




AUBURN UNIVERSITY

OFFICE OF THE PRESIDENT

MEMORANDUM

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**TO:** The Board of Trustees  
**FROM:** Dr. Jay Gogue, President   
**SUBJECT:** September 10, 2021 Board of Trustees Meeting  
**DATE:** August 31, 2021

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Enclosed, please find the materials for the September 10, 2021 Board of Trustees Meeting. Please note that all events will take place in the Legacy Ballroom of the Hotel at Auburn University and Dixon Conference Center unless otherwise noted.

**Thursday, September 9, 2021**

1:00 p.m. Workshop

**Friday, September 10, 2021**

9:00 a.m. Call to Order and Opening Remarks  
9:05 a.m. Property and Facilities Committee Meeting  
9:30 a.m. Academic Affairs Committee Meeting  
9:40 a.m. Finance Committee Meeting  
9:50 a.m. Institutional Advancement Committee Meeting  
10:00 a.m. Executive Committee Meeting  
10:15 a.m. Trustee Reports  
10:30 a.m. Regular Meeting of the Board of Trustees  
10:35 a.m. Proposed Executive Session (Azalea Room)  
11:15 a.m. Reconvened Meeting of the Board of Trustees

We appreciate all that you do for Auburn University and look forward to seeing you soon. Please let Jon Waggoner, Sherri Williams, or me know if you have any questions or concerns.

JG/nm

Enclosures

cc: Mr. Jon G. Waggoner, Secretary to the Board of Trustees (w/encl.)  
President's Cabinet (w/encl.)

**SCHEDULE AND AGENDA  
SEPTEMBER 10, 2021 BOARD MEETING  
AUBURN UNIVERSITY BOARD OF TRUSTEES**

- I. Call to Order and Opening Remarks | 9:00 a.m.
- II. Committee Meetings | 9:05 a.m.
  - A. Property and Facilities Committee | Chairperson DeMaioribus | 9:05 a.m.
    - 1. Lowder Hall Financial Leadership Collaborative Laboratory Renovation: Final Project Approval (Dan King/Annette Ranft)
    - 2. Ham Wilson Arena Renovation: Cancellation of Project and Authorization of Demolition and Facilities Management New Training Center: Approval of Project Initiation and Approval of Architect Selection (Dan King)
    - 3. Duncan Drive Extension and Infrastructure: Approval of Project Initiation and Approval of Architect Selection (Dan King)
    - 4. Transformation Gardens: Approval of Project Initiation and Authorization to Commence the Architect Selection Process (Dan King/Paul Patterson)
    - 5. North Auburn Equine Research Facility – Addition: Approval of Project Initiation and Authorization to Commence the Architect Selection Process (Dan King/Calvin Johnson)
    - 6. Plainsman Park Player Development Center – Phase II: Approval of Project Initiation and Authorization to Commence the Architect Selection Process (Dan King/Allen Greene)
    - 7. Auburn Arena Women’s Basketball Locker Room Renovation: Approval of Project Initiation and Authorization to Commence the Architect Selection Process (Dan King/Allen Greene)
    - 8. McWhorter Center Gymnastics and Softball Team Area Renovation: Approval of Project Initiation and Authorization to Commence the Architect Selection Process (Dan King/Allen Greene)
    - 9. Authority to Sell University Land in Montgomery, Alabama (Dan King/Mark Stirling)
    - 10. Authority to Purchase Property in Auburn, Alabama (Dan King/Mark Stirling)

11. Status Updates – *For Information Only* (Dan King)

- a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater
- b. Quarterly Report for Projects Costing More than \$500,000 but Less than \$1,000,000 – 3<sup>rd</sup> Quarter, Fiscal Year 2021
- c. Project Status Report

B. Academic Affairs Committee | Chairperson Newton | 9:30 a.m.

1. Proposed Closure of the Bachelor of Science in Food Science (Bill Hardgrave)
2. Proposed Doctor of Physical Therapy (Bill Hardgrave)
3. Agenda Item for the Board of Trustees – *For Information Only* (Bill Hardgrave)

C. Finance Committee | Chairperson Harbert | 9:40 a.m.

1. Approval of the 2021-2022 Budget (Kelli Shomaker)

D. Institutional Advancement Committee | Chairperson Roberts | 9:50 a.m.

1. Auburn University Endowment Portfolio Update – *For Information Only* (John Morris/Greg DeSisto)

E. Executive Committee | Chairperson Smith | 10:00 a.m.

1. Posthumous Awarding of the Bachelor of Science in Aviation Management to Kylie Nicole Murray (Bill Hardgrave)
2. Selection of Board Members to the Alumni Lifetime Achievement Awards Committee – *For Information Only* (Bob Dumas)
3. Recommendation from the Trustee Task Force (Elizabeth Huntley/James Pratt)
4. Proposed Awards and Namings (Wayne Smith)

F. Trustee Reports | 10:15 a.m.

III. Regular Meeting of the Board of Trustees | 10:30 a.m.

IV. Proposed Executive Session | 10:35 a.m.

V. Reconvened Meeting of the Board of Trustees | 11:15 a.m.

1. Approval of the June 11, 2021 Annual Meeting Minutes and the August 6, 2021 Special Called Meeting Minutes
2. Awarding of Degrees for Fall 2021
3. AUM Chancellor's Report
4. President's Report
5. Action Items and Committee Meeting Reports
  - A. Property and Facilities Committee
    1. Lowder Hall Financial Leadership Collaborative Laboratory Renovation: Final Project Approval
    2. Ham Wilson Arena Renovation: Cancellation of Project and Authorization of Demolition and Facilities Management New Training Center: Approval of Project Initiation and Approval of Architect Selection
    3. Duncan Drive Extension and Infrastructure: Approval of Project Initiation and Approval of Architect Selection
    4. Transformation Gardens: Approval of Project Initiation and Authorization to Commence the Architect Selection Process
    5. North Auburn Equine Research Facility – Addition: Approval of Project Initiation and Authorization to Commence the Architect Selection Process
    6. Plainsman Park Player Development Center – Phase II: Approval of Project Initiation and Authorization to Commence the Architect Selection Process
    7. Auburn Arena Women's Basketball Locker Room Renovation: Approval of Project Initiation and Authorization to Commence the Architect Selection Process
    8. McWhorter Center Gymnastics and Softball Team Area Renovation: Approval of Project Initiation and Authorization to Commence the Architect Selection Process
    9. Authority to Sell University Land in Montgomery, Alabama
    10. Authority to Purchase Property in Auburn, Alabama

B. Academic Affairs Committee

1. Proposed Closure of the Bachelor of Science in Food Science
2. Proposed Doctor of Physical Therapy

C. Finance Committee

1. Approval of the 2021-2022 Budget

D. Executive Committee

1. Posthumous Awarding of the Bachelor of Science in Aviation Management to Kylie Nicole Murray
2. Recommendation from the Trustee Task Force
3. Proposed Awards and Namings

VI. Recess Meeting | 11:45 a.m.

## **EXECUTIVE SUMMARY**

### **SEPTEMBER 10, 2021 BOARD OF TRUSTEES MEETING**

#### **A. Property and Facilities Committee**

##### **1. Lowder Hall Financial Leadership Collaborative Laboratory Renovation: Final Project Approval**

Project Summary: This project will renovate approximately 4,000 square feet of the existing space in Lowder Hall to construct two financial laboratories, of up to fifty (50) seats each, dedicated to developing the practical skills essential in the financial services industry. The renovation would include new LED lighting, glass walls along corridor, modern floor and wall finishes, new furnishings, and a new electronic scrolling stock ticker display.

The estimated total project cost for the Lowder Hall Financial Leadership Collaborative Laboratory Renovation is \$3.5 million, to be financed by the Harbert College of Business.

Requested Action: It is requested that the Board of Trustees adopt a resolution providing final approval of the Lowder Hall Financial Leadership Collaborative Laboratory Renovation project.

Previous Approval(s): At its previous meeting on November 20, 2020, the Board of Trustees adopted a resolution that approved the initiation of the Lowder Hall Financial Leadership Collaborative Laboratory Renovation project, and at its meeting on February 5, 2021, the Board of Trustees approved INOX Design, of Marietta, Georgia, as the project architect.

##### **2. Ham Wilson Arena Renovation: Cancellation of Project and Authorization of Demolition and Facilities Management New Training Center: Approval of Project Initiation and Approval of Architect Selection**

Project Summary: This Board of Trustees action implements the recent decisions made on the Ham Wilson Arena and the Facilities Management New Training Center project. The university has decided the highest and best use for the Ham Wilson Arena site is for future academic or research facilities, and demolition of the facility is recommended. Facilities Management has been directed to construct its training facility within the Facilities Management complex on West Samford Avenue.

It is anticipated the demolition of the Ham Wilson Arena will be funded by University General Funds, and the Facilities Management New Training Center project will be financed by Facilities Management reserve funds.

Requested Action: It is requested that the Board of Trustees cancel the Ham Wilson Arena Renovation project, authorize the demolition of the facility, adopt a resolution to approve the initiation of the Facilities Management New Training Center, and approve the selection of JMR+H Architecture, of Montgomery, Alabama, as the project architect.

Previous Approval(s): At its previous meeting on February 7, 2020, the Board of Trustees adopted a resolution that approved the initiation of the Ham Wilson Arena Renovation project and the selection of JMR+H Architecture of Montgomery, Alabama, as the project architect. During its meeting on April 16, 2021, the Board of Trustees adopted a resolution that provided final project approval.

### **3. Duncan Drive Extension and Infrastructure: Approval of Project Initiation and Approval of Architect Selection**

Project Summary: The Duncan Drive Extension & Infrastructure project will support the campus road system and the College of Agriculture's Transformation Gardens project. It will construct a two-lane campus road that extends Duncan Drive from Lem Morrison Drive to Woodfield Drive with bicycle lanes, sidewalks, street lighting, overhead power, storm sewer, and domestic water lines. This project will also complete site grading, stormwater management and installation of utilities infrastructure for the adjacent College of Agriculture's Transformation Gardens.

To facilitate an accelerated design process, LBYD Engineers of Birmingham, Alabama, is recommended as the project engineer. LBYD has designed several Auburn University facilities and infrastructure projects and has worked on the initial planning efforts for this project. Their expertise in major campus infrastructure projects will be highly valuable in the development of the program.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the initiation of the project and the selection of the firm, LBYD Engineers of Birmingham, Alabama, as project engineer for the Duncan Drive Extension & Infrastructure project.

Previous Approval(s): None.

### **4. Transformation Gardens: Approval of Project Initiation and Authorization to Commence the Architect Selection Process**

Project Summary: The College of Agriculture proposes the construction and development of the Transformation Gardens, a 16-acre garden that will enhance the college's teaching and outreach efforts, benefiting both students and the general public. The Transformation Gardens will serve as a place where students will get hands-on experience with the latest industry practices, research faculty will tackle key challenges, a teaching space, and a production facility for Auburn's Campus Dining program. The Transformation Gardens will be developed in numerous phases over the next several years by the College of Agriculture.

It is anticipated that this facility would be financed by gift funds to the College of Agriculture.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the initiation of the Transformation Gardens project and to authorize commencement of the architect selection process.

Previous Approval(s): None.

**5. North Auburn Equine Research Facility – Addition: Approval of Project Initiation and Authorization to Commence the Architect Selection Process**

Project Summary: The College of Veterinary Medicine proposes the construction of a new addition to the North Auburn Equine Research Facility to support the federally-funded research program in North Auburn. The current program operates out of temporary facilities which are inadequate to support future growth of this important research program. The proposed project will allow this program to bring their facilities up to modern standards and to grow the research capabilities to match increased new grant funding opportunities.

It is anticipated that this facility would be financed by College of Veterinary Medicine funds.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the initiation of the North Auburn Equine Research Facility-Addition project and to authorize commencement of the architect selection process.

Previous Approval(s): None.

**6. Plainsman Park Player Development Center – Phase II: Approval of Project Initiation and Authorization to Commence the Architect Selection Process**

Project Summary: The Plainsman Park Player Development Center Phase I facility was completed in January 2021. The Athletics Department proposes to commence the next phase of development for this facility with improvements to enhance the Plainsman Park fan experience. The project will include premium seating improvements above the new Player Development Center as well as additional seating, new restrooms, concessions, a covered pavilion, and a connection to the existing seating concourse. It is anticipated that this facility would be financed by the Athletics Department through a combination of bonds and gifts.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the initiation of the Plainsman Park Player Development Center Phase II project and to authorize commencement of the architect selection process.

Previous Approval(s): None.

**7. Auburn Arena Women's Basketball Locker Room Renovation: Approval of Project Initiation and Authorization to Commence the Architect Selection Process**

Project Summary: The Athletics Department proposes the renovation of the women's basketball locker room area within the Auburn Arena. This project will allow the program to bring their facilities up to competitive standards with planned improvements to the team's meeting areas, video review lab, team lounge, nutrition area, coaches' locker room, and restrooms.

It is anticipated this renovation project would be financed by the Athletics Department through use of bond funds.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the initiation of the Auburn Arena Women's Basketball Locker Room Renovation project and to authorize commencement of the architect selection process.

Previous Approval(s): None.

**8. McWhorter Center Gymnastics and Softball Team Area Renovation: Approval of Project Initiation and Authorization to Commence the Architect Selection Process**

Project Summary: The Athletics Department proposes the renovation of the Gymnastics and Softball team area within the McWhorter Center. The McWhorter Center was originally constructed in 1999, and is in need of an upgrade to effectively support the development of student-athletes. The proposed project will renovate Gymnastics and Softball team spaces within the McWhorter Center to allow these programs to compete nationally at the highest level.

It is anticipated this renovation project would be financed by the Athletics Department through a combination of bonds and gifts.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the initiation of the McWhorter Center Gymnastics & Softball Team Area Renovation project and to authorize commencement of the architect selection process.

Previous Approval(s): None.

**9. Authority to Sell University Land in Montgomery, Alabama**

Project Summary: Auburn University at Montgomery (AUM) requests authority to sell a parcel of land that had previously been gifted to the University. This proposal involves a 5.59 acre site located on US Hwy 331. AUM has determined that this parcel will not be used for any strategic need and has recommended the sale of this parcel. As approved by the Board of Trustees in February, 2021, Auburn University hired a broker to market this site and solicit acceptable offers to purchase at fair market value. Since that time, the university has received an offer that exceeds the MAI appraisal of the property.

Requested Action: It is requested that the Board of Trustees approve and accept the offer to purchase the property at US Hwy 331, Montgomery, Alabama, and authorize the sale of the parcel consistent with Alabama law, provided that any sale transactions must be reviewed and approved by the Office of General Counsel prior to closing.

Previous Approval(s): At its previous meeting on February 5, 2021, the Board of Trustees adopted a resolution authorizing engagement to a duly licensed real estate broker to market and solicit offers for the parcel listed above.

## **10. Status Updates – *For Information Only***

Time will be allocated for discussion of the following information items:

### **a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater**

Consistent with standing practice, it is proposed that the current status report of new construction/renovation/infrastructure projects with budgets greater than \$1,000,000 be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

### **b. Quarterly Report for Projects Costing More than \$500,000 but Less than \$1,000,000 – 3<sup>rd</sup> Quarter, Fiscal Year 2021**

Consistent with standing practice, all projects with a total cost in the range of \$500,000 to \$1,000,000 be reported quarterly, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

### **c. Project Status Report**

It is proposed that a brief report regarding the status of Board of Trustees approved capital projects be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

## **B. Academic Affairs Committee**

### **1. Proposed Closure of the Bachelor of Science in Food Science**

The Department of Poultry Science in the College of Agriculture is proposing the closure of the Bachelor of Science in Food Science. Student enrollment has not met the program's projections, and the program did not satisfy its ACHE or college-level post-implementation criteria. No new students will be admitted next year, and those students currently enrolled will be allowed to complete their degrees in accordance with the program's teach-out plan.

## **2. Proposed Doctor of Physical Therapy**

The School of Kinesiology is proposing a new Doctor of Physical Therapy (DPT) to provide the academic and clinical education required for licensed physical therapists. The degree addresses the growing workforce demand for physical therapists capable of treating individuals of all ages and health conditions, including mobility issues and chronic illnesses. Once approved by the Board of Trustees and accredited by the Commission on Accreditation in Physical Therapy, the school plans to add a DPT bridge program that will extend the DPT to licensed and working physical therapy assistants who desire to become fully licensed physical therapists.

## **3. Agenda Item for the Board of Trustees – *For Information Only***

Time will be allocated for discussion of the following information items:

### Graduate Certificate Renaming:

- Renaming of the *Vocational Forensic Rehabilitation Certificate* as the *Vocational Evaluation Forensic Certificate*; Department of Special Education, Rehabilitation, and Counseling; College of Education

### New Graduate Certificates:

- *Undergraduate Certificate in Financial Planning*, Department of Consumer and Design Sciences, College of Human Sciences
- *Undergraduate Certificate in Speech, Language and Hearing Sciences*; Department of Speech, Language and Hearing Sciences; College of Liberal Arts
- *Graduate Certificate in Global Public Service*; Department of Political Science; College of Liberal Arts

## **C. Finance Committee**

### **1. Approval of the 2021-2022 Budget**

Time will be allotted for discussion and potential approval of the Auburn University budget for the fiscal year beginning October 1, 2021 and ending September 30, 2022.

## **D. Institutional Advancement Committee**

### **1. Auburn University Endowment Portfolio Update – *For Information Only***

It is proposed that a representative of Prime Buchholz, the investment consultant firm that provides consulting services to the Auburn University Foundation related to the investment

of Auburn's endowments, present an update to the Institutional Advancement Committee of the Board of Trustees at its September 10, 2021 meeting.

**E. Executive Committee**

**1. Posthumous Awarding of the Bachelor of Science in Aviation Management to Kylie Nicole Murray**

A request is before the Board of Trustees to award posthumously the Bachelor of Science in Aviation Management to Ms. Kylie Nicole Murray. Ms. Murray passed away on July 31, 2021 before completing the final semester of coursework required for her degree. As a senior in Aviation Management, Ms. Murray was in good standing and would have graduated in December 2021.

**2. Selection of Board Members to the Alumni Lifetime Achievement Awards Committee**

A request is before the Board of Trustees to select two board members for a three-year term on the Alumni Lifetime Achievement Awards Committee. The two named board members will serve alongside the current *President Pro Tempore* of the Board of Trustees. The terms are set to expire on September 1, 2024.

**3. Recommendation from the Trustee Task Force**

Time will be allotted for discussion of a recommendation from the Trustee Task Force.

**4. Proposed Awards and Namings**

Time will be allotted for discussion and potential approval of a list of proposed awards and namings.

**5. Recognition of Aubie**

After earning a record-breaking tenth national championship, it is proposed that the Board of Trustees honor the Aubie Program and its students to congratulate them for this unprecedented accomplishment.

**PROPERTY AND FACILITIES COMMITTEE  
SEPTEMBER 10, 2021 BOARD MEETING  
AUBURN UNIVERSITY BOARD OF TRUSTEES**

1. Lowder Hall Financial Leadership Collaborative Laboratory Renovation: Final Project Approval (Dan King/Annette Ranft)
2. Ham Wilson Arena Renovation: Cancellation of Project and Authorization of Demolition and Facilities Management New Training Center: Approval of Project Initiation and Approval of Architect Selection (Dan King)
3. Duncan Drive Extension and Infrastructure: Approval of Project Initiation and Approval of Architect Selection (Dan King)
4. Transformation Gardens: Approval of Project Initiation and Authorization to Commence the Architect Selection Process (Dan King/Paul Patterson)
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9. Authority to Sell University Land in Montgomery, Alabama (Dan King/Mark Stirling)
10. Authority to Purchase Property in Auburn, Alabama (Dan King/Mark Stirling)
11. Status Updates – *For Information Only* (Dan King)
  - a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater
  - b. Quarterly Report for Projects Costing More than \$500,000 but Less than \$1,000,000 – 3<sup>rd</sup> Quarter, Fiscal Year 2021
  - c. Project Status Report

**Committee Chairperson:** Mr. Mike DeMaioribus

**Faculty Representative:** Mr. David Hinson, Auburn University (ex-officio, non-voting)

*Please Note: All trustees serve on all committees, with the exception of the Executive Committee.*

## RESOLUTION

### LOWDER HALL FINANCIAL LEADERSHIP COLLABORATIVE LABORATORY RENOVATION

#### FINAL PROJECT APPROVAL

WHEREAS, at its previous meeting on November 20, 2020, the Board of Trustees adopted a resolution that approved the initiation of the Lowder Hall Financial Leadership Collaborative Laboratory Renovation project, and at its meeting on February 5, 2021, the Board of Trustees adopted a resolution that approved INOX Design Inc., of Marietta, Georgia, as the project architect; and

WHEREAS, the Lowder Hall Financial Leadership Collaborative Laboratory Renovation project will renovate approximately 4,000 square feet of existing interior space located in Lowder Hall; and

WHEREAS, the proposed renovation will create two state-of-the-art laboratories of up to fifty (50) seats each, dedicated to developing the practical skills essential in the financial services industry such as investment banking, asset management, and sales and trading; and

WHEREAS, the estimated total project cost for the Lowder Hall Financial Leadership Collaborative Laboratory Renovation is \$3.5 million, to be financed by the Harbert College of Business; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval”, the final approval of the project must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Lowder Hall Financial Leadership Collaborative Laboratory Renovation project is approved, and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Establish a budget for the Lowder Hall Financial Leadership Collaborative Laboratory Renovation project in the amount of \$3.5 million, to be financed by the Harbert College of Business; and
2. Direct the consultants to complete the required plans for the project; and
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget approved above.



## FACILITIES MANAGEMENT

### M E M O R A N D U M

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**TO:** JAY GOGUE, President *JG*  
**THROUGH:** RONALD BURGESS, Executive Vice President *RB*  
**FROM:** DAN KING, Associate Vice President for Facilities *DK*  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**LOWDER HALL FINANCIAL LEADERSHIP COLLABORATIVE LABORATORY  
RENOVATION: FINAL PROJECT APPROVAL**

**DATE:** AUGUST 11, 2021

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for September 10, 2021.

**Proposal:**

Consistent with standing policy, it is proposed that the Lowder Hall Financial Leadership Collaborative Laboratory Renovation project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that provides final approval of the project. If approved, the resolution would authorize the President to direct Auburn University Facilities Management to solicit bids and award a contract for construction.

**Review and Consultation:**

At its previous meeting on November 20, 2020, the Board of Trustees adopted a resolution that approved the initiation of the Lowder Hall Financial Leadership Collaborative Laboratory Renovation project, and at its meeting on February 5, 2021, the Board of Trustees approved INOX Design Inc., of Marietta, Georgia, as architect selection for the project.

The project will support the Harbert College of Business, Department of Finance, by providing state-of-the-art laboratories dedicated to developing the practical skills essential in the financial services industry such as investment banking, asset management, and sales and trading.

Over the last several months, the project team has worked with the Harbert College of Business to complete the design for the Lowder Hall Financial Leadership Collaborative Laboratory Renovation project. The project details are provided below:

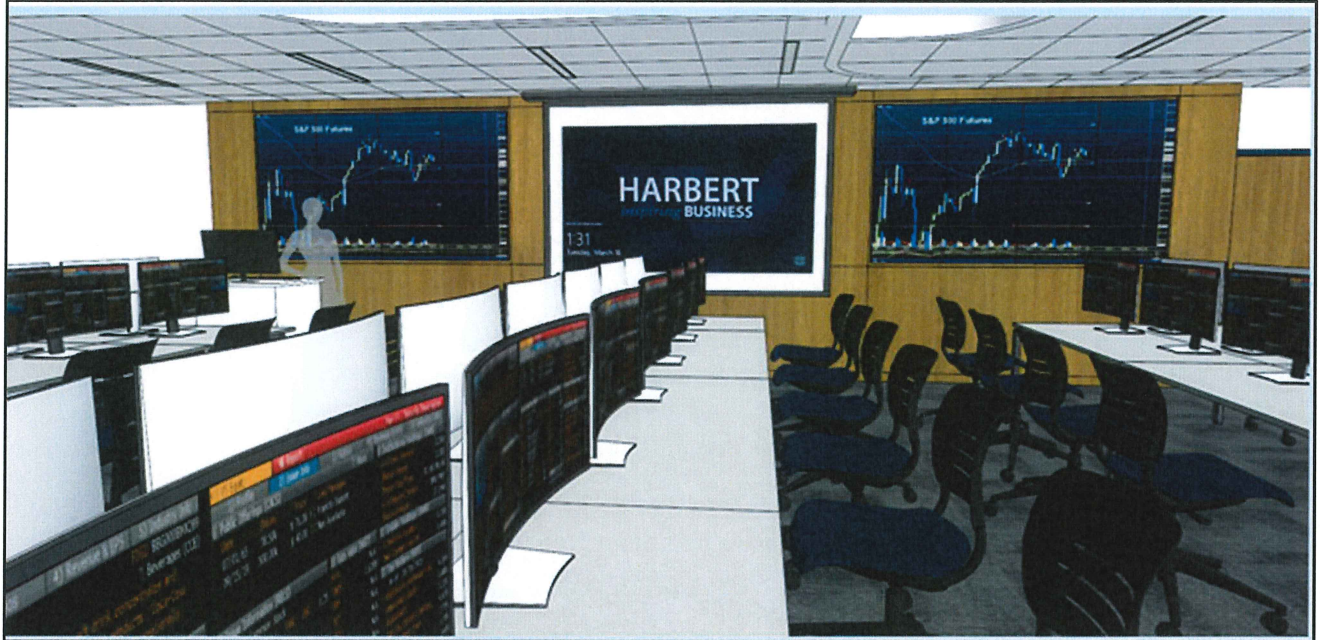
- Project Location: The Lowder Hall Financial Leadership Collaborative Laboratory Renovation will be in Lowder Hall, located on West Magnolia Avenue.

- Program Requirements: The Lowder Hall Financial Leadership Collaborative Laboratory Renovation project will renovate approximately 4,000 square feet of the existing space in Lowder Hall to construct two financial laboratories of up to fifty (50) seats each. The renovation would include new LED lighting, glass walls along corridor, modern floor and wall finishes, new furnishings, and a new electronic scrolling stock ticker display. See Attachment 1 for renderings of the Lowder Hall Financial Leadership Collaborative Laboratory Renovation.
- Budget: The estimated total project cost of the Lowder Hall Financial Leadership Collaborative Laboratory Renovation project is \$3.5 million, to be financed by the Harbert College of Business.

If you concur, it is proposed that a resolution providing final approval of the Lowder Hall Financial Leadership Collaborative Laboratory Renovation project be presented to the Board of Trustees for consideration at its meeting scheduled for September 10, 2021.

## Attachment 1

### Tiger Lab



### Tiger Lab—Additional Views



## SRC Lab



## Corridor View



RESOLUTION  
HAM WILSON ARENA RENOVATION  
CANCELLATION OF PROJECT AND AUTHORIZATION OF DEMOLITION  
AND  
FACILITIES MANAGEMENT NEW TRAINING CENTER  
APPROVAL OF PROJECT INITIATION AND APPROVAL OF ARCHITECT SELECTION

WHEREAS, in 2019, in consultation with the Dean of the College of Agriculture, the Associate Vice President for Facilities Management developed the concept of renovating the Ham Wilson Arena to serve as a training center for Facilities Management; and

WHEREAS, at its previous meeting on April 16, 2021, the Board of Trustees adopted a resolution that provided final project approval for the Ham Wilson Arena Renovation project; and

WHEREAS, since that time, the university has decided the highest and best use for the Ham Wilson Arena site is for future academic or research facilities, with Facilities Management being directed to construct the training facility within its complex on West Samford Avenue; and

WHEREAS, to execute this plan, the previously approved Ham Wilson Renovation project needs to be canceled, and the initiation of a project for Facilities Management to construct a training center within its complex needs to be approved; and

WHEREAS, the University Architect recommends the use of JMR+H Architecture of Montgomery, Alabama, to design the Facilities Management New Training Center, since they had been previously approved as the project architect on the Ham Wilson Renovation project; and

WHEREAS, the Ham Wilson Arena will be demolished; and

WHEREAS, it is anticipated the demolition of the Ham Wilson Arena will be funded by University general funds, and the Facilities Management New Training Center project will be financed by Facilities Management reserve funds; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval”, the initiation of a project and the selection of the architect must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Ham Wilson Arena Renovation Project is canceled, the initiation of the Facilities Management New Training Center project is approved, and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Demolish the Ham Wilson Arena; and
1. Engage JMR+H of Montgomery, Alabama, as project architect to consult in the development of the Facilities Management New Training Center facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

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**TO:** JAY GOGUE, President**THROUGH:** RONALD BURGESS, Executive Vice President**FROM:** DAN KING, Associate Vice President for Facilities**SUBJECT:** PROPERTY & FACILITIES COMMITTEE**HAM WILSON ARENA RENOVATION: CANCELLATION OF PROJECT AND  
AUTHORIZATION OF DEMOLITION AND FACILITIES MANAGEMENT NEW  
TRAINING CENTER: APPROVAL OF PROJECT INITIATION AND ARCHITECT  
SELECTION****DATE:** AUGUST 19, 2021

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for September 10, 2021.

**Proposal:**

Consistent with standing policy, it is proposed that a resolution be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will cancel the previously approved Ham Wilson Arena Renovation project, authorize the demolition of the Ham Wilson Arena, and in its place, approve the initiation of the Facilities Management New Training Center project and authorize the selection of the project architect.

**Review and Consultation:**

In 2019, in consultation with the Dean of the College of Agriculture, the Associate Vice President for Facilities Management developed the concept of renovating the Ham Wilson Arena to serve as a training center for the Facilities Management and other university staff organizations.

At its previous meeting on February 7, 2020, the Board of Trustees adopted a resolution that approved the initiation of the Ham Wilson Arena Renovation project and the selection of JMR+H Architecture of Montgomery, Alabama, as the project architect. During its meeting on April 16, 2021, the Board of Trustees adopted a resolution that provided final project approval for the Ham Wilson Arena Renovation project.

Since that time, the university has decided the highest and best use for the Ham Wilson Arena site is for future academic or research facilities. Facilities Management has been directed to construct its training facility within the Facilities Management complex on West Samford Avenue.

