

MINUTES OF RECONVENED MEETING OF THE
BOARD OF TRUSTEES
OF
AUBURN UNIVERSITY

JUNE 5, 2015

AGENDA
AUBURN UNIVERSITY BOARD OF TRUSTEES
JUNE 5, 2015

1. Approval of the April 17, 2015 Reconvened Meeting Minutes
2. Awarding of Degrees
3. President's Report
4. Action Items and Committee Reports
 - A. Academic Affairs/Chairperson Huntley
 1. Proposed Policy on Dual Enrollment of High School Students
 2. Proposed Bachelor of Science in Food Science
 3. Proposed Graduate Certificates in Instructional Technology for Distance Education and Technology Education
 4. Proposed Closure of the Department of Polymer and Fiber Engineering and Elimination of the Bachelor's Degree Program in Polymer and Fiber Engineering
 5. Merger of the Department of Early Childhood and Elementary Education and the Department of Foundations, Technology and Secondary Education to the Department of Curriculum, Instruction, and Technology
 - B. Audit Committee/Chairperson Dumas
 1. Receipt of Code of Ethics Compliance Documents
 - C. Property and Facilities Committee/Chairperson Harbert
 1. Auburn University Montgomery Residence Hall: Approval of Project Program, Site, Budget, Funding Plan, and Schematic Design
 2. Engineering Achievement Center: Approval of Project Initiation and Authorization to Commence the Project Architect and Construction Manager Selection Processes
 3. The Auburn Memorial: Approval of Project Initiation and Selection of Project Landscape Architect
 4. Performing Arts Center: Approval of Project Initiation
 5. Campus Utility System Expansion: Approval of Project Initiation and Selection of Utility System Engineers
 6. Broun Hall Repairs and Renovation: Approval of Project Architect Selection

7. Repair and Renovation of the President's Home: Approval of Project Architect Selection
8. Auburn University Airport T-Hangar Construction Phase I: Approval of Project Program, Site, Budget, Funding Plan, and Schematic Design
9. Mary Martin Hall Alterations—Exploratory Advising Center: Approval of Project and Establish the Project Budget
10. Mell Classroom Building: Approval of Project Program and Budget Increase

D. Executive Committee/Chairperson Lanier

1. Selection of Officers (Gaines Lanier)
2. Presidential Assessment (Gaines Lanier)
3. Proposed Awardings and Namings (Gaines Lanier)

5. Recess Meeting

MINUTES OF A RECONVENED MEETING OF THE
AUBURN UNIVERSITY BOARD OF TRUSTEES
FRIDAY, JUNE 5, 2015
BALLROOM B, AU HOTEL
AUBURN UNIVERSITY

The Board of Trustees of Auburn University reconvened for a meeting on Friday, June 5, 2015, in Ballroom B of the Auburn University Hotel. President Pro Tempore Jimmy Rane convened the meeting at 10:55 a.m. Upon roll call by Board Secretary Grant Davis, the following named members of the Board of Trustees were deemed to be in attendance:

Mike DeMaioribus, Bob Dumas, Raymond Harbert, Elizabeth Huntley, Charles McCrary, Gaines Lanier, Sarah B. Newton, Jim Pratt, Jimmy Rane, B.T. Roberts, Clark Sahlie, Jimmy Sanford, and Wayne Smith

The following named Trustee was absent:

Governor Robert Bentley

The individuals listed above represent all persons recognized as members of the Board of Trustees of Auburn University at the time of the meeting. Also sitting with the Board were Larry Crowley, Faculty Advisor (AU); Michele Olson, Faculty Advisor (AUM); AUM SGA President Marie Rueter; and AU SGA President Walker Byrd. Other persons in attendance at the meeting included the following:

Jay Gogue, President; Timothy Boosinger, Provost; Don Large, Executive Vice President; Joe King, Provost of AUM; Lee Armstrong, General Counsel; Bobby Woodard, Vice President and Associate Provost for Student Affairs; Gretchen VanValkenburg, Vice President for Alumni Affairs; Jon Waggoner, University Counsel; Brian Keeter, Director of Public Affairs; Sherri Fulford, Executive Director of Governmental Affairs; Dan King, Associate Vice President for Facilities; Wayne Alderman, Dean of Enrollment Services; Royrickers Cook, Assistant Vice President for Outreach; Drew Clark, Director of Institutional Research and Assessment; Larry Fillmer, Executive Director for Program Development; Mike Clardy, Interim Executive Director, Communications and Marketing; Michael Freeman, Chair, AU Staff Council; Ashley Hamberlin, Chair, AU Administrative and Professional Assembly; Patricia Duffy, AU University Senate Chair; Jack Fite, President of the AU Alumni Board; Thom Gossom, Chairperson of the AU Foundation; Beau Bird, Vice President of the Auburn Alumni Association; members of the Auburn Alumni Association Board of Directors; members of the media; and others.

Mr. Rane called upon Larry Crowley for comments as this was his last meeting.

Mr. Rane asked Mr. Armstrong if there were matters to be discussed in Executive Session. Mr. Armstrong indicated that there were pending or potential litigation matters to discuss. A motion was received from Mr. Rane to move into Executive Session, seconded by Ms. Huntley, and the Board of Trustees unanimously approved. Mr. Rane announced that the meeting would reconvene within thirty minutes.

Mr. Rane reconvened the meeting at 11:43 a.m. and asked for a motion to adopt the minutes from the April 17, 2015, meeting. A motion was received from Mr. Harbert, seconded by Ms. Huntley, and the following minutes were unanimously approved.

RESOLUTION

APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the Reconvened Meeting on Friday, April 17, 2015, have been distributed to all members of this Board for review.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees that the minutes of its Friday, April 17, 2015, meeting are hereby approved as distributed.

Mr. Rane then moved to adopt the Awarding of Degrees, seconded by Mr. McCrary, and the following was adopted:

RESOLUTION

AWARDING OF DEGREES

WHEREAS, Auburn University confers appropriate degrees upon those individuals who have completed requirements previously approved by this Board of Trustees and stated in University Catalogs.

NOW, THEREFORE, BE IT RESOLVED as follows:

1. That all degrees to be awarded by the faculties of Auburn University and Auburn University Montgomery on Saturday, August 1, 2015, and complying with requirements heretofore established by the Board of Trustees, be and the same are hereby approved.
2. That a list of the degrees awarded on the above listed dates be filed in the Book of Exhibits and made a part of this resolution and of these minutes.

Mr. Rane then called upon Dr. Gogue for the President's Report. Dr. Gogue called upon Dr. Veres for a report and academic presentation from the AUM campus. Dr. Gogue then recognized Provost Boosinger who introduced Dr. Tony Overfelt for the Academic Affairs presentation.

Upon completion of the Academic Affairs presentation, Speaker of the House Mike Hubbard and his wife Susan Hubbard were introduced. Speaker Hubbard presented Auburn with

a framed resolution thanking Auburn University for its service. Upon conclusion, President Gogue and Mr. Rane expressed their gratitude on behalf of Auburn University for Speaker Hubbard's continued support of Auburn University. Dr. Gogue then gave his final remarks regarding Data Security and Sexual Assault programs on the Auburn University campus.

Mr. Rane then moved to Committee Reports as follows:

Academic Affairs Committee -- Chairperson Huntley. Trustee Huntley reported that earlier the AUM Committee, Academic Affairs Committee, Compensation Committee, and Finance Committee had reviewed items and moved for adoption of a unanimous consent agenda. A second was received from Mr. Roberts, and the Board unanimously adopted the following resolutions:

RESOLUTION

PROPOSED POLICY ON DUAL ENROLLMENT OF HIGH SCHOOL STUDENTS

WHEREAS, dual enrollment programs allow students to earn college credits and can benefit well-prepared students by giving them first-hand experience of college course work while shortening the time it takes them to earn a bachelor's degree; and

WHEREAS, Auburn University has the capacity and desire to provide high-quality dual enrollment instructional programs for high school juniors and seniors, both through face-to-face instruction and through distance education; and

WHEREAS, Auburn University has identified further improvement of the institution's retention and graduation rates as a strategic goal in support of student success; and

WHEREAS, students who earn Auburn University credits while still in high school and who later choose to continue their college education at Auburn would already have made a start toward timely completion of a bachelor's degree; and

WHEREAS, it is desirable that Auburn University develop enrollment guidelines, operational procedures, and competitive pricing structures to support the effective delivery of dual enrollment instructional programs.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that Jay Gogue, President, or such other person who is serving as President, is authorized to implement a dual enrollment program for high school students and to set tuition rates for that program at amounts that are market competitive while recovering any additional costs for the program in accordance with existing state laws.

