

MINUTES OF RECONVENED MEETING OF THE
BOARD OF TRUSTEES
OF
AUBURN UNIVERSITY

SEPTEMBER 5, 2014

AGENDA
AUBURN UNIVERSITY BOARD OF TRUSTEES
SEPTEMBER 5, 2014

1. Approval of the June 27, 2014 Meeting Minutes
2. Awarding of Degrees
3. President's Report
4. Action Items and Committee Reports
 - A. AUM Committee/Chairperson Sahlie
 1. Proposed Option in Photography in the Bachelor of Arts in Visual Arts Degree at AUM
 2. Proposed Master of Accountancy at AUM
 3. Proposed Bachelor of Arts in Geographic Information Systems at AUM
 4. Proposed Master of Arts in Geographic Information Systems at AUM
 - B. Finance Committee/Chairperson McCrary
 1. Approval of the 2014-2015 Budget
 - C. Property and Facilities Committee/Chairperson Harbert
 1. Cater Hall Repair and Renovation, Approval of Project Initiation and Authorization to Commence the Project Architect Selection Process
 2. Woodfield Drive Extension, Approval of Facility Program, Site, Budget, Funding Plan and Schematic Design
 3. Mell Classroom Building: Approval of Facility Program, Site, Budget, Funding Plan, and Schematic Design
 4. Real Estate Acquisition Johnson Property, Solon Dixon Center, Covington County, Alabama
 - D. Executive Committee/Chairperson Lanier
 1. Presidential Assessment (Jimmy Rane)
 2. Proposed Awards and Namings (Gaines Lanier)
5. Recess Meeting

MINUTES OF A RECONVENED MEETING OF THE
AUBURN UNIVERSITY BOARD OF TRUSTEES
FRIDAY SEPTEMBER 5, 2014
AUBURN UNIVERSITY

The Board of Trustees of Auburn University reconvened for a meeting on Friday, September 5, 2014, in Ballroom B of the Auburn University Hotel. President Pro Tempore Jimmy Rane convened the meeting at 10:15 a.m. Upon roll call by Board Secretary Grant Davis, the following named members of the Board of Trustees were deemed to be in attendance:

Mike DeMaioribus, Bob Dumas, Raymond Harbert, Elizabeth Huntley, D. Gaines Lanier, Sarah B. Newton, Jim Pratt, Jimmy Rane, B.T. Roberts, Clark Sahlie, Jimmy Sanford, and Wayne Smith

The following named Trustees were absent:

Mr. Charles McCrary and Governor Robert Bentley

The individuals listed above represent all persons recognized as members of the Board of Trustees of Auburn University at the time of the meeting. Also sitting with the Board were Larry Crowley, Faculty Advisor (AU); Peter Zachar, Faculty Advisor (AUM); AUM SGA President Marie Rueter; and AU SGA President Logan Powell. Other persons in attendance at the meeting included the following:

Jay Gogue, President; Timothy Boosinger, Provost; Don Large, Executive Vice President; John Veres, Chancellor, AUM; Joe King, Provost of AUM; Grant Davis, Secretary to the Board of Trustees; Lee Armstrong, General Counsel; Bobby Woodard, Vice President and Associate Provost for Student Affairs; Debbie Shaw, Vice President for Alumni Affairs; Jon Waggoner, University Counsel; Brian Keeter, Director of Public Affairs; Sherri Fulford, Executive Director of Governmental Affairs; Dan King, Assistant Vice President for Facilities; Wayne Alderman, Dean of Enrollment Services; Royrickers Cook, Assistant Vice President for Outreach; Drew Clark, Director of Institutional Research and Assessment; Larry Fillmer, Executive Director for Program Development; Camille Barkley, Executive Director, Communications and Marketing; Michael Freeman, Chair, AU Staff Council; Ashley Hamberlin, Chair, AU Administrative and Professional Assembly; Patricia Duffy, AU University Senate Chair; Bill Stone, President of the AU Alumni Board and members of the media; and others.

Mr. Rane asked Mr. Armstrong if there were matters to be discussed in Executive Session. Mr. Armstrong indicated that there were pending or potential litigation matters to discuss. A motion was received from Mr. Rane to move into Executive Session, seconded by Mr. Harbert, and the Board of Trustees unanimously approved. Mr. Rane announced that the meeting would reconvene within thirty minutes.

Mr. Rane reconvened the meeting at 11:07 a.m. and asked for a motion to adopt the minutes from the June 27, 2014 meeting. A motion was received from Mr. Roberts, seconded by Mr. Harbert, and the following minutes were unanimously approved.

RESOLUTION

APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the Reconvened Meeting on Friday, June 27, 2014, have been distributed to all members of this Board for review.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees that the minutes of its June 27, 2014, meeting are hereby approved as distributed.

