2021, the Board of Trustees adopted a resolution that approved the initiation of the Auburn University at Montgomery Science Laboratory Facility Renovation project and authorized the commencement of the architect selection process; and

WHEREAS, since that time, a Request for Qualifications was published for design services; and Ten (10) architectural firms responded; and

WHEREAS, the University Architect, after conducting interviews with Five (5) candidate firms, determined the architectural firm Williams Blackstock Architects, of Birmingham, AL, was best qualified to provide design services on this project; and

WHEREAS, pursuant to the Board of Trustees policy "D-3, Capital Projects Approval," the selection of the project architect must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Engage Williams Blackstock Architects, of Birmingham, AL as project architect to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

**Finance Committee**

Chairperson Harbert indicated that the Finance Committee met earlier and discussed three action items. Chairperson Harbert moved for approval of a consent agenda. The motion was seconded by Mr. Sahlie, and the resolutions were approved by a voice vote.

The following resolutions were approved:

RESOLUTION

PROPOSED TUITION AND FEES  
FOR AUBURN UNIVERSITY AND AUBURN UNIVERSITY AT MONTGOMERY

WHEREAS, student tuition and fees are the primary sources of unrestricted revenue for support of University programs and operations; and

WHEREAS, state appropriations are generous but alone are not sufficient to maintain the standards of quality instruction and other activities long associated with Auburn University; and

WHEREAS, one of the University's institutional goals is to maintain rates consistent with regional averages for peer institutions.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such person as may be serving as President, be and the same is hereby authorized, with the 2021 Fall Semester, to establish tuition and fee rates for Auburn University as shown in Exhibit 1 and for Auburn University at Montgomery as shown in Exhibit 2.

**EXHIBIT 1**

**AUBURN UNIVERSITY STUDENT SERVICES FEE  
PROPOSED FALL 2021**

[Attached]

# EXHIBIT 1

## AUBURN UNIVERSITY STUDENT SERVICES FEE PROPOSED FALL 2021

### Student Services Fee

	20-21	21-22	Change
Special Building Fund	\$ 17.00	\$ 17.00	\$ -
Student Activity	\$ 54.00	\$ 54.00	\$ -
Mental Health	\$ 36.00	\$ 41.00	\$ 5.00
Student Swim Complex	\$ 14.00	\$ 14.00	\$ -
Student Center Bond	\$ 85.00	\$ 85.00	\$ -
Student Center Operation	\$ 9.00	\$ 9.00	\$ -
Transit Fee	\$ 166.00	\$ 176.00	\$ 10.00
Athletics Fee	\$ 101.00	\$ 101.00	\$ -
Proration Fee	\$ 158.00	\$ 158.00	\$ -
Campus Rec & Wellness	\$ 218.00	\$ 218.00	\$ -
Total	<u>\$ 858.00</u>	<u>\$ 873.00</u>	<u>\$ 15.00</u>

### Student Services Fee - GRA/GTA

	20-21	21-22	Change
Special Building Fund	\$ 17.00	\$ 17.00 <sup>1</sup>	\$ -
Student Activity	\$ 54.00	\$ 54.00 <sup>1</sup>	\$ -
Mental Health	\$ 36.00	\$ 41.00	\$ 5.00
Student Swim Complex	\$ 14.00	\$ 14.00 <sup>1</sup>	\$ -
Student Center Bond	\$ 85.00	\$ 85.00	\$ -
Student Center Operation	\$ 9.00	\$ 9.00 <sup>1</sup>	\$ -
Transit Fee	\$ 166.00	\$ 176.00	\$ 10.00
Athletics Fee	\$ 101.00	\$ 101.00 <sup>1</sup>	\$ -
Campus Rec & Wellness	\$ 218.00	\$ 218.00 <sup>1</sup>	\$ -
Total	<u>\$ 700.00</u>	<u>\$ 715.00</u>	<u>\$ 15.00</u>

**EXHIBIT 2**

**AUBURN UNIVERSITY AT MONTGOMERY TUITION STRUCTURE  
PROPOSED FALL 2021**

[Attached]

## EXHIBIT 2

### AUBURN UNIVERSITY AT MONTGOMERY TUITION STRUCTURE PROPOSED FALL 2021

Tuition and Student Services Fee	Fall 2020		Fall 2020		Fall 2021 <i>PROPOSED</i>		Fall 2021 <i>PROPOSED</i>	
	RESIDENT		NON-RESIDENT		RESIDENT		NON-RESIDENT	
Credit Hour Tuition - Undergraduate	\$	333.00	\$	748.00	\$	333.00	\$	748.00
Credit Hour Tuition - Graduate	\$	421.00	\$	947.00	\$	421.00	\$	947.00
Administrative Service Fee	\$	35.00	\$	35.00	\$	35.00	\$	35.00
Student Activity Fee	\$	170.00	\$	170.00	\$	170.00	\$	170.00
Student Health Fee	\$	25.00	\$	25.00	\$	25.00	\$	25.00
Technology Fee **	\$	10.00	\$	10.00	\$	10.00	\$	10.00
First-Time Student Orientation Fee	\$	125.00	\$	125.00	\$	125.00	\$	125.00
Athletic *	\$	7.00	\$	7.00	\$	7.00	\$	7.00

#### Non-Credit/Specialty Fees/Professional Fees

Biology Fees **	\$	8.00	\$	8.00	\$	8.00	\$	8.00
Clinical Laboratory Fees **	\$	8.00	\$	8.00	\$	8.00	\$	8.00
Fine Arts Fees **	\$	8.00	\$	8.00	\$	8.00	\$	8.00
Geographic Information Systems Fees **	\$	8.00	\$	8.00	\$	8.00	\$	8.00
Physical Science/Chemistry Fees **	\$	8.00	\$	8.00	\$	8.00	\$	8.00
Speech & Hearing Fees **	\$	8.00	\$	8.00	\$	8.00	\$	8.00
Nursing Clinical Fees (per clinical hour)	\$	200.00	\$	200.00	\$	200.00	\$	200.00
Hybrid Course Fee **	\$	20.00	\$	20.00	\$	20.00	\$	20.00
Online Course Fee **	\$	40.00	\$	40.00	\$	40.00	\$	40.00
University Affiliation Fee	\$	135.00	\$	135.00	\$	135.00	\$	135.00
Graduation Fee (Undergraduate)	\$	85.00	\$	85.00	\$	85.00	\$	85.00
Graduation Fee (Undergraduate)	\$	98.00	\$	98.00	\$	98.00	\$	98.00
Dept. of Communication Disorders Professional Fee	\$	200.00	\$	200.00	\$	200.00	\$	200.00
Dept. of Medical Laboratory Science Professional Fee	\$	200.00	\$	200.00	\$	200.00	\$	200.00
School of Nursing Graduate Professional Fee	\$	200.00	\$	200.00	\$	200.00	\$	200.00

\* First 12 hours undergraduate and 9 hours graduate

\*\* Fees are charged on a per credit hour basis

RESOLUTION

PROPOSED HOUSING RATES  
FOR AUBURN UNIVERSITY AND AUBURN UNIVERSITY AT MONTGOMERY

WHEREAS, residence halls, operated by Auburn University Housing (AUH) and Auburn University at Montgomery Housing (AUMH), are provided as a convenience and service to our undergraduate students; and

WHEREAS, the administration regularly reviews housing operations to assure that it is responsive to student needs and that it continues to be fiscally sound and self-supporting; and

WHEREAS, both AUH and AUMH need to increase a reserve for deferred maintenance, increase debt retirement commitments, meet rising operational costs due to inflation, build up reserves to assist with new construction; and

WHEREAS, both AUH and AUMH leadership have proposed a housing rate increase in response to those demands and these recommendations are endorsed by the President.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such person as may be serving as President, be and the same is hereby authorized, with the 2021 Fall Semester, to establish student housing rates for Auburn University as shown in Attachment A and for Auburn University at Montgomery as shown in Attachment B.



**ATTACHMENT A**

**AUBURN UNIVERSITY HOUSING  
PROPOSED SEMESTER RENTAL RATES  
ACADEMIC YEAR 2021-22**

[Attached]

# ATTACHMENT A

## Auburn University Housing Proposed Semester Rental Rates Academic Year 2021-22

Area	Room Type	2020-21 Rate	Proposed 2021-22 Rate
160 Ross	Double	\$5,000	\$5,100
	Quad	\$4,780	\$4,880
Cambridge	Single	\$4,530	\$4,620
	Double	\$3,690	\$3,760
Hill	Single	\$3,910	\$3,990
	Double	\$3,120	\$3,180
Quad	Single	\$4,530	\$4,620
	Double	\$3,690	\$3,760
South Donahue	Single	\$6,040	\$6,160
	Double	\$5,930	\$6,050
	Quad	\$5,930	\$6,050
Village	Single	\$5,000	\$5,100
	Double	\$5,000	\$5,100
	Triple	\$4,780	\$4,880
	Quad	\$4,780	\$4,880
*Summer Rate: (fall rate + spring rate)/3			
**Mini-mester Rate: (summer rate)/2			

**ATTACHMENT B**

**AUBURN UNIVERSITY AT MONTGOMERY  
PROPOSED RESIDENCE HALL RATES  
ACADEMIC YEAR 2021-2022**

[Attached]

ATTACHMENT B

AUBURN UNIVERSITY AT MONTGOMERY  
PROPOSED RESIDENCE HALL RATES  
ACADEMIC YEAR 2021-2022

Building	Room Type	2020 -2021 Rate	Proposed 2021-2022 Rate
Warhawk Hall	Single	\$ 4,405	\$ 4,493
Warhawk Hall	Double	\$ 3,955	\$ 4,034
Warhawk Hall	Triple	\$ 3,515	\$ 3,585
P-40 Place	Single	\$ 2,600	\$ 2,652
P-40 Place	Quad	\$ 2,600	\$ 2,652
The Commons	Quad	\$ 3,085	\$ 3,147
The Courtyards	Double	\$ 2,290	\$ 2,336
The Courtyards	Quad	\$ 1,880	\$ 1,917
The Courtyards	Family	\$ 4,135	\$ 4,217

**RESOLUTION AUTHORIZING THE ISSUANCE  
OF REVENUE BONDS OF AUBURN UNIVERSITY**

BE IT RESOLVED by the Board of Trustees (herein called the "Board") of AUBURN UNIVERSITY (herein called the "University") as follows:

**Section 1. Findings.** The Board has determined and hereby finds and declares that the following facts are true and correct:

(a) It is necessary, advisable, in the interest of the University and in the public interest that the University issue its revenue bonds to finance certain capital improvements for the Auburn main campus (the "Improvements"), all as more fully described in Section 3 below.

(b) The University will realize financial benefits in the form of favorable interest rates from the issuance of the bonds herein authorized and the use of the proceeds thereof to finance the acquisition of the Improvements.

**Section 2. Authorization of Bonds.** For the purpose of financing the cost of the Improvements, there are hereby authorized to be issued by the University up to \$30,000,000 principal amount of revenue bonds of the University. The said bonds shall be issued under the terms, conditions and provisions set out in the General Fee Revenue Trust Indenture dated as of June 1, 1985 between the University and The Bank of New York Mellon Trust Company, N.A., as successor trustee (herein called the "Trustee"), as heretofore supplemented, and as further supplemented by the Twenty-Fourth Supplemental General Fee Revenue Indenture (the "Supplemental General Fee Indenture") provided for in Section 6 of this resolution (the original General Fee Revenue Trust Indenture, as so supplemented being herein referred to as the "Indenture"). The bonds herein authorized (the "Bonds") may be issued in one or more series if and to the extent necessary and appropriate to distinguish between bonds the interest on which is excludable from gross income for purposes of federal income taxation and bonds the interest on which is taxable for purposes of federal income taxation. Further, if the Bonds are sold at a private sale, the Bonds may be issued as a single bond with multiple principal installments due at different times.

All the provisions of the Indenture, as applicable to the Bonds, are hereby adopted as a part of this resolution as if set out at length herein.

**Section 3. Bonds to be Issued as Additional Parity Bonds; Special Findings.** The Bonds shall be issued as additional parity bonds under Article VIII of the Indenture.