RESOLUTION

PROPOSED BACHELOR OF SCIENCE IN FOOD SCIENCE

WHEREAS, the College of Agriculture currently offers a formal option in Food Science leading to a Bachelor of Science degree in Poultry Science; and

WHEREAS, the proposed degree in Food Science would transition the existing option into a formal degree; and

WHEREAS, a Bachelor of Science in Food Science would provide students with a degree that emphasizes the interdisciplinary concepts surrounding the development, analysis, engineering, and safety of food processing; and

WHEREAS, the proposed degree would provide students with professional knowledge and skills necessary to develop interdisciplinary approaches to address complex food systems issues; and

WHEREAS, the proposed degree would serve as a resource for other campus food-related priorities, such as the Auburn University Detection and Food Safety Center and the Auburn University Food Systems Institute; and

WHEREAS, the proposed degree program utilizes existing course work and would require additional faculty, to be provided by the College of Agriculture; and

WHEREAS, the proposal to create this undergraduate degree program has been endorsed by the Dean of the College of Agriculture, the University Curriculum Committee, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Bachelor of Science in Food Science be approved and forwarded to the Alabama Commission on Higher Education for review and approval.

RESOLUTION

PROPOSED GRADUATE CERTIFICATES IN INSTRUCTIONAL TECHNOLOGY FOR DISTANCE EDUCATION AND TECHNOLOGY EDUCATOR

WHEREAS, the College of Education wishes to provide qualified individuals with opportunities to acquire the advanced knowledge and skill required for effective design, delivery, and

assessment of online and distance course work and for up-to-date use of technology to support learning in face-to-face instruction; and

WHEREAS, the College has designed curricula for each of these purposes, leading, respectively, to the Graduate Certificate in Instructional Technology for Distance Education and the Technology Educator Graduate Certificate; and

WHEREAS, the proposed certificate programs would take advantage of available capacity in courses already being taught for the MEd program in Library Media and hence would not require any additional resources or faculty; and

WHEREAS, the proposed certificate programs could be completed either on campus or by means of distance education; and

WHEREAS, the requests to create these graduate certificates have been endorsed by the Dean of the College of Education, the Graduate Council, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Graduate Certificate in Instructional Technology for Distance Education and the proposed Technology Educator Graduate Certificate in the College of Education be approved and forwarded to the Alabama Commission on Higher Education as items of information.

RESOLUTION

PROPOSED CLOSURE OF THE DEPARTMENT OF POLYMER AND FIBER ENGINEERING AND ELIMINATION OF THE BACHELOR'S DEGREE PROGRAM IN POLYMER AND FIBER ENGINEERING

WHEREAS, the Department of Polymer and Fiber Engineering within the Samuel Ginn College of Engineering has historically supported, and been supported by, the Southern textile industry; and

WHEREAS, global restructuring of that industry over recent decades has been accompanied by declining enrollments and diminished research funding opportunities in that field, not only at Auburn University but at peer institutions in the region; and

WHEREAS, the Department's current undergraduate degree program—the Bachelor of Polymer and Fiber Engineering—remains undersubscribed, despite surging enrollment that is placing unprecedented demands on resources and facilities elsewhere in the College, making it imperative to align resources with student demand and research opportunities; and

WHEREAS, the College has developed an appropriate teach-out plan for students currently enrolled in the Department's bachelor's degree program; and

WHEREAS, the Department's current faculty members have suitable training and expertise for reassignment to other departments of the College, where they can serve larger numbers of students; and

WHEREAS, the College proposes to continue its graduate programs in this field and to maintain related academic and research opportunities through such means as the possible creation of an interdisciplinary Center for Polymer and Composite Engineering and the offering of specialized course work for students in other Engineering programs; and

WHEREAS, the proposal to close the Department of Polymer and Fiber Engineering, effective August 15, 2015, cease accepting new students and the subsequent closure of the Bachelor's degree program, has received the unanimous support of the Executive Committee of the Auburn Engineering Alumni Council and has been approved by the Dean of the College, the University Curriculum Committee, the Academic Program Review Committee, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed closure of the Department of Polymer and Fiber Engineering within the Samuel Ginn College of Engineering be approved and reported to the Alabama Commission on Higher Education as an item of information; and

BE IT FURTHER RESOLVED that the proposed elimination of the Bachelor of Polymer and Fiber Engineering degree program be approved and forwarded to the Alabama Commission on Higher Education for review and approval.

RESOLUTION

MERGER OF THE DEPARTMENT OF EARLY CHILDHOOD AND ELEMENTARY EDUCATION AND THE DEPARTMENT OF FOUNDATIONS, TECHNOLOGY AND SECONDARY EDUCATION TO THE DEPARTMENT OF CURRICULUM, INSTRUCTION, AND TECHNOLOGY

WHEREAS, the Department of Early Childhood and Elementary Education and the Department of Foundations, Technology, and Secondary Education are P-3 to 12th grade programs that provide instruction to education candidates; and

WHEREAS, the departments would be better defined by the name Department of Curriculum, Instruction, and Technology; and

WHEREAS, this merger will be in harmony with national trends in the field of education; and

WHEREAS, this merger will help foster more collaboration among faculty; and

WHEREAS, the request to merge the departments has received the endorsement by the faculty of both Departments, the Department Head of Foundations, Technology and Secondary Education, the Provost, and the Chancellor; and

WHEREAS, the name change will not require additional budget support, space, or faculty,

NOW, THEREFORE, BE IT RESOLVED by the Auburn University's Board of Trustees that the Departments of Early Childhood and Elementary Education and the Department of Foundations, Technology and Secondary Education be merged into the Department of Curriculum, Instruction, and Technology with the change to be reported to the Alabama Commission of Higher Education as an information item.

Audit Committee - - Chairperson Dumas. Mr. Dumas indicated that the Audit Committee, General Counsel Armstrong, and Secretary Davis have reviewed each Trustee's Code of Ethics Form. These forms set forth relationships Board Members have to each other and to the University. In compliance with the requirement of the SACS Commission on Colleges, it has been deemed that the presiding officer of the Board is free of any contractual, employment, personal, or familial financial interest in Auburn University and that a majority of other voting members of the Board are likewise free of such interest. This item is for reporting only and is not an action item. (See Ethics Forms attached to the back of these Minutes.)

Property and Facilities Committee -- Chairperson Harbert. Mr. Harbert indicated that the AUM and Property and Facilities Committees had met earlier to consider ten proposed agenda items. Mr. Harbert moved for adoption of a consent agenda approving all items. A second was received from Ms. Huntley, and the following resolutions were approved:

RESOLUTION

AUBURN MONTGOMERY RESIDENCE HALL APPROVAL OF THE FACILITY PROGRAM, BUDGET, FUNDING PLAN, SITE AND SCHEMATIC DESIGN

WHEREAS, the Board of Trustees at its meeting held on November 7, 2014, adopted a resolution that approved the Student Housing Facility project and at its meeting held February 6, 2015, approved the selection of the firms Infinity Architecture as architect and Hoar Program Management as construction manager for the project; and

WHEREAS, a facility program has been developed for a student housing facility of approximately 63,000 square feet which will house approximately 204 students in 54 suites; and

WHEREAS, the total project cost of the Auburn Montgomery Residence Hall has been estimated in the amount of \$15.5 million, and the project will be funded by resident room and board fees; and

WHEREAS, a site for the residence hall has been identified on the east side of campus near The Commons; and

WHEREAS, the Auburn Montgomery Residence Hall shall be developed and designed as a facet of a phased campus master plan. The new Residence Hall has been sited and designed to accommodate and inspire future growth in this residential district of the campus.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a total project budget in the amount of \$15.5 million to be funded by resident room and board fees.
2. Direct the consultants to complete the required plans for the project.
3. Solicit bids for construction conditioned upon the availability of sufficient funding as approved by the President.
4. Award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.