Mr. Rane asked for a motion to adopt the Awarding of Degrees. A motion was received from Ms. Huntley, seconded by Mr. Dumas, and the Board unanimously adopted the following:

AWARDING OF DEGREES

WHEREAS, Auburn University confers appropriate degrees upon those individuals who have completed requirements previously approved by this Board of Trustees and stated in University Catalogs.

NOW, THEREFORE, BE IT RESOLVED as follows:

1. That all degrees to be awarded by the faculties of Auburn University on December 13, 2014, and Auburn University Montgomery on December 20, 2014, complying with requirements heretofore established by the Board of Trustees, be and the same are hereby approved.
2. That a list of the degrees awarded on the above listed dates be filed in the Book of Exhibits and made a part of this resolution and of these minutes.

Mr. Rane then called upon Dr. Gogue for the President's Report. At Dr. Gogue's invitation, Dr. John Veres presented a report on the AUM campus. After the report, Dr. Gogue presented an update on the Auburn University campus and called upon Provost Boosinger, who introduced Dr. Stu Schneller for a report on his research on ways to combat the Ebola virus.

Mr. Rane then moved to Committee Reports as follows:

AUM Committee -- Chairperson Sahlie. Mr. Sahlie advised that earlier the AUM Committee and Academic Affairs Committee had reviewed items and moved for adoption of a unanimous consent agenda. A second was received from Ms. Newton, and the Board unanimously adopted the following resolutions:

RESOLUTION

PROPOSED OPTION IN PHOTOGRAPHY IN THE BACHELOR OF ARTS IN VISUAL ARTS DEGREE

WHEREAS, the AUM Bachelor of Arts in Visual Arts degree provides opportunities for students to focus on major art forms; and

WHEREAS, photography has become a well-established art form since its inception in the nineteenth century and the proliferation of electronic media has only increased the presence of photography in the lives of most people; and

WHEREAS, even with technological advances, effective photography demands rigorous training and an option in photography would draw on courses currently taught at AUM; and

WHEREAS, launching the proposed photography option in the Bachelor of Arts in Visual Arts degree would require no additional resources; and

WHEREAS, the proposal to establish an option in Photography has been endorsed by the Faculty and Department Head in the Department of Fine Arts, the Dean of the School of Liberal Arts, the AUM Curriculum Committee, the Provost, and the Chancellor of AUM. .

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed option in Photography in the Bachelor of Arts in Visual Arts at AUM be approved and submitted to the Alabama Commission on Higher Education for review and approval.

RESOLUTION

PROPOSED MASTER OF ACCOUNTANCY

WHEREAS, the faculty in the Department of Accounting at Auburn University at Montgomery wishes to offer a Master of Accountancy Degree program that would further prepare its accounting graduates and others in the River Region to advance in their respective career fields; and

WHEREAS, the proposed Master of Accountancy degree program would enhance enrollment by providing students with the opportunity to tailor advanced study to their unique career goals; and

WHEREAS, the request to create this Master of Accountancy degree has been endorsed by the Dean of the College of Business, the University Graduate Council, the Provost, and the Chancellor.

NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that the proposed Master of Accountancy from the College of Business at Auburn University at Montgomery be approved and submitted to the Alabama Commission on Higher Education for review and approval.

RESOLUTION

PROPOSED BACHELOR OF ARTS IN GEOGRAPHIC INFORMATION SYSTEM AT AUM

WHEREAS, AUM seeks to provide additional opportunities for students interested in developing professional skills at the undergraduate level in Geographic Information Systems, the sophisticated application of computer analysis to spatial relationships; and

WHEREAS, AUM's program in Geographic Information Systems is well recognized, and undergraduate education focused in Geographic Information Systems will provide employees needed in a variety of occupations in and beyond the state, in both the private and public sectors; and

WHEREAS, work in Geographic Information Systems increasingly demands training in the field and AUM's Certificate in Geographic Information Systems has provided AUM a track record of preparing students for such work and placing them in positions, and AUM is well positioned to offer students a variety of hands-on experience in the field, through internships and especially through grants and contracts; and

WHEREAS, the request to create this Bachelor of Arts in Geographic Information Systems has been endorsed by the AUM's Department of Sociology, Dean of the School of Liberal Arts, Curriculum Committee, Provost, and Chancellor.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Bachelor of Arts in Geographic Information Systems be approved and submitted to the Alabama Commission on Higher Education for review and approval.