In accordance with the provisions of Section 8.2(b) of the Indenture, the Board hereby finds and declares as follows:

(a) the University is not now in default under the Indenture and no such default is imminent;

(b) If the Bonds are issued such that the interest thereon is excludable from gross income for purposes of federal income taxation they shall be designated "General Fee Revenue Bond, Series 2021-A". If it is necessary and appropriate to issue the Bonds as bonds the interest on which is taxable for purposes of federal income taxation, such bonds shall be designated "Taxable General Fee Revenue Bond, Series 2021-A." If the Bonds are issued such that the interest thereon is excludable from gross income for purposes of federal income taxation on a portion of the Bonds and is taxable on the other Bonds, the designations shall be similar to those set forth above with such changes to the series designations as are appropriate;

(c) the persons to whom the Bonds are to be delivered are set forth in Sections 7 and 9 hereof;

(d) all of the Bonds are to be issued by sale in accordance with Section 7 hereof;

(e) the sale price of the Bonds is set forth in Section 7 hereof;

(f) the only additional parity bonds that have previously been issued by the University under the General Fee Revenue Indenture and that are currently outstanding are the the General Fee Revenue Bonds, Series 2011-A (in part), issued under the Fifteenth Supplemental General Fee Revenue Indenture dated as of May 1, 2011; the General Fee Revenue Bonds, Series 2012 (in part), issued under the Sixteenth Supplemental General Fee Revenue Indenture dated as of March 1, 2012; the General Fee Revenue Refunding Bonds, Series 2014-A, issued under the Seventeenth Supplemental General Fee Revenue Indenture dated as of July 1, 2014; the General Fee Revenue Refunding Bonds, Series 2015-A, issued under the Eighteenth Supplemental General Fee Revenue Indenture dated as of March 1, 2015; the General Fee Revenue Refunding Bonds, Series 2015-B, issued under the Nineteenth Supplemental General Fee Revenue Indenture dated as of September 1, 2015; the General Fee Revenue Refunding Bonds, Series 2016-A, issued under the Twentieth Supplemental General Fee Revenue Indenture dated as of August 1, 2016; The General Fee Revenue Refunding Bonds, Series 2018-A, issued under the Twenty-First Supplemental General Fee Revenue Indenture dated as of June 1, 2018; the General Fee Revenue Refunding Bonds, Series 2020-A and Taxable General Fee Revenue Refunding Bonds, Series 2020-B, issued under the Twenty-Second Supplemental General Fee Revenue Indenture, dated as of March 1, 2020; and the Taxable General Fee Revenue Bonds, Series 2020-C, issued under the Twenty-Third Supplemental General Fee Revenue Indenture, dated as of July 1, 2020.

(g) the Capital Improvements (as such term is defined in the Indenture) to be financed with proceeds of the Bonds (referred to herein as the "Improvements") shall include the acquisition of buses to be part of the University's transit facilities, together with any and all infrastructure improvements, equipment,

furnishings and other real and personal property and facilities necessary or desirable in connection therewith, all to be located on the Auburn main campus.

The Trustee is hereby requested to authenticate and deliver the Bonds to the purchasers specified in Sections 7 and 9 hereof upon payment of the purchase price designated therein.

**Section 4. Source of Payment of the Bonds.** The principal of and the interest on the Bonds shall be payable from (i) the gross revenues from those general tuition fees levied against students at the Auburn, Alabama campus and the Montgomery, Alabama campus of the University that are more particularly described and referred to as "General Fees" in the Indenture; (ii) the gross revenues derived by the University from the operation of its housing and dining facilities located on the Auburn campus and on the Montgomery campus that are more particularly described and referred to as "Housing and Dining Revenues" in the Indenture; (iii) the gross revenues derived by the University from the operation of its athletics programs that are more particularly described and referred to as "Athletic Fee Revenues" in the Indenture; and (iv) the several student fees levied against students at the Auburn campus and the Montgomery campus that are more particularly described and referred to as the "Pledged Student Fees" in the Indenture. The said General Fees, the said Housing and Dining revenues, the said Athletic Fee Revenues, and the said Pledged Student Fees are collectively referred to herein and in the Indenture as the "Pledged Revenues."

Nothing contained in this resolution, in the Bonds, in the Indenture, or in the supplemental indenture hereinafter authorized shall be deemed to impose any obligations on the University to pay the principal of or the interest on the Bonds except from the Pledged Revenues. Neither the Bonds, nor the pledge or any agreement contained in the Indenture, in the said supplemental indenture or in this resolution shall be or constitute an obligation of any nature whatsoever of the State of Alabama, and neither the Bonds nor any obligation arising from the aforesaid pledge or agreements shall be payable out of any moneys appropriated to the University by the State of Alabama. The agreements, covenants or representations contained in this resolution, in the Bonds, in the Indenture, and in the said supplemental indenture do not and shall never constitute or give rise to any personal or pecuniary liability or charge against the general credit of the University, and in the event of a breach of any such agreement, covenant or representation, no personal or pecuniary liability or charge payable directly or indirectly from the general revenues of the University shall arise therefrom. Nothing contained in this section shall, however, relieve the University from the observance and performance of the several covenants and agreements on its part herein contained.

**Section 5. Bonds Payable at Par.** All remittances of principal of and interest on the Bonds to the holders thereof shall be made at par without any deduction for exchange or other costs, fees or expenses. The bank or banks at which the Bonds shall at any time be payable shall be considered by acceptance of their duties hereunder to have agreed that they will make or cause to be made remittances of principal of and interest on the Bonds, out of the moneys provided for that purpose, in bankable funds at par without any deduction for exchange or other cost, fees or expenses. The University will pay to such bank or banks all reasonable charges made and expenses incurred by them in making such remittances in bankable funds at par.

**Section 6. Authorization of Supplemental Indenture.** The Board does hereby authorize and direct the President of the University to execute and deliver, for and in the name and behalf of the University, to the Trustee, the Supplemental General Fee Revenue Indenture in substantially the form presented to the meeting at which this resolution is adopted and to be attached as Exhibit I to the minutes of said meeting (which form is hereby adopted in all respects as if set out in full in this resolution), with such changes, deletions and additions as may be approved by the President consistent with the terms of this resolution, and does hereby authorize and direct the Secretary of the Board to affix to the Supplemental General Fee Indenture the official seal of the University and to attest the same.

**Section 7. Sale of the Bonds.** Subject to the conditions, approvals and adjustments contained or described in Section 11 of this resolution, the Bonds shall be sold and awarded either (1) by means of a public sale to an underwriting group (herein called the "Underwriters") comprised of such firms as shall be approved by the Chair of the Finance Committee and the Vice President for Business and Finance/CFO of the University or (2) by private placement to a bank or other purchaser as shall be approved by the Chair of the Finance Committee and the Vice President for Business and Finance/CFO of the University. Any Bonds sold to the Underwriters shall be sold at and for a purchase price at least equal to 99% of the principal amount thereof, plus any original issue premium and less any original issue discount as may be approved under Section 11 hereof, plus accrued interest, if any, on such Bonds from their date to the date of delivery thereof. Any Bonds sold to the Underwriters shall be sold pursuant to the terms of a Bond Purchase Contract (the "Bond Purchase Contract") to be entered into between the Underwriters and the University in form and substance acceptable to the President and the Vice President for Business and Finance/CFO of the University. The Board does hereby authorize and direct the President and the Vice President for Business and Finance/CFO of the University, or either of them, subject to the approval of the Chair of the Finance Committee and the Vice President for Business and Finance/CFO of the University, to execute and deliver any Bond Purchase Contract, for and on behalf of the University, to the Underwriters, and the execution of any such Bond Purchase Contract by either of them shall conclusively evidence the approval of the form and substance of such Contract by both the President and the Vice President for Business and Finance/CFO. Any Bonds sold by private placement shall be sold at and for a purchase price approved by the Chair of the Finance Committee and the Vice President for Business and Finance/CFO of the University. The Board does hereby authorize and direct the President and the Vice President for Business and Finance/CFO of the University, or either of them, to execute and deliver, for and on behalf of the University, any documents or agreements necessary or appropriate in connection with any private sale of Bonds.

**Section 8. Authorization of Official Statement.** For any Bonds sold by public sale through Underwriters, the Board does hereby authorize and direct the Underwriters to distribute, for and in the name and on behalf of the University, a Preliminary Official Statement with respect to the Bonds in form and substance acceptable to the President and the Vice President for Business and Finance/CFO of the University. The Board does hereby further authorize and direct the President or the Vice President for Business and Finance/CFO of the University to execute and deliver, for and on behalf of the University, a final Official Statement for the Bonds, substantially in the form of the Preliminary Official Statement but with such changes, additions and completions as may be necessary or appropriate to reflect the final terms of the Bonds, and does hereby declare



that the Official Statement so executed by the President or the Vice President for Business and Finance/CFO of the University shall be the Official Statement of the University with respect to the Bonds.

**Section 9. Execution and Delivery of Bonds.** The Board does hereby authorize and direct the President of the University to execute the Bonds, in the name and behalf of the University, by causing his signature (or a facsimile thereof) to be imprinted thereon, and does hereby authorize and direct the Secretary of the Board to cause the official seal of the University (or a facsimile thereof) to be imprinted on the Bonds and to attest the same by causing his signature (or a facsimile thereof) to be imprinted thereon, all in the manner provided in the Indenture, and the President of the University is hereby authorized and directed to deliver the Bonds, subsequent to their execution as provided herein to the Trustee, and to direct the Trustee to authenticate the Bonds and to deliver them to the Underwriters or other purchaser(s), as appropriate, upon payment to the University of the purchase price therefor.

**Section 10. Application of Proceeds.** The entire proceeds derived by the University from the sale of the Bonds shall be paid to the Trustee under the Indenture. The Trustee is thereupon authorized and directed to apply and disburse such moneys for the purposes and in the order specified in the Supplemental General Fee Indenture.

**Section 11. Authorization to Approve Certain Matters.** The Board has determined that in view of the favorable interest rates currently available in the market for bonds such as the Bonds, it is in the best interest of the University to authorize the issuance of the Bonds at this meeting. The Board acknowledges, however, that as of the date of this meeting, the Bonds have not yet been sold and the documents approved by the Board in this resolution have not yet been finalized. Therefore, in order to permit the Bonds to be issued, sold and delivered promptly and efficiently without the need for a subsequent meeting of the Board and to permit the University to achieve the benefit of the favorable interest rates currently prevailing in the market, the Board does hereby jointly authorize the Chair of the Finance Committee and the Vice President for Business and Finance/CFO of the University:

(a) to approve the issuance of the Bonds pursuant to the authorization in this resolution;

(b) to approve the final principal amount of the Bonds to be issued, and the designation of the Bonds as tax-exempt or taxable (or a combination thereof); provided, the aggregate principal amount of Bonds issued under this resolution shall not exceed the principal amount authorized in Section 2 above;

(c) to approve the sale or pricing details of the Bonds, including the purchase price of the Bonds, the interest rates to be borne by the Bonds, the principal maturities thereof, the redemption and prepayment provisions thereof (if any), and any original issue discount or premium with respect to the Bonds; provided, the net interest cost of the Bonds shall not exceed 2.50% and the final maturity date of the Bonds shall be a date which is no more than 15 years following the date of issue;

(d) to approve the compensation of the Underwriters in any public sale of Bonds, such compensation not to exceed 0.30% of the principal amount of the Bonds, as well as to approve any fees and expenses charged by any bank or other purchaser of Bonds in any private sale;

(e) to approve the expenses of issuing the Bonds;

(f) to arrange for bond insurance, letters of credit, standby bond purchase agreements or other forms of credit enhancement or liquidity support for the Bonds if and to the extent deemed to be appropriate and to the benefit of the University;

(g) to take such other steps and to execute and approve such other documents as may be necessary or appropriate to cause the Bonds to be issued, sold and delivered consistent with the provisions of this resolution and the Indenture.

The final approval by the Chair of the Finance Committee and the Vice President for Business and Finance/CFO of the University of the items listed above, and any other approvals required by them under this resolution, shall be conclusively evidenced by a certificate signed by them and delivered at the time of issuance of the Bonds.

**Section 12. Reimbursement of Prior Expenditures.** The Board hereby expresses its official intent within the meaning of United States Treasury Regulation Section 1.150-2 to expend funds of the University to pay the costs of the Improvements and to reimburse the University for the costs of the same from the proceeds of the Bonds. The Board does hereby authorize the Vice President for Business and Finance/CFO of the University, in consultation with bond counsel to the University, to determine those costs of the Improvements incurred prior to the date of this resolution that are eligible to be reimbursed to the University from proceeds of the Bonds, and to determine what portion of the proceeds of the Bonds shall be applied to reimburse such costs.

**Section 13. Resolution Constitutes Contract.** The provisions of this resolution shall constitute a contract between the University and each holder of the Bonds.

**Section 14. Severability.** The various provisions of this resolution are hereby declared to be severable. If any provision hereof shall be held invalid by a court of competent jurisdiction, such invalidity shall not affect any other portion of this resolution.

**Section 15. Designation of Bond Counsel.** The Board hereby approves and confirms the retention of Balch & Bingham LLP as bond counsel to the University and as disclosure counsel for the issuance of the Bonds.

**Section 16. General Authorization.** The President of the University, the Vice President for Business and Finance/CFO of the University, the Secretary of the Board and the Chair of the Finance Committee are hereby authorized to execute such further certifications or other documents and to take such other action as any of them may deem appropriate or necessary for the

consummation of the matters covered by this resolution, to the end that the Bonds may be executed and delivered as promptly as practicable.

**Exhibit 1**

**Supplemental General Fee Indenture**

[Attached]

*Exhibit 1*

---

TWENTY-FOURTH SUPPLEMENTAL GENERAL FEE INDENTURE

between

AUBURN UNIVERSITY

and

THE BANK OF NEW YORK MELLON TRUST COMPANY, N.A.