RESOLUTION

ENGINEERING ACHIEVEMENT CENTER

APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE PROJECT ARCHITECT AND CONSTRUCTION MANAGER SELECTION PROCESSES

WHEREAS, the Samuel Ginn College of Engineering is Auburn University's largest college, with over 5,000 undergraduate and graduate students; and

WHEREAS, the College of Engineering continually seeks to improve the academic experience of its student population and the support provided to those students; and

WHEREAS, to achieve this goal, the College of Engineering proposes to construct a facility that will support students, faculty, staff, and alumni by providing maker-space, project-based learning, collaborative, student support, career services, and meeting spaces; and

WHEREAS, this project will be financed by gifts to the Samuel Ginn College of Engineering; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Engineering Achievement Center project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the selection processes for a project architect and a construction manager.

RESOLUTION

THE AUBURN MEMORIAL APPROVAL OF PROJECT INITIATION AND SELECTION OF PROJECT LANDSCAPE ARCHITECT

WHEREAS, the Student Government Association proposes to create The Auburn Memorial on campus to honor and recognize Auburn University students, faculty, staff, and alumni; and

WHEREAS, The Auburn Memorial would provide a tranquil place for private tribute or more formal commemorative events, with the proposed site for the memorial within the Garden of Memory; and

WHEREAS, the Student Government Association intends to finance this project with a combination of student, gift, and University general funds; and

WHEREAS, the Student Government Association has worked closely with the University Architect and a landscape design firm, Holcombe Norton Partners, of Birmingham, Alabama, to develop its concepts for The Auburn Memorial, and as a result of this preliminary work, the University Architect has recommended that Holcombe Norton Partners be retained as the landscape architect for this project; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that The Auburn Memorial project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage the landscape architectural firm, Holcombe Norton Partners, of Birmingham, Alabama, as project landscape architect to consult in the development of the facility program and project design.
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

RESOLUTION

PERFORMING ARTS CENTER APPROVAL OF PROJECT INITIATION

WHEREAS, the University proposes to construct a new Performing Arts Center to celebrate the performing arts at Auburn University and within the region, by providing a high quality space to support musical, theatrical, and other events; and

WHEREAS, the University believes such a Performing Arts Center will significantly enhance the educational experience of Auburn University students as well as the quality of life of Auburn University students, faculty, staff, and the surrounding community; and

WHEREAS, the project will be financed through gifts to Auburn University and unrestricted University funds; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Performing Arts Center project is approved.

RESOLUTION

CAMPUS UTILITY SYSTEM EXPANSION APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE SELECTION PROCESS FOR A UTILITY SYSTEM ENGINEER

WHEREAS, Auburn University has constructed numerous buildings on campus in the last several years and is anticipated to construct several more buildings in the next decade; and

WHEREAS, Facilities Management proposes a project to expand the campus utility systems, to include the electric power, water, sewer, and hot and chilled water systems; and

WHEREAS, the intent of this project is to ensure Auburn University has sufficient utility system capacity and capability to support campus requirements over the next 10-20 years; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Campus Utility System Expansion project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the selection process of a utility systems engineer.

RESOLUTION

BROUN HALL REPAIRS AND RENOVATION PROJECT APPROVAL OF PROJECT ARCHITECT SELECTION

WHEREAS, the Board of Trustees, at the meeting held on April 17, 2015, approved the initiation of a project to repair and renovate Broun Hall and authorized the commencement of the architect selection process; and

WHEREAS, the University Architect, after conducting interviews with three candidate firms, determined the firm, Chambless King Architects, of Montgomery, Alabama, was best qualified to provide architectural services on this project; and

WHEREAS, the proposed project is anticipated to cost in excess of \$1,000,000 and Board of Trustee policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets over \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage the architectural firm, Chambless King Architects, of Montgomery, Alabama, as project architect to consult in the development of the facility program and project design.

2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

RESOLUTION

REPAIR AND RENOVATION OF THE PRESIDENT'S HOME
APPROVAL OF PROJECT ARCHITECT SELECTION

WHEREAS, the Board of Trustees, at the meeting held on April 17, 2015, approved the initiation of the Repair and Renovation of the President's Home project, and authorized the commencement of the architect selection process; and

WHEREAS, the University Architect, after conducting interviews with six candidate firms, determined the firm, Christopher Architecture and Interiors, Birmingham, Alabama, was best qualified to provide architectural services on this project; and

WHEREAS, the proposed project is anticipated to cost in excess of \$1,000,000 and Board of Trustee policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets over \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage the architectural firm Christopher Architecture and Interiors, of Birmingham, Alabama, as project architect to consult in the development of the facility program and project design.
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

RESOLUTION

AUBURN UNIVERSITY REGIONAL AIRPORT
T-HANGAR CONSTRUCTION PHASE I
APPROVAL OF PROJECT PROGRAM, SITE, BUDGET, FUNDING PLAN, AND
SCHEMATIC DESIGN

WHEREAS, at its meeting of April 22, 2005, the Board of Trustees approved the Airport Layout Plan that provided the long term master plan for the Auburn University Regional Airport; and

WHEREAS the Airport staff developed a hangar construction plan as part of the overall 2005 Airport Layout Plan, which was subsequently updated in 2011; and

WHEREAS, Airport staff has determined that a significant demand exists for hangar space at the Auburn University Regional Airport; and

WHEREAS, the proposed project would erect four T-Hangars at the Auburn University Regional Airport which would be leased by the Airport to commercial or private aircraft owners and would provide a source of revenue; and

WHEREAS, the estimated cost for Phase I of the Auburn University Regional Airport T-Hangar project is \$2.0 million, to be financed by Auxiliary Services and University general funds.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a Phase I budget for the project in the amount of \$2.0 million, to be financed by Auxiliary Services funds.
2. Direct the consultants to complete the required plans for Phase I of the project.
3. Solicit bids for Phase I construction conditioned upon the availability of sufficient funding as approved by the President.
4. Award contracts for Phase I construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.

RESOLUTION

MARY MARTIN HALL ALTERATIONS—EXPLORATORY ADVISING CENTER APPROVAL OF PROJECT AND ESTABLISH THE PROJECT BUDGET

WHEREAS, the Mary Martin Hall Alterations—Exploratory Advising Center project will renovate space in Mary Martin Hall to create a new Exploratory Advising Center; and

WHEREAS, the program for this project is to renovate a portion of the basement of Mary Martin Hall and to create advising rooms, meeting rooms, advisor offices, and waiting areas for freshman students requiring academic counseling; and

WHEREAS, this project was originally estimated to cost under \$1.0 million and is being financed by a combination of Repair and Renovation and Provost Funds; and

WHEREAS, based on recent bid results, the project is now estimated to cost \$1.2 million, thus requiring Board of Trustee approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Mary Martin Hall Alterations—Exploratory Advising Center project is approved, and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to establish a total project budget in the amount of \$1.2 million to be financed by a combination of Repair and Renovation and Provost Funds.

RESOLUTION

MELL CLASSROOM BUILDING APPROVAL OF INCREASED PROJECT PROGRAM AND BUDGET

WHEREAS, at its meeting on September 5, 2014, the Board of Trustees adopted a resolution to approve the schematic design for the Mell Classroom Building to construct a new, 69,000 square foot instructional facility that will provide state-of-the-art classrooms, lecture halls, team-based learning space, and collaborative areas, immediately adjacent to the Ralph Brown Draughon (RBD) Library on the Mell Street side; and

WHEREAS, at its meeting on September 5, 2014 meeting, the Board of Trustees established the project budget as \$25 million; and

WHEREAS, since the original program and budget were established, the Provost has pursued alternative approaches to construct additional classroom facilities in the adjacent RBD Library, and as a result of this effort, design options have been developed to renovate 38,000 square feet of space in the RDB Library to create 17 additional classrooms; and

WHEREAS, the Provost recommends approval of this increased Mell Classroom Building program and budget as a highly cost-effective way to increase instructional classrooms on campus, above and beyond those originally planned in the Mell Classroom Building; and

WHEREAS, it is also proposed that the project program be expanded to include the installation of a fire sprinkler system throughout RDB Library to bring the facility up to current building codes; and

WHEREAS, the estimated cost of the additional classrooms and fire sprinkler system is \$8.0 million, which would raise the total cost of the Mell Classroom Building to \$33.0 million.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the increased project program and budget for the Mell Classroom Building project is approved, and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to establish a total project budget in the amount of \$33.0 million to be funded by previously budgeted University funds.