Based on this direction, it is requested that the Board of Trustees take the following actions:

1. Cancel the Ham Wilson Arena Renovation project and authorize the demolition of the facility in the spring/summer of 2022.

2. Approve the initiation of a project for Facilities Management to construct a new training center. The Facilities Management New Training Center project will include flexible training spaces for use in developing its professional and skilled trades staff.
3. Approve the selection of the firm, JMR+H Architecture, of Montgomery, Alabama, as the architect for the Facilities Management New Training Center project. JMR+H Architecture was the approved architect for the Ham Wilson Arena Renovation project. Their knowledge and expertise will be important in the development of the program for the Facilities Management New Training Center project.

It is anticipated the demolition of the Ham Wilson Arena will be funded by University general funds, and the Facilities Management New Training Center project will be financed by Facilities Management reserve funds.

It is, therefore, appropriate that a resolution be presented to the Board of Trustees for their consideration to cancel the Ham Wilson Arena Renovation project and authorize its demolition in the spring/summer of 2022, and to approve both the initiation of the Facilities Management New Training Center project and the selection of JMR+H Architecture of Montgomery, Alabama, as the project architect. If you concur, it is recommended the resolution be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action at the meeting scheduled for September 10, 2021.

## RESOLUTION

### DUNCAN DRIVE EXTENSION AND INFRASTRUCTURE

#### APPROVAL OF PROJECT INITIATION AND APPROVAL OF ARCHITECT SELECTION

WHEREAS, the Duncan Drive Extension & Infrastructure project is proposed in support of the campus road system and the College of Agriculture's Transformation Gardens project; and

WHEREAS, the project would construct a two-lane campus road that extends Duncan Drive from Lem Morrison Drive to Woodfield Drive and include bicycle lanes, sidewalks and street lighting, as well as overhead power, storm sewer, and domestic water lines; and

WHEREAS, the project would execute site grading, stormwater management and the installation of utilities infrastructure (sanitary sewer, gas, water, electrical) for the adjacent Transformation Gardens, a teaching and outreach space planned by the College of Agriculture; and

WHEREAS, the project would also install a community greenway path that will connect the Davis Arboretum and Gogue Performing Arts Center to the Transformation Gardens and allow for future connections to the Jule Collins Smith Museum and the City of Auburn Town Creek Park; and

WHEREAS, this project would result in numerous benefits to the Auburn University campus including improved traffic flow, increased redundancy of utility systems, establishing an eastern edge to the Hayfields area of campus to aid in future research or academic building development, and facilitating the creation of the Transformation Gardens; and

WHEREAS, the University Architect recommends the use of LBYD Engineers of Birmingham, Alabama, to design the Duncan Drive Extension & Infrastructure project; and

WHEREAS, it is anticipated that this project will be financed by University General Funds and constructed during the first half of 2022; and

WHEREAS, pursuant to the Board of Trustees policy, "D-3, Capital Projects Approval", the initiation of this project must be submitted to the Auburn University Board of Trustees, through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Duncan Drive Extension & Infrastructure project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Engage LBYD Engineers of Birmingham, Alabama as architect to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

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**TO:** JAY GOGUE, President *JG*  
**THROUGH:** RONALD BURGESS, Executive Vice President *RB*  
**FROM:** DAN KING, Associate Vice President for Facilities *DPK*  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**DUNCAN DRIVE EXTENSION & INFRASTRUCTURE PROJECT: APPROVAL OF  
PROJECT INITIATION AND ARCHITECT SELECTION**

**DATE:** AUGUST 11, 2021

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for September 10, 2021.

**Proposal:**

Consistent with standing policy, it is proposed that the Duncan Drive Extension & Infrastructure project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will approve the initiation of the project and authorize the selection of the project architect.

**Review and Consultation:**

The Duncan Drive Extension & Infrastructure project is proposed in support of the campus road system and the College of Agriculture's Transformation Gardens project. The proposed project would accomplish the following:

1. Construct a two-lane campus road that extends Duncan Drive from Lem Morrison Drive to Woodfield Drive. This road will include bicycle lanes, sidewalks and street lighting, as well as overhead power, storm sewer, and domestic water lines. See Attachment 1.
2. Execute site grading, stormwater management and the installation of utilities infrastructure (sanitary sewer, gas, water, electrical) for the adjacent Transformation Gardens, a teaching and outreach space planned by the College of Agriculture. See Attachment 2.
3. Install a community greenway path that will connect the Davis Arboretum and Gogue Performing Arts Center to the Transformation Gardens and allow for future connections to the Jule Collins Smith Museum and the City of Auburn Town Creek Park.

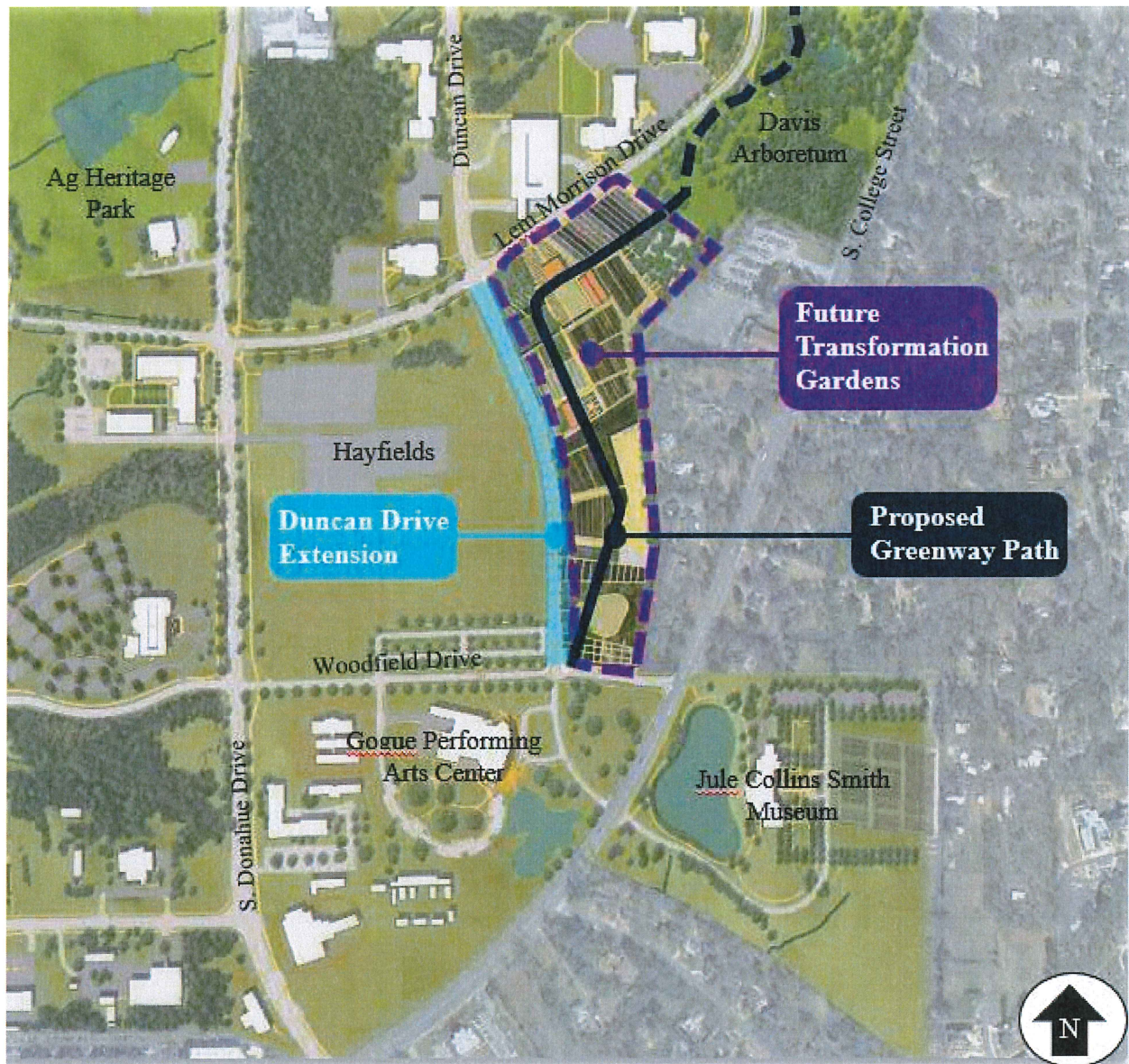
This project would result in numerous benefits to the Auburn University campus. It would improve the traffic flow of the campus, particularly on Gamedays and days when the Gogue Performing Arts Center has events, by providing a direct connection between Lem Morrison Drive and South College Street without traffic having to access South Donahue Drive. It would provide backup water and power feeds to the Gogue Performing Arts Center and the Jule Collins Museum. It would create a "eastern edge" of the Hayfields area of campus for future research or academic building development. And finally, it will expedite creation of the College of Agriculture's Transformation Gardens by providing the necessary infrastructure for its subsequent development.

To facilitate an accelerated design process, the University Architect recommends the approval of the firm, LBYD Engineers of Birmingham, Alabama, as the engineer for the project. LBYD Engineers has designed several Auburn University facilities and infrastructure projects in recent years and has worked on the initial planning efforts for this project. Their expertise in major infrastructure projects will be highly valuable in the development of the program for this project.

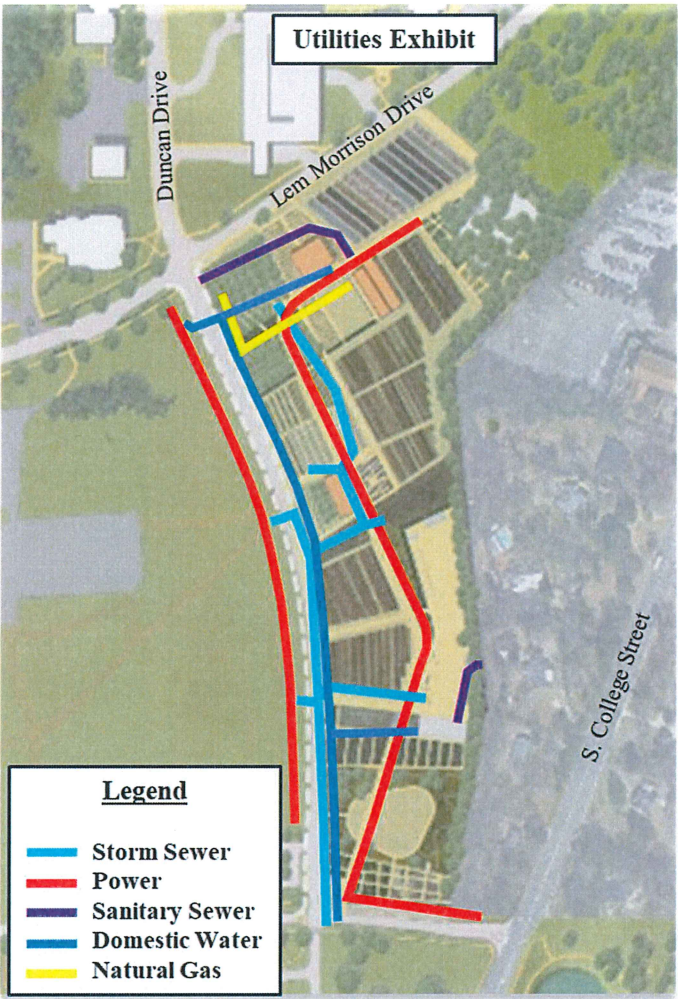
The preliminary cost target for this project is approximately \$4.1 million. It is anticipated that the Duncan Drive Extension & Infrastructure project would be financed by University General Funds and constructed during the first half of 2022.

It is, therefore, appropriate that a resolution be presented to the Board of Trustees for their consideration to approve the initiation of the Duncan Drive Extension & Infrastructure Project and the selection of LBYD Engineers of Birmingham, Alabama, as the project engineer. If you concur, it is recommended the resolution be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action at the meeting scheduled for September 10, 2021.

Attachment 1  
Duncan Drive Extension Project  
Site Map



**Attachment 2**  
**Duncan Drive Extension and Infrastructure Project**  
**Site Grading and Utilities Map**



## RESOLUTION

### TRANSFORMATION GARDENS

#### APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE ARCHITECT SELECTION PROCESS

WHEREAS, the College of Agriculture has proposed the construction of the Transformation Gardens, a new space on campus that will provide new teaching, research and outreach opportunities benefiting both students and the general public; and

WHEREAS, the Transformation Gardens will be a 16-acre garden that will encompass every aspect of plant-based agriculture, and is intended to be a high-tech facility where students gain hands-on experience with the latest industry practices, as well as a place where research faculty tackle the key challenges of the agricultural industry; and

WHEREAS, elements of the Transformation Gardens will serve as both a teaching space as well as production facilities for Auburn's Campus Dining program, and will include two vertical container farms to be managed and maintained by students training to work in the growing high-tech industries of hydroponic and urban farming; and

WHEREAS, the Transformation Gardens will also be the site of trial tests for a variety of commercially sold plants, and other research will focus on irrigation methods and technologies, fruit and vegetable gardening, and medicinal plants; and

WHEREAS, the Transformation Gardens will be developed in numerous phases over the next several years by the College of Agriculture, and it is anticipated that this facility would be financed by gift funds to the College of Agriculture; and

WHEREAS, pursuant to the Board of Trustees policy, "D-3, Capital Projects Approval", the initiation of this project must be submitted to the Auburn University Board of Trustees, through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Transformation Gardens project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the project architect selection process.



FACILITIES MANAGEMENT  
M E M O R A N D U M

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TO: JAY GOGUE, President

THROUGH: RONALD BURGESS, Executive Vice President

FROM: DAN KING, Associate Vice President for Facilities

SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**TRANSFORMATION GARDENS: APPROVAL OF PROJECT INITIATION AND  
AUTHORIZATION TO COMMENCE THE ARCHITECT SELECTION PROCESS**

DATE: AUGUST 19, 2021

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for September 10, 2021.

**Proposal:**

Consistent with standing policy, it is proposed that the Transformation Gardens project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will approve the initiation of the project and authorize the commencement of the architect selection process.

**Review and Consultation:**

The College of Agriculture has proposed the construction and development of the Transformation Gardens, a 16-acre garden that will encompass every aspect of plant-based agriculture. The Transformation Gardens is intended to be a facility where students gain hands-on experience with the latest industry practices, and a place where research faculty tackle the key challenges of the agricultural industry.

Elements of the Transformation Gardens will serve as both a teaching space as well as production facilities for Auburn's Campus Dining program. It will feature two vertical container farms, which will produce a variety of fresh produce year-round for campus dining facilities and will be managed and maintained by students training to work in the growing high-tech industries of hydroponic and urban farming. The Transformation Gardens will also be the site of trial tests for a variety of commercially sold plants. Other research at the Transformation Gardens will focus on irrigation methods and technologies, fruit and vegetable gardening, and medicinal plants.

This project will enhance the teaching and outreach efforts within the College of Agriculture benefiting both students and the general public.

The Transformation Gardens will be developed in numerous phases over the next several years by the College of Agriculture. It is anticipated that this facility would be financed by gift funds to the College of Agriculture.

If you concur, it is proposed that a resolution initiating the Transformation Gardens project and authorizing the commencement of the project architect selection process be presented to the Board of Trustees for approval at the meeting scheduled for September 10, 2021.

## RESOLUTION

### NORTH AUBURN EQUINE RESEARCH FACILITY – ADDITION

#### APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE ARCHITECT SELECTION PROCESS

WHEREAS, the College of Veterinary Medicine has proposed the construction of a new addition to the North Auburn Equine Research Facility in support of the federally-funded research program at North Auburn; and

WHEREAS, the current program operates out of temporary facilities which are inadequate to support future growth of this important research program; and

WHEREAS, the proposed project will allow this program to bring their facilities up to modern standards and to grow the research capabilities to match increased new grant funding opportunities; and

WHEREAS, it is anticipated that this facility would be financed by College of Veterinary Medicine funds; and

WHEREAS, pursuant to the Board of Trustees policy, “D-3, Capital Projects Approval”, the initiation of this project must be submitted to the Auburn University Board of Trustees, through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the North Auburn Equine Research Facility-Addition project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the project architect selection process.



FACILITIES MANAGEMENT  
M E M O R A N D U M

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TO: JAY GOGUE, President

THROUGH: RONALD BURGESS, Executive Vice President

FROM: DAN KING, Associate Vice President for Facilities

SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**NORTH AUBURN EQUINE RESEARCH FACILITY-ADDITION: APPROVAL OF  
PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE  
ARCHITECT SELECTION PROCESS**

DATE: AUGUST 19, 2021

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for September 10, 2021.

**Proposal:**

Consistent with standing policy, it is proposed that the North Auburn Equine Research Facility-Addition project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will approve the initiation of the project and authorize the commencement of the architect selection process.

**Review and Consultation:**

The College of Veterinary Medicine has proposed the construction of a new addition to the North Auburn Equine Research Facility to support the federally-funded research program in North Auburn. The current program operates out of temporary facilities which are inadequate to support future growth of this important research program. The proposed project will allow this program to bring their facilities up to modern standards and to grow the research capabilities to match increased new grant funding opportunities.

It is anticipated that this facility would be financed by College of Veterinary Medicine funds.

If you concur, it is proposed that a resolution initiating the North Auburn Equine Research Facility-Addition project and authorizing the commencement of the project architect selection process be presented to the Board of Trustees for approval at the meeting scheduled for September 10, 2021.

## RESOLUTION

### PLAINSMAN PARK PLAYER DEVELOPMENT CENTER – PHASE II

#### APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE ARCHITECT SELECTION PROCESS

WHEREAS, the Plainsman Park Player Development Center-Phase I facility was completed in January 2021 and included new indoor batting cage tunnels, player evaluation spaces and player restrooms; and

WHEREAS, the Athletics Department is ready to commence the next phase of development for this facility and has proposed improvements to enhance the Plainsman Park fan experience; and

WHEREAS, the proposed project includes premium seating improvements above the new Player Development Center, as well as additional seating, new restrooms, concessions, a covered pavilion, and a connection to the existing seating concourse; and

WHEREAS, his project will be financed by the Athletics Department through a combination of bonds and gifts; and

WHEREAS, pursuant to the Board of Trustees policy, “D-3, Capital Projects Approval”, the initiation of this project must be submitted to the Auburn University Board of Trustees, through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Plainsman Park Player Development Center Phase II project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the project architect selection process.



## FACILITIES MANAGEMENT

### MEMORANDUM

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TO: JAY GOGUE, President

THROUGH: RONALD BURGESS, Executive Vice President

FROM: DAN KING, Associate Vice President for Facilities

SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**PLAINSMAN PARK PLAYER DEVELOPMENT CENTER PHASE II: APPROVAL  
OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE  
ARCHITECT SELECTION PROCESS**

DATE: AUGUST 19, 2021

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for September 10, 2021.

**Proposal:**

Consistent with standing policy, it is proposed that the Plainsman Park Player Development Center Phase II project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will approve the initiation of the project and authorize the commencement of the architect selection process.

**Review and Consultation:**

The Plainsman Park Player Development Center-Phase I facility was completed in January 2021 and included new indoor batting cage tunnels, player evaluation spaces and player restrooms.

The Athletics Department is ready to commence the next phase of development for this facility and has proposed improvements to enhance the Plainsman Park fan experience. The project will include premium seating improvements above a new Player Development Center as well as additional seating, new restrooms, concessions, a covered pavilion, and a connection to the existing seating concourse.

The initial target cost for this project is approximately \$7.0 to \$8.0 million, to be financed by the Athletics Department through a combination of bonds and gifts.

If you concur, it is proposed that a resolution initiating the Plainsman Park Player Development Center Phase II project and authorizing the commencement of the project architect selection process be presented to the Board of Trustees for approval at the meeting scheduled for September 10, 2021.

## RESOLUTION

### AUBURN ARENA WOMEN'S BASKETBALL LOCKER ROOM RENOVATION

#### APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE ARCHITECT SELECTION PROCESS

WHEREAS, the Athletics Department has proposed the renovation of the women's basketball locker room area within the Auburn Arena; and

WHEREAS, the proposed project will enhance the current team support spaces for the women's basketball program with renovations to include improvements to the team's meeting areas, video review lab, team lounge, nutrition area, coaches' locker room, and restrooms; and

WHEREAS, the proposed project will allow this program to bring their facilities up to competitive standards; and

WHEREAS, it is anticipated that this project would be financed by the Athletics Department through the use of bond funds; and

WHEREAS, pursuant to the Board of Trustees policy, "D-3, Capital Projects Approval", the initiation of this project must be submitted to the Auburn University Board of Trustees, through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Auburn Arena Women's Basketball Locker Room Renovation project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the project architect selection process.



FACILITIES MANAGEMENT  
M E M O R A N D U M

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TO: JAY GOGUE, President

THROUGH: RONALD BURGESS, Executive Vice President

FROM: DAN KING, Associate Vice President for Facilities

SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**AUBURN ARENA WOMEN'S BASKETBALL LOCKER ROOM RENOVATION:  
APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO  
COMMENCE THE ARCHITECT SELECTION PROCESS**

DATE: AUGUST 19, 2021

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for September 10, 2021.

**Proposal:**

Consistent with standing policy, it is proposed that the Auburn Arena Women's Basketball Locker Room Renovation project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will approve the initiation of the project and authorize the commencement of the architect selection process.

**Review and Consultation:**

The Athletics Department has proposed the renovation of the women's basketball locker room area within the Auburn Arena. The proposed project will enhance the current team support spaces for the women's basketball program with renovations to include improvements to the team's meeting areas, video review lab, team lounge, nutrition area, coaches' locker room, and restrooms. The proposed project will allow this program to bring their facilities up to competitive standards.

The initial target cost for this project is approximately \$3.0 million, to be financed by the Athletics Department through the use of bond funds.

If you concur, it is proposed that a resolution initiating the Auburn Arena Women's Basketball Locker Room Renovation project and authorizing the commencement of the project architect selection process be presented to the Board of Trustees for approval at the meeting scheduled for September 10, 2021.

## RESOLUTION

### MCWHORTER CENTER GYMNASTICS AND SOFTBALL TEAM AREA RENOVATION

#### APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE ARCHITECT SELECTION PROCESS

WHEREAS, the Athletics Department has proposed the renovation of the gymnastics and softball team area within the McWhorter Center; and

WHEREAS, the McWhorter Center was originally constructed in 1999, and is in need of an upgrade to effectively support the development of student-athletes; and

WHEREAS, the proposed project will renovate gymnastics and softball team spaces within the McWhorter Center to allow these programs to compete nationally at the highest level; and

WHEREAS, this project will be financed by the Athletics Department through a combination of bonds and gifts; and

WHEREAS, pursuant to the Board of Trustees policy, "D-3, Capital Projects Approval", the initiation of this project must be submitted to the Auburn University Board of Trustees, through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the McWhorter Center - Gymnastics & Softball Team Area Renovation project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the project architect selection process.



FACILITIES MANAGEMENT  
M E M O R A N D U M

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TO: JAY GOGUE, President

THROUGH: RONALD BURGESS, Executive Vice President

FROM: DAN KING, Associate Vice President for Facilities

SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**MCWHORTER CENTER - GYMNASTICS & SOFTBALL TEAM AREA  
RENOVATION: APPROVAL OF PROJECT INITIATION AND AUTHORIZATION  
TO COMMENCE THE ARCHITECT SELECTION PROCESS**

DATE: AUGUST 19, 2021

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This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for September 10, 2021.

**Proposal:**

Consistent with standing policy, it is proposed that the McWhorter Center - Gymnastics & Softball Team Area Renovation project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action that will approve the initiation of the project and authorize the commencement of the architect selection process.

**Review and Consultation:**

The Athletics Department has proposed the renovation of the gymnastics and softball team area within the McWhorter Center. The McWhorter Center was originally constructed in 1999, and is in need of an upgrade to effectively support the development of student-athletes. The proposed project will renovate gymnastics and softball team spaces within the McWhorter Center to allow these programs to compete nationally at the highest level.

The initial target cost for this project is \$1.0 to \$2.0 million, to be financed by the Athletics Department through a combination of bonds and gifts.

If you concur, it is proposed that a resolution initiating the McWhorter Center - Gymnastics & Softball Team Area Renovation project and authorizing the commencement of the project architect selection process be presented to the Board of Trustees for approval at the meeting scheduled for September 10, 2021.

## RESOLUTION

### AUTHORITY TO SELL UNIVERSITY LAND IN MONTGOMERY, ALABAMA

WHEREAS, Auburn University owns property in Montgomery, Alabama, for the benefit of Auburn University at Montgomery, to support its academic, research and related educational activities; and

WHEREAS, the Montgomery real estate holdings include a parcel of property on Hwy 331 (depicted in Exhibit 1); and

WHEREAS, neither Auburn University nor Auburn University at Montgomery currently utilizes this parcel or intends to do so in the foreseeable future; and

WHEREAS, selling this parcel would generate revenue and other benefits that could support the capital needs of Auburn University at Montgomery, including development of an academic and research center on its campus; and

WHEREAS, Alabama law permits the University to offer real property for sale by listing it with a duly licensed real estate broker, provided that the institution determines that this procedure is economically justified and in the best interest of the University; and

WHEREAS, the University hired a real estate broker to market these sites, as approved by the Auburn University Board of Trustees at its February 2021 meeting; and

WHEREAS, Auburn University has received an offer to purchase the property that is higher than the MAI appraisal of the value of the property.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that:

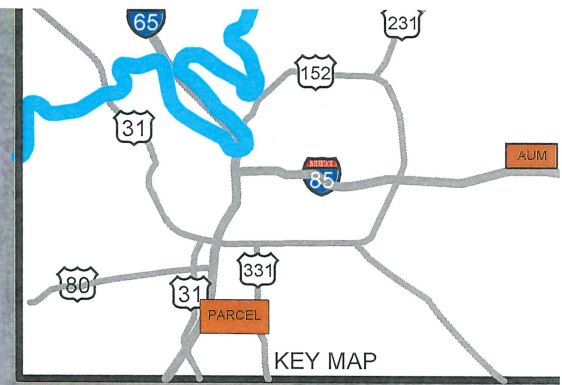
1. Auburn University accept the offer to purchase the property at Hwy 331, Montgomery, Alabama; and
2. Jay Gogue, President, or such person as may be acting as President, or his designee, is hereby authorized and empowered to sell the parcel described above, consistent with Alabama law, provided that any proposed sale transactions must be reviewed and approved by the Office of General Counsel prior to closing.

**EXHIBIT 1**

**HYUNDAI BOULEVARD SITES**

[Attached]

# EXHIBIT 1



HYUNDAI

HYUNDAI BLVD

HIGHWAY 331

PARCEL  
5.59 AC +/-



SCALE: 1:400



REAL ESTATE DEPARTMENT  
M E M O R A N D U M

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**TO:** JAY GOGUE, President *JG*  
**THROUGH:** RONALD BURGESS, Executive Vice President *RB*  
**VIA:** DAN KING, Associate Vice President for Facilities  
**VIA:** MARK STIRLING, Director of Real Estate  
**FROM:** DR. CARL STOCKTON, Chancellor of Auburn University at Montgomery  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
**AUTHORITY TO SELL UNIVERSITY LAND IN MONTGOMERY, ALABAMA**  
**DATE:** AUGUST 11, 2021

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This memorandum is to request that the following item be presented to the Board of Trustees, through the Property and Facilities Committee, and be included on the agenda of the meeting scheduled for September 10, 2021.

**Proposal:**

It is proposed that Board of Trustees authorize the President or designee to sell certain University property in Montgomery, Alabama.

**Review and Consultation:**

This proposal involves one parcel owned by the University in Montgomery, Alabama. This parcel is a 5.59 acre site located on US Hwy 331 (depicted in Exhibit 1).

Board of Trustee Policy D-8 allows for consideration of the sale of University property when use of such property is no longer required to carry out the mission of the University. Auburn University at Montgomery has determined that this parcel will not be used for any strategic need and, accordingly, it has recommended the sale of this parcel. The revenue from the sale would be devoted to AUM needs, including redevelopment of the Alabama State Health Department Building as an academic research facility.

Under Alabama law, the University may offer real property for sale by listing it with a duly licensed real estate broker, if the institution determines that this procedure is economically justified and in the best interest of the University. As approved by the Board of Trustees in February, AU hired a broker to market this site and solicit acceptable offers to purchase at fair market value. AU has received an offer on the Highway 331 site that exceeds the MAI appraisal of the property.

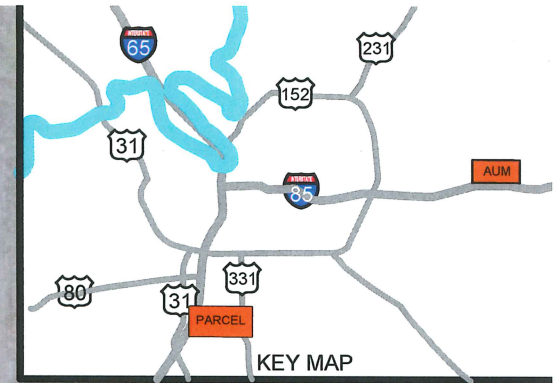
Therefore, it is recommended that the President be authorized to sell the property described herein. All documents for proposed sale transaction will be reviewed and approved by the Office of General Counsel prior to closing. If you concur, it is requested that you recommend this proposal to the Board of Trustees through the Property & Facilities Committee for action.

Exhibit 1  
Highway 331 site

# EXHIBIT 1

HYUNDAI

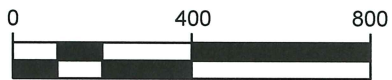
HYUNDAI BLVD



KEY MAP

HIGHWAY 331

PARCEL  
5.59 AC +/-



SCALE: 1:400

## RESOLUTION

### AUTHORITY TO PURCHASE PROPERTY IN AUBURN, ALABAMA

WHEREAS, Auburn University has an opportunity to purchase property consisting of 0.74 acres at 842 South College Street, Auburn, Alabama, which is located at the northwest corner of the intersection of South College Street and Woodfield Drive (See Exhibit 1); and

WHEREAS, this acquisition will allow the University to control one side of the intersection, which will allow for road widening, turn lanes, and improved aesthetics at a major entrance point to campus; and

WHEREAS, the University thus wishes to acquire this property for the benefit of the institution; and

WHEREAS, the property will be transferred by general warranty deed; and

WHEREAS, the purchase price does not exceed the MAI appraisal of the property; and

WHEREAS, the University has acquired an option to purchase the property, subject to approval by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the proposed acquisition of property located at 842 South College Street in Auburn, Alabama, is hereby approved.

