

MINUTES OF RECONVENED MEETING OF THE
BOARD OF TRUSTEES
OF
AUBURN UNIVERSITY

SEPTEMBER 25, 2015

AGENDA
AUBURN UNIVERSITY BOARD OF TRUSTEES
SEPTEMBER 25, 2015

1. Approval of the June 5, 2015 Reconvened Meeting Minutes
2. President's Report
3. Action Items and Committee Reports
 - A. Academic Affairs/Chairperson Huntley
 1. Proposed Graduate Certificate in Business Analytics
 2. Proposed Changes in Federal Classification Codes for Graduate Programs in Biomedical Sciences in the College of Veterinary Medicine
 3. Proposed Undergraduate Certificate Program in Museum Studies at Auburn University at Montgomery
 4. Proposed Option in Art History in the Bachelor of Arts in Visual Arts Degree at Auburn University at Montgomery
 - B. Finance Committee/Chairperson Harbert
 1. 2015-2016 Budget
 - C. Property and Facilities Committee/Chairperson Harbert
 1. Proposed Five-Year Forest Management Plan for the Alabama Agricultural Experiment Station
 2. Proposed Five-Year Forest Management Plan for the Mary Olive Thomas Demonstration Forest
 3. Proposed Five-Year Timber Harvest Schedule for the Solon Dixon Forestry Education Center
 4. Solon Dixon Forestry Education Center, Approval of Office Annex Lease
 5. Real Estate Acquisition, Dr. and Mrs. Cecil Herman Prescott Property
 6. Auburn University Montgomery Admissions and Alumni Center, Approval of Project Initiation and Authorization to Commence the Project Architect Selection Process
 7. Mell Street, West Samford Avenue, and Thach Avenue Traffic and Parking Improvements, Approval of Project Initiation, and Authorization to Commence the Project Architect and Construction Manager Selection Processes

8. Risk Management and Safety Building, Approval of Project Initiation and Authorization to Commence the Project Architect Selection Process
9. Auxiliary Services Building, Approval of Project Initiation and Authorization to Commence the Project Architect Selection Process
10. Health Science Sector Infrastructure, Approval of Project Initiation and Selection of the Project Engineer
11. Offsite Library Archiving Facility, Approval of Project, Project Program, Site, Budget and Funding Plan
12. Leach Science Center Addition, Approval of Project Initiation and Architect and Construction Manager Selections
13. Engineering Achievement Center, Approval of Project Architect and Construction Manager Selections
14. Campus Utility System Expansion, Approval of Utility System Engineer Selection
15. Food Animal Research Facility, Approval of Project Program, Site, Budget and Funding Plan and Schematic Design
16. Cater Hall Repairs and Renovation-Phase II, Approval of Project Program, Site, Budget, Funding Plan and Schematic Design for Phase II
17. Approval of Sigma Phi Epsilon Revised Lease Agreement

D. Executive Committee/Chairperson Lanier

1. Proposed Posthumous Awarding of the Doctor of Philosophy Degree in History for Michael Elliott Kern (Timothy Boosinger)
2. Presidential Assessment (Gaines Lanier)
3. Proposed Awardings and Namings (Gaines Lanier)

4. Recess Meeting

MINUTES OF A RECONVENED MEETING OF THE
AUBURN UNIVERSITY BOARD OF TRUSTEES
FRIDAY, SEPTEMBER 25, 2015
BALLROOM B, AU HOTEL
AUBURN UNIVERSITY

The Board of Trustees of Auburn University reconvened for a meeting on Friday, September 25, 2015, in Ballroom B of the Auburn University Hotel. President Pro Tempore Charles McCrary convened the meeting at 10:23 a.m. Upon roll call by Board Secretary Grant Davis, the following named members of the Board of Trustees were deemed to be in attendance:

Mike DeMaioribus, Bob Dumas, Raymond Harbert, Elizabeth Huntley, Charles McCrary, Gaines Lanier, Sarah B. Newton, Jim Pratt, B.T. Roberts, Clark Sahlie, Jimmy Sanford, and Wayne Smith

The following named Trustees were absent:

Governor Robert Bentley and Mr. James W. Rane

The individuals listed above represent all persons recognized as members of the Board of Trustees of Auburn University at the time of the meeting. Also sitting with the Board were Patricia Duffy, Faculty Advisor (AU); Michele Olson, Faculty Advisor (AUM); AUM SGA President Victoria Byrne; and AU SGA President Walker Byrd. Other persons in attendance at the meeting included the following:

Jay Gogue, President; Timothy Boosinger, Provost; Don Large, Executive Vice President; Joe King, Provost of AUM; Lee Armstrong, General Counsel; Bobby Woodard, Vice President and Associate Provost for Student Affairs; Gretchen VanValkenburg, Vice President for Alumni Affairs; Jon Waggoner, University Counsel; Brian Keeter, Director of Public Affairs; Sherri Fulford, Executive Director of Governmental Affairs; Dan King, Associate Vice President for Facilities; Wayne Alderman, Dean of Enrollment Services; Royrickers Cook, Assistant Vice President for Outreach; Drew Clark, Director of Institutional Research and Assessment; Larry Fillmer, Executive Director for Program Development; Mike Clardy, Interim Executive Director, Communications and Marketing; Vic Walker, Chair, AU Administrative and Professional Assembly; Larry Teeter, AU University Senate Chair; Jack Fite, President of the AU Alumni Board; Thom Gossom, Chairperson of the AU Foundation; Beau Bird, Vice President of the Auburn Alumni Association; members of the Auburn Alumni Association Board of Directors; members of the media; and others.

Mr. McCrary asked Mr. Armstrong if there were matters to be discussed in Executive Session. Mr. Armstrong indicated that there were pending or potential litigation matters to discuss. A motion was received from Mr. McCrary to move into Executive Session, seconded by Mr. Roberts, and the Board of Trustees unanimously approved. Mr. McCrary announced that the meeting would reconvene within thirty minutes.

Mr. McCrary reconvened the meeting at 11:10 a.m. and asked for a motion to adopt the minutes from the June 5, 2015, meeting. A motion was received from Mr. Lanier, seconded by Ms. Huntley, and the following minutes were unanimously approved.

RESOLUTION

APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the Reconvened Meeting on Friday, June 5, 2015, have been distributed to all members of this Board for review.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees that the minutes of its Friday, June 5, 2015, meeting are hereby approved as distributed.

Mr. McCrary then called upon Dr. Gogue for the President's Report. Dr. Gogue called upon Dr. Veres for a report from the AUM campus. Dr. Gogue concluded with a report from the AU campus.

Mr. McCrary then moved to Committee Reports as follows:

Academic Affairs Committee -- Chairperson Huntley. Trustee Huntley reported that earlier the AUM Committee, Academic Affairs Committee, and Finance Committee had reviewed items and moved for adoption of a unanimous consent agenda. A second was received from Mr. Dumas, and the Board unanimously adopted the following resolutions:

RESOLUTION

PROPOSED GRADUATE CERTIFICATE IN BUSINESS ANALYTICS

WHEREAS, the Raymond J. Harbert College of Business wishes to provide qualified individuals with the opportunity to acquire additional knowledge and advanced analytical skills to excel in diverse business-related fields by offering a graduate certificate in Business Analytics; and

WHEREAS, the proposed graduate certificate in business analytics would provide professionals with knowledge and expertise in developing predictive modeling and strategies for enhancing data-driven decisions; and

WHEREAS, such a certificate program could be completed either on campus or by distance education, would utilize existing courses within the existing Masters of Science in Information Systems Management program, and would not require any additional resources or faculty; and

WHEREAS, the request to create this graduate certificate has been endorsed by the Dean of the Harbert College of Business, the Graduate Council, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed Graduate Certificate in Business Analytics from the Raymond J. Harbert College of Business be approved, and forwarded to the Alabama Commission on Higher Education as an item of information.

