



AUBURN UNIVERSITY

Office of the President

MEMORANDUM

TO: The Board of Trustees

FROM: Dr. Christopher B. Roberts, President 

SUBJECT: April 14, 2023 Board of Trustees Meeting

Enclosed, please find the materials for the April 14, 2023 Board of Trustees Meeting. The schedule of events for Thursday and Friday is listed below.

Please note that all events will be held at The Hotel at Auburn University & Dixon Conference Center located at 241 South College Street, Auburn, Alabama 36830.

Thursday, April 13, 2023

1:00 p.m.	Workshop	Legacy Ballroom
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Friday, April 14, 2023

9:30 a.m.	Call to Order and Opening Remarks	Legacy Ballroom
9:35 a.m.	Committee Meetings	Legacy Ballroom
10:15 a.m.	Regular Meeting of the Board of Trustees	Legacy Ballroom
10:20 a.m.	Proposed Executive Session	Azalea Room
11:00 a.m.	Reconvened Meeting of the Board of Trustees	Legacy Ballroom
11:15 a.m.	Recess Meeting	Legacy Ballroom
Upon Conclusion of the Meeting	Luncheon	Grand Ballroom II

CBR/ntm

Enclosures

cc: Mr. Jon G. Waggoner, Secretary to the Board of Trustees (w/encl.)
 President's Cabinet (w/encl.)

SCHEDULE AND AGENDA
APRIL 14, 2023 BOARD MEETING
AUBURN UNIVERSITY BOARD OF TRUSTEES

- I. Call to Order and Opening Remarks | 9:30 a.m.
- II. Committee Meetings | 9:35 a.m.
 - A. Property and Facilities Committee | Chairperson DeMaioribus | 9:35 a.m.

Project Approvals:

- 1. Renovation of Space for the School of Kinesiology's New Doctor of Physical Therapy Program, Final Approval (Dan King/Vini Nathan)
- 2. Recreation and Wellness Center – Renovation for Health Promotion & Wellness Services, Architect Selection (Dan King/Bobby Woodard)
- 3. Renovation of Space in the Athletics Complex for Sports Medicine, Project Initiation (Dan King/John Cohen)
- 4. Jordan-Hare Field Renovation, Authority to Execute (Dan King/John Cohen)
- 5. AUM Science Laboratory Facility Renovation, Budget Increase (Dan King/Carl Stockton)
- 6. Auburn University Regional Airport – Corporate Hangar, Approval of Additional Project Scope and Budget Increase (Dan King/Bill Hutto)
- 7. Kreher Preserve and Nature Center – New Environmental Education Building, Project Budget Increase (Dan King/Janaki Alavalapati)

Informational Reports:

- 8. Status Updates – *For Information Only* (Dan King)
 - a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater
 - b. Project Status Report

- B. Academic Affairs Committee | Chairperson Huntley | 9:55 a.m.

- 1. Proposed Bachelor of Science in Drug and Biopharmaceutical Sciences (Vini Nathan)

2. Proposed Supply Chain Management Option in the Ph.D. in Business Administration (Vini Nathan)
 3. Agenda Item for the Board of Trustees – *For Information Only* (Vini Nathan)
- C. Executive Committee | Chairperson W. Smith | 10:05 a.m.
1. Proposed Name Change of and Revisions to Board of Trustees Policy C-7: Scientific Misconduct Policy (James Weyhenmeyer)
 2. Hardscape and Patio Improvements and Addition of Aubie Figure at the Harold D. Melton Student Center at Auburn University (John Morris)
 3. Proposed Awards and Namings (Wayne Smith)
- D. Trustee Reports | 10:10 a.m.
- III. Regular Meeting of the Board of Trustees | 10:15 a.m.
- IV. Proposed Executive Session | 10:20 a.m.
- V. Reconvened Meeting of the Board of Trustees | 11:00 a.m.
1. Approval of the Minutes of the February 3, 2023 Board Meeting
 2. Approval of the Awarding of Degrees for Spring 2023
 3. AUM Chancellor’s Report
 4. President’s Report
 5. Action Items and Committee Meeting Reports
 - A. Property and Facilities Committee
 1. Renovation of Space for the School of Kinesiology’s New Doctor of Physical Therapy Program, Final Approval
 2. Recreation and Wellness Center – Renovation for Health Promotion & Wellness Services, Architect Selection
 3. Renovation of Space in the Athletics Complex for Sports Medicine, Project Initiation
 4. Jordan-Hare Field Renovation, Authority to Execute

5. AUM Science Laboratory Facility Renovation, Budget Increase
6. Auburn University Regional Airport – Corporate Hangar, Approval of Additional Project Scope and Budget Increase
7. Kreher Preserve and Nature Center – New Environmental Education Building, Project Budget Increase

B. Academic Affairs Committee

1. Proposed Bachelor of Science in Drug and Biopharmaceutical Sciences
2. Proposed Supply Chain Management Option in the Ph.D. in Business Administration

C. Executive Committee

1. Proposed Name Change of and Revisions to Board of Trustees Policy C-7: Scientific Misconduct Policy
2. Hardscape and Patio Improvements and Addition of Aubie Figure at the Harold D. Melton Student Center at Auburn University
3. Proposed Awards and Namings

VI. Recess Meeting | 11:15 a.m.

**EXECUTIVE SUMMARY
APRIL 14, 2023 BOARD MEETING
AUBURN UNIVERSITY BOARD OF TRUSTEES**

A. Property and Facilities Committee

PROJECT APPROVALS:

1. Renovation of Space for the School of Kinesiology’s New Doctor of Physical Therapy Program, Final Approval

Project Summary: The School of Kinesiology proposed a project to renovate space in the Student Activities Center (SAC) and the Kinesiology building to implement the Doctor of Physical Therapy Program. The proposed project will renovate 5,900 square feet of space in the Student Activities Center to create two research labs, a clinical classroom, and an active learning classroom. It will also renovate 3,600 square feet of space in the Kinesiology Building to create one large Clinic, with supporting spaces, and a Cadaver Simulation Lab.

The estimated total project cost of the Renovation of Space for the School of Kinesiology’s Doctor of Physical Therapy Program project is \$2.5 million. The project will be financed by the Office of the Provost Mission Enhancement Fund.

Requested Action: It is requested that the Board of Trustees adopt a resolution providing final approval of the Renovation of Space for the School of Kinesiology’s Doctor of Physical Therapy Program project.

Previous Approvals: At its meeting on June 17, 2022, the Board of Trustees adopted a resolution that approved the initiation of the Renovation of Space for the School of Kinesiology’s Doctor of Physical Therapy Program and authorized the commencement of the architect selection process. At its meeting on September 16, 2022, the Board of Trustees approved the selection of the firm Cooper Carry, of Atlanta, Georgia, as the project architect.

2. Recreation and Wellness Center – Renovation for Health Promotion & Wellness Services, Architect Selection

Project Summary: Student Affairs has proposed to renovate and build-out a portion of the basement of the Recreation and Wellness Center to relocate Health Promotion and Wellness Services from the Melton Student Center to the Recreation and Wellness Center. The proposed project will construct office, support, assessment, and meeting spaces to enable the co-location of the staffs of the Campus Recreation and Health Promotion and Wellness Services departments to enhance student support services.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the selection of McMillan Pazdan Smith Architecture, of Atlanta, Georgia, as

project architect for the Recreation and Wellness Center Renovation for Health Promotion & Wellness Services project.

Previous Approvals: At its previous meeting on February 3, 2023, the Board of Trustees adopted a resolution that approved the initiation of the Recreation and Wellness Center Renovation for Health Promotion & Wellness Services and authorized the commencement of the project architect selection process.

3. Renovation of Space in the Athletics Complex for Sports Medicine, Project Initiation

Project Summary: The Athletics Department has proposed the renovation of space within the Athletics Complex to expand and improve sports medicine services to its student athletes. These services, currently housed in the Plainsman Park Strength and Rehabilitation Center, will be relocated to the Athletics Complex in space previously occupied by the football program.

It is anticipated that the Renovation of Space in the Athletics Complex for Sports Medicine project will be financed by the Athletics Department funds.

Requested Action: It is requested that the Board of Trustees adopt a resolution to approve the initiation of the Renovation of Space in the Athletics Complex for Sports Medicine project and to authorize the commencement of the architect selection process.

Previous Approvals: None.

4. Jordan-Hare Field Renovation, Authority to Execute

Project Summary: The Athletics Department has proposed a project to make improvements to Pat Dye Field at Jordan-Hare Stadium. The intent of this project is to improve the field drainage, resod the field, modify the perimeter of the field for improved access and circulation, and modernize the on-field electrical and communications infrastructure.

The schedule for this project is anticipated to begin construction following the 2023 football season and be complete prior to the 2024 annual A-Day Spring Football Game.

The overall project is estimated to cost \$6.0 million, to be financed by the Athletics Department funds.

Requested Action: It is requested that the Board of Trustees adopt a resolution authorizing the execution of the Jordan-Hare Stadium Field Renovation project.

Previous Approvals: None.

5. AUM Science Laboratory Facility Renovation, Budget Increase

Project Summary: At its meeting on April 22, 2022, the Board of Trustees adopted a resolution to approve a \$28 million budget for the Auburn University at Montgomery (AUM) Science Laboratory Facilities Renovation project. The project was originally bid on July 14, 2022, with the low bid resulting in a total project cost that exceeded the previously approved budget.

Since that time, AUM has identified additional funds to support the project. The project was rebid on March 9, 2023, with four (4) bids received. The lowest bid for the project establishes a new total project cost of \$36 million.

Requested Action: It is requested that the Board of Trustees adopt a resolution to establish a revised budget of \$36 million for the AUM Science Laboratory Facilities Renovation project, to be financed by a combination of Public School and College Authority bond funds, supplemental state appropriations, and AUM General funds.

Previous Approvals: At its previous meeting on February 5, 2021, the Board of Trustees adopted a resolution that approved the initiation of the Auburn University at Montgomery Science Laboratory Facility Renovation project, and at its meeting on April 16, 2021, the Board of Trustees approved the project architect, and at its meeting on April 22, 2022, the Board of Trustees adopted a resolution to approve the total project budget of \$28 million.

6. Auburn University Regional Airport – Corporate Hangar, Approval of Additional Project Scope and Budget Increase

Project Summary: At its meeting on February 3, 2023, the Board of Trustees adopted a resolution to approve the construction of a corporate hangar at the Auburn University Regional Airport with a budget of \$3.0 million. The project was originally bid on February 21, 2023, with four aircraft bays as the base bid and a fifth and sixth bay as bid alternates. Analysis of the bids indicate that the incremental costs for a fifth and sixth hangar bay are significantly lower than the base bid hangar bays, thus making it in the University's best interest to award the bid alternates for the fifth and sixth hangar bay.

Requested Action: It is requested that the Board of Trustees approve a revision to the project program and budget to allow for the construction of a six (6) bay corporate hangar and adopt a resolution to establish a revised budget of \$4.0 million for the Auburn University Regional Airport Corporate Hangar project to be financed by a grant from the Alabama Department of Transportation Aeronautics Bureau and Auburn University Regional Airport funds.

Previous Approvals: At its previous meeting on November 11, 2022, the Board of Trustees adopted a resolution that approved the initiation of the Auburn University Regional Airport Corporate Hangar at South Ramp project, and the selection of the project engineer. At its meeting on February 3, 2023, the Board of Trustees adopted a resolution providing final approval of the total project budget of \$3.0 million.

7. Kreher Preserve and Nature Center – New Environmental Education Building, Project Budget Increase

Project Summary: At its meeting on September 16, 2022, the Board of Trustees adopted a resolution that granted final approval for the Kreher Preserve and Nature Center – New Environmental Education Building project. The project was originally bid on March 16, 2023, with three (3) bids received, all bids being above the project budget and the low bid establishing a total project cost of \$3.9 million.

Since that time, the College of Forestry, Wildlife and Environment, in collaboration with the Provost Office, has identified additional funds to execute the project. The University administration desires to proceed with the full project as bid.

Requested Action: It is requested that the Board of Trustees adopt a resolution to establish a revised budget of \$3.9 million for the Kreher Preserve and Nature Center – New Environmental Education Building project, to be financed by a combination of State of Alabama appropriations, College of Forestry, Wildlife and Environment and other university funds.

Previous Approvals: At its previous meeting on April 22, 2022, the Board of Trustees adopted a resolution that approved the initiation of the Kreher Preserve and Nature Center – New Environmental Education Building project and the selection of the project architect, and at its meeting on September 16, 2022, the Board of Trustees adopted a resolution for final approval and the total project budget of \$1.95 million.

INFORMATIONAL REPORTS:

8. Status Updates – *For Information Only*

a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater

Consistent with standing practice, it is proposed that the current status report of new construction/renovation/infrastructure projects with budgets greater than \$1,000,000 be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

b. Project Status Report

It is proposed that a brief report regarding the status of Board of Trustees approved capital projects be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

B. Academic Affairs Committee

1. Proposed Bachelor of Science in Drug and Biopharmaceutical Sciences

The Harrison College of Pharmacy is proposing the establishment of a new undergraduate degree, the Bachelor of Science in Drug and Biopharmaceutical Sciences, to provide students with in-depth knowledge and practical skills in drug design, development, and regulation.

2. Proposed Supply Chain Management Option in the Ph.D. in Business Administration

The Harbert College of Business is proposing the establishment of a new Supply Chain Management Option in the existing Ph.D. in Business Administration for students interested in an advanced study focusing on the theory and research necessary to cultivate leading scholars in the field.

3. Agenda Item for the Board of Trustees – *For Information Only*

The Department of Finance in the Harbert College of Business proposed converting the MSBA – Finance Option to a formal MS in Finance and reclassifying the CIP Code. The conversions align the program with peer and aspirate institutions and more accurately reflects the current curriculum, better supporting the expectations of degree applicants, current students, and graduates.

This item is provided *for information only* and does not require a vote.

C. Executive Committee

1. Proposed Revisions to and Name Change of Board of Trustees Policy C-7: Scientific Misconduct Policy

The existing Board of Trustees Policy C-7: Scientific Misconduct Policy has been rewritten with regard to formatting and content producing a policy that is fully compliant with federal regulations and protects Auburn's ability to compete for and be awarded federal funding. In addition, the procedures implementing the policy, which were previously incorporated into the policy, have been collected in an independent procedure document.

2. Hardscape and Patio Improvements and Addition of Aubie Figure at the Harold D. Melton Student Center at Auburn University

The Office of Student Affairs is proposing improvements to the Harold D. Melton Student Center, including outdoor study, gathering, and green spaces and reimaging the building's entrance. Additionally, a figure of Aubie the Tiger, Auburn's beloved, championship-winning mascot, that recognizes his service to Auburn and celebrates his special place in the hearts of the Auburn Family.

3. Proposed Awards and Namings

Time will be allotted for discussion of a list of proposed awards and namings.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

RENOVATION OF SPACE FOR THE SCHOOL OF KINESIOLOGY'S
DOCTOR OF PHYSICAL THERAPY PROGRAM

FINAL PROJECT APPROVAL

WHEREAS, the School of Kinesiology has proposed a project to renovate space in the Student Activities Center and the Kinesiology building to implement the Doctor of Physical Therapy Program; and

WHEREAS, at its previous meeting on June 17, 2022, the Board of Trustees adopted a resolution that approved the initiation of the Renovation of Space for the School of Kinesiology's Doctor of Physical Therapy Program project, and at its meeting on September 16, 2022, the Board of Trustees approved the selection of the firm Cooper Carry, of Atlanta, Georgia, as the project architect; and

WHEREAS, the project will renovate 5,900 square feet of space in the Student Activities Center to create two research labs, a clinical classroom, and an active learning classroom; and

WHEREAS, the project will also renovate 3,600 square feet of space in the Kinesiology Building to create one large clinic, with supporting spaces, and a Cadaver Simulation Lab; and

WHEREAS, the estimated total project cost of the Renovation of Space for the School of Kinesiology's Doctor of Physical Therapy Program project is \$2.5 million, to be financed by the Office of the Provost Mission Enhancement Fund; and

WHEREAS, pursuant to the Board of Trustees policy "D-3, Capital Projects Approval," the final approval of the project must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Renovation of Space for the School of Kinesiology's Doctor of Physical Therapy Program project is approved and that Christopher B. Roberts, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Establish a budget for the Renovation of Space for the School of Kinesiology's Doctor of Physical Therapy Program project in the amount of \$2.5 million, to be financed the Office of the Provost Mission Enhancement Fund; and

2. Direct the consultants to complete the required plans for the project; and
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget approved above.



FACILITIES MANAGEMENT
M E M O R A N D U M

TO: CHRISTOPHER B. ROBERTS, President *CBR*
THROUGH: RONALD BURGESS, Executive Vice President *RB*
FROM: DAN KING, Associate Vice President for Facilities *DK*
SUBJECT: PROPERTY AND FACILITIES COMMITTEE
RENOVATION OF SPACE FOR THE SCHOOL OF KINESIOLOGY'S DOCTOR OF PHYSICAL THERAPY PROGRAM: FINAL PROJECT APPROVAL
DATE: MARCH 23, 2023

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for April 14, 2023.

Proposal:

Consistent with standing policy, it is proposed that the Renovation of Space for the School of Kinesiology's Doctor of Physical Therapy Program project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that provides final approval of the project. If approved, the resolution would authorize the President to direct Auburn University Facilities Management to complete the project design, solicit bids, and award a contract for construction.

Review and Consultation:

The School of Kinesiology proposed a project to renovate space in the Student Activities Center (SAC) and the Kinesiology building to implement the Doctor of Physical Therapy Program. This renovation would provide instructional space, a research laboratory, offices, and other support spaces to enable the School of Kinesiology to commence the Doctor of Physical Therapy Program in the fall of 2024.

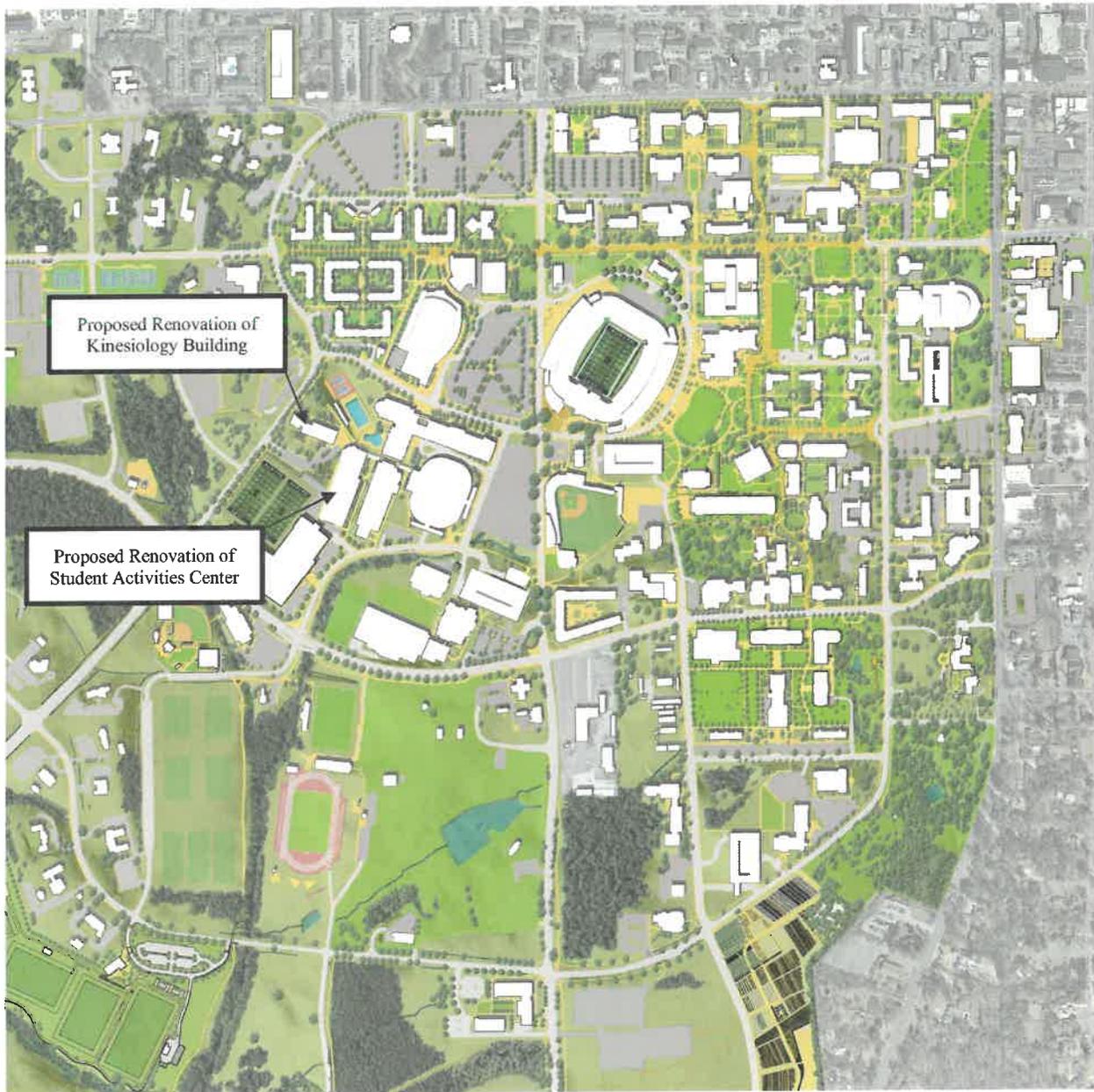
At its previous meeting on June 17, 2022, the Board of Trustees adopted a resolution that approved the initiation of the Renovation of Space for the School of Kinesiology's Doctor of Physical Therapy Program project and authorized the commencement of the architect selection process. At its meeting on September 16, 2022, the Board of Trustees approved the selection of the firm Cooper Carry, of Atlanta, Georgia, as the project architect.

Since that time, the project team has worked to complete the design for the project. The proposed project details are provided below:

- Project Location: The proposed project will renovate space in the Student Activities Center, located at 684 Biggio Drive, and in the Kinesiology Building, located at 301 Wire Road. Refer to Attachment 1 for a campus map showing these locations.
- Program Requirements: The proposed project will renovate 5,900 square feet of space in the Student Activities Center to create two research labs, a clinical classroom, and an active learning classroom. It will also renovate 3,600 square feet of space in the Kinesiology Building to create one large Clinic, with supporting spaces, and a Cadaver Simulation Lab. Refer to Attachment 2 for architectural renderings of the renovated space.
- Budget: The estimated total project cost of the Renovation of Space for the School of Kinesiology's Doctor of Physical Therapy Program project is \$2.5 million, to be financed by the Office of the Provost Mission Enhancement Fund.

If you concur, it is proposed that a resolution providing final approval of the Renovation of Space for the School of Kinesiology's Doctor of Physical Therapy Program project be presented to the Board of Trustees for consideration at its meeting scheduled for April 14, 2023.

Attachment 1
Renovation of Space for the School of Kinesiology's
New Doctor of Physical Therapy Program
Site Map



Attachment 2
Renovation of Space for the School of Kinesiology's
New Doctor of Physical Therapy Program
Architectural Renderings

INTERIOR RENDERING: CLINICAL CLASSROOM

STUDENT ACTIVITIES BUILDING



INTERIOR RENDERING: CLINIC

KINESIOLOGY BUILDING



PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

RECREATION AND WELLNESS CENTER RENOVATION
FOR HEALTH PROMOTION AND WELLNESS SERVICES

APPROVAL OF PROJECT ARCHITECT

WHEREAS, at its meeting of February 3, 2023, the Board of Trustees adopted a resolution that approved the initiation of the Recreation and Wellness Center Renovation for Health Promotion and Wellness Services project and authorized the commencement of the architect selection process; and

WHEREAS, the University Architect issued a Request for Qualifications, and fifteen (15) architectural firms submitted their qualifications; and,

WHEREAS, the Architect Selection Committee for the project interviewed three candidate firms, and based on these interviews, the University Architect determined the architectural firm McMillan Pazdan Smith Architecture, of Atlanta, Georgia, was best qualified to provide design services on this project; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval,” the selection of the project architect must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Christopher B. Roberts, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Engage McMillan Pazdan Smith Architecture, of Atlanta, Georgia, as project architect to consult in the development of the Recreation and Wellness Center Renovation for Health Promotion and Wellness Services project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

TO: CHRISTOPHER B. ROBERTS, President *CBRS*
THROUGH: RONALD BURGESS, Executive Vice President
FROM: DAN KING, Associate Vice President for Facilities *DK*
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**RECREATION AND WELLNESS CENTER RENOVATION FOR HEALTH
PROMOTION AND WELLNESS SERVICES: APPROVAL OF PROJECT
ARCHITECT SELECTION**

DATE: MARCH 23, 2023

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda for the meeting scheduled on April 14, 2023.

Proposal:

Consistent with standing policy, it is proposed that the Recreation and Wellness Center Renovation for Health Promotion and Wellness Services project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that approves the selection of the project architect.

Review and Consultation:

During its meeting on February 3, 2023, the Board of Trustees adopted a resolution that approved the initiation of the Recreation and Wellness Center Renovation for Health Promotion and Wellness Services project and authorized the commencement of the architect selection process.

Since that time, a Request for Qualifications was published for design services. Fifteen (15) architectural firms submitted their qualifications, and three (3) were interviewed by the Architect Selection Committee. The committee determined that the firm McMillan Pazdan Smith Architecture, of Atlanta, Georgia, was best qualified to provide design services on this project. The University Architect concurs with this recommendation.

It is, therefore, appropriate that a resolution be presented to the Board of Trustees for their consideration to approve the selection of McMillan Pazdan Smith Architecture, of Atlanta, Georgia, as the project architect for the Recreation and Wellness Center Renovation for Health Promotion and Wellness Services project. If you concur, it is recommended the resolution be presented to the Board of Trustees, through the Property and Facilities Committee, for appropriate review and action at the meeting scheduled for April 14, 2023.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

RENOVATION OF SPACE
IN THE ATHLETICS COMPLEX FOR SPORTS MEDICINE

APPROVAL OF PROJECT INITIATION AND
AUTHORIZATION TO COMMENCE THE PROJECT ARCHITECT
SELECTION PROCESS

WHEREAS, the Athletics Department has proposed a project to expand and improve sports medicine services to its student athletes; and

WHEREAS, the proposed project would renovate space within the Athletics Complex to relocate sports medicine facilities from the Plainsman Park Strength and Rehabilitation Center; and

WHEREAS, it is anticipated that the Renovation of Space in the Athletics Complex for Sports Medicine project would be financed by Athletics Department funds; and

WHEREAS, pursuant to the Board of Trustees policy, "D-3, Capital Projects Approval," the initiation of this project must be submitted to the Board, through the Property and Facilities Committee, for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Renovation of Space in the Athletics Complex for Sports Medicine project is approved; and Christopher B. Roberts, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the project architect selection process.



FACILITIES MANAGEMENT
M E M O R A N D U M

TO: CHRISTOPHER B. ROBERTS, President 
THROUGH: RONALD BURGESS, Executive Vice President 
FROM: DAN KING, Associate Vice President for Facilities 
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**RENOVATION OF SPACE IN THE ATHLETICS COMPLEX FOR SPORTS
MEDICINE: APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO
COMMENCE THE PROJECT ARCHITECT SELECTION PROCESS**

DATE: MARCH 15, 2023

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for April 14, 2023.

Proposal:

Consistent with standing policy, it is proposed that the Renovation of Space in the Athletics Complex for Sports Medicine project be presented to the Board of Trustees through the Property and Facilities Committee for appropriate action to approve the initiation of the project and authorize the commencement of the architect selection process.

Review and Consultation:

The Athletics Department has proposed the renovation of space within the Athletics Complex to provide expanded sports medicine services. These services, currently housed in the Plainsman Park Strength and Rehabilitation Center, will be relocated to the Athletics Complex in space previously occupied by the football program. This project will enable the Athletics Department to expand and improve sports medicine services to its student athletes.