Executive Committee - - Chairperson Lanier. Mr. Lanier reported that the Executive Committee had met earlier and referred its agenda items for consideration by the full Board. Mr. Lanier introduced the first item, Selection of President Pro Tempore and nominated Mr. McCrary. There being no other nominations Mr. Lanier moved that nominations be closed. Mr. Dumas seconded the motion. The Board unanimously agreed to close the nominations. Mr. Lanier then moved to name Mr. McCrary as President Pro Tempore, with a second coming from Mr. Pratt. The Board agreed and Mr. McCrary was elected with no dissenting votes. Mr. McCrary thanked everyone for their support and looked forward to working with everyone in the future. Mr. Rane thanked everyone for their assistance during his two-year term as President Pro Tempore and looked forward to working with Mr. McCrary in his new role as President Pro Tempore.

The next item was the Presidential Assessment Committee and called upon Mr. Rane who deferred to Mr. McCrary for comment. Mr. McCrary indicated he would be in touch to assign members to this important committee.

Next was a proposal for two namings reviewed by the Board in Executive Session and Mr. Lanier moved for adoption. A second was received from Mr. Dumas, and the Board unanimously adopted the following namings:

RESOLUTION

REQUEST TO NAME GATE 12 AT JORDAN-HARE STADIUM AS THE MCLANE FAMILY GATE

WHEREAS, Mr. James S. McLane is a dedicated volunteer to Auburn University through his philanthropic support; and

WHEREAS, Mr. McLane is a 1970 graduate of Auburn University's School of Pharmacy and an avid supporter of Auburn Athletics; and

WHEREAS, Mr. McLane is a member of the Oaks Society, Athletics' most prestigious giving society, providing invaluable support to Tigers Unlimited and Auburn Athletics. Mr. McLane also made a generous gift designated to Auburn Athletics and the WINGS Program, which supports women's athletics; and

WHEREAS, it is our desire to have this request considered and approved at the June 5, 2015, meeting of the Auburn University Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED that Auburn University recognizes Mr. McLane's generosity to Auburn Athletics by naming Gate 12 at Jordan-Hare Stadium as The McLane Family Gate.

RESOLUTION

REQUEST TO NAME VARIOUS SPACES WITHIN THE RAYMOND J. HARBERT COLLEGE OF BUSINESS

WHEREAS the Raymond J. Harbert College of Business aspires to be among the elite public business schools in the U.S.; and

WHEREAS the Raymond J. Harbert College of Business is focused on providing a superior student experience, producing the research that advances the academy and extends business thought leadership, developing a world class faculty and staff, and promoting the engagement of the College's stakeholders; and

WHEREAS the Raymond J. Harbert College of Business is committed to providing exceptional career development and placement for undergraduate and graduate students, helping students transition from the business school to the business world; and

WHEREAS the Raymond J. Harbert College of Business diligently provides the necessary knowledge and skills to initiate a successful professional career; and

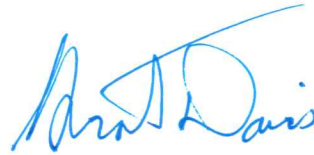
WHEREAS a number of the College's donors have made significant contributions, through gifts and pledges, to ensure the Raymond J. Harbert College of Business' mission of producing highly-desired graduates, and generating knowledge that drives business thought and practice is attained.

NOW, THEREFORE, BE IT RESOLVED that Auburn University recognizes the generosity of these donors by naming the following areas listed in Attachment A, in the College in honor of their dedication and support of the goals and aspirations of the Raymond J. Harbert College of Business.

Mr. McCrary once again thanked everyone for their support and informed them he would be in contact with Board members in the future regarding the 2015-2016 Committee Structure and

Mr. McCrary once again thanked everyone for their support and informed them he would be in contact with Board members in the future regarding the 2015-2016 Committee Structure and the 2015-2016 College and School Assignments.

Mr. Lanier advised that the Executive Committee report was concluded. Mr. Rane recessed the meeting at 12:35 p.m.

A handwritten signature in blue ink, appearing to read "Grant Davis". The signature is fluid and cursive, with a large, stylized "D" and "A".

Grant Davis
Secretary to the Board of Trustees



RAYMOND J. HARBERT COLLEGE OF BUSINESS
OFFICE OF THE DEAN

MEMORANDUM

To: Dr. Timothy R. Boosinger, Provost
Through: Jane DiFolco Parker, Vice President for Development
From: Dr. Bill C. Hardgrave, Dean, Raymond J. Harbert College of Business
Re: Multiple Room Naming Opportunities in the Raymond J. Harbert College of Business
Date: April 2, 2015

The Raymond J. Harbert College of Business at Auburn University seeks approval to honor multiple donors for their generous support.

These Business alumni have been generous contributors to Auburn University and the Raymond J. Harbert College of Business. In addition to their generous support outlined in this memorandum, it is expected that they will continue to give to Auburn University.

In honor of the donors' commitments to Auburn University and in recognition of their generous donations to the Raymond J. Harbert College of Business, we seek approval to name the indicated rooms as described below. The donations shown meet or exceed the Naming/Recognition Guidelines as set forth by Auburn University Board of Trustees on November 7, 2003, Revised September 16, 2005 and Revised on June 19, 2009 and the Auburn University Office of Development Guidelines for Naming Opportunities and Endowments, Reviewed and Approved as of October 26, 2013.

405 WEST MAGNOLIA AVENUE

SUITE 516

AUBURN, AL 36849

TELEPHONE:

334-844-4030


FAX:

334-844-4861

<u>Donor</u>	<u>Pledge/Gift</u>	<u>Naming Opportunity</u>
Louie M. & Betty M. Phillips Foundation	\$50,000	Office of Professional and Career Development Lowder Hall Room 105J <i>Louie M. and Betty M. Phillips Foundation Director of Professional Development Services</i>
Mr. & Mrs. Kerry Bradley	\$100,000	Lowder Hall Room 129 <i>Kerry and Laura Bradley Classroom</i>
Mr. & Mrs. Richard Ingwersen	\$100,000	Lowder Hall Room 002 <i>Dick and Terry Ingwersen Masters of Accountancy Library</i>
Mr. Patrick Klesius (The Halstead Foundation)	\$100,000	Lowder Hall Room 009 <i>Patrick Klesius Classroom</i>

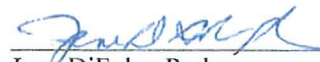
Mr. Christopher Murvin	\$100,000	Lowder Hall Room 014 <i>Chris Murvin Classroom</i>
Mr. & Mrs. Richard Salanitri	\$100,000	Lowder Hall Room 010 <i>Rick and Carolyn Salanitri Classroom</i>
Dr. & Mrs. James B. Stewart, Jr.	\$15,000	Lowder Hall Breakout Meeting Room 023 <i>James B. Stewart, Jr., MD, MBA</i> <i>and</i> <i>Linda M. Stewart</i> <i>For The PEMBA Class of 2005</i>
Dr. William L. Hawkins	\$15,000	Lowder Hall Breakout Meeting Room 023 <i>Dr. William L. Hawkins</i> <i>Physicians Executive MBA</i> <i>Class of 2013</i>
Dr. Sanjeev Saxena	\$15,000	Lowder Hall Breakout Meeting Room 023 <i>In memory of</i> <i>Virendra M. Saxena, MD, FRCS</i> <i>Surgeon, Professor, Mentor</i> <i>and Father</i> <i>-Sanjeev Saxena, MD, MBA</i> <i>PEMBA Class of 2013</i>
Dr. Tej M. Singh	\$15,000	Lowder Hall Breakout Meeting Room 023 <i>Drs. Tej and Simran Singh</i> <i>Physicians Executive MBA</i>
Dr. Vaughn S. Clagette Dr. Walt Reid	\$15,000	Lowder Hall Breakout Meeting Room 023 <i>Dr. Vaughn S. Clagette</i> <i>Dr. Walt Reid</i> <i>Physicians Executive MBA</i> <i>Class of 2013</i>

Approved:


Dr. Timothy R. Boosinger
Provost and Vice President for Academic Affairs

Upon Provost's approval, this document is forwarded to Jane DiFolco Parker, Auburn University Foundation President and Vice President for Development, for appropriate review and action.