Dated as of May 1, 2021

Relating to

Auburn University

\$28,177,000

General Fee Revenue Bond, Series 2021-A

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TWENTY-FOURTH SUPPLEMENTAL GENERAL FEE INDENTURE (this "Twenty-Fourth Supplemental Indenture") between AUBURN UNIVERSITY, a public corporation and instrumentality of the State of Alabama (herein called the "University") and THE BANK OF NEW YORK MELLON TRUST COMPANY, N.A., a national banking association, in its capacity as successor trustee to Compass Bank and JPMorgan Chase Bank, as trustee under that certain General Fee Revenue Trust Indenture of the University dated as of June 1, 1985, as heretofore amended and supplemented (the said bank in said capacity being herein called the "Trustee"):

### **RECITALS:**

The University makes the following findings as a basis for the undertakings herein contained:

(a) The University has heretofore executed and delivered to the Trustee a General Fee Revenue Trust Indenture dated as of June 1, 1985 (the "Original General Fee Indenture"), as heretofore amended and supplemented (the Original General Fee Indenture, as heretofore supplemented and amended, and as further supplemented hereby, being herein called the "Indenture"). Under and pursuant to the Indenture, the University has heretofore issued its:

(i) General Fee Revenue Bonds, Series 1985, dated June 1, 1985 (herein called the "Series 1985 Bonds");

(ii) General Fee Revenue Bonds, Series 1986, dated February 1, 1986 (herein called the "Series 1986 Bonds");

(iii) General Fee Revenue Bonds, Series 1987, dated December 1, 1986 (herein called the "Series 1987 Bonds");

(iv) General Fee Revenue Bonds, Series 1987B, dated October 1, 1987 (herein called the "Series 1987B Bonds");

(v) General Fee Revenue Bonds, Series 1989, dated October 1, 1989 (herein called the "Series 1989 Bonds");

(vi) General Fee Revenue Bonds, Series 1991, dated June 1, 1991 (herein called the "Series 1991 Bonds");

(vii) General Fee Revenue Bonds, Series 1993, dated June 1, 1993 (herein called the "Series 1993 Bonds");

(viii) General Fee Revenue Refunding Bonds, Series 2001, dated May 1, 2001 (herein called the "Series 2001 Bonds");

(ix) General Fee Revenue Bonds, Series 2001-A dated December 1, 2001 (herein called the "Series 2001-A Bonds");

- (x) General Fee Revenue Bonds, Series 2003, dated March 1, 2003 (herein called the "Series 2003 Bonds");
- (xi) General Fee Revenue Bonds, Series 2004, dated August 1, 2004 (herein called the "Series 2004 Bonds");
- (xii) General Fee Revenue Bonds, Series 2006-A, dated November 1, 2006 (herein called the "Series 2006-A Bonds");
- (xiii) General Fee Revenue Bonds, Series 2007-A and Series 2007-B (Taxable), dated November 1, 2007 (herein called the "Series 2007 Bonds");
- (xiv) General Fee Revenue Bonds, Series 2008, dated September 1, 2008 (herein called the "Series 2008 Bonds");
- (xv) General Fee Revenue Refunding Bonds, Series 2009, dated December 29, 2009 (herein called the "Series 2009 Bonds");
- (xvi) General Fee Revenue Bonds, Series 2011-A, dated May 1, 2011 (herein called the "Series 2011-A Bonds");
- (xvii) General Fee Revenue Bonds, Series 2012-A and Series 2012-B (Taxable), dated March 27, 2012 (herein called the "Series 2012-A Bonds" and "Series 2012-B Bonds" and collectively the "Series 2012 Bonds");
- (xviii) General Fee Revenue Refunding Bonds, Series 2014-A, dated July 1, 2014 (herein called the "Series 2014-A Bonds");
- (xix) General Fee Revenue Refunding Bonds, Series 2015-A, dated March 18, 2015 (herein called the "Series 2015-A Bonds");
- (xx) General Fee Revenue Refunding Bonds, Series 2015-B, dated September 10, 2015 (herein called the "Series 2015-B Bonds");
- (xxi) General Fee Revenue Refunding Bonds, Series 2016-A, dated August 17, 2016 (herein called the "Series 2016-A Bonds");
- (xxii) General Fee Revenue Bonds, Series 2018-A, dated July 10, 2018 (herein called the "Series 2018-A Bonds");
- (xxiii) General Fee Revenue Refunding Bonds, Series 2020-A, dated March 18, 2020 (herein called the "Series 2020-A Bonds");
- (xxiv) Taxable General Fee Revenue Refunding Bonds, Series 2020-B, dated March 18, 2020 (herein called the Series 2020-B Bonds"); and
- (xxv) Taxable General Fee Revenue Bonds, Series 2020-C, dated July 29, 2020 (herein called the "Series 2020-C Bonds").



The Series 2011-A Bonds, the Series 2012-A Bonds, the Series 2012-B Bonds, the Series 2014-A Bonds, the Series 2015-A Bonds, the Series 2015-B Bonds, the Series 2016-A Bonds, the Series 2018-A Bonds, the Series 2020-A Bonds, the Series 2020-B Bonds and the Series 2020-C Bonds are the only bonds presently outstanding under the Indenture.

(b) Under the provisions of Article VIII of the Original Indenture, the University reserved the right to issue additional bonds to be secured by the Indenture on a parity, with respect to the pledges of revenues set forth in the Indenture, with all other bonds issued and outstanding under the Indenture, upon compliance with the conditions set out in said Article VIII.

(c) The University has ascertained and declared that it is necessary and desirable to acquire certain capital improvements hereinafter identified as the "2020-C Improvements." For such purposes the University has by proper corporate action of its Board of Trustees and pursuant to the provisions of the Indenture duly authorized the issuance of its General Fee Revenue Bond, Series 2021-A (the "Series 2021-A Bond"), to be secured by the Indenture on a parity with all bonds heretofore issued and now outstanding thereunder and any additional bonds that may hereafter be issued pursuant to the provisions of said Article VIII of the Original Indenture. In order to specify the details with respect to the Series 2021-A Bond, to comply with the provisions of the Indenture and to confirm unto the Trustee the pledges contained in the Indenture, this Twenty-Fourth Supplemental Indenture is being executed and delivered.

NOW, THEREFORE, THIS TWENTY-FOURTH SUPPLEMENTAL INDENTURE

WITNESSETH:

It is hereby agreed among the University, the Trustee, the holders of all bonds outstanding under the Indenture and the holders of any Additional Bonds that may be hereafter issued under the Indenture (the holders of said Bonds evidencing their consent hereto by their acceptance of said Bonds, and the parties signatory hereto evidencing their consent hereto by their execution hereof), as follows:

## ARTICLE I

### DEFINITIONS AND USE OF PHRASES

**Section 1.1 Additional Definitions.** Except as otherwise defined in this Section 1.1, all capitalized terms used herein shall have the respective meanings assigned those terms in the Original Indenture, as previously supplemented and amended.

The following words and phrases and others evidently intended as the equivalent thereof shall, in the absence of clear implication herein otherwise, be given the following respective interpretations wherever used herein:

**"Business Day"** means any day other than a Saturday, a Sunday, or a day on which the Trustee is authorized to be closed under general law or regulation applicable in the place where the Trustee performs its business with respect to the Indenture or a day on which the New York Stock Exchange is closed.

**"Closing Date"** means the date of execution, issuance and delivery of the Series 2021-A Bond.

**"Code"** means the Internal Revenue Code of 1986, as amended.

**"Determination of Taxability"** means a final, non-appealable determination by a court or by the Internal Revenue Service that interest income on the Series 2021-A Bond is included in the gross income of the holder thereof for federal income tax purposes.

**"Series 2021-A Bond"** means the Series 2021-A Bond authorized to be issued under Article II hereof.

**"2021-A Improvements"** means the acquisition of Capital Improvements at the Auburn Campus of the University including buses to be part of the University's transit facilities, together with all equipment, furnishings, infrastructure and other real and personal property and facilities necessary or desirable in connection therewith. The specific facilities to be financed from proceeds of the Series 2021-A Bonds shall be identified in the requisitions for disbursements from the Series 2021-A Improvements Fund as provided in Section 3.1 hereof.

**Section 1.2 Use of Phrases.** "Herein," "hereby," "hereunder," "hereof," hereinbefore," "hereinafter" and other equivalent words refer to this Twenty-Fourth Supplemental Indenture as an entirety and not solely to the particular portion thereof in which any such word is used. The definitions set forth in Section 1.1 hereof include both singular and plural. Whenever used herein, any pronoun shall be deemed to include both singular and plural and to cover all genders.

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## ARTICLE II

### THE SERIES 2021-A BOND

**Section 2.1 Authorization and Description of Series 2021-A Bond.** There is hereby authorized to be issued, as an Additional Bond under the provisions of Article VIII of the Original Indenture, an issue or series of bonds designated General Fee Revenue Bond, Series 2021-A, in the aggregate principal amount of \$28,177,000. The Series 2021-A Bond shall be dated as of the date of its issuance and authentication, and shall mature and become payable on June 1 in the following years and in the following principal amounts:

<u>Year of Maturity</u>	<u>Principal Installment Maturing</u>
2022	\$ 2,223,000
2023	2,259,000
2024	2,279,000
2025	2,298,000
2026	19,118,000

The principal installments of the Series 2021-A Bond shall bear interest from its date at the per annum rate of 0.860%, payable on June 1 and December 1 of each year, beginning December 1, 2021; provided, that the principal installments of the Series 2021-A Bond shall bear interest at the Taxable Rate from the date of any Determination of Taxability. Further, in the event of the occurrence of a Determination of Taxability at any time, including, without limitation, at any time following the payment in full of the Series 2021-A Bond, the University agrees to pay to the Holder (which obligation shall survive payment in full of the Series 2021-A Bond) additional interest on the Series 2021-A Bond in the amount by which (a) the interest which would have accrued on the Series 2021-A Bond at the Taxable Rate during the period beginning on the date of the Determination of Taxability and ending on the earlier to occur of the date of conversion to the Taxable Rate or the date of payment in full of the Series 2021-A Bond exceeds (b) the amount of interest which was actually paid during such period. The "Taxable Rate" shall be a per annum rate of 1.090%.

The Series 2021-A Bond shall be initially issued with principal installments of \$1,000 or any multiple thereof, pursuant to the provisions of Section 5.8 of the Original Indenture and shall be delivered by the University to the purchaser thereof. All installments of principal of and interest (and premium, if any) on the Series 2021-A Bond shall bear interest after the respective maturities of such principal and interest (and premium, if any) until paid or until moneys sufficient for payment thereof shall have been deposited for that purpose with the Trustee, whichever first occurs, at the rate of interest borne by the Series 2021-A Bond. The principal installments of the Series 2021-A Bond shall bear interest from its date, or the most recent date to which interest has been paid or duly provided for, at the rate per annum set forth in this Section. Interest shall be computed on the basis of a 360-day year with 12 months of 30 days each.

Principal installments (other than the final installment) and interest on this Bond are payable by check or draft mailed by the Trustee to the then registered holder hereof as of the record date which is the fifteenth (15<sup>th</sup>) day of the month next preceding the Interest Payment Date at the address shown on the registry books of the Trustee pertaining to the Bond.

**Section 2.2 Redemption Provisions.** The principal installments of the Series 2021-A Bond are subject to redemption prior to maturity on any Business Day, at the option of the University, as a whole or in part, (and if in part, in inverse order of maturing installments), on or after March 3, 2026, at a redemption price equal to 100% of the principal amount thereof, plus accrued interest to the date of redemption.

**Section 2.3 Form of Series 2021-A Bond.** The Series 2021-A Bond and the Certificate of Registration and the Trustee's Authentication Certificate applicable thereto shall be in substantially the following forms, respectively, with such insertions, omissions and other variations as may be necessary to conform to the provisions hereof:

**THIS SERIES 2021-A BOND MAY BE TRANSFERRED ONLY TO AN "ACCREDITED INVESTOR" OR "QUALIFIED INSTITUTIONAL BUYER" AS DEFINED IN THE SECURITIES AND EXCHANGE ACT OF 1933 AND THE RULES AND REGULATIONS PROMULGATED THEREUNDER THAT DELIVERS TO THE UNIVERSITY A LETTER CERTIFYING THE SAME, AND ONLY UPON COMPLIANCE WITH APPLICABLE STATE AND FEDERAL SECURITIES LAWS AND WITH THE INDENTURE REFERRED TO HEREIN.**

UNITED STATES OF AMERICA

AUBURN UNIVERSITY  
GENERAL FEE REVENUE BOND  
SERIES 2021-A

No.	Interest Rate
R-__	0.860%

Subject to prior payment and other provisions as herein stated.