RESOLUTION

PROPOSED MASTER OF ARTS IN GEOGRAPHIC INFORMATION SYSTEMS AT AUM

WHEREAS, AUM seeks to provide an opportunity for students to develop professional skills at the graduate level in Geographic Information Systems, the sophisticated application of computer analysis to spatial relationships; and

WHEREAS, AUM's program in Geographic Information Systems is well recognized and graduate education focused in Geographic Information Systems will provide employees needed in a variety of occupations in and beyond the state, in both the private and public sectors; and

WHEREAS, work in Geographic Information Systems increasingly demands training in the field and AUM's Graduate Certificate in Geographic Information Systems has provided AUM a track record of preparing students for such work and placing them in positions, and AUM is well positioned to offer students a variety of hands-on experience in the field, through internships and especially through grants and contracts; and

WHEREAS, the request to create this Masters of Arts in Geographic Information Systems has been endorsed by the AUM's Department of Sociology, Dean of the School of Liberal Arts, Graduate Council, Provost, and Chancellor.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Master of Arts of Geographic Information Systems from the School of Liberal Arts be approved and submitted to the Alabama Commission on Higher Education for review and approval.

Ms. Huntley then called upon Provost Boosinger who introduced the newly appointed Vice President and Associate Provost for Student Affairs, Dr. Bobby Woodard. Ms. Newton, Chairman of the Student Affairs Committee, welcomed Dr. Woodard and thanked Mr. Jon Waggoner for his service as Interim Vice President of Student Affairs.

Finance Committee -- Chairperson McCrary. In the absence of Chairperson McCrary, Mr. Harbert reported that the Finance Committee had met earlier and viewed an outstanding presentation and moved for adoption of approval of the 2014-2015 Budget. A second was received from Mr. DeMaioribus, and the Board unanimously adopted the following resolution:

RESOLUTION

2014-2015 BUDGET

BE IT RESOLVED by the Board of Trustees of Auburn University as follows:

Section 1. The operating budget for Auburn University covering current operating funds and auxiliary funds for the fiscal year beginning October 1, 2014, and ending September 30, 2015, as presented by the President and approved by the Finance Committee of the Board of Trustees, be, and the same is hereby approved.

Section 2. Nothing in said budget shall be accepted or construed to be legal obligations or liabilities against Auburn University. The amounts fixed in the components of the budget for the year 2014-2015 shall be understood to be the relative amounts to be paid or expended for those components in relationship to the funds and/or income of the University available for the support and maintenance of the University.

Section 3. The proposed expenditure amounts as set out in the budget are hereby approved and adopted and the President is authorized and empowered to enact such budget on October 1, 2014. The President is further authorized and empowered to effect routine adjustments to this budget as deemed necessary and appropriate.

Section 4. The Finance Committee of the Board of Trustees is requested and authorized to approve material adjustments in this budget as may be determined necessary and presented by the President.

Property and Facilities Committee -- Chairperson Harbert. Mr. Harbert indicated that Property and Facilities had met earlier and made a motion to adopt a unanimous consent agenda for four items reviewed and approved. A second was received from Ms. Huntley, and the Board unanimously adopted the following resolutions:

RESOLUTION

CATER HALL REPAIR AND RENOVATION APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE PROJECT ARCHITECT SELECTION PROCESS

WHEREAS, Cater Hall was originally built in 1915, and since that time has served as the President's residence; the social center for the Quad dormitories; the administrative offices of Katherine Cooper Cater, Dean of Women; and most recently, as the home of the Honors College; and

WHEREAS, in 2003, Cater Hall was listed on the National Register of Historic Places due to its historic and cultural value to Auburn University; and

WHEREAS, due to its age and need of repair and renovation, Facilities Management proposes to execute a two phase project to repair and renovate Cater Hall to correct exterior building envelope, structural, mechanical, electrical, and interior architectural issues; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Cater Hall Repair and Renovation project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the selection process of a project architect.

RESOLUTION

WOODFIELD DRIVE EXTENSION APPROVAL OF FACILITY PROGRAM, SITE, BUDGET, FUNDING PLAN & SCHEMATIC DESIGN

WHEREAS, the Board of Trustees approved the initiation of Woodfield Drive Extension project and the selection of a project architect at its meeting of April 11, 2014; and

WHEREAS, the Woodfield Drive Extension project would construct a two lane road to provide access to the Auburn University Research Park and Health Science Sector on the west side of South Donahue Drive; and

WHEREAS, estimated total project cost of the Woodfield Drive Extension facility is \$1.4 million, to be funded by state funds; and

WHEREAS, the schematic design of the Woodfield Drive Extension project, prepared by the architect, has been determined to be consistent with the "*Comprehensive Master Plan*," the "*Image and Character of Auburn University*," the facility program, and the project budget.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the project program for Woodfield Drive Extension project is approved, and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a total project budget in the amount of \$1.4 million to be funded by state funds.
2. Direct the consultants to complete the required plans for the project.
3. Solicit bids for construction conditioned upon the availability of sufficient funding as approved by the President.
4. Award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.