BE IT FURTHER RESOLVED that Jay Gogue, President, or such person as may be acting as President, or his designee, is hereby authorized and empowered to purchase the property described above, consistent with Alabama law, provided that the transaction documents be reviewed and approved by the Office of General Counsel prior to closing.

Exhibit 1

842 South College Street

[Attached]



WOODFIELD DR

GOGUE PERFORMING  
ARTS CENTER

842 S. COLLEGE

S. COLLEGE ST

JULE COLLINS SMITH  
MUSEUM OF FINE ART



REAL ESTATE DEPARTMENT  
M E M O R A N D U M

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**TO:** JAY GOGUE, President  
**THROUGH:** RONALD BURGESS, Executive Vice President  
**VIA:** DAN KING, Associate Vice President for Facilities  
**FROM:** MARK STIRLING, Director of Real Estate  
**SUBJECT: PROPERTY AND FACILITIES COMMITTEE**  
**AUTHORITY TO PURCHASE PROPERTY IN AUBURN, ALABAMA**  
**DATE:** SEPTEMBER 7, 2021

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This memorandum is to request that the following item be presented to the Board of Trustees, through the Property and Facilities Committee, and be included on the agenda of the meeting scheduled for September 10, 2021.

**Proposal:**

It is proposed that Board of Trustees authorize the President or designee to purchase certain property in Auburn, Alabama.

**Review and Consultation:**

This proposal involves a 0.74-acre parcel owned by the estate of John Mullins in Auburn, Alabama. The parcel is located at 842 South College Street, on the northwest corner of the intersection of South College Street and Woodfield Drive (See Exhibit 1). The parcel is across Woodfield Drive from the Gogue Performing Arts Center. The acquisition would allow the University to control one side of the intersection, which will become an increasingly important entrance to campus, particularly when the Duncan Drive Extension project is completed. Owning this property at the intersection also will allow for road widening, turn lanes, and improved aesthetics at a major entrance point to campus. This acquisition was recommended and approved by the Associate Vice President of Facilities, Chief Financial Officer, Executive Vice President, and President.

Board of Trustees Policy D-10 allows for consideration of the acquisition of property for use by the University. Auburn University has determined that this parcel is a strategic and important acquisition given its location and potential supporting uses. Acquisition of the property will be by general warranty deed and the purchase price does not exceed the MAI appraisal of the property.

Therefore, it is recommended that the President be authorized to purchase the property described herein. All documents for proposed purchase transaction will be reviewed and approved by the Office of General Counsel prior to closing. If you concur, it is requested that you recommend this proposal to the Board of Trustees through the Property & Facilities Committee for action.

Exhibit 1  
842 South College Street  
[Attached]



WOODFIELD DR

GOGUE PERFORMING  
ARTS CENTER

842 S. COLLEGE

S. COLLEGE ST

JULE COLLINS SMITH  
MUSEUM OF FINE ART

## STATUS UPDATES

Time will be allotted for the following *information only* status updates:

- A. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater
- B. Quarterly Report for Projects Costing More than \$500,000 but Less than \$1,000,000 – 3<sup>rd</sup> Quarter, Fiscal Year 2021
- C. Project Status Reports

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**TO:** JAY GOUGE, President  
**THROUGH:** RONALD BURGESS, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**CURRENT STATUS OF NEW CONSTRUCTION/RENOVATION/  
INFRASTRUCTURE PROJECTS WITH BUDGETS OF \$1,000,000 AND GREATER  
(INFORMATION ONLY)**

**DATE:** AUGUST 11, 2021

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This is to request that the following proposal be submitted to the Property and Facilities Committee and included on the agenda of the Board of Trustees meeting scheduled for September 10, 2021.

**Proposal:**

Consistent with standing practice, it is proposed that the current status report of new construction/renovation/infrastructure projects with budgets greater than \$1,000,000 be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

**Review and Consultation:**

The Board of Trustees at its meeting on June 4, 2001, requested that it receive a regular update on the financial status of Board approved projects. The attached list includes projects at Auburn University and outlying units.

**Rationale for Recommendation:**

Consistent with the request of the Board of Trustees for a current status report of new construction/renovation/infrastructure projects with budgets greater than \$1,000,000, the attached listing is provided, *for information only*, to the Board through the Property and Facilities Committee for inclusion on the agenda of the meeting scheduled for September 10, 2021.

Data as of 07/28/2021

## *Auburn University Facilities Management*

### Current Capital Projects

(Spending across Multiple Years)

### *Summary of Cash Flow by Project Phase*

<i>Project Phase</i>	<i>Previous Approved Budget Amount</i>	<i>Current Approved Budget Amount</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2021</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2022 &amp; Forward</i>
Substantial Completion	313,000,000	275,100,000	267,308,381	3,900,445	3,890,613 *
Construction	367,900,000	319,900,000	116,075,774	25,233,730	178,590,496
Design	22,180,410	26,537,833	2,235,474	2,418,457	21,883,902
Planning	8,877,822	4,108,424	1,274,179	281,819	2,552,426
Totals	711,958,232	625,646,257	386,893,808	31,834,451	206,917,437 *
Other Open Projects	76,071,286	74,499,642	42,576,516	1,773,507	30,149,619
Grand Totals	788,029,518	700,145,899	429,470,324	33,607,958	237,067,056 *

\* On the lines with asterisks, the sum of the spending columns does not equal the current approved budget figure. The reason relates to one closed project: (1) "Brown-Kopel Engineering Achievement Center" project under budget by \$561.

## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	(A)		AU Bond Funding	Federal/ State or Local Funding	(B)		Estimated Spending Assuming Remainder of Budget for FY2022 & Forward	(C)	(A)-(B)-(C)	
			Current Approved Budget Amount	AU Funding (includes gifts/grants)			Actual Spending to Date (across multiple years)	Estimated Spending Assuming Remainder of Budget for FY2021		Current Encumbrances Against Project	Current Open Balance (Budget less Actuals and Encumbrances)	
<b>SUBSTANTIAL COMPLETION PHASE</b>												
Brown-Kopel Engineering Achievement Center 15-157	COMPLETED	39,750,000	44,000,000	44,000,000			43,999,439	0	0	0	561	*
President's House Renovation 14-296	Substantial Completion	11,051,195	15,200,000	15,200,000			15,119,977	40,000	40,023	21,037	58,986	
AU Regional Airport - Aviation Education Facility 13-285	Substantial Completion	8,700,000	8,700,000	3,700,000		5,000,000	8,681,024	14,000	4,976	0	18,976	
South College Street Parking Deck 18-071	Substantial Completion	15,900,000	15,900,000	15,900,000			15,817,865	5,000	77,135	7,904	74,231	
Performing Arts Center - New Building 15-158	Substantial Completion	65,000,000	69,600,000	69,600,000			69,333,366	100,000	166,634	0	266,634	
Student Activities Center - Auditorium, Renovation & Upgrades 15-321	Substantial Completion	7,000,000	7,000,000	7,000,000			6,782,033	175,000	42,967	137,114	80,853	
Campus Parking Expansion (Phase I) - West Campus & Hay Fields 19-037	Substantial Completion	3,500,000	3,500,000	3,500,000			2,899,119	77,713	523,168	77,713	523,168	
Goodwin Hall - Renovation & Band Rehearsal Hall Addition 15-255	Substantial Completion	5,500,000	7,500,000	7,500,000			7,434,616	0	65,384	134	65,250	
Lem Morrison Dr - Recreation Field Expansion 18-028	Substantial Completion	17,000,000	17,000,000	17,000,000			16,981,729	5,000	13,271	378	17,893	
AG Heritage Park - ALFA Pavilion, Renovations & Refurbishments 18-313	Substantial Completion	1,100,000	1,100,000	1,100,000			855,085	10,000	234,915	3,690	241,225	

## Current Capital Projects

## ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	( A )		AU Bond Funding	Federal/ State or Local Funding	( B )		Estimated Spending Assuming Remainder of Budget for FY2022 & Forward		( C )	(A)-(B)-( C )	
			Current Approved Budget Amount	AU Funding (includes gifts/grants)			Actual Spending to Date (across multiple years)	Estimated Spending Assuming Remainder of Budget for FY2021			Current Encum- brances Against Project	Current Open Balance (Budget less Actuals and Encum- brances)	
Northeast Campus Utility Expansion 18-481	Substantial Completion	5,400,000	5,400,000	5,400,000			5,161,964	38,036	200,000		22,886	215,150	
Moore Softball Complex - New Player Development Building & Seating Expansion 17-197	Substantial Completion	4,000,000	4,000,000	4,000,000			3,860,141	50,000	89,859		10,685	129,174	
Leach Science Ctr - Basement Level, Build-Out Of Shell Space For COSAM 17-410	Substantial Completion	2,200,000	2,200,000	2,200,000			1,879,783	35,000	285,217		142,256	177,961	
Advanced Structural Engineering Laboratory 17- 255	Substantial Completion	22,000,000	22,000,000	10,000,000		12,000,000	20,349,824	350,000	1,300,176		571,592	1,078,584	
Plainsman Park Player Development Improvements 18-200	Substantial Completion	4,000,000	4,000,000	4,000,000			3,838,112	100,000	61,888		114,617	47,271	
Miller Poultry Center - Poultry Farm Relocation Project (Phases III-VI) 17-302	Substantial Completion	22,000,000	22,000,000	22,000,000			21,618,593	101,407	280,000		199,546	181,861	
Central Dining Facility 17-049	Substantial Completion	26,000,000	26,000,000	26,000,000			22,695,711	2,799,289	505,000		2,534,845	769,444	
Total Substantial Completion			275,100,000	258,100,000	0	17,000,000	267,308,381	3,900,445	3,890,613		3,844,397	3,947,222	*

## Current Capital Projects

**ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY**

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>		<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i>		<i>Estimated Spending Assuming Remainder of Budget for FY2022 &amp; Forward</i>	<i>(C)</i>	<i>(A)-(B)-(C)</i>	
			<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>			<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2021</i>		<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>	
<b>CONSTRUCTION PHASE</b>												
Academic Classroom & Laboratory Complex 15-034	Construction	83,000,000	83,000,000		82,071,760	928,240	42,160,252	7,933,730	32,906,018	19,599,493	21,240,255	
Auburn Research Park - Infrastructure Expansion 19-016	Construction	11,000,000	11,000,000	10,000,000		1,000,000	6,837,562	300,000	3,862,438	417,918	3,744,520	
Tony & Libba Rane Culinary Science Center - New Building 16-370	Construction Contract	95,400,000	110,000,000	67,600,000	42,400,000		42,833,782	10,000,000	57,166,218	52,160,344	15,005,874	
Chilled Water System Expansion - New CW Plant At South Campus 18-444	Construction	24,000,000	24,000,000		24,000,000		5,955,963	2,000,000	16,044,037	12,503,216	5,540,821	
Football Performance Center 19-441	Construction Contract	91,900,000	91,900,000		91,900,000		18,288,215	5,000,000	68,611,785	61,650,305	11,961,480	
<b>Total Construction</b>			319,900,000	77,600,000	240,371,760	1,928,240	116,075,774	25,233,730	178,590,496	146,331,276	57,492,950	

## Current Capital Projects

**ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY**

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>( A )</i>		<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>( B )</i>		<i>Estimated Spending Assuming Remainder of Budget for FY2022 &amp; Forward</i>	<i>( C )</i>	<i>(A)-(B)-( C )</i>	
			<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>			<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2021</i>		<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>	
<b>DESIGN PHASE</b>												
Goodwin Hall - New Professional Recording Studio 18-459	Design	1,300,000	1,300,000	1,300,000			297,935	208,000	794,065	35,241	966,824	
Lowder Hall - Suites 011, 012 & 013, New Finance Lab Renovations 19-172	Design	293,000	293,000	88,000			75,099	217,901	0	160,414	57,487	
STEM & Agricultural Sciences Complex - New Facility 20-378	Programming	8,287,410	8,287,410		8,287,410		384,021	500,000	7,403,389	918,652	6,984,737	
Quad Residence Halls - Comprehensive Renovations - Phase I: Harper & Broun Halls 19-557	Construction Documents	13,500,000	13,500,000	13,500,000			672,012	1,200,000	11,627,988	253,943	12,574,045	
University Student Housing Phase I 19-442	Design & Development	2,866,298	2,866,298	2,866,298			669,938	137,900	2,058,460	1,446,683	749,677	
Hood-McPherson Building (Bham) - Comprehensive Renovation 20-554	Design	291,125	291,125	291,125			136,469	154,656		149,950	4,706	
<b>Total Design</b>			26,537,833	18,045,423	8,287,410	0	2,235,474	2,418,457	21,883,902	2,964,883	21,337,476	

## Current Capital Projects

**ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY**

			(A)				(B)				(C)	(A)-(B)-(C)
<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>	<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2021</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2022 &amp; Forward</i>		<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>
<b>PLANNING PHASE</b>												
College of Education Building - New Facility 18-538	Budget/Contract	4,011,524	4,011,524		4,011,524		1,271,598	187,500	2,552,426		2,739,926	0
Auburn University Regional Airport Maintenance Hangar Expansion 21-017	Programming	96,900	96,900	96,900			2,581	94,319			0	94,319
<b>Total Planning</b>			4,108,424	96,900	4,011,524	0	1,274,179	281,819	2,552,426		2,739,926	94,319

## Current Capital Projects

**ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY**

			(A)				(B)				(C)	(A)-(B)-(C)
<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>	<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2021</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2022 &amp; Forward</i>		<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>
Other Open Projects	Various Stages		74,499,642	72,544,698	0	1,954,944	42,576,516	1,773,507	30,149,619		13,249,257	18,673,869
<b>GRAND TOTAL</b>			700,145,899	426,387,021	252,670,694	20,883,184	429,470,324	33,607,958	237,067,056		169,129,739	101,545,836 *

**TO:** JAY GOGUE, President  
**THROUGH:** RONALD BURGESS, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE

**QUARTERLY REPORT FOR PROJECTS COSTING MORE THAN \$500,000  
BUT LESS THAN \$1,000,000 – 3<sup>rd</sup> QTR FISCAL YEAR 2021** *(For Information Only)*

**DATE:** AUGUST 11, 2021

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda of the meeting scheduled for September 10, 2021.

**Proposal:**

The Board of Trustees, at its meeting on April 11, 2014, adopted a resolution stipulating that all projects with a total cost in the range of \$500,000 to \$1,000,000 be reported quarterly. The intent of this report is to keep the Property and Facilities Committee informed of those projects as they are occurring on campus. Consistent with standing policy, it is proposed that this report be submitted for information only.

**Review and Consultation:**

Projects initiated in the 3<sup>rd</sup> Quarter of Fiscal Year 2021 and costing more than \$500,000 but less than \$1,000,000 are listed in the following table.

<b>3<sup>rd</sup> Quarter FY 2021 Projects \$500,000 - \$1,000,000</b>	<b>Project Number</b>	<b>Account Number</b>	<b>Cost</b>
Walker Pharmacy Building - Rooms 1319-1330, Renovations & Space Utilization Improvements	20-367	923688-102048-P100	\$909,340
Hoerlein Hall - 1st Floor, Renovations for Detection Canine SITE Program	21-185	923800-102048-P100	\$873,000
Samford Hall - Suites 307, 309, 310 & 311, HVAC System Improvements	21-111	923776-102048-P100	\$650,000
Lupton Residence Hall - Room 120, Renovate for Campus Food Security Initiative	20-432	923709-102048-P100	\$526,443

**Rationale for Recommendation:**

Consistent with standing policy, this report is submitted to the Board of Trustees through the Property and Facilities Committee for information at the meeting scheduled for September 10, 2021.



FACILITIES MANAGEMENT  
M E M O R A N D U M

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**TO:** JAY GOGUE, President  
**THROUGH:** RONALD BURGESS, Executive Vice President  
**FROM:** DAN KING, Associate Vice President for Facilities  
**SUBJECT:** PROPERTY AND FACILITIES COMMITTEE  
**PROJECT STATUS REPORT**  
**DATE:** AUGUST 11, 2021

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This memorandum requests the following information be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for September 10, 2021.

**Proposal:**

It is proposed that a brief report regarding the status of Board of Trustees approved capital projects be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

**Review and Consultation:**

The responsibility of the Board of Trustees extends to the oversight of funding, planning, design, construction, maintenance and operation of University buildings and facilities. Since a project can often take several years to execute, as it progresses through the planning, initiation, design, and construction phases of the project, this report is intended to continually inform the Board of Trustees of the status of previously approved projects.

## CAPITAL PROJECT STATUS

### PROJECT/PHASE

### STATUS

#### Projects in Planning Stage:

- Quad Residence Halls Renovation – Phase 2

#### Initiation Approved

Initiated July 2020

#### Projects in Design Stage:

- College of Education Building
- University Student Housing – Phase I
- STEM+Ag Sciences Complex
- Lowder Hall New Finance Laboratory Renovations
- Hood McPherson Building Renovation
- AUM Science Laboratory Facility Renovation

#### Initiation Approved

Initiated November 2018

Initiated September 2019

Initiated September 2020

Initiated November 2020

Initiated November 2020

Initiated February 2021

#### Projects with Final Approval:

- Goodwin Hall Recording Studio
- Ham Wilson Arena Renovation

#### Final Approval

Approved February 2021

To Be Cancelled

#### Projects in Construction Stage:

- Academic Classroom & Laboratory Complex
- ARTF Infrastructure Expansion
- Tony and Libba Rane Culinary Science Center
- New Chilled Water Plant
- Football Performance Center
- Quad Residence Halls Renovations – Phase I

#### Final Approval

Approved February 2019

Approved September 2019

Approved February 2020

Approved September 2020

Approved February 2021

Approved June 2021

#### Projects Recently Completed:

- Central Dining Facility

#### Completion

Completed June 2021

**ACADEMIC AFFAIRS COMMITTEE  
SEPTEMBER 10, 2021 BOARD MEETING  
AUBURN UNIVERSITY BOARD OF TRUSTEES**

1. Proposed Closure of the Bachelor of Science in Food Science (Bill Hardgrave)
2. Proposed Doctor of Physical Therapy (Bill Hardgrave)
3. Agenda Item for the Board of Trustees – *For Information Only* (Bill Hardgrave)

**Committee Chairperson:** Ms. Sarah Newton

**Faculty Representative:** Dr. Todd Steury, Auburn University (ex-officio, non-voting)

*Please Note: All trustees serve on all committees, with the exception of the Executive Committee.*

## RESOLUTION

### PROPOSED CLOSURE OF THE BACHELOR OF SCIENCE IN FOOD SCIENCE

WHEREAS, in 2015, the College of Agriculture established an undergraduate degree program leading to the Bachelor of Science in Food Science that provides a formal plan of study in the development of food processing, analysis, engineering, and safety; and

WHEREAS, in spring 2021, faculty in the College elected to discontinue the program due to low levels of student enrollment and the inability to meet post-implementation requirements established by both Auburn University and the Alabama Commission on Higher Education; and

WHEREAS, the College has developed an appropriate teach-out plan for students currently enrolled in the program that enables them to complete their degrees; and


WHEREAS, the proposal to close the Bachelor of Science in Food Science, effective January 1, 2022, has been approved by the Dean of the College of Agriculture, the University Curriculum Committee, the Academic Program Review Committee, the Provost, and the President.


NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed closure of the Bachelor of Science in Food Science be approved and reported to the Alabama Commission on Higher Education for review and approval and that the closure be submitted to the Southern Association of Colleges and Schools Commission on Colleges as a proposed substantive change requiring the approval of that body.




AUBURN UNIVERSITY

OFFICE OF THE PROVOST

MEMORANDUM TO: Jay Gogue   
President

THROUGH: Ronald Burgess   
Executive Vice President

FROM: Bill Hardgrave   
Provost and Senior Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees – Proposed Closure of  
the Bachelor of Science in Food Science

DATE: August 9, 2021

I am writing to request that the following item be added to the Board of Trustees' agenda for the September 10, 2021 meeting.

**Proposal:** Following a careful review, faculty in the College of Agriculture are recommending the closure of the Bachelor of Science in Food Science, effective January 1, 2022.

**Review and Consultation:** The Department of Poultry Science has proposed the closure of the Bachelor of Science degree in Food Science. Established in 2015, the program provided an interdisciplinary option for students seeking a formal plan of study in the development of food processing, analysis, engineering, and safety. The degree was created to train professionals in strategic areas of food manufacturing and production and serve as an essential resource for food-related initiatives on campus, such as food safety and detection, the food systems institute, and health sciences. However, student enrollment has not met the program's projections, and the program did not satisfy its post-implementation conditions of averaging at least seven graduates per year for the period 2016-2017 to 2020-2021. Therefore, the department has recommended the program's closure and has subsequently developed an approved teach-out plan. The program will cease admitting students next year and will permit the 20 students currently enrolled to complete the degree program per the prepared teach-out plan.

**Recommendation:** I recommend that the Board of Trustees approve the proposal to close the Bachelor of Science in Food Science, effective January 1, 2022. Following Board approval, I recommend these actions be submitted to the Alabama Commission on Higher Education for review and approval and submitted to the Southern Association of Colleges and Schools Commission on Colleges as a proposed substantive change requiring the approval of that body.



Approved 6/11/21  
*Bill Hardgrave*

**To:** Bill Hardgrave  
Provost & Senior Vice President for Academic Affairs

**From:** Paul Patterson *P2*  
Dean, College of Agriculture

**Date:** June 10, 2021

**Subject:** Closure of BS in Food Science

We request to close the BS in Food Science program and that the closure be added to the AU Board of Trustees' agenda for its September 2021 meeting. Due to low enrollment, the department has requested to close this program. I support this request.

#### **Closure of the BS in Food Science**

The College of Agriculture requests the closure of the BS in Food Science. At the time of its creation, the major was transitioned from an option within the BS in Poultry Science to a stand-alone Food Science major. It was anticipated that this change would attract more students to the major and that enrollments would increase. Unfortunately, actual student enrollment did not meet the projection, and the program did not satisfy its post-implementation conditions of averaging at least seven graduates per year for the period 2016-2017 to 2020-2021.

The request to close this program has been approved by the Academic Program Review Committee. Upon AU Board of Trustee approval, the closure request will be forwarded to SACSCOC for approval.

#### **Teach Out Plan**

If approved, the BS in Food Science program will stop admitting students on January 1, 2022 which will be the official 'closure' date. Currently, there are 20 students enrolled in the program. These students along with any incoming freshmen (Fall 2021) will be allowed to complete the degree program according to the prepared teach-out plan.

## **TEACH OUT PLAN BACHELOR OF SCIENCE IN FOOD SCIENCE**

### **COLLEGE OF AGRICULTURE**

#### **AUBURN UNIVERSITY**

The College of Agriculture will commence a teach-out plan to close the BS in Food Science (FSCI) degree program. The CIP Code is 01.1001. The program is closing due to low enrollment.

#### **Date of Closure**

The Food Science, BS will stop admitting students on January 1st, 2022 which will be the official 'closure'. As noted in this document, all current students (and incoming students beginning the program in Fall 2021) will have the opportunity to complete the program.

#### **Explanation of how affected parties (students, faculty, staff) will be informed of the impending closure.**

In Spring 2021, students currently in the program were contacted by email and asked to attend a meeting with the associate dean, department head, undergraduate program coordinator, director of advising, and academic advisor. The students were told about the intent to close the program and the rationale. Students then met individually with their academic advisor to review their individualized plan of study for completing their degree program. They were provided information about how to continue in the program through graduation according to the teach-out plan. Students were also given guidance on opportunities to change to another major. Students accepted to the program for Fall 2021 (incoming Freshmen) were also contacted by mail and told about the program closure. These students will receive individual advising during Camp War Eagle.

The department head, food science faculty, and affiliate faculty (poultry science, animal science, biosystems engineering) were involved in discussions related to the future of the food science program. Once it became clear that the program would not meet the post-implementation requirements set forth by our state-governing entity, the Alabama Commission on Higher Education, the decision was made to close the program. The director of advising in the College of Agriculture and the specific academic advisor for the major were also informed of the planned program closure.

#### **Explanation of how all affected students will be helped to complete their programs of study with minimal disruption.**

Students currently enrolled in the Food Science program will be able to complete their FSCI degree requirements with minimal disruption. A table is included that guides students through the remainder of their major and supporting coursework options by semester.

The last cohort of admitted students into the Food Science program will enter in Fall 2021. Admissions will cease beginning in Spring 2022. All FSCI majors are strongly encouraged to carefully follow the teach-out plan for their cohort, which is organized by graduation semester. This includes planning for the necessary pre-requisite courses that will keep them on track. The Food Science, BS is an Institute of Food Technologists (IFT) approved program. Thus, the teach-out plan ensures that students follow the curriculum that is aligned with this IFT designation.

If a student fails a required pre-requisite course or a FSCI course this could have a negative impact on their graduation timeline. The department will work with students in this situation on an individual basis to find an appropriate pathway to graduation that upholds the academic standards and IFT approvals. This could potentially include a course substitution, independent study, and/or prerequisite waiver. All students will also have the option to change majors and can work with their academic advisor to learn how a change could affect their graduation timeline.

Mrs. Codi Plaster will continue to serve as the academic advisor and will work with each student to create an individualized completion plan. All students will continue to receive support and services through the College of Agriculture. Dr. Tungshi Huang (Professor in the Department of Poultry Science) will continue to serve as the undergraduate program coordinator for this major until his retirement at which time, Dr. Jessica Starkey (Associate Professor in the Department of Poultry Science) will assume this role.

While the undergraduate (B.S.) Food Science major will be closed, the M.Ag., M.S., and Ph.D. programs in Food Science will remain active. Likewise, selected, critical Food Science courses will continue to be offered for undergraduate and graduate students in the College of Agriculture and other colleges.

**An indication as to whether the teach-out plan will incur additional charges/expenses to the students and, of so, how will the students be notified.**

The teach-out plan will not incur any additional charges/expenses to the students.

**Signed copies of teach-out agreements with other institutions, if any.**

There are no signed agreements with other institutions.

**How faculty and staff will be redeployed or helped to find new employment.**

All faculty involved in the Food Science Program will be retained in their current home departments of either Poultry Science (home of Food Science) or Biosystems Engineering.

**If closing an institution, arrangements for the storing of student records, disposition of financial resources and other assets.**

Auburn University is not closing.

Required Food Science courses and semester of enrollment for students by cohort. Individual plans for some students may vary slightly from the cohort. Bolded courses indicted IFT required courses.

Code	FDSC	<b>FDSC</b>	<b>FDSC</b>	<b>FDSC</b>	<b>FDSC</b>	FDSC	FDSC	<b>FDSC</b>	<b>BSEN</b>	FDSC	<b>POUL</b>
Number	1000	<b>5430</b>	<b>5660</b>	<b>5450</b>	<b>5730</b>	4920	5770	<b>4290</b>	<b>5550</b>	5640	<b>5160</b>
Name	Introduct. Food Science	<b>Food Chemistry</b>	<b>Food Microbiol.</b>	<b>Food Analysis and Quality Control</b>	<b>Sensory Evaluation</b>	Food Science Internship	Food Plant Sanitation	<b>Professional Develop. in Food Science</b>	<b>Principles of Food Engineering and Technology</b>	Food Product Develop.	<b>Principles of Food Safety</b>
Instructor	CHO	<b>CHO</b>	<b>ANSC</b>	<b>HUANG</b>	<b>CHO</b>	TBA	HUANG	<b>HUANG</b>	<b>WANG</b>	HUANG	<b>POSTDOC</b>
Semester taken	FA	<b>FA</b>	<b>SP</b>	<b>SP</b>	<b>SP</b>	SU	FA	<b>FA</b>	<b>FA</b>	SP	<b>SP</b>
Year taken	FR	<b>JR</b>	<b>JR</b>	<b>JR</b>	<b>JR</b>	JR	SR	<b>SR</b>	<b>SR</b>	SR	<b>SR</b>
Freshmen graduating SP25	FA21	FA23	SP24	SP24	SP24	SU24	FA24	FA24	FA24	SP25	SP25
Sophomores graduating SP 24		FA22	SP23	SP23	SP23	SU23	FA23	FA23	FA23	SP24	SP24
Juniors graduating SP 23		FA21	SP22	SP22	SP22	SU22	FA22	FA22	FA22	SP23	SP23
Seniors graduating SP 22						SU21	FA21	FA21	FA21	SP22	SP22

## RESOLUTION

### PROPOSED DOCTOR OF PHYSICAL THERAPY

WHEREAS, the School of Kinesiology in the College of Education currently offers academic programs that prepare students for advanced study in the field of physical therapy; and

WHEREAS, the School of Kinesiology does not currently offer a Doctor of Physical Therapy (DPT) program, leading many Auburn graduates to seek professional programs of study at other institutions; and

WHEREAS, the School of Kinesiology has demonstrated the capacity to deliver high quality professional education programs designed to provide the didactic and clinical education required for entry into the professional practice of physical therapy; and

WHEREAS, the School of Kinesiology proposes a new degree program, the Doctor of Physical Therapy, that will provide students with advanced knowledge and practice in delivering direct patient care to individuals experiencing disorders of movement, including mechanical, physiological, and developmental impairments and functional limitations; and

WHEREAS, upon approval by the Board of Trustees and accreditation by the Commission on Accreditation in Physical Therapy, the School of Kinesiology plans to add a DPT Bridge program that will extend the DPT to licensed and working physical therapy assistants seeking a pathway to becoming licensed physical therapists; and

WHEREAS, the proposed degree will address the growing workforce demand for licensed physical therapists capable of treating individuals of all ages, including those with adverse health conditions, mobility issues, and chronic illnesses; and

WHEREAS, the proposed degree program will require additional resources for start-up and implementation, to be funded by the College of Education and the university's Mission Enhancement Fund; and


WHEREAS, the request to create this degree has been endorsed by the Dean of the College of Education, the Graduate Council, the Provost, and the President.


NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Doctor of Physical Therapy be approved and submitted to the Alabama Commission on Higher Education for review and approval.