It is anticipated that this project would be financed by the Athletics Department funds.

If you concur, it is proposed that a resolution initiating the Renovation of Space in the Athletics Complex for Sports Medicine project and authorizing the commencement of the project architect selection process be presented to the Board of Trustees for approval at the meeting scheduled for April 14, 2023.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

JORDAN-HARE STADIUM FIELD RENOVATION

PROJECT APPROVAL AND AUTHORIZATION TO EXECUTE THE WORK

WHEREAS, the Athletics Department proposes a project to make improvements to Pat Dye Field at Jordan-Hare Stadium; and

WHEREAS, the intent of this project is to improve the field drainage using modern technologies and replace the existing turf to provide a superior playing surface for the football program; and

WHEREAS, the proposed project will replace the existing gravity fed drainage system with a new advanced vacuum underdrainage system, resod the field with new “lay-and-play” sod, modify the perimeter of the field for improved access and circulation, and to modernize the on-field electrical and communications infrastructure; and

WHEREAS, the planned schedule for this project is anticipated to begin construction following the 2023 football season and be complete prior to the 2024 annual A-Day Spring Football Game; and

WHEREAS, the overall cost of the project is estimated to cost \$6.0 million, to be financed by Athletics Department funds; and

WHEREAS, due to the sensitivity of the project schedule and the impact on Pat Dye Field, it is appropriate that the Board of Trustees authorize the execution of the Jordan-Hare Stadium Field Renovation project.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Jordan-Hare Stadium Field Renovation project is approved; and that Christopher B. Roberts, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to execute the project.



FACILITIES MANAGEMENT
M E M O R A N D U M

TO: CHRISTOPHER B. ROBERTS, President *CR*
THROUGH: RONALD BURGESS, Executive Vice President *RBS*
FROM: DAN KING, Associate Vice President for Facilities *DAK*
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

JORDAN-HARE STADIUM FIELD RENOVATION: PROJECT APPROVAL AND AUTHORIZATION TO EXECUTE THE WORK

DATE: MARCH 15, 2023

This memorandum requests the following item be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for April 14, 2023.

Proposal:

It is proposed that the Jordan-Hare Stadium Field Renovation project be presented to the Board of Trustees through the Property and Facilities Committee for consideration of a resolution that would approve the proposed project and authorize its execution.

Review and Consultation:

The Athletics Department has proposed a project to make improvements to Pat Dye Field at Jordan-Hare Stadium. The intent of this project is to improve the field drainage using modern technologies and replace the existing turf to provide a superior playing surface for the football program.

The current field drainage is a gravity system installed in 1981 and is reaching the end of its useful life. The proposed project will replace the existing system with a new advanced vacuum underdrainage system and resod the field with new "lay-and-play" sod. Additional proposed items of work include modifications to the perimeter of the field for improved access and circulation and to modernize the on-field electrical and communications infrastructure.

The schedule for this project is anticipated to begin construction following the 2023 football season and be complete prior to the 2024 annual A-Day Spring Football Game.

The overall project is estimated to cost \$6.0 million, to be financed by Athletics Department funds.

Since this work consists of replacing existing items in the Stadium, the work is categorized as a repair project, and thus does not meet the University criteria as a capital project. However, due to the sensitivity of the project schedule and the impact on Pat Dye Field, Board of Trustees approval is warranted.

If you concur, it is proposed that a resolution approving the Jordan-Hare Stadium Field Renovation project and authorizing its execution be presented to the Board of Trustees for consideration at its meeting scheduled for April 14, 2023.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

AUM SCIENCE LABORATORY FACILITIES RENOVATION

APPROVAL OF PROJECT BUDGET INCREASE

WHEREAS at its meeting on February 5, 2021, the Board of Trustees adopted a resolution that approved the initiation of the Auburn University at Montgomery Science Laboratory Facility Renovation project; and

WHEREAS at its meeting on April 16, 2021, the Board of Trustees approved the project architect; and

WHEREAS, at its meeting on April 22, 2022, the Board of Trustees adopted a resolution to approve a total project budget of \$28 million for the Auburn University at Montgomery Science Laboratory Facilities Renovation; and

WHEREAS, the project was originally bid on July 14, 2022, with the low bid resulting in a total project cost that exceeded the previously approved budget; and

WHEREAS, since that time, AUM has identified additional funds to support the project; and

WHEREAS, the project was rebid on March 9, 2023, with four bids being received; and

WHEREAS, the lowest bid for the project establishes a new total project cost of \$36 million; and

WHEREAS, it is requested that the Board of Trustees adopt a resolution to establish a revised budget of \$36 million for the AUM Science Laboratory Facilities Renovation project, to be financed by a combination of Public Schools and College Authority bond funds, supplemental state appropriations, and AUM General Funds.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the revised project budget for the AUM Science Laboratory Facilities Renovation is approved, and Chris Roberts, President, or such other person as may be acting as President, in consultation with the Chair of the Property and Facilities Committee, is authorized to establish a revised budget for the full project of \$36 million.



MEMORANDUM

TO: Chris Roberts, President *CBR*
Auburn University

THROUGH: Ronald Burgess, Executive Vice President *RBS*
~~Auburn University~~

THROUGH: Dan King, Associate Vice President for Facilities *DPK*
Auburn University

FROM: Carl A. Stockton, Chancellor *CAS*
Auburn University at Montgomery

SUBJECT: Property and Facilities Committee
AUM Science Laboratory Facilities Renovation: Approval of Project Budget Increase

DATE: March 10, 2023

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda of the meeting scheduled for April 14, 2023.

Proposal:

It is proposed the AUM Science Laboratory Facilities Renovation project be presented to the Board of Trustees through the Property and Facilities Committee for the consideration of a resolution to approve an increase to the previously approved project budget.

Review and Consultation:

At its meeting on February 5, 2021, the Board of Trustees adopted a resolution that approved the initiation of the Auburn University at Montgomery Science Laboratory Facility Renovation project. At its meeting on April 16, 2021, the Board of Trustees approved the project architect.

At its meeting on April 22, 2022, the Board of Trustees adopted a resolution to approve a \$28 million budget for the AUM Science Laboratory Facilities Renovation. The project was originally bid on July 14, 2022, and came back in excess of the approved budget. We decided to reevaluate the project and identify additional funding with the goal of rebidding the project in Spring of 2023.

Since that time, the university identified \$8 million in additional funds to support the increased budget, made modifications to the project, and sent it out for rebid. Four bids were received on March 9, 2023, with the low bid coming in less than the original bid. With the current low bid and revised budget, we are prepared to fund the full project at \$36 million in total project costs. The project will be funded by a combination of Public Schools and College Authority bond funds, supplemental state appropriations, and AUM General Funds.

If you concur, it is proposed that the revised project budget be presented to the Board of Trustees for consideration of an appropriate resolution for approval at the meeting scheduled for April 14, 2023.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

AUBURN UNIVERSITY REGIONAL AIRPORT CORPORATE HANGAR

APPROVAL OF ADDITIONAL PROJECT SCOPE AND BUDGET INCREASE

WHEREAS, at its meeting on February 3, 2023, the Board of Trustees adopted a resolution to approve the construction of a five (5) bay corporate hangar at the Auburn University Regional Airport and to establish a project budget of \$3.0 million; and

WHEREAS, the Auburn University Regional Airport Corporate Hangar project was originally bid on February 21, 2023, with four aircraft bays as the base bid and a fifth and sixth bay as bid alternates; and

WHEREAS, four competitive bids were received, such that the base bid could be awarded, but not the bid alternates for the fifth and sixth bays; and

WHEREAS, analysis of the bid alternates indicate that the incremental costs for a fifth and sixth hangar bay are significantly lower than the base bid hangar bays, thus making it in the University's best interest to award the bid alternates for the fifth and sixth hangar bay; and

WHEREAS, it is requested that the Board of Trustees approve a revision to the project program and budget to allow for the construction of a six (6) bay corporate hangar; and

WHEREAS, it is requested that the Board of Trustees adopt a resolution to establish a revised budget of \$4.0 million for the Auburn University Regional Airport Corporate Hangar project to be financed by a grant from the Alabama Department of Transportation Aeronautics Bureau and Auburn University Regional Airport funds.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the revised project budget for the Auburn University Regional Airport Corporate Hangar is approved, and Christopher B. Roberts, President, or such other person as may be acting as President, in consultation with the Chair of the Property and Facilities Committee, is authorized to establish a revised budget for the project of \$4.0 million.

As such, the Executive Director of the Auburn University Regional Airport believes it would be in the best interest of Auburn University to award the alternates for the fifth and sixth hangar bays. Additionally, it is requested that the number of restrooms be expanded in the hangar. The estimated total project cost for a six bay hangar is \$4.0 million.

As a result, it is recommended that a resolution be presented to the Board of Trustees to revise the originally approved project program to a six (6) bay corporate hangar and to increase the project project budget to \$4.0 million. The revised project will be financed by a grant from the Alabama Department of Transportation Aeronautics Bureau and Auburn University Regional Airport funds.

If you concur, it is proposed that the revised project budget be presented to the Board of Trustees for consideration of an appropriate resolution for approval at the meeting scheduled for April 14, 2023.



FACILITIES MANAGEMENT
MEMORANDUM

TO: CHRISTOPHER B. ROBERTS, President *[Signature]*
THROUGH: RONALD BURGESS, Executive Vice President *[Signature]*
FROM: DAN KING, Associate Vice President for Facilities *[Signature]*
SUBJECT: PROPERTY AND FACILITIES COMMITTEE
**AUBURN UNIVERSITY AIRPORT CORPORATE HANGAR: APPROVAL OF
ADDITIONAL PROJECT SCOPE AND BUDGET INCREASE**
DATE: MARCH 15, 2023

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda of the meeting scheduled for April 14, 2023.

Proposal:

It is proposed that the Auburn University Airport Corporate Hangar project be presented to the Board of Trustees through the Property and Facilities Committee for the consideration of a resolution to increase the scope of the project and to approve an increase to the previously approved project budget.

Review and Consultation:

At its meeting on February 3, 2023, the Board of Trustees adopted a resolution to approve the construction of a five (5) bay corporate hangar at the Auburn University Regional Airport. At that time, the Board of Trustees approved a budget for \$3.0 million to be financed by a grant from the Alabama Department of Transportation Aeronautics Bureau and Auburn University Regional Airport funds.

The Auburn University Airport Corporate Hangar project was originally bid on February 21, 2023, with four aircraft bays as the base bid and a fifth and sixth bay as bid alternates. Four companies submitted bids and competitive prices were received. The bids were such that the four hangar bay base bid could be awarded, but the amount needed to award the base bid and bid alternate for the fifth hangar bay (\$3.2 million) exceeded the Board of Trustees previously approved budget.

Analysis of the bid alternates indicate that the incremental costs for a fifth and sixth hangar bay are significantly lower than the base bid hangar bays as summarized in the table below:

Bid Analysis--Hangar Bay Costs	
Average Cost of Hangar Bays 1-4 (Base Bid)	\$708K
Incremental Cost of Hangar Bay 5 (Alternate #1)	\$412K
Incremental Cost of Hangar Bay 6 (Alternate #2)	\$412K

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

KREHER PRESERVE AND NATURE CENTER
NEW ENVIRONMENTAL EDUCATION BUILDING

APPROVAL OF BUDGET INCREASE

WHEREAS, at its previous meeting on April 22, 2022, the Board of Trustees adopted a resolution that approved the initiation and architect selection for the Kreher Preserve and Nature Center – New Environmental Education Building; and

WHEREAS, the proposed project will provide a new educational facility for pre-school children that focuses on nature and environmental programs and will include indoor and outdoor instructional space to support and expand the research and outreach programs held at the center; and

WHEREAS, at its meeting on September 16, 2022, the Board of Trustees adopted a resolution granting final approval for the Kreher Preserve and Nature Center – New Environmental Education Building project and authorizing the establishment of a \$1.95 million budget for the project, to be funded by a combination of State of Alabama appropriations and College of Forestry, Wildlife and Environment funds; and

WHEREAS, on March 16, 2023, the project was bid, with three general contractors submitted bids, with all bids being above the project budget; and

WHEREAS, however, given the small nature of this facility, significant value engineering options to reduce cost do not exist without compromising the intended mission of the facility, and

WHEREAS, as a result, the University administration desires to proceed with the full project as bid; and

WHEREAS, to execute this project, the College of Forestry, Wildlife and Environment requests that a project budget increase be approved to establish a new project budget in the amount \$3.9 million.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Christopher B. Roberts, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Establish a revised budget for the Kreher Preserve and Nature Center – New Environmental Education Building project in the amount of \$3.9 million, to be financed by a combination of State of Alabama appropriations, College of Forestry, Wildlife and Environment and other university funds.



FACILITIES MANAGEMENT

MEMORANDUM

TO: CHRISTOPHER B. ROBERTS, President *CBR*
THROUGH: RONALD BURGESS, Executive Vice President *R2B*
FROM: DAN KING, Associate Vice President for Facilities *DPK*
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**KREHER PRESERVE AND NATURE CENTER – NEW ENVIRONMENTAL
EDUCATION BUILDING: APPROVAL OF PROJECT BUDGET INCREASE**

DATE: MARCH 23, 2023

This memorandum requests the following proposal be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda of the meeting scheduled for April 14, 2023.

Proposal:

It is proposed that the Kreher Preserve and Nature Center – New Environmental Education Building project be presented to the Board of Trustees through the Property and Facilities Committee for the consideration of a resolution to approve an increase to the previously approved project budget.

Review and Consultation:

At its meeting on April 22, 2022, the Board of Trustees adopted a resolution that approved the initiation of the Kreher Preserve and Nature Center – New Environmental Education Building project and the selection of Leers Weinzapfel Associates of Boston, Massachusetts, as the project architect.

At its meeting on September 16, 2022, the Board of Trustees adopted a resolution that granted final approval for the Kreher Preserve and Nature Center – New Environmental Education Building project and authorized the establishment of a \$1.95 million budget, to be funded by a combination of State of Alabama appropriations and College of Forestry, Wildlife and Environment funds.

The Kreher Preserve and Nature Center – New Environmental Education Building project was bid on March 16, 2023. Three (3) general contractors submitted bids, with all bids being above the project budget, with the low bid establishing a total project cost of \$3.9 million.

After the bids were received, the project was reviewed for options to bring it back within the originally approved budget. Given the small nature of this facility, significant value engineering options to reduce cost do not exist without compromising the intended mission of the facility. As a result, the University administration desires to proceed with the full project as bid.

The College of Forestry, Wildlife and Environment in collaboration with the Provost Office, has identified additional funds to support the increased budget needed to execute the project as bid. For this project to proceed, the Board of Trustees will need to approve an increase to the previously

approved project budget and authorize the establishment of a new project budget in the amount of \$3.9 million.

If you concur, it is proposed that the revised project budget be presented to the Board of Trustees for consideration of an appropriate resolution for approval at the meeting scheduled for April 14, 2023.

STATUS UPDATES
APRIL 14, 2023 BOARD MEETING
AUBURN UNIVERSITY BOARD OF TRUSTEES

FOR INFORMATION ONLY

Time will be allotted for the following status updates:

- a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater
- b. Project Status Reports



FACILITIES MANAGEMENT
M E M O R A N D U M

TO: DR. CHRISTOPHER B. ROBERTS, President
THROUGH: RONALD BURGESS, Executive Vice President
FROM: DAN KING, Associate Vice President for Facilities
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

**CURRENT STATUS OF NEW CONSTRUCTION/RENOVATION/
INFRASTRUCTURE PROJECTS WITH BUDGETS OF \$1,000,000 AND GREATER
(INFORMATION ONLY)**

DATE: MARCH 15, 2023

This is to request that the following proposal be submitted to the Property and Facilities Committee and included on the agenda of the Board of Trustees meeting scheduled for April 14, 2023.

Proposal:

Consistent with standing practice, it is proposed that the current status report of new construction/renovation/infrastructure projects with budgets greater than \$1,000,000 be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

Review and Consultation:

The Board of Trustees at its meeting on June 4, 2001, requested that it receive a regular update on the financial status of Board approved projects. The attached list includes projects at Auburn University and outlying units.

Rationale for Recommendation:

Consistent with the request of the Board of Trustees for a current status report of new construction/renovation/infrastructure projects with budgets greater than \$1,000,000, the attached listing is provided, *for information only*, to the Board through the Property and Facilities Committee for inclusion on the agenda of the meeting scheduled for April 14, 2023.

Auburn University Facilities Management
Current Capital Projects
 (Spending across Multiple Years)
Summary of Cash Flow by Project Phase

<i>Project Phase</i>	<i>Previous Approved Budget Amount</i>	<i>Current Approved Budget Amount</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2023</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2024 & Forward</i>
Substantial Completion	389,860,000	404,160,000	388,942,201	9,732,582	912,223 *
Construction	122,600,000	108,800,000	78,837,608	14,197,778	9,079,632 *
Design	110,913,948	332,906,798	12,689,247	53,414,051	266,803,500
Planning	2,206,551	2,115,926	142,502	1,917,924	55,500
Totals	625,580,499	847,982,724	480,611,558	79,262,335	276,850,855 *
 Other Open Projects	 123,186,268	 122,115,833	 60,988,673	 11,885,837	 49,241,323
 Grand Totals	 748,766,767	 970,098,557	 541,600,231	 91,148,172	 326,092,178 *

* On the lines with asterisks, the sum of the spending columns does not equal the current approved budget figure due to projects being executed under budget. See individual project lines on following pages for details.

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	(A)		AU Bond Funding	Federal/State or Local Funding	(B)			Estimated Spending Assuming Remainder of Budget for FY2024 & Forward	(C)	(A)-(B)-(C)	Current Open Balance (Budget less Actuals and Encumbrances)
			Current Approved Budget Amount	AU Funding (includes gifts/grants)			Actual Spending to Date (across multiple years)	Estimated Spending Assuming Remainder of Budget for FY2023	Current Encumbrances Against Project				
SUBSTANTIAL COMPLETION PHASE													
Performing Arts Center - New Building 15-158	Substantial Completion	65,000,000	69,600,000	69,600,000			69,394,209	45,791	0	0	205,791	* See note below	
Advanced Structural Engineering Laboratory 17-255	Substantial Completion	22,000,000	22,000,000	10,000,000		12,000,000	20,841,591	458,409	0	376,800	781,609	* See note below	
Miller Poultry Center - Poultry Farm Relocation Project (Phases III-VI) 17-302	Substantial Completion	22,000,000	22,000,000	22,000,000			21,972,148	27,852	0	768	27,084		
Central Dining Facility 17-049	Substantial Completion	26,000,000	26,000,000	26,000,000			25,665,998	101,937	0	74,273	259,729	* See note below	
Auburn Research Park - Infrastructure Expansion 19-016	Substantial Completion	11,000,000	11,000,000	10,000,000		1,000,000	9,398,740	1,016,260	0	177,738	1,423,522	* See note below	
Lowder Hall - Suites 011, 012 & 013, New Finance Lab Renovations 19-172	Substantial completion	3,500,000	3,500,000	3,500,000			2,294,308	92,000	0	87,862	1,117,830	* See note below	
Duncan Drive Extension & Infrastructure 20-105	Substantial completion	4,100,000	4,100,000	4,100,000			3,901,074	198,926	0	99,032	99,894		
College of Human Sciences Clinic Renovation & Relocation - Summary	Substantial completion	5,510,000	5,510,000	5,510,000			4,835,355	299,095	0	145,376	529,269	* See note below	
Dawson Building - Renovate For Use By The Early Learning Center 21-154	Substantial Completion	3,090,962	3,090,962	3,090,962			2,641,504	225,100	0	119,017	330,441	* See note below	
Research Park Bldg 1 - 2nd Floor, Renovate For Use By CHEER & The MFT Center 21-155	Substantial Completion	1,691,324	1,691,324	1,691,324			1,490,132	50,000	0	24,061	177,131	* See note below	
Foy Hall - Suites 136, 144 & 145, Renovate For Use By The EAGLES Program 21-156	Substantial Completion	727,714	727,714	727,714			703,719	23,995	0	2,298	21,697		
Tony & Libba Rane Culinary Science Center - Summary	Substantial Completion	95,400,000	110,000,000	20,000,000	90,000,000		105,615,913	2,328,443	810,206	2,145,889	2,238,198	* See note below	

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	(A)				(B)			(C)	(A)-(B)-(C)	
			Current Approved Budget Amount	AU Funding (includes gifts/grants)	AU Bond Funding	Federal/ State or Local Funding	Actual Spending to Date (across multiple years)	Estimated Spending Assuming Remainder of Budget for FY2023	Estimated Spending Assuming Remainder of Budget for FY2024 & Forward			
Tony & Libba Rane Culinary Science Center - New Building 16-370	Substantial Completion		107,871,728	18,611,894	89,259,834		104,221,217	2,193,443	750,000	2,023,548	1,626,963	* See note below
Rane Culinary Science Center - Suite 501, Leased Living Unit Build-Out 21-619	Programming		799,872	799,872			214,666	50,000	45,206	40,533	544,673	* See note below
Rane Culinary Science Center - Suite 115, Microbrewery & Taproom Build-Out 21-620	Construction		740,166		740,166		591,796	85,000	15,000	81,808	66,562	* See note below
Balance - Give to AUHCC for Capital Expenditures & Pre-opening Expenses	Completed		588,234	588,234			588,234	0	0		0	
Chilled Water System Expansion - New CW Plant At South Campus 18-444	Substantial completion	24,000,000	19,050,000		19,050,000		18,847,983	100,000	102,017	119,442	82,575	
Football Performance Center 19-441	Substantial completion	91,900,000	91,900,000	37,000,000	54,900,000		89,117,157	2,782,843	0	2,433,124	349,719	
Auburn Arena - Women's Basketball Locker Room Renovations 21-379	Substantial completion	3,000,000	3,000,000		3,000,000		2,688,751	150,000	0	71,761	239,488	* See note below
Quad Residence Halls - Comprehensive Renovations - Phase I: Harper & Broun Halls 19-557	Substantial Completion	13,500,000	16,500,000	16,500,000			14,368,974	2,131,026	0	1,041,773	1,089,253	
Total Substantial Completion			404,160,000	224,210,000	166,950,000	13,000,000	388,942,201	9,732,582	912,223	6,773,838	8,443,961	

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

Project Name	Project Phase	Original Approved Budget Amount	(A)		AU Bond Funding	Federal/ State or Local Funding	(B)		Estimated Spending Assuming Remainder of Budget for FY2023 & Forward	(C)	(A)-(B)-(C)	
			Current Approved Budget Amount	AU Funding (includes gifts/grants)			Actual Spending to Date (across multiple years)	Estimated Spending Assuming Remainder of Budget for FY2023				
CONSTRUCTION PHASE												
Academic Classroom & Laboratory Complex - Summary	Substantial Completion/Programming	83,000,000	83,000,000		82,071,760	928,240	69,759,012	577,374	5,978,632	911,421	12,329,567	* See note below
Academic Classroom & Laboratory Complex 15-034	Substantial Completion	83,000,000	71,500,000		70,571,760	928,240	69,757,644	557,374	1,000,000	886,271	856,085	* See note below
Parker Hall - Demolish Building 22-074	Design		5,000,000		5,000,000		1,368	20,000	4,978,632	25,150	4,973,482	
Savings	N/A		6,500,000		6,500,000		0	0	0	0	6,500,000	* See note below
Goodwin Hall - New Professional Recording Studio 18-459	Construction	1,300,000	1,300,000	1,300,000			929,562	370,438	0	116,214	254,224	
Hood-McPherson Building (Bham) - Comprehensive Renovation 20-554	Construction	21,800,000	21,800,000	21,800,000			8,018,888	10,680,112	3,101,000	10,349,571	3,431,541	
Research and Innovation Center and Supporting Building CADC Research Commons 22-215	Construction	2,700,000	2,700,000	2,700,000			130,146	2,569,854	0	1,847,884	721,970	
Total Construction			108,800,000	25,800,000	82,071,760	928,240	78,837,608	14,197,778	9,079,632	13,225,090	16,737,302	

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>(A)</i>				<i>(B)</i>				<i>(C)</i>		<i>(A)-(B)-(C)</i>
		<i>Original Approved Budget Amount</i>	<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>	<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2023</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2024 & Forward</i>	<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>	
DESIGN PHASE												
STEM & Agricultural Sciences Complex - New Facility 20-378	Design	200,000,000	200,000,000	21,000,000	129,000,000	50,000,000	5,329,339	21,340,661	173,330,000	2,735,916	191,934,745	
University Student Housing Phase I 19-442	HOLD	2,866,298	2,866,298	2,866,298			1,916,060	950,238	0	711,870	238,368	
Facilities Management Training Center - New Facility 21-212	BIDDING-CONST CONTR	2,500,000	2,500,000	2,500,000			225,179	1,274,821	1,000,000	67,621	2,207,200	
North AU Equine Facility - New Office Building 21-108	Design	4,343,500	4,343,500	4,343,500			128,545	171,455	4,043,500	157,921	4,057,034	
College of Education Building - New Facility 18-538	Bidding	77,000,000	77,000,000	10,000,000	30,585,165	36,414,835	3,775,717	18,534,283	54,690,000	1,407,207	71,817,076	
Plainsman Park Improvements 21-378	BIDDING-CONST CONTR	30,000,000	30,000,000	8,600,000	21,400,000		652,461	2,657,539	26,690,000	760,970	28,586,569	
McWhorter Center - Gymnastics & Softball Team Area Renovations 21-380	BIDDING-CONST CONTR	4,900,000	4,900,000	1,300,000	3,600,000		239,186	2,370,814	2,290,000	92,196	4,568,618	
Transformation Gardens - Garden Implementation (Phase I) 21-445	Design	147,000	147,000	147,000			87,806	59,194	0	56,336	2,858	
Parkerson Mill Creek - Greenway Phase III (Lem Morrison Drive To VCOM Pond) 21-514	Design	1,500,000	1,500,000	860,000		640,000	75,040	324,960	1,100,000	19,083	1,405,877	
Kroher Preserve & Nature Center - Environmental Education Building 20-429	Design	1,950,000	1,950,000	1,950,000			168,755	871,245	910,000	44,993	1,736,252	
Graham Farm & Nature Center (ACES) - New Pavilion 22-193	BIDDING-CONST CONTR	2,950,000	2,950,000	2,950,000			86,867	1,933,133	930,000	76,743	2,786,390	

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>		<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>(B)</i>		<i>Estimated Spending Assuming Remainder of Budget for FY2023</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2024 & Forward</i>	<i>(C)</i>	
			<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>			<i>Actual Spending to Date (across multiple years)</i>	<i>Current Encumbrances Against Project</i>			<i>(A)-(B)-(C)</i>	
Research and Innovation AU Regional Airport - New Corporate Hangar At South Ramp 22-402	BIDDING-CONST CONTR	3,000,000	3,000,000	2,500,000		500,000	0	1,360,000	1,640,000	0	3,000,000	
Melton Student Center - Starbucks Coffee Relocation & Renovations 22-277	Design	1,750,000	1,750,000	1,750,000			4,292	1,565,708	180,000	83,625	1,662,083	
Total Design			332,906,798	60,766,798	184,585,165	87,554,835	12,689,247	53,414,051	266,803,500	6,214,481	314,003,070	

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>(A)</i>				<i>(B)</i>				<i>(C)</i>		<i>(A)-(B)-(C)</i>
		<i>Original Approved Budget Amount</i>	<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>	<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2023</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2024 & Forward</i>	<i>Current Encumbrances Against Project</i>	<i>Current Open Balance (Budget less Actuals and Encumbrances)</i>	
PLANNING PHASE												
Auburn University Regional Airport Maintenance Hangar Expansion 21-017	HOLD (Programming)	96,900	96,900	96,900			6,193	90,707	0	0	90,707	
Neville Arena - New Practice Gym & Team Support Space Renovations 22-041	Programming	428,000	428,000		428,000		34,213	393,787	0	33,850	359,937	
Gulf Coast Engineering Research Station - New Building 22-258	Programming	536,726	536,726			536,726	0	536,726	0	0	536,726	
Student Activities Center & Kinesiology Bldg - Renovations For New DPT Program 22-028	Programming	384,970	384,970	384,970		0	8,098	376,872	0	0	376,872	
Gogue Performing Arts Center - Outdoor Pavilion & Exterior Improvements 22-213	Programming	263,830	263,830	263,830		0	90,060	173,770	0	128,834	44,936	
Lowder Hall - Academic Advising Suite Renovation 22-007	Programming	405,500	405,500	405,500		0	3,938	346,062	55,500	234,250	167,312	
Total Planning			2,115,926	1,151,200	428,000	536,726	142,502	1,917,924	55,500	396,934	1,576,490	

ESTIMATED CASHFLOW MATRIX and ACTUAL SPENDING and ENCUMBRANCE ACTIVITY

<i>Project Name</i>	<i>Project Phase</i>	<i>Original Approved Budget Amount</i>	<i>(A)</i>				<i>(B)</i>			<i>Estimated Spending Assuming Remainder of Budget for FY2024 & Forward</i>	<i>(C)</i>	<i>(A)-(B)-(C)</i>
			<i>Current Approved Budget Amount</i>	<i>AU Funding (includes gifts/grants)</i>	<i>AU Bond Funding</i>	<i>Federal/ State or Local Funding</i>	<i>Actual Spending to Date (across multiple years)</i>	<i>Estimated Spending Assuming Remainder of Budget for FY2023</i>	<i>Current Encumbrances Against Project</i>			
Other Open Projects	Various Stages		122,115,833	112,143,776	4,950,000	5,022,057	60,988,673	11,885,837	49,241,323	28,751,506	32,375,654	
GRAND TOTAL			970,098,557	424,071,774	438,984,925	107,041,858	541,600,231	91,148,172	326,092,178	55,361,849	373,136,477	

* On the lines with asterisks, the sum of the spending columns does not equal the current approved budget figure due to projects being executed under budget.