RESOLUTION

PROPOSED CHANGE IN FEDERAL CLASSIFICATION CODES FOR GRADUATE PROGRAMS IN BIOMEDICAL SCIENCES IN THE COLLEGE OF VETERINARY MEDICINE

WHEREAS, the U.S. Department of Education maintains the Classification of Instructional Programs as a taxonomy to support the accurate tracking, assessment, and reporting of fields of study and the Alabama Commission on Higher Education uses this classification to structure its Inventory of Instructional Programs; and

WHEREAS, Auburn University's M.S. and Ph.D. programs in Biomedical Sciences, offered through the College of Veterinary Medicine, are currently classified in that taxonomy as belonging to the narrow field Veterinary Sciences/Veterinary Clinical Sciences, General; and

WHEREAS, the classification of these graduate programs as being principally veterinary in focus fails to capture the breadth of life and biomedical disciplines encompassed by Auburn's graduate programs in Biomedical Sciences and also makes international graduate students in these programs ineligible to apply for visas reserved for those entering fields in Science, Technology, Engineering, and Mathematics (STEM-approved fields); and

WHEREAS, the federal taxonomy includes the field Biomedical Sciences, General, which more accurately describes the scope of Auburn's graduate programs in Biomedical Sciences and which is a STEM-approved field; and

WHEREAS, the College of Veterinary Medicine has requested that the M.S. and Ph.D. programs in Biomedical Sciences be reclassified as belonging to the field Biomedical Sciences, General (CIP 26.0102), and this request has received the approval of the Graduate Council, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed reclassification of these two graduate programs in Biomedical Sciences the College of Veterinary Medicine here described be approved, with the proposed reclassification of the M.S.

program being forwarded to the Alabama Commission on Higher Education as an item of information and the proposed reclassification of the Ph.D. program being forwarded for review and approval.

RESOLUTION

PROPOSED UNDERGRADUATE CERTIFICATE PROGRAM IN MUSEUM STUDIES

WHEREAS, the AUM College of Arts and Sciences wishes to provide students with the opportunity to gain an understanding of the operations of museums; and

WHEREAS, such knowledge will particularly benefit students in art, history, and anthropology, who often go on to employment in museums and similar institutions; and

WHEREAS, for such students a Certificate in Museum Studies can serve as a professional credential for work in museums; and

WHEREAS, such a certificate program will draw on courses currently in AUM's catalog and will not require additional resources or faculty; and

WHEREAS, the request to create this undergraduate certificate has been endorsed by the University Curriculum Committee, the Deans of AUM's College of Arts and Sciences and College of Public Policy and Justice, the Provost, and Chancellor.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed undergraduate Certificate in Museum Studies from the College of Arts and Sciences be approved and reported to the Alabama Commission of Higher Education as an information item.

RESOLUTION

PROPOSED OPTION IN ART HISTORY IN THE BACHELOR OF ARTS IN VISUAL ARTS DEGREE AT AUBURN UNIVERSITY AT MONTGOMERY

WHEREAS, the AUM Bachelor of Arts in Visual Arts degree provides opportunity for students to gain a broad education in art; and

WHEREAS, Art History as a field of study is a means for non-practitioners to gain a broad knowledge of art; and

WHEREAS, Art History sharpens students' observational, analytic, and writing skills; and

WHEREAS, Art History is a point of entry into various careers, particularly at cultural institutions; and

WHEREAS, an option in art history would draw on courses currently taught at AUM; and

WHEREAS, launching the proposed Art History option in the Bachelor of Arts in Visual Arts degree would require no additional resources; and

WHEREAS, the proposal to establish an undergraduate option in Art History has been endorsed by the Department of Fine Arts, the Dean of the College of Arts and Sciences, the AUM Curriculum Committee, the Provost, and the Chancellor.

NOW, THEREFORE, BE IT RESOLVED by Auburn University's Board of Trustees that the proposed undergraduate option in Art History from the College of Arts and Sciences at AUM be approved and reported to the Alabama Commission of Higher Education for review and approval.

Finance Committee – Mr. Harbert, acting as Chair at the request of President Pro Tempore McCrary, indicated that the Finance Committee met and discussed approval of the 2015-2016 Operating Budget. Mr. Harbert then moved for adoption of the resolution, seconded by Mr. Sahlie, and the following resolution was unanimously adopted:

RESOLUTION

2015-2016 BUDGET

BE IT RESOLVED by the Board of Trustees of Auburn University as follows:

Section 1. The operating budget for Auburn University covering current operating funds and auxiliary funds for the fiscal year beginning October 1, 2015, and ending September 30, 2016, as presented by the President and approved by the Finance Committee of the Board of Trustees, be, and the same is hereby approved.

Section 2. Nothing in said budget shall be accepted or construed to be legal obligations or liabilities against Auburn University. The amounts fixed in the components of the budget for the year 2015-2016 shall be understood to be the relative amounts to be paid or expended for those components in relationship to the funds and/or income of the University available for the support and maintenance of the University.

Section 3. The proposed expenditure amounts as set out in the budget are hereby approved and adopted and the President is authorized and empowered to enact such budget on October 1, 2015. The President is further authorized and empowered to effect routine adjustments to this budget as deemed necessary and appropriate.

Section 4. The Finance Committee of the Board of Trustees is requested and authorized to approve material adjustments in this budget as may be determined necessary and presented by the President.

Section 5. In order to manage the University's opportunities to refinance its outstanding General Fee Revenue Bonds and thereby reduce the University's overall debt service obligations as and when possible, the Authorization attached as Exhibit A is hereby approved and adopted as if set forth in full in this resolution.

EXHIBIT A

AUTHORIZATION FOR THE ISSUANCE OF REVENUE REFUNDING BONDS OF AUBURN UNIVERSITY

WHEREAS, it is desirable and appropriate for Auburn University (the “University”) to issue its General Fee Revenue Bonds from time to time for the purpose of refunding and paying certain of its prior outstanding bonds and thereby achieving an overall interest rate expense savings to the University; and

WHEREAS, in order to manage the University’s refunding opportunities in the most efficient manner possible and to permit the University to take advantage of changing market conditions, it is desirable and appropriate to authorize the officers of the University to arrange for the issuance of refunding bonds without further approval of the Board of Trustees, subject to the limitations expressed in this authorization.

THEREFORE, by virtue of the Resolution to which this Authorization is attached, the Board of Trustees hereby and thereby authorizes the following:

Section 1. Findings. The following bonds of the University are currently outstanding:

- (i) General Fee Revenue Bonds, Series 2006-A, dated November 1, 2006 and not previously refunded, issued under the Eleventh Supplemental General Fee Indenture dated as of November 1, 2006;
- (ii) General Fee Revenue Bonds, Series 2007-A dated November 1, 2007 and not previously refunded, issued under the Twelfth Supplemental General Fee Indenture dated as of December 1, 2007;
- (iii) General Fee Revenue Bonds, Series 2008, dated September 1, 2008 and not previously refunded, issued under the Thirteenth Supplemental General Fee Indenture dated as of September 1, 2008;
- (iv) General Fee Revenue Bonds, Series 2009, dated December 29, 2009, issued under the Fourteenth Supplemental General Fee Indenture dated as of December 1, 2009;
- (v) General Fee Revenue Bonds, Series 2011-A, dated May 1, 2011, issued under the Fifteenth Supplemental General Fee Indenture dated as of May 1, 2011;
- (vi) General Fee Revenue Bonds, Series 2012-A and Series 2012-B (Taxable), dated March 27, 2012, issued under the Sixteenth Supplemental General Fee Indenture dated as of March 1, 2012;

- (vii) General Fee Revenue Bonds, Series 2014-A, dated July 1, 2014, issued under the Seventeenth Supplemental General Fee Indenture dated as of July 1, 2014;
- (viii) General Fee Revenue Bonds, Series 2015-A, dated March 18, 2015, issued under the Eighteenth Supplemental General Fee Indenture dated as of March 1, 2015;
- (ix) Athletic Revenue Bonds, Series 2001-A, dated December 1, 2001; and
- (x) Dormitory Revenue Bonds, Series 1978.

The bonds described in (i) – (x) above are herein referred to as the “Outstanding Bonds.”

Section 2. Authorization of Bonds. The University is hereby authorized to issue its revenue bonds for the purpose of refunding any or all of the Outstanding Bonds. The said bonds shall be issued under the terms, conditions and provisions set out in the General Fee Revenue Trust Indenture dated as of June 1, 1985 between the University and The Bank of New York Mellon Trust Company, N.A., as successor trustee (herein called the “Trustee”), as heretofore supplemented, and as further supplemented by the Supplemental General Fee Revenue Indentures (the “Supplemental General Fee Indentures”) provided for in Section 6 of this authorization (the original General Fee Revenue Trust Indenture, as so supplemented being herein referred to as the “General Fee Revenue Indenture”). The bonds herein authorized (the “Bonds”) may be issued at such time or times and in such series as may be most advantageous to the University, subject to the provisions of Section 12 of this authorization. The Outstanding Bonds to be refunded by the Bonds are hereinafter referred to as the “Refunded Bonds.”