AUBURN UNIVERSITY

OFFICE OF THE PROVOST

MEMORANDUM TO: Jay Gogue   
President

THROUGH: Ronald Burgess   
Executive Vice President

FROM: Bill Hardgrave   
Provost and Senior Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees – Proposed Doctor of Physical Therapy

DATE: August 9, 2021

I am writing to request that the following item be added to the Board of Trustees' agenda for the **September 10, 2021** meeting.

**Proposal:** The School of Kinesiology in the College of Education is proposing a new Doctor of Physical Therapy (DPT) program (CIP 51.238).

**Review and Consultation:** Faculty in the School of Kinesiology are proposing the establishment of a new Doctor of Physical Therapy degree to provide the didactic and clinical education required for entry into the professional practice of physical therapy. Establishing a DPT program addresses a growing workforce demand for physical therapists capable of treating individuals of all ages, including those with adverse health conditions, mobility issues, and chronic illnesses. Following approval by the Board of Trustees and accreditation by the Commission on Accreditation in Physical Therapy (CAPT), the School of Kinesiology plans to add a DPT Bridge program. Once approved, the Bridge program will extend the DPT to licensed and working physical therapy assistants seeking a pathway to becoming licensed physical therapists. It is anticipated that the 126-hour, three-year program will enroll approximately 40 students annually, leading to a steady enrollment of around 120 students at full implementation. Upon their graduation, we know that many of the school's exercise science graduates seek DPT programs at peer institutions. This factor strongly suggests that most of Auburn's DPT cohorts will be comprised of Exercise Science graduates. In addition to DPT enrollment, the Bridge program will add a significant number of students, possibly doubling the program's size.

If approved, Auburn University would be among a handful of institutions in Alabama to offer such a program. Currently, only three universities in the state offer accredited DPT programs. Despite the significant demand for acceptance at these institutions, enrollment limits indicate a substantial unmet demand exists across the state. In addition, there are currently no DPT Bridge programs in Alabama and only two in the United States. Therefore, offering a Bridge program would serve physical therapy assistants in Alabama and across the southeastern United States.

To establish the program, the School of Kinesiology anticipates resource requirements for both start-up and full implementation. Start-up costs include the renovation and repurposing of existing space for classrooms, labs, and personnel, including hiring administrative and faculty positions to meet initial accreditation standards. Start-up costs are estimated to be approximately \$5 million. The College of Education will provide approximately half of these funds, with the other half advanced from the university's Mission Enhancement Fund. At full implementation, the School estimates that the program will require 8-10 faculty, 3-4 new instructors, a clinical coordinator, an admissions specialist, and operational funds for those expenditures associated with a clinically-based program. Tuition revenues will support these costs since DPT programs rarely offer assistantships and therefore waive little tuition.

**Recommendation:** It is recommended that the Board approve the proposed Doctor of Physical Therapy. Auburn University's Graduate Council reviewed and approved the proposed degree in Spring 2021, and it has been approved by the College of Education and the Provost's Office. If approved by the Auburn University Board of Trustees, the proposed degree will be forwarded to the Alabama Commission on Higher Education for review and approval.



AUBURN UNIVERSITY

SCHOOL OF  
KINESIOLOGY

**TO:** Dr. Bill Hardgrave  
Provost & Senior Vice President for Academic Affairs

**THROUGH:** Dr. George Flowers *George T. Flowers*  
Dean, Graduate School

**THROUGH:** Dr. Jeffrey Fairbrother *J. Fairbrother*  
Dean, College of Education

**FROM:** Dr. Mary Rudisill *Mary E. Rudisill*  
Director, School of Kinesiology

**DATE:** August 5, 2021

**SUBJECT:** Proposed Doctor of Physical Therapy Approval Request

*Approved  
Bill Hardgrave*

We request that the following proposal be added to the Board of Trustees' agenda for their September 10, 2021 meeting.

**Program Description:**

The School of Kinesiology in the College of Education is requesting approval for a doctoral-level degree program in Physical Therapy (DPT). The DPT program (CIP 51.238) is a three-calendar-year, 126-hour program that provides the didactic and clinical education required for entry into the practice of physical therapy. Upon degree accreditation by the Commission on Accreditation in Physical Therapy (CAPT), the School plans to add a DPT Bridge program. The Bridge program will extend the DPT to currently licensed and working physical therapy assistants who seek a pathway to becoming licensed physical therapists.

**Justification for Program:**

There is a high demand for physical therapists in the workforce. According to the Bureau of Labor Statistics, employment of physical therapists is expected to grow by 18% from 2019 to 2029, much faster than the average for all occupations. With the need of physical therapists serving all ages, from infancy to elderly, the demand for these professionals over the next decade will increase substantially. Aging baby boomers, who are not only staying active later in life, but are more susceptible to health conditions, such as strokes, may require physical therapy. In addition, physical therapists will be needed to treat people with mobility issues stemming from chronic conditions, such as diabetes and obesity. Providing physical therapy services for our military servicemen/women who have experienced injuries during training and battle is also a significant need.

**Need for the Program in the State of Alabama:**

There is demand for both the DPT program and the Bridge program in the state. Currently, only four universities in Alabama offer accredited DPT programs (Samford University, University of Alabama at Birmingham, Alabama State University, and University of South Alabama). An additional institution (Faulkner University) is currently seeking accreditation. Each of these programs experiences large numbers of DPT applicants for a capped number of acceptances, indicating strong unmet demand across the state. Further, there are no DPT Bridge programs in Alabama and only two in the United States (located in Ohio and Texas). Offering a Bridge program can therefore serve physical therapy assistants located in the state and across the southeastern United States. It should be noted that there are currently eight physical therapy assistant programs in Alabama, one of which is located at Southern Union. Establishing a DPT program will provide opportunities for increased statewide collaborations to serve all Alabamians.

**Employment Opportunities:**

According to the Bureau of Labor Statistics, employment of physical therapists is in high demand. While many physical therapists work in the context of hospitals, more than 80 percent work in other settings including private practice. The American Physical Therapy Association outlines some of the more common career options for physical therapists:

- Outpatient clinics or private practices
- Sports, fitness, and wellness centers
- Occupational or workplace environments
- Home health care and Hospice
- Rehabilitation hospitals
- Sub-acute rehabilitation facilities
- Extended care centers, nursing homes and skilled nursing facilities
- Government facilities for civilians and military personnel
- Educational settings such as preschools and vocational schools
- Research facilities

**Student Demand/Enrollment Projections:**

We anticipate annual DPT enrollment of approximately 40 students, leading to a steady state enrollment of approximately 120 students at full implementation. These projections are based on factors such as marketplace demand, robust enrollment in other state programs, and undergraduate trends in the School of Kinesiology. Regarding the latter, the School enrolls over 500 students in Exercise Science and graduated over 170 in the most recent academic year. Most of these students will pursue additional graduate study in applied health fields, with a significant majority pursuing career goals in physical therapy. When asked if they would enroll in Auburn's DPT program if available, almost all graduates who pursued DPT programs elsewhere answered affirmatively. We are confident that we could fill the majority of each DPT class with Auburn Exercise Science and College of Science and Mathematics graduates. In addition to DPT enrollment, the DPT Bridge will add significant numbers of students, possibly doubling the size of the program.

**Resource Requirements:**

Resource requirements are best described in two phases – start-up and full implementation. To start the program, the School must renovate/repurpose existing space for classrooms, labs, and personnel and must hire specific administrative and faculty positions to meet initial accreditation standards. In consultation with Auburn University Facilities and CAPT's *Guide to the Development of Education Programs for the Physical Therapists*, the School estimates these

start-up costs at approximately \$5 million. The School of Kinesiology and the College of Education will provide approximately half of these funds, with the other half advanced from the university's Mission Enhancement Fund (MEF). At full implementation, the School estimates that the program will require 8-10 faculty, 3-4 new instructors, a clinical coordinator, an admissions specialist, and operational funds for those expenditures associated with a clinically-based program. The School further estimates that tuition revenues at full implementation will more than cover these costs since DPT programs rarely offer assistantships and therefore waive little tuition. As a result, the DPT program should yield a net positive financial position within a few years of program launch.

**Relationship to Other Programs:**

While the proposed DPT program will not replace or require resources from any existing programs at the university, we can envision fruitful collaborations with several Auburn programs and units in the health service arena, especially in research scholarship. Further, while admission into the DPT program will not require a degree in Exercise Science from Auburn, we anticipate that the creation of the program will increase demand in Exercise Science.

**Recommendation:**

We recommend that the proposed Doctor of Physical Therapy degree be approved by the Offices of the Provost and the President and forwarded to the Board of Trustees and the Alabama Commission on Higher Education for review and approval.

## Proposed Doctor of Physical Therapy program

School of Kinesiology, Auburn University

### 3-Year Curriculum Model

Year 1-Semester 1 (Fall)	HRS	Year 1-Semester 2 (Spring)	HRS	Year 1-Semester 3 (Summer)	HRS
KNPT 9000 : Physical Therapy Gross Anatomy	6	KNPT 9020 : Physical Therapy Systems Physiology	3	KNPT 9050 : Physical Therapy Exercise Physiology	3
KNPT 9010 : Physical Therapy Functional Anatomy	3	KNPT 9030 : Physical Therapy Biomechanics	4	KNPT 9110 : Interprofessional Communication & Practice for Physical Therapy	2
KNPT 9100 : Intergroup Dialogue	1	KNPT 9040 : Physical Therapy Neuroscience	3	KNPT 9120 : Health Disparities in Clinical Care	2
KNPT 9130 : Individual Health Promotion, Wellness, & Prevention	2	KNPT 9500 : Clinical Education for Physical Therapy	2	KNPT 9200 : General Medical Conditions	3
KNPT 9510 : Professional Development for Physical Therapy I	2	KNPT 9600 : Evidence Based Practice for Physical Therapy I	2	KNPT 9220 : Musculoskeletal Physical Therapy	3
				KNPT 9610 : Evidence Based Practice for Physical Therapy II	1
<b>Total Semester Hrs</b>	<b>14</b>	<b>Total Semester Hrs</b>	<b>14</b>	<b>Total Semester Hrs</b>	<b>14</b>


Year 2-Semester 1 (Fall)	HRS	Year 2-Semester 2 (Spring)	HRS	Year 2-Semester 3 (Summer)	HRS
KNPT 9060 : Physical Therapy Motor Control and Learning	3	KNPT 9140 : Community Health Promotion, Wellness & Prevention	2	KNPT 9230 : Cardiopulmonary Physical Therapy	2
KNPT 9160 : Physical Therapy Pharmacology	3	KNPT 9150 : Exploration of Human Behavior	2	KNPT 9240 : Neurological Physical Therapy	2
KNPT 9210 : Lifespan Physical Therapy	3	KNPT 9170 : Understanding & Working with Pain in Physical Therapy	2	KNPT 9260 : Physical Therapy for the Older Adult	2
KNPT 9350 : Therapeutic Exercise I	4	KNPT 9300 : Physical Therapy Tests & Measures	2	KNPT 9330 : Assistive & Adaptive Technology	2
KNPT 9530 : Clinical Outreach I	1	KNPT 9310 : Therapeutic Modalities	2	KNPT 9410 : Physical Therapy for Selected Practice Settings	2
		KNPT 9320 : Diagnostic Imaging	3	KNPT 9540 : Clinical Outreach II	1
		KNPT 9360 : Therapeutic Exercise II	3	KNPT 9570 : Administration, Management & Supervision in Physical Therapy	2
<b>Total Semester Hrs</b>	<b>14</b>	<b>Total Semester Hrs</b>	<b>15</b>	<b>Total Semester Hrs</b>	<b>13</b>


<b>Year 3-Semester 1 (Fall)</b>	<b>HRS</b>	<b>Year 3-Semester 2 (Spring)</b>	<b>HRS</b>	<b>Year 3-Semester 3 (Summer)</b>	<b>HRS</b>
KNPT 9250 : Pediatric Physical Therapy	2	KNPT 9620 : Evidence Based Practice for Physical Therapy III	1	KNPT 9520 : Professional Development for Physical Therapy II	1
KNPT 9270 : Managing Medically Complex Patients	2	KNPT 9630 : Licensure Preparation I	2	KNPT 9640 : Licensure Preparation II	1
KNPT 9340 : Orthotics & Prosthetics	3	KNPT 9800 : Clinical Placement I	12	KNPT 9810 : Clinical Placement II	12
KNPT 9400 : Hospital Based Physical Therapy	2				
KNPT 9550 : Clinical Outreach III	1				
KNPT 9560 : Clinical Experience for Physical Therapy	3				
<b>Total Semester Hrs</b>	<b>13</b>	<b>Total Semester Hrs</b>	<b>15</b>	<b>Total Semester Hrs</b>	<b>14</b>
				<b>Total Program Hours</b>	<b>126</b>

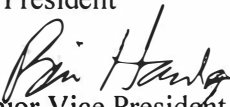


AUBURN UNIVERSITY

OFFICE OF THE PROVOST

MEMORANDUM TO: Jay Gogue   
President

THROUGH: Ronald Burgess   
Executive Vice President

FROM: Bill Hardgrave   
Provost and Senior Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees – Information Items

DATE: August 9, 2021

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This memorandum requests the following be presented to the Board of Trustees Academic Affairs Committee for the **September 10, 2021** meeting.

The following academic items have been approved for implementation by the Provost's Office. While they do not require formal approval by the Board of Trustees, they are presented as information items. All have received the support of the appropriate college or school, the University Curriculum Committee, the Graduate Council, and the Provost's Office. Following the Board of Trustees meeting, these items will be forwarded to the Alabama Commission on Higher Education (ACHE) for notification, where appropriate. A summary of these items is provided in the accompanying documents.

**Graduate Certificate Renaming:**

- Renaming of the *Vocational Forensic Rehabilitation Certificate* as the *Vocational Evaluation Forensic Certificate*, Department of Special Education, Rehabilitation, and Counseling, College of Education

**New Graduate Certificates (3):**

- *Undergraduate Certificate in Financial Planning*, Department of Consumer and Design Sciences, College of Human Sciences
- *Undergraduate Certificate in Speech, Language and Hearing Sciences*, Department of Speech, Language and Hearing Sciences, College of Liberal Arts
- *Graduate Certificate in Global Public Service*, Department of Political Science, College of Liberal Arts



## Items of Information

### Renaming of Graduate Certificate

The Department of Special Education, Rehabilitation, and Counseling in the College of Education proposes to rename a graduate certificate as follows:

- Current name: Vocational Forensic Rehabilitation Certificate
- Revised name: Vocational Evaluation Forensic Certificate

The revised name is consistent with current CACREP accreditation standards and better aligns with recently received federal grants supporting the establishment of a forensic assessment program. The revised name has been reviewed and approved by appropriate faculty, university committees, and the Provost.

### Addition of Certificates

The following undergraduate and graduate certificates have been reviewed and approved by appropriate faculty, university committees, and the Provost.

#### ***Undergraduate Certificate in Financial Planning (21 hours)***

The Department of Consumer and Design Sciences in the College of Human Sciences has developed a Certificate in Financial Planning to provide the knowledge, training, and coursework to qualify students to sit for the post-graduation certification exam to become a Certified Financial Planner®. The Certificate is linked to the Philanthropy and Nonprofit Studies program, which integrates philanthropic decision-making with personal financial planning throughout the life cycle. The Certificate is supported by the Harbert College of Business (including two required Finance courses) and is available to both Auburn students and non-Auburn individuals interested in the Certified Financial Planner® credential. The Certificate will be offered beginning Fall Semester, 2021.

#### ***Undergraduate Certificate in Speech, Language and Hearing Sciences (23 hours)***

The Department of Speech, Language and Hearing Sciences in the College of Liberal Arts has developed a Certificate in Speech, Language and Hearing Sciences to provide foundational knowledge and coursework to non-majors who are seeking prerequisite coursework for graduate study in speech pathology or audiology. The Certificate will be available to working



professionals or students living remotely who need more flexibility with the timing and completion of the prerequisites needed to apply to most Graduate programs. The Certificate will be offered beginning Fall Semester, 2021.

***Graduate Certificate in Global Public Service (15 hours)***

The Department of Political Science in the College of Liberal Arts has developed a Certificate in Global Public Service to address the increasing globalization of public service and to prepare students for careers in public service both inside and outside of the U.S. The Certificate will equip all students, whether serving in domestic or international public service roles, with the knowledge and skills to thrive in an increasingly diverse, dynamic, and globally interconnected public service environment. The certificate is also aligned with the Master of Public Administration's mission to "advance effective and accountable public service in a dynamic, increasingly global environment that includes government agencies and nonprofit organizations."

**FINANCE COMMITTEE  
SEPTEMBER 10, 2021 BOARD MEETING  
AUBURN UNIVERSITY BOARD OF TRUSTEES**

1. Approval of the 2021-2022 Budget (Kelli Shomaker)

Committee Chairperson: Mr. Raymond Harbert

Faculty Representatives: Dr. Beverly Marshal, Auburn University (ex-officio, non-voting)  
Dr. Scott Lane, AUM (ex-officio, non-voting)

*Please Note: All trustees serve on all committees, with the exception of the Executive Committee.*

## RESOLUTION

### APPROVAL OF THE 2021-2022 BUDGET

BE IT RESOLVED by the Board of Trustees of Auburn University as follows:

Section 1. The operating budget for Auburn University covering restricted, unrestricted and auxiliary funds for the fiscal year beginning October 1, 2021, and ending September 30, 2022, as presented by the President and approved by the Finance Committee of the Board of Trustees, be, and the same is hereby approved.

Section 2. Nothing in said budget shall be accepted or construed to be legal obligations or liabilities against Auburn University. The amounts fixed in the components of the budget for the year 2021-2022 shall be understood to be the relative amounts to be paid or expended for those components in relationship to the funds and/or income of the University available for the support and maintenance of the University

Section 3. The proposed expenditure amounts as set out in the budget are hereby approved and adopted and the President is authorized and empowered to enact such budget on October 1, 2021. The President is further authorized and empowered to effect routine adjustments to this budget as deemed necessary and appropriate.

Section 4. The Finance Committee of the Board of Trustees is requested and authorized to approve material adjustments in this budget as may be determined necessary and presented by the President.

Section 5. In order to manage the University's opportunities to refinance its outstanding General Fee Revenue Bonds and thereby reduce the University's overall debt service obligations as and when possible, the Authorization attached as Exhibit A is hereby approved and adopted as if set forth in full in this resolution.

**EXHIBIT A**

**AUTHORIZATION FOR THE ISSUANCE OF REVENUE REFUNDING BONDS  
OF AUBURN UNIVERSITY**

[Attached]

## **EXHIBIT A**

### **AUTHORIZATION FOR THE ISSUANCE OF REVENUE REFUNDING BONDS OF AUBURN UNIVERSITY**

**WHEREAS**, it is desirable and appropriate for Auburn University (the “University”) to issue its General Fee Revenue Bonds from time to time for the purpose of refunding and paying certain of its prior outstanding bonds and thereby achieving an overall interest rate expense savings to the University; and

**WHEREAS**, in order to manage the University’s refunding opportunities in the most efficient manner possible and to permit the University to take advantage of changing market conditions, it is desirable and appropriate to authorize the officers of the University to arrange for the issuance of refunding bonds without further approval of the Board of Trustees, subject to the limitations expressed in this authorization.

**THEREFORE**, by virtue of the Resolution to which this Authorization is attached, the Board of Trustees hereby and thereby authorizes the following:

**Section 1. Findings.** The following bonds or leases of the University are currently outstanding:

- (i) General Fee Revenue Bonds, Series 2012-A and Series 2012-B (Taxable), dated March 27, 2012, issued under the Sixteenth Supplemental General Fee Indenture dated as of March 1, 2012;
- (ii) General Fee Revenue Bonds, Series 2014-A, dated July 1, 2014, issued under the Seventeenth Supplemental General Fee Indenture dated as of July 1, 2014;
- (iii) General Fee Revenue Bonds, Series 2015-A, dated March 18, 2015, issued under the Eighteenth Supplemental General Fee Indenture dated as of March 1, 2015;
- (iv) General Fee Revenue Bonds, Series 2015-B, dated September 10, 2015, issued under the Nineteenth Supplemental General Fee Indenture dated as of September 1, 2015;
- (v) General Fee Revenue Bonds, Series 2016-A, dated August 17, 2016, issued under the Twentieth Supplemental General Fee Indenture dated as of August 1, 2016;

- (vi) General Fee Revenue Bonds, Series 2018-A dated June 21, 2018, issued under the Twenty-First Supplemental General Fee Indenture dated as of June 1, 2018;
- (vii) General Fee Revenue Refunding Bonds, Series 2020-A, dated March 18, 2020, issued under the Twenty-Second Supplemental General Fee Indenture dated as of March 1, 2020;
- (viii) Taxable General Fee Revenue Refunding Bonds, Series 2020-B, dated March 18, 2020, issued under the Twenty-Second Supplemental General Fee Indenture dated as of March 1, 2020;
- (ix) Taxable General Fee Revenue Bonds, Series 2020-C, dated July 29, 2020, issued under the Twenty-Third Supplemental General Fee Indenture dated as of July 1, 2020;
- (x) General Fee Revenue Bond, Series 2021-A, dated May 5, 2021, issued under the Twenty-Fourth Supplemental General Fee Indenture dated as of May 1, 2021;
- (xi) Lease Revenue Bonds, Series 2017 (Auburn University Educational Complex Gulf Shores Project).

The bonds described in (i) – (xii) above are herein referred to as the “Outstanding Bonds.”

**Section 2. Authorization of Bonds.** The University is hereby authorized to issue its revenue bonds for the purpose of refunding any or all of the Outstanding Bonds. The said bonds shall be issued under the terms, conditions and provisions set out in the General Fee Revenue Trust Indenture dated as of June 1, 1985 between the University and The Bank of New York Mellon Trust Company, N.A., as successor trustee (herein called the “Trustee”), as heretofore supplemented, and as further supplemented by the Supplemental General Fee Revenue Indentures (the “Supplemental General Fee Indentures”) provided for in Section 6 of this authorization (the original General Fee Revenue Trust Indenture, as so supplemented being herein referred to as the “General Fee Revenue Indenture”). The bonds herein authorized (the “Bonds”) may be issued at such time or times and in such series as may be most advantageous to the University, subject to the provisions of Section 12 of this authorization. The Outstanding Bonds to be refunded by the Bonds are hereinafter referred to as the “Refunded Bonds.”

All the provisions of the General Fee Revenue Indenture, as applicable to the Bonds, are hereby adopted as a part of this authorization as if set out at length herein.

**Section 3. Bonds to be Issued as Additional Parity Bonds; Special Findings.** The Bonds shall be issued as additional parity bonds under Article VIII of the General Fee Revenue Indenture.

In accordance with the provisions of Section 8.2(b) of the General Fee Revenue Indenture, the Board hereby finds and declares as follows:

(a) The University is not now in default under the General Fee Revenue Indenture and no such default is imminent.

(b) Bonds the interest on which is excludable from gross income for purposes of federal income taxation shall be designated "General Fee Revenue Refunding Bonds, Series \_\_\_\_". If it is necessary and appropriate to issue a portion of the Bonds as bonds the interest on which is taxable for purposes of federal income taxation, such bonds shall be designated "Taxable General Fee Revenue Refunding Bonds, Series \_\_\_\_". The series designation shall be completed to reflect the calendar year in which the Bonds are issued and to provide any further identification of the Bonds as is appropriate.

(c) The persons to whom the Bonds are to be delivered are set forth in Sections 7 and 9 hereof.

(d) All of the Bonds are to be issued by sale in accordance with Section 7 hereof.

(e) The sale price of the Bonds shall be as set forth in Sections 7 and 12 hereof.

(f) The only parity bonds that have previously been issued by the University under the General Fee Revenue Indenture and that are currently outstanding are those bonds listed in (i) – (x) of Section 1 above along with the supplemental indentures under which they were issued.

(g) The Refunded Bonds are to be refunded from proceeds of the Bonds, subject to the determinations and conditions set forth in Sections 11 and 12 hereof.

The Trustee is hereby requested to authenticate and deliver the Bonds to the purchaser specified in Section 7 hereof upon payment of the purchase price designated therein.

**Section 4. Source of Payment of the Bonds.** The principal of and the interest on the Bonds shall be payable from (i) the gross revenues from those general tuition fees levied against students at the Auburn, Alabama campus and the Montgomery, Alabama campus of the University that are more particularly described and referred to as "General Fees" in the General Fee Indenture; (ii) the gross revenues derived by the University from the operation of its housing and dining facilities located on the Auburn campus and on the Montgomery campus that are more particularly described and referred to as "Housing and Dining Revenues" in the General Fee Indenture; (iii) the gross revenues derived by the University from the operation of its athletics programs that are more particularly described and referred to as "Athletic Fee Revenues" in the General Fee Indenture; and (iv) the several student fees levied against students

at the Auburn campus and the Montgomery campus that are more particularly described and referred to as the “Pledged Student Fees” in the General Fee Indenture. The said General Fees, Housing and Dining Revenues, Athletic Fee Revenues, and Pledged Student Fees are referred to herein as the “Pledged Revenues.”

Nothing contained in this authorization, in the Bonds, in the General Fee Revenue Indenture, or in the supplemental indentures hereinafter authorized shall be deemed to impose any obligations on the University to pay the principal of or the interest on the Bonds except from the Pledged Revenues. Neither the Bonds, nor the pledge or any agreement contained in the General Fee Revenue Indenture, in any supplemental indenture or in this authorization shall be or constitute an obligation of any nature whatsoever of the State of Alabama, and neither the Bonds nor any obligation arising from the aforesaid pledge or agreements shall be payable out of any moneys appropriated to the University by the State of Alabama. The agreements, covenants or representations contained in this authorization, in the Bonds, in the General Fee Revenue Indenture, and in any supplemental indenture do not and shall never constitute or give rise to any personal or pecuniary liability or charge against the general credit of the University, and in the event of a breach of any such agreement, covenant or representation, no personal or pecuniary liability or charge payable directly or indirectly from the general revenues of the University shall arise therefrom. Nothing contained in this section shall, however, relieve the University from the observance and performance of the several covenants and agreements on its part herein contained.

**Section 5. Bonds Payable at Par.** All remittances of principal of and interest on the Bonds to the holders thereof shall be made at par without any deduction for exchange or other costs, fees or expenses. The bank or banks at which the Bonds shall at any time be payable shall be considered by acceptance of their duties hereunder to have agreed that they will make or cause to be made remittances of principal of and interest on the Bonds, out of the moneys provided for that purpose, in bankable funds at par without any deduction for exchange or other cost, fees or expenses. The University will pay to such bank or banks all reasonable charges made and expenses incurred by them in making such remittances in bankable funds at par.

**Section 6. Authorization of Supplemental Indentures.** The Board does hereby authorize and direct the President of the University to approve, execute and deliver, for and in the name and behalf of the University, to the Trustee, a Supplemental General Fee Revenue Indenture with respect to each series of the Bonds, and does hereby authorize and direct the Secretary of the Board to affix the official seal of the University to said Supplemental General Fee Revenue Indenture and to attest the same.

**Section 7. Sale of the Bonds.** The Bonds may be sold as an underwritten public sale, or by a private placement with one or more banks or other qualified institutional purchasers, as determined under Section 12 hereof. If the Bonds are sold through an underwritten public sale, the Board does hereby authorize and direct the President of the University or the Vice-President for Business & Finance and CFO of the University to approve, execute and deliver, for and in the name and behalf of the University, one or more Bond Purchase Agreements with respect to each series of Bonds between the University and an underwriter or underwriters (the “Underwriter”) approved under Section 12 of this authorization. If the Bonds are sold by private placement, the

Board does hereby authorize and direct the President of the University or the Vice-President for Business & Finance and CFO of the University to approve, execute and deliver, for and in the name and behalf of the University, a Placement Agreement or similar document with the purchaser(s) of the Bonds approved under Section 12 of this resolution.

**Section 8. Authorization of Official Statements.** The Board does hereby authorize and direct the Underwriter and/or Professionals designated under Section 14 of this authorization to prepare and distribute, for and in the name and on behalf of the University, a Preliminary Official Statement and a final Official Statement with respect to each series of Bonds issued under this authorization. The Board does hereby further authorize and direct the President or the Vice President for Business & Finance and CFO of the University to execute and deliver, for and on behalf of the University, such final Official Statement(s) and does hereby declare that the Official Statement(s) so executed by the President or the Vice President for Business & Finance and CFO of the University shall be the Official Statement(s) of the University with respect to the Bonds covered by such Official Statement(s).

**Section 9. Execution and Delivery of Bonds.** The Board does hereby authorize and direct the President of the University to execute the Bonds, in the name and on behalf of the University, by causing his signature or a facsimile thereof to be placed or imprinted on the Bonds, and does hereby authorize and direct the Secretary of the Board to cause the official seal (or a facsimile thereof) of the University to be applied or imprinted on the Bonds and to attest the same by causing his signature or a facsimile thereof to be placed or imprinted on the Bonds, all in the manner provided in the General Fee Revenue Indenture. The President of the University is hereby further authorized and directed to deliver the Bonds, subsequent to their execution as provided herein to the Trustee, and to direct the Trustee to authenticate all the Bonds and to deliver them to the Underwriter, upon payment to the University of the purchase price therefor in accordance with the provisions of Sections 7 and 12 hereof.

**Section 10. Application of Proceeds.** The entire proceeds derived by the University from the sale of the Bonds shall be paid to the Trustee under the General Fee Revenue Indenture. The Trustee is thereupon authorized and directed to apply and disburse such moneys for the purposes and in the order specified in the Supplemental General Fee Indentures.

**Section 11. Redemption of Refunded Bonds; Authorization of Escrow Trust Agreement.** Any series of Outstanding Bonds to be refunded by the Bonds or any series of the Bonds shall be called for redemption on the first date permitted for the call and redemption of such Outstanding Bonds subsequent to the date of issuance of the Bonds, at and for a redemption price equal to 100% of the principal amount of each bond so redeemed, plus accrued interest. The President and the Vice President for Business & Finance and CFO of the University are separately authorized to direct the Trustee to mail and/or publish notice of such redemption as required under the terms of the General Fee Revenue Indenture. Any such redemption notice mailed or published prior to the date of issuance of the Bonds shall provide that the call of the affected Refunded Bonds for redemption is contingent upon the issuance and sale of the Bonds.