FACILITIES MANAGEMENT
M E M O R A N D U M

TO: CHRISTOPHER B. ROBERTS, President 
THROUGH: RONALD BURGESS, Executive Vice President 
FROM: DAN KING, Associate Vice President for Facilities 
SUBJECT: PROPERTY AND FACILITIES COMMITTEE

PROJECT STATUS REPORT

DATE: MARCH 15, 2023

This memorandum requests the following information be presented to the Board of Trustees through the Property and Facilities Committee and included on the agenda at the meeting scheduled for April 14, 2023.

Proposal:

It is proposed that a brief report regarding the status of Board of Trustees approved capital projects be submitted, *for information only*, to the Board of Trustees through the Property and Facilities Committee.

Review and Consultation:

The responsibility of the Board of Trustees extends to the oversight of funding, planning, design, construction, maintenance, and operation of University buildings and facilities. Since a project can often take several years to execute, as it progresses through the planning, initiation, design, and construction phases of the project, this report is intended to continually inform the Board of Trustees of the status of previously approved projects.

CAPITAL PROJECT STATUS

PROJECT/PHASE

STATUS

Projects in Planning Stage:

- Recreation and Wellness Center Renovation for Health Promotion & Wellness Services

Initiation Approved

Initiated February 2023

Projects in Design Stage:

- New University Student Housing
- AU Airport Maintenance Hangar Addition
- Ag Transformation Gardens - Phase 1: Children's Garden
- Ag Transformation Gardens – Phase 2: Aquaculture Barn & Greenhouse
- North Auburn Equine Research Facility – Addition
- Neville Arena – New Practice Gym
- Airport Runway Safety Area Extension
- Gogue Performing Arts Center-Venue 2
- Gulf Coast Engineering Research Station – New Building
- Lowder Hall Advising Suite Renovation
- Renovation of Space for the School of Kinesiology's Doctor of Physical Therapy Program

Initiation Approved

Initiated September 2019

Initiated June 2021

Initiated September 2021

Initiated September 2021

Initiated September 2021

Initiated April 2022

Initiated September 2022

Initiated June 2022

Initiated September 2022

Initiated September 2022

Initiated September 2022

Projects with Final Approval:

- Parker Hall Demolition (ACLC Phase 3)
- AU Airport T-Hangars
- AUM Science Laboratory Facility Renovation
- Kreher Preserve & Nature Center - New Environmental Education Building
- Parkerson Mill Greenway-Phase III
- Auburn University Regional Airport Corporate Hangar at South Ramp
- Clinic Buildings Demolition
- Plainsman Park Improvements
- STEM+AG Sciences Complex

Final Approval

Approved February 2019

Approved February 2022

Approved April 2022

Approved September 2022

Approved November 2022

Approved February 2023

Approved February 2023

Approved February 2023

Approved February 2023

Projects in Construction Stage:

- Goodwin Hall Recording Studio
- Hill Residence Halls Demolition
- Jordan-Hare Stadium Wi-Fi System Replacement
- Hood-McPherson Building Renovation
- Chilled Water Plant III (CVM) Chiller Upgrades & Piping Improvements
- Quad Residence Halls Renovation – Phase 2: Teague Hall and Little Hall
- Village Residence Halls – Interior Refurbishments & Mechanical Upgrades (Phase II)
- ACES Graham Farm & Nature Center

Final Approval

Approved February 2021

Approved November 2021

Approved November 2021

Approved February 2022

Approved April 2022

Approved April 2022

Approved September 2022

Approved November 2022

- College of Architecture Design & Construction – Research Commons Approved November 2022
- College of Education Building Approved November 2022
- Facilities Management Training Facility Approved November 2022
- McWhorter Center – Gymnastics & Softball Team Area Renovation Approved February 2023
- Melton Student Center Renovation for Starbucks Relocation Approved February 2023

Projects Recently Completed:

Completion

- Neville Arena Women’s Basketball Locker Room Renovations Approved February 2022

**ACADEMIC AFFAIRS COMMITTEE AGENDA
APRIL 14, 2023 BOARD MEETING
AUBURN UNIVERSITY BOARD OF TRUSTEES**

1. Proposed Bachelor of Science in Drug and Biopharmaceutical Sciences (Vini Nathan)
2. Proposed Supply Chain Management Option in the Ph.D. in Business Administration (Vini Nathan)
3. Agenda Item for the Board of Trustees – *For Information Only* (Vini Nathan)

Committee Chairperson: Ms. Elizabeth Huntley

Faculty Representative: Dr. Mark Carpenter, Auburn University (ex-officio, non-voting)

Please Note: All trustees serve on all committees, with the exception of the Executive Committee.

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED BACHELOR OF SCIENCE IN
DRUG AND BIOPHARMACEUTICAL SCIENCES

WHEREAS, the Harrison College of Pharmacy is committed to providing the highest quality instruction to its students by preparing pharmaceutical science professionals to support the development of pharmacotherapies used to advance healthcare solutions; and

WHEREAS, the College has proposed the creation of a new undergraduate degree program, the Bachelor of Science in Drug and Biopharmaceutical Sciences, to develop healthcare solutions and advance drug design, development, and research; and

WHEREAS, the proposed degree program will develop educational solutions to health issues centered around professional pharmacy and pharmaceutical sciences and will attract new pharmaceutical and biotech industries to our state and region as a result; and

WHEREAS, the proposed degree program will prepare graduates for employment in the pharmaceutical sciences or related professions or for continuing graduate or professional education in the biomedical, health sciences, or related disciplines; and

WHEREAS, the proposed degree program will require additional administrative and faculty resources to be funded by the college; and

WHEREAS, the request to establish a Bachelor of Science in Drug and Biopharmaceutical Sciences has been endorsed by the Dean of the Harrison College of Pharmacy, the University Curriculum Committee, the Interim Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Bachelor of Science in Drug and Biopharmaceutical Sciences be approved and submitted to the Alabama Commission on Higher Education for review and approval and to the Southern Association of Colleges and Schools Commission on Colleges as a substantive change requiring the approval of that body.



AUBURN UNIVERSITY

OFFICE OF THE PROVOST

MEMORANDUM TO: Christopher B. Roberts
President

THROUGH: Ronald Burgess
Executive Vice President

FROM: Vini Nathan
Interim Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees – Proposed Bachelor
of Science in Drug and Biopharmaceutical Sciences

DATE: March 9, 2023

I request that the following item be added to the Board of Trustees' agenda for the April 14, 2023, meeting.

Proposal: The Harrison College of Pharmacy proposes adding a program of study leading to the Bachelor of Science in Drug and Biopharmaceutical Sciences (CIP 51.2010).

Review and Consultation: Faculty in the Department of Drug Discovery and Development are proposing the establishment of a new undergraduate degree, the Bachelor of Science in Drug and Biopharmaceutical Sciences, to provide students with in-depth knowledge and practical skills in drug design, development, and regulation. As the first undergraduate degree offered by the college, the proposed program addresses the global demand for increased healthcare professionals and integrates the study of disease emergence and the effective treatment of current and future health disorders. The proposed degree program will enable graduates to pursue careers in the pharmaceutical industry and enroll in professional and graduate programs by developing new curricular approaches to discovering, designing, and developing the next generation of pharmacotherapies.

The proposed program will offer courses of study in the evolving discipline of modern drug and biopharmaceutical concepts, providing critical academic resources to the State of Alabama. By combining practical laboratory experiences with innovative curricula and industry partnerships, graduates will be prepared to address health- and healthcare-related problems and solutions by employing diverse drug and biologic therapies and will be able to pursue careers in pharmacy, medicine, biotechnology, drug development, and regulatory affairs. While the program will utilize some existing courses in other colleges, the department will provide all administrative and faculty resources necessary to establish the degree. The proposed program does not duplicate any degrees currently offered in Alabama, and the department envisions forming strategic partnerships with institutions across the state to create research and academic opportunities for students. Enrollment is anticipated to be 30 students during the first year, with an average of 120 students enrolled annually by year five.

Recommendation: It is recommended that the Board of Trustees approve the proposed Bachelor of Science in Drug and Biopharmaceutical Sciences. The degree was reviewed and approved by Auburn

University's Curriculum Committee in Spring 2023 and by the Harrison College of Pharmacy. If approved, the proposed degree will be forwarded to the Alabama Commission on Higher Education for review and approval and submitted to the Southern Association of Colleges and Schools Commission on Colleges as a proposed substantive change requiring that body's approval.

MEMORANDUM TO: Dr. Vini Nathan
Interim Provost & Vice President for Academic Affairs

THROUGH: Timothy Moore, MD, PhD
Interim Dean, Harrison College of Pharmacy

FROM: Gary Piazza, PhD
Head, Department of Drug Discovery and Development

SUBJECT: BS in Drug and Biopharmaceutical Sciences, DBPS

DATE: March 2, 2023

We request the following proposal be added to the Board of Trustees' agenda for their April 14, 2023 meeting. **Drug and Biopharmaceutical Sciences, Bachelor's Program.** Department of Drug Discovery and Development, Harrison College of Pharmacy. On February 23, 2023, the Auburn University Curriculum Committee approved the DBPS courses and curriculum.

Program Description:

This B. S. program focused on drug and biopharmaceutical sciences represents an ideal and timely addition to Auburn University's undergraduate degree portfolio. It is an innovative, distinctive curriculum providing students with excellent work force skills as well as the knowledge base and tools for advanced studies specifically related to discovery and design of drugs used for healthcare. In the new biopharmaceutical B.S. proposed, students will be exposed to, and build on, focused foundational sciences content in their first and second years of the curriculum and in their second through fourth years begin the process of understanding how to apply foundational knowledge to the drug discovery field. The students will select a sub-discipline of pharmaceutical science of interest to them (medicinal chemistry, pharmacology, pharmaceuticals, or biologics) and pursue further study through elective coursework and laboratory research experiences in their fourth year of the program. This curriculum is designed to stimulate our student's scientific curiosity via interaction with dedicated and productive faculty and peers. Modern practical laboratory experiences will provide a platform for growth in research expertise and the application of appropriate scientific methods.

The degree code 51.2010 (Pharmaceutical Sciences) is the best fit for this program. This is a new program and does constitute a Substantive Change requiring notification to SACSCOC.

Justification for Program: A skilled and knowledgeable work force is an essential component for economic growth and development as Auburn University continues its emphasis on research and outreach centered on health issues (human, animal, and environment). Healthcare is the largest industry in the world (Global strategy on human resources for health: Workforce 2030, 2016). The United States is at the forefront of this global industry, leading the way in many fields of clinical research, training, and practice. To prepare students more effectively for these roles, innovative instructional programs with integrated and applied curricula at the B.S. level will need to be developed, not only to better understand disease processes, but to produce the drug design and development processes that are applied in real-world problem-solving situations. This program is constructed to meet the challenges of improving basic pharmaceutical research and ultimately healthcare outcomes. The DBPS students will obtain a fundamental and unique skill set that includes elements of all the pharmaceutical sciences necessary for advancing pharmaceutical healthcare.

This application represents an innovative, distinctive curriculum providing relevance for students both for today and years in the future. The proposal is aligned with Auburn's and DDD/HCOP's Strategic Plans to provide a rich and elevated Auburn experience making use of modern technology both in classroom teaching format and in hands-on laboratory exercises. Active learning coupled with applied experiences will allow the program to produce graduates with the abilities to fulfill roles in industry, regulatory, professional, and continue into advanced degree programs. As our understanding of disease processes at the cellular and molecular levels continues to expand and new diseases emerge (e.g., COVID-19), effectively treating health disorders now and in the future will necessitate increasingly novel approaches for discovering, designing, and developing the next generation of pharmacotherapies. In order to meet this challenge, students seeking careers in the pharmaceutical industry and for professional and graduate education in pharmaceutical sciences, will be well-educated in this undergraduate curricula to health- and healthcare-related problems and solutions employing drug and biologic therapies.

Need for the Program in the State of Alabama: This new program represents a significant addition to Auburn's focus on maintaining health and wellbeing as well as addresses reducing the disease burden through pharmaceuticals discovery and development. The availability of this program and its graduates will be a key component in attracting new pharmaceutical and biotech industries to our state and region. The AU DDD/HCOP-DBS program will be focused on the fast-evolving discipline of modern drug and biopharmaceutical concepts, including concepts underlying precision medical care, and will make use of innovative collaborative teaching methods and technology for the state of Alabama. This curriculum is designed to stimulate Alabama students' scientific curiosity via interaction with dedicated and productive faculty and peers. Modern practical laboratory experiences will provide a platform for growth in research expertise in the state of Alabama. The new and novel program will train our students in Alabama to serve the industries and programs they become affiliated with upon graduation. The knowledge and experience base received in our program will allow students and graduates to engage constituent groups for improved quality and efficiency in the drug discovery and development process as a part of our traditional land-grant mission. The program will be guided by established and productive scholars with many years of experience in academics and pharmaceutical research. This relationship will continue the strong emphasis on basic

foundational concepts and their relationship to scholarship, research, and outreach development in the state of Alabama. We expect to recruit outstanding Alabama high school graduates as well as transfer students from our state, the region, and beyond, capitalizing on the increasing demand by students seeking an Auburn University education. We will maintain a robust and diverse student body and adjust our recruiting and admission policies to accomplish these goals. Furthermore, this program will meet the overall growing need for healthcare therapeutics design and development.

Employment Opportunities: (Source: EAB report) Top industries that advertise bachelor's-level drug and biopharmaceutical sciences job postings are accommodation and food services, administrative and support services, educational services, finance and insurance, health care and social assistance, information, manufacturing, professional, scientific, and technical services, public administration, retail trade, wholesale trade. Top employers seeking bachelor's-level drug and biopharmaceutical science applicants include Biocryst Pharmaceuticals, Catalent, Eli Lilly, Eurofins, Fujifilm, IQVIA, Kelly Services, LabCorp, Octapharma, Organon, Parexel, Pfizer, Pharmallies, Southern Research Company, The University of Alabama, Thermo Fisher Scientific, and UAB Health System. Top cities seeking BS-Level drug and biopharmaceutical science applicants include: Birmingham, Huntsville, Montgomery, Mobile, Madison, Auburn, Pelham, Alabaster, Daphne, Prattville, and from other states, Durham, NC; Saint Louis, MO; Raleigh, NC; Houston, TX; Research Triangle Park, NC; Morrisville, NC; Austin, TX; Atlanta, GA; College Station, TX; Dallas, TX.

Top Titles in job postings for BS-level drug and biopharmaceutical science professionals include Associate Scientists, Clinical Project Managers, Clinical Research Associates, Clinical Scientists, Laboratory Researchers, Medical Writers, Oncology Research Scientists, Process Development Engineers, Project Managers, Quality Assurance Managers, Quality Assurance Specialists, Quality Assurance Supervisors, Research Associates, and Technicians.

Student Demand/Enrollment Projections:

		ENROLLMENT PROJECTIONS AND DEGREE COMPLETION PROJECTIONS						
	Year 1	Year 2	Year 3	Year 4	Year 5	5-YR AVG		
FULL-TIME HEADCOUNT	30	75	125	175	197	120	Record the total number of FT students in each year	
PART TIME HEADCOUNT	0	0	0	0	0	0	Record the total number of PT students in each year	
TOTAL HEADCOUNT	30	75	125	175	195	120		
NEW ENROLLMENT HEADCOUNT	30	45	50	50	50		Record the number of new students each year	
DEGREE COMPLETION PROJECTIONS	0	0	0	28	42			

cohort size of 50 (200 once fully established), the degree completion projection would be 47 per year (50*.94)
6% drop out

Resource Requirements: DDD/HCOP will hire new faculty (lecturers) to assist with teaching and laboratory experiences. Students will undergo lab rotations in the existing labs of DDD/HCOP, located in both the Walker Building and the Pharmaceutical Research Building. Medicinal chemistry laboratories in Walker are under renovation that will facilitate research education experiences along with advancing our research mission (support provided through Mission Enhancement Funding awarded in 2021). Senior DDD faculty will be given administrative time to oversee and coordinate implementation of the program. Existing university library resources and classrooms will be used. Administrative support staff for the DBPS program will be hired (advising, etc.).

Relationship to Other Programs: The program will leverage some existing courses in other colleges, but all administrative support will be provided by HCOP. This program does not replace any existing program at Auburn and does not duplicate any other program in the state. Samford University offers a B.S. degree in pharmacy studies. Their Bachelor of Science in pharmacy studies is designed to provide Doctor of Pharmacy (Pharm.D.) students (who have no prior degree) the opportunity to pursue other degree programs during or after completing the Pharm.D. degree. In the Samford program, *Pharmacy Professional* students completing the second year of the Pharm.D. program are awarded this degree (regardless of graduating from the Pharm.D. program). Thus, their program is principally focused on preparing the undergraduate for the pursuit of a professional pharmacy degree, not a career in the drug design and development areas of the pharmaceutical industry. We do expect to explore collaborations with other institutions, particularly for the student electives in the senior year, and particularly involving opportunities with industry partners.

Recommendation: We recommend that the proposed B. S. Program in Drug and Biopharmaceutical Sciences (DBPS) from the Department of Drug Discovery and Development be approved by the Offices of the Provost and the President and forwarded to the Board of Trustees and the Alabama Commission on Higher Education for review and/or approval.



Timothy M. Moore MD, PhD

Interim Dean

W.W. Walker Professor of Drug Discovery and Development

Auburn University Harrison College of Pharmacy

B.S. Program in Drug and Biopharmaceutical Sciences (DBPS)			
Freshman (First Year)			
Fall		Spring	
Course	Credit	Course	Credit
ENGL 1100 English Composition I*	3	ENGL 1120 English Composition II*	3
CHEM 1030 Fundamentals Chemistry I**	3	CHEM 1040 Fundamentals Chemistry II**	3
CHEM 1031 Fundamental Chemistry I Laboratory**	1	CHEM 1041 Fundamental Chemistry II Laboratory**	1
Calculus**	4	BIOL 1020 Principles of Biology**	3
Core Humanities and Social Sciences*	3	BIOL 1021 Principles of Biology Laboratory**	1
		Core Humanities and Social Sciences*	3
DBPS 1010 Introduction to Drug and Biopharmaceutical Sciences-I***	1	DBPS 1020 Introduction to Drug and Biopharmaceutical Sciences-II***	1
	15		15

*AU Core Requirement; **Existing AU Course; ***New DBPS Course

Sophomore (Second Year)			
Fall		Spring	
Course	Credit	Course	Credit
Core Humanities and Social Sciences*	3	Core Humanities and Social Sciences*	3
Core Humanities and Social Sciences*	3	Core Humanities and Social Sciences*	3
DBPS 2010 Fundamentals of Biomedical Chemistry-I***	4	DBPS 2020 Fundamentals of Biomedical Chemistry-II ***	4
DBPS 2030 Drug Targets-I***	4	DBPS 2040 Drug Targets-II***	4
DBPS 2050 Biopharmaceutical Data Analysis I***	1	DBPS 2060 Biopharmaceutical Data Analysis II***	1
	15		15

*AU Core Requirement; **Existing AU Course; ***New DBPS Course

Junior (Third Year)			
Fall		Spring	
Course	Credit	Course	Credit
DBPS 3010 Medicinal Chemistry-I***	4	DBPS 3020 Medicinal Chemistry-II***	3
DBPS 3030 Drug Action-I***	4	DBPS 3040 Drug Action-II***	4
DBPS 3050 Drug Formulations***	3	DBPS 3060 Drug Pharmacokinetics***	4
DBPS 3070 Research Seminar-I***	1	DBPS 3080 Research Seminar-II***	1
Core Humanities and Social Sciences*	3	Core Humanities and Social Sciences*	3
	15		15

*AU Core Requirement; **Existing AU Course; ***New DBPS Course

Senior (Fourth Year)			
Fall		Spring	
Course	Credit	Course	Credit
DBPS 4980 Research**	5	DBPS 4980 Research**	5
DBPS Electives***	5	DBPS Electives***	5
Program Elective** (DBPS approval required)	5	Program Elective** (DBPS approval required)	5
	15		15

*AU Core Requirement; **Existing AU Course; ***New DBPS Course

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED SUPPLY CHAIN MANAGEMENT OPTION
IN THE PH.D. IN BUSINESS ADMINISTRATION

WHEREAS, the Harbert College of Business is committed to offering innovative, nationally ranked academic programs that produce highly desired graduates and generate knowledge that drives industry thought and practice; and

WHEREAS, the Department of Supply Chain Management currently oversees the undergraduate and graduate degrees in the field of Supply Chain Management as well as the Center for Supply Chain Innovation; and

WHEREAS, the Supply Chain Management programs are continually ranked among the best in the world for empirical research, indicating that the department is well-positioned to capitalize on the need for producing talented scholars; and

WHEREAS, the Harbert College of Business has proposed the establishment of a new option in Supply Chain Management within the existing Ph.D. in Business Administration to provide a terminal degree in an area of significant and increasing importance; and

WHEREAS, the formation of a new option in Supply Chain Management will provide opportunities to enhance the department's commitment to the research and advancement of supply chain innovation and will produce graduates prepared for advanced leadership and analytical roles in academia, industry, consultancy, and public service; and

WHEREAS, the proposal to establish an option in Supply Chain Management within the existing Ph.D. in Business Administration has been endorsed by the Harbert College of Business, the Interim Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed creation of an option in Supply Chain Management within the existing Ph.D. in Business Administration within the Harbert College of Business be approved and reported to the Alabama Commission on Higher Education for review and approval.



AUBURN UNIVERSITY

OFFICE OF THE PROVOST

MEMORANDUM TO: Christopher B. Roberts
President

THROUGH: Ronald Burgess
Executive Vice President

FROM: Vini Nathan
Interim Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees – Proposed Supply Chain
Management Option in the Ph.D. in Business Administration

DATE: March 9, 2023

I request that the following item be added to the Board of Trustees' agenda for the April 14, 2023, meeting.

Proposal: The Harbert College of Business proposes the addition of a Supply Chain Management Option to the existing Ph.D. in Business Administration.

Review and Consultation: Faculty in the Department of Supply Chain Management are proposing the establishment of a new Supply Chain Management Option in the existing Ph.D. in Business Administration for students interested in an advanced study focusing on the theory and research necessary to cultivate leading scholars in the field. As supply chain management remains a critical component of modern business, the demand for qualified researchers and subject-matter experts has substantially increased, resulting in the need for accredited programs that offer current, relevant, globally oriented, and technologically innovative curricula.

The department currently offers bachelor's and master's degrees in supply chain management and oversees the Center for Supply Chain Innovation, which has grown in size and stature in recent years. Auburn's supply chain programs are continually ranked among the best in the world for empirical research, indicating that the department is well-positioned to capitalize on the need for producing talented scholars. Currently, the unit's doctoral students are housed in the Department of Systems and Technology; however, with the increased demand for the program, the department must establish a separate doctoral option to emphasize research associated with the strategic areas of supply chain management, including logistics, procurement, and operations.

There are no other Supply Chain Management Ph.D. programs in Alabama, Mississippi, or Florida. Only two universities in the state have programs with content that overlaps with the proposed degree option; however, neither offers doctoral programs with a curricular emphasis on supply chain management. Anticipated enrollment for the program is six to 10 students during the first year, with considerable growth expected during subsequent years. In addition to current faculty, the college will provide the resources required to offer the program.

Recommendation: It is recommended that the Board of Trustees approve the proposed Supply Chain Management Option in the Ph.D. in Business Administration. The degree option was reviewed and approved by Auburn University's Graduate Council in Spring 2023 and by the Harbert College of Business. The proposed degree option will be forwarded to the Alabama Commission on Higher Education for review and approved.



Harbert College of Business
Department of Supply Chain
Management

405 W. Magnolia Ave., Suite 403
Auburn, AL 36849
P: 334-844-4908

auburn.edu

Approved. March 14, 2023

Vini Nathan

TO: Vini Nathan
Provost & Senior Vice President for Academic Affairs

THROUGH: George Flowers
Dean, Graduate School (if appropriate) *George T. Flowers*

THROUGH: Joe Hanna
Interim Dean, Harbert College of Business *Joe Hanna*

FROM: Glenn Richey
Chair, Department of Supply Chain Management *Glenn Richey*

DATE: March 13, 2023

SUBJECT: Proposed Supply Chain Management Option in the Ph.D. in Business Administration

We request that the following proposal be added to the Board of Trustees' agenda for their April 2023 meeting.

Program Description:

The Supply Chain Management (SCMN) Option in the Ph.D. in Business Administration degree program is designed primarily for individuals aspiring for careers as Tenure Track faculty in Carnegie Research 1 and similar institutions of higher education. By the completion of this Ph.D. option, individuals should have a solid understanding of the skills needed to identify problems in SCM, the established and emergent theories in the field, and the latest methodological skills needed to address such problems.

The primary purpose of the Ph.D. program in Business Administration with an option in Supply Chain Management is to prepare individuals for academic careers in research, teaching, and service at universities. Students seeking admission into the doctoral program should have the interest and commitment to pursue the knowledge and skills necessary for academic careers at leading universities and institutions. This should include possessing an inquisitive nature to learn about research methods and statistical techniques designed to prepare an individual to conduct high-quality research to advance their chosen discipline.

This proposal is a minor change. For well over a decade, the Harbert College of Business has had a supply chain management track offered to students through the Information Systems option of the Ph.D. in Business Administration program. With the recent creation of a Department of Supply Chain Management in the College, the department seeks the creation of a separate Ph.D. option in SCMN to better accommodate the needs of current and future Ph.D. students pursuing a doctoral education in the SCMN discipline.

Justification for Program:

Supply Chain Management continues to be a critical element of modern business enterprises, especially in the post-pandemic era. Several large organizations and governmental entities including the European Parliament Committee on International Trade, the U.S. Office of the President, and governments of countries such as the U.K., France, Italy, and Germany have all indicated plans to create more resilient supply chains moving forward. Standard 4 of the AACSB Curriculum Standards for Business Schools specifies that schools should deliver content that is current, relevant, forward-looking, globally oriented, and grounded in emerging technology. It, therefore, stands to reason, that Supply Chain Management education is going to continue to be a critical growth area for AACSB accredited schools, moving forward.