All the provisions of the General Fee Revenue Indenture, as applicable to the Bonds, are hereby adopted as a part of this authorization as if set out at length herein.

Section 3. Bonds to be Issued as Additional Parity Bonds; Special Findings. The Bonds shall be issued as additional parity bonds under Article VIII of the General Fee Revenue Indenture.

In accordance with the provisions of Section 8.2(b) of the General Fee Revenue Indenture, the Board hereby finds and declares as follows:

(a) The University is not now in default under the General Fee Revenue Indenture and no such default is imminent.

(b) Bonds the interest on which is excludable from gross income for purposes of federal income taxation shall be designated “General Fee Revenue Refunding Bonds, Series ____”. If it is necessary and appropriate to issue a portion of the Bonds as bonds the interest on which is taxable for purposes of federal income taxation, such bonds shall be designated “General Fee Revenue Refunding Bonds, Series ____ (Taxable)”. The series designation shall be

completed to reflect the calendar year in which the Bonds are issued and to provide any further identification of the Bonds as is appropriate.

(c) The persons to whom the Bonds are to be delivered are set forth in Sections 7 and 9 hereof.

(d) All of the Bonds are to be issued by sale in accordance with Section 7 hereof.

(e) The sale price of the Bonds shall be as set forth in Sections 7 and 12 hereof.

(f) The only parity bonds that have previously been issued by the University under the General Fee Revenue Indenture and that are currently outstanding are those bonds listed in (i) – (viii) of Section 1 above.

(g) The Refunded Bonds are to be refunded from proceeds of the Bonds, subject to the determinations and conditions set forth in Sections 11 and 12 hereof.

The Trustee is hereby requested to authenticate and deliver the Bonds to the purchaser specified in Section 7 hereof upon payment of the purchase price designated therein.

Section 4. Source of Payment of the Bonds. The principal of and the interest on the Bonds shall be payable from (i) the gross revenues from those general tuition fees levied against students at the Auburn, Alabama campus and the Montgomery, Alabama campus of the University that are more particularly described and referred to as “General Fees” in the General Fee Indenture; (ii) the gross revenues derived by the University from the operation of its housing and dining facilities located on the Auburn campus and on the Montgomery campus that are more particularly described and referred to as “Housing and Dining Revenues” in the General Fee Indenture; (iii) the gross revenues derived by the University from the operation of its athletics programs that are more particularly described and referred to as “Athletic Fee Revenues” in the General Fee Indenture; and (iv) the several student fees levied against students at the Auburn campus and the Montgomery campus that are more particularly described and referred to as the “Pledged Student Fees” in the General Fee Indenture. The said General Fees, Housing and Dining Revenues, Athletic Fee Revenues, and Pledged Student Fees are referred to herein as the “Pledged Revenues.”

Nothing contained in this authorization, in the Bonds, in the General Fee Revenue Indenture, or in the supplemental indentures hereinafter authorized shall be deemed to impose any obligations on the University to pay the principal of or the interest on the Bonds except from the Pledged Revenues. Neither the Bonds, nor the pledge or any agreement contained in the General Fee Revenue Indenture, in any supplemental indenture or in this authorization shall be or constitute an obligation of any nature whatsoever of the State of Alabama, and neither the Bonds nor any obligation arising from the aforesaid pledge or agreements shall be payable out of any moneys appropriated to the University by the State of Alabama. The agreements, covenants

or representations contained in this authorization, in the Bonds, in the General Fee Revenue Indenture, and in any supplemental indenture do not and shall never constitute or give rise to any personal or pecuniary liability or charge against the general credit of the University, and in the event of a breach of any such agreement, covenant or representation, no personal or pecuniary liability or charge payable directly or indirectly from the general revenues of the University shall arise therefrom. Nothing contained in this section shall, however, relieve the University from the observance and performance of the several covenants and agreements on its part herein contained.

Section 5. Bonds Payable at Par. All remittances of principal of and interest on the Bonds to the holders thereof shall be made at par without any deduction for exchange or other costs, fees or expenses. The bank or banks at which the Bonds shall at any time be payable shall be considered by acceptance of their duties hereunder to have agreed that they will make or cause to be made remittances of principal of and interest on the Bonds, out of the moneys provided for that purpose, in bankable funds at par without any deduction for exchange or other cost, fees or expenses. The University will pay to such bank or banks all reasonable charges made and expenses incurred by them in making such remittances in bankable funds at par.

Section 6. Authorization of Supplemental Indentures. The Board does hereby authorize and direct the President of the University to approve, execute and deliver, for and in the name and behalf of the University, to the Trustee, a Supplemental General Fee Revenue Indenture with respect to each series of the Bonds, and does hereby authorize and direct the Secretary of the Board to affix the official seal of the University to said Supplemental General Fee Revenue Indenture and to attest the same.

Section 7. Sale of the Bonds. The Board does hereby authorize and direct the President of the University to approve, execute and deliver, for and in the name and behalf of the University, one or more Bond Purchase Agreements with respect to each series of Bonds between the University and an underwriter or underwriters (the "Underwriter") approved under Section 12 of this authorization,

Section 8. Authorization of Official Statements. The Board does hereby authorize and direct the Underwriter to prepare and distribute, for and in the name and on behalf of the University, a Preliminary Official Statement and a final Official Statement with respect to each series of Bonds issued under this authorization. The Board does hereby further authorize and direct the President or the Executive Vice President of the University to execute and deliver, for and on behalf of the University, such final Official Statement(s) and does hereby declare that the Official Statement(s) so executed by the President or the Executive Vice President of the University shall be the Official Statement(s) of the University with respect to the Bonds covered by such Official Statement(s).

Section 9. Execution and Delivery of Bonds. The Board does hereby authorize and direct the President of the University to execute the Bonds, in the name and on behalf of the University, by causing his signature or a facsimile thereof to be placed or imprinted on the Bonds, and does hereby authorize and direct the Secretary of the Board to cause a facsimile of the official seal of the University to be imprinted on the Bonds and to attest the same by causing

his signature or a facsimile thereof to be placed or imprinted on the Bonds, all in the manner provided in the General Fee Revenue Indenture. The President of the University is hereby further authorized and directed to deliver the Bonds, subsequent to their execution as provided herein to the Trustee, and to direct the Trustee to authenticate all the Bonds and to deliver them to the Underwriter, upon payment to the University of the purchase price therefor in accordance with the provisions of Sections 7 and 12 hereof.

Section 10. Application of Proceeds. The entire proceeds derived by the University from the sale of the Bonds shall be paid to the Trustee under the General Fee Revenue Indenture. The Trustee is thereupon authorized and directed to apply and disburse such moneys for the purposes and in the order specified in the Supplemental General Fee Indentures.

Section 11. Redemption of Refunded Bonds; Authorization of Escrow Trust Agreement. Any series of Outstanding Bonds to be refunded by the Bonds or any series of the Bonds shall be called for redemption on the first date permitted for the call and redemption of such Outstanding Bonds subsequent to the date of issuance of the Bonds, at and for a redemption price equal to 100% of the principal amount of each bond so redeemed, plus accrued interest. The President and the Executive Vice President of the University are separately authorized to direct the Trustee to mail and/or publish notice of such redemption as required under the terms of the General Fee Revenue Indenture. Any such redemption notice mailed or published prior to the date of issuance of the Bonds shall provide that the call of the affected Refunded Bonds for redemption is contingent upon the issuance and sale of the Bonds.

Pursuant to Section 6.1(a) of the General Fee Revenue Indenture, the Board hereby confirms that the University is not in default under said indenture.

The President of the University is hereby authorized to approve, execute and deliver in the name and on behalf of the University an Escrow Trust Agreement or Agreements, between the University and the Trustee, if necessary or desirable, with respect to each series of Refunded Bonds to provide for the escrow and investment of proceeds of the Bonds until the redemption date of the Refunded Bonds.