Approved:


Jane DiFolco Parker
President, Auburn University Foundation
Vice President for Development

2014-2015
AUBURN UNIVERSITY BOARD OF TRUSTEES
CODE OF ETHICS COMPLIANCE DOCUMENTS

AUBURN UNIVERSITY BOARD OF TRUSTEES
CODE OF ETHICS COMPLIANCE DOCUMENT
2014-2015

I affirm that I have reviewed the Auburn University Code of Ethics and that I have and will fully comply with its requirements. I further certify that:

1. I have _____, or do not have X, (check one) a business or professional relationship with any other Board member or any entity affiliated with any other Board member (If you do not have any such relationships skip #2 and answer #3.)
2. I have business or professional relationships with 0 other Board member(s). I certify that all such relationships are on terms no more favorable to me than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other Board members and persons similarly situated to me who are not members of the Board of Trustees. Should any effort occur to influence the independent judgment on my part required in paragraph 1 of the Code of Ethics resolution, I will sever any and all business relationships with any member of the Board associated with such effort, or I will resign from the Board.

Name(s) and Nature of Relationship:

3. I further certify, that I have _____, or do not have X, (check one) contractual, employment, personal or familial financial interest in Auburn University.

If so, please explain _____

The information supplied above conforms to the requirements of Ala. Code (1975), § 13A-10-102. "A person commits the crime of perjury in the second degree when he swears with intent to mislead a public servant in the performance of his duty and his false statement is material to the action, proceeding or matter involved."

Signed

Robert Bentley
Member
Auburn University, Board of Trustees

STATE OF ALABAMA)

MONTGOMERY)
COUNTY)

Before me, the undersigned, a Notary Public in and for said State and County, personally appeared Robert Bentley, whose name is signed to the foregoing instrument and who is known to me and acknowledged before me that he/she has executed said instrument on the day the same bears date.

Signed and sealed on this the 28 day of April, 2015

(SEAL)

Annalyn Chesnut
Notary Public, State of Alabama

My Commission Expires 1-8-2018

AUBURN UNIVERSITY BOARD OF TRUSTEES
CODE OF ETHICS COMPLIANCE DOCUMENT
2014-2015

I affirm that I have reviewed the Auburn University Code of Ethics and that I have and will fully comply with its requirements. I further certify that:

1. I have _____, or do not have X, (check one) a business or professional relationship with any other Board member or any entity affiliated with any other Board member (If you do not have any such relationships skip #2 and answer #3.)
2. I have business or professional relationships with _____ other Board member(s). I certify that all such relationships are on terms no more favorable to me than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other Board members and persons similarly situated to me who are not members of the Board of Trustees. Should any effort occur to influence the independent judgment on my part required in paragraph 1 of the Code of Ethics resolution, I will sever any and all business relationships with any member of the Board associated with such effort, or I will resign from the Board.

Name(s) and Nature of Relationship:

3. I further certify, that I have X, or do not have _____, (check one) contractual, employment, personal or familial financial interest in Auburn University.

If so, please explain _____

_____ see attached _____

The information supplied above conforms to the requirements of Ala. Code (1975), § 13A-10-102. "A person commits the crime of perjury in the second degree when he swears with intent to mislead a public servant in the performance of his duty and his false statement is material to the action, proceeding or matter involved."

Signed

Michael A. DeMaestri

Member

Auburn University, Board of Trustees

STATE OF ALABAMA)

Madison)
COUNTY)

Before me, the undersigned, a Notary Public in and for said State and County, personally appeared _____, whose name is signed to the foregoing instrument and who is known to me and acknowledged before me that he/she has executed said instrument on the day the same bears date.

Signed and sealed on this the 29 day of April, 2015.

(SEAL)

Sandra Engle
Notary Public, State of Alabama

My Commission Expires 06/06/2016

**Auburn University Board of Trustees
Code of Ethics Compliance Document
2014-2015**

Attachment for Michael A. DeMaioribus



I have no employment, personal or familial financial interest in Auburn University. My company, Dynetics, Inc., does subcontract some of our high technology work to Auburn University when Auburn has a particular expertise we need on a project. The terms and conditions for Auburn University are no more favorable than are usual and customary in our industry. This contract work results in extramural research funding to Auburn University. The contracts are reviewed by our legal and contracting groups, signed by our Vice President of Contracts and are independently audited by the Defense Contract Auditing Agency (DCAA).

AUBURN UNIVERSITY BOARD OF TRUSTEES
CODE OF ETHICS COMPLIANCE DOCUMENT
2014-2015

I affirm that I have reviewed the Auburn University Code of Ethics and that I have and will fully comply with its requirements. I further certify that:

1. I have X, or do not have _____, (check one) a business or professional relationship with any other Board member or any entity affiliated with any other Board member (If you do not have any such relationships skip #2 and answer #3.)

SEE COMMENT PAGE A

2. I have business or professional relationships with 2 other Board member(s). I certify that all such relationships are on terms no more favorable to me than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other Board members and persons similarly situated to me who are not members of the Board of Trustees. Should any effort occur to influence the independent judgment on my part required in paragraph 1 of the Code of Ethics resolution, I will sever any and all business relationships with any member of the Board associated with such effort, or I will resign from the Board.

Name(s) and Nature of Relationship:

SEE COMMENT PAGE A

3. I further certify, that I have X, or do not have _____, (check one) contractual, employment, personal or familial financial interest in Auburn University.

If so, please explain: My daughter, Kristin Dumas Phillips,
is employed by Auburn University (Tigers Unlimited) as
Director of Premium Seating and Hospitality.

The information supplied above conforms to the requirements of Ala. Code (1975), § 13A-10-102. "A person commits the crime of perjury in the second degree when he swears with intent to mislead a public servant in the performance of his duty and his false statement is material to the action, proceeding or matter involved."

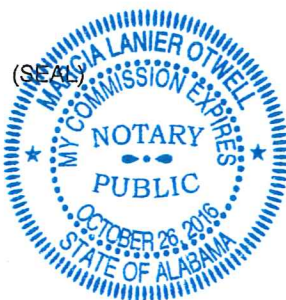
Signed:

Robert W. Dumas
Member Robert W. Dumas
Auburn University, Board of Trustees

STATE OF ALABAMA)
)
Lee COUNTY)

Before me, the undersigned, a Notary Public in and for said State and County, personally appeared Robert W. Dumas, whose name is signed to the foregoing instrument and who is known to me and acknowledged before me that he/she has executed said instrument on the day the same bears date.

Signed and sealed on this the 5 day of May, 2015



Marcia Lanier Otwell
Notary Public, State of Alabama

My Commission Expires: 10-26-16

**Auburn University Board of Trustees
Code of Ethics Compliance Document
2014-2015**

**Comment Page A for Robert W. Dumas
Page 1 of 1**

I have no personal business or professional relationships with any board members. As President/CEO of AuburnBank, a state banking corporation, my bank may serve as a depository or lending institution to a board member or an affiliate but any such relationships are on terms no more favorable than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other Board members and persons similarly situated to me who are not members of the Board of Trustees.

J. Smith Lanier & Co. does provide some commercial insurance coverage for AuburnBank but the terms are no more favorable than the terms that are usual and customary in the ordinary course of business.