Pursuant to Section 6.1(a) of the General Fee Revenue Indenture, the Board hereby confirms that the University is not in default under said indenture.

The President of the University is hereby authorized to approve, execute and deliver in the name and on behalf of the University an Escrow Trust Agreement or Agreements, between the University and the Trustee, if necessary or desirable, with respect to each series of Refunded Bonds to provide for the escrow and investment of proceeds of the Bonds until the redemption date of the Refunded Bonds.

**Section 12. Authorization to Approve Certain Matters.** The Board has determined that it is in the best interest of the University to authorize the issuance of the Bonds for the purposes described in this authorization and subject to the limitations of this authorization without a further meeting or approval of the Board. The Vice President for Business & Finance and CFO of the University and the Chairman of the Finance Committee of the Board are hereby authorized:

(a) to determine when and if any Bonds shall be issued and to approve the schedule of issuance for each series of Bonds; provided that no Bonds shall be issued under the authority of this authorization after December 31, 2023;

(b) to approve the principal amount of the Bonds to be issued in each series and the designation of the Bonds as tax-exempt or taxable Bonds; provided that the aggregate principal amount of each series of Bonds shall not exceed the amount necessary to pay the principal and interest on the Refunded Bonds (taking into account any original issue premium or discount) and the costs of issuing the Bonds;

(c) to determine which of the Refunded Bonds are to be refunded and redeemed by the Bonds; provided that any such refunding shall result in a minimum net present value savings of at least 3%;

(d) to determine whether the Bonds are to be sold to the public or are to be privately placed with one or more banks or other institutions, and the terms of either form of sale;

(e) to approve the forms of Supplemental General Fee Indenture(s), Bond Purchase Contract(s) (if the Bonds are sold to the public), Placement Agreement (if the Bonds are privately placed with a bank or financial institution), Preliminary Official Statement(s), Official Statement(s) and Escrow Agreement(s) to be delivered in connection with each series of Bonds;

(f) to approve the final form and pricing details of each series of Bonds, including the interest rates to be borne by such Bonds, the principal maturities thereof and any original issue discount or premium with respect to the Bonds; provided that the net interest cost of any series of Bonds shall not exceed 6%;

(g) to approve the expenses of issuing the Bonds; and

(h) to take such other steps and to execute and approve such other documents as may be necessary or appropriate to cause the Bonds to be issued, sold and delivered consistent with the provisions of this authorization and the General Fee Revenue Indenture.

The final approval by the Vice President for Business & Finance and CFO of the University and the Chairman of the Finance Committee of the Board of the items listed above may be conclusively evidenced by a certificate signed by each of them and delivered at the time of issuance of the Bonds.

**Section 13. Severability.** The various provisions of this authorization are hereby declared to be severable. If any provision hereof shall be held invalid by a court of competent jurisdiction, such invalidity shall not affect any other portion of this authorization.

**Section 14. Designation of Professionals.** The retention of PFM Financial Advisors LLC as financial advisor to the University, and the law firm of Balch & Bingham LLP as bond counsel to the University for the issuance of the Bonds are hereby authorized.

**Section 15. General Authorization.** The President of the University, Vice President for Business & Finance and CFO of the University and the Secretary of the Board are hereby authorized to execute such further certifications or other documents and to take such other action as any of them may deem appropriate or necessary for the consummation of the matters covered by this authorization, to the end that the Bonds may be executed and delivered at the times and on the terms most advantageous to the University.



AUBURN UNIVERSITY

OFFICE OF THE VICE PRESIDENT FOR  
BUSINESS & FINANCE AND CFO

August 9, 2021

MEMORANDUM TO: President Jay Gogue

THROUGH: Ronald Burgess, EVP

FROM: Kelli D. Shomaker, Vice President for Business & Finance and CFO

SUBJECT: Approval of FY 22 Auburn University Budget

Please allow time at the September 10, 2021 Board of Trustees meeting for review and approval of the Auburn University Budget for the fiscal year beginning October 1, 2021 and ending September 30, 2022.

**INSTITUTIONAL ADVANCEMENT COMMITTEE  
SEPTEMBER 10, 2021 BOARD MEETING  
AUBURN UNIVERSITY BOARD OF TRUSTEES**

1. Auburn University Endowment Portfolio Update – *For Information Only*  
(John Morris/Greg DeSisto)

Committee Chairperson: Mr. B.T. Roberts

Faculty Representative: Vacant

*Please Note: All trustees serve on all committees, with the exception of the Executive Committee.*



# Endowment Investment Review

*Greg DeSisto, Prime Buchholz*  
*September 10, 2021*

Auburn University Foundation

# Long-Range Goals

- To earn a total investment return, with prudent levels of risk, which maintains the purchasing power of the endowment and supports the endowment's mission.
- To provide consistent annual payouts in support of the university's programs.



# Investment Fund Responsibility Matrix

## *Auburn University Foundation Investment Committee*

- Develop and recommend Investment and Spending Policies
- Approve Asset Allocation policy changes
- Approve investment manager, custodian, and investment consultant changes
- Monitor investment performance and liquidity with report to the Board

# Investment Fund Responsibility Matrix

## *Auburn University Foundation Board*

- Approve Investment Policy as recommended by the Investment Committee
- Receive periodic reports from the Investment Committee regarding Fund investment performance

# Investment Fund Responsibility Matrix

*Investment Consultant, Prime Buchholz (hired 2003)*

- Provide market overview regarding investment opportunities/risks
- Recommend Investment Policy and Asset Allocation changes
- Conduct due diligence in current and prospective investment managers
- Evaluate fund and manager performance, maintain manager watch list
- General support to the committee and Investment Office
- Primary contact: Greg DeSisto

# **Appendix: Prime Buchholz LLC**

## **Investment Consultant to Auburn University and Auburn University Foundation**

## Prime Buchholz by the Numbers

Prime  
BUCHHOLZ

**32<sup>+</sup>** Years experience working  
with institutional clients

**03** Locations:  
Portsmouth, Boston, Atlanta

**248** Clients

**\$69<sup>+</sup>** Billion, total assets under advisement

**100%** Employee-owned; 46 owners and growing

**120<sup>+</sup>** Total employees

As of March 31, 2021



**35** CFA charterholders

**21** CAIA charterholders

**08** CIPM designees

# Our Clients

The investments that we create in partnership with our clients have an impact beyond the institutions themselves. Our work is designed to generate and protect resources that provide secure retirements, invest in new technologies, expand access to medical care, increase scholarships for deserving students, and launch bold solutions to global challenges.

**Prime Buchholz is proud that roughly 90% of our client assets comprise sophisticated, trustee-driven programs:**



Education



Health Care  
& Insurance



Public & Private  
Foundations



Cultural & Faith-based  
Organizations



Retirement Plans



Private Wealth



Prime  
BUCHHOLZ

# Our People



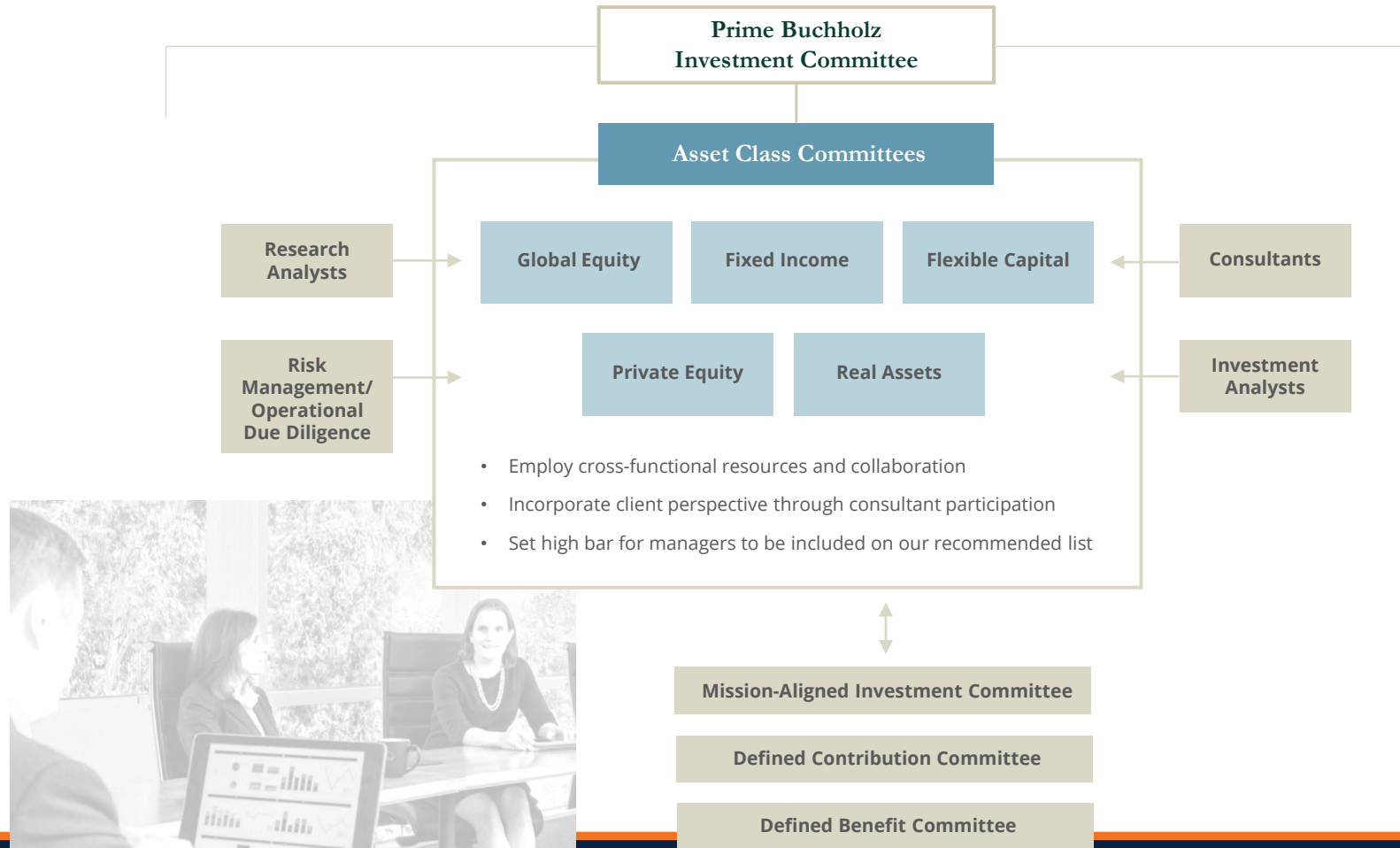
As of December 31, 2020

As our client, you receive personal, quality service and a true partnership with your investment team. From the very beginning of our relationship, your dedicated client service team will stand by you and work with you through various scenarios. Our consulting team members average more than 25 years of industry experience and are ready to leverage that knowledge to meet your needs.

- Client Service
- Research
- Consultants
- Info Systems & Tech
- Communications
- Legal & Compliance
- HR/Finance/  
Business Operations



# Investment Decision-Making Governance





AUBURN UNIVERSITY  
FOUNDATION

MEMORANDUM TO: Jay Gogue, President, Auburn University *[Signature]*  
THROUGH: Ron Burgess, Executive Vice President, Auburn University *[Signature]*  
FROM: John Morris, President, Auburn University Foundation *[Signature]*  
SUBJECT: Board of Trustees Agenda Item: Auburn University Endowment Portfolio Update  
DATE: August 10, 2021

**PROPOSAL:**

It is proposed that a principal of Prime Buchholz, the investment consultant that provides consulting services to the Auburn University Foundation related to investment of Auburn's endowments, present an update to the Institutional Advancement Committee of the Board of Trustees at its September 10, 2021, meeting.

**BACKGROUND AND INFORMATION:**

While maintaining its fiduciary responsibility for ownership of Auburn University's endowments by establishing investment and spending policies, the Board of Trustees delegates to the Auburn University Foundation authority for the day-to-day management of the endowment portfolio. This includes development of investment strategies; tactical allocation among approved asset classes; strategies within asset classes; and investment manager selection and termination. In order to facilitate communication and reporting between the Auburn University Board of Trustees and the Auburn University Foundation Investment Committee, a member of the Board of Trustees serves on the Investment Committee.

**RATIONALE FOR RECOMMENDATION:**

The Board of Trustees periodically should receive reports from the Foundation and its investment consultant. As has been our custom, we are recommending that a report be provided at the September 10, 2021, meeting.

c: Jon Waggoner  
Gena Isbell

**EXECUTIVE COMMITTEE  
SEPTEMBER 10, 2021 BOARD MEETING  
AUBURN UNIVERSITY BOARD OF TRUSTEES**

1. Posthumous Awarding of the Bachelor of Science in Aviation Management to Kylie Nicole Murray (Bill Hardgrave)
2. Selection of Board Members to the Alumni Lifetime Achievement Awards Committee – *For Information Only* (Bob Dumas)
3. Recommendation from the Trustee Task Force (Elizabeth Huntley/James Pratt)
4. Proposed Awards and Namings (Wayne Smith)

<u>Committee Chairperson:</u>	Mr. Wayne Smith
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<u>Committee Members:</u>	Mr. Michael DeMaioribus
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*President Pro Tempore* Bob Dumas

Mr. Raymond Harbert

Mr. Charles McCrary

Mr. Jimmy Rane

## RESOLUTION

### POSTHUMOUS AWARDING OF THE BACHELOR OF SCIENCE IN AVIATION MANAGEMENT TO KYLIE NICOLE MURRAY

WHEREAS, Kylie Nicole Murray, an undergraduate student in the Department of Aviation Management in the College of Liberal Arts at Auburn University, passed away on July 31, 2021; and

WHEREAS, Ms. Murray passed away before she could complete the final requirements necessary for a Bachelor of Science in Aviation Management; and

WHEREAS, the faculty in the Department of Aviation Management, the interim dean of the College of Liberal Arts, the Provost, and the President recommend that the Bachelor of Science in Aviation Management be awarded posthumously.


NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that the degree of Bachelor of Science in Aviation Management is hereby granted posthumously to Ms. Kylie Nicole Murray in recognition of her academic achievements as a student at Auburn University.


BE IT FURTHER RESOLVED that the Board hereby expresses its sympathy and condolences to the family of Ms. Murray in this period of sadness and that a copy of this resolution be presented to them so that they will know of this action and the university's deep loss.




AUBURN UNIVERSITY

OFFICE OF THE PROVOST

MEMORANDUM TO: Jay Gogue   
President

THROUGH: Ronald Burgess   
Executive Vice President

FROM: Bill Hardgrave   
Provost and Senior Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees – Proposed  
Posthumous Degree for Kylie Nicole Murray

DATE: August 9, 2021

I have received a request from the College of Liberal Arts to award posthumously the Bachelor of Science in Aviation Management to Kylie Nicole Murray. Ms. Murray passed away in July 2021 before completing the final semester of coursework required for her degree. As a senior in Aviation Management, Ms. Murray was in good standing and would have graduated this coming December.

Following a careful review of her academic records, the faculty in the Department of Aviation Management all concur that her academic achievements ensure Ms. Murray meets the requirements necessary to be awarded a degree posthumously. Please find attached a formal request from Dr. Ana Franco-Watkins, Interim Dean of the College of Liberal Arts. I respectfully request that this item be placed on the Board of Trustees agenda for the September 10, 2021 meeting.



AUBURN UNIVERSITY

DEPARTMENT OF AVIATION

TO: Interim Dean Anna Franco-Watkins *Chad And*  
FROM: Dr. James E. Witte, Chair Department of Aviation *James E. Witte*  
DATE: August 3, 2021  
SUBJECT: Award of Posthumous Degree – Kylie Murray

With this memo, I request consideration of a posthumous B.S. in Aviation Management degree for Kylie Murray who passed away July 31, 2021. Ms. Murray was in good standing at Auburn University with an intended graduation date of Fall 2021. Her overall GPA is 3.24 and her GPA on courses directly related to her major is 3.6. The faculty have voted unanimously that she would have completed the requirements for her degree in the Fall of 2021.

Thank you for your consideration of this request. Please contact me if I can provide any additional information.

SELECTION OF BOARD MEMBERS TO THE  
ALUMNI LIFETIME ACHIEVEMENT AWARDS COMMITTEE

A request is before the Board of Trustees to select two board members for a three-year term on the Alumni Lifetime Achievement Awards Committee. The two named board members will serve alongside the current *President Pro Tempore* of the Board of Trustees. The terms are set to expire on September 1, 2024.

Time will be allotted for discussion and selection of board members to the Committee.

## RESOLUTION

### CONTEXTUALIZATION OF WALLACE HALL

WHEREAS, the life of Governor George Corley Wallace is one of great complexity from his early actions as a self-described segregationist to his later life when he apologized for his words and deeds promoting segregation; and

WHEREAS, Governor Wallace blemished his own legacy by publicly extolling segregation; and

WHEREAS, late in his life, Governor Wallace sought forgiveness and was publicly forgiven by prominent civil rights leaders, ultimately winning his final election as governor of Alabama in 1982; and

WHEREAS, Governor Wallace is widely recognized by both supporters and detractors as an influential proponent of Alabama's publicly controlled two-year colleges; and

WHEREAS, the Board of Trustees, through a specially created task force, has made considerable effort to study the University's past and to educate students and other university stakeholders, candidly and pointedly, on all aspects of Auburn's and the State of Alabama's history. This in-depth examination has highlighted the important contributions of minority students and stakeholders over the years; and

WHEREAS, the Board task force also desires to accurately illuminate the holistic legacy of historical figures whose mention in the names of campus buildings and other structures may conjure painful historical images for members of the Auburn community; and

WHEREAS, the Board desires to ensure that the University's physical environment supports the University's values as reflected in the Auburn Creed; and with Auburn's mission to improve the lives of the people of Alabama, the nation, and the world through forward-thinking education, life-enhancing research and scholarship, and selfless service. This desire caused this Board to adopt the Policy Regarding Name Removal and Context of University Owned or Controlled Buildings and/or Structures Located on Auburn University Property (the "Name Removal and Contextualization Policy") in November of 2020; and

WHEREAS, in accordance with the Name Removal and Contextualization Policy, the Board has determined that preservation of an accurate portrayal of history is best served by placing the existing name in historical context.

NOW, THEREFORE BE IT RESOLVED, that the Board of Trustees hereby instructs the University leadership to affix a memorial plaque at Wallace Hall on the main Auburn Campus which displays the text below:

Wallace Hall is named after George Corley Wallace, four-time governor of the State of Alabama and was erected on Auburn's campus in 1984. The life of Governor Wallace was one of great complexity from his early actions as a self-described segregationist to his later life when he apologized for his words and deeds promoting segregation. In his last election as governor of Alabama, in 1982, he won with more than 90 percent of the black vote. The complexity of Wallace's legacy has been an ongoing conversation for decades. Auburn's obligation as an institution of higher education is to promote challenging conversations, which is a valuable component of the academic process.



AUBURN UNIVERSITY

OFFICE OF THE SECRETARY  
TO THE BOARD OF TRUSTEES

## MEMORANDUM

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**TO:** Mr. Bob Dumas, *President Pro Tempore*

**THROUGH:** Mr. Wayne Smith, Executive Committee Chairperson

**FROM:** Ms. Elizabeth Huntley and Mr. James Pratt, Trustee Task Force Co-Chairpersons

**SUBJECT:** Executive Committee Agenda Item re: Contextualization of Wallace Hall

**DATE:** September 10, 2021

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This memorandum requests the following proposal be presented to the Board of Trustees through the Executive Committee and included in the agenda at the meeting scheduled for September 10, 2021.

**Proposal:**

A Task Force of Auburn Trustees was appointed in the Summer of 2020 to evaluate the history and context of named buildings and structures on Auburn University property. The Task Force operated with the goal of ensuring that the University's physical environment supports the University's values as reflected in the Auburn Creed and is consistent with Auburn's mission to improve the lives of the people of Alabama, the nation, and the world through forward-thinking education, life-enhancing research and scholarship, and selfless service.

In November of 2020, the Board of Trustees adopted the Policy Regarding Name Removal and Context of University Owned or Controlled Buildings and/or Structures Located on Auburn University Property (the "Name Removal and Contextualization Policy").

The Task Force has reviewed the name of Wallace Hall under the procedures specified in the Name Removal and Contextualization Policy.

**Review and Consultation:**

The Task Force hereby recommends the attached resolution, requesting that the Board take immediate steps to contextualize the name and legacy of Governor George C. Wallace in relation to Wallace Hall on the main campus of Auburn University.

## PROPOSED AWARDS AND NAMINGS

Time will be allotted for discussion and potential approval of a list of proposed awards and namings.

## RESOLUTION

### APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the June 11, 2021 Annual Meeting of the Board of Trustees and the August 6, 2021 Special Called Meeting of the Board of Trustees (via telephone conference call) have been distributed to all members of this Board for review; and

WHEREAS, the members have reviewed the minutes and determined that they constitute a true and correct recitation of the business of the respective meetings.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the minutes of the June 11, 2021 Annual Meeting of the Board of Trustees and the August 6, 2021 Special Called Meeting of the Board of Trustees (via telephone conference call) are hereby approved as distributed.

MINUTES OF AN ANNUAL MEETING OF THE  
BOARD OF TRUSTEES  
OF  
AUBURN UNIVERSITY

JUNE 11, 2021

**AGENDA**  
**JUNE 11, 2021 ANNUAL BOARD MEETING**  
**AUBURN UNIVERSITY BOARD OF TRUSTEES**

I. Call to Order and Opening Remarks

II. Committee Meetings

A. Audit and Compliance Committee | Chairperson Dumas

1. Approval of Independent Auditors for Fiscal Year Ended September 30, 2021
2. Receipt of Code of Ethics Compliance Documents – *For Information Only*

B. Property and Facilities Committee | Chairperson DeMaioribus

1. Quad Residence Halls Renovation – Phase I: Harper and Broun Halls, Final Project Approval
2. Auburn University Regional Airport Maintenance Hangar Expansion, Project Initiation and Engineer Selection
3. Auburn University Regional Airport T-Hangar Construction, Project Initiation and Engineer Selection
4. College of Human Sciences Clinic Renovation and Relocation, Project Initiation and Architect Selection
5. Summary of Real Estate Holdings Annual Report – *For Information Only*
6. Annual Report of Timber Harvest Revenue – *For Information Only*
7. Status Updates – *For Information Only*
  - a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater
  - b. Project Status Reports

C. Academic Affairs Committee | Chairperson Newton

1. Proposed Master of Science in Forest Business and Investment
2. Agenda Item for the Board of Trustees – *For Information Only*

D. Institutional Advancement Committee | Chairperson Roberts

1. Auburn University Endowment Portfolio Update – *For Information Only*

E. Executive Committee | Chairperson McCrary

1. Proposed Awards and Namings
2. Election of the *President Pro Tempore*
3. Appointment of Board Members to the Trustee Selection Committee

F. Trustee Reports

1. Academic Affairs Committee | Chairperson Newton
2. Alumni | Trustee Vines
3. Research and Technology (Economic Development) Committee | Trustee Sahlie
4. Governmental Affairs | Chairperson Rane

III. Regular Meeting of the Board of Trustees

IV. Proposed Executive Session

V. Reconvened Meeting of the Board of Trustees

1. Approval of the April 16, 2021 Meeting Minutes
2. Awarding of Degrees for Summer 2021
3. AUM Chancellor's Report
4. President's Report
5. Action Items and Committee Meeting Reports
  - A. Audit and Compliance Committee
    1. Approval of Independent Auditors for Fiscal Year Ended September 30, 2021
  - B. Property and Facilities Committee
    1. Quad Residence Halls Renovation – Phase I: Harper and Broun Halls, Final Project Approval

2. Auburn University Regional Airport Maintenance Hangar Expansion,  
Project Initiation and Engineer Selection
3. Auburn University Regional Airport T-Hangar Construction,  
Project Initiation and Engineer Selection
4. College of Human Sciences Clinic Renovation and Relocation,  
Project Initiation and Architect Selection

C. Academic Affairs Committee

1. Proposed Master of Science in Forest Business and Investment

D. Executive Committee

1. Proposed Awards and Namings
2. Election of the *President Pro Tempore*
3. Appointment of Board Members to the Trustee Selection Committee

VI. Recess Meeting

As *President Pro Tempore* of the Auburn University Board of Trustees, Mr. Wayne Smith convened the annual meeting of the Auburn University Board of Trustees on Friday, June 11, 2021 at 9:00 a.m. in the Legacy Ballroom of The Hotel at Auburn University and Dixon Conference Center.

After calling the meeting to order, *President Pro Tempore* Smith called upon Board Secretary Jon Waggoner to call the roll. The following named members of the Board of Trustees were deemed to be in attendance:

Mr. Michael A. DeMaioribus; Mr. Robert W. Dumas; Mr. Raymond J. Harbert; Ms. Elizabeth H. Huntley (via telephone call); Mr. D. Gaines Lanier; Mr. Charles D. McCrary; Mr. James R. Pratt, III; Mr. James W. Rane; Mr. Quentin P. Riggins; Mr. B.T. Roberts; Mr. M. Clark Sahlie; Mr. James H. Samford; and Mr. Wayne T. Smith.

Governor Kay Ivey, President of the Board, was absent from the meeting.

The individuals listed above represent all persons recognized as members of the Auburn University Board of Trustees at the time of the meeting.

Also sitting with the Board were the following persons: Dr. Jay Gogue, Auburn University President; Dr. Nedret Billor, Faculty Advisor to the Board of Trustees from the Auburn University campus; Dr. Glen Ray, Faculty Advisor to the Board of Trustees from the Auburn University at Montgomery campus; Mr. Rett Waggoner, Auburn University Student Government Association President; Mr. Keenan Carter, Auburn University at Montgomery Student Government Association President; and Jon Waggoner, Secretary to the Board of Trustees.

The following persons were also in attendance, either in person in the Legacy Ballroom or, as a precaution against the COVID-19 pandemic, watching a simulcast of the meeting across the hallway in the Oak Room: Gen. Ronald Burgess, Executive Vice President; Dr. Bill Hardgrave, Provost and Senior Vice President for Academic Affairs; Ms. Kelli Shomaker, Vice President for Business & Finance and Chief Financial Officer; Dr. Bobby Woodard, Senior Vice President for Student Affairs; Ms. Jaime Hammer, General Counsel; Mr. Daniel King, Associate Vice President for Facilities; Dr. Carl Stockton, Chancellor of AUM; Mr. Mark Stirling; Director of Auburn University Real Estate; Ms. Gretchen VanValkenburg, Vice President for Alumni Affairs and Executive Director of the Auburn Alumni Association; Dr. Joffrey Gaymon, Vice President for Enrollment; Mr. Simon Yendle, University Architect; Mr. Jared White, Executive Director of Governmental Affairs; Mr. Allen Greene, Director of Athletics; Dr. Chris Roberts; Interim Vice President for Development and Interim President of the Auburn University Foundation; and Mr. Jim O'Connor, Vice President for Information Technology and Chief Information Officer.

*President Pro Tempore* Smith welcomed the following persons that serve Auburn University and AUM in various capacities: Dr. Donald Mulvaney, Auburn University Senate Chair and Academic Affairs Faculty Representative; Dr. Glen Ray, AUM Faculty Senate President; Dr. Shelia Mehta, AUM Committee Faculty Representative; Dr. Andrew McLelland, Finance Committee Faculty Representative; Dr. Kim Brackett, Finance Committee Faculty Representative; Dr. Ana Franco-Watkins, Institutional Advancement Committee Faculty

Representative; Mr. David Hinton, Property and Facilities Committee Faculty Representative; Dr. Karley Riffe, Student Affairs Faculty Representative; Dr. Paul Fox, Student Affairs Faculty Representative; Ms. Paige Patterson, Chair of the Auburn University Staff Council; Mr. Rudy Tobias, AUM Staff Council President; Ms. Cheryl Casey, Chair of the Auburn University Foundation Board of Directors; and Ms. Regenia Sanders, President of the Auburn Alumni Association.

The Board then met in various committees, each discussing the items which would later appear on the Reconvened Board Meeting Agenda, as follows:

**MINUTES OF A MEETING OF THE  
AUDIT AND COMPLIANCE COMMITTEE OF THE  
AUBURN UNIVERSITY BOARD OF TRUSTEES  
FRIDAY, JUNE 11, 2021 AT 9:05 A.M.**

Chairperson Dumas convened the meeting of the Audit and Compliance Committee of the Auburn University Board of Trustees on Friday, June 11, 2021 at 9:05 a.m. in the Legacy Ballroom of The Hotel at Auburn University and Dixon Conference Center.

After calling the committee meeting to order, Chairperson Dumas called upon Ms. Shomaker for discussion of the Audit and Compliance Committee's first agenda item.

**1. Approval of Independent Auditors for Fiscal Year Ended September 30, 2021**

Ms. Shomaker began her report by explaining that, in compliance with Board of Trustees' policy, *Selection of External Auditors*, Auburn University management annually reviews the completed audit process, both internally and with the external auditors. Ms. Shomaker further explained that, at the end of each five-year period, Auburn University management specifically reviews the continuation of the audit firm based on industry expertise, cost, and overall satisfaction with the services rendered.

Ms. Shomaker shared that based on the annual and five-year evaluations, Auburn University management recommends maintaining its current external auditors, PricewaterhouseCoopers.

Ms. Shomaker then introduced Mr. Kevin Kelly from PricewaterhouseCoopers. Mr. Kelly began by sharing his thanks to the Board and the University for their support during the past year. Mr. Kelly announced that, in accordance with PwC policies, he will be transitioning off the Auburn University team and that Mr. Douglas Grant will be serving as Auburn University's engagement partner moving forward. Mr. Kelly then introduced Mr. Grant for discussion of the Auburn University Fiscal Year 2021 Audit Plan.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. DeMaioribus. The motion was seconded by Mr. Lanier, and the committee unanimously approved the motion by a voice vote.

Chairperson Dumas thanked Ms. Shomaker, Mr. Kelly, and Mr. Grant for their reports, and then discussed the remaining Audit and Compliance Committee agenda item.

**2. Receipt of Code of Ethics Compliance Documents – *For Information Only***

Chairperson Dumas reported that, in accordance with the Principles of Accreditation for the Southern Association of Colleges and Schools Commission on Colleges, the presiding officer of the Board of Trustees should be free of any contractual, employment, personal, familial, or finically related interests with Auburn University.

