

MINUTES OF A RECONVENED MEETING OF THE
BOARD OF TRUSTEES
OF
AUBURN UNIVERSITY

SEPTEMBER 16, 2016

AGENDA
AUBURN UNIVERSITY BOARD OF TRUSTEES
SEPTEMBER 16, 2016

1. Approval of the June 10, 2016 Meeting Minutes
2. President's Report
3. Action Items and Committee Reports
 - A. Academic Affairs/Chairperson Newton
 1. Proposed Changes to Faculty Personnel Policies
 2. Proposed Renaming the Bachelor of Science in Hotel and Restaurant Management as the Bachelor of Science in Hospitality Management, Concurrent with the Establishment of three Program Options
 - B. Finance Committee/Chairperson Harbert
 1. Approval of the 2016-2017 Budget
 - C. Property and Facilities Committee/Chairperson Roberts
 1. Graduate Business Education Building, Final Project Approval
 2. Haley Center Quad Renovation, Approval of Project Architect Selection
 3. Recreation & Wellness Center Basement Build-Out, Approval of Project Architect Selection
 4. New Student Housing, Approval of Project Initiation and Authorization to Commence the Project Architect and Construction Manager Selection Processes
 5. Culinary Arts Building, Approval of Project Initiation and Authorization to Commence the Project Architect and Construction Manager Selection Processes
 6. Airport Maintenance Hangar, Approval of Project Initiation and Project Engineer Selection
 7. Real Estate Acquisition: T.K. Bullock Property, Solon Dixon Forestry Education Center, Covington County, Alabama
 8. Outlying Auburn University Parcels of Land, Authority to Execute Lease Agreements
 9. Auburn University Educational Complex Gulf Shores, Alabama, Approval of Lease

D. Executive Committee/Chairperson DeMaioribus

1. Posthumous Awarding of the Bachelor of Science degree from Auburn University to Nicholas A. Huntley
 2. Posthumous Awarding of the Master of Liberal Arts from Auburn University at Montgomery to Elizabeth Renee Boroughs
 3. Presidential Assessment
 4. Selection of Board Members to the Alumni Lifetime Achievement Awards Committee
 5. Selection of Representatives to the McCrary Institute Advisory Council
 6. Proposed Awards and Namings
4. Recess Meeting

MINUTES OF A RECONVENED MEETING OF THE
AUBURN UNIVERSITY BOARD OF TRUSTEES
FRIDAY, SEPTEMBER 16, 2016
126-127 1ST FLOOR CONFERENCE ROOMS
VCOM BUILDING, 910 SOUTH DONAHUE DRIVE, AUBURN, AL 36832
AUBURN UNIVERSITY

The Board of Trustees of Auburn University reconvened for a meeting on Friday, September 16, 2016, in the VCOM building on the Auburn University campus. President Pro Tempore Charles McCrary convened the meeting at 10:25 a.m. Upon roll call by Board Secretary Grant Davis, the following named members of the Board of Trustees were deemed to be in attendance:

Mike DeMaioribus, Bob Dumas, Raymond Harbert, Elizabeth Huntley, Charles McCrary, Gaines Lanier, Sarah B. Newton, Jim Pratt, , B.T. Roberts, Clark Sahlie, Jimmy Sanford, and Wayne Smith

The following named Trustees were absent:

Governor Robert Bentley and Jimmy Rane

The individuals listed above represent all persons recognized as members of the Board of Trustees of Auburn University at the time of the meeting. Also sitting with the Board were Larry Teeter, Faculty Advisor (AU); Pamela Long, Faculty Advisor (AUM); AUM SGA President Ethan Gregory; and AU SGA President Jesse Westerhouse. Other persons in attendance at the meeting included the following:

Jay Gogue, President; Timothy Boosinger, Provost and Vice President for Academic Affairs; Don Large, Executive Vice President; Carl Stockton, Chancellor of AUM; Lee Armstrong, General Counsel; Bobby Woodard, Vice President and Associate Provost for Student Affairs; Jane Parker, Vice President for Development; Gretchen VanValkenburg, Vice President for Alumni Affairs; Jon Waggoner, University Counsel; Brian Keeter, Director of Public Affairs; Sherri Fulford, Executive Director of Governmental Affairs; Dan King, Associate Vice President for Facilities; Royrickers Cook, Assistant Vice President for Outreach; Larry Fillmer, Executive Director of External Engagement and Support; Mike Clardy, Interim Executive Director of Communications and Marketing; Thom Gossom, President of the Auburn University Foundation; Jack Fite, President of the Auburn Alumni Association; Timothy Jones, Chair of the AU Administrative and Professional Assembly; R. James Goldstein, Chair of the AU University Senate; Kendra Morris, AUM Staff Council President; and AU and AUM Faculty Representatives on Committees: Dennis DeVries, Elizabeth Woodworth, Beverly Marshall, Richard Turpen, Duane Brandon, and James Witte. Also in attendance was Senator Tom Whatley, and his parents Charles and Ann Whatley.

Mr. McCrary asked Mr. Armstrong if there were matters to be discussed in Executive Session. Mr. Armstrong indicated that there were pending or potential litigation matters to discuss. A motion was received from Mr. Harbert to move into Executive Session, seconded by Mr. Lanier, and the Board of Trustees unanimously approved. Mr. McCrary announced that the meeting would reconvene within thirty minutes.