Regarding Auburn University, AuburnBank may provide banking services to the University in the normal course of business. I have no contractual, employment, personal or familial interest in Auburn University other than as described above. Please note that I serve on several public, private and charitable boards as listed below:

AuburnBank – Board of Directors

Auburn National Bancorporation, Inc. – Board of Directors

Alabama Bankers Association – Board of Directors

East Alabama Medical Center – Board of Directors

**Federal Reserve Bank of Atlanta's Birmingham Branch –
Board of Directors**

Auburn Research & Tech Foundation – Board of Directors

Auburn Business Incubator Advisory Committee Member

Alabama Sports Hall of Fame Selection Committee Member

AU Golf Letterman Club Member

AUBURN UNIVERSITY BOARD OF TRUSTEES
CODE OF ETHICS COMPLIANCE DOCUMENT
2014-2015

I affirm that I have reviewed the Auburn University Code of Ethics and that I have and will fully comply with its requirements. I further certify that:

1. I have _____, or do not have X, (check one) a business or professional relationship with any other Board member or any entity affiliated with any other Board member (If you do not have any such relationships skip #2 and answer #3.)
2. I have business or professional relationships with _____ other Board member(s). I certify that all such relationships are on terms no more favorable to me than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other Board members and persons similarly situated to me who are not members of the Board of Trustees. Should any effort occur to influence the independent judgment on my part required in paragraph 1 of the Code of Ethics resolution, I will sever any and all business relationships with any member of the Board associated with such effort, or I will resign from the Board.

Name(s) and Nature of Relationship:

Note: Charles D. McCrary's son, Douglas McCrary, is an employee of my firm, Harbert Management Corporation.

Charles D. Miller, who serves on Auburn's Foundation Board, is employed by my firm,
Harbert Management Corporation.

3. I further certify, that I have _____, or do not have X, (check one) contractual, employment, personal or familial financial interest in Auburn University.

If so, please explain: _____

The information supplied above conforms to the requirements of Ala. Code (1975), § 13A-10-102. "A person commits the crime of perjury in the second degree when he swears with intent to mislead a public servant in the performance of his duty and his false statement is material to the action, proceeding or matter involved."

Signed _____

Member

Auburn University, Board of Trustees

STATE OF ALABAMA)
)
Jefferson COUNTY)

Before me, the undersigned, a Notary Public in and for said State and County, personally appeared Raymond J. Harbert, whose name is signed to the foregoing instrument and who is known to me and acknowledged before me that he/she has executed said instrument on the day the same bears date.

Signed and sealed on this the 14th day of May, 2015



Carole B. Schaffer

Notary Public, State of Alabama

My Commission Expires 6/15/2016

**AUBURN UNIVERSITY BOARD OF TRUSTEES
CODE OF ETHICS COMPLIANCE DOCUMENT
2014-2015**

I affirm that I have reviewed the Auburn University Code of Ethics and that I have and will fully comply with its requirements. I further certify that:

1. I have _____, or do not have ☒, (check one) a business or professional relationship with any other Board member or any entity affiliated with any other Board member (If you do not have any such relationships skip #2 and answer #3.)

SEE COMMENT PAGE A

2. I have business or professional relationships with 0 other Board member(s). I certify that all such relationships are on terms no more favorable to me than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other Board members and persons similarly situated to me who are not members of the Board of Trustees. Should any effort occur to influence the independent judgment on my part required in paragraph 1 of the Code of Ethics resolution, I will sever any and all business relationships with any member of the Board associated with such effort, or I will resign from the Board.

Name(s) and Nature of Relationship:

SEE COMMENT PAGE A

3. I further certify, that I have _____, or do not have ☒, (check one) contractual, employment, personal or familial financial interest in Auburn University.

If so, please explain: SEE COMMENT PAGE A

The information supplied above conforms to the requirements of Ala. Code (1975), § 13A-10-102. "A person commits the crime of perjury in the second degree when he swears with intent to mislead a public servant in the performance of his duty and his false statement is material to the action, proceeding or matter involved."

Signed: _____

Member
Auburn University, Board of Trustees

STATE OF ALABAMA)
)
Jefferson COUNTY)

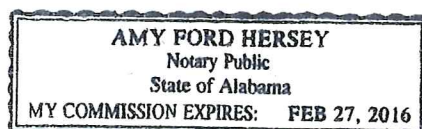
Before me, the undersigned, a Notary Public in and for said State and County, personally appeared Elizabeth H. Huntley, whose name is signed to the foregoing instrument and who is known to me and acknowledged before me that he/she has executed said instrument on the day the same bears date.

Signed and sealed on this 20th day of May, 2015.

(SEAL)

Amy Ford Hersey
Notary Public, State of Alabama

My Commission Expires: 2/27/16



Auburn University Board of Trustees
Code of Ethics Compliance Document
2015-2016

Comment Page A for Elizabeth H. Huntley

Page 1 of 1

I have no personal business or professional relationships with any board members. I am Of Counsel at the law firm of Lightfoot, Franklin & White, L.L.C and we provide a range of legal services to corporate and individual clients throughout the United States. Although I am not personally involved, my law firm may have or may continue to provide legal services to a board member or an affiliate, but any such relationships are on terms no more favorable than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other Board members and persons similarly situated to me who are not members of the Board of Trustees.

In regards to Auburn University, Lightfoot, Franklin & White, L.L.C. may provide legal services to the University in the normal course of business but I am not personally involved. I have no contractual, employment, personal or familial interests in Auburn University other than as described above. Please note that I serve on the following charitable boards of directors:

Children's First Foundation Board of Directors
Children's Village Board of Directors
Alabama School Readiness Advisory Board of Directors
Leadership Alabama Board of Directors

**AUBURN UNIVERSITY BOARD OF TRUSTEES
CODE OF ETHICS COMPLIANCE DOCUMENT
2014-2015**

I affirm that I have reviewed the Auburn University Code of Ethics and that I have and will fully comply with its requirements. I further certify that:

1. I have ☒, or do not have ☐, (check one) a business or professional relationship with any other Board member or any entity affiliated with any other Board member (If you do not have any such relationships skip #2 and answer #3.)
2. I have business or professional relationships with ☒ other Board member(s). I certify that all such relationships are on terms no more favorable to me than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other Board members and persons similarly situated to me who are not members of the Board of Trustees. Should any effort occur to influence the independent judgment on my part required in paragraph 1 of the Code of Ethics resolution, I will sever any and all business relationships with any member of the Board associated with such effort, or I will resign from the Board.

Name(s) and Nature of Relationship:

See Attachment

3. I further certify, that I have ☒, or do not have ☐, (check one) contractual, employment, personal or familial financial interest in Auburn University.

If so, please explain: _____

The information supplied above conforms to the requirements of Ala. Code (1975), § 13A-10-102. "A person commits the crime of perjury in the second degree when he swears with intent to mislead a public servant in the performance of his duty and his false statement is material to the action, proceeding or matter involved."

Signed: _____

Member
Auburn University, Board of Trustees

Georgia
STATE OF ~~ALABAMA~~)
Troup COUNTY)

Before me, the undersigned, a Notary Public in and for said State and County, personally appeared P. Gaines Haines, whose name is signed to the foregoing instrument and who is known to me and acknowledged before me that he/she has executed said instrument on the day the same bears date.

Signed and sealed on this the 29th day of April, 2015

(SEAL)

Janice W. Chaudhry
Notary Public, State of ~~Alabama~~ Georgia
My Commission Expires April 4, 2016

AUBURN UNIVERSITY BOARD OF TRUSTEES CODE
OF ETHICS COMPLIANCE DOCUMENT

ATTACHMENT A

I have no personal or business relationships with any board members. However, J. Smith Lanier & Co., an insurance brokerage company of which I am Chairman and Chief Executive Officer, has customers throughout the state. The company may or may not have business relationships with some or no board members. I am not aware of any specific business relationships with any other board members or their organizations. It may also be that various clubs, organizations or employees associated with Auburn University may have business relationships with J. Smith Lanier & Co.

Such relationships, if any, would have been entered into at terms no more favorable than to non board members. Moreover to the extent any such relationships do exist they would preexisted the time at which I was appointed to the board and accordingly could not have been entered into to influence my decisions as a board member.

**AUBURN UNIVERSITY BOARD OF TRUSTEES
CODE OF ETHICS COMPLIANCE DOCUMENT
2014-2015**

I affirm that I have reviewed the Auburn University Code of Ethics and that I have and will fully comply with its requirements. I further certify that:

1. I have _____, or do not have ☒, (check one) a business or professional relationship with any other Board member or any entity affiliated with any other Board member (If you do not have any such relationships skip #2 and answer #3.)
2. I have business or professional relationships with 1 other Board member(s). I certify that all such relationships are on terms no more favorable to me than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other Board members and persons similarly situated to me who are not members of the Board of Trustees. Should any effort occur to influence the independent judgment on my part required in paragraph 1 of the Code of Ethics resolution, I will sever any and all business relationships with any member of the Board associated with such effort, or I will resign from the Board.