RESOLUTION

MELL CLASSROOM BUILDING APPROVAL OF FACILITY PROGRAM, SITE, BUDGET, FUNDING PLAN & SCHEMATIC DESIGN

WHEREAS, the Board of Trustees approved the initiation of Central Classroom Facility project at its meeting of June 17, 2011 and the selections of the project architect and construction manager at its meeting of April 20, 2012; and

WHEREAS, subsequent strategic and campus planning efforts have modified the original Central Classroom Facility concept, and in its place, it is proposed that the University construct two classroom buildings and a laboratory facility; and

WHEREAS, the first building to be constructed of these three facilities is the Mell Classroom Building, which will provide approximately 69,000 square foot of state-of-the-art classrooms, lecture halls, team based learning space, and collaborative learning areas in support of Auburn University's instructional mission; and

WHEREAS, the project location is on the west side of the Ralph Brown Draughton Library on Mell Street; and

WHEREAS, the estimated total project cost of the Mell Classroom Building facility is \$25,000,000, to be funded by unrestricted University funds; and

WHEREAS, the schematic design of the Mell Classroom Building project has been determined to be consistent with the "*Comprehensive Master Plan*," the "*Image and Character of Auburn University*," the facility program, and the project budget.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the project program for Mell Classroom Building project is approved, and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a total project budget in the amount of \$25 million to be funded by previously budgeted University funds.
2. Direct the consultants to complete the required plans for the project.
3. Solicit bids for construction conditioned upon the availability of sufficient funding as approved by the President.
4. Award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.

RESOLUTION

REAL ESTATE ACQUISITION JOHNSON PROPERTY SOLON DIXON CENTER COVINGTON COUNTY, ALABAMA

WHEREAS, Auburn University owns and operates the Solon Dixon Center in Covington County, AL; and

WHEREAS, approximately 19 acres of vacant timber land located adjacent to the Solon Dixon Center in the Covington County have been offered for sale to the University by the Estate of D. J. Johnson; and

WHEREAS, the additional property will contribute to the mission and operation of the Solon Dixon Center.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such person as may be acting as President, be and the same is hereby

authorized and empowered to take such action as is necessary and desirable to purchase approximately 19 acres of land located in Covington County, Alabama as shown on Exhibit A. An appraisal of the property has been completed, and the purchase price will not exceed the appraised value. All documents consummating the purchase of the property shall be reviewed as to form by legal counsel for Auburn University.

Mr. Harbert then made a motion to discuss an item not on the agenda, Real Estate Acquisition, Linda Henry Dean Property. A second was received from Mr. Pratt, and the Board agreed to add the item to the agenda. Mr. Harbert indicated that the Property and Facilities Committee had previously voted to review this item and reported it favorably to the Board. Mr. Harbert moved for adoption of the added item. A second was received from Mr. Roberts, and the Board unanimously adopted the following:

RESOLUTION

REAL ESTATE ACQUISITION LINDA HENRY DEAN PROPERTY

WHEREAS, an opportunity has arisen for Auburn University to purchase a parcel of land located at 112 Samford Avenue, consisting of an office, a storage building and approximately 0.85 acres of land; and

WHEREAS, this property is located adjacent to University-owned property at the corner of Samford Avenue and South College Street, and its purchase would effectively contribute to the provision of a secure parking facility on the corner and could be used to address future University academic and administrative needs; and

WHEREAS, the funds to offset the amount of the purchase are to be provided from unrestricted University funds.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such person as may be acting as President, be and the same is hereby authorized and empowered to take such action as is necessary and desirable to purchase from Linda Henry Dean approximately 0.85 acres of land with improvements that include an office and a storage building, located at 112 Samford Avenue, Auburn, Alabama as shown on Exhibit A, for the price of one million dollars (\$1,000,000). All documents consummating the purchase of the property shall be reviewed as to form by legal counsel for Auburn University.