For value received, AUBURN UNIVERSITY, a public corporation and instrumentality of the State of Alabama (herein called the "University"), will pay, solely out of the revenues hereinafter referred to, to

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or registered assigns, the principal sum of TWENTY-EIGHT MILLION ONE HUNDRED SEVENTY-SEVEN THOUSAND DOLLARS (\$28,117,000) in principal installments on June 1 in the following respective years and principal amounts:

<b>Year (June 1)</b>	<b>Principal Amount Maturing</b>
2022	\$ 2,223,000
2023	2,259,000
2024	2,279,000
2025	2,298,000
2026 (Final Maturity)	19,118,000

with interest on the unpaid principal installments from the date hereof at the per annum rate of interest specified above, payable on December 1, 2021, and semiannually thereafter on each June 1 and December 1 until and at the maturity thereof; provided, that the principal installments of the Series 2021-A Bond shall bear interest at the Taxable Rate from the date of any Determination of Taxability. Further, in the event of the occurrence of a Determination of Taxability at any time, including, without limitation, at any time following the payment in full of the Bond, the University agrees to pay to the Holder (which obligation shall survive payment in full of the Bond) additional

interest on the Bond in the amount by which (a) the interest which would have accrued on the Bond at the Taxable Rate during the period beginning on the date of the Determination of Taxability and ending on the earlier to occur of the date of conversion to the Taxable Rate or the date of payment in full of the Bond exceeds (b) the amount of interest which was actually paid during such period. A "determination of taxability" shall mean a final, non-appealable determination by a court or by the Internal Revenue Service that interest income on the Bond is included in the gross income of the holder thereof for federal income tax purposes. The "Taxable Rate" shall be a per annum rate of 1.090%. The final principal installment of this Bond is payable only upon presentation and surrender of this bond at a designated corporate trust office of The Bank of New York Mellon Trust Company, N.A. in Birmingham, Alabama or its successor as trustee under the Indenture hereinafter referred to. Principal installments (other than the final installment) and interest on this Bond are payable by check or draft mailed by the Trustee to the then registered holder hereof as of the record date which is the fifteenth (15<sup>th</sup>) day of the month next preceding the Interest Payment Date at the address shown on the registry books of the Trustee pertaining to the Bond. Both the principal of and the interest (and premium, if any) on this Bond shall bear interest after their respective maturities until paid or until moneys sufficient for payment thereof have been deposited with the Trustee at the per annum rate stated above. The Indenture provides that all payments by the University or the Trustee to the person in whose name a Bond is registered shall to the extent thereof fully discharge and satisfy all liability for the same. Any transferee of this Bond takes it subject to all payments of principal and interest in fact made with respect hereto.

This bond is one of a duly authorized issue of bonds (herein called the "Bond") issuable in series without express limit as to principal amount under a General Fee Revenue Trust Indenture dated as of June 1, 1985, as previously supplemented, and as further supplemented by a Twenty-Fourth Supplemental General Fee Indenture dated as of May 1, 2021 (collectively, the "Indenture"), between the University and The Bank of New York Mellon Trust Company, N.A. (as successor trustee to Compass Bank and JP Morgan Chase Bank, herein called the "Trustee"). The principal of and the interest (and premium, if any) on the Bond are payable solely out of the gross revenues derived by the University from certain general tuition fees levied against students enrolled at the Auburn, Alabama campus or the Montgomery, Alabama campus of the University, the revenues derived by the University from the operation of its student housing and dining facilities, the revenues (including, without limitation, certain student fees) derived by the University from its intercollegiate athletic program, and the revenues derived by the University from certain additional pledged student fees (herein collectively called the "Pledged Revenues"), and shall not be payable from any other funds or revenues. The pledge of the revenues from the University's athletic program is expressly subordinate to the prior pledge thereof for the benefit of certain other outstanding indebtedness of the University. In the Indenture, the University has reserved the right to issue obligations secured by pledges of the revenues from the University's athletic program, the operation of its student housing and dining facilities, and the general tuition fees and pledged student fees derived from the University's Montgomery, Alabama campus that are prior to the pledges of such revenues for the benefit of the Bond and any other bonds issued under the Indenture, upon compliance with the terms set forth in the Indenture. Payment of the principal of and the interest (and premium, if any) on the Bond is secured, pro rata and without preference or priority of one Bond over another or of the Bonds of any one series over the Bonds of any other, by a valid pledge of the revenues out of which they are payable.

Reference is hereby made to the Indenture for a description of the nature and extent of the security afforded thereby, the rights and duties of the University and the Trustee with respect thereto, the rights of the holders of the Bond and the terms and conditions on which additional series of Bonds may be issued. The Indenture provides, inter alia, (a) that in the event of default by the University in the manner and for the time therein provided, the Trustee may declare the principal of and the interest accrued on this bond immediately due and payable, whereupon the same shall thereupon become immediately due and payable and the Trustee shall be entitled to pursue the remedies provided in the Indenture, (b) that the holder of this bond shall have no right to enforce the provisions of the Indenture except as provided therein and then only for the equal and pro rata benefit of the holders of all the Bonds, and (c) that if this bond shall not be presented for payment when due (whether by maturity or otherwise) and if funds sufficient for such payment shall have been made available to the Trustee therefor, all liability of the University to the holder of such bond and all rights of such holder against the University under such bond or under the Indenture shall cease and terminate and that the sole right of such holder shall thereafter be against the said funds so made available, which the Trustee is required to set aside and hold, subject to any applicable escheat or other similar law, for the benefit of such holder. The Indenture also provides that the University and the Trustee, with the written consent of the holders of not less than 66-2/3% in principal amount of the Bonds then outstanding under the Indenture, may at any time and from time to time amend the Indenture or any indenture supplemental thereto, provided that no such amendment shall (1) without the consent of the holder of each Bond affected, reduce the principal of, the rate of interest on, or the premium (if any) payable on redemption of, any Bond, or (2) without the consent of the holders of all the Bonds then outstanding under the Indenture, extend the maturity of any installment of principal or interest on any of the Bonds, make any change in the schedule of required sinking fund or other similar payments with respect to any series of the Bonds, create a lien or charge on the Pledged Revenues ranking prior to or (except in connection with the issuance of additional parity bonds under the Indenture) on a parity with the lien or charge thereon contained in the Indenture, effect a preference or priority of any Bond over any other Bond or reduce the aggregate principal amount of Bonds the holders of which are required to consent to any such amendment.

The series of bonds of which this is one is designated Series 2021-A and is authorized to be issued in the principal amount of \$28,177,000.

The principal installments of the Bond are subject to redemption prior to maturity on any Business Day, at the option of the University, as a whole or in part, and if in part, in inverse order of maturing installments), on or after March 3, 2026, at a redemption price equal to 100% of the principal amount thereof, plus accrued interest to the date of redemption.

If less than all the outstanding principal installments of the Bond are to be redeemed, there shall be issued to the registered holder thereof, upon the surrender of such Bond to the Trustee, a new Bond of even tenor therewith except in principal installments equal to the unredeemed principal installments of the Bond so surrendered.

THE INDENTURE UNDER WHICH THE BOND IS ISSUED CONTAINS NO PROVISIONS REQUIRING PUBLICATION OF NOTICE OF REDEMPTION OF THE BOND, AND HOLDERS OF THE BONDS MUST MAINTAIN A CURRENT ADDRESS ON FILE WITH THE TRUSTEE IN ORDER TO RECEIVE NOTICE OF ANY SUCH REDEMPTION.

FROM AND AFTER THE REDEMPTION DATE (PROVIDED THE TRUSTEE HAS SUFFICIENT FUNDS ON HAND TO EFFECT SUCH REDEMPTION), INTEREST SHALL CEASE TO ACCRUE ON ANY PRINCIPAL INSTALLMENT OF THE BOND CALLED FOR REDEMPTION.

The University is a public corporation and instrumentality of the State of Alabama existing under Amendment 670 to the Constitution of Alabama of 1901 and Chapter 48 of Title 16 of the Code of Alabama of 1975 and the Bonds are authorized to be issued for purposes for which bonds are authorized to be issued under the provisions of Section 16-3-28, as amended, of said Code. The Bonds are not general obligations of the University, and the covenants and representations herein contained or contained in the Indenture do not and shall never constitute a personal or pecuniary liability or charge against the general credit of the University. The Bonds are not obligations or debts of the State of Alabama nor are the faith and credit of said state pledged for payment thereof, and neither the principal of nor interest on said bonds is payable out of any moneys provided for or appropriated to the University by the State of Alabama.

It is hereby certified that all conditions, actions and things required by the Constitution and laws of Alabama to exist, be performed and happen precedent to or in the issuance of this bond do exist, have been performed and have happened in due and legal form.

The Bond is issuable only as a single fully registered bond in principal installments of \$1,000 or any integral multiple thereof.

This bond is transferable by the registered holder hereof in person, or by duly authorized attorney, only on the registry books of the Trustee pertaining to the Bond and only upon surrender of this bond to the Trustee for cancellation, and upon any such transfer a new Bond of like tenor herewith will be issued to the transferee in exchange therefor, all as more particularly provided in the Indenture. Each holder, by receiving and accepting this bond, shall consent and agree and shall be estopped to deny that, insofar as the University and the Trustee are concerned, this bond may be transferred only in accordance with the provisions of the Indenture. Upon any transfer of the Bond not held in a book-entry only system the transferor will, upon written request of the Trustee, provide or cause to be provided to the Trustee the cost basis information requested by the Trustee necessary for the Trustee to comply with its tax reporting obligations, under Internal Revenue Code Section 6045, as amended. The Trustee shall conclusively rely on the information provided to it and shall have no responsibility to verify or ensure the accuracy of such information.

The Trustee shall not be required so to transfer or exchange this bond during the period of fifteen days next preceding any interest payment date with respect thereto; and in the event this bond (or any portion of the principal hereof) is duly called for redemption, the Trustee shall not be required so to transfer or exchange it during the period of thirty days next preceding the date fixed for such redemption.

Execution by the Trustee of its authentication certificate hereon is essential to the validity hereof and is conclusive of the due issue hereof under the Indenture.

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IN WITNESS WHEREOF, the University has caused this bond to be executed in its name and behalf with the manual or facsimile signature of its President, has caused a manual imprint or facsimile of its corporate seal to be hereunto imprinted, has caused this bond to be attested by a manual or facsimile signature of the Secretary of its Board of Trustees, and has caused this bond to be dated May 5, 2021.

**AUBURN UNIVERSITY**

By \_\_\_\_\_  
President

Attest:

\_\_\_\_\_  
Secretary of the Board of Trustees

[S E A L]

**Form of Trustee's Authentication Certificate**

Date of Authentication and Registration:

The within bond is one of those described in the within-mentioned General Fee Revenue Trust Indenture.

THE BANK OF NEW YORK MELLON  
TRUST COMPANY, N.A.,  
as Trustee

By \_\_\_\_\_  
Authorized Signatory

### Form of Assignment

For value received, the undersigned hereby sell(s), assign(s) and transfer(s) unto \_\_\_\_\_ the within bond and hereby irrevocably constitute(s) and appoint(s) \_\_\_\_\_ attorney, with full power of substitution in the premises, to transfer this bond on the books of the within-mentioned Trustee.

DATED this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

NOTE: The signature on this assignment must correspond with the name of the registered owner as it appears on the face of the within Bond in every particular, without alteration, enlargement or change whatsoever.

Signature guaranteed:

\_\_\_\_\_  
(Member of Signature Medallion Program)

By \_\_\_\_\_  
(Authorized Signatory)

**Section 2.4 Execution and Delivery of the Series 2021-A Bond.** The Series 2021-A Bond shall be forthwith executed and delivered to the Trustee and shall be authenticated and delivered by the Trustee from time to time upon receipt by the Trustee of an order signed on behalf of the University by its President or Vice President for Business and Finance, requesting such authentication and delivery and designating the person to receive the same.

**Section 2.5 Application of Proceeds from Sale of Series 2021-A Bond.** The Series 2021-A Bond is being issued to provide funds to finance the 2021-A Improvements. The entire proceeds derived by the University from the sale of the Series 2021-A Bond shall be paid to the Trustee and promptly thereafter applied by the Trustee for the following purposes and in the following order:

(a) payment of \$64,000.00 into a special account to be held by the Trustee and to be applied solely to the costs of issuing the Series 2021-A Bond upon written requisition submitted by the University; provided, that pending disbursement, such proceeds may be invested by the Trustee at the written direction of the University (upon which the Trustee may conclusively rely) in Federal Securities or Eligible Certificates; and provided further, that any monies remaining in this special account six months after the Closing Date shall be transferred to the Series 2021-A Improvements Fund; and

(b) payment of the balance of the said proceeds to the University for deposit into a special account of the University (the "Series 2021-A Improvements Fund" as described in Section 3.1 hereof), to be applied to payment of the costs of the 2021-A Improvements, and, if necessary, costs of issuing the Series 2021-A Bond to the extent not provided for by the deposit in (a) above.

**Section 2.6 Special Provisions Respecting Notice of Redemption and Transfer of Series 2021-A Bond.** Any notice of redemption of the Series 2021-A Bond shall be mailed not more than sixty (60) nor less than thirty (30) days prior to the Redemption Date but otherwise in accordance with Section 6.1 of the Original Indenture; provided however, such notice may be conditioned upon the receipt by the Trustee of the Redemption Price on or prior to the Redemption Date. The provisions of Section 5.6 of the Original Indenture to the contrary notwithstanding, the Trustee shall not be required to transfer the Series 2021-A Bond called for redemption during the period of thirty (30) days next preceding the Redemption Date.