Name(s) and Nature of Relationship:

My Son Douglas McCray is
an employee of Harbert Management
Firm.

3. I further certify, that I have ___, or do not have ☒, (check one) contractual, employment, personal or familial financial interest in Auburn University.

If so, please explain: _____

The information supplied above conforms to the requirements of Ala. Code (1975), § 13A-10-102. "A person commits the crime of perjury in the second degree when he swears with intent to mislead a public servant in the performance of his duty and his false statement is material to the action, proceeding or matter involved."

Signed: Charles D. McCray

Member
Auburn University, Board of Trustees

STATE OF ALABAMA)
)
Lee COUNTY)

Before me, the undersigned, a Notary Public in and for said State and County, personally appeared Charles D. McCray, whose name is signed to the foregoing instrument and who is known to me and acknowledged before me that he/she has executed said instrument on the day the same bears date.

Signed and sealed on this the 4th day of June, 2017.

(SEAL)

Kale C. Hill
Notary Public, State of Alabama

My Commission Expires 7/12/2017

AUBURN UNIVERSITY BOARD OF TRUSTEES
CODE OF ETHICS COMPLIANCE DOCUMENT
2014-2015

I affirm that I have reviewed the Auburn University Code of Ethics and that I have and will fully comply with its requirements. I further certify that:

1. I have _____, or do not have ☒, (check one) a business or professional relationship with any other Board member or any entity affiliated with any other Board member (If you do not have any such relationships skip #2 and answer #3.)
2. I have business or professional relationships with _____ other Board member(s). I certify that all such relationships are on terms no more favorable to me than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other Board members and persons similarly situated to me who are not members of the Board of Trustees. Should any effort occur to influence the independent judgment on my part required in paragraph 1 of the Code of Ethics resolution, I will sever any and all business relationships with any member of the Board associated with such effort, or I will resign from the Board.

Name(s) and Nature of Relationship:

3. I further certify, that I have ☒, or do not have _____, (check one) contractual, employment, personal or familial financial interest in Auburn University.

If so, please explain:

John Newton, my son, works
as an IT Administrator in Electrical
and Computer Engineering

The information supplied above conforms to the requirements of Ala. Code (1975), § 13A-10-102. "A person commits the crime of perjury in the second degree when he swears with intent to mislead a public servant in the performance of his duty and his false statement is material to the action, proceeding or matter involved."

Signed:

Sarah B. Newton

Member

Auburn University, Board of Trustees

STATE OF ALABAMA)

Lee)
COUNTY)

Before me, the undersigned, a Notary Public in and for said State and County, personally appeared _____, whose name is signed to the foregoing instrument and who is known to me and acknowledged before me that he/she has executed said instrument on the day the same bears date.

Signed and sealed on this the 27th day of May, 2015.

(SEAL)

Doreen Lloyd Patterson
Notary Public, State of Alabama

My Commission Expires: _____

NOTARY PUBLIC STATE OF ALABAMA AT LARGE
MY COMMISSION EXPIRES: Aug 2, 2015
BONDED THRU NOTARY PUBLIC UNDERWRITERS

AUBURN UNIVERSITY BOARD OF TRUSTEES
CODE OF ETHICS COMPLIANCE DOCUMENT
2014-2015

I affirm that I have reviewed the Auburn University Code of Ethics and that I have and will fully comply with its requirements. I further certify that:

1. I have X, or do not have _____, (check one) a business or professional relationship with any other Board member or any entity affiliated with any other Board member (If you do not have any such relationships skip #2 and answer #3.)
2. I have business or professional relationships with 1 other Board member(s). I certify that all such relationships are on terms no more favorable to me than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other Board members and persons similarly situated to me who are not members of the Board of Trustees. Should any effort occur to influence the independent judgment on my part required in paragraph 1 of the Code of Ethics resolution, I will sever any and all business relationships with any member of the Board associated with such effort, or I will resign from the Board.

Name(s) and Nature of Relationship:

I have represented Jimmy Rane in the past and still do although no active involvement on his behalf

3. I further certify, that I have _____, or do not have X (check one) contractual, employment, personal or familial financial interest in Auburn University.

If so, please explain: _____

The information supplied above conforms to the requirements of Ala. Code (1975), § 13A-10-102. "A person commits the crime of perjury in the second degree when he swears with intent to mislead a public servant in the performance of his duty and his false statement is material to the action, proceeding or matter involved."

Signed

Member

Auburn University, Board of Trustees

STATE OF ALABAMA)
)
JEFFERSON)

COUNTY)

Before me, the undersigned, a Notary Public in and for said State and County, personally appeared JAMES R. PRATT, whose name is signed to the foregoing instrument and who is known to me and acknowledged before me that he/she has executed said instrument on the day the same bears date.

Signed and sealed on this the 20th day of May, 2015

(SEAL)

Deborah H. Byrd
Notary Public, State of Alabama

My Commission Expires: 10/3/16

**AUBURN UNIVERSITY BOARD OF TRUSTEES
CODE OF ETHICS COMPLIANCE DOCUMENT
2014-2015**

I affirm that I have reviewed the Auburn University Code of Ethics and that I have and will fully comply with its requirements. I further certify that:

1. I have _____, or do not have ☒, **(check one)** a business or professional relationship with any other Board member or any entity affiliated with any other Board member (If you do not have any such relationships skip #2 and answer #3.)
2. I have business or professional relationships with _____ other Board member(s). I certify that all such relationships are on terms no more favorable to me than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other Board members and persons similarly situated to me who are not members of the Board of Trustees. Should any effort occur to influence the independent judgment on my part required in paragraph 1 of the Code of Ethics resolution, I will sever any and all business relationships with any member of the Board associated with such effort, or I will resign from the Board.

Name(s) and Nature of Relationship:

Please see attached

3. I further certify, that I have _____, or do not have ☒, **(check one)** contractual, employment, personal or familial financial interest in Auburn University.

If so, please explain: _____

The information supplied above conforms to the requirements of Ala. Code (1975), § 13A-10-102. "A person commits the crime of perjury in the second degree when he swears with intent to mislead a public servant in the performance of his duty and his false statement is material to the action, proceeding or matter involved."

Signed: _____

Member
Auburn University, Board of Trustees

STATE OF ALABAMA)
)
Henry COUNTY)

Before me, the undersigned, a Notary Public in and for said State and County, personally appeared James W. Kane, whose name is signed to the foregoing instrument and who is known to me and acknowledged before me that he/she has executed said instrument on the day the same bears date.

Signed and sealed on this the 29th day of April, 2015

(SEAL)

Jennifer A. Hays
Notary Public, State of Alabama

**NOTARY PUBLIC STATE OF ALABAMA AT LARGE
MY COMMISSION EXPIRES: Apr 12, 2017
BONDED THRU MERCHANTS BONDING COMPANY**

Auburn University Board of Trustees
Code of Ethics Compliance Document
2014-2015

James W. Rane

I have no personal business or professional relationships with any board members. As President and CEO of Great Southern Wood Preserving, Incorporated, the nation's leading producer of pressure treated pine lumber for residential, farm, commercial and industrial uses, we sell products to the general public through retail building material dealers and other distributors throughout a 27 state region encompassing the Southeast, Northeast and Midwestern United States. We may serve all board members or few members indirectly through their purchase of pressure treated wood products through these distribution channels. If any purchases of goods are made by members of this board, they are at terms no more favorable than non-board members.

Great Southern Wood Preserving, Incorporated, has a business relationship with J. Smith Lanier & Co., which provides our corporate insurance. Mr. Gaines Lanier is the Chairman and CEO of this company, but any such relations are on terms no more favorable than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other Board members and persons similarly situated to me who are not members of the Board of Trustees. My company also has a business relationship with Lightfoot, Franklin & White, LLC. Elizabeth H. Huntley is an associate with this law firm, which provides legal services to our company, but any such relationships are on terms no more favorable than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other board members and persons similarly situated to me who are not members of the Board of Trustees. Great Southern Wood Preserving, Incorporated, also has a business relationship with the law firm Hare, Wynn, Newell & Newton. James Pratt is an associate with this firm, which provides legal services to our company, but any such relationships are on terms no more favorable than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other board members and persons similarly situated to me who are not members of the Board of Trustees.