Section 12. Authorization to Approve Certain Matters. The Board has determined that it is in the best interest of the University to authorize the issuance of the Bonds for the purposes described in this authorization and subject to the limitations of this authorization without a further meeting or approval of the Board. Dr. Donald Large, the Executive Vice President of the University and the Chairman of the Finance Committee of the Board are hereby authorized:

(a) to determine when and if any Bonds shall be issued and to approve the schedule of issuance for each series of Bonds; provided that no Bonds shall be issued under the authority of this authorization after December 31, 2016;

(b) to approve the principal amount of the Bonds to be issued in each series and the designation of the Bonds as tax-exempt or taxable Bonds; provided that the aggregate principal amount of each series of Bonds shall not exceed the

amount necessary to pay the principal and interest on the Refunded Bonds (taking into account any original issue premium or discount) and the costs of issuing the Bonds;

(c) to determine which of the Refunded Bonds are to be refunded and redeemed by the Bonds; provided that any such refunding shall result in a minimum net present value savings of at least 3%;

(d) to approve the forms of Supplemental General Fee Indenture(s), Bond Purchase Contract(s), Preliminary Official Statement(s), Official Statement(s) and Escrow Agreement(s) to be delivered in connection with each series of Bonds;

(e) to approve the final form and pricing details of each series of Bonds, including the interest rates to be borne by such Bonds, the principal maturities thereof and any original issue discount or premium with respect to the Bonds; provided that the net interest cost of any series of Bonds shall not exceed 6%;

(f) to approve the expenses of issuing the Bonds; and

(g) to take such other steps and to execute and approve such other documents as may be necessary or appropriate to cause the Bonds to be issued, sold and delivered consistent with the provisions of this authorization and the General Fee Revenue Indenture.

The final approval by the Executive Vice President of the University and the Chairman of the Finance Committee of the Board of the items listed above may be conclusively evidenced by a certificate signed by each of them and delivered at the time of issuance of the Bonds.

Section 13. Severability. The various provisions of this authorization are hereby declared to be severable. If any provision hereof shall be held invalid by a court of competent jurisdiction, such invalidity shall not affect any other portion of this authorization.

Section 14. Designation of Professionals. The retention of Public Financial Management, Inc. as financial advisor to the University, the law firm of Balch & Bingham LLP as bond counsel to the University for the issuance of the Bonds, and the law firm of Maynard, Cooper Gale, P.C. as counsel to the Underwriter for the issuance of the Bonds are hereby authorized.

Section 15. General Authorization. The President of the University, the Executive Vice President of the University and the Secretary of the Board are hereby authorized to execute such further certifications or other documents and to take such other action as any of them may deem appropriate or necessary for the consummation of the matters covered by this authorization, to the end that the Bonds may be executed and delivered at the times and on the terms most advantageous to the University.

Property and Facilities Committee -- Chairperson Harbert. Mr. Harbert indicated that the AUM and Property and Facilities Committees had met earlier to consider ten proposed agenda items. Mr. Harbert moved for adoption of a consent agenda approving all items. A second was received from Ms. Huntley, and the following resolutions were approved:

RESOLUTION

PROPOSED FIVE-YEAR FOREST MANAGEMENT PLAN FOR THE ALABAMA AGRICULTURAL EXPERIMENT STATION

WHEREAS, the Alabama Agricultural Experiment Station owns and manages approximately 9,200 acres of forest land in 23 research units; and

WHEREAS, the School of Forestry and Wildlife Sciences has been delegated management authority; and

WHEREAS, the School of Forestry and Wildlife Sciences has prepared a Five-Year Forest Management Plan entitled, Management Planning for Forest Land on the Alabama Agricultural Experiment Station, 2015-2020, that identifies a schedule for maintenance, harvest, and reforestation for the period 2015-2020.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Management Planning for Forest Land on the Alabama Agricultural Experiment Station, 2015-2020 is approved and that G. Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to implement said plan, including the harvesting of timber in areas identified in the plan -- such sales to be conducted in accordance with the bid laws of the State of Alabama.

BE IT FURTHER RESOLVED that the funds generated from the sale of timber be used for forest regeneration, management, use, and support of research programs on the Alabama Agricultural Experimental Station.

RESOLUTION

PROPOSED FIVE-YEAR FOREST MANAGEMENT PLAN FOR THE MARY OLIVE THOMAS DEMONSTRATION FOREST

WHEREAS, the Alabama Cooperative Extension System owns and manages the approximately 400 acres of forest land known as the Mary Olive Thomas Demonstration Forest; and

WHEREAS, the School of Forestry and Wildlife Sciences has been delegated management authority; and

WHEREAS, the School of Forestry and Wildlife Sciences has prepared a five-year forest management plan entitled, Management Planning for the Mary Olive Thomas Demonstration Forest, 2015-2020 that identifies a schedule for maintenance, harvest and reforestation for the period 2015-2020.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Management Planning for Forest Land on the Alabama Agricultural Experiment Station, 2015-2020 is approved and that G. Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to implement said plan, including the harvesting of timber in areas identified in the plan, with such sales to be conducted in accordance with the bid laws of the State of Alabama.

BE IT FURTHER RESOLVED that the funds generated from the sale of timber be used for forest regeneration, management, use, and support of demonstration programs on the Mary Olive Thomas Demonstration Forest.

RESOLUTION

FIVE-YEAR FOREST MANAGEMENT PLAN FOR THE SOLON DIXON FORESTRY EDUCATION CENTER

WHEREAS, Auburn University owns and manages those lands known as the Solon Dixon Forestry Education Center consisting of approximately 5,350 acres located in Escambia and Covington Counties, Alabama; and

WHEREAS, the School of Forestry & Wildlife Sciences manages said lands through the employment of full time professional staff located at the Solon Dixon Center; and

WHEREAS, the Solon Dixon Center has prepared a five-year forest management plan, based on sound forestry practices, for the years of 2015 – 2019.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Solon Dixon Forestry Education Center Five-Year Timber Harvest Schedule 2015-19 is approved and that G. Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to implement said schedule, including the harvesting of timber in areas identified in the plan -- such sales to be conducted in accordance with the bid laws of the State of Alabama.

BE IT FURTHER RESOLVED that the funds generated from the sale of timber be used for forest regeneration, management, use, and support of operations of the Solon Dixon Forestry Education Center.

RESOLUTION

SOLON DIXON FORESTRY EDUCATION CENTER APPROVAL OF OFFICE ANNEX LEASE

WHEREAS, Auburn University owns certain real property known as the Solon Dixon Property that consists of approximately 5,300 acres located in Escambia and Covington Counties near Andalusia, Alabama; and

WHEREAS, this property and the numerous improvements constructed thereon were gifts to Auburn through the generosity of the Dixon family for the creation of the Solon Dixon Forestry Education Center (SDFEC), a learning environment for forestry, wildlife, and other aspects of natural resource management; and

WHEREAS, the School of Forestry and Wildlife Sciences, by approval of the Auburn University Board of Trustees, has been leasing space to the Longleaf Alliance Incorporated, a non-profit advocacy group focused on the restoration of longleaf pine ecosystems, since September 2009. It is proposed to renew the lease of the same 1,500 square feet of space for a ten year term with an option to renew for five years. The lease is for instructional and counseling purposes which are designed to enhance the operation of the institution and is in the best interest of Auburn University and the Solon Dixon Forestry Education Center; and

WHEREAS, the annual revenue of \$6,300 generated by the ten-year lease shall be deposited in the management and operating fund for the Solon Dixon Forestry Education Center.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such persons as may be acting as President, be and the same is hereby authorized and empowered to:

1. Take such action as necessary and desirable to prepare an appropriate lease agreement between Auburn University and the Longleaf Alliance, Incorporated.
 2. Execute such agreement as may be necessary to complete this transaction with the understanding that all instruments required for consummation of the lease be reviewed as to form by legal counsel for Auburn University.
-

RESOLUTION

REAL ESTATE ACQUISITION DR. AND MRS. CECIL HERMAN PRESCOTT PROPERTY

WHEREAS, an opportunity has arisen for Auburn University at Montgomery to purchase a parcel of land located at 1165 Bell Road, consisting of a 3,259 square foot residential home with four bedrooms, three and a half baths, a swimming pool, and approximately six acres of land; and

WHEREAS, this property adjoins University owned property, and by relocating the Early Childhood Development Center to this property, its purchase would allow Auburn University at Montgomery to repurpose academic building space in the core of campus for future academic or administrative needs; and

WHEREAS, the funds to offset the amount of the purchase are to be provided from unrestricted University funds.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such person as may be acting as President, be and the same is hereby authorized and empowered to take such action as is necessary and desirable to purchase from Dr. and Mrs. Cecil Herman Prescott the property located at 1165 Bell Road in Montgomery, Alabama as shown on Exhibit A, for the price of four hundred thousand dollars (\$400,000). All documents consummating the purchase of the property shall be reviewed as to form by legal counsel for Auburn University.