The doctoral program track for SCMN is currently administered through the Information Systems option of the Ph.D. program which is housed in the Department of Business Analytics and Information Systems (BASY). This reason for administering the SCMN Ph.D. track through the Information Systems Ph.D. option is because prior to 2020, all the college's supply chain management programs were housed and offered through a multi-disciplinary department. In 2020, the Department of Supply Chain Management (SCMN) was created, however, the SCMN Ph.D. track remained in the former department that previously housed all the SCMN programs.

There are currently several SCMN Ph.D. students enrolled and progressing through the program. It has become necessary and appropriate for the college to create a formal option within the new SCMN department to replace the current practice of having SCMN doctoral students managed through the Information Systems option of the Ph.D. program. This will allow faculty of the Department of Supply Chain Management who are responsible for working with SCMN Ph.D. students to properly govern the admissions, curricula, examinations, and general oversight of the program.

Need for the Program in the State of Alabama:

There are no other doctoral programs dedicated to SCMN in the state of Alabama. Only two programs in the state have a relatively minor overlap with this program. First, The University of Alabama offers a program in *Operations Management* which has a single 3-credit hour course in Supply Chain Modeling and Analysis. Second, The University of South Alabama offers an Executive / Distance Ph.D. in Marketing under which a student may choose a SCMN specialization. However, this program is largely focused on non-traditional and/or part-time students. The formalization of a SCMN concentration will help assure that aspiring researchers in the state will continue to have access to this type of high-quality educational opportunity.

Employment Opportunities:

AACSB continues to report a serious shortage of terminally qualified faculty in accredited business schools. Therefore, it is anticipated that graduates of this program will help ameliorate this scarcity by competing to fill positions as Tenure Track faculty at four-year institutions of higher education. Additionally, it is also possible that some graduates may fill capacity shortages in senior management and analyst roles in the private sector which could include consulting and similar types of private sector / corporate opportunities.

Student Demand/Enrollment Projections:

We anticipate enrolling 1-2 students per year in the program. Given the expected 3–4 year duration of the program, we anticipate a total student cohort of 6-8. This is consistent with past practice of the SCMN track of the Ph.D. program and is also consistent with other Ph.D. program options in the Harbert College of Business (e.g., Finance, Information Systems and Management), as well as other leading universities in SCMN (e.g., University of Arkansas, University of Tennessee, Michigan State University).

Resource Requirements:

Given the already existing SCMN Ph.D. track, we do not anticipate an immediate need for additional (new) faculty resources. The SCMN program is already supporting several doctoral students through the existing Ph.D. structure so the formal creation of this SCMN option should not impose any significant additional demands on the Department of Supply Chain Management (SCMN). The creation of the SCMN Ph.D. option merely allows for: 1) better alignment between the Ph.D. SCMN option and the faculty responsible for the option, 2) current and future students to be housed in the (recently created) SCMN department that is now responsible for their doctoral SCMN education, and 3) better alignment of the governance of the program with SCMN faculty responsible for administering the curriculum.

Relationship to Other Programs:

As stated above, the SCMN department currently manages and supports several doctoral students through the current structure. The creation of a SCMN Ph.D. option will formalize the existing relationships. While it is not necessary to rely on formal collaboration with any other institutions to administer the program, we will likely continue to bring in external guest speakers to provide students with key insights into cutting-edge scholarship being conducted by faculty of other institutions.

Recommendation:

We recommend that the proposed Supply Chain Management Option in the Ph.D. in Business Administration program be approved by the Offices of the Provost and the President and forwarded to the Board of Trustees and the Alabama Commission on Higher Education for review and approval.

Program Requirements

Program Structure: Ph.D. students are required to complete a minimum of 60 hours of coursework, including 18 Research and Dissertation hours. *This structure is highly consistent with the existing Ph.D. (Business) concentrations.* The general structure is presented below.

Year 1 - Semester 1

Code	Title	Hours
<u>ISMN 8010</u>	IS Management Research Sem. I	3
<u>MNGT 8030</u>	Research Methods in Management I	3
<u>SCMN 8900</u>	Directed Studies	3

Year 1 - Semester 2

Code	Title	Hours
<u>MNGT 8400</u>	Advanced Quantitative Methods for Mngt. I	4
<u>SCMN 7900</u>	Directed Studies	3
<u>MNGT 7970</u>	Special Topics in Management	3

Year 1 - Summer

Research Project (data collection and analysis) - 3 hours.
 Outcome Paper 1 - Extended abstract conference submission or Category 1 journal

Title	Hours
Summer Research Project	3

Year 2 - Semester 3

Code	Title	Hours
<u>ERMA 7200</u>	Basic Methods in Education Research	3
<u>SCMN 8900</u>	Directed Studies	3
Research Methods - select 1 class		3
<u>HDFS 8090</u>	Qualitative Methods in the Social Sciences	
<u>PSYC 7270</u>	Experimental Design in Psychology	
<u>HDFS 8060</u>	Multilevel Modeling	
<u>ERMA 7400</u>	Mixed Methods Research	

Year 2 - Semester 4

Code	Title	Hours
<u>MNGT 8310</u>	Seminar in Advanced Organizational Behavior	3

SCMN Ph.D. Concentration- Curriculum Model

Code	Title	Hours
<u>SCMN 7900</u>	Directed Studies	3
Research Methods - select 1 class		3
<u>HDFS 8090</u>	Qualitative Methods in the Social Sciences	
<u>PSYC 7270</u>	Experimental Design in Psychology	
<u>HDFS 8060</u>	Multilevel Modeling	
<u>ERMA 7400</u>	Mixed Methods Research	

Year 2 - Summer

Research Project (data collection and analysis) - 3 hours.
 Outcome Paper 2 - Elite journal; prepare for comprehensive exam.

Title	Hours
Summer Research Project	3

Year 3 - Semester 5 and 6: Research and Dissertation Hours

Code	Title	Hours
<u>SCMN 8990</u>	Research and Dissertation	9

Year 4 - Semester 7 and 8: Research and Dissertation Hours

Code	Title	Hours
<u>SCMN 8990</u>	Research and Dissertation	9

Total Minimum Hours - 60 hours



AUBURN UNIVERSITY

OFFICE OF THE PROVOST

MEMORANDUM TO: Christopher B. Roberts
President

THROUGH: Ronald Burgess
Executive Vice President

FROM: Vini Nathan
Interim Provost and Vice President for Academic Affairs

SUBJECT: Agenda Item for the Board of Trustees – Information Item

DATE: March 9, 2023

I request that the following information items be added to the Board of Trustees' agenda for the April 14, 2023, meeting.

The following academic item has been approved for implementation by the Provost's Office. While it does not require formal approval by the Board of Trustees, it has received the support of the appropriate college, the University Curriculum Committee, the Graduate Council, and the Provost's Office. Following the Board of Trustees meeting, this item will be forwarded to the Alabama Commission on Higher Education for appropriate notification.

Conversion of Graduate Degree Nomenclature

1. MS in Business Administration, Finance Option, Harbert College of Business

The Department of Finance offers an MS in Business Administration with a Finance Option. The Department proposes converting the MSBA – Finance Option to a formal MS in Finance and reclassifying the CIP Code from 52.0201 (business administration) to 52.0801 (finance). The conversion in degree nomenclature and CIP Code aligns the program with peer and aspirant institutions and more accurately reflects the current curriculum, better supporting the expectations of degree applicants, current students, and graduates. The program option has awarded over 130 degrees in the past five years and is expected to continue excelling as an MS in Finance.



AUBURN UNIVERSITY

OFFICE OF THE PROVOST

Item of Information

Conversion of Graduate Degree Nomenclature

MS in Business Administration – Finance Option

The Department of Finance in the Harbert College of Business currently offers an MS in Business Administration (MSBA) with a Finance Option. The Department proposes to convert the MSBA – Finance Option to an MS in Finance and to reclassify the CIP Code from 52.0201 (business administration) to 52.0801 (finance). This conversion in degree nomenclature and CIP Code will bring the program in line with peer and aspirant institutions, will more accurately reflect the curriculum as it has been offered for many years, and will better match expectations of degree applicants, current students, and graduates. The program option is successful – granting over 130 degrees in the past five years – and should continue to excel in the future as an MS in Finance. The conversion proposal has been reviewed and approved by appropriate faculty and university committees and the Provost.

**EXECUTIVE COMMITTEE AGENDA
APRIL 14, 2023 BOARD MEETING
AUBURN UNIVERSITY BOARD OF TRUSTEES**

1. Proposed Name Change of and Revisions to Board of Trustees Policy C-7: Scientific Misconduct Policy (Vini Nathan)
2. Hardscape and Patio Improvements and Addition of Aubie Figure at the Harold D. Melton Student Center at Auburn University (John Morris)
3. Proposed Awards and Namings (Wayne Smith)

Committee Chairperson: Mr. Wayne Smith

Committee Members: Mr. Michael DeMaioribus

President Pro Tempore Robert Dumas

Mr. Raymond Harbert

Mr. James Rane

Vice President Pro Tempore Quentin Riggins

EXECUTIVE COMMITTEE

RESOLUTION

PROPOSED NAME CHANGE OF AND REVISIONS TO
BOARD OF TRUSTEES POLICY C-7:
SCIENTIFIC MISCONDUCT POLICY

WHEREAS, the Public Health Service (PHS) Final Rule (42 CFR Part 93), the National Science Foundation (NSF) Final Rule (45 CFR Part 689), the United States Department of Agriculture (USDA) Final Rule (2 CFR Part 422) require that institutions receiving or requesting funds from PHS, NSF, and USDA establish policies for reporting and responding to allegations of research misconduct; and

WHEREAS, PHS, NSF, and USDA have studied the current Board of Trustees Policy C-7: Scientific Misconduct Policy (Board Policy C-7), and have requested that certain revisions, updates, and additions be made to the policy in order to be in compliance with all of the requirements in 42 CFR Part 93, 45 CFR Part 689, and 2 CFR Part 422; and

WHEREAS, PHS Final Rule 42 CFR Part 93 requires Auburn University to renew its research misconduct assurance no later than April 30, 2023 to remain eligible to apply for or receive PHS funds; and

WHEREAS, the Office of the Vice President for Research & Economic Development subsequently proposed revisions to Board Policy C-7 which incorporate the PHS, NSF, and USDA requested changes for reporting and responding to possible misconduct, and is recommended by the President; and

WHEREAS, the Board of Trustees deems it to be in the best interest of the institution that the proposed revisions to the policy be adopted.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the existing Board Policy C-7 is hereby revised as attached hereto in Exhibit 1, effective immediately.

BE IT FURTHER RESOLVED that the title of Board Policy C-7 is hereby revised and hereafter referred to as the Research Misconduct Policy, in adherence of federal standards.

BE IT FURTHER RESOLVED that Board Policy C-7 now authorizes the President, or such other person as may be acting as President, to maintain and implement future modifications to the procedures of the policy.

BE IT FURTHER RESOLVED that the newly revised Board Policy C-7, as shown in Exhibit 1, be placed in the formatting depicted in the Board of Trustees Policies and Procedures Manual.

Auburn University
Policy on Research Misconduct

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I. Policy Statement

Auburn University supports an environment of research integrity committed to honesty, transparency, and the highest ethical standards in all research endeavors. All members of the University engaged in research must adhere to these standards and follow these policies and procedures to protect the accuracy and reliability of the research record and published research results.

With this policy the University confirms its culture of accountability, honesty, and trust to ensure researchers work toward rigorous research that promotes scientific advancements for the greater good of society and maintains trust in research.

This policy provides a framework to resolve allegations of research misconduct as timely as possible while protecting the rights and integrity of all individuals involved. The policy is governed by federal, state, and local laws and regulations, which provide the regulatory authority for this policy. As a recipient of federal research funds, the University considers prevention and detection of research misconduct a priority and must have policies and procedures compliant with federal regulations that address allegations of research misconduct. See section II. B. 1. and section V. for the definition of Research Misconduct.

II. Policy Principles

A. Allegations

1. All members of the University community have a responsibility to report observed, suspected or apparent research misconduct.
2. Allegations of research misconduct may be filed by anyone internal or external to the University.
3. Allegations of research misconduct must be filed with the appropriate University officials and should be directed to the Research Integrity Officer. Any member of the University who receives an allegation of research misconduct must promptly notify the Research Integrity Officer. If unsure whether an incident(s) is or may be research misconduct, the Research Integrity Officer should be consulted.
4. Allegations of research misconduct are preferred to be filed in writing, however, may be filed verbally. Allegations of research misconduct may be reported on the University's secure anonymous electronic reporting system.
5. Anonymous allegations of research misconduct may be made, however must include sufficient details and evidence to determine whether an inquiry should be made into the allegation.
6. Allegations of research misconduct should be based on facts and provide credible, specific evidence including the name of the Respondent(s), details of the allegation(s) and any evidence.
7. Should allegations be made against more than one individual, these will be considered as separate allegations and separate decisions will be reached regarding each person.
8. Allegations of research misconduct are serious charges and are expected to be made in good faith. Allegations not made in good faith may result in disciplinary action under other University policies.

B. Scope

1. This policy only applies to research misconduct, not other forms of misconduct. Research misconduct is fabrication, falsification, or plagiarism in proposing, performing, or reviewing research, or reporting research results. Research misconduct does not include honest error or differences of opinion.
2. This policy applies to all research conducted under the auspices of the University by faculty, visiting faculty and scientists, post-doctoral candidates, graduate and undergraduate students, and staff. See section X. Exclusions.
3. This policy applies regardless of funding source including unfunded research.
4. The University shall diligently pursue all significant issues related to the allegations throughout all phases of the research misconduct proceedings.
5. Should additional related allegations arise during any portion of the research misconduct proceedings the scope may be broadened beyond the initial allegation. Appropriate parties will be notified of the additional allegations according to procedures.

C. Duty to Cooperate

1. All members of the University community, including Complainants, Respondents and Witnesses, shall cooperate in all phases of research misconduct proceedings.
2. All members of the University community, including Complainants, Respondents and Witnesses, shall promptly provide all requested materials related to all research misconduct proceedings.
3. Research records resulting from research awarded and/or conducted at the University are the property of the University and employees cannot interfere with the University's right to access these records.

D. Safeguards**1. Confidentiality**

- a. To the extent possible as allowed by law and to conduct thorough and fair proceedings, the identity of Complainant(s), Respondent(s) and Witnesses shall be limited to those persons who need to know. The University may disclose the identity of the Respondent and Complainant to federal agencies as required by regulations.
- b. Written materials, evidence and information regarding all research misconduct proceedings shall be kept confidential to the extent allowed by law and necessary to conduct thorough and fair proceedings.
- c. All members of the University community, including Complainant, Respondent, Witnesses, and committee members shall maintain strict confidentiality of all research misconduct proceedings.
- d. The University may be required to release information about the alleged research misconduct and will release such information according to applicable laws and after consultation with General Counsel.
- e. The University may communicate information about the alleged research misconduct to individuals outside the University to conduct a thorough investigation and secure experts in the field to assist in the research misconduct proceedings. Outside experts obtained by the University will be held to the same confidentiality standards as members of the University community regarding the alleged research misconduct.

- f. The University is not prohibited from disclosing information regarding the alleged research misconduct on a need-to-know basis to those individuals responsible for oversight of Respondent's research or to other University officials such as supervisors, department chairs and deans. The Research Integrity Officer may determine when the release of information regarding the alleged research misconduct is necessary or appropriate.
 - g. If confidentiality is breached by the University, the University shall take such reasonable steps as are requested to minimize the damage to reputations that may result from unsupported allegations.
2. Conflicts of Interest
- a. All individuals involved in research misconduct proceedings must disclose to the Research Integrity Officer any potential, actual or perceived conflicts of interest that exist or arise at any point in the proceedings.
 - b. A conflict of interest exists in a research misconduct proceeding when an individual involved in the proceedings or resolution of the allegation has a potential, actual or perceived financial, personal, or professional interest.
 - i. Examples of conflicts of interest may include but are not limited to family members including children, stepchildren, parents, siblings, aunts, uncles, nieces, nephews first cousins; spouses or partner relationships to the fourth degree of affinity or consanguinity, meaning by marriage or by blood. Other examples of conflicts of interest may include but are not limited to other close personal relationships; supervisors or subordinates; co-authorships; collaborators; intellectual property interests; and other financial interests.
 - ii. The dean or department chair of a Respondent or Complainant does not in and of itself constitute a conflict of interest under this policy.
 - c. The Research Integrity Officer in consultation with the Office of Research Compliance evaluates all potential, actual or perceived conflicts of interest in research misconduct proceedings and designates a replacement if needed.
3. Retaliation
- a. The University does not tolerate any form of retaliation against any individual participating in a research misconduct proceeding.
 - b. The University makes every reasonable and practical effort to protect the reputations and positions of Complainants who submit good faith allegations, Witnesses, committee members, Respondents, others involved in processing allegations from any retaliation by other members of the University community.
 - c. The University will take reasonable and practical steps to protect or restore the position and reputation of any Complainants, Witnesses, committee members, or others involved in processing allegations upon finding of retaliation by Respondent or other members of the University community.
 - d. Retaliation by members of the University community will be referred for appropriate disciplinary action.

E. Corrective Actions and Sanctions

1. Corrective actions may be implemented by the University at any stage of the research misconduct proceedings. Corrective actions may include but are not limited to counseling, training, oversight of research and data monitoring.
 2. The University may take appropriate action at any stage of the research misconduct proceedings to protect the health or safety of humans, welfare of animals, integrity of the research, research funding, equipment, the environment, or to mitigate other real or potential adverse effects, as necessary.
 3. The University may impose sanctions upon a finding of research misconduct. Sanctions may include, but are not limited to verbal reprimands, written reprimands, monitoring of work, removal from a project or projects, reassignment of duties or privileges, suspension, and termination of University employment. Disciplinary action will be implemented in accordance with the University policies and procedures applicable to the Respondent's position.
 4. At the conclusion of the University investigation process, Respondent(s) may appeal a finding of research misconduct to the Research Integrity Officer (RIO). See Auburn University Research Misconduct Policy Procedures.
 5. Funding agencies may impose administrative actions and or sanctions apart from the University's actions and or sanctions at any point in the research misconduct proceedings.
- F. Correction of the Research Record
1. It is the University's responsibility to determine whether correction or retraction of submitted or published work is required to maintain the integrity of the research.
 2. The Respondent will cooperate with University officials, publishers, and sponsors to withdraw, correct or retract submitted or published works that are found to be falsified, fabricated, or plagiarized.
 3. The University may request withdrawal, correction, or retraction of submitted or published work at any time during the research misconduct proceedings where there is clear evidence of falsification, fabrication, or plagiarism.
 4. If there are no research records found or made available to the University to support the submitted or published research, the correction or retraction may occur before a final finding of research misconduct against the respondent has been determined.
- G. Restoring Reputation
1. In publicizing the findings of no misconduct, the University shall be guided by whether public announcements shall be harmful or beneficial in restoring any reputation(s) that may have been damaged. Usually, such decision shall be made in conjunction with the person(s) who was innocently accused.
- H. Termination of Affiliation with the University
1. In the event a Respondent terminates affiliation with the University before the research misconduct is resolved, the research misconduct proceedings will continue according to this policy to the extent possible until a final decision is reached.
- I. Research Misconduct Records
1. All records relating to research misconduct proceedings shall be maintained securely under the supervision of the Research Integrity Officer for a minimum of seven (7) years from the final completion of the research misconduct proceedings by the University or federal agency

or longer as required under the applicable University data and record retention policies or other relevant policies or federal or state regulations.

J. Notification to Funding Agencies

1. The Research Integrity Officer shall at any time during research misconduct proceedings notify the appropriate funding agency immediately if there is reason to believe any of the following:
 - a. Health or safety of the public is at risk including the need to protect human or animal subjects;
 - b. A reasonable indication of possible violation of civil or criminal law exists;
 - c. Funding agency resources or interest are threatened;
 - d. Funding agency action may be necessary to safeguard evidence and protect the rights of those involved; or
 - e. The research community or public should be informed.
2. The Research Integrity Officer shall:
 - a. notify the applicable funding agency(ies) if inquiry determines an investigation is warranted;
 - b. when an investigation is complete, forward to the agency a copy of the evidentiary record, the investigative report, recommendations made to the institution's adjudicating official, and the subject's written response to the recommendations (if any); and
 - c. when the adjudication phase is complete, forward the adjudicating official's decision and notify the agency of any corrective actions taken or planned.

K. Reopening Allegations

1. An allegation of research misconduct that closed with a finding of no research misconduct may be reopened if potential significant evidence or information of research misconduct not previously presented is presented.

L. External Research Misconduct Proceedings

1. Should the University receive a report of an external inquiry or investigation that was conducted by another institution or agency, the Research Integrity Officer will assess the report and may adopt the findings in whole or in part or initiate the University's own research misconduct proceedings.

M. Time Limitations

1. This policy applies to research misconduct occurring within six (6) years of the date the University or agency receives an allegation of research misconduct.
2. The time limit does not apply if:
 - a. The Respondent continues or renews any incident of alleged research misconduct that occurred before the six-year limitation through citation, republication, or other use for the potential benefit of the respondent of the research record that is alleged to have been fabricated, falsified, or plagiarized; or
 - b. The alleged research misconduct would possibly have a substantial adverse effect on the health or safety of the public.

III. Effective Date: April 14, 2023

IV. Applicability

All members of the Auburn University community conducting and involved with any form of research activities including research proposals are required to comply with this policy. This policy and the associated procedures are intended to provide the framework for reporting and conducting research misconduct proceedings. It is not intended to substitute for compliance with the Alabama code of ethics for public officials and employees (Code of Alabama 1975 Title 36, Chapter 25). Further, this policy supports Auburn University's compliance with state and federal regulations including but not limited to those from the Public Health Service, National Science Foundation and United States Department of Agriculture.

V. Policy Management

Responsible Office: Office of the Vice President for Research and Economic Development

Responsible Officer: Research Integrity Officer

Responsible Executive: Senior Vice President for Research and Economic Development

VI. Definitions

Allegation: A disclosure of possible research misconduct through any means of communication.

Complainant: A person who in good faith makes an allegation of research misconduct.

Conflict of Interest: A divergence between a University member's interests and professional obligations to Auburn University, such that an independent observer might reasonably question whether the University member's professional actions or decisions are determined by considerations other than the best interests of the University.

Evidence: Any document, tangible item or testimony offered or obtained during a research misconduct proceeding.

Fabrication: Making up data or results and recording or reporting them.

Falsification: Manipulating research materials, equipment, or processes, or changing or omitting data or results such that the research is not accurately represented in the research record.

Good Faith Allegation: Allegations of research misconduct that a Complainant or Witness believes to be true and that a reasonable person in that position would believe to be true based on the information known at the time.

Inquiry: Preliminary information gathering and preliminary fact finding that meets the criteria of this policy and the procedures of the University's Office of the Vice President for Research.

Intentionally: To act with a specific purpose in mind. Intentionally is synonymous with purposefully or willfully.

Investigation: The formal development of a factual record and the examination of that record leading to a decision not to make a finding of research misconduct or to a recommendation for a finding of research misconduct which may include a recommendation for other appropriate actions, including administrative actions.

Knowingly: To act with an awareness of actions. Knowingly is essentially synonymous with consciously.

Plagiarism: The appropriation of another person's ideas, processes, results, or words without giving appropriate credit.

Preliminary Assessment: An initial review to determine if each allegation fits within the definition of research misconduct and if each allegation is credible and specific so that potential evidence of research misconduct may be identified.

Preponderance of the Evidence: Proof by information that, compared with that opposing it, leads to the conclusion that the fact at issue is more probably true than not.

Recklessly: To use materials with a lack of proper caution and/or show indifference to the risk that the materials may be false, fabricated, or plagiarized. Taking a risk with materials without thinking or caring about the consequences of the action, even if the risk is not fully realized.

Research: A systematic experiment, study, evaluation, demonstration or survey designed to develop or contribute to general knowledge (basic research) or specific knowledge (applied research) relating broadly to public health by establishing, discovering, developing, elucidating or confirming information about, or the underlying mechanism relating to, biological causes, functions or effects, diseases, treatments, or related matters to be studied.

Research Integrity Officer (RIO): The responsible official at the University with the primary responsibility for implementing the research misconduct policy and assuring to federal agencies that the research misconduct policies and procedures of the University comply with federal regulations. The Senior Vice President for Research and Economic Development serves as the Research Integrity Officer, or the individual as otherwise designated by the Senior Vice President for Research and Economic Development to serve as Research Integrity Officer.

Research Misconduct: means fabrication, falsification, or plagiarism in proposing, performing, or reviewing research, or in reporting research results. A finding of research misconduct requires that (1) there be a significant departure from accepted practices of the relevant research community; (2) the misconduct be committed intentionally, knowingly, or recklessly; and (3) the allegation be proven by a preponderance of the evidence. Research misconduct does not include honest error or differences of opinion.

Research Misconduct Proceeding: Any actions related to alleged research misconduct taken under this policy and associated procedures, including but not limited to, allegation assessments, inquiries, and investigations.

Research Record: The record of data or results in any media or format that embody the facts resulting from scientific inquiry, including but not limited to, research grant or contract proposals or applications whether funded or unfunded; laboratory records, both physical and electronic; progress reports;

abstracts; theses; oral presentations; internal reports; notes; correspondence; manuscripts, publications and journal articles; videos; photographs; slides; biological materials; computer files; human and animal subject protocols; consent forms; and any documents and materials provided by a Respondent or other individual in the course of the research misconduct proceedings.

Respondent: The person against whom an allegation of research misconduct is directed or who is the subject of a research misconduct proceeding.

Retaliation: An adverse action taken against any individual because of participation in a research misconduct proceeding.

University Community: All faculty, staff, postdoctoral fellows, graduate, and undergraduate students, visiting faculty and scientists and volunteers.

University Officials: The University President, Vice Presidents, Provost, Deans, Associate Deans, Department Chairs/Heads, Directors, Supervisors and Research Integrity Officer.

Witness: An individual who personally sees or perceives research misconduct or has relevant information related to the research misconduct proceedings and is called to testify to what has been seen, heard, or otherwise observed.

VII. Policy Procedures

Auburn University Office of the Vice President for Research develops and manages procedures for handling research misconduct allegations and the process. These procedures include but are not limited to the following: Preliminary Assessment of Allegations; Sequestration; Notification of Respondent; Inquiry Procedures; Investigation Procedures; Admissions; Appeals; Sanctions; Reports to Funding Agencies; and False Accusations. See Auburn University Research Misconduct Policy Procedures.

VIII. Appeals

At the conclusion of the investigation process, Respondent(s) may appeal a finding of research misconduct to the Research Integrity Officer (RIO). See Auburn University Research Misconduct Policy Procedures.

IX. Sanctions

Following a finding of research misconduct the University may impose sanctions on the Respondent. Temporary measures, such as suspension of specific research activities, may be taken by the University during an investigation if warranted. Sanctions will be commensurate with the severity of the research misconduct. Sanctions may include but are not limited to verbal or written reprimand, reassignment of duties or privileges, or termination of affiliation with the University. Disciplinary action will be implemented in accordance with the University policies and procedures applicable to the Respondent's position.

X. Exclusions

This policy does not cover honest error, differences of opinion or authorship disputes unless they involve plagiarism. This policy does not apply to research undertaken in fulfillment of course requirements unless there is an expectation of publication or dissemination outside the University of such results.

XI. Interpretation

The authorized institutional representative is, for the purposes of this policy, the Senior Vice President for Research and Economic Development.