Chairperson Dumas further explained that, additionally, a majority of the voting members of the Board of Trustees must also be free of such interests.

Chairperson Dumas reported that the Audit and Compliance Committee, the General Counsel, and the Board Secretary have reviewed the Annual Ethics Disclosures of all board members and subsequently determined that all members are in compliance with the SACS' policy requirements.

Chairperson Dumas reminded the Committee that this report is for information only and does not require Board approval.

Chairperson Dumas concluded his report by sharing that copies of the 2020-2021 documents are available upon request in the Board of Trustees Office.

With there being no further items, Chairperson Dumas recessed the committee meeting at 9:15 a.m.

**MINUTES OF A MEETING OF THE  
PROPERTY AND FACILITIES COMMITTEE OF THE  
AUBURN UNIVERSITY BOARD OF TRUSTEES  
FRIDAY, JUNE 11, 2021 AT 9:15 A.M.**

Chairperson DeMaioribus convened the meeting of the Property and Facilities Committee of the Auburn University Board of Trustees on Friday, June 11, 2021 at 9:15 a.m. in the Legacy Ballroom of The Hotel at Auburn University and Dixon Conference Center.

After calling the committee meeting to order, Chairperson DeMaioribus called upon Mr. King for discussion of the following Property and Facilities Committee agenda items:

**1. Quad Residence Halls Renovation – Phase I: Harper and Broun Halls, Final Project Approval**

Mr. King first discussed the Quad Residence Halls Renovation project. Mr. King explained that the Senior Vice President for Student Affairs proposed a project to renovate the Quad Residence Halls. Mr. King reported that the proposed project will renovate the residence halls in five phases, each phase consisting of renovating two buildings each year until all ten residence halls are updated.

Mr. King reminded the Committee that the Board approved the initiation of the project on July 9, 2020, and approved the architectural firm, DAVIS of Birmingham, Alabama, as the project architect on September 4, 2020.

Mr. King explained that Phase I of the project consists of the renovation of Harper and Broun Halls. Mr. King shared that the project would renovate approximately 51,510 square feet of existing interior and exterior space located in and around the halls.

Mr. King reported that the estimated total cost for Phase I of the project is \$13.5 million, to be financed by Campus Housing funds and University Repair and Renovation funds.

Mr. King stated that the request before the Board is to adopt a resolution providing final approval of Phase I of the Quad Residence Halls Renovation project to complete the renovations of Harper and Broun Halls.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Ms. Newton. The motion was seconded by Mr. Sahlie, and the committee unanimously approved the motion by a voice vote.

**2. Auburn University Regional Airport Maintenance Hangar Expansion, Project Initiation and Engineer Selection**

Mr. King next discussed the Auburn University Regional Airport Maintenance Hangar Expansion project. Mr. King explained that the Executive Vice President has proposed a project to expand the maintenance hangar at the Auburn University Regional Airport

to provide additional maintenance space and administrative offices to support operations.

Mr. King reported that, if adopted, it is anticipated that this project will be financed by Aviation Center funds.

Mr. King stated that the request before the Board is to adopt a resolution to approve (1) the initiation of the project and (2) the selection of Garver, LLC of Huntsville, Alabama as the project engineer.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. McCrary. The motion was seconded by Mr. Pratt, and the committee unanimously approved the motion by a voice vote.

**3. Auburn University Regional Airport T-Hangar Construction, Project Initiation and Engineer Selection**

Mr. King then discussed the Auburn University Regional Airport T-Hangar Construction project. Mr. King explained that the Executive Vice President has proposed a project to construct an additional T-Hangar at the Auburn University Regional Airport. Mr. King explained that the new T-Hangar would consist of ten aircraft bays that would be leased by the airport to commercial or private aircraft owners and serve as a source of future revenue.

Mr. King reported that, if adopted, it is anticipated that this project will be financed by an Alabama Department of Transportation grant and University General Funds.

Mr. King stated that the request before the Board is to adopt a resolution to approve (1) the initiation of the project and (2) the selection of Garver, LLC of Huntsville, Alabama as the project engineer.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Roberts. The motion was seconded by *Vice President Pro Tempore* Dumas, and the committee unanimously approved the motion by a voice vote.

**4. College of Human Sciences Clinic Renovation and Relocation, Project Initiation and Architect Selection**

Mr. King next discussed the College of Human Sciences Clinic Renovation and Relocation project. Mr. King reminded that Committee that, at its meeting on September 13, 2019, the Board approved the initiation of the University Student Housing project. Mr. King explained that the proposed location for the new housing project is located on the Haley Concourse and is currently occupied by three College of Human Sciences clinic buildings.

Mr. King reported that, to facilitate site preparations for the University Student Housing project, the Provost has proposed relocating the academic and outreach programs of the three clinics to other facilities on campus.

Mr. King then shared that, due to the need to expedite this renovation and relocation project, the University Architect has determined that the architectural firm, Birchfield Penuel & Associates of Birmingham, Alabama is best qualified to provide design services on this project.

Mr. King stated that it is anticipated that this project will be financed by University General Funds.

Mr. King reported that the request before the Board is to adopt a resolution to approve (1) the initiation of the project and (2) the selection of Birchfield Penuel & Associates as the project architect.

A motion to report the item favorably to the full Board during the reconvened meeting was received from *Vice President Pro Tempore* Dumas. The motion was seconded by Mr. Riggins, and the committee unanimously approved the motion by a voice vote.

Mr. King then discussed the following information only reports:

**5. Summary of Real Estate Holdings Annual Report – *For Information Only***

Mr. King reported that Board policies require that a listing of property owned by Auburn University be submitted to the Board for its information and review each year at its annual meeting. Accordingly, the summary based on last year's report and changes that have occurred since June 2020 were submitted for the Board's review.

**6. Annual Report of Timber Harvest Revenue – *For Information Only***

Mr. King reported that a summary of annual timber harvest revenue is provided to the Board for its information and review each year at its annual meeting. Accordingly, a report delineating timber harvest revenue received since June 1, 2020 was submitted for the Board's review.

**7. Status Updates – *For Information Only***

**a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater**

Consistent with standing practice, Mr. King provided a report on the current status of new construction, renovation, and infrastructure projects with budgets greater than \$1,000,000.

**b. Project Status Report**

A brief *for information only* report regarding the status of Board of Trustees approved capital projects was submitted to the Board for its review.

Chairperson DeMaioribus thanked Mr. King for his reports, and with there being no further items, recessed the committee meeting at 9:35 a.m.

**MINUTES OF A MEETING OF THE  
ACADEMIC AFFAIRS COMMITTEE OF THE  
AUBURN UNIVERSITY BOARD OF TRUSTEES  
FRIDAY, JUNE 11, 2021 AT 9:35 A.M.**

Chairperson Newton convened the meeting of the Academic Affairs Committee of the Auburn University Board of Trustees on Friday, June 11, 2021 at 9:35 a.m. in the Legacy Ballroom of The Hotel at Auburn University and Dixon Conference Center.

After calling the committee meeting to order, Chairperson Newton called upon Dr. Hardgrave for discussion of the following Academic Affairs Committee agenda items:

**1. Proposed Master of Science in Forest Business and Investment**

Dr. Hardgrave reported that the School of Forestry and Wildlife Sciences has proposed a new Master of Science in Forest Business and Investment, Non-Thesis option. Dr. Hardgrave explained that the proposed program combines advanced forestry and business knowledge, specifically strategic investing and financial decision making. Dr. Hardgrave stated that, in addition to online courses in the School of Forestry and Wildlife Sciences, students will also complete courses in the Harbert College of Business.

Dr. Hardgrave shared that, if approved, the program will be forwarded to the Alabama Commission on Higher Education (ACHE) for review and approval.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Rane. The motion was seconded by *Vice President Pro Tempore* Dumas, and the committee approved the motion by a voice vote.

**2. Agenda Item for the Board of Trustees – *For Information Only***

Dr. Hardgrave then presented an information only report to the Board on the following topics:

*Marriage and Family Therapy – Non-Thesis Option, MS in Human Development and Family Science, Department of Human Development and Family Science, College of Human Sciences*

Dr. Hardgrave shared that the College of Human Sciences currently offers an MS in Human Development and Family Science – Marriage and Family Therapy, Thesis Option, focused on research skills and pursuits. Dr. Hardgrave explained that the College proposed adding a non-thesis option for students entering clinical positions.

Graduate Certificate in Economic Development, Department of Political Science,  
College of Liberal Arts

Dr. Hardgrave reported that the Department of Political Science in the College of Liberal Arts has developed a Graduate Certificate in Economic Development which provides specialization in theories and practice of economic development, primarily with the United States and Alabama.

Chairperson Newton thanked Dr. Hardgrave for his reports, and with there being no further items, recessed the committee meeting at 9:40 a.m.

**MINUTES OF A MEETING OF THE  
INSTITUTIONAL ADVANCEMENT COMMITTEE OF THE  
AUBURN UNIVERSITY BOARD OF TRUSTEES  
FRIDAY, JUNE 11, 2021 AT 9:40 A.M.**

Chairperson Roberts convened the meeting of the Institutional Advancement Committee of the Auburn University Board of Trustees on Friday, June 11, 2021 at 9:40 a.m. in the Legacy Ballroom of The Hotel at Auburn University and Dixon Conference Center.

After calling the committee meeting to order, Chairperson Roberts called upon Ms. Casey, Chair of the Auburn University Foundation Board of Directors, for discussion of the Institutional Advancement Committee's single agenda item.

**1. Auburn University Endowment Portfolio Update – *For Information Only***

Ms. Casey began her report by sharing that, as of June 11, 2021, the Auburn University Endowment stands at \$318 million, and the Auburn University Foundation stands at \$687 million, for a combined holding of \$1.005 billion.

Ms. Casey then stated that the portfolio calendar year-to-date return is 10.3%, building on strong performance in 2020, despite market uncertainty amid the COVID-19 pandemic. Ms. Casey reported that, given the current market environment, the Investment Committee continues to carefully monitor the financial landscape.

Ms. Casey shared that the mission of the combined endowment is to provide consistent annual payouts in support of Auburn University students, faculty, and programs. Ms. Casey then reported that during the 2021-2022 academic year, the combined Endowment recently provided \$28.6 million to the university, an increase of \$1 million over the prior year.

Ms. Casey then reported on allocation changes that were unanimously approved by the Auburn University Foundation Investment Committee:

- Increase Global Private Equity from 12.5% to 15%;
- Reduce Global Fixed Income from 11% to 9%; and
- Reduce Cash from 2% to 1.5%.

Ms. Casey concluded her report by thanking Chairperson Roberts and the Board.

Chairperson Roberts thanked Ms. Casey for her report, and with there being no further items, recessed the committee meeting at 9:45 a.m.

**MINUTES OF A MEETING OF THE  
EXECUTIVE COMMITTEE OF THE  
AUBURN UNIVERSITY BOARD OF TRUSTEES  
FRIDAY, JUNE 11, 2021 AT 9:45 A.M.**

Chairperson McCrary convened the meeting of the Executive Committee of the Auburn University Board of Trustees on Friday, June 11, 2021 at 9:45 a.m. in the Legacy Ballroom of The Hotel at Auburn University and Dixon Conference Center.

After calling the committee meeting to order, Chairperson McCrary discussed the following Executive Committee agenda items:

**1. Proposed Awards and Namings**

Chairperson McCrary indicated that the first item before the Executive Committee was a list of nine proposed awards and namings.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. DeMaioribus. The motion was seconded by *Vice President Pro Tempore* Dumas, and the committee approved the motion by a voice vote.

**2. Election of the *President Pro Tempore***

Chairperson McCrary stated that the next item before the Executive Committee was the election of the *President Pro Tempore* for 2021-2022. Mr. McCrary reserved comments for the reconvened meeting and opened the floor for nominations.

Mr. Rane nominated *Vice President Pro Tempore* Dumas for *President Pro Tempore*. The nomination was seconded by Mr. DeMaioribus.

With no other nominations, Chairperson McCrary closed the floor.

The committee approved the nomination of *Vice President Pro Tempore* Dumas to be later voted upon by the full Board during the reconvened meeting.

**3. Appointment of Board Members to the Trustee Selection Committee**

Chairperson McCrary then shared that the final item before the Executive Committee was the appointment of board members to the Trustee Selection Committee.

Chairperson McCrary explained that, in the coming year, three seats on the Board will become vacant. Chairperson McCrary stated that, as a result, the Board must assemble a Trustee Selection Committee to fill the vacant seats.

Chairperson McCrary further explained that, pursuant to the Alabama Constitution, the five-member committee is comprised of the Governor, two members of the Auburn Alumni Association Board of Directors, the *President Pro Tempore* of the Board of Trustees or his/her designee, and one member of the Board of Trustees.

Chairperson McCrary stated that it is the role of the Executive Committee to nominate one board member to serve on the Trustee Selection Committee.

Chairperson McCrary opened the floor for nominations.

*President Pro Tempore* Smith nominated Mr. James Pratt for the position. The nomination was seconded by *Vice President Pro Tempore* Dumas.

With no other nominations, Chairperson McCrary closed the floor.

The committee approved the nomination of Mr. Pratt to be later voted upon by the full Board during the reconvened meeting.

With there being no further items, Chairperson McCrary recessed the committee meeting at 9:50 a.m.

*President Pro Tempore* Smith then moved to reports from various committee chairpersons and lead trustees as follows:

### **Academic Affairs Committee**

Chairperson Newton began her report by providing an update regarding Financial Wellness programming.

Chairperson Newton commented, “Providing financial wellness programming has been a goal that many of our students and faculty have strongly advocated for several years. This is especially important as our students have increased areas of needs resulting from the pandemic.”

Chairperson Newton further stated that, despite the challenges presented during the past year, the University was able to successfully launch an academic partnership with Regions Bank to provide comprehensive financial wellness programming to Auburn University students. Chairperson Newton indicated that research has shown that financial wellness programs can lower student loan defaults, decrease financial stress, improve students’ academic performance, and teach them how to manage their finances for a lifetime.

Chairperson Newton concluded her report by thanking university staff and faculty for their efforts as the university prepares for more in-person programming in the coming semesters.

### **Alumni**

Trustee Vines delivered the Alumni report on behalf of Lead Trustee Huntley.

Trustee Vines reported that the Auburn Alumni Association welcomed 4,000 new graduates during the Spring 2021 commencement ceremonies and provided each graduate with alumni pins after they processed across the stage. Trustee Vines also shared that 438 commemorative medallion gifts were received from graduates.

Trustee Vines then shared that AMBUSH, the Auburn Alumni Association’s annual coaches’ tour, held its seventh season earlier this summer featuring Head Football Coach Bryan Harsin and Men’s Head Basketball Coach Bruce Pearl as guest speakers. Trustee Vines stated that more the events in Birmingham, Nashville, Pensacola, and Auburn, had more than five hundred attendees to interact with the coaches.

Trustee Vines then reported that the newly formed Black Alumni and Young Alumni Councils have already made an impact in the lives of Auburn University students as both have established annual scholarships and are implementing their strategic goals.

Trustee Vines concluded the Alumni report by sharing that the Auburn Alumni Association launched a new website featuring a more clean and modern design. Trustee Vines encouraged everyone to visit the new website and see all that it has to offer.

### **Research and Technology (Economic Development) Committee**

Trustee Sahlie began his report by sharing that Auburn University has been awarded a prestigious \$1.5 million grant from the National Institutes of Health to broaden participation in the sciences for traditionally underrepresented students and diversify the pool of scientists earning doctoral degrees in the biomedical sciences.

Trustee Sahlie then shared that the Graduate Research Training Initiative for Student Enhancement (G-RISE) grant will be titled “G-RISE at Auburn University” and will begin with the selection of four scholars for the Fall 2021 semester. Trustee Sahlie shared that the award will run for five years. Trustee Sahlie stated that the G-RISE programmatic activities will focus on recruiting, admitting, and supporting highly qualified students yearly from underrepresented groups. Trustee Sahlie indicated that the lead principal investigator for the project is Dr. Bruce Smith (College of Veterinary Medicine) with co-principal investigators Dr. Taffye Benson Clayton (Vice President and Associate Provost for Inclusion and Diversity) and Dr. Melody Russell (College of Education).

Trustee Sahlie then reported that Auburn University students Che Ka and Grant Wilkinson have been awarded 2021 National Science Foundation Graduate Research Fellowships as part of a fellowship program designed to help ensure the vitality and diversity of the U.S. scientific and engineering workforce. Trustee Sahlie explained that each fellowship consists of three years of support accessible over a five-year period and for year each, NSF provides a stipend of \$34,000 to the fellow and a cost-of-education allowance of \$12,000 to the degree-granting institution.

### **Governmental Affairs Committee**

Chairperson Rane delivered a brief report regarding the 2021 legislative session and upcoming campaigns for state-wide offices.

Chairperson Rane reported that the 2021 legislative session was an extreme success in terms of higher education funding. Chairperson Rane thanked the Legislature for their continued support.

Chairperson Rane concluded his report by stating that there are several key elections in 2022, especially Governor Ivey’s re-election campaign and Lieutenant Governor Ainsworth’s re-election campaign.

*President Pro Tempore* Smith thanked the committee chairpersons and lead trustees for their reports, and then indicated that the committee meetings and trustee reports were complete.

**MINUTES OF A REGULAR MEETING OF THE  
AUBURN UNIVERSITY BOARD OF TRUSTEES  
FRIDAY, JUNE 11, 2021 AT 10:00 A.M.**

*President Pro Tempore* Smith convened the annual meeting of the Board of Trustees on Friday, June 11, 2021 at 10:00 a.m. in the Legacy Ballroom of The Hotel at Auburn University and Dixon Conference Center.

After convening the regular meeting, *President Pro Tempore* Smith asked General Counsel Hammer if there was any pending litigation that needed to be discussed in an executive session. General Counsel Hammer indicated that there was pending litigation that needed to be discussed in an executive session.

*President Pro Tempore* Smith then asked for a motion for the Board to enter an executive session. A motion was received from *Vice President Pro Tempore* Dumas. The motion was seconded by Mr. McCrary, and the Board approved the motion by a voice vote. *President Pro Tempore* Smith recessed the regular meeting of the Board of Trustees at 10:05 a.m.

**MINUTES OF A RECONVENED MEETING OF THE  
AUBURN UNIVERSITY BOARD OF TRUSTEES  
FRIDAY, JUNE 11, 2021 AT 11:00 A.M.**

*President Pro Tempore* Smith reconvened the annual meeting of the Board of Trustees on Friday, June 11, 2021 at 11:00 a.m. in the Legacy Ballroom of The Hotel at Auburn University and Dixon Conference Center.

After reconvening the meeting, *President Pro Tempore* Smith asked for a motion to adopt the minutes of the April 16, 2021 Board Meeting. A motion was received from Mr. Rane. The motion was seconded by Mr. DeMaioribus, and the resolution was approved by a voice vote.

The following resolution was approved:

RESOLUTION

APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the April 16, 2021 meeting of the Board of Trustees have been distributed to all members of this Board for review; and

WHEREAS, the members have reviewed the minutes and determined that they constitute a true and correct recitation of the business of the respective meeting.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the minutes of the April 16, 2021 meeting of the Board of Trustees are hereby approved as distributed.

Following the approval of the minutes of the April 16, 2021 Board Meeting, *President Pro Tempore* Smith asked for a motion to approve the resolution regarding the awarding of degrees for the Summer 2021 semester. A motion was received from Mr. Rane. The motion was seconded by Mr. McCrary, and the resolution was approved by a voice vote.

The following resolution was approved:

RESOLUTION

AWARDING OF DEGREES

WHEREAS, Auburn University confers appropriate degrees upon those individuals who have completed requirements previously approved by this Board of Trustees and stated in University Catalogs.

NOW, THEREFORE, BE IT RESOLVED as follows:

1. That all degrees to be awarded by the faculty of Auburn University and Auburn University at Montgomery at the end of Summer Semester 2021, complying with the requirements heretofore established by the Board of Trustees, be and the same are hereby approved.
2. That a list of the degrees awarded be filed and maintained in the records of the University and hereby made an official part of this resolution and of these minutes.

Following the approval of the awarding of degrees for the Summer 2021 semester, *President Pro Tempore* Smith called upon Dr. Stockton for the AUM Chancellor's Report.

### **AUM Chancellor's Report**

Dr. Stockton began his report by sharing that AUM's College of Education recently completed a transition in accreditation from the National Council for Accreditation of Teacher Education to the Council for the Accreditation of Educator Preparation. Dr. Stockton announced that AUM was granted accreditation at the undergraduate and graduate levels for seven years. Dr. Stockton thanked Dr. Shelia Austin, Dean of the College of Education, for her hard work in completing the accreditation process.

Dr. Stockton then reported that on May 18, 2021, AUM received its first grant from the National Institute of Health for research development infrastructure. Dr. Stockton shared that the grant's principal investigator is Dr. Mrinal Varma, AUM Provost. Dr. Stockton stated that the grant would pay a total of \$625,535 over a three-year period. Dr. Stockton reported that the grant will help AUM achieve its strategic goal of increasing sponsored research productivity by offering grant writing workshops and mentorship programs for AUM faculty, staff, and administrators.

Dr. Stockton then reported on AUM's recent athletic success. Dr. Stockton reminded everyone that AUM is in its second year of NCAA Division II competition. Dr. Stockton shared that AUM was recently ranked third in the country and won the Gulf South Conference Championship with a 40-7 record.

Dr. Stockton then reported that AUM's Summer 2021 semester began on June 2, 2021. Dr. Stockton shared that, as of June 10, 2021, AUM's revenues are up 5% on a day-to-day basis.

Dr. Stockton concluded his report by discussing the new "AUM Bound" yard sign initiative for incoming students. Dr. Stockton explained that the initiative includes a social media contest allowing students to submit photos with their yard signs. Dr. Stockton shared that the initiative has been incredibly successful thus far and that AUM is looking forward to welcoming new students in August.

*President Pro Tempore* Smith thanked Dr. Stockton for his report and then called upon Dr. Gogue for the Auburn University President's Report.

### **President's Report**

Dr. Gogue began his report by providing an update regarding the East Alabama Medical Center (EAMC). Dr. Gogue shared that earlier in June, EAMC celebrated the debut of its \$33.7 million healthcare facility. Dr. Gogue explained that the Auburn Medical Pavilion in the Auburn Research Park is a collaboration between the City of Auburn, the hospital, and the university. Dr. Gogue shared that the three-story facility includes a freestanding 12-bed emergency department, an outpatient surgery center, an in-house pharmacy, and a breast health center. Dr. Gogue reported that the Auburn Research Park benefits Auburn University nursing and pharmacy students as it provides hands-on training under the advisement of EAMC staff.

Dr. Gogue then reported on the NBC's soon-to-air College Bowl trivia show. Dr. Gogue explained that a team of three Auburn University students competed against college students for a total of \$1 million in scholarships. Dr. Gogue shared that Ms. Ada Ruth Huntley, outgoing Auburn University SGA President, was among the three students competing for Auburn. Dr. Gogue stated that the teams competed head-to-head in a bracketed tournament over four rounds. Dr. Gogue reported that all competitors will receive tuition assistance for participating, but the winning team will take home the largest scholarships. Dr. Gogue indicated that other schools competing include the University of Alabama; Columbia University; the University of Michigan; the University of Minnesota; the University of Mississippi; Morehouse College, the University of Southern California; the University of California, Los Angeles; the University of Virginia; and Xavier University of Louisiana.

Dr. Gogue concluded his report by stating that, "I believe that it is time for the Board of Trustees to begin a search for a new university president." Dr. Gogue's remarks regarding his planned retirement were brief; however, Dr. Gogue thanked the Board for the continued support during both of his terms as president.

*President Pro Tempore* Smith thanked Dr. Gogue for his report and then moved to the action items and committee reports as follows:

**Audit and Compliance Committee**

Chairperson Dumas indicated that the Audit and Compliance Committee met earlier and discussed one action item and one item of information. Chairperson Dumas moved for approval of the Audit and Compliance Committee's one action item. The motion was seconded by Mr. DeMaioribus and the resolution was approved by a voice vote.

The following resolution was approved:

RESOLUTION

APPROVAL OF INDEPENDENT AUDITORS  
FOR FISCAL YEAR ENDED SEPTEMBER 30, 2021

WHEREAS, the Audit and Compliance Committee of the Board of Trustees is charged with recommending the appointment of the University's external auditor; and

WHEREAS, the Audit and Compliance Committee recommends the appointment of PricewaterhouseCoopers to audit the University's financial statements and to conduct the federally-required A-133 audit.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that PricewaterhouseCoopers is appointed as the University's external auditor for Fiscal Year ended September 30, 2021.

**Property and Facilities Committee**

Chairperson DeMaioribus indicated that the Property and Facilities Committee met earlier and discussed four action items and three items of information. Chairperson DeMaioribus moved for approval of a consent agenda for the Property and Facilities Committee's four action items. The motion was seconded by Mr. Sanford, and the resolutions were approved by a voice vote.

The following resolutions were approved in the consent agenda:

RESOLUTION  
QUAD RESIDENCE HALLS RENOVATION  
PHASE I: HARPER AND BROUN HALLS  
FINAL PROJECT APPROVAL

WHEREAS, at its previous meeting on July 9, 2020, the Board of Trustees adopted a resolution that approved the initiation of the Quad Residence Halls Renovation project, and at its meeting on September 4, 2020, the Board of Trustees adopted a resolution that approved DAVIS of Birmingham, Alabama, as the project architect; and

WHEREAS, the Quad Residence Halls Renovation project will be executed in five phases, each consisting of two buildings a year, until all ten residence halls are updated; and

WHEREAS, the Quad Residence Halls Renovation – Phase I: Harper and Broun Halls project (‘Phase I’) will renovate approximately 51,510 square feet of existing interior and exterior space located in Harper and Broun Halls; and

WHEREAS, Phase I program requirements include replacing mechanical, electrical, plumbing and HVAC systems, upgrades to furnishings and fixtures, renovations to meet current ADA code requirements, replacing all doors and windows, and utility improvements to provide hot water; and

WHEREAS, the estimated total project cost for Phase I is \$13.5 million, to be financed by Campus Housing funds and University Repair and Renovation funds; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval,” the final approval of the project must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Quad Residence Halls Renovation – Phase I: Harper and Broun Halls project is approved and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Establish a budget for the Quad Residence Halls Renovation – Phase I: Harper and Broun Halls project in the amount of \$13.5 million, to be financed by Campus Housing funds and University Repair and Renovation funds; and
2. Direct the consultants to complete the required plans for the project; and
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget approved above.

RESOLUTION

AUBURN UNIVERSITY REGIONAL AIRPORT  
MAINTENANCE HANGAR EXPANSION

APPROVAL OF PROJECT INITIATION AND  
PROJECT ENGINEER SELECTION

WHEREAS, the Executive Vice President proposes a project to expand the maintenance hangar at the Auburn University Regional Airport which was constructed in 2018; and

WHEREAS, the proposed project will construct an addition to the existing maintenance hangar located at the south ramp to provide additional hangar space and administrative offices; and

WHEREAS, at its meeting on November 20, 2020, the Board of Trustees approved the engagement of Garver, LLC, of Huntsville, Alabama, as the Airport Engineer; and

WHEREAS, to facilitate an accelerated design process, the University Architect recommends the approval of Garver, LLC, as the project engineer; and

WHEREAS, this project will be financed by Aviation Center funds; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval,” the initiation of a project and the engineer selection must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Auburn University Regional Airport Maintenance Hangar Expansion project is approved, and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Engage Garver, LLC, of Huntsville, Alabama, as project engineer to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

RESOLUTION

AUBURN UNIVERSITY REGIONAL AIRPORT  
T-HANGAR CONSTRUCTION

APPROVAL OF PROJECT INITIATION AND  
PROJECT ENGINEER SELECTION

WHEREAS, the Executive Vice President proposes a project to construct a new ten (10) bay T-Hangar at the Auburn University Regional Airport at the north ramp; and

WHEREAS, the proposed hangar would be leased by the Airport to commercial or private aircraft owners and would serve as a source of future revenue; and

WHEREAS, at its meeting on November 20, 2020, the Board of Trustees approved the engagement of Garver, LLC, of Huntsville, Alabama, as the Airport Engineer; and

WHEREAS, to facilitate an accelerated design process, the University Architect recommends the approval of Garver, LLC, as the project engineer; and

WHEREAS, this project will be financed by an Alabama Department of Transportation grant and University General Funds; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval,” the initiation of a project and the engineer selection must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Auburn University Regional Airport T-Hangar Construction project is approved, and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Engage Garver, LLC, of Huntsville, Alabama, as project engineer to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

RESOLUTION

COLLEGE OF HUMAN SCIENCES CLINIC RENOVATION AND RELOCATION

APPROVAL OF PROJECT INITIATION AND ARCHITECT SELECTION

WHEREAS, at its meeting on September 13, 2019, the Board of Trustees approved the initiation of the University Student Housing project with the proposed site location for the new student housing on the Haley Concourse; and

WHEREAS, the proposed site is currently occupied by three College of Human Sciences clinic buildings: Early Learning Center, Marriage & Family Therapy Center, and the Center for Health Ecology & Equity Research; and

WHEREAS, the Provost proposes to relocate the academic and outreach programs of the three College of Human Sciences clinics to other facilities on campus; and

WHEREAS, the proposed project would renovate the Dawson Building for the eventual relocation of the Early Learning Center program; and

WHEREAS, due to the need to complete this renovation and relocation to enable the student housing project to begin construction by the summer of 2022, the University Architect requests that the architectural firm, Birchfield Penuel & Associates, of Birmingham, Alabama, be approved to provide design services on this project; and

WHEREAS, it is anticipated that this facility would be financed by University General Funds; and

WHEREAS, pursuant to the Board of Trustees policy, “D-3, Capital Projects Approval,” the initiation of this project must be submitted to the Board, through the Property and Facilities Committee, for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the College of Human Sciences Clinic Renovation and Relocation project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Engage Birchfield Penuel & Associates, of Birmingham, Alabama, as project architect to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

**Academic Affairs Committee**

Chairperson Newton indicated that the Academic Affairs Committee met earlier and discussed one action item and one item of information. Chairperson Newton moved for approval of the Academic Affairs Committee's one action item. The motion was seconded by Mr. Sahlie, and the resolution was approved by a voice vote.