RESOLUTION

AUBURN UNIVERSITY AT MONTGOMERY ADMISSIONS AND ALUMNI CENTER APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE PROJECT ARCHITECT SELECTION PROCESS

WHEREAS, Auburn University at Montgomery is committed to actively recruiting new students and building successful and affinitive alumni; and

WHEREAS, the University seeks to reduce the amount of leased space and its associated costs and increase on-campus facilities; and

WHEREAS, to achieve this, Auburn University at Montgomery proposes to construct an Admissions and Alumni Center on the Auburn University at Montgomery campus that will support recruitment goals, financial goals and provide needed administrative space on campus; and

WHEREAS, this project cost will be offset by annual lease expense savings, creating long-term savings for the University; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000, and the Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more must be submitted to the Board through the Auburn at Montgomery Committee and the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Auburn University at Montgomery Admissions and Alumni Center project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to initiate the process for the selection of an architect

RESOLUTION

MELL STREET, WEST SAMFORD AVENUE, AND THACH AVENUE TRAFFIC AND PARKING IMPROVEMENTS APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE PROJECT ARCHITECT AND CONSTRUCTION MANAGER SELECTION PROCESSES

WHEREAS, Mell Street, West Samford Avenue, and Thach Avenue are important roads on the east side of the Auburn University campus; and

WHEREAS, several improvements are proposed to improve the traffic flow as well as the parking layout and capacity in this area, particularly near Samford Hall; and

WHEREAS, the proposed project would improve the Mell Street and West Samford Avenue intersection, realign parking adjacent to Ross Square, increase parking near Mary Martin Hall, and increase traffic control on Thach Avenue; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000, and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure, or outdoor facility projects with budgets of \$1,000,000 or more must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Mell Street, West Samford Avenue, and Thach Avenue Traffic and Parking Improvements project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the selection processes of a project architect and construction manager.

RESOLUTION

RISK MANAGEMENT AND SAFETY BUILDING APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE PROJECT ARCHITECT SELECTION PROCESS

WHEREAS, the Risk Management and Safety staff is currently located in two facilities on campus: Leach Science Center and the Safety Annex on Camp Auburn Road; and

WHEREAS, the proposed project would relocate the Risk Management and Safety staff to a new building at the Facility Management Complex on West Samford Avenue; and

WHEREAS, this relocation is required to build an addition onto the Leach Science Center to house the Department of Physics faculty and staff due to the demolition of Parker and Allison Halls as part of the Academic Classroom and Laboratory project; and

WHEREAS, this plan is consistent with the Campus Master Plan strategy of relocating service functions out of the core of campus to increase the space available for academic units; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000, and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Risk Management and Safety Building project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the selection process of a project architect.

RESOLUTION

AUXILIARY SERVICES BUILDING APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE PROJECT ARCHITECT SELECTION PROCESS

WHEREAS, the Auxiliary Services facility is currently located at the corner of Hemlock Drive and Thach Avenue on the west end of campus, and the staff and maintenance operation has outgrown the current facility; and

WHEREAS, the proposed project would relocate some of the Auxiliary Services staff to a new building at the Facility Management Complex on West Samford Avenue, and this relocation would also provide additional space to enable the relocation of Auxiliary Services material currently stored in the Food Service Warehouse located on South Donahue Avenue next to Lowder Hall; and

WHEREAS, this relocation plan is consistent with the Campus Master Plan strategy of relocating service functions out of the core of campus to increase the space available for academic units; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Auxiliary Services Building project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the selection process for a project architect.

RESOLUTION

HEALTH SCIENCE SECTOR INFRASTRUCTURE APPROVAL OF PROJECT INITIATION AND SELECTION OF THE PROJECT ENGINEER

WHEREAS, the 2013 Campus Master Plan designated the area at the southwest corner of South Donahue Drive and Lem Morrison Drive as the Health Science Sector of the Auburn University campus; and

WHEREAS, this sector is intended to be a site for future instructional and research buildings related to the health sciences to create synergies with the Auburn Research and Technology Park and the new Edward Via College of Osteopathic Medicine; and

WHEREAS, two new University buildings are currently planned for construction in the Health Science Sector: the School of Nursing and the Pharmaceutical Research Building, with both scheduled to begin construction in late 2015 or early 2016; and

WHEREAS, the Health Science Sector Infrastructure project is the first phase of an overall campus utility upgrade project approved by the Board of Trustees in June 2015, and it proposes to upgrade or provide the necessary water, electric power, chilled water/hot water, sewer, and information technology/communications infrastructure to the Health Science Sector to support these and future projects; and

WHEREAS, engineering firm, LBYD, Inc., of Birmingham Alabama, is best positioned to design this utility work in time to support the construction of the School of Nursing facility and Pharmaceutical Research Building; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000, and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure, or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Health Science Sector Infrastructure project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage the firm of LBYD, Inc., of Birmingham Alabama, as project engineer to consult in the development of the infrastructure program and project design.
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

RESOLUTION

OFFSITE LIBRARY ARCHIVING FACILITY APPROVAL OF PROJECT, PROJECT PROGRAM, SITE, BUDGET, AND FUNDING PLAN

WHEREAS, the Provost proposes to construct a warehouse facility to archive low utilization library materials at a site off campus; and

WHEREAS, this project will enable the University to relocate library material displaced by the additional classrooms to be built in the Ralph Brown Draughon Library as part of the Mell Classroom Building and to proceed with previous plans to create additional student study space to meet high student demand; and

WHEREAS, the proposed facility would construct a commercial grade warehouse facility, of approximately 16,000 square feet, at the University owned property located at 340 Skyway Drive in Auburn, Alabama; and

WHEREAS, the cost of this project is anticipated to be \$1.9 million to be funded by unrestricted University funds; and

WHEREAS, all construction, renovation/adaptation, infrastructure, or outdoor facility projects, with budgets of \$1,000,000 or more must be submitted to the Board of Trustees, through the Property and Facilities Committee, for approval.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the project is approved and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a total project budget in the amount of \$1.9 million, to be funded by University unrestricted funds.
2. Direct the consultants to complete the required plans for the project.
3. Solicit bids for construction conditioned upon the availability of sufficient funding as approved by the President.
4. Award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.

RESOLUTION

LEACH SCIENCE CENTER ADDITION

APPROVAL OF PROJECT INITIATION AND ARCHITECT AND CONSTRUCTION MANAGER SELECTIONS

WHEREAS, at its meeting on February 6, 2015, the Board of Trustees approved a project to construct the Academic Classroom and Laboratory Complex, one of two new campus facilities intended to transform academic instruction at Auburn University; and

WHEREAS, the new classroom and laboratory complex will be constructed on the current site of Parker and Allison Halls; and

WHEREAS, this project proposes to build an addition to the Leach Science Center to relocate the Physics Department from Parker and Allison Halls and thus enable the demolition of those buildings; and

WHEREAS, at its meeting on April 17, 2015, the Board of Trustees authorized the selection of Perkins+Will, of Atlanta, Georgia, as the project architect, and the selection of Brasfield & Gorrie, of Birmingham, Alabama, as the construction manager, for the Academic Classroom and Laboratory Complex project; and

WHEREAS, as part of the Academic Classroom and Laboratory Complex planning process, the firms Perkins+Will and Brasfield & Gorrie, LLC, have considerable knowledge of the project design, site, and schedule, and as a result, the University Architect and the Executive Director of Design & Construction have determined these firm to be best qualified to provide architectural and construction management services on the Leach Science Center Addition project.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Leach Science Center Addition project is approved, and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage Perkins+Will, of Atlanta, Georgia, as project architect to consult in the development of the facility program; to participate in space analysis and site planning; to compare alternative design concepts for consideration; to quantify and qualify project scope and cost alternatives.
2. Engage Brasfield & Gorrie, LLC, of Birmingham, Alabama, as construction manager to consult in the development of the facility program; to participate in space analysis and site planning; to compare alternative design concepts for consideration; and to quantify and qualify project scope and cost alternatives.
3. Limit the project plan and plan development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

RESOLUTION

ENGINEERING ACHIEVEMENT CENTER APPROVAL OF PROJECT ARCHITECT AND CONSTRUCTION MANAGER SELECTIONS

WHEREAS, the Board of Trustees, at the meeting held on June 5, 2015, approved the initiation of a project to construct a new Engineering Achievement Center facility, and authorized the commencement of the processes to select a project architect and a construction manager; and