XII. Regulatory Authority

42 CFR Part 93; 45 CFR Part 689; 2 CFR Part 422; 2 CFR 910.132; 14 CFR Part 1275; 65 FR 76260

Office of the Vice President for Research
Research Misconduct Policy Procedures

Procedures Statement: With the approval of the President, these procedures are the processes established by the Office of the Vice President for Research pursuant to Auburn University's Research Misconduct Policy. It is the policy of Auburn University to inquire into and, if necessary, investigate and resolve promptly and fairly all instances of alleged research misconduct.

Purpose: As a recipient of federal research funds, Auburn University must have institutional policies and procedures in place to address allegations of research misconduct.

Approval: The President and the Senior Vice President for Research

Applies To: Faculty, visiting faculty and scientists, post-doctoral candidates, graduate and undergraduate students, staff, and all other members of Auburn University's research community.

Contact: Office of Research Compliance

Date:

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Office of the Vice Research for Research

Procedure

Preliminary Assessment of Allegations

The Office of the Vice President Research follows these steps in making an initial assessment of research misconduct allegations. The Office of Research Compliance in consultation with the Research Integrity Officer may change or modify these procedures as necessary within regulatory requirements to preserve the integrity of the research and/or conduct the research misconduct proceedings efficiently.

1. When an allegation(s) of research misconduct is brought to the Research Integrity Officer, the Research Integrity Officer may consult with the Office of Research Compliance and others if warranted and shall conduct a preliminary assessment to determine if the allegation(s):
 - a. Fits within the definition of research misconduct under the University Policy on Research Misconduct;
 - b. Is sufficiently credible and specific so that potential evidence of research misconduct may be identified;
 - c. Involves federal, non-federal or no external funds and
 - d. Is within the six-year time limit as described in the University Policy on Research Misconduct. Any allegation that is outside the six-year time limit and does not meet an exception to the six-year time limit will be dismissed.
2. If the Research Integrity Officer determines at the conclusion of the preliminary assessment the allegation(s) does not fit within the definition of research misconduct or is not sufficiently credible or specific so that potential evidence of research misconduct may be identified, and no inquiry is warranted the Research Integrity Officer shall:
 - a. Prepare a written summary of the preliminary assessment findings to be maintained by the Office of Research Compliance;
 - b. Notify the Complainant, if known, of the decision;
 - c. Notify Respondent of the decision only if Respondent was aware of the allegation; and
 - d. Notify other University officials as appropriate.
3. If the Research Integrity Officer determines the allegation(s) is appropriate for consideration through the research misconduct proceedings the Research Integrity Officer shall:
 - a. Determine whether emergency, interim, or other appropriate institutional notifications or actions need to be taken;
 - b. Direct the Office of Research Compliance to immediately sequester all research records, documents and evidence related to the allegation(s); (See Office of Vice President for Research Sequestration Procedures)
 - c. Notify the Respondent in writing of the allegation(s) and initiation of the inquiry at the time of sequestration or as soon following as possible to preserve evidence;
 - d. Appoint members to serve on the inquiry committee who have sufficient expertise in the subject matter and do not have personal, professional, or financial conflicts of interest involving the allegation(s); and
 - e. Notify the University President, General Counsel, and other University officials as appropriate of the decision to initiate an inquiry.

4. If the allegation does not name a specific respondent, the Research Integrity Officer will determine, in consultation with the appropriate University officials, to the extent possible, the roles and responsibilities of the individuals involved in the questioned research in order to name one or more Respondents.
5. Every effort will be made to complete the preliminary assessment in a timely manner as soon as practicable, depending on the complexity of the allegation.
6. The Research Integrity Officer shall make reasonable efforts to protect the privacy of those who in good faith report alleged misconduct, to afford confidential treatment to the accused and to avoid unwarranted publicity regarding unverified allegations.

Office of Vice President for Research

Procedure

Sequestration

The Research Integrity Officer delegates and directs sequestration of relevant research records to the Office of Research Compliance, which is granted sequestration authority in federal regulations (e.g., 42 CFR 93; 2 CFR 422). Research records resulting from research awarded to and/or conducted by the University are the property of the University and employees cannot interfere with the University's right to access these records.

The Office of Research Compliance follows these steps to obtain custody, inventory and secure all evidence related to allegations of research misconduct. The Office of Research Compliance in consultation with the Research Integrity Officer may change or modify these procedures as necessary within regulatory requirements to preserve the integrity of the research and/or conduct the research misconduct proceedings efficiently.

1. Sequestration of all relevant research records and evidence takes place on or before notification to the Respondent(s).
2. Prior to notification of Respondent(s) the Research Integrity Officer and/or Office of Research Compliance will:
 - a. Assess the specific electronic data to sequester, the format of the data and how it will be stored;
 - b. Contact the college department Information Technology to determine how many and what types of computers systems, servers, networked laboratory equipment, etc., Respondent(s) uses
3. The Office of Research Compliance consults with General Counsel, Information Technology, Division of Compliance and Privacy, and other University units, and, if warranted, may include confidential consults with Respondent's supervisor to determine the best method for obtaining the evidence and protecting integrity and confidentiality in a timely and efficient manner.
4. Prior to notification of Respondent(s), Information Technology remotely secures all electronic and computer evidence and secures all system backups and looks for any erased evidence.
5. For University email accounts of Respondent(s) and others with relevant information, the Office of Research Compliance as delegated by the Research Integrity Officer sends a notification to General Counsel requesting a litigation hold be placed on the email account(s) of Respondent(s) and others with relevant information prior to notification of Respondent(s). The request will state that the hold be done without notice to the Respondent(s) and others and will be done in the background with no impact on the individuals. The Office of Research Compliance is given access to the contents of sequestered emails and data stored in the Cloud.
6. The Office of Research Compliance determines what physical items need to be sequestered and assembles support materials needed for sequestration of physical evidence including, but not limited to evidence log sheets, chain of custody forms (IT may have separate chain of custody documents), boxes, labels, markers, pens, tape, rubber bands, folders, envelopes, paper, sticky notes, thumb drives, digital camera, and any other items that may be needed in the

sequestration of physical items. Arrangements will be made for specialized items, for example refrigerators for storage of samples.

7. The Office of Research Compliance assembles a team of individuals, including Information Technology, to assist with the physical sequestration. At least two individuals should be present during sequestration of physical items. Instructions will be given to the team on the procedures to be followed including the confidentiality of the process, location, and a listing of the items to be sequestered. All potential evidence including collateral evidence in logs, order forms and notes should be collected.
8. When notice of sequestration is given, the Office of Research Compliance will ask the Respondent(s) for cooperation in identifying evidence related to the allegation. It will be explained that evidence offered later in the research misconduct process may be given less weight and it is important that all relevant evidence be obtained and preserved initially.
9. During the physical sequestration, Information Technology will make forensic images of any computer systems that could not be remotely imaged before the sequestration. This may include laptops, standalone equipment, phones, or other systems not connected to the University network. Information Technology will pull the hard drives for imaging, documenting the location, serial number, inventory number and take a photograph. Data will be captured from any machines where devices cannot be removed. Encryption status will be determined on all systems as decryption keys may be needed. If Information Technology is unable to make forensic copies the hard drive will be removed, and OACP will make a cloned image of each hard drive in addition to the Forensic Image for use by the Research Integrity Officer and Inquiry/Investigation committees.
10. Employee personal devices that contain University data may be imaged without consent as the data is owned by the University. For obtaining data on personal devices of students, consult with General Counsel.
11. Physical items should be labeled and documented on evidence log sheets with a brief description and location of the item. Photographs may be taken. The signature of the individual providing the items should be obtained on each sheet with the date and time. The individual collecting the items should counter sign each sheet with date and time. A copy of each sheet should be provided to the Respondent and the individual providing the items if different from Respondent. Chain of custody forms may also be used by Information Technology and should follow these procedures.
12. After physical evidence is collected it is secured by the Office of Research Compliance in an area with access that is limited to specific Office of Research Compliance personnel. Access to the evidence is only under close supervision of the Office of Research Compliance and will be documented.
13. If Respondent identifies evidence essential for the continued operation of the research, copies or samples may be provided.
14. Working copies of the evidence may be provided to committee members, experts, witnesses, and Respondent as appropriate. All copies will be marked as such and who receives copies will be documented. All copies should be returned to Office of Research Compliance when no longer used. Copies may be destroyed by Office of Research Compliance when the research misconduct process is completed provided original copies are maintained.

15. Evidence will be maintained for a minimum of seven (7) years from the final completion of the research misconduct process, including the conclusion of all appeals and actions by funding agencies.

Office of the Vice Research for Research

Procedure

Notification of Respondent

The Office of the Vice President for Research follows these steps in notifying the Respondent of research misconduct allegations made against Respondent, research misconduct proceedings, and conclusions. The Office of Research Compliance in consultation with the Research Integrity Officer may change or modify these procedures within regulatory requirements as necessary to preserve the integrity of the research and/or conduct the research misconduct proceedings efficiently.

1. At the time of or before beginning an inquiry, the Research Integrity Officer shall provide written notice to Respondent(s) that an inquiry will be conducted. The notice should include details of the allegation(s).
2. To the extent the University has not already obtained all relevant research records and evidence, the written notice to Respondent(s) of the inquiry shall occur following the University obtaining these items. (See Sequestration Procedures)
3. At the completion of the inquiry the Research Integrity Officer must notify the Respondent in writing whether the inquiry found that an investigation is warranted. The notice must include a copy of the inquiry report and a copy of the University's policies and procedures on research misconduct.
4. The Office of Research Compliance may, at the discretion of the Research Integrity Officer, notify the Complainant whether the inquiry found that an investigation is warranted and may provide relevant portions of the inquiry report to Complainant.
5. The Research Integrity Officer shall notify the Respondent within a reasonable amount of time after determining that an investigation is warranted, but before the investigation begins. The notice must include all known allegations in the investigation.
6. The Research Integrity Officer must give Respondent written notice of any new allegations of research misconduct within a reasonable amount of time of deciding to pursue allegations not addressed during the inquiry or in the initial notice of investigation.

Office of the Vice President Research

Procedure

Inquiry

The Office of Research Compliance follows these steps when the Research Integrity Officer has completed a preliminary assessment of the research misconduct allegation and determined an inquiry should be conducted. The Office of Research Compliance in consultation with the Research Integrity Officer may change or modify these procedures within regulatory requirements as necessary to preserve the integrity of the research and/or conduct the research misconduct proceedings efficiently.

1. The purpose of the inquiry is to conduct an initial review of the evidence to determine if an allegation of research misconduct has substance to warrant an investigation. An inquiry does not require a full review of all evidence related to the allegation and does not determine whether research misconduct has occurred.
2. Appointment of Inquiry Committee Members
 - a. The Research Integrity Officer will appoint an inquiry committee consisting of two or more individuals together with such technical, administrative, or other staff as may be deemed appropriate. The Research Integrity Officer may designate a committee chair from the committee members. The Office of Research Compliance shall prepare letters of appointment to the Inquiry Committee members.
 - b. In selecting members of the Inquiry Committee, the Research Integrity Officer seeks to ensure the committee has the appropriate expertise in relevant disciplines and has an appropriate understanding of the process and procedures that must be followed.
 - c. Individuals selected to serve on the Inquiry Committee or to provide professional assistance to the Inquiry Committee will be expected to disclose to the Research Integrity Officer any factors, including but not limited to unresolved personal, professional, or financial conflicts of interest in accord with University policies, which would prevent them from serving fairly, objectively, and without bias, or which reasonably would give the appearance of a lack of fairness, lack of objectivity, or the presence of bias.
 - d. All persons who participate in the Inquiry as committee members, administrative or clerical staff, witnesses, or in any other capacity shall maintain the confidentiality of the Inquiry and of all information obtained during the Inquiry, except as may be necessary in conjunction with the conduct of the Inquiry, including subsequent related reports or proceedings, reports to officers of the University, and to the relevant oversight agency or funding entity, as required by law or contract.
 - e. The Research Integrity Officer, in consultation with the Inquiry Committee, may add or replace members of the Inquiry Committee as needed to ensure the timely completion of the Inquiry and the committee's competence to review the allegations.
 - f. The Research Integrity Officer will be responsible for making available to the Inquiry Committee appropriate administrative and clerical assistance to facilitate a prompt and thorough inquiry and the preparation of an appropriate report.

- g. The Research Integrity Officer shall notify the Respondent and Complainant, if known, in writing of the decision to conduct the inquiry according to the Office of the Vice President for Research procedures.
3. Inquiry Committee Charge
- a. The Research Integrity Officer will prepare a charge for the Inquiry Committee. The Inquiry Committee Charge includes the following information:
 - A description of the allegations and any related issues identified during the allegation assessment.
 - The purpose of the Inquiry, which is to conduct an initial review of the evidence to determine whether an Investigation is warranted, not to determine whether Research Misconduct occurred or who was responsible. The inquiry may include the testimony of the Respondent, Complainant, and key witnesses.
 - A statement that an investigation is warranted if the Inquiry Committee determines there is a reasonable basis for concluding that the allegation falls within the definition of Research Misconduct and the allegation may have substance, based on the committee's review of the evidence presented during the Inquiry.
 - Informs the Inquiry Committee of the responsibility to prepare a written report of the Inquiry that meets the requirements of the University Policy on Research Misconduct and any applicable federal regulations.
 - States the date for completion of the Inquiry, which is 60 calendar days from the initiation of the inquiry.
 - The Inquiry Committee members shall be provided with the University Policy on Research Misconduct, the Office of the Vice President for Research procedures relevant to research misconduct and relevant federal regulations.
 - b. If the Inquiry Committee determines that the scope of the Inquiry should be expanded beyond that covered by the initial charge, the Inquiry Committee will notify the Research Integrity Officer and, with the approval of the Research Integrity Officer, will give appropriate notice to the Respondent of the expansion of the Inquiry.
 - c. The Inquiry Committee may direct that additional research documents or materials be sequestered with the assistance of the Research Integrity Officer and/or the Office of Research Compliance.
 - d. A copy of the charge will be provided to the Respondent.
 - e. The Research Integrity Officer may meet with the committee at any time to review the progress of the Inquiry and to assist its focus.
4. Inquiry Process
- a. The Office of Research Compliance coordinates communication with the Inquiry Committee and schedules meetings and any interviews.
 - b. The Inquiry Committee shall keep minutes of its meetings and prepare written summaries of interviews and testimonies.
 - c. The Inquiry Committee will conduct an initial evaluation of the evidence, including relevant research records, research materials, and any interviews and testimony during the Inquiry to determine if the evidence has substance to warrant an investigation.
 - d. The Inquiry Committee may interview the Complainant, the Respondent, and key witnesses.
 - e. After consultation with the Research Integrity Officer, the committee members will decide whether an Investigation is warranted based on the criteria in the University policy and, if

appropriate, any applicable regulations. The scope of the Inquiry does not normally include deciding whether Research Misconduct occurred, nor does it require conducting exhaustive interviews and analyses.

- f. The inquiry, including preparation of the final inquiry report and the decision of the Research Integrity Officer on whether an Investigation is warranted, should generally be completed within sixty (60) calendar days of initiation of the Inquiry, unless the committee requests and the Research Integrity Officer approves a longer period. If the Research Integrity Officer approves an extension, the record of the Inquiry should include documentation of the reasons for exceeding the 60-day period.

5. Inquiry Report

- a. The Inquiry Committee will prepare a written report for submission to the Research Integrity Officer, that includes the following:
 - the name and position of the Respondent;
 - a description of the allegations of Research Misconduct;
 - the source of funding for the research, if applicable, including, for example, grant numbers, grant applications, contracts and publications listing such support;
 - a description of the general procedures under which the Inquiry was conducted, including reference to these procedures as well as any federal regulations governing the conduct of the Inquiry;
 - a statement of the relevant evidence assembled and preliminarily reviewed by the committee; and
 - a statement of the conclusion of the committee as to whether the allegation appears to have substance and the information supporting that conclusion.
- b. The draft inquiry report shall be provided to the Research Integrity Officer for review. The Research Integrity Officer may accept the report, request additional information, or require additional review by the Inquiry committee.
- c. The inquiry report should be written in a form which, if accepted, may serve as an appropriate institutional statement of reasons for further institutional action, including commencement of an Investigation or dismissal of the allegations.

6. Inquiry Decision and Notifications

- a. The Research Integrity Officer shall notify the Respondent whether the Inquiry Committee found an Investigation to be warranted and provide the Respondent with a copy of the draft inquiry report. The Respondent will be asked to provide any comments on the report to the Research Integrity Officer and Inquiry Committee Chair within seven (7) calendar days. The Research Integrity Officer will determine, on a case-by-case basis, whether the report or relevant portions thereof should also be provided to the Complainant for comment by Complainant within seven (7) calendar days. The Inquiry Committee will decide whether, in view of any comments received, any revisions to the report are warranted and will then provide the final inquiry report to the Research Integrity Officer.
- b. Upon receipt of the final inquiry report, the Research Integrity Officer will decide whether to accept, reject, or modify the Inquiry Report and determine in writing whether an Investigation is warranted. The Research Integrity Officer will provide written notification of the final decision to the Respondent.

- c. Within thirty (30) calendar days of the decision that an Investigation is warranted, the Research Integrity Officer will notify the appropriate funding agency or agencies, if applicable and where required, with the written decision and a copy of the Inquiry Report.
- d. If an investigation is not warranted, the Research Integrity Officer shall secure and maintain for seven (7) years after the termination of the Inquiry sufficiently detailed documentation of the Inquiry to permit a later assessment by the appropriate funding agency of the reasons why an Investigation was not conducted. These documents must be provided to a federal agency upon request.

Office of the Vice President for Research

Procedure

Investigation

The Office of the Vice President for Research follows these steps in an investigation of research misconduct allegations. The Office of Research Compliance in consultation with the Research Integrity Officer may change or modify these procedures within regulatory requirements as necessary to preserve the integrity of the research and/or conduct the research misconduct proceedings efficiently.

1. The purpose of the investigation is to determine whether each allegation constitutes research misconduct by a preponderance of the evidence standard and to recommend appropriate corrective actions and/or sanctions to be taken.
2. If the Research Integrity Officer determines that an Investigation should be conducted, the investigation should commence within thirty (30) calendar days after such determination.
3. The Research Integrity Officer will take all reasonable and practical steps to obtain custody of and sequester in a secure manner any research records and evidence needed to conduct the Investigation that were not previously sequestered during the inquiry.
4. The Research Integrity Officer will appoint an Investigation Committee in accord with the following procedures.
 - a. The Investigation Committee will consist of three or more voting members together with such technical, administrative, or other staff as may be deemed appropriate.
 - b. Faculty members, or other individuals who do not hold appointment or employment at the University, may be selected to serve as voting members on the investigation committee.
 - c. Individuals may be appointed to the Investigation Committee who previously served on the Inquiry Committee.
 - d. The Research Integrity Officer shall appoint a member of the Investigation Committee to serve as chair.
 - e. The Investigation Committee may include non-voting consultants from within or outside of the University with appropriate expertise to aid in evaluating the evidence.
 - f. The Office of Research Compliance shall prepare letters of appointment to the Investigation Committee members.
 - g. The Research Integrity Officer will inform the Respondent in writing of the members of the Investigation Committee and any consultants.
 - h. In selecting members of the Investigation Committee, the Research Integrity Officer ensures the committee has the appropriate expertise in relevant disciplines and has an appropriate understanding of the process and procedures that must be followed.
 - i. The Research Integrity Officer, in consultation with the Investigation Committee, may add or replace members of the committee as needed to ensure the timely completion of the Investigation and the committee's competence to review the allegations and evidence.
 - j. Individuals selected to serve on the Investigation Committee or to provide professional assistance to the Investigation Committee will be expected to disclose to the Research Integrity Officer any factors, including but not limited to unresolved personal, professional, or financial conflicts of interest in accord with University policies, which would prevent them

from serving fairly, objectively, and without bias, or which reasonably would give the appearance of a lack of fairness, lack of objectivity, or the presence of bias.

- k. All persons who participate in the Investigation as committee members, administrative or clerical staff, witnesses, or in any other capacity shall maintain the confidentiality of the Investigation and of all information obtained during the Investigation, except as may be necessary in conjunction with the conduct of the Investigation, including subsequent related reports or proceedings, reports to officers of the University, and to the relevant oversight agency or funding entity, as required by law or contract.
 - l. The Research Integrity Officer will make available to the Investigation Committee appropriate administrative and clerical assistance to facilitate a prompt and thorough Investigation and the preparation of an appropriate report.
5. The Research Integrity Officer will define the subject matter of the Investigation in a written charge to the Investigation Committee that includes the following:
- a. A description of the allegation and related issues identified during the Inquiry and identifies the Respondent;
 - b. The definition of Research Misconduct;
 - c. The general procedures for conducting the investigation;
 - d. Informs the Investigation Committee that it must evaluate the evidence and testimony to determine whether, based on a preponderance of the evidence, Research Misconduct occurred and, if so, the type and extent of the Research Misconduct and who was responsible;
 - e. Inform the Investigation committee of the requirements needed to determine that the Respondent committed Research Misconduct, it must find that a preponderance of the evidence establishes that:
 - i. Research Misconduct, as defined in the University policy, occurred (Respondent has the burden of proving by a preponderance of the evidence any affirmative defenses raised, including honest error or a difference of opinion); and
 - ii. The Research Misconduct is a significant departure from accepted practices of the relevant research community; and
 - iii. The Respondent committed the Research Misconduct intentionally, knowingly, or recklessly.
 - f. Informs the Investigation Committee that it must prepare a written Investigation Report that meets the requirements of then University policy and any applicable federal regulations; and
 - g. Sets the time for completion of the Investigation including the final report.
 - h. A copy of the charge will be provided to the Respondent.
6. The investigation will include the following elements.
- a. The Investigation Committee will examine all the documentation and conduct formal interviews, when possible, of the respondent(s), the complainant(s), and others who may have relevant information concerning the complaint.
 - b. All Investigation Committee interviews will be recorded and transcribed. A copy of transcribed interviews may be provided to those interviewed to ensure accuracy and will be included in the investigation file along with any written responses from the individual interviewed. Persons interviewed by the Investigation Committee may be accompanied by counsel or a representative of their choosing, however such person may not participate in

- the interview and may only observe. For confidentiality, the Respondent may not attend interviews of others. The Respondent may be provided with a copy of the transcribed interview(s) however identifiers may be redacted to maintain confidentiality.
- c. The Investigation Committee will investigate all complaints of research misconduct. If there is more than one (1) respondent involved in a complaint, the Investigation Committee will make separate determinations as to whether research misconduct occurred for each respondent and make separate recommendations of corrective actions or sanctions for each respondent.
 - d. During the investigation, additional new allegations may arise that justify broadening the scope of the investigation beyond the initial complaint. The Research Integrity Officer will determine if a new allegation relates to an ongoing investigation and whether it will be reviewed in the ongoing investigation. The Research Integrity Officer will sequester additional evidence if necessary and notify Respondent and any additional respondents.
 - e. If the Research Integrity Officer determines that a new allegation relates to an ongoing investigation, but the allegation arises after the Investigation Committee has determined that research misconduct has occurred or arises after an investigation is concluded with research misconduct findings, the Research Integrity Office in consultation with the Investigation Committee chair, will review each new allegation for substance and determine the appropriate actions.
 - f. The Investigation Committee will determine by majority vote whether each allegation constitutes research misconduct by the preponderance of the evidence standard. A tie vote will indicate that the allegation fails to meet the preponderance of the evidence standard for a finding of research misconduct.
 - g. After the determination that research misconduct occurred or did not occur, the Investigation Committee will make a recommendation for the appropriate corrective actions or sanctions, including any correction of the research record, in its report.
7. When the Investigation Committee has reached a conclusion as to whether each allegation constitutes research misconduct, it shall prepare a draft investigation report that includes the following:
- a. A description of the specific allegations of research misconduct considered in the investigation including identification of Respondent(s);
 - b. A description of any federal or other funding support including grant numbers, grant applications, contracts and publications listing the support;
 - c. The names, titles, and positions of the Investigation Committee members and any non-voting consultants;
 - d. A list of the research record and evidence reviewed and identify any evidence taken into custody that was not reviewed, and interview transcripts;
 - e. A copy of the University research misconduct policy and procedures; and
 - f. A statement of the findings, the conclusions reached, and the recommended corrective actions and/or sanctions for each allegation of research misconduct that includes:
 - A statement that identifies whether fabrication, falsification or plagiarism was found and whether it was intentionally, knowingly, or recklessly;
 - A summary of the facts and analysis that support the conclusion and considers the merits of any reasonable explanation by the Respondent to establish by a

preponderance of the evidence that he/she did not engage in research misconduct because of honest error or a difference of opinion;

- The specific federal support for each finding;
 - Whether any publications need correction or retraction;
 - The identity of the person(s) responsible for the misconduct; and
 - Any current federal support or known applications or proposals for federal support that Respondent has pending with federal agencies.
8. The draft investigation report and all relevant documentary evidence will be provided to the respondent(s) for comment. The respondent(s) will have ten (10) calendar days from receipt of the draft investigation report to provide written comments to the Investigation Committee. The Investigation Committee will review the comments and, if necessary, revise the draft investigation report.
 9. The Investigation Committee chair will forward a final investigation report to the Research Integrity Officer and the Respondent(s). Any written comments from the respondent(s) will be appended to the report.
 10. Within seven (7) calendar days of the date of the final report, the Respondent(s) may appeal the Investigation Committee decision that research misconduct occurred to the Research Integrity Officer. The appeal must be based on new information not already considered during the investigation, or evidence that a substantial procedural irregularity occurred during the investigation.
 11. The Research Integrity Officer will review the final investigation report and appeal, if any, and provide written notice of the decision regarding research misconduct to the University President, relevant Dean and to the Respondent(s).
 - a. If the Respondent(s) files an appeal, and the Research Integrity Officer denies that appeal, all research misconduct findings are final.
 - b. The Research Integrity Officer will forward the decision, the final investigation report, and the documentary evidence to the appropriate disciplinary body to administer corrective actions or sanctions.
 - c. The Research Integrity Officer will forward the notice of institutional determination and actions along with the final investigation report and documentary evidence to the relevant oversight agency or funding entity, as required by law or contract.
 - d. The Research Integrity Officer will notify the complainant(s), if known, of the final outcome of the investigation.

Office of the Vice President for Research

Procedure

Appeal of a Finding of Research Misconduct

The Office of the Vice President for Research follows these steps when a Respondent appeals a finding of research misconduct following the completion of a research misconduct investigation. The Office of Research Compliance in consultation with the Research Integrity Officer may change or modify these procedures within regulatory requirements as necessary to preserve the integrity of the research and/or conduct the research misconduct proceedings efficiently.

1. A Respondent may appeal a decision that research misconduct occurred to the Research Integrity Officer.
2. The appeal must be in writing and received within seven (7) calendar days of the date of the Final Research Misconduct Report.
3. The appeal must be based on new information not already considered during the investigation, or evidence that a substantial procedural irregularity occurred during the investigation. The appeal must include such evidence.
4. The Research Integrity Officer will review the appeal and the Final Research Misconduct Report and may consult with the Office of Research Compliance, members of the Investigation Committee, and any consultants and witnesses who participated in the investigation.
5. The Research Integrity Officer will provide a written decision regarding the research misconduct to the Respondent and the Office of Research Compliance.
6. If the Research Integrity Officer denies the appeal, all research misconduct findings are final.
7. The Research Integrity Officer will forward the decision accepting the Final Research Misconduct Report to the appropriate disciplinary body to administer corrective actions or sanctions.
8. If the Research Integrity Officer concurs with the Respondent's appeal in whole or in part, and/or does not concur with the Investigation Committee's determination(s) or with the recommended corrective action(s) or sanction(s) in whole or in part, the Research Integrity Officer will provide a written decision to the Respondent and the Office of Research Compliance, and forward the decision, the Final Research Misconduct Investigation Report and all evidence to the appropriate disciplinary body to administer corrective actions or sanctions, or take reasonable and practical steps as appropriate to protect or restore the reputation of Respondent(s) if needed.
9. The Research Integrity Officer will forward the decision and actions along with the Final Research Misconduct Investigation Report and evidence to the relevant oversight agency or funding entity, as required by law or contract.
10. The Research Integrity Officer will notify the complainant(s), if known, of the final outcome of the investigation.