Exhibit A: Real Estate Acquisition Johnson Property Solon Dixon Forestry Education Center (SDFEC) Covington County, AL



Legend



D.J. Johnson Estate



Other Owners



SDFEC



Covington County Roads



Charles Dixon Company



SDFEC Roads

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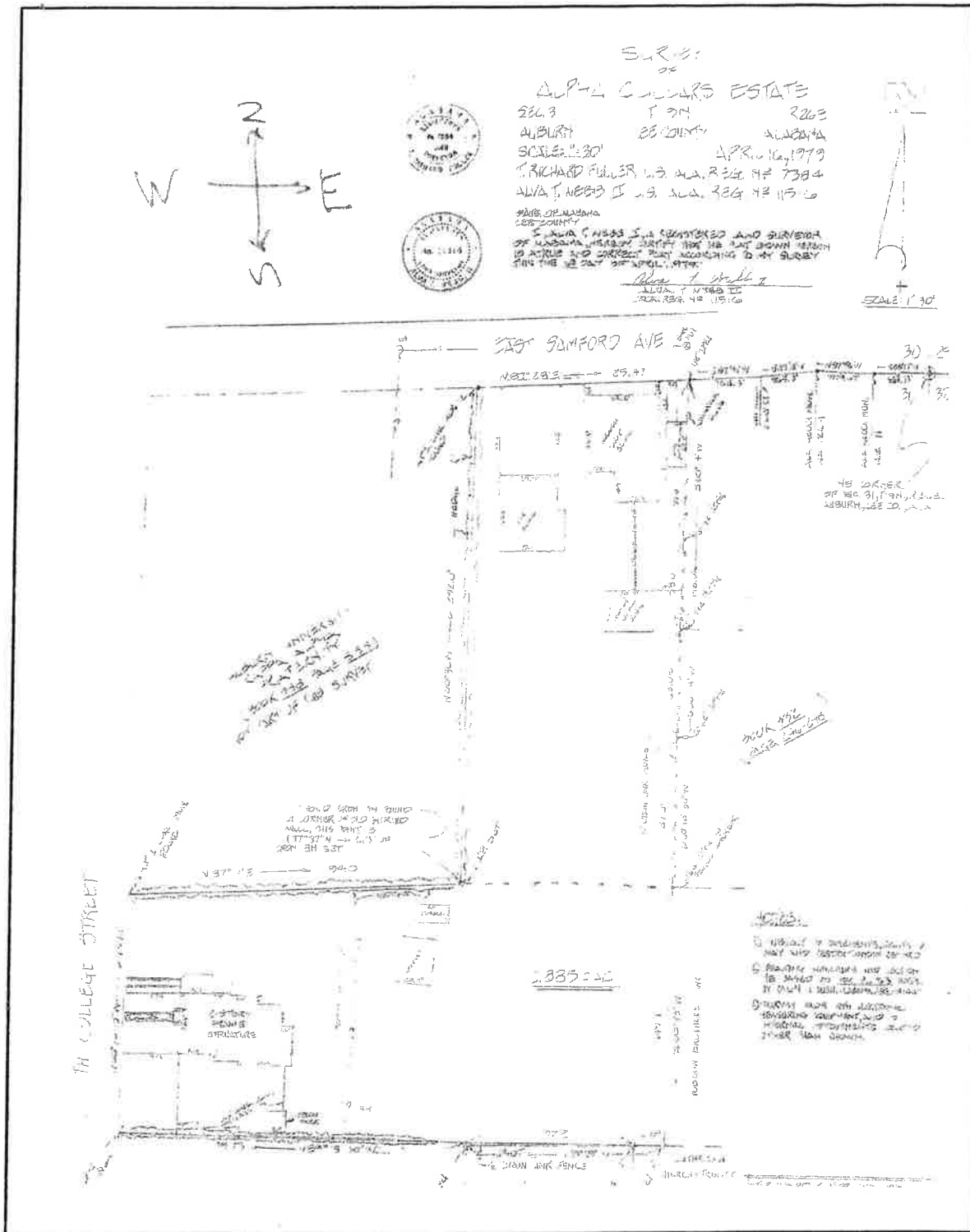
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0.25

0.5 Miles



Exhibit A



Executive Committee - - Chairperson Lanier. Mr. Lanier called on Mr. Rane for discussion of the first item, Annual Assessment of the President. Mr. Rane thanked Dr. Gogue for another outstanding year of leadership and reported that the assessment is complete and approved. He also thanked Trustees McCrary and Newton for their assistance in facilitating the process. He advised that copies would be made available through the Board of Trustees Office.

The next item was a proposal to name new College of Veterinary Medicine Facilities and Various Spaces therein and moved for adoption. A second was received from Mr. Dumas, and the following resolution was unanimously adopted:

RESOLUTION

REQUEST TO NAME THE NEW COLLEGE OF VETERINARY MEDICINE FACILITIES AND VARIOUS SPACES THEREIN

WHEREAS, for more than 120 years, Auburn University's College of Veterinary Medicine, with its multitude of programs, has enhanced our state's, region's and nation's agricultural, food animal, equine and companion animal industries by preparing well-grounded, highly trained graduates to serve both the workforce and the military, and to seek and find practical and forward-thinking solutions to animal and public health challenges; and

WHEREAS, Auburn's veterinary medical faculty have for more than a century conducted significant research resulting in products and practices that have impacted the entire state and beyond; and