**Section 2.7 Additional Bonds.** The University and the Trustee may, at any time and from time to time, enter into one or more Supplemental Indentures without consent of the Holder of the Series 2021-A Bond, for the purpose of authorizing the issuance of Additional Bonds bearing interest at variable rates. Any such Supplemental Indenture may include, inter alia, provisions relating to the dates on which interest on such Additional Bonds is payable, the method by which the interest rate borne by such bonds is established, optional tender rights afforded to the Holders of such bonds and the method of calculating Maximum Annual Debt Service with respect to such bonds.

## ARTICLE III

### 2021-A IMPROVEMENTS FUND

**Section 3.1 Series 2021-A Improvements Fund.** There is hereby created a special fund, the full name of which shall be the "Auburn University Series 2021-A General Fee Improvements Fund" for the purpose of providing funds for the 2021-A Improvements. The President, the Vice President for Business and Finance, or other duly authorized officer of the University may designate one or more banks as the depository, custodian and disbursing agent for the Series 2021-A Improvements Fund. A portion of the proceeds of the Series 2021-A Bond shall be deposited in the Series 2021-A Improvements Fund as provided in Section 2.5(b) hereof. Funds on deposit in the Series 2021-A Improvements Fund may be paid out from time to time to pay costs of (i) the 2021-A Improvements and (ii) issuing the Series 2021-A Bond to the extent not provided for by the deposit in Section 2.5(a) hereof. The Trustee shall have no obligation or responsibility with respect to the Series 2021-A Improvements Fund except to transfer the funds described in Section 2.5(b) hereof to the University for deposit into said Fund.

**Section 3.2 Investment of Series 2021-A Improvements Fund.** Moneys on deposit in the Series 2021-A Improvements Fund may be invested in any investments permitted under the University's non-endowment cash management policy as directed in writing by the University. The investments in which moneys in the Series 2021-A Improvements Fund are invested, together with all income derived therefrom, shall become a part of the Series 2021-A Improvements Fund to the same extent as if they were moneys originally deposited therein. Any depository for the Series 2021-A Improvements Fund may at any time and from time to time upon the written direction of the University sell or otherwise convert into cash any such investments whereupon the net proceeds therefrom shall become a part of the Series 2021-A Improvements Fund.

## ARTICLE IV

### MISCELLANEOUS

**Section 4.1 Concerning the Trustee.** The Trustee accepts the trusts hereby declared and provided and agrees to perform the same upon the terms and conditions set forth in the Indenture and in this Twenty-Fourth Supplemental Indenture. The Trustee shall not be responsible in any manner whatsoever for or in respect of the validity or sufficiency of this Twenty-Fourth Supplemental Indenture or the due execution thereof by the University, nor for or in respect of the recitals contained herein, all of which recitals are made solely by the University. The Trustee is directed to open such accounts within such funds as may be necessary in connection with the Series 2021-A Bond including, but not limited to, a Series 2021-A account in the Bond Fund.

**Section 4.2 Confirmation of Pledges.** The provisions of Original Indenture and the Supplemental Indentures, wherein the Pledged Revenues are pledged for payment of all Bonds issued under the Indenture, are hereby ratified and confirmed.

**Section 4.3 Construction of Twenty-Fourth Supplemental Indenture.** No provisions of this Twenty-Fourth Supplemental Indenture shall be construed to limit or restrict, either expressly or impliedly, the obligations of the University contained in the Indenture or the powers of the trustee thereunder, nor shall the provisions of this Twenty-Fourth Supplemental Indenture be construed in any manner inconsistent with the provisions of the Indenture or in any manner that would adversely affect the interest of the Holders of any Bonds.

**Section 4.4 Severability.** If any provision hereof shall be held invalid or unenforceable by any court of competent jurisdiction, such holding shall not invalidate or render unenforceable any other provision hereof.

**Section 4.5 Broker Confirmations.** Broker confirmations of any investments in connection with the Series 2021-A Bond are not required to be delivered by the Trustee in any month that the Trustee renders or makes available a monthly statement.

**Section 4.6 Electronic Communications.** The Trustee shall have the right to accept and act upon directions or instructions given in connection with the Series 2021-A Bond and delivered using Electronic Means (defined below); provided, however, that the University shall provide to the Trustee an incumbency certificate listing Authorized Officers with the authority to provide such directions or instructions (each an "Authorized Officer") and containing specimen signatures of such Authorized Officers, which incumbency certificate shall be amended whenever a person is to be added or deleted from the listing. If the University elects to give the Trustee directions or instructions using Electronic Means and the Trustee in its discretion elects to act upon such directions or instructions, the Trustees' understanding of such directions or instructions shall be deemed controlling. The University understands and agrees that the Trustee cannot determine the identity of the actual sender of such directions or instructions and that the Trustee shall conclusively presume that directions or instructions that purport to have been sent by an Authorized Officer listed on the incumbency certificate provided to the Trustee have been sent by such Authorized Officer. The University shall be responsible for ensuring that only Authorized Officers transmit such directions or instructions to the Trustee and that all Authorized Officers treat applicable user and authorization codes, passwords and/or authentication keys as confidential and with extreme care. The Trustee shall not be liable for any losses, costs or expenses arising directly or indirectly from the Trustee's reliance upon and compliance with such directions or instructions notwithstanding such directions or instructions conflict or are inconsistent with a subsequent written direction or written instruction. The University agrees: (i) to assume all risks arising out of the use of Electronic Means to submit directions or instructions to the Trustee, including without limitation the risk of the Trustee acting on unauthorized directions or instructions, and the risk of interception and misuse by third parties; (ii) that it is fully informed of the protections and risks associated with the various methods of transmitting directions or instructions to the Trustee and that there may be more secure methods of transmitting directions or instructions; (iii) that the security procedures (if any) to be followed in connection with its transmission of directions or instructions provide to it a commercially reasonable degree of protection in light of its particular needs and circumstances and (iv) to notify the Trustee immediately upon learning of any compromise or unauthorized use of the security procedures. "Electronic Means" shall mean the following communications methods: e-mail, facsimile transmission, secure electronic transmission containing applicable authorization codes, passwords

and/or authentication keys, or another method or system specified by the Trustee as available for use in connection with its services hereunder.

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IN WITNESS WHEREOF, the University has caused this Twenty-Fourth Supplemental Indenture to be executed in its corporate name and behalf by its President, and has caused this Twenty-Fourth Supplemental Indenture to be attested by the Secretary of the Board, and the Trustee, to evidence its acceptance of the trusts hereby created, has caused this Twenty-Fourth Supplemental Indenture to be executed in its name and behalf by its duly authorized signatory, all in counterparts, each of which shall be deemed an original, and the University and the Trustee have caused this Twenty-Fourth Supplemental Indenture to be dated as of May 1, 2021.

**AUBURN UNIVERSITY**

By \_\_\_\_\_  
Its: President

Attest:

\_\_\_\_\_  
Secretary of the Board of Trustees

**THE BANK OF NEW YORK MELLON  
TRUST COMPANY, N.A., as Trustee**

By \_\_\_\_\_  
Its: \_\_\_\_\_



STATE OF ALABAMA     )  
   :  
COUNTY OF \_\_\_\_\_ )

I, \_\_\_\_\_, a Notary Public in and for said county in said state, hereby certify that Jay Gogue, whose name as President of AUBURN UNIVERSITY, a public corporation and instrumentality of the State of Alabama, is signed to the foregoing instrument and who is known to me, acknowledged before me on this day that, being informed of the contents of the within instrument, he, as such officer and with full authority, executed the same voluntarily for and as the act of said corporation.

GIVEN under my hand and official seal of office, this \_\_\_\_ day of \_\_\_\_\_, 2021.

(NOTARIAL SEAL)

\_\_\_\_\_  
Notary Public

STATE OF ALABAMA     )  
   :  
COUNTY OF \_\_\_\_\_ )

I, \_\_\_\_\_, a Notary Public in and for said county in said state, hereby certify that \_\_\_\_\_, whose name as \_\_\_\_\_ of THE BANK OF NEW YORK MELLON TRUST COMPANY, N.A., a national banking association, is signed to the foregoing instrument and who is known to me, acknowledged before me on this day that, being informed of the contents of the within instrument, he or she, as such authorized signatory and with full authority, executed the same voluntarily for and as the act of said national banking association.

GIVEN under my hand and official seal of office, this \_\_\_\_ day of \_\_\_\_\_, 2021.

(NOTARIAL SEAL)

\_\_\_\_\_  
Notary Public

### **Executive Committee**

Chairperson McCrary indicated that the Executive Committee met earlier and discussed four action items. Chairperson McCrary moved for approval of a consent agenda. The motion was seconded by Mr. Rane, and the resolutions were approved by a voice vote.

Chairperson McCrary then recognized Dr. Nedret Billor, the Faculty Advisor to the Board of Trustees from the Auburn University campus. Chairperson McCrary thanked Dr. Billor for her “exemplary service” during the past year. Chairperson McCrary shared that Dr. Billor has done a wonderful job despite the obstacles created by the COVID-19 pandemic. Chairperson McCrary stated that Dr. Billor will be missed and that he hopes that her future is filled with immense success. Chairperson McCrary then asked Dr. Billor to give any remarks to the Board.

Dr. Billor shared that she was honored to serve in this capacity. Dr. Billor shared that she felt a real responsibility to serve represent the faculty to the Board, especially during such a difficult year. Dr. Billor thanked the Board for their support and shared that she will remember her time serving alongside them with fondness.

*President Pro Tempore* Smith then thanked Dr. Billor for her service and commitment during the past year.

The following resolutions were approved in the consent agenda:

RESOLUTION

POSTHUMOUS AWARDING OF THE MASTER OF SCIENCE IN HOMELAND SECURITY  
AND EMERGENCY MANAGEMENT TO TYLER GROGAN

WHEREAS, Mr. Tyler Grogan, a graduate student in the College of Liberal Arts and Social Sciences at Auburn University at Montgomery, passed away on January 17, 2021; and

WHEREAS, Mr. Grogan passed away before he could complete the final requirements necessary for a Master of Science in Homeland Security and Emergency Management; and

WHEREAS, the faculty in the Department of Criminal Justice; the Dean of the College of Liberal Arts and Social Sciences; the Provost; and the Chancellor recommend that the Master of Science in Homeland Security and Emergency Management be awarded posthumously; and

NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that the degree of Master of Science in Homeland Security and Emergency Management is hereby granted posthumously to Mr. Tyler Grogan in recognition of his academic achievements as a student at Auburn University at Montgomery.

BE IT FURTHER RESOLVED that the Board hereby expresses its sympathy and condolences to the family of Mr. Tyler Grogan in this period of sadness and that a copy of this resolution be presented to them so that they will know of this action and the university's deep loss.

RESOLUTION

REVISIONS TO THE BYLAWS AND POLICIES & PROCEDURES OF  
THE BOARD OF TRUSTEES OF AUBURN UNIVERSITY

WHEREAS, the Board of Trustees of Auburn University (the “Board of Trustees”) deems it to be best for promoting the interest, mission, and vision of Auburn University that the Board of Trustees adopts and maintains consolidated, comprehensive, written Bylaws of the Board of Trustees (as modified and in effect from time-to-time, the “Bylaws”) and other written policies and procedures of the Board the of Trustees (as modified and in effect from time-to-time, the “Policies and Procedures”); and

WHEREAS, the initial Bylaws were approved and adopted by the Board of Trustees on November 4, 1983, which initial Bylaws have subsequently been reviewed and modified from time-to-time; and

WHEREAS, the Secretary to the Board of Trustees (the “Secretary”) and the General Counsel for Auburn University (“General Counsel”) have studied the current Bylaws, as existing and in effect immediately prior to giving effect to these resolutions (which current Bylaws are, for the avoidance of doubt, presently styled as the “By-laws of the Board of Trustees of Auburn University”), and have recommended that certain revisions, updates, and additions be made to the form, style, and substance of such current Bylaws; and

WHEREAS, the Secretary and General Counsel have also studied the current Policies and Procedures, as existing and in effect immediately prior to giving effect to these resolutions, and have recommended that certain revisions, updates, and additions be made to the form, style, and substance of many of the individual policies and procedures which comprise such current Policies and Procedures; and

WHEREAS, subsequent to completing their preliminary review, the Secretary and General Counsel submitted their recommendations regarding the current Bylaws and Policies and Procedures to the Bylaw and Policy Working Group of the Board of Trustees (the “Working Group”) whereupon such Working Group reviewed and assisted in the preparation of same; and

WHEREAS, the Board of Trustees has studied and reviewed the recommended revisions to the Bylaws and, following its review and consideration of the same, the Board of Trustees now desires to approve and adopt revised Bylaws in the form attached hereto as Exhibit 1 (the “Revised Bylaws”) in replacement of the current Bylaws; and

WHEREAS, the Board of Trustees has studied and reviewed the recommended revisions to the Policies and Procedures and, following its review and consideration of the same, the Board of Trustees now desires to approve and adopt revised Policies and Procedures in the form attached hereto as Exhibit 2 (the “Revised Policies and Procedures”) in replacement of the current Policies and Procedures.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the existing Bylaws are hereby repealed and replaced in their entirety by the Revised Bylaws, as attached hereto as Exhibit 1, which Revised Bylaws are hereby adopted and approved in all respects by the Board of Trustees, in each case effective as of the date of these resolutions; and

BE IT FURTHER RESOLVED that the existing Policies and Procedures are hereby repealed and replaced in their entirety by the Revised Policies and Procedures, as attached hereto as Exhibit 2, which Revised Policies and Procedures are hereby adopted and approved in all respects by the Board of Trustees, in each case effective as of the date of these resolutions; and

BE IT FURTHER RESOLVED that, to the fullest extent permitted by applicable law, each member of the Board of Trustees voting in favor of the adoption of these resolutions hereby waives any and all applicable notice and timing requirements, as well as any and all other objections that may otherwise be available to be raised concerning these revisions.