Office of the Vice President for Research

Procedure

Agency Notification

The Office of the Vice President for Research follows these steps in notifying agencies when initiating an investigation into allegations of research misconduct or reporting findings of research misconduct. The Office of Research Compliance in consultation with the Research Integrity Officer may change or modify these procedures within regulatory requirements as necessary to preserve the integrity of the research and/or conduct the research misconduct proceedings efficiently

1. When an inquiry results in proceeding to an investigation, the Research Integrity Officer shall notify the relevant funding agency within thirty (30) calendar days of the decision to conduct an investigation. The following shall be provided to the funding agency:
 - The name and position of the Respondent;
 - A description of the allegation of research misconduct;
 - Any support including grant numbers, applications, contracts and publications listing the support;
 - The basis for recommending the allegations warrant an investigation; and
 - Any comments on the inquiry report provided by the complainant or respondent.
2. At the completion of a Research Misconduct Investigation, the Research Integrity Officer shall notify the relevant oversight agencies and/or funding agencies of the University's research misconduct findings. The following shall be provided to the relevant oversight agencies and/or funding agencies:
 - A copy of the Final Investigation Report with all attachments and any appeal;
 - A statement of whether the University accepts the findings of the Final Investigation Report and the outcome of the appeal, if any;
 - A statement of whether the University found research misconduct, and if so who committed the misconduct; and
 - A description of any pending or completed administrative actions against the Respondent.
3. The Research Integrity Officer shall complete the notifications as soon as possible following the completion of the research misconduct investigation process.
4. Faculty and staff, other than those delegated responsibilities by the Research Integrity Officer (RIO), are not authorized to contact oversight agencies or funding agencies about administrative matters.

Office of the Vice President for Research

Procedures

False Allegations

The Office of the Vice President for Research follows these steps in the event false or suspected false allegations of research misconduct have been received. The Office of Research Compliance in consultation with the Research Integrity Officer may change or modify these procedures within regulatory requirements as necessary to preserve the integrity of the research and/or conduct the research misconduct proceedings efficiently.

1. Allegations of research misconduct should be brought in good faith, having a belief in the truth of the allegations.
2. False allegations are an abuse of the University Policy and Procedures on Research Misconduct and may result in disciplinary action under other University policies or rules.
3. The Research Integrity Officer will determine whether the allegation(s) of research misconduct were made in good faith. If the Research Integrity Officer determines there was not good faith, the Research Integrity Officer will consult with the appropriate University official(s) to determine the appropriate actions to be taken.
4. The Research Integrity Officer will work with the appropriate University official(s) to restore the reputation and correct any records of those harmed by such false accusations.



AUBURN
UNIVERSITY

VICE PRESIDENT FOR RESEARCH
M E M O R A N D U M

TO: CHRISTOPHER B. ROBERTS, PRESIDENT

THROUGH: RONALD BURGESS, EXECUTIVE VICE PRESIDENT

FROM: JAMES WEYHENMEYER, VICE PRESIDENT FOR RESEARCH

SUBJECT: FEDERAL REQUIREMENT FOR UPDATED POLICY ON RESEARCH MISCONDUCT

DATE: MARCH 29, 2023

This memorandum requests the following proposal be presented to the Board of Trustees through the Office of the Vice President for Research & Economic Development and included on the agenda at the Board of Trustees meeting on April 14, 2023.

The existing Auburn University Scientific Misconduct Policy, Board of Trustees Policy C-7, has been rewritten with regard to formatting and content producing a policy that is fully compliant with federal regulations and protects Auburn's ability to compete for and be awarded federal funding. In addition, the procedures implementing the Research Misconduct Policy, which were previously incorporated into the policy, have been collected in an independent procedure document.

Due to changes in CFR (Code of Federal Regulations and published in the Federal Register), revisions to Auburn's existing policy are necessary to ensure alignment with and correct citation to current federal regulations concerning research misconduct. For example, current policy cites 42 CFR Part 50 which no longer addresses research misconduct. The current policy also heavily emphasizes U.S. Public Health Service (NIH) regulations while excluding research misconduct regulations and requirements of other funding agencies including but not limited to the National Science Foundation (NSF), U.S. Department of Agriculture (USDA), National Aeronautics and Space Administration (NASA), and Department of Energy (DoE).

In 2022, the existing policy was reviewed by the NSF Office of Inspector General and the USDA Scientific Integrity Office. These agencies independently noted several necessary modifications to the existing Auburn policy, including but not limited to: updating the definition of research misconduct; inclusion of a list of factors necessary for a finding of research misconduct; inclusion of a federally compliant statement for burden of proof;

inclusion of the mens rea (intentionality) requirement for a finding of research misconduct; inclusion of the mandatory reporting requirements to federal agencies; and clarification of the distinct phases of the research misconduct process.

The proposed modifications improve procedural clarity necessary to meet regulatory timelines, including the distinct phases of the research misconduct process, and more clearly align institutional timelines with federal regulations. Modifications also include increased clarity around defined terms necessary to appropriately process research misconduct proceedings (i.e., research integrity officer (RIO); assessment; intentionally; knowingly; and recklessly).

By modifying its policy to align with current federal regulations, Auburn will be positioned to continue to compete for and be awarded federal research funding. Further, policy realignment and improvements to procedural clarity better position all parties who may be involved in research misconduct proceedings. Based on feedback from federal oversight agencies (NSF, NIH, and USDA), extensive benchmarking against existing policies at top tier research institutions, and consultation with research misconduct experts a rewrite of the existing policy has been completed. The new research misconduct policy document, and the implementation procedures supporting the policy, comply with all current federal regulations that address allegations of research misconduct and will facilitate proper processing, evaluation, and determinations of such cases.

EXECUTIVE COMMITTEE

RESOLUTION

HARDSCAPE IMPROVEMENTS AND ADDITION OF AUBIE FIGURE
AT THE HAROLD D. MELTON STUDENT CENTER
AT AUBURN UNIVERSITY

April 14, 2023

WHEREAS, the Harold D. Melton Student Center is regarded as the living room of campus and serves as a year around, high-traffic hub for current and prospective students, alumni, and fans of all ages; and

WHEREAS, the Harold D. Melton Student Center requires improvements to existing and creation of new outdoor study, gathering, and greenspaces to advance Student Affairs' commitment to providing an exceptional student experience; and

WHEREAS, the additions and refinements will benefit student groups who utilize the student center, enhance the game day experience for all Auburn fans, and augment the beauty of campus by maximizing the outdoor space surrounding the building; and

WHEREAS, Student Affairs in partnership with the Student Government Association would like this space to include an Aubie figure, as tribute to our beloved, championship-winning mascot, that recognizes his service to Auburn and celebrates his special place in the hearts of the Auburn Family; and

WHEREAS, this addition will positively influence student recruitment and reinforce our unique traditions, as evidenced by other universities adding similar representations to their campus.

NOW, THEREFORE, BE IT RESOLVED that the Auburn University Board of Trustees, meeting in session on this fourteenth day of April 2023, approves hardscape and patio improvements and Aubie figure at the Harold D. Melton Student Center.



AUBURN
ADVANCEMENT

Memo To: Dr. Christopher B. Roberts, President 
Through: Lt. Gen. Ron Burgess, Executive Vice President 
From: John Morris, Senior Vice President for Advancement 
Date: March 17, 2023
Subject: Recognition Opportunity: Hardscape Improvements at the Harold D. Melton Student Center

In accordance with the policy for the Construction of Statues, Monuments, and Other Items of Recognition adopted at the April 20, 2012, meeting of the Board of Trustees, I am submitting for your consideration the attached proposed Student Affairs recognition opportunity for the April 14, 2023, meeting of the Board of Trustees.

Dr. Bobby Woodard, Senior Vice President, has proposed the hardscape and patio improvements and addition of Aubie figure for the Harold D. Melton Student Center. I have reviewed the request as it relates to the criteria outlined in our policies and recommend your approval.

Upon your review and approval, I will appreciate your forwarding the draft resolution and supporting documentation to the President *Pro Tempore* of the Board of Trustees for consideration by the Board.

jrh

Attachments

cc: Jaime Hammer
Jon Waggoner
Bobby Woodard



AUBURN
STUDENT AFFAIRS

TO: Christopher B. Roberts, President *CBR*
THROUGH: John Morris, Senior Vice President for Advancement
FROM: Bobby R. Woodard, Senior Vice President for Student Affairs *BWR*
SUBJECT: Hardscape Improvements at the Harold D. Melton Student Center
DATE: March 17, 2023

To advance our commitment to providing an exceptional student experience to all Auburn University students, we request approval for hardscape and patio improvements at the Harold D. Melton Student Center. The student center is regarded as the living room of campus and serves as a year-round, high-traffic hub for current and prospective students, alumni, and fans of all ages.

The proposed improvements include the enhancement of existing and creation of new outdoor study, gathering, and green spaces and reimagining the building's entrance. The thoughtful additions and refinements will benefit student groups who utilize the student center, enhance the game day experience for all Auburn fans, and augment the beauty of our campus by maximizing the outdoor space surrounding the building.

In partnership with the Student Government Association, we would like to include an Aubie figure, as tribute to our beloved, championship-winning mascot, that recognizes his service to Auburn and celebrates his special place in the hearts of the Auburn Family. This addition will positively influence student recruitment and reinforce our unique traditions, as evidenced by other universities adding similar representations to their campus. The rewards of this improvement will continue ad infinitum, as the area will become a must-see stop on game days, alumni campus visits, prospective student tours, and more.

To enhance this space and recognize the immeasurable impact Aubie has made and will continue to make on our university, we desire to have this request considered and approved at the April 14, 2023, meeting of the Auburn University Board of Trustees.

Approved: 

Christopher B. Roberts
President

Upon the President's approval, this document is forwarded to John Morris, Senior Vice President for Advancement and President, Auburn University Foundation, for appropriate review and action.



John Morris
Senior Vice President for Advancement
President, Auburn University Foundation



STUDENT AFFAIRS

AUBIE THE TIGER FIGURE PROPOSAL

OFTEN IMITATED, BUT NEVER

DUPLICATED, Aubie the Tiger is one of the country's preeminent college mascots. The most decorated and recognized mascot in the country, Aubie has a fearless and unyielding spirit that sparks joy and inspires laughter, making him universally beloved among Auburn fans. As the university's goodwill ambassador, Aubie delights his fans at more than 1,000 scheduled appearances annually, strengthening affinity for Auburn at each one.

To formally honor our beloved mascot and forever commemorate the special place he holds in the hearts of the Auburn Family, the Aubie Program, in partnership with the Division of Student Affairs and the Student Government Association, propose the addition of an Aubie Figure to the Auburn University campus.

Larger-than-life mascots are worthy of a permanent representation on campus. Placed in high-traffic campus corridors at institutions such as the University of Oregon, Michigan State University, the University of South Carolina and The Ohio State University, mascot figures attract droves of fans and visitors and have been an extremely popular addition to each university's grounds. The proposed Aubie Figure would be a focal point of our campus and instantly become a must-visit attraction on game days, return visits to campus, and during prospective student tours.

The Student Government Association leadership explored student interest in the Aubie Figure and found that students overwhelmingly support the project. Students believe a figure of Aubie on campus will positively impact prospective student recruitment, as it has at numerous other universities who have placed a similar mascot figure on campus.



ABOUT THE PROPOSED DESIGN

The Aubie Figure has been reviewed and approved by the legacy Campus Art Committee, who explored the figure's location, design, and cost in detail. Both of the approved sites are adjacent to the Melton Student Center, which is considered the living room of campus and is home to the Student Government Association and the Aubie Program. The first approved site is approximately 73 feet from the front façade of the Melton Student Center; the second approved site is at the southeast corner of the Melton Student Center, adjacent to the Campus Green. Neither location has utility

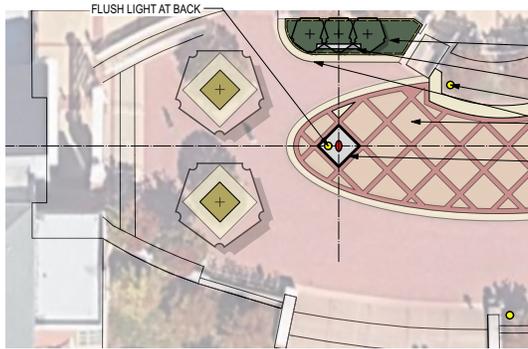
or egress concerns, and both provide ample space for pedestrian traffic during gatherings or when photographs are being taken.

Renowned mascot and animal sculptor Alison Caswell consulted on the Aubie Figure design, bringing expertise from her previous commissions, which include the Oregon Duck and the Michigan State Spartan figures. The Aubie Figure will be slightly larger than life at 6 feet 6 inches and the plinth will be 8 feet by 8 feet at Site 1 or 4.5 feet in diameter at Site 2, with an elevation of at least 4 inches. The Aubie Figure will be cast in bronze with the option to add a color patina. The flag from the initial design will be made of bronze but can be substituted for a different pose upon request. HNP Landscape Architecture assisted in the design of the plinth, donor wall, and landscaping.

The Aubie Figure will be 100 percent donor funded and has an estimated cost of \$300,000. There has been much enthusiasm and interest among prospective donors. The proposed design incorporates historical information about Aubie and recognition of the project's supporters. In addition to the expected major gifts from alumni and friends of the Aubie Program, an annual giving appeal to Auburn fans is also planned to assist with the funding of the project.

Additional details and design drafts that were presented to the Campus Art Committee are available for review upon request. For additional information about the project, please contact SGA President Jake Haston or Aubie Program Advisor Corey Edwards.

An Aubie Figure on our campus will foster deeper student and alumni pride in the university, as Aubie is one of our university's most universally adored aspects. - JAKE HASTON, SGA PRESIDENT



- IMPROVED LANDSCAPE
- BRICK, PRECAST, BRONZE DONOR/INFO WALL
- DIRECTIONAL LIGHTING (2)
- BRICK PLAZA TO REMAIN
- STATUE ON PRECAST PLINTH

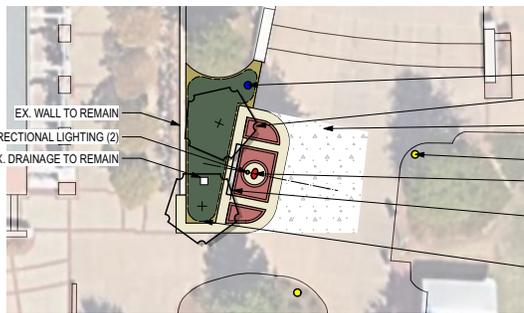
1 SITE #1 Scale: 1" = 20'-0"



OPTIONAL DONOR/INFO WALL

AUBIE STATUE STUDY
AUBURN UNIVERSITY - 10/10/2022
HINP

SITE #1 LOCATION
RAISED PRECAST OPTION



- EX. WALL TO REMAIN
- DIRECTIONAL LIGHTING (2)
- EX. DRAINAGE TO REMAIN
- BRICK PLAZA
- REPLACE DISTURBED CONCRETE. MATCH EXISTING
- DIRECTIONAL LIGHTING (2)
- STATUE (FLUSH MOUNTING PRECAST)
- BRICK/PRECAST/BRONZE DONOR/INFO WALL
- LANDSCAPE IMPROVEMENTS. REPLACE TREE REMOVED WITH (2) TREES

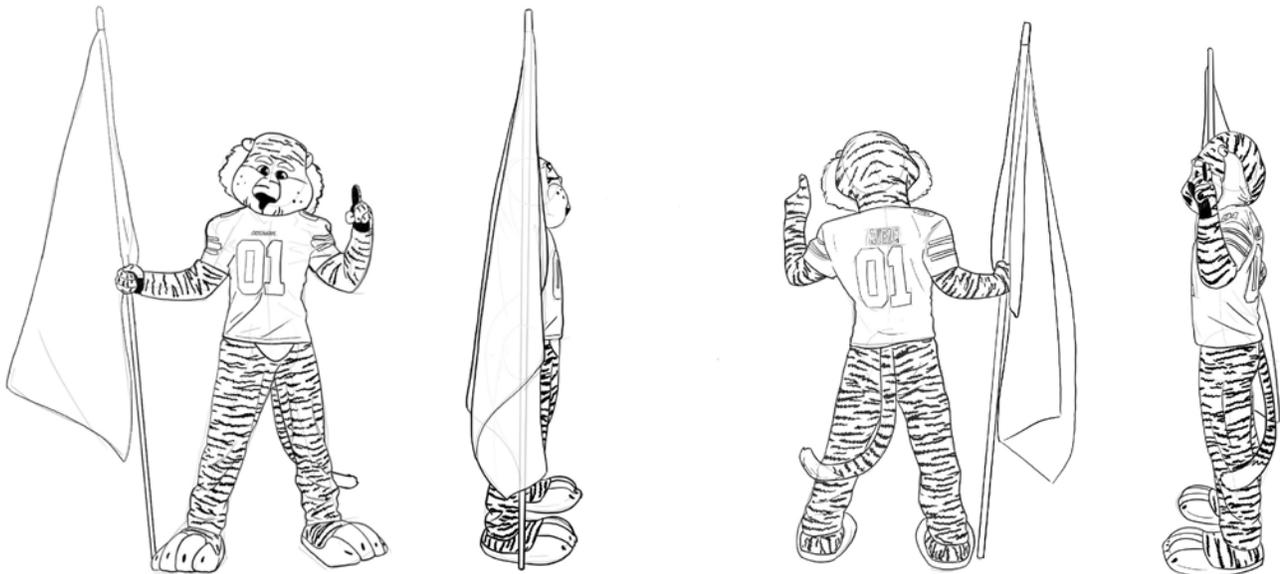
2 SITE #2 Scale: 1" = 20'-0"



AUBIE STATUE STUDY
AUBURN UNIVERSITY - 10/10/2022
HINP

SITE #2 LOCATION
FLUSH PRECAST OPTION
DONOR/INFO WALL BEHIND (BRONZE OR PRECAST MATERIAL)

AUBIE FIGURE 360 VIEW



**PROPOSED AWARDS AND NAMINGS
APRIL 14, 2023 BOARD MEETING
AUBURN UNIVERSITY BOARD OF TRUSTEES**

Time will be allotted for any discussion of a list of proposed awards and namings.

RESOLUTION

APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the February 3, 2023 meeting of the Board of Trustees have been distributed to all members of this Board for review; and

WHEREAS, the members have reviewed the minutes and determined that they constitute a true and correct recitation of the business of the respective meeting.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the minutes of the February 3, 2023 meeting of the Board of Trustees are hereby approved as distributed.

MINUTES OF A MEETING OF THE
BOARD OF TRUSTEES
OF
AUBURN UNIVERSITY

FEBRUARY 3, 2023

**SCHEDULE AND AGENDA
FEBRUARY 3, 2023 BOARD MEETING
ON THE AUM CAMPUS IN MONTGOMERY
AUBURN UNIVERSITY BOARD OF TRUSTEES**

- I. Call to Order and Opening Remarks
- II. Committee Meetings
 - A. Audit and Compliance Committee | Chairperson Vines
 - 1. Approval of Audited Financial Report – *For Information Only* (Kelli Shomaker)
 - B. Property and Facilities Committee | Chairperson DeMaioribus
 - Project Approvals:
 - 1. Auburn University Regional Airport – Corporate Hangar at South Ramp, Final Approval (Dan King/Bill Hutto)
 - 2. STEM + AG Sciences Complex, Final Approval (Dan King/Vini Nathan)
 - 3. Melton Student Center – Renovation for Starbucks Relocation, Final Approval (Dan King/Bobby Woodard)
 - 4. Plainsman Park Improvements, Final Approval (Dan King/John Cohen)
 - 5. McWhorter Center – Gymnastics and Softball Team Area Renovation, Final Approval (Dan King/John Cohen)
 - 6. Recreation and Wellness Center – Renovation for Health Promotion & Wellness Services, Project Initiation (Dan King/Bobby Woodard)
 - 7. Clinic Buildings Demolition, Authority to Execute (Dan King)
 - Real Estate Approvals:
 - 8. Modification to Property Exchange with USDA Agricultural Research Service (Dan King/Mark Stirling)
 - 9. Property Disposition of 10% Interest in Real Estate (Dan King/Mark Stirling)
 - 10. Authority to Engage a Real Estate Broker to Market Auburn University Land in Birmingham, Alabama (Dan King/Mark Stirling)

Informational Reports:

11. Status Updates – *For Information Only* (Dan King)
 - a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater
 - b. Project Status Report
 - C. Academic Affairs Committee | Chairperson Huntley
 1. Proposed Bachelor of Science in Public and One Health (Vini Nathan/Calvin Johnson)
 - D. Executive Committee | Chairperson W. Smith
 1. Posthumous Awarding of the Doctor of Philosophy in Earth System Sciences to Sharif Mustaque (Vini Nathan)
 2. Approval of 2023-2024 Meeting Dates (Wayne Smith)
 3. Proposed Awards and Namings (Wayne Smith)
 4. Appointment of Board Members to the Presidential Assessment Working Group – *For Information Only* (Bob Dumas)
 - E. Trustee Reports
- III. Regular Meeting of the Board of Trustees
- IV. Proposed Executive Session
- V. Reconvened Meeting of the Board of Trustees
1. Approval of the Minutes of the November 11, 2022 Board Meeting
 2. AUM Chancellor’s Report
 3. President’s Report
 4. Action Items and Committee Meeting Reports
 - A. Property and Facilities Committee
 1. Auburn University Regional Airport – Corporate Hangar at South Ramp, Final Approval

2. STEM + AG Sciences Complex, Final Approval
3. Melton Student Center – Renovation for Starbucks Relocation, Final Approval
4. Plainsman Park Improvements, Final Approval
5. McWhorter Center – Gymnastics and Softball Team Area Renovation, Final Approval
6. Recreation and Wellness Center – Renovation for Health Promotion & Wellness Services, Project Initiation
7. Clinic Buildings Demolition, Authority to Execute
8. Modification to Property Exchange with USDA Agricultural Research Service
9. Property Disposition of 10% Interest in Real Estate
10. Authority to Engage a Real Estate Broker to Market Auburn University Land in Birmingham, Alabama

B. Academic Affairs Committee

1. Proposed Bachelor of Science in Public and One Health

C. Executive Committee

1. Posthumous Awarding of the Doctor of Philosophy in Earth System Sciences to Sharif Mustaque
2. Approval of 2023-2024 Meeting Dates
3. Proposed Awards and Namings

VI. Recess Meeting

As *President Pro Tempore* of the Board of Trustees of Auburn University, Mr. Bob Dumas convened a board meeting on Friday, February 3, 2023 at 9:30 a.m. in Rooms 221-223 of the Taylor Center on the AUM campus in Montgomery, Alabama.

President Pro Tempore Dumas then called upon Board Secretary Jon Waggoner to call the roll. The following voting board members were deemed to be in attendance:

Ms. Caroline M. Aderholt; Mr. Michael A. DeMaioribus; Mr. Robert W. Dumas; Mr. Raymond J. Harbert; Ms. Elizabeth H. Huntley; Mr. James R. Pratt, III; Mr. James W. Rane; Mr. Quentin P. Riggins; Mr. B.T. Roberts; Mr. M. Clark Sahlie; Mr. James H. Sanford; Mr. Wayne T. Smith; Mr. Zeke W. Smith; and Mr. Timothy Vines.

Governor Kay Ivey, President of the Board was absent from the meeting. Mr. Roberts was present for the committee meetings and regular meeting but was not present for the reconvened meeting.

The individuals listed above represent all persons recognized as voting board members at the time of the meeting.

President Pro Tempore Dumas welcomed those serving on the Board ex officio as follows: Dr. Daniel Svyantek, Faculty Advisor to the Board of Trustees from the Auburn University campus; Ms. Samantha McNeilly, Faculty Advisor to the Board of Trustees from the Auburn University at Montgomery campus; Mr. Jake Haston, President of the Auburn University Student Government Association; and Ms. Aaliyah Muhammad, President of the Auburn University at Montgomery Student Government Association.

Also sitting with the Board were the following persons: Dr. Christopher B. Roberts, Auburn University President; and Mr. Jon G. Waggoner, Secretary to the Board of Trustees.

The following persons were also in attendance at the meeting: Gen. Ronald Burgess, Executive Vice President; Dr. Vini Nathan, Interim Provost and Vice President for Academic Affairs; Ms. Kelli Shomaker, Vice President for Business & Finance and Chief Financial Officer; Dr. Bobby Woodard, Senior Vice President for Student Affairs; Ms. Jaime Hammer, General Counsel; Mr. Daniel King, Associate Vice President for Facilities; Dr. Carl Stockton, Chancellor of AUM; Mr. Mark Stirling, Director of Auburn University Real Estate; Dr. Joffrey Gaymon, Vice President for Enrollment; Dr. Jared White, Executive Director of Governmental Affairs; Mr. Jim O'Connor, Vice President for Information Technology and Chief Information Officer; and Dr. Jennifer Adams, Executive Director of Public Relations.

President Pro Tempore Dumas welcomed the following persons that serve Auburn University and AUM in various capacities: Dr. Mark Carpenter, Academic Affairs Faculty Representative; Dr. Robert Norton, Agriculture and Natural Resources Committee Faculty Representative; Dr. Kim Brackett, AUM Faculty Senate President; Dr. Elizabeth Davis-Sramek, Finance Committee Faculty Representative; Dr. Scott Lane, Finance Committee Faculty Representative; Dr. Henry Schenck, Institutional Advancement Committee Faculty Representative; Dr. Christian Dagg, Property and Facilities Committee Faculty Representative;

Dr. Oladiran Fasina, Student Affairs Faculty Representative; Dr. Paul Fox, Student Affairs Faculty Representative; Mr. Clint Lovelace, Chair of the Auburn University Administrative & Professional Assembly; Ms. Ashley Reid, Chair of the Auburn University Staff Council; Dr. Vanessa Funches, President of the AUM Faculty Senate; Mr. Stephen Bray, Vice President of the AUM Staff Council; Mr. Paul Jacobson, Chair of the Auburn University Foundation Board of Directors; Ms. LuAnne Hart, President of the Auburn Alumni Association; and Ms. Makeda Nurradin, President of the Graduate Student Council.

The Board then met in various committees, each discussing the items which would later appear on the Reconvened Board Meeting Agenda, as follows:

**MINUTES OF A MEETING OF THE
AUDIT AND COMPLIANCE COMMITTEE OF THE
AUBURN UNIVERSITY BOARD OF TRUSTEES
FRIDAY, FEBRUARY 3, 2023 AT 9:35 A.M.**

Chairperson Vines convened the meeting of the Audit and Compliance Committee of the Auburn University Board of Trustees on Friday, February 3, 2023 at 9:35 a.m. in Rooms 221-223 of the Taylor Center on the AUM campus in Montgomery, Alabama.

After calling the committee meeting to order, Chairperson Vines called upon Ms. Shomaker for discussion of the committee's single agenda item.

1. Review of Audited Financial Report – *For Information Only*

Ms. Shomaker indicated that the single item before the Audit and Compliance Committee was the review of the audited financial report. She provided a brief overview of the report and answered questions from board members.

Ms. Shomaker indicated that this item is included for information only and does not require a vote.

Ms. Shomaker then introduced Mr. Douglas Grant of Pricewaterhouse Coopers as the independent auditor who provided a more in-depth review of the financial auditing process.

With there being no further items, Chairperson Vines recessed the committee meeting at 9:50 a.m.

**MINUTES OF A MEETING OF THE
PROPERTY AND FACILITIES COMMITTEE OF THE
AUBURN UNIVERSITY BOARD OF TRUSTEES
FRIDAY, FEBRUARY 3, 2023 AT 9:50 A.M.**

Chairperson DeMaioribus convened the meeting of the Property and Facilities Committee of the Auburn University Board of Trustees on Friday, February 3, 2023 at 9:50 a.m. in Rooms 221-223 of the Taylor Center on the AUM campus in Montgomery, Alabama.