WHEREAS, the College of Veterinary Medicine is dedicated to nurturing not only its own students and graduates through innovative, cutting-edge instruction in all phases of animal health and public health, but also to furthering public education about animals and animal health through outreach efforts; and

WHEREAS, Auburn has constructed a complex that mirrors the technological advancements of the 21st century and will enhance classroom biomedical instruction, clinical education, research, and outreach; and

WHEREAS, the \$74 million construction project was underwritten through both philanthropic and university support; and

WHEREAS, a lead philanthropic investment of \$10 million from John and Rosemary Brown provided essential funding to initiate construction efforts and led to naming of the Wilford and Kate Bailey Small Animal Teaching Hospital; and

WHEREAS, the additional philanthropic partnership of 19 additional major gift donors has provided to date an additional \$9,706,420 in private gifts and commitments that were needed to

bring the Veterinary Education Center and the Wilford and Kate Bailey Small Animal Teaching Hospital to fruition.

Now, THEREFORE BE IT RESOLVED by the Auburn University Board of Trustees, that the various classrooms, laboratories, suites, meeting rooms, client rooms, centers, exterior plaza, and offices be named for the individuals and organizations that graciously contributed a total of \$19,706,420 in private support to complete the \$74 million Veterinary Education Center and the Wilford and Kate Bailey Small Animal Teaching Hospital. The names of individuals and organizations, the amount and condition of their gifts, as well as the space for which each is being recognized, are part of this resolution.

Mr. Lanier indicated that concluded reports from the Executive Committee. Mr. Rane recessed the meeting at 11:45 a.m.



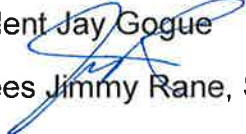


Grant Davis
Secretary to the Board of Trustees



AUBURN UNIVERSITY

OFFICE OF THE SECRETARY
TO THE BOARD OF TRUSTEES

Memorandum

To: President Jay Gogue 
From: Trustees Jimmy Rane, Sarah Newton & Charles McCrary  
Re: Annual Assessment of the President
Date: August 26, 2014

Board policies base the annual presidential assessment on six areas critical to the life of the institution. We have reviewed your self-assessment and unanimously agree that you continue to perform at an exceptional level in all six categories.

We commend and thank you for another outstanding year of leadership for Auburn and the Auburn family. In addition, as we have in the past, we recognize and appreciate the invaluable role played by Susie. The importance of her contribution to promoting Auburn and its mission cannot be overstated.

Additional information on the six assessment criteria is provided below.

1. Summarize performance in addressing previously established goals and objectives

Strategic plan: We applaud you and the many others who are responsible for making strides in implementing the strategic plan. The updated five-year plan is aggressive, actionable and measurable, characteristics that many in industry and academia miss when setting strategic priorities. We ask that you express the Board's appreciation to faculty and staff for their diligence and hard work in maintaining forward momentum, even in the face of sharp reductions in state support.

State gun law: You highlighted the law as a potential campus issue to be closely monitored. Please continue to keep us apprised as it develops.

Athletics: Likewise, we ask that you continue to keep us informed on changes in the Athletics Department. Progress, especially internal to the Department, is clearly being made. We're particularly pleased with the continued emphasis on academic success for student-athletes.

Student Affairs: The vice president's position is key to student life on campus. We appreciate your leadership in conducting a timely national search.

2. Highlight particularly significant accomplishments during the assessment period

Accreditation reaffirmation: SACS reaffirmation is a long, deliberate and detailed process, and we know your leadership was key to its successful outcome. Please express our appreciation to Drew Clark.

Medical school: We agree that the Edward Via College of Osteopathic Medicine will be a long-term beneficial partner for Auburn. It's also a positive development for the local community and state. Thanks to you, Tim Boosinger, Michael Goodlett and others for the vision and efforts to make it a reality.

Economic development: GE Aviation's announcement is one more sign that Auburn is well positioned to serve as an engine of economic growth. We encourage you to continue looking for opportunities where Auburn can improve the economic well being of the community and state. Please express our appreciation to John Mason and Chris Roberts.

SEC Network: In just the short time since it went live, the SEC Network has generated much attention and enthusiasm. We are pleased to learn that Jay Jacobs was integral to its launch and that significant revenue is expected. Video is clearly the preferred medium by today's sports fans, and we look forward to seeing what Jay's team will produce. Please let him know of our appreciation.

3. Identify continuing problems that the President is facing and recommend how the Board and the President might work together to address these problems

As noted last year, Trustees saw improved marketing and communications for the university, and we're pleased the trend continues. We look forward to continued success and ask that you keep us updated.