WHEREAS, the University Architect, after conducting interviews with five candidate firms, determined the architectural firm of SmithGroupJJR, of Washington, D.C., was best qualified to provide architectural services on this project; and

WHEREAS, the Executive Director of Design & Construction, after conducting interviews with four candidate firms, determined the firm, Brasfield & Gorrie, LLC, of Birmingham, Alabama, was best qualified to provide construction management services on this project.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage the architectural team of SmithGroupJJR, of Washington, D.C, as project architect to consult in the development of the facility program and project design.
2. Engage the construction management firm, Brasfield & Gorrie, LLC, of Birmingham, Alabama, to perform construction management services and to assist in the development of the facility program and manage the construction of the facility.
3. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

RESOLUTION

CAMPUS UTILITY SYSTEM EXPANSION APPROVAL OF UTILITY SYSTEM ENGINEER SELECTION

WHEREAS, the Board of Trustees, at the meeting held on June 5, 2015, approved the initiation of a project to expand the campus utility system and authorized the commencement of the utility system engineer selection process; and

WHEREAS, the University Engineer, after conducting interviews with four candidate firms, determined the team of Affiliated Engineers, of Chapel Hill, North Carolina with LBYD, Inc., of Birmingham, Alabama, was best qualified to provide utility system engineering services on this project; and

WHEREAS, the proposed project is anticipated to cost in excess of \$1,000,000, and Board of Trustee policy stipulates that all construction and renovation/adaptation, infrastructure, or outdoor facility projects with budgets over \$1,000,000 or more must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage the engineering team of Affiliated Engineers, of Chapel Hill, North Carolina and LBYD, Inc., of Birmingham, Alabama, as utility system engineer to consult in the development of the utility system program and design.
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

RESOLUTION

FOOD ANIMAL RESEARCH FACILITY APPROVAL OF PROJECT PROGRAM, SITE, BUDGET, FUNDING PLAN, AND SCHEMATIC DESIGN

WHEREAS, the Board of Trustees approved the initiation of the Food Animal Research Facility project, and the selection of Foil Wyatt Architects, of Jackson, Mississippi, as the project architect at its meeting of February 6, 2015; and

WHEREAS, the Food Animal Research Facility project will construct a new 8,800 square foot laboratory to provide state-of-the-art capabilities for research related to large food animals, particularly cattle and sheep, in support of the College's mission in research and clinical education; and

WHEREAS, the Food Animal Research Facility is estimated to cost \$3.4 million, to be funded by College of Veterinary Medicine professional fees, research revenue, and gift funds; and

WHEREAS, the new research facility will be constructed on Simms Road, adjacent to the College of Veterinary Medicine; and

WHEREAS, the schematic design of the Food Animal Research Facility project has been determined by the University Architect to be consistent with the "*Comprehensive Master Plan*," the "*Image and Character of Auburn University*," the facility program, and the project budget.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a budget for the Food Animal Research Facility project in the amount of \$3.4 million, to be financed by College of Veterinary Medicine professional fees, research revenue, and gift funds.
2. Direct the consultants to complete the required plans for the project.

3. Solicit bids for construction conditioned upon the availability of sufficient funding as approved by the President.
 4. Award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.
-

RESOLUTION

CATER HALL REPAIRS AND RENOVATION—PHASE II APPROVAL OF PROJECT PROGRAM, SITE, BUDGET, FUNDING PLAN, AND SCHEMATIC DESIGN

WHEREAS, the Board of Trustees approved the initiation of the Cater Hall Repairs and Renovation project at its meeting of September 5, 2014; and

WHEREAS, the Cater Hall Repairs and Renovation Phase II project will renovate the interior space to improve space utilization, repair mechanical and electrical systems, and maintain the architectural quality of the building; and

WHEREAS, the estimated total cost for Phase II of the Cater Hall Repairs and Renovation project is \$1.95 million, to be funded by Repair and Renovation and other University general funds; and

WHEREAS, the schematic design of the Cater Hall Repairs and Renovation Phase II project, has been determined by the University Architect to be consistent with the “*Comprehensive Master Plan*,” the “*Image and Character of Auburn University*,” the facility program, and the project budget.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a budget for Phase II of the project in the amount of \$1.95 million, to be funded by Repair and Renovation and other University general funds.
 2. Direct the consultants to complete the required plans for the project.
 3. Solicit bids for construction conditioned upon the availability of sufficient funding as approved by the President.
 4. Award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.
-

RESOLUTION

SIGMA PHI EPSILON FRATERNITY: REQUEST TO RENEW A LEASE

WHEREAS, the Sigma Phi Epsilon Fraternity currently owns a fraternity house on campus at 926 West Magnolia Avenue pursuant to a lease that expires May 31, 2030; and

WHEREAS, the Fraternity would like to renovate the structure and has requested an extension to the term of the ground lease and an increase in the mortgage cap. The request has been reviewed and recommended by the Vice President of Student Affairs, the Committee on Fraternities and Sororities, the Office of Campus Planning and Space Management, and the President concurs

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Execute for and on behalf of Auburn University a 49-year lease renewal at \$1.00/yr. for the site at 926 West Magnolia Avenue; and
2. Increase the terms of the lease to increase the mortgage cap from \$750,000, to \$1,000,000 and
3. Authorize such other or further provisions of said lease as may be found by him to be desirable and in the University's best interest. All actions and instruments in this transaction shall be approved as to legal form by the General Counsel for Auburn University.

Executive Committee - - Chairperson Lanier. Mr. Lanier reported that the Executive Committee had met earlier and referred its agenda items for consideration by the full Board. Mr. Lanier introduced the first item, A proposed Posthumous Awarding of the Doctor of Philosophy Degree in History for Michael Elliott Kern. Dr. Boosinger stated that the Department and Dean all agreed to this awarding. Mr. Lanier moved for adoption of the resolution, seconded by Mr. Harbert, and the Board unanimously adopted the following resolution:

RESOLUTION

POSTHUMOUS AWARDING OF THE DOCTOR OF PHILOSOPHY DEGREE FOR MICHAEL ELLIOTT KERN

WHEREAS, Michael Elliott Kern, a doctoral student in History at Auburn University, passed away on June 16, 2015; and

WHEREAS, Michael Elliott Kern would have completed the requirements necessary for the Doctor of Philosophy degree in History; and

WHEREAS, faculty in the Department of History, the Dean of the College of Liberal Arts, the Dean of the Graduate School, the Provost, and the President recommend that the Doctor of Philosophy in History be awarded posthumously.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the degree of Doctor of Philosophy in History is hereby granted posthumously to Michael Elliott Kern in recognition of his academic achievements as a graduate student at Auburn University.

BE IT FURTHER RESOLVED that the Board hereby expresses its sympathy and condolences to the family of Michael Elliott Kern in this period of sadness and that a copy of this resolution be presented to them so that they will know of this action and the University's deep loss.

The next item was the Presidential Assessment (Attachment A), and Mr. Lanier called upon Trustee Newton for discussion. Mrs. Newton first thanked the President for another outstanding year of leadership and complimented him on his service to the administration, faculty, staff and students, particularly his graciousness in teaching graduate level courses over the past year. Mrs. Newton also thanked Mrs. Gogue for being a gracious host for Auburn University. She then thanked Mr. Rane, and Mr. McCrary for their assistance with the review. She indicated that copies are available by contacting Grant Davis.

Mr. McCrary then suggested that, due to the outstanding leadership of Dr. Gogue, he would like to have the Board consider an extended contract. He then asked for a motion for the Board to consider the new item. A motion was received from Trustee Harbert, and seconded by Ms. Huntley. Mr. McCrary then indicated he would like to propose offering a contract extension to 2020. The structure would be the same structure as the President's current base pay and deferred compensation, but would include a housing allowance to provide for the time beginning this fall when he will have to vacate the President's Home while it is undergoing the renovations projects previously authorized by the Board. He then moved to authorize executing a contract extension as discussed. A second was received from Mr. Harbert, and the Board unanimously agreed to extend the President's contract and provide a housing allowance during renovations to the President's Home.