After calling the committee meeting to order, Chairperson DeMaioribus called upon Mr. King for discussion of the following Property and Facilities Committee agenda items:

1. Auburn University Regional Airport – Corporate Hangar at South Ramp, Final Approval

Mr. King stated that the Auburn University Regional Airport – Corporate Hangar at South Ramp will construct a 20,150 square foot aircraft hangar with five individual bays. He explained that the hangar bays have been designed to meet the current demand for sheltering turboprop planes and small jets. He indicated that it is anticipated that this new corporate hangar will generate revenue for the Auburn University Regional Airport.

Mr. King stated that the estimated total project cost is \$3.0 million, to be financed by a grant from the Alabama Department of Transportation Aeronautics Bureau and Auburn University Regional Airport funds.

Subsequently, Mr. King stated that the request before the Board is to adopt a resolution providing final approval of the Auburn University Regional Airport – Corporate Hangar at South Ramp project.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Rane. The motion was seconded by Ms. Huntley, and the committee approved the motion by a voice vote.

2. STEM + Ag Sciences Complex, Final Approval

Mr. King reminded everyone that the Office of the Provost has proposed a project to construct a new Science, Technology, Engineering, and Mathematics (STEM) and Agricultural Sciences Complex (STEM + Ag). He stated that project will facilitate a long-standing effort to replace and upgrade STEM-related and agricultural sciences facilities that have exceeded their useful life and are inadequate in terms of size, accessibility and modern functionality. He reported that the project will construct a three-building complex totaling approximately 265,000 gross square feet. He commented that functional program requirements include state-of-the-art wet and dry research labs, principal investigator offices, collaboration spaces, shared lab support spaces, and instructional labs that enable departments to collaborate and innovative.

Mr. King stated that upon completion, the project will allow six COSAM and College of Agriculture departments to be relocated from Parker Hall, Funchess Hall, and Beard Eaves Memorial Coliseum into the new facility. He indicated that the project will be located along West Samford Avenue on the northeast corner of the former Hill Residential Hall complex.

Mr. King indicated that the estimated total project cost is \$200 million, to be financed by a combination of Public School and College Authority (PSCA) funds, college reserves and gifts, and University bonds.

Mr. King stated that the request before the Board is to adopt a resolution providing final approval of the STEM + Ag Sciences Complex project.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Sanford. The motion was seconded by Mr. Harbert, and the committee approved the motion by a voice vote.

3. Melton Student Center – Renovation for Starbucks Relocation, Final Approval

Mr. King stated that the Melton Student Center – Renovation for Starbucks Relocation project will renovate 2,400 square feet on the ground floor of the Melton Student Center formerly occupied by Au Bon Pain to relocate the existing Starbucks currently on the second floor. He explained that this move will allow Starbucks to serve a full menu, which is not possible in its existing location. He shared that the program requirements include an enlarged point of sale and brewing station, mobile pick-up order counter, and new seating and lounge space.

Mr. King indicated that the estimated total project cost is \$1.75 million, to be financed by Campus Dining.

Mr. King stated that the request before the Board is to adopt a resolution approving the initiation of the Melton Student Center – Relocation for Starbucks Relocation project.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Z. Smith. The motion was seconded by Mr. Vines, and the committee approved the motion by a voice vote.

4. Plainsman Park Improvements, Final Approval

Mr. King stated that the Athletics Department has proposed a project to make significant upgrades to Plainsman Park in support of the Auburn University baseball program, including the following major components: (1) First Base Club, a three-story expansion along first base line stands to add enhanced premium seating, club space, concessions, and improved accessibility for the south entrance to Plainsman Park and Right Field Terrace; (2) Right Field Terrace, seating over the Player Performance Development facility and new concessions; and (3) Green Monster Terrace, a new 4,200 square foot unique viewing area

over the Green Monster, including improved concessions and restrooms to support left field patrons.

Mr. King indicated that the estimated total project cost is \$30 million, to be financed by a combination of gifts, Athletics Department funds, and University bonds.

Mr. King stated that the request before the Board is to adopt a resolution providing final approval of the Plainsman Park Improvement project.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Rane. The motion was seconded by Mr. Roberts, and the committee approved the motion by a voice vote.

5. McWhorter Center – Gymnastics and Softball Team Area Renovation, Final Approval

Mr. King stated that the Athletics Department has proposed the renovation of the gymnastics and softball team areas within the McWhorter Center to allow these programs to compete nationally at the highest level. He explained that the project would renovate the existing two-story 33,500 square foot building. He added that program requirements include renovations to both the teams' locker rooms, team training rooms, and replacement of essential building systems.

Mr. King indicated that the estimated total project cost is \$4.9 million, to be financed by gifts and Athletics Department funds.

Mr. King stated that the request before the Board is to adopt a resolution providing final approval of the McWhorter Center – Gymnastics and Softball Team Area Renovations project.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Ms. Huntley. The motion was seconded by Mr. Pratt, and the committee approved the motion by a voice vote.

6. Recreation and Wellness Center – Renovation for Health Promotion & Wellness Services, Project Initiation

Mr. King stated that Student Affairs has proposed to renovate and build-out a portion of the basement of the Recreation and Wellness Center to relocate Health Promotion and Wellness Services from the Melton Student Center to the Recreation and Wellness Center. He shared that the project would construct office, support, assessment, and meeting spaces to enable the co-location of the staffs of the Campus Recreation and Health Promotion and Wellness Services departments to enhance student support services.

Mr. King indicated that it is anticipated that the project would be financed by Campus Recreation funds.

Mr. King stated that the request before the Board is to adopt a resolution (1) approving the initiation of the Recreation and Wellness Center – Renovation for Health Promotion and Wellness Services project and (2) authorizing the commencement of the architect selection process.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Rane. The motion was seconded by Mr. Roberts, and the committee approved the motion by a voice vote.

7. Clinic Buildings Demolition, Authority to Execute

Mr. King stated that the Clinic Building Demolition project would demolish three vacant College of Human Sciences Clinics building along the east side of Haley Concourse. He explained that once the buildings are demolished, the site will be landscaped to provide additional campus greenspace. He noted that demolition is anticipated to start in the summer of 2023 and be completed in the fall of 2023.

Mr. King indicated that it is estimated that the project would be financed by University general funds.

Mr. King stated that the request before the Board is to adopt a resolution authorizing the execution of the Clinics Buildings Demolition project.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Harbert. The motion was seconded by Mr. Pratt, and the committee approved the motion by a voice vote.

8. Modification to Property Exchange with USDA Agricultural Research Service

Mr. King stated that in November 2021, the Board approved an exchange of land with the Agricultural Research Service (“ARS”) of the United States Department of Agriculture, whereby Auburn University would receive the ARS property at the corner of West Samford Avenue and South Donahue Drive (“ARS Property”) in exchange for University property, including land in the Auburn Research Park where ARS will construct a new research complex.

Mr. King explained that this relocation was made possible by a \$43 million appropriation from the U.S. Congress. He stated that due to a funding shortfall for this project, and with the efforts of the University and the ARS, Congress has appropriated an additional \$28 million to ensure its completion. He added that, however, ARS has requested reserving its continued use to the ARS Property for a temporary period not to exceed 72 months in order to facilitate construction of the new facility and ARS’ transition from its current location.

Mr. King stated that the request before the Board is to adopt a resolution to the previously approved property exchange that allows ARS to reserve in the deed of transfer to the

University's ARS's continued use of the ARS Property until such a time as ARS can fully relocate away from the ARS Property, or 72 months from the date of transfer, whichever occurs first. He stated that the conveyance to Auburn University may be accomplished by quitclaim deed. He also noted that all documents consummating this transaction shall be reviewed as to form by the General Counsel.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Sanford. The motion was seconded by Mr. Sahlie, and the committee approved the motion by a voice vote.

9. Property Disposition of 10% Interest in Real Estate

Mr. King reported that the College of Veterinary Medicine was the beneficiary of a ten percent (10%) residual interest in real estate located at 3914 Panorama Drive in Huntsville, Alabama. He shared that the current owner has offered to buy out Auburn's 10% interest in this property for \$34,350.00, just over 10% of the appraised value. He stated, "It is in the best interest of the University to proceed with this sale."

Mr. King stated that the request before the Board is to adopt a resolution authorizing the approval of the property sale as described, noting that all documents consummating this transaction shall be reviewed as to form by the General Counsel.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Vines. The motion was seconded by Ms. Huntley, and the committee approved the motion by a voice vote.

10. Authority to Engage a Real Estate Broker to Market Auburn University Land in Birmingham, Alabama

Mr. King stated that a 3.05-acre parcel of land located at 304 Goodwin Crest Drive, Birmingham, Alabama, was gifted to Auburn University in 1976. Auburn University has determined that this parcel will not be used for any strategic purpose and has recommended selling the property with the proceeds from the sale to be held and used in accordance with Board policy.

Mr. King reported that the University proposes to hire a real estate broker to market this property, since it is likely that marketing this property through an appropriate broker will result in better sale opportunities than if advertised through an ordinary auction or bid process.

Mr. King stated that the request before the Board is to adopt a resolution providing authorizing the engagement of a duly licensed real estate broker to market and solicit sale offers for the property, consistent with customary broker practices and Alabama law, provided that any proposed sale transactions must be reviewed and approved by the Board prior to closing.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Sahlie. The motion was seconded by Ms. Huntley, and the committee approved the motion by a voice vote. Mr. Roberts abstained from the vote.

11. Status Updates – *For Information Only*

- a. Current Status of New Construction/Renovation/Infrastructure Projects with Budgets of \$1,000,000 and Greater**
- b. Project Status Report**

Chairperson DeMaioribus thanked Mr. King for his reports, and with there being no further items, recessed the committee meeting at 10:25 a.m.

**MINUTES OF A MEETING OF THE
ACADEMIC AFFAIRS COMMITTEE OF THE
AUBURN UNIVERSITY BOARD OF TRUSTEES
FRIDAY, FEBRUARY 3, 2023 AT 10:25 A.M.**

Chairperson Huntley convened the meeting of the Academic Affairs Committee of the Auburn University Board of Trustees on Friday, February 3, 2023 at 10:25 a.m. in Rooms 221-223 of the Taylor Center on the AUM campus in Montgomery, Alabama.

After calling the committee meeting to order, Chairperson Huntley called upon Dr. Nathan for discussion of the single Academic Affairs Committee agenda item:

1. Proposed Bachelor of Science in Public and One Health

Dr. Nathan stated that the College of Veterinary Medicine is proposing the establishment of a Bachelor of Science in Public and One Health for students interested in studying the links between human, animal, and ecosystem health. She explained that the new degree will include multi-disciplinary studies from eight colleges and will provide graduates with employment opportunities across the public and non-profit health sectors. She noted that, in addition, the proposed degree program will offer animal services, veterinary medicine, and agricultural disciplines unique to Auburn University.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Rane. The motion was seconded by Mr. Sanford, and the committee approved the motion by a voice vote.

Chairperson Huntley thanked Dr. Nathan for her reports, and with there being no further items, recessed the committee meeting at 10:30 a.m.

**MINUTES OF A MEETING OF THE
EXECUTIVE COMMITTEE OF THE
AUBURN UNIVERSITY BOARD OF TRUSTEES
FRIDAY, FEBRUARY 3, 2023 AT 10:30 A.M.**

Chairperson W. Smith convened a meeting of the Executive Committee of the Auburn University Board of Trustees on Friday, February 3, 2023 at 10:30 a.m. in Rooms 221-223 of the Taylor Center on the AUM campus in Montgomery, Alabama.

After calling the committee meeting to order, Chairperson W. Smith called upon Dr. Nathan for discussion of the first Executive Committee agenda item.

1. Posthumous Awarding of the Doctor of Philosophy in Earth System Sciences to Sharif Mustaque

Dr. Nathan stated that a request is before the Board to award posthumously the Doctor of Philosophy in Earth System Sciences to Sharif Mustaque, upon recommendation of the faculty and administration.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Rane. The motion was seconded by Mr. DeMaioribus, and the committee approved the motion by a voice vote.

Chairperson W. Smith thanked Dr. Nathan for her report and then discussed the second and third Executive Committee agenda items.

2. Approval of 2023-2024 Meeting Dates

Chairperson W. Smith then stated that the next item was the approval of the 2023-2024 meeting dates as follows:

Friday, August 25, 2023
Friday, November 17, 2023
Friday, February 2, 2024 (Held on the AUM campus in Montgomery)
Friday, April 12, 2024 (Held in Huntsville)
Friday, June 7, 2024 (Annual Board Meeting)

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Harbert. The motion was seconded by *Vice President Pro Tempore* Riggins, and the committee approved the motion by a voice vote.

3. Proposed Awards and Namings

Chairperson W. Smith then asked for a motion to move consideration of the third agenda item, a list of proposed awards and namings, to the reconvened meeting.

A motion to report the item favorably to the full Board during the reconvened meeting was received from Mr. Harbert. The motion was seconded Mr. DeMaioresibus, and the committee approved the motion by a voice vote.

Chairperson W. Smith then called upon *President Pro Tempore* Dumas for discussion of the Executive Committee's fourth and final agenda item.

**4. Appointment of Board Members to the Presidential Assessment Working Group –
*For Information Only***

President Pro Tempore Dumas announced the appointment of *Vice President Pro Tempore* Riggins, Mr. Wayne Smith, and Mr. Timothy Vines to the Presidential Assessment Working Group.

President Pro Tempore Dumas indicated that this item is presented for information only and does not require a vote.

With there being no further items, Chairperson W. Smith recessed the committee meeting at 10:35 a.m.

President Pro Tempore Dumas then moved to reports from various committee chairpersons and lead trustees as follows:

Agriculture and Natural Resources Committee

Chairperson Aderholt began her report by sharing that faculty members from the College of Agriculture and Samuel Ginn College of Engineering are working to establish an Institute for Rural Partnership to research the causes and conditions of challenges facing rural areas. She stated that Auburn is one of three universities sharing a \$28 million grant award from the U.S. Department of Agriculture's National Institute for Food and Agriculture in support of the project. She added that Auburn faculty will leverage their manufacturing and cybersecurity expertise to advance rural Alabama through poultry production and forest products.

Chairperson Aderholt then reported that Alabama Extension is engaging in several extramurally funded activities to strengthen capacity among Alabama to prepare for, respond to, and recover from natural disasters. She noted that currently, Extension holds three grants totaling \$283,000 to promote community level preparation and response efforts.

Chairperson Aderholt then announced that Dr. Wayde Morse, a professor of natural resource sociology in the College of Forestry, Wildlife and Environment, is leading a research team to examine the justification, feasibility, and best methodical approach to the development of a new National Recreational Demand Database. She explained that the project—funded by the U.S. Forest Service—is designed to capture health and equity implications of outdoor recreation use and opportunities.

Chairperson Aderholt concluded her report by sharing that Auburn's six-student soil judging team took home first-place honors, beating out 11 other teams at the Southeastern Regional Collegiate Soil Judging Contest, hosted by Clemson University in October 2022. She stated that Dustin Randolph, an Auburn senior, was the top scorer in the competition. She explained that by winning the Southeastern Region Contest, the Auburn team qualified for the National Contest, which will be held at Oklahoma State University in April 2023.

Research and Technology Committee

Chairperson Sahlie began his report by sharing that the winners of the Creative Research and Scholarship Awards ceremony last year: Dr. Jin Wang of the Samuel Ginn College of Engineering won for the category of sciences, biomedical sciences, engineering, and agriculture; Dr. Brian Connelly of the Harbert College of Business was recognized in the category of fine arts, liberal arts, architecture and design, business, and social and human sciences; and Dr. Robert Jackson of the Samuel Ginn College of Engineering received the Undergraduate Research Mentoring Award.

Chairperson Sahlie then reported that Dr. Pradeep Lall, the MacFarlane Endowed Distinguished Professor of Mechanical Engineering and director of Auburn University's NSF-CAVE3 Electronics Research Center, recently received multiple, high-dollar grants for collaborative research in advanced manufacturing sustainability and extreme environment

electronics. He added that the grants were awarded by the NextFlex National Manufacturing Institute and the Semiconductor Research Corporation. He noted that Dr. Lall was also honored by the American Society of Mechanical Engineers with its inaugural Avram Bar-Cohen Memorial Medal, in recognition of his harsh environment electronics research.

Chairperson Sahlie concluded his report by sharing that Dr. Raj Amin, as associate professor in the Harrison College of Pharmacy's Department of Drug Discovery and Development, has received support from the National Institute of Health to advance his research in developing an Alzheimer's drug. He stated that following NIH-supported research by Dr. Amin and Dr. Vishnu Suppiramaniam, Armin partnered with biopharmaceutical company Oleolive for continued work on the drug, and together they received a Small Business Innovation Research grant from the NIH for phase 1 work. He commented that the NIH Small Business Technology Transfer Program will be funding phase 2 research with Dr. Rusty Arnold, a professor in the Department of Drug Discovery and Development, a co-principal investigator.

President Pro Tempore Dumas thanked Chairpersons Aderholt and Sahlie for their reports. He then indicated that the committee meetings and trustee reports were complete.

**MINUTES OF A REGULAR MEETING OF THE
AUBURN UNIVERSITY BOARD OF TRUSTEES
FRIDAY, FEBRUARY 3, 2023 AT 10:35 A.M.**

President Pro Tempore Dumas convened a regular meeting of the Board of Trustees on Friday, February 3, 2023 at 10:35 a.m. in Rooms 221-223 of the Taylor Center on the AUM campus in Montgomery, Alabama.

President Pro Tempore Dumas asked General Counsel Hammer if there was any pending litigation that needed to be discussed in an executive session. General Counsel Hammer indicated that there was pending litigation that needed to be discussed in an executive session.

President Pro Tempore Dumas then asked for a motion for the Board to enter an executive session. A motion was received from Mr. Harbert. The motion was seconded by Ms. Huntley, and the Board approved the motion by a voice vote.

President Pro Tempore Dumas recessed the regular meeting of the Board of Trustees at 10:40 a.m.

**MINUTES OF A RECONVENED MEETING OF THE
AUBURN UNIVERSITY BOARD OF TRUSTEES
FRIDAY, FEBRUARY 3, 2023 AT 11:30 A.M.**

President Pro Tempore Dumas reconvened the meeting of the Board of Trustees on Friday, February 3, 2023 at 11:30 a.m. in Rooms 221-223 of the Taylor Center on the AUM campus in Montgomery, Alabama.

After reconvening the meeting, *President Pro Tempore* Dumas reminded everyone that today was the last meeting for Mr. Jake Haston, the outgoing president of the Auburn University Student Government Association, in his official capacity as an ex officio board member. Accordingly, *President Pro Tempore* Dumas invited Mr. Haston to deliver final remarks.

Mr. Haston began his remarks by thanking the Board for its dedicated service to Auburn, calling the university a “deeply unique place,” heralding the passion and support from all stakeholder groups across campus and beyond. He commented that “going above and beyond expectations” is what sets Auburn apart from other institutions. Mr. Haston then stated that “prioritizing the student voice and student experience” is an integral part of what makes Auburn, Auburn. He concluded his remarks by thanking the Board and university administrators for obtaining and valuing his input on key issues affecting the student body.

President Pro Tempore Dumas congratulated Mr. Haston on an exemplary job and thanked him for his work during the past year, stating “Thank you, Jake. You have served the university and your peers exceptionally well. We certainly look forward to seeing your future success and all that you accomplish.” *President Pro Tempore* Dumas commented, “I understand that SGA elections are being held today and that we will welcome your successor at the April board meeting.

President Pro Tempore Dumas then asked for a motion to adopt the minutes of the November 11, 2022 meeting. A motion was received from Mr. Rane. The motion was seconded by Ms. Huntley, and the resolution was approved by a voice vote.

The following resolution was approved:

RESOLUTION

APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the November 11, 2022 meeting of the Board of Trustees have been distributed to all members of this Board for review; and

WHEREAS, the members have reviewed the minutes and determined that they constitute a true and correct recitation of the business of the respective meeting.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the minutes of the November 11, 2022 meeting of the Board of Trustees are hereby approved as distributed.

Following the approval of the minutes of the November 11, 2022 meeting, *President Pro Tempore* Dumas called upon Dr. Stockton for the AUM Chancellor's Report.

AUM Chancellor's Report

Dr. Stockton began his report by welcoming everyone to the AUM campus and thanking the AUM staff for their hard work preparing for the meeting.

Dr. Stockton then shared for FY 2022, AUM posted a \$15.6 million positive net position. He indicated that AUM is among over one hundred colleges and universities nationwide in sharing a collective \$60 million in grants from the Howard Hughes Medical Institute. He explained that AUM will receive funding over six years in support of an initiative aimed to assist universities improve undergraduate sciences education in ways that benefit students from diverse backgrounds.

Dr. Stockton then announced that AUM received a grant to address the state's shortage of highly qualified teachers in STEM-related areas. He shared that AUM will collaborate with numerous local school districts to train an upwards of 35 teachers by 2027.

Dr. Stockton concluded his remarks by sharing that AUM's Women's softball team has been ranked number four in the country for the pre-season poll following the team's success in qualifying for and competing in the 2022 Division II College World Series.

President Pro Tempore Dumas thanked Dr. Stockton for his report and then called upon Dr. Roberts for the Auburn University President's Report.

President's Report

Dr. Roberts began his report by thanking Dr. Stockton for hosting the Board on the AUM campus.

Dr. Roberts then called the time spent working with Mr. Haston in his capacity as SGA President "inspirational." Dr. Roberts thanked Mr. Haston for his outstanding work on behalf of his peers and the institution.

Dr. Roberts then stated that "the demand for admission to Auburn has never been higher," referencing the nearly 50,000 applications were received during the early action period—which does not include regular decisions applications still being reviewed. He commented that the desire to attend Auburn presents the university with much opportunity for success, stating that "Auburn's brightest days are still ahead of us." He shared that the university will open recruiting centers around the state to better engage with constituents. He thanked Dr. Joffery Gaymon for her leadership in executing these projects.

Dr. Roberts then reported on the recent successes of Auburn's research initiatives. He shared that this is the second year in a row that Auburn ranked in the top 10 percent of all U.S. research institutions. Dr. Roberts challenged Auburn to continue to work diligently and continuously improve to ensure Auburn continues to rise in success.

Dr. Roberts announced that the *U.S. News & World Report* ranked Auburn's online programs received the best designations, including the College of Education, ranked 10th; the Ginn College of Engineering, ranked 16th; the Harbert College of Business MBA program, ranked 19th; the College of Nursing, ranked 85th.

Dr. Roberts concluded his remarks by discussing the recent naming of the School of Hospitality Management in the College of Human Sciences in honor of Horst Schulze, a founding member and former president of The Ritz Carlton Hotel Company. Dr. Roberts commented, "Horst's name is synonymous with 'excellence' and 'success'."

President Pro Tempore Dumas thanked Dr. Roberts for his report and then moved to the action items and committee meeting reports as follows:

Property and Facilities Committee

Chairperson DeMaioribus indicated that the Property and Facilities Committee met earlier and discussed 10 action items and one item of information. Chairperson DeMaioribus moved for approval of a consent agenda for the Property and Facilities Committee's 10 action items. The motion was seconded by Mr. Rane, and the resolutions were approved by a voice vote with one abstention as follows: Mr. B.T. Roberts abstained from voting on item ten, Authority to Engage a Real Estate Broker to Market Auburn University Land in Birmingham, Alabama.

The following resolutions were approved in the consent agenda:

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

AUBURN UNIVERSITY REGIONAL AIRPORT CORPORATE HANGAR
AT SOUTH RAMP

FINAL PROJECT APPROVAL

WHEREAS, at its previous meeting on November 11, 2022, the Board of Trustees adopted a resolution that approved the initiation of the Auburn University Regional Airport Corporate Hangar at South Ramp project, and the selection of the firm Barge Design Solutions, Inc. of Dothan, Alabama, as the project engineer; and

WHEREAS, the project will construct a five-bay hangar for corporate aircraft that will accommodate the present and growing demand for this size and type of hangar space, and it is anticipated that this new corporate hangar will generate revenue for the Auburn University Regional Airport; and

WHEREAS, the project will be located at the Auburn University Regional Airport on the south ramp, near Airport Road; and

WHEREAS, the estimated total project cost of the Auburn University Regional Airport Corporate Hangar at South Ramp project is \$3.0 million to be financed by a grant from the Alabama Department of Transportation Aeronautics Bureau and Auburn University Regional Airport funds; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval,” the final approval of the project must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Auburn University Regional Airport Corporate Hangar at South Ramp project is approved and that Christopher B. Roberts, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Establish a budget for the Auburn University Regional Airport Corporate Hangar at South Ramp project in the amount of \$3.0 million, to be financed by a grant from the Alabama Department of Transportation Aeronautics Bureau and Auburn University Regional Airport funds.
2. Direct the consultants to complete the required plans for the project; and
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the approved project budget.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

STEM AND AGRICULTURAL SCIENCES COMPLEX

FINAL PROJECT APPROVAL

WHEREAS, the Office of the Provost has proposed a project to construct a new Science, Technology, Engineering, and Mathematics (STEM) and Agricultural Sciences Complex (STEM+Ag); and

WHEREAS, at its previous meeting on September 4, 2020, the Board of Trustees adopted a resolution that approved the initiation of the project; and

WHEREAS, at its meeting on November 20, 2020, the Board of Trustees adopted a resolution that approved the selection of the firms Goodwin Mills Cawood, of Birmingham, Alabama, and Lord Aeck Sargent, of Atlanta, Georgia, as the project architectural team; and

WHEREAS, the proposed project will construct a three-building complex totaling approximately 265,000 square feet to replace and upgrade STEM-related and agricultural science facilities that have exceeded their useful life and are inadequate in terms of size, accessibility, and modern functionality; and

WHEREAS, the proposed STEM+Ag Sciences Complex will provide state-of-the-art wet and dry research labs, principal investigator offices, collaboration spaces, shared lab support spaces, and instructional labs for the following departments:

- Department of Mathematics and Statistics
 - Department of Geosciences
 - Department of Biological Sciences
 - Department of Crop, Soil, and Environmental Sciences
 - Department of Entomology and Plant Pathology
 - Department of Horticulture
-

WHEREAS, the proposed STEM+Ag Sciences Complex will enable the Department of Mathematics & Statistics to be relocated from Parker Hall; the Department of Geosciences to be relocated from Beard Eaves Memorial Coliseum; and the four remaining departments to be relocated from Funchess Hall, enabling the immediate demolition of Parker Hall and facilitating the eventual demolition of Funchess Hall and Beard Eaves Coliseum; and

WHEREAS, the STEM+Ag Sciences Complex will be located along West Samford

Avenue on the northeast corner of the former Hill Residential Hall complex site; and

WHEREAS, the estimated total project cost of the STEM+Ag Sciences Complex project is estimated to be \$200 million, to be financed by a combination of Public School and College Authority (PSCA) Funds, college reserves and gifts, and university bond funding; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval,” the final approval of the project must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the STEM and Agricultural Sciences Complex project is approved and that Christopher B. Roberts, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Establish a budget for the STEM and Agricultural Sciences Complex project in the amount of \$200 million, to be financed by a combination of Public School and College Authority (PSCA) Funds, college reserves and gifts, and university bond funding; and
2. Direct the consultants to complete the required plans for the project; and
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget approved above.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

MELTON STUDENT CENTER RENOVATION FOR STARBUCKS RELOCATION

FINAL PROJECT APPROVAL

WHEREAS, at its previous meeting on June 17, 2022, the Board of Trustees adopted a resolution that approved the initiation of the Melton Student Center Renovation for Starbucks Relocation project, and the selection of the firm Seay, Seay, and Litchfield Architects, of Montgomery, Alabama, as the project architect; and

WHEREAS, the project will renovate approximately 2,400 square feet of the Melton Student Center to relocate the existing Starbucks currently on the second floor into the ground floor space formerly occupied by Au Bon Pain, to provide a larger venue that can serve a full Starbucks menu; and

WHEREAS, the project will be located in the Melton Student Center located on Heisman Drive; and

WHEREAS, the estimated total project cost of the Melton Student Center Renovation for Starbucks Relocation project is \$1.75 million to be financed by Campus Dining; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval,” the final approval of the project must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Melton Student Center Renovation for Starbucks Relocation project is approved and that Christopher B. Roberts, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Establish a budget for the Melton Student Center Renovation for Starbucks Relocation project in the amount of \$1.75 million, to be financed by Campus Dining.
2. Direct the consultants to complete the required plans for the project; and
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget approved above.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

PLAINSMAN PARK IMPROVEMENTS

FINAL PROJECT APPROVAL

WHEREAS, at its meeting on July 26, 2022, the Board of Trustees approved the initiation of the “Plainsman Park Improvements” project and the selection of Cooke Douglass Farr Lemons, of Jackson, Mississippi, as the project architect; and

WHEREAS, the proposed project includes the following major components:

1. First Base Club: A three-story expansion along first base line stands to add enhanced premium seating, club space, concessions, and improved accessibility for the south entrance to Plainsman Park and Right Field Terrace.
2. Right Field Terrace: Seating over the Player Performance Development facility and new concessions.
3. Green Monster Terrace: A new, 4,200 square foot unique viewing area over the Green Monster including improved concessions and restrooms to support left field patrons.