The following resolution was approved:

RESOLUTION

PROPOSED MASTER OF SCIENCE IN FOREST BUSINESS AND INVESTMENT

WHEREAS, the School of Forestry and Wildlife Sciences currently offers graduate programs that promote the sustainable management and economic advancement of natural resources; and

WHEREAS, Alabama maintains the second-largest timberland base in the United States that serves as a significant component of our state's economy, accounting for more than \$27 billion in economic impact and employing more than 123,500 citizens; and

WHEREAS, the School of Forestry and Wildlife Sciences proposes a new graduate degree, the Master of Science in Forest Business and Investment (Non-Thesis), for professionals seeking advanced knowledge and skills necessary for strategic investment and financial decision making in the forestry industry; and

WHEREAS, the proposed degree will prepare professionals for leadership positions in lending agencies, timberland investment organizations, real estate investment trusts, forest industries, and land management companies, all of which contribute substantially to Alabama's economy; and

WHEREAS, the request to create this degree has been endorsed by the Dean of the School of Forestry and Wildlife Sciences, the Graduate Council, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Master of Science in Forest Business and Investment (Non-Thesis) be approved and submitted to the Alabama Commission on Higher Education for review and approval.

## Executive Committee

Chairperson McCrary indicated that the Executive Committee met earlier and discussed three action items.

Chairperson McCrary reported that the first item presented to the Executive Committee was a list of nine awards and namings and moved for approval. The motion was seconded by Mr. Rane, and the Board approved the resolutions.

Chairperson McCrary the stated that the second item before the Executive Committee was the election of the *President Pro Tempore* for 2021-2022. Mr. McCrary stated that, before the Board discusses nominations for the new *President Pro Tempore*, that first, he wished to recognize *President Pro Tempore* Smith for his outstanding service. Chairperson McCrary shared, “[*President Pro Tempore* Smith] did a remarkable job during both terms, especially given the challenges created by many changes in university and athletics leadership, as well as enormous challenges created by the COVID-19 pandemic.” Chairperson McCrary concluded his comments by stating, “During these trying and unprecedented times, the Board as well as the Auburn Family, needed strong and decisive leadership that provided stability, and that’s exactly what [*President Pro Tempore* Smith] provided.

Following Chairperson McCrary’s remarks, numerous board members shared similar sentiments, thanking *President Pro Tempore* for his outstanding service and leadership. Many board members shared that *President Pro Tempore* Smith would be missed and that he has left big shoes to fill.

*President Pro Tempore* Smith then shared his own remarks. *President Pro Tempore* Smith thanked the Board for its confidence in him over the past two years. He thanked Dr. Gogue, Gen. Burgess, Mr. Waggoner, Ms. Williams, Ms. Hammer, and the entire administration for their steadfast support and assistance. *President Pro Tempore* Smith concluded his remarks by stating that it was an honor and privilege to serve Auburn University in this capacity.

Chairperson McCrary then shared that the Executive Committee recommended *Vice President Pro Tempore* Dumas to serve as *President Pro Tempore* of the Board of Trustees for 2021-2022. Chairperson McCrary then moved that *Vice President Pro Tempore* Dumas be elected as the new *President Pro Tempore*, effective at the conclusion of the meeting. The motion was seconded by Mr. Lanier, and the Board approved the motion.

Chairperson McCrary congratulated *Vice President Pro Tempore* Dumas and asked if he had any remarks to share with the Board.

*Vice President Pro Tempore* Dumas shared that he was honored to be elected to this position and that he looks forward working with the Board and the Administration during the coming year.

Chairperson McCrary then stated that the Executive Committee recommended the appointment of Mr. James Pratt to the Trustee Selection Committee. Chairperson McCrary then asked *President Pro Tempore* Smith to preside over the remainder of the item.

*President Pro Tempore* Smith thanked Chairperson McCrary and asked *Vice President Pro Tempore* Dumas to preside over the item in his stead.

*Vice President Pro Tempore* Dumas thanked *President Pro Tempore* Smith and then moved to appoint Mr. Pratt to the Trustee Selection Committee. The motion was seconded by Mr. Rane, and the Board unanimously approved the motion. *Vice President Pro Tempore* Dumas stated that Mr. Pratt's appointment will last until the 2022 Annual Board Meeting or until the appointment/reappointment of committee members, whichever comes first.

*Vice President Pro Tempore* Dumas then asked *President Pro Tempore* Smith to serve as his proxy on the Selection Committee. *President Pro Tempore* Smith then accepted the request.

*Vice President Pro Tempore* Dumas concluded his remarks by sharing that he would be in contact with the Board in the coming weeks regarding the appointment of committee chairpersons, lead trustees, and colleges/schools assignments.

Chairperson McCrary indicated that, with no further items to report, the Executive Committee meeting report was concluded.

The following resolutions were approved in the first motion:

RESOLUTION

NAMING THE STUDENT LOUNGE  
IN LEACH SCIENCE CENTER AS THE STUDENT LOUNGE  
IN HONOR OF THE SOCIETY OF PHYSICS STUDENTS

WHEREAS, the College of Sciences and Mathematics is committed to remaining at the forefront of academic instruction, research, and outreach; and

WHEREAS, under the leadership of Nicholas Giordano, who served as its dean from 2013 until 2021, the college has successfully integrated state-of-the art technology with contemporary learning environments; and

WHEREAS, Nicholas Giordano, as a physicist, believes that students pursuing the study of physics embark on a journey, limited only by their imaginations, and these pivotal journeys begin in classrooms, laboratories and in dedicated meeting spaces such as this; and

WHEREAS, this space located on the third level of the Leach Science Center will foster camaraderie, support, and the generation of new ideas and insights among physics majors.

NOW, THEREFORE, BE IT RESOLVED that the Student Lounge in Leach Science Center be named the Student Lounge in Honor of the Society of Physics Students in recognition of the generous support and dedication to students provided by Dean Nicholas and Pat Giordano.

RESOLUTION

NAMING OF SKILLS LAB AND PATIENT ASSESSMENT AREA  
ROOM 2208 & 2210 D - Q IN THE  
WALKER PHARMACY BUILDING AS  
THE DR. CHARLES ALEXANDER ISBELL '55 SKILLS LAB AND  
PATIENT ASSESSMENT AREA

WHEREAS, Dr. Charles Alexander Isbell graduated from Auburn University in 1955 with a bachelor's degree in pharmacy; and

WHEREAS, Charles was a highly regarded pharmacist and medical doctor practicing in Fort Payne, Alabama, where he served as a licensed pediatrician and pharmacist for over 50 years; and

WHEREAS, Charles lovingly took care of the children and families of DeKalb County with skill, compassion, humor, and an impeccable work ethic that made him a leader in his community and a fine example of the leaders produced by Auburn University; and

WHEREAS, Charles's devotion to his patients, co-workers, and the community impacted many lives by ensuring quality patient care; and

WHEREAS, the Isbell family established the Dr. Charles Alexander Isbell '55 Endowed Scholarship in the Harrison School of Pharmacy in honor and loving memory of their devoted father; and

WHEREAS, the Harrison School of Pharmacy is recommending the naming of the skills lab and patient assessment area room 2208 & 2210 D - Q in the Walker Pharmacy Building in recognition of the Isbell family's philanthropic generosity to Auburn University and the Harrison School of Pharmacy.

NOW, THEREFORE, BE IT RESOLVED that the skills lab and patient assessment area room 2208 & 2210 D - Q in the Walker Pharmacy Building be named The Isbell Skills Lab in honor of the family's generous support and ongoing dedication to Auburn University.

RESOLUTION

NAMING A STEINWAY MODEL B PIANO IN  
GOODWIN HALL AS  
THE SCOTT FAMILY STEINWAY MODEL B PIANO

WHEREAS, Steinway pianos have and continue to represent the gold standard of pianos for more than 166 years; and Auburn University has embarked on an All Steinway School campaign to become an All Steinway designated university, and

WHEREAS, Isaac and Rhonda Scott, loyal supporters of Auburn University and the Department of Music, made the first philanthropic gift to the All Steinway campaign; and believe becoming an All Steinway School is an invaluable investment in the educational experience of our students and in the teaching skills of our faculty, and

WHEREAS, their philanthropic gift funds the purchase and maintenance of a Model B piano for Goodwin Hall.

NOW, THEREFORE, BE IT RESOLVED that a Steinway Model B piano in Goodwin Hall be named The Scott Family Model B Piano, in recognition of Isaac and Rhonda Scott, whose support will advance the vision of both the Department of Music and the University.

RESOLUTION

NAMING THE PROFESSIONAL ORGANIZATIONS CONFERENCE ROOM IN THE  
BROWN-KOPEL ENGINEERING STUDENT ACHIEVEMENT CENTER AS THE  
LEGACY OF FREEDOM PROFESSIONAL ORGANIZATIONS CONFERENCE ROOM

WHEREAS, Susan Askew graduated with her degree from Auburn University in electrical engineering in 1987; and

WHEREAS, her father Gerald Sumners graduated from Auburn University in 1960 with a degree in electrical engineering; and

WHEREAS, Susan's mother Dorothy Sumners worked for three years in the Auburn Textiles Laboratory; and

WHEREAS, Susan followed in her father's footsteps in engineering, and later worked for the Department of Defense as a technical intelligence analyst, integration engineer for the U.S. Air Force, systems engineer for the U.S. Army and chief program systems engineer for the Missile Defense Agency; and

WHEREAS, she currently serves as a professor of Systems Engineering and Test and Evaluation for the Defense Acquisition at the University of Alabama Huntsville; and

WHEREAS, her husband Mike Askew graduated from Auburn in 1987 with a degree in political science; and

WHEREAS, Mike's father Raymond Askew was an Auburn professor of physics for 35 years, director of the Space Power Institute and previous director of the International Space Station; and

WHEREAS, his mother Ann Askew graduated from Auburn in 1977 with a degree in medical technology; and

WHEREAS, Mike was commissioned as a second lieutenant in the U.S. Air Force upon graduation and continued serving his country in the Air Force, retiring after 20 years; and

WHEREAS, Susan has demonstrated a strong commitment to Auburn University and the Samuel Ginn College of Engineering through her career and involvement with 100+ Women Strong.

NOW, THEREFORE, BE IT RESOLVED that the Professional Organizations Conference Room in the Brown-Kopel Engineering Student Achievement Center be named the Legacy of Freedom Professional Organizations Conference Room in honor of their generous support and ongoing dedication.

RESOLUTION

NAMING THE STRONG WALL IN THE  
ADVANCED STRUCTURAL ENGINEERING LABORATORY AS  
THE STONE STRONG WALL

WHEREAS, Jeff Stone and Linda Johnson Stone each graduated from Auburn University in 1979 with a bachelor's degree in civil engineering and chemistry, respectively; and

WHEREAS, Jeff currently serves as executive vice president at Brasfield & Gorrie, where he oversees field operations, 32 operating divisions, projects in 22 states, and corporate support functions for approximately \$3 billion in annual construction revenues, while also serving on the company's executive board; and

WHEREAS, Jeff has served as Chair of the Auburn University Foundation Board and the Auburn Alumni Engineering Council; has received the Outstanding Alumnus Award in Civil Engineering in 2005; was named a Distinguished Auburn Engineer in 2012; inducted into the State of Alabama Engineering Hall of Fame in 2014; and received the Auburn Alumni Association's Lifetime Achievement Award in 2019; and

WHEREAS, Linda earned her medical degree from UAB in 1983, and retired as a partner and pediatrician at Over the Mountain Pediatrics in 2018; and

WHEREAS, she is a long-time member of the Dean's Leadership Council for the College of Sciences and Mathematics, as well as a Benefactor Member of the Women's Philanthropy Board, and was recognized in 2017 by the Greater Birmingham Auburn Club with its Distinguished Alumni Award; and

WHEREAS, the Stones are members of the university's 1856, Petrie, and Heisman societies and the College of Engineering's Ginn, Keystone, and Eagles societies; and

WHEREAS, the Stones previously provided a gracious contribution to the Brown-Kopel Engineering Student Achievement Center;

NOW, THEREFORE, BE IT RESOLVED that the Strong Wall in the Advanced Structural Engineering Laboratory be named the Stone Strong Wall in honor of their generous support and ongoing dedication.

RESOLUTION

NAMING THE AGGREGATE LABORATORY IN THE  
NATIONAL CENTER FOR ASPHALT TECHNOLOGY AS  
THE VULCAN MATERIALS COMPANY AGGREGATE LABORATORY

WHEREAS, Vulcan Materials Company is based in Birmingham, Alabama, publicly traded on the New York Stock Exchange and is the nation's largest producer of construction aggregates and a major producer of aggregate-based construction materials, including asphalt and ready-mixed concrete; and

WHEREAS, as a good corporate citizen, Vulcan Materials Co. understands the importance of education in building stronger communities and establishing the next generation workforce; and

WHEREAS, the company partners with many educational institutions across the country through financial support and employee involvement, assisting schools and universities at all educational levels to provide quality education; and

WHEREAS, Vulcan Materials Co. has remained committed to Auburn University, having previously established a significant annual scholarship in the Department of Geology and Geography and an endowed scholarship named the Vulcan Materials Company Presidential Honors Scholarship; and

WHEREAS, the company has been generous partners to the Samuel Ginn College of Engineering, naming the Corporate Conference Room in the Brown-Kopel Engineering Student Achievement Center; and

WHEREAS, Vulcan Materials Co. previously provided a gracious contribution to the M. Miller Gorrie Construction Center in the College of Architecture, Design and Construction and the Advanced Structural Engineering Laboratory.

NOW, THEREFORE, BE IT RESOLVED that the Aggregate Laboratory in the National Center for Asphalt Technology be named the Vulcan Materials Company Aggregate Laboratory in honor of their generous support and ongoing dedication.

RESOLUTION

NAMING THE EAGLE EYE STUDIO WITHIN THE  
HAROLD D. MELTON STUDENT CENTER AS  
THE MELAS – MAZZA EAGLE EYE STUDIO

WHEREAS, Chloe Melas Mazza is an alumna from the College of Liberal Arts in Radio, Television, Film (Mass Communications) now known as Broadcast Journalism; and

WHEREAS, Chloe Melas Mazza was a member of the Eagle Eye news staff, which is the student-led television station on campus; and

WHEREAS, Chloe Melas Mazza began her career as a news assistant for CNN before launching a highly successful entertainment website, HollywoodLife, in 2009 with Bonnie Fuller; and

WHEREAS, Chloe Melas Mazza served as a co-host of the VH1 morning show, “The Gossip Table” beginning in 2013; and

WHEREAS, Chloe Melas Mazza returned to CNN in 2016 as an entertainment reporter where she has covered stories such as the Weinstein criminal trial and the death of NBA legend Kobe Bryant.

NOW, THEREFORE, BE IT RESOLVED that the Eagle Eye Studio in the Harold D. Melton Student Center (unit 1105L) be named The Melas – Mazza Eagle Eye Studio in honor of Chloe Melas Mazza '08, for her significant contributions in the entertainment industry and to Auburn University.

RESOLUTION

AWARDING OF THE HONORARY DEGREE OF  
DOCTOR OF SCIENCE TO RALPH JORDAN, JR.

WHEREAS, Auburn University is committed to the recognition of individuals who have demonstrated outstanding accomplishments and service that have considerably benefited the university, state, nation, and world; and

WHEREAS, Ralph Jordan, Jr. earned a bachelor's degree in biological sciences in 1970 and a master's degree in zoology in 1975 from Auburn University; and

WHEREAS, while working as a nongame and endangered species biologist with the Tennessee Valley Authority, Ralph Jordan, Jr. was responsible for the natural resources management of its public lands and ensured the continued compliance with federal legislation, including the Endangered Species, Clean Water, and Environmental Policy Acts; and

WHEREAS, Ralph Jordan, Jr. was regularly sought by developers and other utility providers for his knowledge and expertise in natural communities and rare plants and animal species; and

WHEREAS, Ralph Jordan, Jr. has given a lifetime of service to Auburn University through his leadership in the Auburn Alumni Association, the Office of Development, the Athletics Advisory Committee, and the College of Sciences and Mathematics Leadership Council, where he was presented with the Distinguished Alumni Award in 2014; and

WHEREAS, in 2013, Ralph Jordan, Jr., alongside other members of the College of Science and Mathematics, helped establish the Biodiversity Learning Center, a resource that houses Auburn's Natural History Museum and continues to promote biodiversity, conservation, and the preservation of Alabama's natural resources; and

WHEREAS, Auburn University confers the honorary degree in recognition of exceptional service leading to improved quality of life and service to humanity.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Honorary Degree of Doctor of Science is hereby granted to Ralph Jordan, Jr. in recognition of his achievements.

RESOLUTION

APPROVAL FOR A COMMEMORATIVE MARKER FOR  
THE ALABAMA FARMERS FEDERATION

WHEREAS, it is proposed that a commemorative marker be installed on the Auburn University campus to commemorate the 100<sup>th</sup> anniversary of the Alabama Farmer Federation, which was established at a meeting on campus in 1921, through the encouragement of Extension Director Luther Duncan; and

WHEREAS, the Alabama Farmers Federation (Alfa) and Alfa Insurance are key partners and supporters of the College of Agriculture, the Alabama Cooperative Extension System, and Auburn University; and

WHEREAS, the proposed marker, designed in accordance with Alabama Historical Association standards, will be placed in Agriculture Heritage Park next to the Alfa Pavilion, as approved by Campus Planning and Space Management and the Provost, Senior Vice President for Advancement, Executive Vice President, and President, with all expenses paid by Alfa.

NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that a commemorative marker recognizing the 100<sup>th</sup> anniversary of the Alabama Farmers Federation and its relationship with Auburn University be installed on campus next to the Alfa Pavilion, and that Jay Gogue, President, or such other person as may be acting as President, hereby be authorized and empowered to take the actions necessary to complete this installation.

*President Pro Tempore* Smith indicated that with there being no further items for review, the meeting was recessed at 11:30 a.m.

Respectfully Submitted,

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Jon G. Waggoner  
Secretary to the Board of Trustees

MINUTES OF A SPECIAL CALLED MEETING OF THE  
BOARD OF TRUSTEES  
OF  
AUBURN UNIVERSITY

AUGUST 6, 2021

**AGENDA**  
**AUGUST 6, 2021 SPECIAL CALLED BOARD MEETING AT 9:00 A.M.**  
**VIA TELEPHONE CONFERENCE CALL**  
**AUBURN UNIVERSITY BOARD OF TRUSTEES**

**Instructions to join the telephone conference call:**

1. Dial: (346) 248-7799
2. Enter the Meeting ID: 452 787 9439
3. Press #
4. Enter the Passcode: 000000
5. Press #

I. Call to Order and Roll Call

II. Action Item

1. Quad Residence Halls Renovation – Phase I: Harper and Broun Halls:  
Request for Budget Increase (Dan King)

III. Recess Meeting

**MINUTES OF A SPECIAL CALLED MEETING OF THE  
AUBURN UNIVERSITY BOARD OF TRUSTEES  
FRIDAY, AUGUST 6, 2021 AT 9:00 A.M.  
VIA TELEPHONE CONFERENCE CALL**

As *President Pro Tempore* of the Auburn University Board of Trustees, Mr. Bob Dumas convened a special called meeting of the Auburn University Board of Trustees via telephone conference call on August 6, 2021 at 9:00 a.m. CDT.

After calling the meeting to order, *President Pro Tempore* Dumas called upon Board Secretary Jon Waggoner to call the roll. The following named members of the Board of Trustees were deemed to be in attendance via telephone conference call:

Mr. Michael A. DeMaioribus; Mr. Robert W. Dumas; Mr. Raymond J. Harbert; Ms. Elizabeth H. Huntley; Mr. D. Gaines Lanier; Mr. James W. Rane; Mr. Quentin P. Riggins; Mr. B.T. Roberts; Mr. M. Clark Sahlie; Mr. James H. Sanford; Mr. Wayne T. Smith; and Mr. Timothy Vines.

Governor Kay Ivey, President of the Board; Mr. Charles D. McCrary; and Mr. James R. Pratt, III were absent from the meeting.

The individuals listed above represent all persons recognized as members of the Auburn University Board of Trustees at the time of the meeting.

Also joining the Board via telephone conference call were the following persons: Dr. Jay Gogue, President; Mr. Rett Waggoner, Auburn University Student Government Association President; and Mr. Jon G. Waggoner, Secretary to the Board of Trustees.

The following persons were also in attendance via telephone conference call: Gen. Ronald Burgess, Executive Vice President; Dr. Bill Hardgrave, Provost and Senior Vice President for Academic Affairs; Ms. Kelli Shomaker, Vice President for Business & Finance and Chief Financial Officer; Dr. Bobby Woodard, Senior Vice President for Student Affairs; Ms. Jaime Hammer, General Counsel; Mr. Daniel King, Associate Vice President for Facilities; and Mr. Simon Yendle, University Architect.

*President Pro Tempore* Dumas welcomed those in attendance and indicated that there was only one item on the agenda. He explained that, due to there being only one item, it would be presented and then voted upon directly by the full Board without first passing through a committee for recommendation. *President Pro Tempore* Dumas stated that Mr. DeMaioribus, Chairperson of the Property and Facilities Committee, was closely involved in discussions regarding the item.

*President Pro Tempore* Dumas then called upon Mr. Daniel King for discussion of the agenda item.

Following discussion of the item, Mr. DeMaioribus moved for approval of the resolution. The motion was seconded by Mr. Harbert, and the resolution was approved by a voice vote.

The following resolution was approved:

RESOLUTION

QUAD RESIDENCE HALLS RENOVATION

PHASE I: HARPER AND BROUN HALLS

APPROVAL OF PROJECT BUDGET INCREASE

WHEREAS, at its meeting on June 11, 2021, the Board of Trustees adopted a resolution to approve a total project budget of \$13.5 million for the Quad Residence Halls Renovation- Phase I: Harper and Broun Halls project; and

WHEREAS, the project was competitively bid on Tuesday, July 20, 2021, with five construction companies submitting bids; and

WHEREAS, all five bids were over the budgeted amount for construction, with the low bidder over the previously approved budget by approximately \$3.0 million; and

WHEREAS, the Senior Vice President for Student Affairs would like to proceed with the award of this project, even at the higher bid price; to avoid delaying the overall sequence of Quad Residence Hall renovations over the next five years and to avoid an additional year of lost revenue from those residence halls if the project is delayed; and

WHEREAS, it is requested that the Board of Trustees adopt a resolution to approve a project budget increase of \$3.0 million to establish a new total project cost of \$16.5 million to award the project; and

WHEREAS, the proposed budget increase will establish a total project budget of \$16.5 million for the Quad Residence Halls Renovation - Phase I: Harper and Broun Halls project, to be financed by Campus Housing and University Repair and Renovation funds.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the proposed project budget increase for the Quad Residence Halls Renovation – Phase I: Harper and Broun Halls project is approved, and Jay Gogue, President, or such other person as may be acting as President, in consultation with the Chair of the Property and Facilities Committee, is authorized to establish a total budget for the project of \$16.5 million, to be financed by Campus Housing funds and University Repair and Renovation funds.

*President Pro Tempore* Dumas thanked everyone for their attendance at the special called meeting and indicated that with there being no further items for review, the meeting was recessed at 9:06 a.m. CDT.

Respectfully Submitted,

---

Jon G. Waggoner  
Secretary to the Board of Trustees

## RESOLUTION

### AWARDING OF DEGREES

WHEREAS, Auburn University confers appropriate degrees upon those individuals who have completed requirements previously approved by this Board of Trustees and stated in University Catalogs.

NOW, THEREFORE, BE IT RESOLVED as follows:

1. That all degrees to be awarded by the faculty of Auburn University and Auburn University at Montgomery at the end of Fall Semester 2021, complying with the requirements heretofore established by the Board of Trustees, be and the same are hereby approved.
2. That a list of the degrees awarded be filed and maintained in the records of the University and hereby made an official part of this resolution and of these minutes.

## RESOLUTION

### LOWDER HALL FINANCIAL LEADERSHIP COLLABORATIVE LABORATORY RENOVATION

#### FINAL PROJECT APPROVAL

WHEREAS, at its previous meeting on November 20, 2020, the Board of Trustees adopted a resolution that approved the initiation of the Lowder Hall Financial Leadership Collaborative Laboratory Renovation project, and at its meeting on February 5, 2021, the Board of Trustees adopted a resolution that approved INOX Design Inc., of Marietta, Georgia, as the project architect; and

WHEREAS, the Lowder Hall Financial Leadership Collaborative Laboratory Renovation project will renovate approximately 4,000 square feet of existing interior space located in Lowder Hall; and

WHEREAS, the proposed renovation will create two state-of-the-art laboratories of up to fifty (50) seats each, dedicated to developing the practical skills essential in the financial services industry such as investment banking, asset management, and sales and trading; and

WHEREAS, the estimated total project cost for the Lowder Hall Financial Leadership Collaborative Laboratory Renovation is \$3.5 million, to be financed by the Harbert College of Business; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval”, the final approval of the project must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Lowder Hall Financial Leadership Collaborative Laboratory Renovation project is approved, and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Establish a budget for the Lowder Hall Financial Leadership Collaborative Laboratory Renovation project in the amount of \$3.5 million, to be financed by the Harbert College of Business; and
2. Direct the consultants to complete the required plans for the project; and
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget approved above.

RESOLUTION  
HAM WILSON ARENA RENOVATION  
CANCELLATION OF PROJECT AND AUTHORIZATION OF DEMOLITION  
AND  
FACILITIES MANAGEMENT NEW TRAINING CENTER  
APPROVAL OF PROJECT INITIATION AND APPROVAL OF ARCHITECT SELECTION

WHEREAS, in 2019, in consultation with the Dean of the College of Agriculture, the Associate Vice President for Facilities Management developed the concept of renovating the Ham Wilson Arena to serve as a training center for Facilities Management; and

WHEREAS, at its previous meeting on April 16, 2021, the Board of Trustees adopted a resolution that provided final project approval for the Ham Wilson Arena Renovation project; and

WHEREAS, since that time, the university has decided the highest and best use for the Ham Wilson Arena site is for future academic or research facilities, with Facilities Management being directed to construct the training facility within its complex on West Samford Avenue; and

WHEREAS, to execute this plan, the previously approved Ham Wilson Renovation project needs to be canceled, and the initiation of a project for Facilities Management to construct a training center within its complex needs to be approved; and

WHEREAS, the University Architect recommends the use of JMR+H Architecture of Montgomery, Alabama, to design the Facilities Management New Training Center, since they had been previously approved as the project architect on the Ham Wilson Renovation project; and

WHEREAS, the Ham Wilson Arena will be demolished; and

WHEREAS, it is anticipated the demolition of the Ham Wilson Arena will be funded by University general funds, and the Facilities Management New Training Center project will be financed by Facilities Management reserve funds; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval”, the initiation of a project and the selection of the architect must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Ham Wilson Arena Renovation Project is canceled, the initiation of the Facilities Management New Training Center project is approved, and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Demolish the Ham Wilson Arena; and
1. Engage JMR+H of Montgomery, Alabama, as project architect to consult in the development of the Facilities Management New Training Center facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

## RESOLUTION

### DUNCAN DRIVE EXTENSION AND INFRASTRUCTURE

#### APPROVAL OF PROJECT INITIATION AND APPROVAL OF ARCHITECT SELECTION

WHEREAS, the Duncan Drive Extension & Infrastructure project is proposed in support of the campus road system and the College of Agriculture's Transformation Gardens project; and

WHEREAS, the project would construct a two-lane campus road that extends Duncan Drive from Lem Morrison Drive to Woodfield Drive and include bicycle lanes, sidewalks and street lighting, as well as overhead power, storm sewer, and domestic water lines; and

WHEREAS, the project would execute site grading, stormwater management and the installation of utilities infrastructure (sanitary sewer, gas, water, electrical) for the adjacent Transformation Gardens, a teaching and outreach space planned by the College of Agriculture; and

WHEREAS, the project would also install a community greenway path that will connect the Davis Arboretum and Gogue Performing Arts Center to the Transformation Gardens and allow for future connections to the Jule Collins Smith Museum and the City of Auburn Town Creek Park; and

WHEREAS, this project would result in numerous benefits to the Auburn University campus including improved traffic flow, increased redundancy of utility systems, establishing an eastern edge to the Hayfields area of campus to aid in future research or academic building development, and facilitating the creation of the Transformation Gardens; and

WHEREAS, the University Architect recommends the use of LBYD Engineers of Birmingham, Alabama, to design the Duncan Drive Extension & Infrastructure project; and

WHEREAS, it is anticipated that this project will be financed by University General Funds and constructed during the first half of 2022; and

WHEREAS, pursuant to the Board of Trustees policy, "D-3, Capital Projects Approval", the initiation of this project must be submitted to the Auburn University Board of Trustees, through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Duncan Drive Extension & Infrastructure project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Engage LBYD Engineers of Birmingham, Alabama as architect to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

## RESOLUTION

### TRANSFORMATION GARDENS

#### APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE ARCHITECT SELECTION PROCESS

WHEREAS, the College of Agriculture has proposed the construction of the Transformation Gardens, a new space on campus that will provide new teaching, research and outreach opportunities benefiting both students and the general public; and

WHEREAS, the Transformation Gardens will be a 16-acre garden that will encompass every aspect of plant-based agriculture, and is intended to be a high-tech facility where students gain hands-on experience with the latest industry practices, as well as a place where research faculty tackle the key challenges of the agricultural industry; and

WHEREAS, elements of the Transformation Gardens will serve as both a teaching space as well as production facilities for Auburn's Campus Dining program, and will include two vertical container farms to be managed and maintained by students training to work in the growing high-tech industries of hydroponic and urban farming; and

WHEREAS, the Transformation Gardens will also be the site of trial tests for a variety of commercially sold plants, and other research will focus on irrigation methods and technologies, fruit and vegetable gardening, and medicinal plants; and

WHEREAS, the Transformation Gardens will be developed in numerous phases over the next several years by the College of Agriculture, and it is anticipated that this facility would be financed by gift funds to the College of Agriculture; and

WHEREAS, pursuant to the Board of Trustees policy, "D-3, Capital Projects Approval", the initiation of this project must be submitted to the Auburn University Board of Trustees, through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Transformation Gardens project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the project architect selection process.