4. Describe three major challenges and three opportunities the university will face in the upcoming year and what role the president will play in them

We agree with your assessment of major challenges facing the university – decreased state support, faculty to student ratio in light of a large freshmen class, and new federal laws impacting higher education. As you see opportunities for Trustees to assist, we ask that you let us know.

The opportunities you listed are each exciting in their own way. We encourage you to maximize each to its fullest potential and ask that you inform the Board if we can assist.

5. Briefly discuss the strengths and overall performance of the senior administrative staff

The Board is pleased to learn of your continued satisfaction with senior administrative staff. Succession planning is a good business practice, and we're pleased it's now part of your evaluation process.

6. Provide a brief summary of goals and objectives for the university for the year ahead as well as longer range

We support your vision for the following year relative to implementation of the updated strategic plan.

Additional component of annual assessment

Provide recommendations concerning timing of a presidential search and appointment

Your thoughts on the president's home are well taken. We will give it serious consideration.

c: AU Board of Trustees



AUBURN UNIVERSITY
OFFICE OF THE PRESIDENT

August 18, 2014

TO: Presidential Assessment Committee
FROM: Jay Gogue 
SUBJECT: Presidential Self-Assessment 2013-2014

Consistent with Board of Trustee policies, I offer my thoughts in each criterion within the self-assessment policy:

Summarize performance in addressing previously established goals and objectives

This is the first year of our new five-year strategic plan. Based on the review at the end of June 2014, we enjoyed a good first year and reasonable success for the first nine months of the plan. The plan will provide overall guidance and direction for Auburn during the next several years. In my president's report to the Board, I will keep you apprised of significant achievements as the plan develops.

During my Self-Assessment last year, I expressed several specific items of concern, and I want to comment on each one.

1. Appropriate management of the new state gun law: At this point, it has not materialized into a major issue. As you know, the university's weapons policy has been widely promoted, and entrances to campus buildings are visibly marked with reminders. It's an issue we continue to monitor closely.
2. Changes in Athletics were needed: Recommendations by the athletics review committee have been implemented, and most of the key challenges have been addressed. Among other needed changes, the hiring of experienced chief operating and chief marketing officers has filled voids created with the Department. For the most part, new coaching hires are turning out well. Finally, in looking at the criteria a president uses to evaluate Athletics Department leadership, we are doing quite well. However, it's likewise a situation I continue to closely monitor.
3. New Vice President for Student Affairs: Bobby Woodard started in early August after a national search.

Highlight particularly significant accomplishments during the assessment period

While these accomplishments are the work of many, I want to mention several and compliment a number of staff members:

1. We received full reaffirmation from our regional accrediting body. Please note that it is only significant when you do not receive a positive review, and the consequences on enrollment, research funding and prestige can be quite severe! While a team of campus leaders was critical to this work, Drew Clark deserves much credit. I appreciate members of the Board who were part of the review process.
2. The new Edward Via College of Osteopathic Medicine has met or exceeded all criteria in its accreditation process. While this is a private institution and not part of Auburn, we see them as a valuable long-term partner. Special credit goes to Provost Tim Boosinger and Dr. Michael Goodlett from Auburn Athletics.
3. In economic development, the recent announcement from GE Aviation to locate additive manufacturing in Auburn is most significant. While the community benefits from more high-paying jobs, the university benefits through workforce training programs and research and development in one of the most innovative technology fields of our generation. Many state and local elected officials along with Vice President for Research and Economic Development John Mason and Engineering Dean Chris Roberts made this happen.
4. Auburn Athletics played a major role in the successful launch of the SEC Network/ESPN Channel. We anticipate significant new revenue over time. Athletics Director Jay Jacobs was key in the array of operational/scheduling issues between ESPN and the SEC. Jay has also developed a top-notch video team that will project Auburn into areas we haven't experienced previously.

Identify continuing problems the President is facing and recommend how the Board and President might work together to address the problems

The major weakness that I mentioned last year had to do with marketing of the University and Athletics. We have made structural changes internally. We have added several new professionals to our staff and we are using external professional services (Hawthorn Group/John Ashcroft.) Brian Keeter deserves much of the credit to date, and we will continue monitoring these changes to ensure success.

Describe three major challenges and three opportunities the University will face in the upcoming year and what role the President will play in addressing them

Challenges:

1. We still have significant state funding issues relative to higher education. While we did receive an increase in state appropriations of several million dollars, we are still

almost \$100 million less annually compared to 2007. The Education Trust Fund grew only by one percent during the past year, so minimal increases are expected in the 2014-2015 budget year that begins October 2014. Our local legislators do an excellent job for Auburn, but the statewide tax base continues to be quite limited.