Regarding Auburn University, I have no contractual, employment, personal or familial interest in the University other than as described above. Please note that I am associated with several charitable organizations as listed below:

Abbeville United Methodist Church Board of Trustees
Henry County Historical Group Board of Directors
Alabama Archives & History Foundation Board of Directors
Auburn Letterman's Association Honorary Member
Alabama State Bar Member
American Bar Association Member
National Cowboy & Western Heritage Museum Board of Directors
President of the Jimmy Rane Foundation

**AUBURN UNIVERSITY BOARD OF TRUSTEES
CODE OF ETHICS COMPLIANCE DOCUMENT
2014-2015**

I affirm that I have reviewed the Auburn University Code of Ethics and that I have and will fully comply with its requirements. I further certify that:

1. I have _____, or do not have ☒, (check one) a business or professional relationship with any other Board member or any entity affiliated with any other Board member (If you do not have any such relationships skip #2 and answer #3.)
2. I have business or professional relationships with _____ other Board member(s). I certify that all such relationships are on terms no more favorable to me than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other Board members and persons similarly situated to me who are not members of the Board of Trustees. Should any effort occur to influence the independent judgment on my part required in paragraph 1 of the Code of Ethics resolution, I will sever any and all business relationships with any member of the Board associated with such effort, or I will resign from the Board.


Name(s) and Nature of Relationship:

3. I further certify, that I have _____, or do not have ☒, (check one) contractual, employment, personal or familial financial interest in Auburn University.

If so, please explain: _____

The information supplied above conforms to the requirements of Ala. Code (1975), § 13A-10-102. "A person commits the crime of perjury in the second degree when he swears with intent to mislead a public servant in the performance of his duty and his false statement is material to the action, proceeding or matter involved."

Signed



Member
Auburn University, Board of Trustees

STATE OF ALABAMA)
)
Mobile COUNTY)

Before me, the undersigned, a Notary Public in and for said State and County, personally appeared B. T. Roberts, whose name is signed to the foregoing instrument and who is known to me and acknowledged before me that he/she has executed said instrument on the day the same bears date.

Signed and sealed on this the 29th day of April, 2015

(SEAL)

Sharon S. Shuler
Notary Public, State of Alabama

My Commission Expires: 5/14/16

**AUBURN UNIVERSITY BOARD OF TRUSTEES
CODE OF ETHICS COMPLIANCE DOCUMENT
2014-2015**

I affirm that I have reviewed the Auburn University Code of Ethics and that I have and will fully comply with its requirements. I further certify that:

1. I have _____, or do not have ☒. **(check one)** a business or professional relationship with any other Board member or any entity affiliated with any other Board member (If you do not have any such relationships skip #2 and answer #3.)
2. I have business or professional relationships with _____ other Board member(s). I certify that all such relationships are on terms no more favorable to me than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other Board members and persons similarly situated to me who are not members of the Board of Trustees. Should any effort occur to influence the independent judgment on my part required in paragraph 1 of the Code of Ethics resolution, I will sever any and all business relationships with any member of the Board associated with such effort, or I will resign from the Board.

Name(s) and Nature of Relationship:

3. I further certify, that I have _____, or do not have ☒. **(check one)** contractual, employment, personal or familial financial interest in Auburn University.

If so, please explain _____

The information supplied above conforms to the requirements of Ala. Code (1975), § 13A-10-102. "A person commits the crime of perjury in the second degree when he swears with intent to mislead a public servant in the performance of his duty and his false statement is material to the action, proceeding or matter involved."

Signed: _____

Member
Auburn University, Board of Trustees

STATE OF ALABAMA)
)
Montgomery COUNTY)

Before me, the undersigned, a Notary Public in and for said State and County, personally appeared _____, whose name is signed to the foregoing instrument and who is known to me and acknowledged before me that he/she has executed said instrument on the day the same bears date.

Signed and sealed on this the 1 day of May, 2015

(SEAL)

January M. Green
Notary Public, State of Alabama

My Commission Expires: 5-11-16

**AUBURN UNIVERSITY BOARD OF TRUSTEES
CODE OF ETHICS COMPLIANCE DOCUMENT
2014-2015**

I affirm that I have reviewed the Auburn University Code of Ethics and that I have and will fully comply with its requirements. I further certify that:

1. I have X, or do not have , (check one) a business or professional relationship with any other Board member or any entity affiliated with any other Board member (If you do not have any such relationships skip #2 and answer #3.)
2. I have business or professional relationships with 1 other Board member(s). I certify that all such relationships are on terms no more favorable to me than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other Board members and persons similarly situated to me who are not members of the Board of Trustees. Should any effort occur to influence the independent judgment on my part required in paragraph 1 of the Code of Ethics resolution, I will sever any and all business relationships with any member of the Board associated with such effort, or I will resign from the Board.

Name(s) and Nature of Relationship:

Trustee Bob Dumas was nominated by Auburn University (AU) Board of Trustees President Pro Tem Raymond Harbert and subsequently elected as a director of the Auburn Research Technology Foundation (ARTF) which is a 501c3 organization established in 2004 to contractually facilitate and provide enhancements for Auburn University.

Prior to becoming an AU Trustee, I was elected as director of ARTF and have been annually elected and served as Chairperson since its inception.

3. I further certify, that I have , or do not have x , (check one) contractual, employment, personal or familial financial interest in Auburn University.

If so, please explain: _____

The information supplied above conforms to the requirements of Ala. Code (1975), § 13A-10-102. "A person commits the crime of perjury in the second degree when he swears with intent to mislead a public servant in the performance of his duty and his false statement is material to the action, proceeding or matter involved."

Signed: James H. Sanford
Member
Auburn University, Board of Trustees

STATE OF ALABAMA)
)
Autauga COUNTY)

Before me, the undersigned, a Notary Public in and for said State and County, personally appeared _____, whose name is signed to the foregoing instrument and who is known to me and acknowledged before me that he/she has executed said instrument on the day the same bears date.

Signed and sealed on this the 19th day of May, 2015

(SEAL)

Kelly Courant
Notary Public, State of Alabama

My Commission Expires: 10/7/15

AUBURN UNIVERSITY BOARD OF TRUSTEES
CODE OF ETHICS COMPLIANCE DOCUMENT
2014-2015

I affirm that I have reviewed the Auburn University Code of Ethics and that I have and will fully comply with its requirements. I further certify that:

1. I have _____, or do not have ☒, (check one) a business or professional relationship with any other Board member or any entity affiliated with any other Board member (If you do not have any such relationships skip #2 and answer #3.)
2. I have business or professional relationships with _____ other Board member(s). I certify that all such relationships are on terms no more favorable to me than the terms that are usual and customary in the ordinary course of comparable business or professional relationships between such other Board members and persons similarly situated to me who are not members of the Board of Trustees. Should any effort occur to influence the independent judgment on my part required in paragraph 1 of the Code of Ethics resolution, I will sever any and all business relationships with any member of the Board associated with such effort, or I will resign from the Board.

Name(s) and Nature of Relationship:

3. I further certify, that I have ☒, or do not have _____, (check one) contractual, employment, personal or familial financial interest in Auburn University.

If so, please explain: Brother, Jerry Smith, has
a Contract with the School of Education

The information supplied above conforms to the requirements of Ala. Code (1975), § 13A-10-102. "A person commits the crime of perjury in the second degree when he swears with intent to mislead a public servant in the performance of his duty and his false statement is material to the action, proceeding or matter involved."

Signed: _____

Member

Auburn University, Board of Trustees

STATE OF ~~ALABAMA~~ Tennessee

Davidson COUNTY

Before me, the undersigned, a Notary Public in and for said State and County, personally appeared Wayne Smith, whose name is signed to the foregoing instrument and who is known to me and acknowledged before me that he/she has executed said instrument on the day the same bears date.

Signed and sealed on this the 29th day of April, 2015



(SEAL)

Suzanne G. McDaniel
Notary Public, State of ~~Alabama~~ Tennessee

My Commission Expires: _____

My Commission Expires
May 8, 2018