## RESOLUTION

### NORTH AUBURN EQUINE RESEARCH FACILITY – ADDITION

#### APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE ARCHITECT SELECTION PROCESS

WHEREAS, the College of Veterinary Medicine has proposed the construction of a new addition to the North Auburn Equine Research Facility in support of the federally-funded research program at North Auburn; and

WHEREAS, the current program operates out of temporary facilities which are inadequate to support future growth of this important research program; and

WHEREAS, the proposed project will allow this program to bring their facilities up to modern standards and to grow the research capabilities to match increased new grant funding opportunities; and

WHEREAS, it is anticipated that this facility would be financed by College of Veterinary Medicine funds; and

WHEREAS, pursuant to the Board of Trustees policy, “D-3, Capital Projects Approval”, the initiation of this project must be submitted to the Auburn University Board of Trustees, through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the North Auburn Equine Research Facility-Addition project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the project architect selection process.

## RESOLUTION

### PLAINSMAN PARK PLAYER DEVELOPMENT CENTER – PHASE II

#### APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE ARCHITECT SELECTION PROCESS

WHEREAS, the Plainsman Park Player Development Center-Phase I facility was completed in January 2021 and included new indoor batting cage tunnels, player evaluation spaces and player restrooms; and

WHEREAS, the Athletics Department is ready to commence the next phase of development for this facility and has proposed improvements to enhance the Plainsman Park fan experience; and

WHEREAS, the proposed project includes premium seating improvements above the new Player Development Center, as well as additional seating, new restrooms, concessions, a covered pavilion, and a connection to the existing seating concourse; and

WHEREAS, his project will be financed by the Athletics Department through a combination of bonds and gifts; and

WHEREAS, pursuant to the Board of Trustees policy, “D-3, Capital Projects Approval”, the initiation of this project must be submitted to the Auburn University Board of Trustees, through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Plainsman Park Player Development Center Phase II project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the project architect selection process.

## RESOLUTION

### AUBURN ARENA WOMEN'S BASKETBALL LOCKER ROOM RENOVATION

#### APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE ARCHITECT SELECTION PROCESS

WHEREAS, the Athletics Department has proposed the renovation of the women's basketball locker room area within the Auburn Arena; and

WHEREAS, the proposed project will enhance the current team support spaces for the women's basketball program with renovations to include improvements to the team's meeting areas, video review lab, team lounge, nutrition area, coaches' locker room, and restrooms; and

WHEREAS, the proposed project will allow this program to bring their facilities up to competitive standards; and

WHEREAS, it is anticipated that this project would be financed by the Athletics Department through the use of bond funds; and

WHEREAS, pursuant to the Board of Trustees policy, "D-3, Capital Projects Approval", the initiation of this project must be submitted to the Auburn University Board of Trustees, through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Auburn Arena Women's Basketball Locker Room Renovation project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the project architect selection process.

## RESOLUTION

### MCWHORTER CENTER GYMNASTICS AND SOFTBALL TEAM AREA RENOVATION

#### APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE ARCHITECT SELECTION PROCESS

WHEREAS, the Athletics Department has proposed the renovation of the gymnastics and softball team area within the McWhorter Center; and

WHEREAS, the McWhorter Center was originally constructed in 1999, and is in need of an upgrade to effectively support the development of student-athletes; and

WHEREAS, the proposed project will renovate gymnastics and softball team spaces within the McWhorter Center to allow these programs to compete nationally at the highest level; and

WHEREAS, this project will be financed by the Athletics Department through a combination of bonds and gifts; and

WHEREAS, pursuant to the Board of Trustees policy, "D-3, Capital Projects Approval", the initiation of this project must be submitted to the Auburn University Board of Trustees, through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the McWhorter Center - Gymnastics & Softball Team Area Renovation project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the project architect selection process.

## RESOLUTION

### AUTHORITY TO SELL UNIVERSITY LAND IN MONTGOMERY, ALABAMA

WHEREAS, Auburn University owns property in Montgomery, Alabama, for the benefit of Auburn University at Montgomery, to support its academic, research and related educational activities; and

WHEREAS, the Montgomery real estate holdings include a parcel of property on Hwy 331 (depicted in Exhibit 1); and

WHEREAS, neither Auburn University nor Auburn University at Montgomery currently utilizes this parcel or intends to do so in the foreseeable future; and

WHEREAS, selling this parcel would generate revenue and other benefits that could support the capital needs of Auburn University at Montgomery, including development of an academic and research center on its campus; and

WHEREAS, Alabama law permits the University to offer real property for sale by listing it with a duly licensed real estate broker, provided that the institution determines that this procedure is economically justified and in the best interest of the University; and

WHEREAS, the University hired a real estate broker to market these sites, as approved by the Auburn University Board of Trustees at its February 2021 meeting; and

WHEREAS, Auburn University has received an offer to purchase the property that is higher than the MAI appraisal of the value of the property.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that:

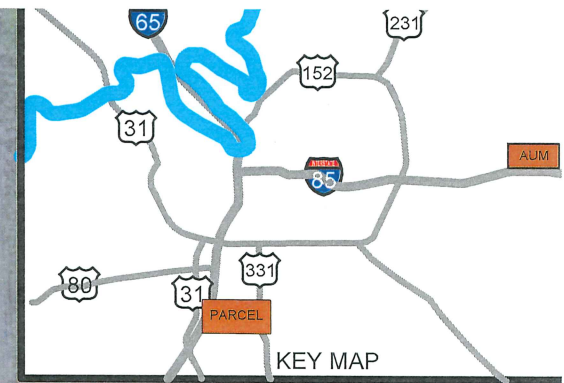
1. Auburn University accept the offer to purchase the property at Hwy 331, Montgomery, Alabama; and
2. Jay Gogue, President, or such person as may be acting as President, or his designee, is hereby authorized and empowered to sell the parcel described above, consistent with Alabama law, provided that any proposed sale transactions must be reviewed and approved by the Office of General Counsel prior to closing.

**EXHIBIT 1**

**HYUNDAI BOULEVARD SITES**

[Attached]

# EXHIBIT 1

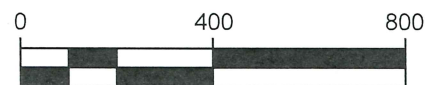


HYUNDAI

HYUNDAI BLVD

HIGHWAY 331

PARCEL  
5.59 AC +/-



SCALE: 1:400

## RESOLUTION

### AUTHORITY TO PURCHASE PROPERTY IN AUBURN, ALABAMA

WHEREAS, Auburn University has an opportunity to purchase property consisting of 0.74 acres at 842 South College Street, Auburn, Alabama, which is located at the northwest corner of the intersection of South College Street and Woodfield Drive (See Exhibit 1); and

WHEREAS, this acquisition will allow the University to control one side of the intersection, which will allow for road widening, turn lanes, and improved aesthetics at a major entrance point to campus; and

WHEREAS, the University thus wishes to acquire this property for the benefit of the institution; and

WHEREAS, the property will be transferred by general warranty deed; and

WHEREAS, the purchase price does not exceed the MAI appraisal of the property; and

WHEREAS, the University has acquired an option to purchase the property, subject to approval by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the proposed acquisition of property located at 842 South College Street in Auburn, Alabama, is hereby approved.

BE IT FURTHER RESOLVED that Jay Gogue, President, or such person as may be acting as President, or his designee, is hereby authorized and empowered to purchase the property described above, consistent with Alabama law, provided that the transaction documents be reviewed and approved by the Office of General Counsel prior to closing.

Exhibit 1

842 South College Street

[Attached]

## RESOLUTION

### PROPOSED CLOSURE OF THE BACHELOR OF SCIENCE IN FOOD SCIENCE

WHEREAS, in 2015, the College of Agriculture established an undergraduate degree program leading to the Bachelor of Science in Food Science that provides a formal plan of study in the development of food processing, analysis, engineering, and safety; and

WHEREAS, in spring 2021, faculty in the College elected to discontinue the program due to low levels of student enrollment and the inability to meet post-implementation requirements established by both Auburn University and the Alabama Commission on Higher Education; and

WHEREAS, the College has developed an appropriate teach-out plan for students currently enrolled in the program that enables them to complete their degrees; and

WHEREAS, the proposal to close the Bachelor of Science in Food Science, effective January 1, 2022, has been approved by the Dean of the College of Agriculture, the University Curriculum Committee, the Academic Program Review Committee, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed closure of the Bachelor of Science in Food Science be approved and reported to the Alabama Commission on Higher Education for review and approval and that the closure be submitted to the Southern Association of Colleges and Schools Commission on Colleges as a proposed substantive change requiring the approval of that body.

## RESOLUTION

### PROPOSED DOCTOR OF PHYSICAL THERAPY

WHEREAS, the School of Kinesiology in the College of Education currently offers academic programs that prepare students for advanced study in the field of physical therapy; and

WHEREAS, the School of Kinesiology does not currently offer a Doctor of Physical Therapy (DPT) program, leading many Auburn graduates to seek professional programs of study at other institutions; and

WHEREAS, the School of Kinesiology has demonstrated the capacity to deliver high quality professional education programs designed to provide the didactic and clinical education required for entry into the professional practice of physical therapy; and

WHEREAS, the School of Kinesiology proposes a new degree program, the Doctor of Physical Therapy, that will provide students with advanced knowledge and practice in delivering direct patient care to individuals experiencing disorders of movement, including mechanical, physiological, and developmental impairments and functional limitations; and

WHEREAS, upon approval by the Board of Trustees and accreditation by the Commission on Accreditation in Physical Therapy, the School of Kinesiology plans to add a DPT Bridge program that will extend the DPT to licensed and working physical therapy assistants seeking a pathway to becoming licensed physical therapists; and

WHEREAS, the proposed degree will address the growing workforce demand for licensed physical therapists capable of treating individuals of all ages, including those with adverse health conditions, mobility issues, and chronic illnesses; and

WHEREAS, the proposed degree program will require additional resources for start-up and implementation, to be funded by the College of Education and the university's Mission Enhancement Fund; and

WHEREAS, the request to create this degree has been endorsed by the Dean of the College of Education, the Graduate Council, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Doctor of Physical Therapy be approved and submitted to the Alabama Commission on Higher Education for review and approval.

## RESOLUTION

### APPROVAL OF THE 2021-2022 BUDGET

BE IT RESOLVED by the Board of Trustees of Auburn University as follows:

Section 1. The operating budget for Auburn University covering restricted, unrestricted and auxiliary funds for the fiscal year beginning October 1, 2021, and ending September 30, 2022, as presented by the President and approved by the Finance Committee of the Board of Trustees, be, and the same is hereby approved.

Section 2. Nothing in said budget shall be accepted or construed to be legal obligations or liabilities against Auburn University. The amounts fixed in the components of the budget for the year 2021-2022 shall be understood to be the relative amounts to be paid or expended for those components in relationship to the funds and/or income of the University available for the support and maintenance of the University

Section 3. The proposed expenditure amounts as set out in the budget are hereby approved and adopted and the President is authorized and empowered to enact such budget on October 1, 2021. The President is further authorized and empowered to effect routine adjustments to this budget as deemed necessary and appropriate.

Section 4. The Finance Committee of the Board of Trustees is requested and authorized to approve material adjustments in this budget as may be determined necessary and presented by the President.

Section 5. In order to manage the University's opportunities to refinance its outstanding General Fee Revenue Bonds and thereby reduce the University's overall debt service obligations as and when possible, the Authorization attached as Exhibit A is hereby approved and adopted as if set forth in full in this resolution.

**EXHIBIT A**

**AUTHORIZATION FOR THE ISSUANCE OF REVENUE REFUNDING BONDS  
OF AUBURN UNIVERSITY**

[Attached]

## **EXHIBIT A**

### **AUTHORIZATION FOR THE ISSUANCE OF REVENUE REFUNDING BONDS OF AUBURN UNIVERSITY**

**WHEREAS**, it is desirable and appropriate for Auburn University (the “University”) to issue its General Fee Revenue Bonds from time to time for the purpose of refunding and paying certain of its prior outstanding bonds and thereby achieving an overall interest rate expense savings to the University; and

**WHEREAS**, in order to manage the University’s refunding opportunities in the most efficient manner possible and to permit the University to take advantage of changing market conditions, it is desirable and appropriate to authorize the officers of the University to arrange for the issuance of refunding bonds without further approval of the Board of Trustees, subject to the limitations expressed in this authorization.

**THEREFORE**, by virtue of the Resolution to which this Authorization is attached, the Board of Trustees hereby and thereby authorizes the following:

**Section 1. Findings.** The following bonds or leases of the University are currently outstanding:

- (i) General Fee Revenue Bonds, Series 2012-A and Series 2012-B (Taxable), dated March 27, 2012, issued under the Sixteenth Supplemental General Fee Indenture dated as of March 1, 2012;
- (ii) General Fee Revenue Bonds, Series 2014-A, dated July 1, 2014, issued under the Seventeenth Supplemental General Fee Indenture dated as of July 1, 2014;
- (iii) General Fee Revenue Bonds, Series 2015-A, dated March 18, 2015, issued under the Eighteenth Supplemental General Fee Indenture dated as of March 1, 2015;
- (iv) General Fee Revenue Bonds, Series 2015-B, dated September 10, 2015, issued under the Nineteenth Supplemental General Fee Indenture dated as of September 1, 2015;
- (v) General Fee Revenue Bonds, Series 2016-A, dated August 17, 2016, issued under the Twentieth Supplemental General Fee Indenture dated as of August 1, 2016;

- (vi) General Fee Revenue Bonds, Series 2018-A dated June 21, 2018, issued under the Twenty-First Supplemental General Fee Indenture dated as of June 1, 2018;
- (vii) General Fee Revenue Refunding Bonds, Series 2020-A, dated March 18, 2020, issued under the Twenty-Second Supplemental General Fee Indenture dated as of March 1, 2020;
- (viii) Taxable General Fee Revenue Refunding Bonds, Series 2020-B, dated March 18, 2020, issued under the Twenty-Second Supplemental General Fee Indenture dated as of March 1, 2020;
- (ix) Taxable General Fee Revenue Bonds, Series 2020-C, dated July 29, 2020, issued under the Twenty-Third Supplemental General Fee Indenture dated as of July 1, 2020;
- (x) General Fee Revenue Bond, Series 2021-A, dated May 5, 2021, issued under the Twenty-Fourth Supplemental General Fee Indenture dated as of May 1, 2021;
- (xi) Lease Revenue Bonds, Series 2017 (Auburn University Educational Complex Gulf Shores Project).

The bonds described in (i) – (xii) above are herein referred to as the “Outstanding Bonds.”

**Section 2. Authorization of Bonds.** The University is hereby authorized to issue its revenue bonds for the purpose of refunding any or all of the Outstanding Bonds. The said bonds shall be issued under the terms, conditions and provisions set out in the General Fee Revenue Trust Indenture dated as of June 1, 1985 between the University and The Bank of New York Mellon Trust Company, N.A., as successor trustee (herein called the “Trustee”), as heretofore supplemented, and as further supplemented by the Supplemental General Fee Revenue Indentures (the “Supplemental General Fee Indentures”) provided for in Section 6 of this authorization (the original General Fee Revenue Trust Indenture, as so supplemented being herein referred to as the “General Fee Revenue Indenture”). The bonds herein authorized (the “Bonds”) may be issued at such time or times and in such series as may be most advantageous to the University, subject to the provisions of Section 12 of this authorization. The Outstanding Bonds to be refunded by the Bonds are hereinafter referred to as the “Refunded Bonds.”

All the provisions of the General Fee Revenue Indenture, as applicable to the Bonds, are hereby adopted as a part of this authorization as if set out at length herein.

**Section 3. Bonds to be Issued as Additional Parity Bonds; Special Findings.** The Bonds shall be issued as additional parity bonds under Article VIII of the General Fee Revenue Indenture.

In accordance with the provisions of Section 8.2(b) of the General Fee Revenue Indenture, the Board hereby finds and declares as follows:

(a) The University is not now in default under the General Fee Revenue Indenture and no such default is imminent.

(b) Bonds the interest on which is excludable from gross income for purposes of federal income taxation shall be designated "General Fee Revenue Refunding Bonds, Series \_\_\_\_". If it is necessary and appropriate to issue a portion of the Bonds as bonds the interest on which is taxable for purposes of federal income taxation, such bonds shall be designated "Taxable General Fee Revenue Refunding Bonds, Series \_\_\_\_". The series designation shall be completed to reflect the calendar year in which the Bonds are issued and to provide any further identification of the Bonds as is appropriate.

(c) The persons to whom the Bonds are to be delivered are set forth in Sections 7 and 9 hereof.

(d) All of the Bonds are to be issued by sale in accordance with Section 7 hereof.

(e) The sale price of the Bonds shall be as set forth in Sections 7 and 12 hereof.

(f) The only parity bonds that have previously been issued by the University under the General Fee Revenue Indenture and that are currently outstanding are those bonds listed in (i) – (x) of Section 1 above along with the supplemental indentures under which they were issued.

(g) The Refunded Bonds are to be refunded from proceeds of the Bonds, subject to the determinations and conditions set forth in Sections 11 and 12 hereof.

The Trustee is hereby requested to authenticate and deliver the Bonds to the purchaser specified in Section 7 hereof upon payment of the purchase price designated therein.

**Section 4. Source of Payment of the Bonds.** The principal of and the interest on the Bonds shall be payable from (i) the gross revenues from those general tuition fees levied against students at the Auburn, Alabama campus and the Montgomery, Alabama campus of the University that are more particularly described and referred to as "General Fees" in the General Fee Indenture; (ii) the gross revenues derived by the University from the operation of its housing and dining facilities located on the Auburn campus and on the Montgomery campus that are more particularly described and referred to as "Housing and Dining Revenues" in the General Fee Indenture; (iii) the gross revenues derived by the University from the operation of its athletics programs that are more particularly described and referred to as "Athletic Fee Revenues" in the General Fee Indenture; and (iv) the several student fees levied against students

at the Auburn campus and the Montgomery campus that are more particularly described and referred to as the “Pledged Student Fees” in the General Fee Indenture. The said General Fees, Housing and Dining Revenues, Athletic Fee Revenues, and Pledged Student Fees are referred to herein as the “Pledged Revenues.”

Nothing contained in this authorization, in the Bonds, in the General Fee Revenue Indenture, or in the supplemental indentures hereinafter authorized shall be deemed to impose any obligations on the University to pay the principal of or the interest on the Bonds except from the Pledged Revenues. Neither the Bonds, nor the pledge or any agreement contained in the General Fee Revenue Indenture, in any supplemental indenture or in this authorization shall be or constitute an obligation of any nature whatsoever of the State of Alabama, and neither the Bonds nor any obligation arising from the aforesaid pledge or agreements shall be payable out of any moneys appropriated to the University by the State of Alabama. The agreements, covenants or representations contained in this authorization, in the Bonds, in the General Fee Revenue Indenture, and in any supplemental indenture do not and shall never constitute or give rise to any personal or pecuniary liability or charge against the general credit of the University, and in the event of a breach of any such agreement, covenant or representation, no personal or pecuniary liability or charge payable directly or indirectly from the general revenues of the University shall arise therefrom. Nothing contained in this section shall, however, relieve the University from the observance and performance of the several covenants and agreements on its part herein contained.

**Section 5. Bonds Payable at Par.** All remittances of principal of and interest on the Bonds to the holders thereof shall be made at par without any deduction for exchange or other costs, fees or expenses. The bank or banks at which the Bonds shall at any time be payable shall be considered by acceptance of their duties hereunder to have agreed that they will make or cause to be made remittances of principal of and interest on the Bonds, out of the moneys provided for that purpose, in bankable funds at par without any deduction for exchange or other cost, fees or expenses. The University will pay to such bank or banks all reasonable charges made and expenses incurred by them in making such remittances in bankable funds at par.

**Section 6. Authorization of Supplemental Indentures.** The Board does hereby authorize and direct the President of the University to approve, execute and deliver, for and in the name and behalf of the University, to the Trustee, a Supplemental General Fee Revenue Indenture with respect to each series of the Bonds, and does hereby authorize and direct the Secretary of the Board to affix the official seal of the University to said Supplemental General Fee Revenue Indenture and to attest the same.

**Section 7. Sale of the Bonds.** The Bonds may be sold as an underwritten public sale, or by a private placement with one or more banks or other qualified institutional purchasers, as determined under Section 12 hereof. If the Bonds are sold through an underwritten public sale, the Board does hereby authorize and direct the President of the University or the Vice-President for Business & Finance and CFO of the University to approve, execute and deliver, for and in the name and behalf of the University, one or more Bond Purchase Agreements with respect to each series of Bonds between the University and an underwriter or underwriters (the “Underwriter”) approved under Section 12 of this authorization. If the Bonds are sold by private placement, the

Board does hereby authorize and direct the President of the University or the Vice-President for Business & Finance and CFO of the University to approve, execute and deliver, for and in the name and behalf of the University, a Placement Agreement or similar document with the purchaser(s) of the Bonds approved under Section 12 of this resolution.

**Section 8. Authorization of Official Statements.** The Board does hereby authorize and direct the Underwriter and/or Professionals designated under Section 14 of this authorization to prepare and distribute, for and in the name and on behalf of the University, a Preliminary Official Statement and a final Official Statement with respect to each series of Bonds issued under this authorization. The Board does hereby further authorize and direct the President or the Vice President for Business & Finance and CFO of the University to execute and deliver, for and on behalf of the University, such final Official Statement(s) and does hereby declare that the Official Statement(s) so executed by the President or the Vice President for Business & Finance and CFO of the University shall be the Official Statement(s) of the University with respect to the Bonds covered by such Official Statement(s).

**Section 9. Execution and Delivery of Bonds.** The Board does hereby authorize and direct the President of the University to execute the Bonds, in the name and on behalf of the University, by causing his signature or a facsimile thereof to be placed or imprinted on the Bonds, and does hereby authorize and direct the Secretary of the Board to cause the official seal (or a facsimile thereof) of the University to be applied or imprinted on the Bonds and to attest the same by causing his signature or a facsimile thereof to be placed or imprinted on the Bonds, all in the manner provided in the General Fee Revenue Indenture. The President of the University is hereby further authorized and directed to deliver the Bonds, subsequent to their execution as provided herein to the Trustee, and to direct the Trustee to authenticate all the Bonds and to deliver them to the Underwriter, upon payment to the University of the purchase price therefor in accordance with the provisions of Sections 7 and 12 hereof.

**Section 10. Application of Proceeds.** The entire proceeds derived by the University from the sale of the Bonds shall be paid to the Trustee under the General Fee Revenue Indenture. The Trustee is thereupon authorized and directed to apply and disburse such moneys for the purposes and in the order specified in the Supplemental General Fee Indentures.

**Section 11. Redemption of Refunded Bonds; Authorization of Escrow Trust Agreement.** Any series of Outstanding Bonds to be refunded by the Bonds or any series of the Bonds shall be called for redemption on the first date permitted for the call and redemption of such Outstanding Bonds subsequent to the date of issuance of the Bonds, at and for a redemption price equal to 100% of the principal amount of each bond so redeemed, plus accrued interest. The President and the Vice President for Business & Finance and CFO of the University are separately authorized to direct the Trustee to mail and/or publish notice of such redemption as required under the terms of the General Fee Revenue Indenture. Any such redemption notice mailed or published prior to the date of issuance of the Bonds shall provide that the call of the affected Refunded Bonds for redemption is contingent upon the issuance and sale of the Bonds.

Pursuant to Section 6.1(a) of the General Fee Revenue Indenture, the Board hereby confirms that the University is not in default under said indenture.

The President of the University is hereby authorized to approve, execute and deliver in the name and on behalf of the University an Escrow Trust Agreement or Agreements, between the University and the Trustee, if necessary or desirable, with respect to each series of Refunded Bonds to provide for the escrow and investment of proceeds of the Bonds until the redemption date of the Refunded Bonds.

**Section 12. Authorization to Approve Certain Matters.** The Board has determined that it is in the best interest of the University to authorize the issuance of the Bonds for the purposes described in this authorization and subject to the limitations of this authorization without a further meeting or approval of the Board. The Vice President for Business & Finance and CFO of the University and the Chairman of the Finance Committee of the Board are hereby authorized:

(a) to determine when and if any Bonds shall be issued and to approve the schedule of issuance for each series of Bonds; provided that no Bonds shall be issued under the authority of this authorization after December 31, 2023;

(b) to approve the principal amount of the Bonds to be issued in each series and the designation of the Bonds as tax-exempt or taxable Bonds; provided that the aggregate principal amount of each series of Bonds shall not exceed the amount necessary to pay the principal and interest on the Refunded Bonds (taking into account any original issue premium or discount) and the costs of issuing the Bonds;

(c) to determine which of the Refunded Bonds are to be refunded and redeemed by the Bonds; provided that any such refunding shall result in a minimum net present value savings of at least 3%;

(d) to determine whether the Bonds are to be sold to the public or are to be privately placed with one or more banks or other institutions, and the terms of either form of sale;

(e) to approve the forms of Supplemental General Fee Indenture(s), Bond Purchase Contract(s) (if the Bonds are sold to the public), Placement Agreement (if the Bonds are privately placed with a bank or financial institution), Preliminary Official Statement(s), Official Statement(s) and Escrow Agreement(s) to be delivered in connection with each series of Bonds;

(f) to approve the final form and pricing details of each series of Bonds, including the interest rates to be borne by such Bonds, the principal maturities thereof and any original issue discount or premium with respect to the Bonds; provided that the net interest cost of any series of Bonds shall not exceed 6%;

(g) to approve the expenses of issuing the Bonds; and

(h) to take such other steps and to execute and approve such other documents as may be necessary or appropriate to cause the Bonds to be issued, sold and delivered consistent with the provisions of this authorization and the General Fee Revenue Indenture.

The final approval by the Vice President for Business & Finance and CFO of the University and the Chairman of the Finance Committee of the Board of the items listed above may be conclusively evidenced by a certificate signed by each of them and delivered at the time of issuance of the Bonds.

**Section 13. Severability.** The various provisions of this authorization are hereby declared to be severable. If any provision hereof shall be held invalid by a court of competent jurisdiction, such invalidity shall not affect any other portion of this authorization.

**Section 14. Designation of Professionals.** The retention of PFM Financial Advisors LLC as financial advisor to the University, and the law firm of Balch & Bingham LLP as bond counsel to the University for the issuance of the Bonds are hereby authorized.

**Section 15. General Authorization.** The President of the University, Vice President for Business & Finance and CFO of the University and the Secretary of the Board are hereby authorized to execute such further certifications or other documents and to take such other action as any of them may deem appropriate or necessary for the consummation of the matters covered by this authorization, to the end that the Bonds may be executed and delivered at the times and on the terms most advantageous to the University.

## RESOLUTION

### POSTHUMOUS AWARDING OF THE BACHELOR OF SCIENCE IN AVIATION MANAGEMENT TO KYLIE NICOLE MURRAY

WHEREAS, Kylie Nicole Murray, an undergraduate student in the Department of Aviation Management in the College of Liberal Arts at Auburn University, passed away on July 31, 2021; and

WHEREAS, Ms. Murray passed away before she could complete the final requirements necessary for a Bachelor of Science in Aviation Management; and

WHEREAS, the faculty in the Department of Aviation Management, the interim dean of the College of Liberal Arts, the Provost, and the President recommend that the Bachelor of Science in Aviation Management be awarded posthumously.

NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that the degree of Bachelor of Science in Aviation Management is hereby granted posthumously to Ms. Kylie Nicole Murray in recognition of her academic achievements as a student at Auburn University.

BE IT FURTHER RESOLVED that the Board hereby expresses its sympathy and condolences to the family of Ms. Murray in this period of sadness and that a copy of this resolution be presented to them so that they will know of this action and the university's deep loss.

## RESOLUTION

### CONTEXTUALIZATION OF WALLACE HALL

WHEREAS, the life of Governor George Corley Wallace is one of great complexity from his early actions as a self-described segregationist to his later life when he apologized for his words and deeds promoting segregation; and

WHEREAS, Governor Wallace blemished his own legacy by publicly extolling segregation; and

WHEREAS, late in his life, Governor Wallace sought forgiveness and was publicly forgiven by prominent civil rights leaders, ultimately winning his final election as governor of Alabama in 1982; and

WHEREAS, Governor Wallace is widely recognized by both supporters and detractors as an influential proponent of Alabama's publicly controlled two-year colleges; and

WHEREAS, the Board of Trustees, through a specially created task force, has made considerable effort to study the University's past and to educate students and other university stakeholders, candidly and pointedly, on all aspects of Auburn's and the State of Alabama's history. This in-depth examination has highlighted the important contributions of minority students and stakeholders over the years; and

WHEREAS, the Board task force also desires to accurately illuminate the holistic legacy of historical figures whose mention in the names of campus buildings and other structures may conjure painful historical images for members of the Auburn community; and

WHEREAS, the Board desires to ensure that the University's physical environment supports the University's values as reflected in the Auburn Creed; and with Auburn's mission to improve the lives of the people of Alabama, the nation, and the world through forward-thinking education, life-enhancing research and scholarship, and selfless service. This desire caused this Board to adopt the Policy Regarding Name Removal and Context of University Owned or Controlled Buildings and/or Structures Located on Auburn University Property (the "Name Removal and Contextualization Policy") in November of 2020; and

WHEREAS, in accordance with the Name Removal and Contextualization Policy, the Board has determined that preservation of an accurate portrayal of history is best served by placing the existing name in historical context.

NOW, THEREFORE BE IT RESOLVED, that the Board of Trustees hereby instructs the University leadership to affix a memorial plaque at Wallace Hall on the main Auburn Campus which displays the text below:

Wallace Hall is named after George Corley Wallace, four-time governor of the State of Alabama and was erected on Auburn's campus in 1984. The life of Governor Wallace was one of great complexity from his early actions as a self-described segregationist to his later life when he apologized for his words and deeds promoting segregation. In his last election as governor of Alabama, in 1982, he won with more than 90 percent of the black vote. The complexity of Wallace's legacy has been an ongoing conversation for decades. Auburn's obligation as an institution of higher education is to promote challenging conversations, which is a valuable component of the academic process.

## PROPOSED AWARDS AND NAMINGS

Time will be allotted for discussion and potential approval of a list of proposed awards and namings.