**EXHIBIT 1**

**REVISED BOARD OF TRUSTEES BYLAWS**

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To view the **REVISED BOARD OF TRUSTEES BYLAWS**

as approved on April 16, 2021, please visit:

<https://sites.auburn.edu/admin/universitypolicies/Policies/BOTAUBy-Laws.pdf>

**EXHIBIT 2**

**REVISED BOARD OF TRUSTEES POLICIES AND PROCEDURES**

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To view the **REVISED BOARD OF TRUSTEES POLICIES AND PROCEDURES**  
as approved on April 16, 2021, please visit:

<http://www.auburn.edu/administration/trustees/policies/>

RESOLUTION

RECOGNITION OF MR. LAMAR P. HIGGINS

WHEREAS, Mr. Lamar P. Higgins, a native of Marbury, Alabama, led a distinguished life in service to the state of Alabama, effecting positive change in the lives of countless Alabamians; and

WHEREAS, Mr. Higgins graduated from Troy University, where he served as the president of the Student Government Association during his junior and senior year; and

WHEREAS, Mr. Higgins later served on the Troy University Board of Trustees for twenty-five years, leading numerous University initiatives, most notably the establishment of the Rosa Parks Museum and John Lewis Hall; and

WHEREAS, Mr. Higgins was a staunch supporter of the governmental and legislative process, relentlessly advocating for the rights of historically underserved groups; and

WHEREAS, Mr. Higgins was a ubiquitous force in politics where he served as a member of Governor Fob James' administration, a member of Senator Howell Heflin's staff, and Deputy Director of the Alabama Department of Economic and Community Affairs; and

WHEREAS, Mr. Higgins also served the city and people of Huntsville, Alabama, as a member of the Space and Rocket Center staff and later as the Special Assistant to the Mayor of Huntsville; and

WHEREAS, Mr. Higgins then joined Fine Geddie and Associates in 1995, where he served as an integral part of the Firm; and

WHEREAS, the state of Alabama lost a cherished and valued leader on April 15, 2021, with the death of Mr. Higgins; and

WHEREAS, Mr. Higgins' legacy lies in the profound effect he had on the countless persons he served throughout his career.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of Auburn University extend to the family and friends of Mr. Lamar P. Higgins sincere gratitude for his invaluable service to the state of Alabama; the Alabama governmental and legislative process, Auburn University; the city and people of Huntsville, Alabama; and all Alabamians; and

BE IT FURTHER RESOLVED that the Board hereby expresses its sympathy and condolences to the family and friends of Mr. Lamar P. Higgins in this period of sadness and that a copy of this resolution be presented to them so that they will know of this action and the university's deep sympathy.



RESOLUTION

NAMING A CLASSROOM ON THE SECOND FLOOR OF  
HORTON-HARDGRAVE HALL AS  
THE BELL/BAKER FAMILY CLASSROOM

WHEREAS, Ms. Janet Bell Baker earned her bachelor's degree in marketing from the Harbert College of Business in 1988; and

WHEREAS, Janet has been a generous benefactor of the Harbert College and Auburn University since 1998 through gifts to Horton-Hardgrave Hall and Athletics; and

WHEREAS, Janet hopes her contribution will enhance the Harbert College of Business and she is excited about what Horton-Hardgrave Hall will mean for the college and chose to name this classroom knowing she could be proud of the work accomplished there; and

WHEREAS, Janet's commitment is evident through her membership in the James E. Foy Loyalty Society and the 1856 Society; and

WHEREAS, Janet's time in the Harbert College of Business and at Auburn had a profound impact on her and, therefore, she is passionate about the direction of the Harbert College and is committed to its continued progress, as well as that of the entire university.

NOW, THEREFORE, BE IT RESOLVED that a classroom on the second floor of Horton-Hardgrave Hall be named The Bell/Baker Family Classroom in honor of Janet's generous support and ongoing dedication.

RESOLUTION

NAMING A ROOM ON THE THIRD FLOOR OF  
HORTON-HARDGRAVE HALL AS  
THE DR. A.Z. CARTER, JR. '45 ROOM

WHEREAS, Mr. Ronald D. Dyson, Jr. earned his bachelor's degree in logistics from the Harbert College of Business in 2001 and is currently seeking his on-line MBA through the Harbert College. Mrs. Meredith Dyson earned her bachelor's degree from the University of Texas at Austin in 2014; and

WHEREAS, the Dysons' commitment to the Harbert College and Auburn University is evident through their membership in the James E. Foy Loyalty Society and the 1856 Society. The Dysons also support the Jay and Susie Gogue Performing Arts Center; and

WHEREAS, Ron and Meredith established the Dyson Family Alumni Endowed Scholarship through the Auburn Alumni Association and they are members of the Alumni Association. Ron also served on the board of the Greater Houston Auburn Club; and

WHEREAS, Ron and Meredith are passionate about the direction of the Harbert College and are committed to its continued progress; and

WHEREAS, the Dysons are naming this room in memory of Ron's grandfather, Dr. A.Z. Carter, Jr. who graduate from Auburn Polytechnical Institute (API) in 1945 and from Tulane Medical School in 1947; and

WHEREAS, Dr. Carter was one of eight children and was blessed with 18 grandchildren and 8 great-grandchildren to date. Dr. Carter was a well know physician in Auburn for 55 years; and

WHEREAS, Dr. Carter instilled a love for family and a love for Auburn. His passion for Auburn football is shared by his entire family.

NOW, THEREFORE, BE IT RESOLVED that a team room on the third floor of Horton-Hardgrave Hall be named The Dr. A.Z. Carter, Jr. '45 Room in memory of Dr. Carter and in honor of the Dysons' generous support and ongoing dedication.

RESOLUTION

NAMING A PORCH ON THE THIRD FLOOR OF  
HORTON-HARDGRAVE HALL AS  
THE DAVID AND HELEN KESTERTON PORCH

WHEREAS, Mr. David R. Kesterton earned his bachelor's degree in management from the Harbert College of Business in 1984, and Mrs. Helen A. Kesterton earned her bachelor's degree in English from the College of Liberal Arts in 1990; and

WHEREAS, David and Helen have been generous benefactors of the Harbert College and Auburn University since 1984 through establishing the David and Helen Kesterton Endowed Fund for Excellence in the Harbert College and through gifts to Horton-Hardgrave Hall, the Harbert Excellence Fund, and Athletics; and

WHEREAS, David and Helen hope their contribution will enhance the Harbert College of Business and they are excited about what Horton-Hardgrave Hall will mean for the college and students; and

WHEREAS, the Kestertons were passionate about naming the porch overlooking Magnolia Avenue; and

WHEREAS, David and Helen's commitment is evident through their membership in the James E. Foy Loyalty Society, the Petrie Society, and the Samford Society; and

WHEREAS, The Kestertons' time in the Harbert College of Business and at Auburn had a profound impact on them and, therefore, they are passionate about the direction of the Harbert College and is committed to its continued progress, as well as that of the entire university.

NOW, THEREFORE, BE IT RESOLVED that the porch on the third floor of Horton-Hardgrave Hall be named The David and Helen Kesterton Porch in honor of the Kestertons' generous support and ongoing dedication.

RESOLUTION

NAMING OF TIERED AUDITORIUM ROOM 1118 IN THE  
WALKER PHARMACY BUILDING AS  
THE JOHNNY AND SUE BELL AUDITORIUM

WHEREAS, Johnny H. Bell, Jr. and Sue Tate Bell both graduated from Auburn University in 1970, Johnny with a bachelor's degree in pharmacy and Sue with a bachelor's degree in education; and

WHEREAS, Johnny H. Bell is a highly regarded entrepreneur having founded Vital Care Home Infusion Services in 1986, becoming a leader in the provision of home infusion services in both rural and metropolitan areas; and

WHEREAS, Johnny's mission to be the premier national network of individually owned infusion providers ensuring quality patient care made him a leader in West Alabama and a fine example of the leaders produced by Auburn University; and

WHEREAS, Johnny impacted many lives by the provision of quality health care services and by providing employment opportunities for youth and adults who are interested in health care; and

WHEREAS, Johnny and Sue established the Johnny and Sue Bell Endowed Chair in the Harrison School of Pharmacy, the first endowed chair for the school; and

WHEREAS, the Harrison School of Pharmacy is recommending the naming of the tiered auditorium room 1118 in the Walker Pharmacy Building in honor of Johnny and Sue Bell in recognition of their philanthropic generosity to Auburn University and the Harrison School of Pharmacy.

NOW, THEREFORE, BE IT RESOLVED that the tiered auditorium room 1118 in the Walker Pharmacy Building be named The Johnny and Sue Bell Auditorium in honor of their generous support and ongoing dedication to Auburn University.

RESOLUTION

NAMING THE DEPARTMENT HEAD OFFICE IN  
THE TONY AND LIBBA RANE CULINARY SCIENCE CENTER AS  
THE BRENDAN AND CHARLTON KAM DEPARTMENT HEAD OFFICE

WHEREAS, the Auburn University Hospitality Management Program is held in high acclaim regionally, nationally and internationally and has increasing demand for graduates; and

WHEREAS, such acclaim and increasing interest in the program and the study options of Culinary Science, Hotel and Restaurant Management, and Event Management has necessitated the construction of a facility to provide state-of-the-art learning for students; and

WHEREAS, Auburn University and the College of Human Sciences has initiated a campaign to construct such a facility; and

WHEREAS, Fred and Charlene Kam have responded to the call for philanthropic support of such a facility; and

WHEREAS, Fred and Charlene Kam have impacted the lives of many Hospitality Management students through their support of immersive out-of-classroom experiences; and

WHEREAS, two of Fred and Charlene's children, Brendan and Charlton, are proud graduates of the College of Human Sciences Hospitality Management Program; and

WHEREAS, the Auburn University College of Human Sciences is recommending the naming of the department head office in the Tony and Libba Rane Culinary Science Center in honor of Brendan and Charlton Kam.

NOW, THEREFORE, BE IT RESOLVED that the department head office in the Tony and Libba Rane Culinary Science Center be named The Brendan and Charlton Kam Department Head Office in honor of the Kam Family's generous support and ongoing dedication to Auburn University.

RESOLUTION

NAMING THE PRIVATE DINING ROOM IN  
THE TONY AND LIBBA RANE CULINARY SCIENCE CENTER AS  
THE W. JAMES SAMFORD, JR. FOUNDATION PRIVATE DINING ROOM

WHEREAS, the Auburn University Hospitality Management Program is held in high acclaim regionally, nationally, and internationally and has increasing demand for graduates; and

WHEREAS, such acclaim and increasing interest in the program and the study options of Culinary Science, Hotel and Restaurant Management, and Event Management has necessitated the construction of a facility to provide state-of-the-art learning for students; and

WHEREAS, Auburn University and the College of Human Sciences has initiated a campaign to construct such a facility; and

WHEREAS, the W. James Samford, Jr. Foundation has responded to the call for philanthropic support by making a significant gift to support such a facility; and

WHEREAS, the Samford family has been a part of Auburn University for generations with W. James Samford, Jr. serving on the Auburn University Board of Trustees, and twice elected as President *Pro Tempore*; and

WHEREAS, the Auburn University College of Human Sciences is recommending the naming of the private dining room in the Tony and Libba Rane Culinary Science Center in honor of the W. James Samford, Jr. Foundation in recognition of their philanthropic generosity to Auburn University and its Hospitality Management Program.

NOW, THEREFORE, BE IT RESOLVED that the private dining room of the Tony and Libba Rane Culinary Science Center be named The W. James Samford, Jr. Foundation Private Dining Room in honor of their generous support and ongoing dedication to Auburn University.