Next was a proposal for two namings reviewed by the Board in Executive Session, and Mr. Lanier moved for adoption. A second was received from Mr. Dumas, and the Board unanimously adopted the following namings:

RESOLUTION

NAMING THE
THOMAS F. AND DONNA K. TALBOT MEASUREMENTS AND INSTRUMENTATION
LABORATORY

WHEREAS, Dr. Thomas Talbot graduated from Auburn University with a Bachelor's Degree in Mechanical Engineering in 1952, earned a Master's Degree in Mechanical Engineering from Cal Tech in 1953, a Doctoral degree in Mechanical Engineering from Georgia Tech in 1964, and has more than 55 years of experience in the fields of engineering design, engineering mechanics, and failure analysis; and

WHEREAS, since 1963, he has served as a product liability and accident reconstruction consultant, while also teaching in the Mechanical Engineering Departments at Georgia Tech from 1958-65, Vanderbilt University from 1965-67, and the University of Alabama at Birmingham from 1967-89, where he served as professor and Chairman of the Mechanical Engineering Department and professor of materials engineering from 1983 until his retirement in 1989; and

WHEREAS, Dr. Talbot is an active member of the Mechanical Engineering Advisory Board and is a long-time Eagles Society supporter of the Samuel Ginn College of Engineering; and

WHEREAS, he has been recognized by the College with the Outstanding Alumni Award for Mechanical Engineering in 2015 for his professional achievements and dedication to the mechanical engineering field; and

WHEREAS, he and his wife, Donna, have demonstrated their commitment and have been dedicated benefactors to the College of Engineering through their membership in the Engineering Eagles Society, as well as the University's 1856 and Foy societies; and

WHEREAS, the Talbots have committed a significant gift to support the educational needs of our students, the research efforts of our faculty, and the technological advances of our engineering facilities.

NOW, THEREFORE, BE IT RESOLVED that the laboratory on the second floor in Wiggins Mechanical Engineering Hall be named the Thomas F. and Donna K. Talbot Measurements and Instrumentation Laboratory in honor of their generous support and ongoing dedication.

RESOLUTION

RECOGNITION OF THE 30TH ANNIVERSARY OF THE CAROLINE MARSHALL DRAUGHON CENTER FOR THE ARTS & HUMANITIES.

WHEREAS, Auburn University faculty and administrators from several units established the Center for the Arts & Humanities in 1985 to develop and offer programming in Alabama schools, towns, and communities that strengthens the bonds between the academic community and the general public; and

WHEREAS, Dr. Leah Rawls Atkins, the Center's founding director, led the center to receive its first major National Endowment for the Humanities grant in 1988 to conduct statewide reading-

discussion programs, which set a standard of impact and outreach for adult educational programming in public libraries, community centers, and civic spaces; and

WHEREAS, the Center was named in 2007 to commemorate the life and work of Caroline Marshall Draughon, a beloved First Lady of Auburn University, founder of the Campus Club, embodiment of the “Auburn spirit,” and frequent attendee of center programs; and

WHEREAS, the Center maintains the historic Scott-Yarbrough House, affectionately known as Pebble Hill, an 1847 cottage built in the Greek Revival style by Nathaniel J. Scott, Auburn’s first state legislator and prominent supporter of higher education in East Alabama; and

WHEREAS, the Center coordinates endowed programs including the Daniel F. Breeden Eminent Scholar Chair for the Arts & Humanities; Draughon Seminars in State & Local History; Bert Hitchcock Graduate Award in Southern Studies; and Leah Rawls Atkins Fund for Excellence; and

WHEREAS, the Center continues to create and implement arts and humanities programs that explore our individual and collective experiences, values, and identities through the past, present, and future with cooperating partners such as the Alabama Department of Archives and History, Alabama Historical Association, Alabama Humanities Foundation, Alabama State Council on the Arts, and numerous libraries, organizations, and citizens around the state, region, and nation.

NOW, THEREFORE, BE IT RESOLVED, that the Auburn University Board of Trustees congratulates Auburn University, the College of Liberal Arts, center staff, partners, and supporters on the occasion of the Center’s Tirtieth Anniversary and expresses appreciation for the many ways in which the center cultivates the wisdom of the humanities and the creativity of the arts for the public good.

Mr. Lanier advised that the Executive Committee report was concluded. Mr. McCrary requested a motion to review a new topic regarding the AU Board of Trustees committee structure. A motion to review the new item was received from Trustee Newton, seconded by Ms. Huntley, and the Board unanimously agreed. Mr. McCrary advised that he had discussed the issue with Dr. Gogue, Mr. Davis, and Mr. Armstrong, and the proposal reflected the collective efforts of all, both as to what the Board should try and how the Board should go about implementing the structure. He indicated that documents were in front of each of them, including proposed assignments that address the topic. He also stated that he proposed a trial change in process to see if there was a better way for the Board to do business. He advised that he is not proposing a formal change in the By-laws now, but rather proposing that a portion of the By-laws and implementation be suspended on a trial or interim basis. The proposal’s key features were: Getting more Trustees involved; recognizing the reality of all Board members usually attending all Committee meetings; removing obstacles to full participation by all Board

members in committees, including being able to make motions and vote, with the exception of the Executive Committee which will have fewer members; and create "Lead Trustee" positions to be a liaison for certain activities where the Board would be better connected.

The proposal does include some changes in duties and titles of some committees. The most substantive changes deal with the Audit and Compensation Committees.

1. The Audit Committee name changed to reflect expanded compliance role. A proposal to amend the charter to reflect the same was distributed to the Board. Because the Charter is an exhibit to the By-laws, Mr. McCrary proposed deferring action on formally amending the Charter until the November meeting.
2. The Compensation Committee was abolished as stand-alone committee, and duties were assigned to Executive Committee. He then indicated that a revised Compensation Policy reflects the change in responsibility for compensation matters to the Executive Committee, and focusing on policy rather than procedures was in their packet. The latter can be adopted by the Executive Committee if it sees fit to do so. He indicated that Dr. Gogue, Mr. Davis, and Mr. Armstrong are all available to answer any questions.

Mr. McCrary then moved that the Board suspend Chapter 2 Section 4.4 of the By-laws and adopt, on a trial basis, the Committees and lead Trustees' responsibilities for each as set out in the document labeled Committees and Lead Trustees (Attachment B to these minutes.) A second was received from Mr. Harbert, and the Board unanimously approved the suspending of the By-laws for interim experimentation with a new committee structure as well as the revised Compensation Policy.

Mr. McCrary adjourned the meeting at 11:30 a.m.



Grant Davis

Secretary to the Board of Trustees

AUBURN UNIVERSITY
ANNUAL PRESIDENTIAL ASSESSMENT

The Board of Trustees should perform annually a Presidential Performance Assessment, typically on or before the Annual Board Meeting.

Primary Purposes

- To assess overall performance in a manner which enables the Board to assess the President and strengthen Presidential performance.
- To enable the Board and President to assess current goals and set mutually agreed upon goals.

Presidential Self-Assessment

The President, in consultation with the President Pro Tempore, and prior to the Performance Assessment, must submit a report regarding the Assessment period including, but not limited to the following:

- Summarize performance in addressing previously established goals and objectives.
- Highlight particularly significant accomplishments during the Assessment period.
- Identify continuing problems the President is facing and recommend how the Board and President might work together to address these problems.
- Describe three major challenges and three opportunities the University will face in the upcoming year and what role the President will play in addressing them.
- Briefly discuss the strengths and overall performance of the Senior Administrative Staff.
- Provide a brief summary of goals and objectives for the University for the year ahead as well as longer range.
- Provide recommendations concerning timing of a Presidential Search and Appointment.

Assessment Criteria

General Administrative Effectiveness

- Management of human, fiscal, and physical resources. Including planning, decision making, solving problems, leading change, linking plans and actions, developing a management team, and delegating responsibility.
- Establishment and supervision of an effective administrative team.
- Development, maintenance, and renewal of plans to meet long-term needs.
- Provision of leadership, direction, and support for periodic Assessment of academic and co-curricular programs and accomplishments which stimulate teaching, learning, research, scholarship, and professional development.

Communications

- Encouragement and support for open lines of communication throughout the University.
- Consultation within, participation in, and promotion of a shared vision within the University community.
- Encouragement of community involvement and shared vision within the University.
- Facilitation of regular communication with Board members concerning pertinent University issues.

Advancement

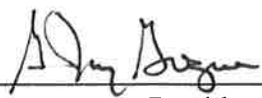
- Demonstrated evidence of community understanding and support for University advancement.
- Establishment and maintenance of constructive relations with federal, state, and local governments.
- Establishment and maintenance of constructive relations with the media.
- Effective leadership and support for institutional advancement and development programs.
- Successful enhancement of the University's local, regional, and national reputation.