WHEREAS, the project location will be at Plainsman Park located on South Donahue Drive; and

WHEREAS, the estimated total project cost of the Plainsman Park Improvements project is \$30.0 million, to be financed by a combination of gifts, Athletics Department funds, and University bonds.

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval,” the final approval of the project must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Plainsman Park Improvements project is approved and that Christopher B. Roberts, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Establish a budget for the Plainsman Park Improvements project in the amount of \$30.0 million, to be financed by a combination of gifts, Athletics Department funds, and University bonds.

2. Direct the consultants to complete the required plans for the project; and
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget approved above.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

MCWHORTER CENTER GYMNASTICS & SOFTBALL TEAM AREA
RENOVATIONS

FINAL PROJECT APPROVAL

WHEREAS, the Athletics Department has proposed the renovation of the gymnastics and softball team areas within the McWhorter Center to allow these programs to compete nationally at the highest level; and

WHEREAS, at its previous meeting on September 10, 2021, the Board of Trustees adopted a resolution that approved the initiation of the McWhorter Center – Gymnastics and Softball Team Area Renovations project, and at its meeting on November 12, 2021, the Board of Trustees approved the selection of the firm Davis Architects, of Birmingham, Alabama, as the project architect; and

WHEREAS, the project will renovate the existing 33,500 square foot building with renovations to both the softball and gymnastics locker rooms, team training rooms and replacement of essential building systems; and

WHEREAS, the project will be located at the McWhorter Center on West Samford Avenue near the intersection with Biggio Drive; and

WHEREAS, the estimated total project cost of the McWhorter Center – Gymnastics and Softball Team Area Renovations project is \$4.9 million, to be financed by gifts and Athletics Department funds; and

WHEREAS, pursuant to the Board of Trustees policy “D-3, Capital Projects Approval,” the final approval of the project must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the McWhorter Center – Gymnastics and Softball Team Area Renovations project is approved and that Christopher B. Roberts, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Establish a budget for the McWhorter Center – Gymnastics and Softball Team Area Renovations project in the amount of \$4.9 million, to be financed by gifts and Athletics Department funds.

2. Direct the consultants to complete the required plans for the project; and
3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget approved above.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

RECREATION AND WELLNESS CENTER RENOVATION
FOR HEALTH PROMOTION AND WELLNESS SERVICES

APPROVAL OF PROJECT INITIATION AND
AUTHORIZATION TO COMMENCE THE PROJECT ARCHITECT
SELECTION PROCESS

WHEREAS, Student Affairs has proposed a project to renovate and build-out a portion of the basement of the Recreation and Wellness Center to relocate Health Promotion and Wellness Services from the Melton Student Center to the Recreation and Wellness Center; and

WHEREAS, the proposed project will construct office, support, assessment, and meeting spaces to enable the co-location of the staffs of the Campus Recreation and Health Promotion and Wellness Services departments to enhance student support services; and

WHEREAS, it is anticipated that the Recreation and Wellness Center Renovation for Health Promotion and Wellness Services project would be financed by Campus Recreation funds; and

WHEREAS, pursuant to the Board of Trustees policy, "D-3, Capital Projects Approval," the initiation of this project must be submitted to the Board, through the Property and Facilities Committee, for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Recreation and Wellness Center Renovation for Health Promotion and Wellness Services project is approved; and Christopher B. Roberts, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the project architect selection process.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

CLINIC BUILDINGS DEMOLITION

PROJECT APPROVAL AND AUTHORIZATION TO EXECUTE THE WORK

WHEREAS, at its meeting on September 13, 2019, the Board of Trustees approved the initiation of the University Student Housing project, and at its meeting on June 11, 2021, the Board of Trustees approved a resolution initiating the relocation of the College of Human Sciences Clinics to facilitate site preparations for the University Student Housing project; and

WHEREAS, the relocation of these clinics to other facilities on campus is complete; and

WHEREAS, the proposed project will demolish three vacant buildings formerly occupied by these clinics along the east side of the Haley concourse; and

WHEREAS, upon completion of the demolition, this area will be landscaped to provide additional campus greenspace until such time as the University Student Housing project is constructed; and

WHEREAS, this project will also improve campus services in support of the Upper and Lower Quad Housing facilities with a centrally located trash enclosure and increased accessible parking; and

WHEREAS, demolition is anticipated to start in the summer of 2023 and be completed in the fall of 2023; and

WHEREAS, the overall project is estimated to cost \$3.05 million, to be financed by University general funds.

WHEREAS, given the impact on the Auburn University campus resulting from the demolition of these clinic buildings, it is appropriate that the Board of Trustees approve the Clinic Buildings Demolition project and authorize the execution of the demolition.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Clinic Buildings Demolition project is approved; and that Christopher B. Roberts, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to execute the project.

RESOLUTION

MODIFICATION TO PROPERTY EXCHANGE WITH
USDA AGRICULTURAL RESEARCH SERVICE

WHEREAS, in November 2021, the Auburn University Board of Trustees approved an exchange of land with the Agricultural Research Service of the United States Department of Agriculture (“ARS”), whereby Auburn University would receive the ARS property at the corner of West Samford Avenue and South Donahue Drive (“ARS Property”) in exchange for University property, including land in the Auburn Research Park where ARS will construct new buildings (parcels depicted on the attached exhibits); and

WHEREAS, there existed a funding shortfall for this project and with the efforts of the University and the ARS, Congress has appropriated an additional \$28 million to ensure its completion; and

WHEREAS, ARS will need to continue to use the ARS Property for a temporary period not to exceed seventy two (72) months in order to facilitate ARS’s transition from the NSDL Site; and

WHEREAS, ARS has requested reserving its continued use to the ARS Property through a clause in the deed of transfer to the University; and

WHEREAS, for the reasons described herein, the property exchange and related transactions are in the best interest of Auburn University, and are being undertaken for institution-related purposes which comply with applicable Board of Trustees policies.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the previously approved property exchange allow ARS to reserve in the deed of transfer to the University ARS’s continued use of the ARS Property until such time as ARS can fully relocate away from the ARS Property, or seventy two (72) months from the date of transfer, whichever occurs first. The conveyance to Auburn University may be accomplished by quitclaim deed. All documents consummating this transaction shall be reviewed as to form by the General Counsel.

BE IT FURTHER RESOLVED that the resolution passed by the Board on November 12, 2021, remains effective and this resolution is supplementary to the November 12, 2021 resolution in this matter.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

PROPERTY DISPOSITION OF 10% INTEREST IN REAL ESTATE

WHEREAS, Dr. Antje Heide Neubert '70 named Auburn University's College of Veterinary Medicine to receive 10% of her residual estate in her Last Will and Testament; and

WHEREAS, Dr. Neubert passed away in 2014 owning a residential parcel at 3914 Panorama Drive in Huntsville, Alabama (Property) that was subject to a life estate interest (see attached Exhibit 1); and

WHEREAS, Dr. Neubert's Florida probate proceeding did not acknowledge the Alabama residence in Huntsville, the life estate beneficiary passed away in 2016, and probate in Florida formally closed in 2017; and

WHEREAS, Auburn University learned that it inherited a ten percent (10%) interest in the Property in 2021 after being approached to quit claim or sell; and

WHEREAS, the Property has been occupied by Dr. Neubert's 71 year-old brother since 2014 as his sole residence, and the University has had no opportunity to access or control the property due to lack of information about its interest; and

WHEREAS, the other residual owners have conveyed a 90% interest to Mark Horvath, an independent buyer with an arm's length relationship to Auburn University; and

WHEREAS, Mark Horvath, the owner of the ninety percent (90%) interest in the Property has offered to purchase the 10% interest from Auburn University for the appraised value of \$34,350.00; and

WHEREAS, Board of Trustees Policy D-8, Real Estate Sale and Lease Policy, allows the sale of real property when the transaction is in the best interest of the University and the existing University property is not needed to carry out the mission of the University; and

WHEREAS, following closing of the sale of property all net proceeds shall be deposited in a fund for the benefit of the College of Veterinary Medicine; and

WHEREAS, for the reasons described herein, the property sale is in the best interest of Auburn University, is being undertaken for institution-related purposes, and complies with applicable Board of Trustees policies.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the property sale described herein is approved, declared to be for institution-related purposes and in the best interest of Auburn University, and that Christopher B. Roberts, or such other person as may be acting as President, is authorized and empowered to negotiate and execute the deed, in

accordance with the terms stated herein, as well as other legal documents necessary to effectuate the transaction and convey to Mark Horvath the University's 10% interest in the Property. All documents consummating this transaction shall be reviewed as to form by the General Counsel.

PROPERTY AND FACILITIES COMMITTEE

RESOLUTION

AUTHORITY TO ENGAGE A REAL ESTATE BROKER TO MARKET
AUBURN UNIVERSITY LAND IN BIRMINGHAM, ALABAMA

WHEREAS, Auburn University owns property in Birmingham to support its academic, research and related educational activities; and

WHEREAS, the Birmingham real estate holdings include a 3.05 acre parcel of property at 304 Goodwin Crest Drive that was donated to the university by James Goodwin in 1976. (see attached Exhibit 1); and

WHEREAS, Auburn University does not currently utilize this parcels nor intends to do so in the foreseeable future; and

WHEREAS, selling all the parcels would generate revenue and other benefits that could support Auburn University; and

WHEREAS, Alabama law permits the University to offer real property for sale by listing it with a duly licensed real estate broker, provided that the institution determines that this procedure is economically justified and in the best interest of the University; and

WHEREAS, the University proposes to hire a real estate broker to market these sites, since it is likely that marketing this property through an appropriate broker will result in better sale opportunities than if advertised through an ordinary auction or bid process; and

WHEREAS, any proposed sale transactions resulting from the broker engagement shall be presented to the Board of Trustees for its review and approval prior to closing.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that, given the unique location of the property and possible commercial and retail opportunities there, it is economically justified and in the best interest of the University to engage a duly licensed real estate broker to market and identify opportunities to sell the the property described above.

BE IT FURTHER RESOLVED that Christopher B. Roberts, President, or such person as may be acting as President, or his designee, is hereby authorized and empowered to engage a duly licensed real estate broker to market and solicit sale offers for the property described above, consistent with customary broker practices and Alabama law, provided that any proposed sale transactions must be reviewed and approved by the Board of Trustees prior to closing.

Academic Affairs Committee

Chairperson Huntley indicated that the Academic Affairs Committee met earlier and discussed one action item. Chairperson Huntley moved for approval of the Academic Affairs Committee's single action item. The motion was seconded by Mr. Rane, and the resolution was approved by a voice vote.

The following resolution was approved:

ACADEMIC AFFAIRS COMMITTEE

RESOLUTION

PROPOSED BACHELOR OF SCIENCE IN PUBLIC AND ONE HEALTH

WHEREAS, the College of Veterinary Medicine contributes to Auburn University's land-grant mission by conducting instructional, research, and outreach activities designed to improve the health of animals, humans, and the environment; and

WHEREAS, the college proposes a new undergraduate degree program, the Bachelor of Science in Public and One Health, for students interested in studying the links between human, animal, and ecosystem health; and

WHEREAS, the proposed degree will provide students with a curriculum that integrates multi-disciplinary courses designed to advance current and emerging issues in the fields of disease transmission, global health, and the interface of humans, animals, and the environment; and

WHEREAS, graduates of the proposed degree program will have employment opportunities in the public and nonprofit health sectors at state, local, and national public health departments, as well as positions such as epidemiologists, disease intervention specialists, laboratory personnel, communication professionals, policy experts, and other public health care workers; and

WHEREAS, the proposed degree program will require additional administrative and faculty positions, to be funded by the college; and

WHEREAS, the request to create this degree has been endorsed by the Dean of the College of Veterinary Medicine, the University Curriculum Committee, the Interim Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Bachelor of Science in Public and One Health be approved and submitted to the Alabama Commission on Higher Education for review and approval.

Executive Committee

Chairperson W. Smith indicated that the Executive Committee met earlier and discussed three action items and one item of information.

Chairperson W. Smith reported that the first action item presented to the Executive Committee was a posthumous awarding of the Doctor of Philosophy in Earth System Sciences to Sharif Mustaque. Chairperson W. Smith moved for approval of the item. The motion was seconded by Mr. Rane, and the resolution was approved by a voice vote.

Chairperson W. Smith reported that the second action item presented to the Executive Committee was the approval of the 2023-2024 meeting dates. Chairperson W. Smith moved for approval of the item. The motion was seconded by Mr. Rane, and the resolution was approved by a voice vote.

Chairperson W. Smith reported that the third and final action item presented to the Executive Committee was a list of proposed awards and namings. Chairperson W. Smith moved for approval of the item. The motion was seconded by Mr. Rane, and the resolutions were approved by a voice vote.

The following resolutions were approved:

EXECUTIVE COMMITTEE

RESOLUTION

POSTHUMOUS AWARDING OF THE DOCTOR OF PHILOSOPHY IN
EARTH SYSTEM SCIENCES TO SHARIF MUSTAQUE

WHEREAS, Sharif Mustaque, a graduate student in the College of Sciences and Mathematics, was pursuing a doctoral degree in Earth System Science before passing away in January 2023; and

WHEREAS, Mr. Mustaque passed away before he could complete the final requirements necessary for a Doctor of Philosophy in Earth System Science; and

WHEREAS, the faculty in the Department of Geosciences, the Dean of the Graduate School, the Dean of the College of Sciences and Mathematics, the Interim Provost, and the President recommend that the Doctor of Philosophy in Earth System Science be awarded posthumously.

NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that the degree of Doctor of Philosophy in Earth System Science is hereby granted posthumously to Sharif Mustaque in recognition of his academic achievements as a student at Auburn University.

BE IT FURTHER RESOLVED that the Board hereby expresses its sympathy and condolences to the family of Sharif Mustaque in this period of sadness and that a copy of this resolution be presented to them so that they will know of this action and the university's profound loss.

**APPROVAL OF 2023-2024 MEETING DATES
FEBRUARY 3, 2023 BOARD MEETING
ON THE AUM CAMPUS IN MONTGOMERY
AUBURN UNIVERSITY BOARD OF TRUSTEES**

PROPOSED 2023-2024 MEETING DATES

Friday, August 25, 2023

Friday, November 17, 2023

(Auburn vs. New Mexico State Football Game)

Friday, February 2, 2024

(Held on the AUM campus in Montgomery)

Friday, April 12, 2024

(Held in Huntsville)

Friday, June 7, 2024

(Annual board meeting)

EXECUTIVE COMMITTEE

RESOLUTION

NAMING A COLUMN IN THE
ADVANCED STRUCTURAL ENGINEERING LABORATORY
AT AUBURN UNIVERSITY AS
THE QUALICO STEEL COLUMN

February 3, 2023

WHEREAS, Qualico Steel Co. Inc. is a family-owned business focused on providing top-quality structural steel fabrication for industrial projects; and

WHEREAS, the company, founded by John E. Downs in 1976, became an American Institute of Steel Construction (AISC) Certified fabricator in 1986 and serves an array of clients across diverse industries, including power, manufacturing, automotive and government; and

WHEREAS, Qualico Steel strives to be an asset to its clients by providing quality solutions and strong customer service in structural steel engineering, design, detailing, fabrication and coating; and

WHEREAS, Qualico Steel has graciously given to and supported the Samuel Ginn College of Engineering.

NOW, THEREFORE, BE IT RESOLVED that one of the steel columns located in the Advanced Structural Engineering Laboratory be named the Qualico Steel Column in honor of the company's generous support and ongoing dedication.

EXECUTIVE COMMITTEE

RESOLUTION

NAMING THE CONFERENCE ROOM 278
IN ROSS HALL
AT AUBURN UNIVERSITY AS
THE DR. LARRY MONROE AND CINDY GREEN CONFERENCE ROOM

February 3, 2023

WHEREAS, Larry S. Monroe and Cindy Coker Green each graduated from Auburn University in 1979 with bachelor's degrees in chemical engineering; and

WHEREAS, Larry worked at DuPont for two years before attending the Massachusetts Institute of Technology, where he received his doctorate in chemical engineering in 1989 and served in a research staff position before joining Birmingham's Southern Research Institute as a principal investigator; and

WHEREAS, Larry then joined Southern Company in 1998, serving in a broad range of leadership roles, before his leap to chief environmental officer and senior vice president of research and environmental affairs from 2014-2017; and

WHEREAS, during her more than 30-year career at DuPont, Cindy served as a business president — one of only three women serving in that position in the company's history — and as chief sales and marketing officer before her retirement 2011; and

WHEREAS, Cindy and Larry both have been named Distinguished Auburn Engineers by the Auburn Alumni Engineering Council in recognition of their esteemed careers and dedicated service to the university and the college.

NOW, THEREFORE, BE IT RESOLVED that Conference Room 278 located in Ross Hall be named the Dr. Larry Monroe and Cindy Green Conference Room in honor of their generous support and ongoing dedication.

EXECUTIVE COMMITTEE

RESOLUTION

NAMING ROOMS 3325 AND 3326
AT THE SHELBY CENTER FOR ENGINEERING TECHNOLOGY
AT AUBURN UNIVERSITY AS
THE DEBASIS RATH LABORATORY

February 3, 2023

WHEREAS, Debasis “Dave” Rath graduated from Auburn University in 1992 with a bachelor’s degree in civil engineering; and

WHEREAS, he serves as CEO of ProcessMAP Corp., the world’s leading software platform for environment, health, safety and sustainability information management; and

WHEREAS, prior to ProcessMAP, Dave worked for ERM, the world’s leading multi-national environment, health and safety, or EHS, consultancy headquartered in London, where he shared his expertise in EHS compliance and management for a broad array of clients; and

WHEREAS, Dave has over 20 years of experience in the EHS arena – from regulatory agencies to management consulting and information management solutions; and

WHEREAS, he has graciously given to and supported the Samuel Ginn College of Engineering at Auburn University.

NOW, THEREFORE, BE IT RESOLVED that rooms 3325 and 3326 of the Shelby Center for Engineering Technology be named the Debasis Rath Laboratory in honor of his generous support and ongoing dedication.

EXECUTIVE COMMITTEE

RESOLUTION

NAMING THE LOBBY OF THE
AUBURN UNIVERSITY RESEARCH AND INNOVATION CAMPUS
AS THE JACK AND LAURA FITE LOBBY

February 3, 2023

WHEREAS, Jack Fite earned his bachelor's degree in building science from Auburn in 1985 and Laura Fite earned her bachelor's in pharmacaal science from Auburn in 1986; and

WHEREAS, Jack serves as the president and owner of Fite Building Co. in Decatur, Alabama; and

WHEREAS, he built the Fite Building Co. into a major, full-service general contracting and construction management firm, including work in commercial, industrial, retail, financial, medical, government institutions, schools and churches; and

WHEREAS, Laura, who recently retired from Bendall's Pharmacy, is an active mentor to Auburn pharmacy students and an active leader in her community; and

WHEREAS, the Fites have supported Auburn University through the Auburn Alumni Association, College of Architecture, Design and Construction, Harrison School of Pharmacy, Auburn Athletics and the Samuel Ginn College of Engineering.

NOW, THEREFORE, BE IT RESOLVED that the lobby located on main floor of the Auburn University Research and Innovation Campus be named the Jack and Laura Fite Lobby in honor of their generous support and ongoing dedication.

EXECUTIVE COMMITTEE

RESOLUTION

NAMING THE TOP FLOOR MEZZANINE
IN ROSS HALL
AT AUBURN UNIVERSITY AS
THE CINDY GREEN AND LARRY MONROE MEZZANINE

February 3, 2023

WHEREAS, Cindy Coker Green and Larry S. Monroe each graduated from Auburn University in 1979 with bachelor's degrees in chemical engineering; and

WHEREAS, during her more than 30-year career at DuPont, Cindy served as a business president — one of only three women serving in that position in the company's history — and as chief sales and marketing officer before her retirement in 2011; and

WHEREAS, Larry worked at DuPont for two years before attending the Massachusetts Institute of Technology, where he received his doctorate in chemical engineering in 1989 and served in a research staff position before joining Birmingham's Southern Research Institute as a principal investigator; and

WHEREAS, Larry then joined Southern Company in 1998, serving in a broad range of leadership roles, before his leap to chief environmental officer and senior vice president of research and environmental affairs from 2014-2017; and

WHEREAS, Cindy and Larry both have been named Distinguished Auburn Engineers by the Auburn Alumni Engineering Council in recognition of their esteemed careers and dedicated service to the university and the college.

NOW, THEREFORE, BE IT RESOLVED that the top floor mezzanine in Ross Hall be named the Cindy Green and Larry Monroe Mezzanine in honor of their generous support and ongoing dedication.

EXECUTIVE COMMITTEE

RESOLUTION

NAMING ROOMS 245 & 260 IN THE KINESIOLOGY BUILDING AS THE
NUTRABOLT APPLIED AND MOLECULAR PHYSIOLOGY LABORATORY
FOR A THREE-YEAR PERIOD

February 3, 2023

WHEREAS, Nutrabolt is a global, active health and wellness company with a mission to innovate, inspire, and make products that maximize human potential accessible to all; and

WHEREAS, the Molecular and Applied Sciences Laboratory at Auburn University focuses on how nutrition and exercise affect the molecular environment of muscle and fat tissues; how aging affects the genetic architecture of skeletal muscle; and how weight training affects the intra-muscle cell adaptations; and

WHEREAS, both entities have worked diligently to foster a productive collaboration that equips Auburn students with the best research experience possible; and

WHEREAS, Nutrabolt has demonstrated their commitment to strengthening their relationship with the School of Kinesiology and Auburn University.

NOW, THEREFORE, BE IT RESOLVED that Rooms 245 and 260 in the School of Kinesiology be named the Nutrabolt Applied and Molecular Physiology Laboratory, for a period of three years, in recognition of their generous support for Auburn University and the College of Education.

EXECUTIVE COMMITTEE

RESOLUTION

NAMING A POND
AT THE JAY AND SUSIE GOGUE PERFORMING ARTS CENTER
AT AUBURN UNIVERSITY AS
THE FLOYD REDD HAYLEY POND

February 3, 2023

WHEREAS, Albert M. Redd graduated from Auburn University in the 1920s. During his time at Auburn, he played baseball and basketball for the university while also training for the military; and

WHEREAS, Albert Redd's daughter, Floyd Redd, followed in his footsteps and attended Auburn University. A member of Kappa Delta, she graduated in 1964 from the College of Education; and

WHEREAS, while attending Auburn University, Floyd met and married Lee R. Hayley, who was the captain of the football team. Lee graduated with both bachelor's and master's degrees from the College of Education; and

WHEREAS, Floyd and Lee had two sons, Tom and Dick Hayley. Both sons are proud Auburn University alumni who graduated in the 1970s; and

WHEREAS, Floyd Redd Hayley has nine grandchildren who all attended and graduated from Auburn University; and

WHEREAS, the Hayley-Redd Family Foundation would like to honor Floyd's love of her family, her community and Auburn University through this gift.

NOW, THEREFORE, BE IT RESOLVED that the pond at the Gogue Performing Arts Center at Auburn University be named the Floyd Redd Hayley Pond in recognition of support from the Hayley-Redd Family Foundation.

EXECUTIVE COMMITTEE

RESOLUTION

NAMING THE SIMULATOR BAY
AT THE DELTA AIR LINES AVIATION EDUCATION BUILDING
AT AUBURN UNIVERSITY AS
THE CAPTAIN DAVID STAFFORD MILLER SIMULATOR BAY

February 3, 2023

WHEREAS, Capt. David Stafford Miller, is a 1977 aviation management graduate of Auburn University and retired major in the United States Marine Corps; and

WHEREAS, Capt. Miller served his country as a helicopter pilot and ultimately a pilot for the Marine Helicopter Squadron One for U.S. Presidents Ronald Reagan and George H.W. Bush; and

WHEREAS, Capt. Miller joined American Airlines in 1990 after retiring from military service and has piloted the Boeing 727, Super 80, Boeing 777, Boeing 757, Boeing 767 and Airbus 320; and

WHEREAS, he earned more than 22,700 flight hours over his distinguished airline and military career; and

WHEREAS, Capt. Miller continues to serve others as a valued faculty member in the School of Aviation. He developed the flight simulator program into one of the most pre-eminent in the United States, created the virtual reality training lab and played an instrumental role in delivering a lab supporting ongoing Federal Aviation Administration grant work, which will lead to a new generation of simulation for the School of Aviation.

NOW, THEREFORE, BE IT RESOLVED that the Simulator Bay at the Delta Air Lines Aviation Education Building be named the Captain David Stafford Miller Simulator Bay in recognition of his selfless service to his country and beloved university.

Before recessing the meeting, *President Pro Tempore* Dumas reminded everyone that the 2023 Lifetime Achievement Awards will be held at the Auburn Hotel on Saturday, February 25th.

President Pro Tempore Dumas then asked Mr. Z. Smith and Mr. W. Smith to continue serving on the Lifetime Achievement Awards Selection Committee for the coming year. *President Pro Tempore* Dumas noted that the Alumni Association will soon begin to collect nominations for next year's Awards.

President Pro Tempore Dumas then announced that the official installation of Dr. Roberts as Auburn's 21st president will occur on Thursday, April 13, 2023 at 3:00 p.m. in the Jay and Susie Gogue Performing Arts Center. He commented, "During this academic ceremony, the Board of Trustees will ceremoniously recognize Dr. Roberts with the powers and responsibilities that were bestowed upon him when he assumed the role in May. The Board will present Dr. Roberts with the Presidential Medallion, and then he will outline his vision for Auburn's future. *President Pro Tempore* Dumas added that the ceremony would be live-streamed, and seating will be available for members of the Auburn and AUM campus communities on the installation website beginning in March 2023."

President Pro Tempore Dumas concluded his remarks by thanking Dr. Stockton for hosting the board meeting on the AUM campus and showing steadfast hospitality.

President Pro Tempore Dumas then indicated that with there being no further items for review, the meeting was recessed at 12:00 p.m.

Respectfully Submitted,

Jon G. Waggoner
Secretary to the Board of Trustees

RESOLUTION

AWARDING OF DEGREES

WHEREAS, Auburn University confers appropriate degrees upon those individuals who have completed requirements previously approved by this Board of Trustees and stated in University Catalogs.

NOW, THEREFORE, BE IT RESOLVED as follows:

1. That all degrees to be awarded by the faculty of Auburn University and Auburn University at Montgomery at the end of the Spring 2023 semester, complying with the requirements heretofore established by the Board of Trustees, be and the same are hereby approved.
2. That a list of the degrees awarded be filed and maintained in the records of the University and hereby made an official part of this resolution and of these minutes.