RESOLUTION

NAMING THE VESTIBULE AND LOBBY IN THE  
ADVANCED STRUCTURAL ENGINEERING LABORATORY AS  
THE B.L. HARBERT INTERNATIONAL LOBBY

WHEREAS, Billy L. Harbert Jr. is a 1988 graduate of Auburn University and serves as a leader at B.L. Harbert International; and

WHEREAS, brothers John and Bill Harbert, both Auburn University graduates, along with Ed Dixon founded Harbert Construction Company in 1949; and

WHEREAS, Billy Harbert has served as chairman and CEO of B.L. Harbert International since its inception in 2000; and

WHEREAS, the Harbert family has a tremendous legacy in civil engineering and the Harbert Center at Auburn University was named in their honor, and since 1986 has been serving as the home of impactful civil engineering research, instruction, and structural testing; and

WHEREAS, Billy Harbert and his family have remained committed to Auburn University, providing decades of support to the Samuel Ginn College of Engineering, the College of Architecture and Design, Auburn Athletics, the Jules Collins Smith Museum of Fine Arts, and the Jay and Susie Gogue Performing Arts Center; and

WHEREAS, the new Advanced Structural Engineering Laboratory will expand the forefront of civil engineering, having a lasting impact on the region, state, and nation; and

WHEREAS, Billy Harbert, B.L. Harbert International, and the Joy and Bill Harbert Foundation have given generously in support of the Advanced Structural Engineering Laboratory, continuing the Harberts' long legacy of giving to Auburn.

NOW, THEREFORE, BE IT RESOLVED that the Vestibule and Lobby in the Advanced Structural Engineering Laboratory be named The B.L. Harbert International Lobby in honor of their generous support and ongoing dedication.

RESOLUTION

NAMING THE WIND TESTING BAY IN THE  
ADVANCED STRUCTURAL ENGINEERING LABORATORY AS  
THE JOSEPH D. AND KATHY WEATHERFORD WIND TESTING BAY

WHEREAS, Joseph (Joe) D. Weatherford graduated from Auburn University in 1971 with a bachelor's degree in civil engineering; and

WHEREAS, he was employed at the Alabama Department of Transportation in Montgomery as a bridge design engineer; and

WHEREAS, Joe completed his MBA from Auburn in 1980 after beginning his tenure as a structural engineer for Sherlock, Smith, and Adams, an architectural and engineering firm; and

WHEREAS, from 1984-87, Joe served as chief structural engineer for the firm, supervising the preparation of all structural designs, drawings, and specifications; and

WHEREAS, he later served as vice president of the firm, and then in 1990, Joe founded Weatherford & Associates, Inc., a professional consulting structural engineering firm based in Montgomery serving the architectural and construction communities in the southeast; and

WHEREAS, in 2005, Joe co-founded Post Tension Technologies LLC in Montgomery, a full-service post tensioning construction company specializing in tensioned structural slab fabrication; and

WHEREAS, Joe is a licensed professional engineer in seven states and prior to his retirement in 2019, he was a member of the Structural Engineers Association of Alabama, American Concrete Institute, American Council of Engineering Companies, and served as an American Precast Institute plant certified inspector; and

WHEREAS, that the Wind Testing Bay in the Advanced Structural Engineering Laboratory be named The Joseph D. and Kathy Weatherford Wind Testing Bay in honor of their generous support and ongoing dedication.

NOW, THEREFORE, BE IT RESOLVED that the tiered auditorium room 1118 in the Walker Pharmacy Building be named The Johnny and Sue Bell Auditorium in honor of their generous support and ongoing dedication to Auburn University.



RESOLUTION

NAMING MEETING ROOM 2227 WITHIN  
HAROLD D. MELTON STUDENT CENTER  
AS THE CATER SOCIETY MEETING ROOM

WHEREAS, The Cater Society was founded in 1982 and recognizes seniors who have worked toward the advancement of women on Auburn's campus and in the community during their time at Auburn; and

WHEREAS, The Cater Society works to further women's involvement and fosters the Auburn Spirit in the name of former Dean of Women, Katharine Cooper Cater; and

WHEREAS, Katherine Cooper Cater supported women in extracurricular endeavors, encouraging them to make invaluable contributions to Auburn University; and

WHEREAS, The Cater Society has recognized the future potential of student leaders carrying out Dean Cater's legacy.

NOW, THEREFORE, BE IT RESOLVED that meeting room 2227 on the second floor of the Harold D. Melton Student Center be named The Cater Society Meeting Room in honor of Dean Cater and the countless other women leaders who have come through Auburn University leaving a lasting legacy and example for other Auburn women in the future.

RESOLUTION

NAMING THE EAGLE EYE STUDIO WITHIN THE  
HAROLD D. MELTON STUDENT CENTER AS  
THE CHLOE MELAS EAGLE EYE STUDIO

WHEREAS, Chloe Melas Mazza is an alumna from the College of Liberal Arts in Radio, Television, Film (Mass Communications) now known as Broadcast Journalism; and

WHEREAS, Chloe Melas Mazza was a member of the Eagle Eye news staff, which is the student – led television station on campus; and

WHEREAS, Chloe Melas Mazza began her career as a news assistant for CNN before launching a highly successful entertainment website, HollywoodLife, in 2009 with Bonnie Fuller; and

WHEREAS, Chloe Melas Mazza served as a co-host of the VH1 morning show, “The Gossip Table” beginning in 2013; and

WHEREAS, Chloe Melas Mazza returned to CNN in 2016 as an entertainment reporter where she has covered stories such as the Weinstein criminal trial and the death of NBA legend Kobe Bryant.

NOW, THEREFORE, BE IT RESOLVED that the Eagle Eye Studio in the Harold D. Melton Student Center (unit 1105L) be named The Chloe Melas Eagle Eye Studio (Melas Eagle Eye Studio) in honor of Chloe Melas Mazza '08, for her significant contributions in the entertainment industry and to Auburn University.

RESOLUTION

NAMING THE SENIOR VICE PRESIDENT'S OFFICE WITHIN  
THE HAROLD D. MELTON STUDENT CENTER AS  
THE COLE FAMILY SENIOR VICE PRESIDENT OFFICE

WHEREAS, John P. Cole, Jr. '53 is an alumnus from the College of Engineering in Industrial Engineering, John M. Cole '85 is an alumnus from the College of Liberal Arts in Political Science, and Logan M. Cole is a current student at Auburn University; and

WHEREAS, John P. Cole had a successful career in Cable TV Law and was instrumental in getting the "Thrilla in Manila" broadcast on HBO, which was the first broadcast via a continuous signal over satellite; and

WHEREAS, John M. Cole had a successful career in Development serving as the Development Officer for The George Washington University Law School and as the Director of Planned Giving for the Dallas Foundation; and

WHEREAS, Meredyth Cole is the Head of School at The Lovett School in Atlanta, which sends many students to Auburn every year; and

WHEREAS, John M. and Meredyth Cole currently serve on the Student Affairs Development Board and have chaired the Student Initiatives subcommittee providing invaluable support and expertise on how to better elevate the student experience on campus.

NOW, THEREFORE, BE IT RESOLVED that the Senior Vice President's Office in the Harold D. Melton Student Center (Suite 3248, office 3241) be named The Cole Family Senior Vice President's Office (Cole Family SVP Office) in honor of John P. Cole, Jr '53, John M. Cole '85, proud alumni from the University, and Logan M. Cole for their love of Auburn.

RESOLUTION

NAMING THE STUDENT INVOLVEMENT FRONT DESK WITHIN  
THE HAROLD D. MELTON STUDENT CENTER AS  
THE GLORIA FINLEY FRONT DESK

WHEREAS, Jonathan McConnell '03, an alumnus from the Harbert College of Business and former SGA President, wishes to honor the memory of Gloria Finley, affectionately known as "Ms. G"; and

WHEREAS, Gloria Finley began her career at Auburn in 1985 serving as an Administrative Associate and later as a Business Coordinator for Student Affairs, grew in popularity amongst student leaders and colleagues due to her infectious personality, and her compassionate spirit; and

WHEREAS, Gloria Finley made a huge impact on campus through her kindness and generosity and brought a wealth of knowledge and stability to student organization operations throughout her career; and

WHEREAS, Gloria Finley worked closely with members of SGA and the Aubie Program on budgeting, purchasing, and financial decision making; and

WHEREAS, Gloria Finley was committed to supporting Auburn student leaders and sharing her passion for promoting diversity, equity, and inclusion among the student body; and

NOW, THEREFORE, BE IT RESOLVED that the Student Involvement Front Desk in the Harold D. Melton Student Center (Suite 3130) be named The Gloria Finley Front Desk ("Ms. G" Front Desk) in memory of Gloria Finley for her loyalty and dedication to Auburn University for over 35 years.

RESOLUTION

NAMING THE STUDENT STUDY AREA  
ON THE THIRD FLOOR OF HORTON-HARDGRAVE HALL AS  
THE NORMA BLATCHFORD STUDENT STUDY AREA

WHEREAS, Mr. Frederick R. Blatchford earned his bachelor's degree in industrial and operations management in 1985, and has been a generous supporter of the Harbert College of Business and Auburn University for more than a decade; and

WHEREAS, Fred is a generous supporter of the Harbert College of Business through his endowed scholarships and fellowship, as well as his service on the Business Advisory Council, recently serving at its chair; and

WHEREAS, Fred previously served on the Harbert College of Business Campaign Committee and has been a frequent guest speaker in classes; and

WHEREAS, Fred's commitment to the Harbert College and Auburn University is evident through his membership in the Shareholders' Club, the James E. Foy Loyalty Society, the Athletics All-American Society, the Petrie Society, and the 1856 Society; and

WHEREAS, Fred's time in the Harbert College of Business had a profound impact on him and, therefore, he is passionate about the direction of the Harbert College of Business and is committed to its continued progress.

NOW, THEREFORE, BE IT RESOLVED that the student study area on the third floor of Horton-Hardgrave Hall be named The Norma Blatchford Study Area in memory of his mother and in honor of his generous support and ongoing dedication.

RESOLUTION

NAMING THE MEREDITH H. JENKINS OFFICE SUITE  
IN THE AUBURN ATHLETICS COMPLEX

WHEREAS, Meredith Jenkins served Auburn University for 24 years, beginning as an Assistant Media Relations Director and retiring in 2018 as Executive Associate Athletics Director and Senior Woman Administrator; and

WHEREAS, Meredith Jenkins, a difference maker and trailblazer in collegiate athletics, became the first woman to oversee an athletics communications department and serve as the primary football contact at a Southeastern Conference institution, and was one of just four women serving at that time as the primary football contact for a Division I school; and

WHEREAS, Meredith, having worked directly with 20 of Auburn's 21 sports during her career, led departmental strategic planning and management efforts with oversight of a \$140 million budget; and

WHEREAS, Meredith, an advocate for women's sports teams, coaches, and female student-athletes, started the Auburn Athletics WINGS program (Women Inspiring and Nurturing Greatness in Student-Athletes) which focuses on mentoring and job placement for female student-athletes and raising funds for Auburn's women's sports teams; and

WHEREAS, Meredith, through her enthusiasm and tireless efforts impacted thousands of student-athletes, was Auburn University's Woman of Distinction in 2010, and was named the 2014 Pamela Wells Sheffield Award recipient; and

WHEREAS, Meredith's impact reached beyond Auburn, as she served on the NCAA Division I Soccer Committee, presiding two terms as its chair, and on numerous SEC committees, including the executive committee; and

WHEREAS, Auburn Athletics desires to honor Meredith's dedication to and impact on collegiate athletics and her advocacy and advancement of women's athletics.

NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that the Executive and Senior Associate Athletics Directors office suite, located in the Auburn Athletics Complex be named The Meredith H. Jenkins Office Suite.

RESOLUTION

RECOGNITION OF DIRECTOR GARY LEMME

WHEREAS, Dr. Gary Lemme has served Auburn University with distinction as the director of the Alabama Cooperative Extension System since 2011; and

WHEREAS, under his exceptional leadership, one in three Alabamians now benefit from Extension programs to improve quality of life delivered collaboratively with partners at Alabama A&M University; and

WHEREAS, Dr. Lemme marshalled the combined talents and expertise of scientists, instructional designers, IT specialists, and communication professionals to create objective, research-based educational programs; and

WEREAS, he led the Alabama Cooperative Extension System into the digital era with an increasing number of educational products and programs delivered online or through electronic media, thereby reaching a broader group of users; and

WHEREAS, as a steward of public funds, Dr. Lemme oversaw critical assessments of ACES programs to ensure they achieved socially and economically beneficial impacts; and

WHEREAS, through his leadership, the Alabama Cooperative Extension System assisted families, communities, and businesses in preparing for natural disasters, notably tornados and hurricanes, to minimize loss of property and life and afterwards gathering necessary information to support recovery efforts and financial assistance; and

WHEREAS, Dr. Lemme was a staunch supporter of the premier youth education and leadership program, 4-H, which saw membership increase by 46 percent in the last five years; and

WHEREAS, Dr. Lemme was a ubiquitous force in professional meetings, university leadership groups, industry and community organizations, and state boards, where he shared his wisdom, his candor, and his jovial insights.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of Auburn University extends to Dr. Gary Lemme sincere gratitude for his invaluable service to Auburn University and the Alabama Cooperative Extension System and bestows upon him the title Director *Emeritus*; and

BE IT FURTHER RESOLVED that a copy of this resolution be presented to Dr. Lemme so that he, his wife, Terry, and their family are reminded of Auburn University's deep gratitude and respect for his many efforts on behalf of Auburn University and the Alabama Cooperative Extension System.