Personal Attributes


The President:

- Effectively articulates concepts and initiatives.
- Efficiently and innovatively solves problems.
- Considers the public relations and political implications of his actions.
- Manages multiple problems and events simultaneously.
- Withstands criticism and directs opposition into productive channels.
- Understands issues and facts before making decisions.
- Identifies and addresses central issues in complex problems.
- Promotes coordinated and efficient programs and operations.
- Is readily available to consult with necessary University constituents and board members.

Comments: See Attached Sheet



President



President Pro Tempore



AUBURN UNIVERSITY

OFFICE OF THE SECRETARY
TO THE BOARD OF TRUSTEES

Memorandum

To: President Jay Gogue
From: Trustees Charles McCrary, Sarah Newton & Jimmy Rabe
Re: Annual Assessment of the President
Date: September 16, 2015

Board policies base the annual presidential assessment on six areas critical to the life of the institution. We have reviewed your self-assessment and unanimously agree that you continue to perform at an exceptional level in all categories.

We commend and thank you for another outstanding year of leadership for Auburn and the Auburn family. Likewise, Susie continues to play an invaluable role, and we appreciate her contribution to Auburn and its mission.

Additional information on the six assessment criteria is provided below.

1. Summarize performance in addressing previously established goals and objectives

State funding – We understand the challenge with what is essentially level funding from the State and appreciate leadership from you and your senior team in navigating the budgetary constraints.

Enrollment growth – Demand for the Auburn experience is clearly high as witnessed by this year's record freshmen enrollment. It's a credit to the hard work and commitment by you, faculty and staff.

New federal laws – Thank you for your attention to federal requirements and Auburn's compliance.

2. Highlight particularly significant accomplishments during the assessment period

Prestigious scholarships & fellowships – The growth in students earning these prestigious awards is impressive. We commend those responsible for nurturing these students. Please convey our appreciation.

Economic development – We encourage you and faculty members to maintain the economic development emphasis that's vital to the State.

Federal rankings – We understand the proposed U.S. Department of Education ranking scheme was dropped and replaced by one that has more support in the higher

education community. We commend you and other university leaders for communicating with federal officials in this area.

VCOM – The new osteopathic medical college creates outstanding partnership opportunities for Auburn and means a great deal to the health of our State and region. We are pleased this vision became reality.

ESPN-SEC channel – Please commend Athletics Director Jay Jacobs for Auburn's role in the channel's successful launch.

Student-Athletes – The Auburn family was pleased to see how well gymnastics and softball performed. Please express our appreciation to the coaches and Athletics Director Jay Jacobs.

Graduation rate – We ask that you express the Board's appreciation to faculty at a future senate meeting for the increase in the graduation rate. As you have often said, Auburn is in the business of helping people reach their hopes and dreams, and graduating in a timely manner is key to that goal.

National merit scholars – Auburn's reputational growth generates many positive outcomes. We're pleased that one of them impacts the collegiate choice of in-state National Merit scholars.

3. Identify continuing problems that the President is facing and recommend how the Board and the President might work together to address these problems

As we have noted the past two years, Trustees have witnessed significant improvements in proactive communications and marketing for Auburn. It's a strategic decision that must be a priority at the highest level to be successful, and we appreciate you making it so.

Your comments on the rising cost of federal and state compliance are concerning. We ask that you keep us informed.

4. Describe three major challenges and three opportunities the university will face in the upcoming year and what role the president will play in them

We agree with your assessment on major challenges and opportunities for the upcoming year. We ask that you keep us informed.

5. Briefly discuss the strengths and overall performance of the senior administrative staff

The Board is pleased to learn of your continued satisfaction with senior administrative staff. We also ask that you convey our appreciation to Dr. Lemme for his leadership of the Alabama Cooperative Extension System.


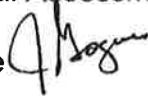
6. Provide a brief summary of goals and objectives for the university for the year ahead as well as longer range

We support your vision for the following year on implementation of the strategic plan.



AUBURN UNIVERSITY
OFFICE OF THE PRESIDENT

August 11, 2015

MEMORANDUM TO: Presidential Assessment Committee 
FROM: Jay Gogue 
RE: Presidential Self-Assessment 2014-15

Consistent with Board policies, I offer my thoughts on each of the following criteria for self-assessment.

Summarize Performance In Addressing Previously Established Goals And Objectives.

We are in the second year of our newest five-year strategic plan. Based on a full review of each component, I grade us at 75. We are making good progress. This plan is the direction-setting document for the University and, as in the past, we update Trustees several times a year at Board meetings.

During my self-assessment last year, I expressed several items of concern:

- 1) State funding – There is only limited improvement, but the Educational Trust Fund was able to provide minimal budget growth, which is needed and appreciated.
- 2) Enrollment growth – We last year added about 600 more freshmen than anticipated. The Provost, Deans, and Faculty did a good job responding to this growth, and we had a smooth year for incoming students.
- 3) New Federal laws – There is continued federal attention toward campus sexual assault and veterans tuition benefits. The campus sexual assault situation remains unclear and often changes. We have appropriate policies in place and a team that includes external experts with the needed skills to address this concern. With the Board's action, Auburn is in full compliance on veterans' tuition benefits.

Highlight Particularly Significant Accomplishments During The Assessment Period.

Accomplishments are the work of many people. Following are a few that merit attention along with staff members who are primarily responsible.

- 1) Prestigious Scholarships & Fellowships – A key element in our strategic plan is preparing students to successfully compete and win these scholarships and fellowships. More than 40 Auburn students have been honored this year. Ten years ago, only three or four were annually recognized. The leadership of the Honors College working with faculty should be applauded.
- 2) Economic Development – There are announcements almost monthly of new companies or expansions in Lee County. There is much great work being done by Faculty and Deans together with the Vice President of Research and Economic Development.
- 3) Federal Rankings – College rankings and evaluations by the U.S. Department of Education were a major concern for Auburn and many other universities. We are not opposed to being ranked or evaluated, but the proposed metrics were not appropriate for traditional institutions such as Auburn. We joined other colleges and universities in opposing this new evaluation model, which has been dropped.
- 4) VCOM – The Edward Via College of Osteopathic Medicine at Auburn is fully accredited with 162 first-year students. Special credit goes to the provost, executive vice president and Dr. Mike Goodlett from Auburn Athletics.
- 5) ESPN-SEC Channel – Auburn Athletics was critical to the most successful launch of a cable channel in the history of television.
- 6) Student-Athletes – Gymnastics and Softball each performed very well this year. Both coaches deserve much credit.
- 7) Graduation Rate – For the first time in Auburn history, the graduation rate rose above 70 percent. It's a success for our Faculty.
- 8) National Merit Scholars – As reported in the news media, Auburn is the destination of choice for in-state National Merit scholars.

Identify Continuing Problems The President Is Facing And Recommend How The Board And The President Might Work Together To Address Them.

Positive marketing of Auburn is done each day when we are not the focus of some nefarious deed. Things are going well in my judgment. We need to be vigilant with the legislative process to ensure that the Educational Trust Fund and the General Fund are not merged, which would severely damage education in Alabama. Also, recent studies show that the cost of federal and state compliance is eight to ten percent of a University's total budget. For Auburn, that translates to about \$80 million to \$100 million in compliance costs. When related to tuition, it means students pay about \$2,500 each year as part of their tuition for compliance functions.

Describe Three Major Challenges And Three Major Opportunities The University Will Face In The Upcoming Year And What Role The President Will Play In Addressing Them.

Challenges

- 1) Increased Enrollment – We expect this fall's class will exceed projections by about 800 students.
- 2) Internal Funding Model – We need to find consensus on the decision about a new model.
- 3) Compliance Costs – Federal compliance costs are increasing at significant rates, and there is little that Auburn or other institutions can do to control them. Examples include new law enforcement reporting requirements and sexual assault guidelines.

Opportunities

- 1) For-Profit Universities – Many of these institutions are failing. For example, Corinthian College left students with a \$40 billion debt that the Federal System will bail out. The University of Pheonix lost 60 percent of its students, and it was revealed it has a seven percent graduation rate. These universities are looking to restructure and partner with universities with a successful brand in the marketplace. It may generate opportunities.
- 2) Barbasol Golf Tournament - An event of this stature in our community offers us the opportunity to market in nearly 50 countries.