2. This fall we have about 600 more freshmen than anticipated. The challenge is keeping our faculty to student ratio consistent, which means adding 30 to 40 new sections in English, math, chemistry, etc. We will be challenged to provide the number of courses needed at the time desired by students.

3. Several new federal laws will create challenges.

- a. Sexual Assault – Universities are not well equipped to resolve allegations of criminal offenses.
- b. Veterans Tuition – The new bill requires in-state tuition for veterans, their spouses and dependents.

Opportunities:

Cyber and cyber security issues are growing in national prominence, and we continue developing skills in this area to make Auburn a national leader. The opportunity exists to match Auburn expertise with the needs of business, industry and government.

The new SEC/ESPN channel is anticipated to generate an unprecedented increase in support for Auburn Athletics. This development provides an opportunity to rethink existing budgeting and investment strategies.

Economic development opportunities for Auburn are growing, not just in Lee County but also statewide. Collaboration among academia, industry and government is key, and Auburn will continue to proactively engage in efforts to grow the Alabama economy.

Briefly discuss the strengths and overall performance of the senior administrative staff
Each direct report was evaluated in June. In addition to our normal annual review, we focused on succession planning. The basic discussion centered on the question of who could best do your job on an interim and a permanent basis. We had good, honest discussion, and I feel good about where we are currently. I appreciate the Board suggesting the importance of this practice.

Provide a brief summary of goals and objectives for the University for the year ahead as well as longer range

The Strategic Plan defines our institutional goals and will be reviewed periodically with the Board.

Provide recommendations concerning timing of a presidential search and appointment

There is no change from previous communications on this topic. During the current year, I believe it may be prudent to evaluate the presidential residence. The house is almost 80 years old with plumbing and electrical issues occurring more frequently. As we approach the end of my contract, you might consider moving us out and doing the necessary upgrades for your new president. Most new presidents will not want to see any funds spent on the residence since this is always controversial. In conclusion, Susie and I are enjoying our roles at Auburn and really appreciate the support of the Trustees and university.

AUBURN UNIVERSITY
ANNUAL PRESIDENTIAL ASSESSMENT

The Board of Trustees should perform annually a Presidential Performance Assessment, typically on or before the Annual Board Meeting.

Primary Purposes

- To assess overall performance in a manner which enables the Board to assess the President and strengthen Presidential performance.
- To enable the Board and President to assess current goals and set mutually agreed upon goals.

Presidential Self-Assessment

The President, in consultation with the President Pro Tempore, and prior to the Performance Assessment, must submit a report regarding the Assessment period including, but not limited to the following:

- Summarize performance in addressing previously established goals and objectives.
- Highlight particularly significant accomplishments during the Assessment period.
- Identify continuing problems the President is facing and recommend how the Board and President might work together to address these problems.
- Describe three major challenges and three opportunities the University will face in the upcoming year and what role the President will play in addressing them.
- Briefly discuss the strengths and overall performance of the Senior Administrative Staff.
- Provide a brief summary of goals and objectives for the University for the year ahead as well as longer range.
- Provide recommendations concerning timing of a Presidential Search and Appointment.

Assessment Criteria

General Administrative Effectiveness

- Management of human, fiscal, and physical resources. Including planning, decision making, solving problems, leading change, linking plans and actions, developing a management team, and delegating responsibility.
- Establishment and supervision of an effective administrative team.
- Development, maintenance, and renewal of plans to meet long-term needs.
- Provision of leadership, direction, and support for periodic Assessment of academic and co-curricular programs and accomplishments which stimulate teaching, learning, research, scholarship, and professional development.

Communications

- Encouragement and support for open lines of communication throughout the University.
- Consultation within, participation in, and promotion of a shared vision within the University community.
- Encouragement of community involvement and shared vision within the University.
- Facilitation of regular communication with Board members concerning pertinent University issues.

Advancement

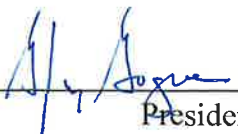
- Demonstrated evidence of community understanding and support for University advancement.
- Establishment and maintenance of constructive relations with federal, state, and local governments.
- Establishment and maintenance of constructive relations with the media.
- Effective leadership and support for institutional advancement and development programs.
- Successful enhancement of the University's local, regional, and national reputation.

Personal Attributes


The President:

- Effectively articulates concepts and initiatives.
- Efficiently and innovatively solves problems.
- Considers the public relations and political implications of his actions.
- Manages multiple problems and events simultaneously.
- Withstands criticism and directs opposition into productive channels.
- Understands issues and facts before making decisions.
- Identifies and addresses central issues in complex problems.
- Promotes coordinated and efficient programs and operations.
- Is readily available to consult with necessary University constituents and board members.

Comments: See Attached Sheet



President



President Pro Tempore