RESOLUTION

AWARDING AN HONORARY DOCTORATE OF SCIENCE TO  
WALTER S. WOLTOSZ

WHEREAS, Walt Woltosz graduated from Auburn University with a bachelor's degree in aerospace engineering in 1969, earned his master's in administrative science from the University of Alabama at Huntsville in 1976, and his master's in aerospace engineering from Auburn in 1977; and

WHEREAS, early in his career in the aerospace industry, Mr. Woltosz managed the development of innovative simulation and modeling software for key space and military systems; and

WHEREAS, in 1980, Mr. Woltosz turned his attention to developing augmentative communication systems for persons with severe disabilities; and

WHEREAS, since 1981, Words+ Inc. has been a leader in creating state-of-the-art products that “unlock the person” by providing the highest quality communication and computer access tools available – products that have been recognized by the Smithsonian Institute; and

WHEREAS, Mr. Woltosz later founded Simulations Plus Inc., where he served as CEO and chairman and oversaw the advancement of one of the nation's premier high technology companies dedicated to providing leading-edge pharmaceutical simulations and modeling software in health care; and

WHEREAS, as a leading authority in these fields, Mr. Woltosz has published dozens of articles in highly respected publications such as the American Association of Pharmaceutical Scientists (AAPS) Journal and the Journal of Computer-Aided Molecular Design, where his work has been widely recognized as being highly innovative, and even pioneering; and

WHEREAS, Mr. Woltosz and his wife, Ginger, have supported Auburn University in many broad and impactful ways, having provided graduate fellowships, facilities and excellence funds for the College of Engineering, while also supporting performing arts, athletics, veterinary medicine, architecture and War Eagle Motorsports;

NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that that Auburn University awards Walter S. Woltosz with an Honorary Doctorate of Science in honor of his scientific achievements, service to the university, state and nation, and for his service to mankind.



*President Pro Tempore* Smith then shared that he wished to formally recognize two resolutions passed by the Board, a commendation honoring Ms. Jane DiFolco Parker and a commendation honoring Dr. Gary Lemme.

*President Pro Tempore* Smith began with the recognition of Ms. Jane DiFolco Parker. *President Pro Tempore* Smith expressed deep and sincere gratitude to Ms. Parker for all of her accomplishments during her tenure as Vice President for Development. *President Pro Tempore* Smith commented that, under Ms. Parker's extraordinary leadership, she helped bring the University to new heights and led the institution's largest fundraising initiative to date. *President Pro Tempore* Smith then asked Board Secretary Waggoner to read aloud the resolution passed at the July 9, 2021 commending Ms. Parker for her service to Auburn University.

Following the reading of the resolution, Dr. Gogue presented Ms. Parker with a framed copy of the signed resolution. Dr. Gogue shared that Ms. Parker, "personifies professionalism and grace". He, too, thanked Ms. Parker for her leadership and service, stating that Ms. Parker was instrumental in Auburn's advancement during the past decade. Dr. Gogue stated that the entire Auburn Family owes a great deal to Ms. Parker and that she and her family will be greatly missed. Dr. Gogue then asked Ms. Parker to give any remarks to the Board.

Ms. Parker thanked the Board, stating that the recognition was "an honor". Ms. Parker also thanked for the Board for their continual support during her career at Auburn. Ms. Parker then thanked her family and colleagues for their unwavering support. Ms. Parker shared that the chance to serve Auburn was, "an opportunity of a lifetime". Ms. Parker stated that she and her family will continue to support Auburn from afar and wished the Auburn Family the best moving forward.

*President Pro Tempore* Smith again thanked Ms. Parker for all of her efforts and shared how great it was to have her, her family, and her colleagues joining the Board for such a special moment honoring, "an Auburn great".

*President Pro Tempore* Smith then began the recognition of Dr. Gary Lemme, the retiring director of the Alabama Cooperative Extension System. *President Pro Tempore* Smith thanked Dr. Lemme for all of the work he has done during his career, commenting that he had, "a tremendous impact" on Auburn University and Alabamians alike. *President Pro Tempore* Smith stated that Dr. Lemme helped advance the Alabama Cooperative Extension System in a positive direction. *President Pro Tempore* Smith thanked Dr. Lemme and asked Board Secretary Waggoner to read aloud the resolution passed earlier that day commending Dr. Lemme for his service.

Following the reading of the resolution, Dr. Gogue presented Dr. Lemme with a framed copy of the signed resolution. Dr. Gogue shared with the Board the importance of Dr. Lemme's work. Dr. Gogue stated that has been a pleasure to see Dr. Lemme lead the Alabama Cooperative Extension System over the years and knows that Dr. Lemme has left giant shoes to fill. Dr. Gogue then asked Dr. Lemme to give any remarks to the Board.

Dr. Lemme shared with the Board how deeply touched he was by their decision to honor his service to Auburn University and the Alabama Cooperative Extension System. Dr. Lemme stated, "For someone who spends their whole life serving others, the most humbling and gratifying

sentiment, is when the people you serve tell you thank you.” Dr. Lemme then thanked the Board, Dr. Gogue, his staff, and his family for their support over the years. Dr. Lemme shared that he is pleased with the work he has done and will continue to support Auburn in any way that he is able.

*President Pro Tempore Smith* congratulated Dr. Lemme on an exemplary career and again thanked him for his service.

*President Pro Tempore Smith* then reminded everyone of the Dr. Bessie Mae Holloway Building Dedication which occurred later that afternoon.

*President Pro Tempore Smith* indicated that with there being no further items for review, the meeting was recessed at 11:30 a.m.

Respectfully Submitted,

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Jon G. Waggoner  
Secretary to the Board of Trustees

## RESOLUTION

### AWARDING OF DEGREES

WHEREAS, Auburn University confers appropriate degrees upon those individuals who have completed requirements previously approved by this Board of Trustees and stated in University Catalogs.

NOW, THEREFORE, BE IT RESOLVED as follows:

1. That all degrees to be awarded by the faculty of Auburn University and Auburn University at Montgomery at the end of Summer Semester 2021, complying with the requirements heretofore established by the Board of Trustees, be and the same are hereby approved.
2. That a list of the degrees awarded be filed and maintained in the records of the University and hereby made an official part of this resolution and of these minutes.

## RESOLUTION

### APPROVAL OF INDEPENDENT AUDITORS FOR FISCAL YEAR ENDED SEPTEMBER 30, 2021

WHEREAS, the Audit and Compliance Committee of the Board of Trustees is charged with recommending the appointment of the University's external auditor; and

WHEREAS, the Audit and Compliance Committee recommends the appointment of PricewaterhouseCoopers to audit the University's financial statements and to conduct the federally-required A-133 audit.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that PricewaterhouseCoopers is appointed as the University's external auditor for Fiscal Year ended September 30, 2021.

RESOLUTION  
QUAD RESIDENCE HALLS RENOVATION  
PHASE I: HARPER AND BROUN HALLS  
FINAL PROJECT APPROVAL

WHEREAS, at its previous meeting on July 9, 2020, the Board of Trustees adopted a resolution that approved the initiation of the Quad Residence Halls Renovation project, and at its meeting on September 4, 2020, the Board of Trustees adopted a resolution that approved DAVIS of Birmingham, Alabama, as the project architect; and

WHEREAS, the Quad Residence Halls Renovation project will be executed in five phases, each consisting of two buildings a year, until all ten residence halls are updated; and

WHEREAS, the Quad Residence Halls Renovation – Phase I: Harper and Broun Halls project (‘Phase I’) will renovate approximately 51,510 square feet of existing interior and exterior space located in Harper and Broun Halls; and

WHEREAS, Phase I program requirements include replacing mechanical, electrical, plumbing and HVAC systems, upgrades to furnishings and fixtures, renovations to meet current ADA code requirements, replacing all doors and windows, and utility improvements to provide hot water; and

WHEREAS, the estimated total project cost for Phase I is \$13.5 million, to be financed by Campus Housing funds and University Repair and Renovation funds; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval,” the final approval of the project must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Quad Residence Halls Renovation – Phase I: Harper and Broun Halls project is approved and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Establish a budget for the Quad Residence Halls Renovation – Phase I: Harper and Broun Halls project in the amount of \$13.5 million, to be financed by Campus Housing funds and University Repair and Renovation funds; and
2. Direct the consultants to complete the required plans for the project; and
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget approved above.

## RESOLUTION

### AUBURN UNIVERSITY REGIONAL AIRPORT MAINTENANCE HANGAR EXPANSION

#### APPROVAL OF PROJECT INITIATION AND PROJECT ENGINEER SELECTION

WHEREAS, the Executive Vice President proposes a project to expand the maintenance hangar at the Auburn University Regional Airport which was constructed in 2018; and

WHEREAS, the proposed project will construct an addition to the existing maintenance hangar located at the south ramp to provide additional hangar space and administrative offices; and

WHEREAS, at its meeting on November 20, 2020, the Board of Trustees approved the engagement of Garver, LLC, of Huntsville, Alabama, as the Airport Engineer; and

WHEREAS, to facilitate an accelerated design process, the University Architect recommends the approval of Garver, LLC, as the project engineer; and

WHEREAS, this project will be financed by Aviation Center funds; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval,” the initiation of a project and the engineer selection must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Auburn University Regional Airport Maintenance Hangar Expansion project is approved, and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Engage Garver, LLC, of Huntsville, Alabama, as project engineer to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

## RESOLUTION

### AUBURN UNIVERSITY REGIONAL AIRPORT T-HANGAR CONSTRUCTION

#### APPROVAL OF PROJECT INITIATION AND PROJECT ENGINEER SELECTION

WHEREAS, the Executive Vice President proposes a project to construct a new ten (10) bay T-Hangar at the Auburn University Regional Airport at the north ramp; and

WHEREAS, the proposed hangar would be leased by the Airport to commercial or private aircraft owners and would serve as a source of future revenue; and

WHEREAS, at its meeting on November 20, 2020, the Board of Trustees approved the engagement of Garver, LLC, of Huntsville, Alabama, as the Airport Engineer; and

WHEREAS, to facilitate an accelerated design process, the University Architect recommends the approval of Garver, LLC, as the project engineer; and

WHEREAS, this project will be financed by an Alabama Department of Transportation grant and University General Funds; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval,” the initiation of a project and the engineer selection must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Auburn University Regional Airport T-Hangar Construction project is approved, and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Engage Garver, LLC, of Huntsville, Alabama, as project engineer to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

## RESOLUTION

### COLLEGE OF HUMAN SCIENCES CLINIC RENOVATION AND RELOCATION

#### APPROVAL OF PROJECT INITIATION AND ARCHITECT SELECTION

WHEREAS, at its meeting on September 13, 2019, the Board of Trustees approved the initiation of the University Student Housing project with the proposed site location for the new student housing on the Haley Concourse; and

WHEREAS, the proposed site is currently occupied by three College of Human Sciences clinic buildings: Early Learning Center, Marriage & Family Therapy Center, and the Center for Health Ecology & Equity Research; and

WHEREAS, the Provost proposes to relocate the academic and outreach programs of the three College of Human Sciences clinics to other facilities on campus; and

WHEREAS, the proposed project would renovate the Dawson Building for the eventual relocation of the Early Learning Center program; and

WHEREAS, due to the need to complete this renovation and relocation to enable the student housing project to begin construction by the summer of 2022, the University Architect requests that the architectural firm, Birchfield Penuel & Associates, of Birmingham, Alabama, be approved to provide design services on this project; and

WHEREAS, it is anticipated that this facility would be financed by University General Funds; and

WHEREAS, pursuant to the Board of Trustees policy, “D-3, Capital Projects Approval,” the initiation of this project must be submitted to the Board, through the Property and Facilities Committee, for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the College of Human Sciences Clinic Renovation and Relocation project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Engage Birchfield Penuel & Associates, of Birmingham, Alabama, as project architect to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.



## RESOLUTION

### PROPOSED MASTER OF SCIENCE IN FOREST BUSINESS AND INVESTMENT

WHEREAS, the School of Forestry and Wildlife Sciences currently offers graduate programs that promote the sustainable management and economic advancement of natural resources; and

WHEREAS, Alabama maintains the second-largest timberland base in the United States that serves as a significant component of our state's economy, accounting for more than \$27 billion in economic impact and employing more than 123,500 citizens; and

WHEREAS, the School of Forestry and Wildlife Sciences proposes a new graduate degree, the Master of Science in Forest Business and Investment (Non-Thesis), for professionals seeking advanced knowledge and skills necessary for strategic investment and financial decision making in the forestry industry; and

WHEREAS, the proposed degree will prepare professionals for leadership positions in lending agencies, timberland investment organizations, real estate investment trusts, forest industries, and land management companies, all of which contribute substantially to Alabama's economy; and

WHEREAS, the request to create this degree has been endorsed by the Dean of the School of Forestry and Wildlife Sciences, the Graduate Council, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Master of Science in Forest Business and Investment (Non-Thesis) be approved and submitted to the Alabama Commission on Higher Education for review and approval.