Mr. McCrary reconvened the meeting at 10:50 a.m. and asked for a motion to adopt the minutes from the June 10, 2016 meeting. A motion was received from Mr. Roberts, seconded by Mr. Smith, and the following minutes were unanimously approved.

RESOLUTION

APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the Reconvened Meeting on Friday June 10, 2016 have been distributed to all members of this Board of Trustees for review.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees that the minutes of its Friday, June 10, 2016, meeting are hereby approved as distributed.

Mr. McCrary then called upon Dr. Gogue for the President's Report. Dr. Gogue called upon Dr. Stockton, who gave a brief report from the AUM campus. Dr. Gogue then reported on items from the Auburn University campus, thanked Senator Whatley and his family for attending the meeting and then announced that he will be retiring from Auburn University in 2017.

Mr. McCrary thanked Dr. Gogue for his report and announcement. He informed everyone present that Dr. Gogue had discussed his plans to announce his retirement at this meeting and that the plan is to move forward with the Presidential Search Process. He then asked Trustee Harbert to serve as Chairman of the Search Committee and Trustee Harbert agreed. Also appointed to the Committee was Vice President Pro Tempore Mike DeMaoribus and Trustee Sarah Newton. Chairperson Harbert reported that he is in the process of putting the rest of the search committee together and that the firm R. William Funk & Associates of Dallas, Texas, has been retained. He concluded that a report on the Presidential Search will be given at the November 18, 2016 meeting of the Board of Trustees.

Mr. McCrary then moved to Committee Reports as follows:

Academic Affairs Committee -- Chairperson Newton. Trustee Newton reported that earlier the Academic Affairs reviewed two items and moved for approval of the first item, Proposed Changes to Faculty Personnel Policies and moved for adoption. A second was received from Mr. Roberts, and the Board unanimously approved the following resolution:

RESOLUTION

CHANGES TO FACULTY PERSONNEL POLICIES

WHEREAS, the faculty personnel policies included in the *Faculty Handbook* reflect Auburn University policies and information; and

WHEREAS, the proposed changes provide additional clarifications regarding the process for tenure and promotion for faculty who have been hired into the University as part of a strategic cluster; and

WHEREAS, the proposed changes to the faculty personnel policies have been recommended by the University Senate and have received the approval of the Provost and the President; and

WHEREAS, the Board defines policies related to appointment, promotion, tenure and dismissal of faculty, and the development and approval of procedures to implement those policies is the responsibility of the President or those duly authorized by the President.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized to revise the contents of the faculty personnel policies as shown on Attachment A and is delegated the authority to approve procedures for the administration of these policies.

The next item was a request to approve renaming the Bachelor of Science in Hotel and Restaurant Management as the Bachelor of Science in Hospitality Management, concurrent with the establishment of three (3) program options, and Ms. Newton moved for approval. A second was received from Mr. Roberts, and the following resolution was unanimously approved:

RESOLUTION

RENAMING OF THE BACHELOR OF SCIENCE IN HOTEL AND RESTAURANT MANAGEMENT AS THE BACHELOR OF SCIENCE IN HOSPITALITY MANAGEMENT AND THE ESTABLISHMENT OF NEW OPTIONS

WHEREAS, the Department of Nutrition, Dietetics and Hospitality Management supports Alabama's tourism industry by preparing graduates to work in the hotel and restaurant industry; and

WHEREAS, the State of Alabama continues to experience a significant increase in tourism and tourist-related activity, resulting in an increased demand for qualified individuals to work across various aspects of the hospitality industry; and

WHEREAS, the Department of Nutrition, Dietetics and Hospitality Management currently offers a Bachelor of Science degree in Hotel and Restaurant Management; and

WHEREAS, the Department requests to rename the Bachelor of Science in Hotel and Restaurant Management as the Bachelor of Science in Hospitality Management; and

WHEREAS, the Department also seeks to create three (3) formal degree options in Hotel and Restaurant Management, Event Management, and Culinary Science; and

WHEREAS, the proposed degree options would offer students opportunities to acquire specialized skills in the fields of hospitality management, event management, and culinary science; and

WHEREAS, the proposed degree options will require additional resources for faculty, to be provided by the College; and

WHEREAS, the proposal to rename this degree program and reorganize its curriculum to create options in Hotel and Restaurant Management, in Event Management, and in Culinary Science has been endorsed by the Dean of the College of Human Sciences, the University Curriculum Committee, the Provost, and the President.

NOW, THEREFORE, BE IT RESOLVED by Board of Trustees of Auburn University's that the renaming of this bachelor degree program as the Bachelor of Science in Hospitality Management be approved, with notice of the change in nomenclature being sent to the Alabama Commission on Higher Education as an item of information; and

BE IT FURTHER RESOLVED that the three proposed options within this degree program also be approved and forwarded to the Alabama Commission on Higher Education for review and approval.

Finance Committee - - Chairperson Harbert. Mr. Harbert moved for adoption of the Finance Committee's one (1) agenda item, approval the 2016-2017 Budget. A second was received Mr. Smith, and the following resolution was unanimously approved:

RESOLUTION

2016-2017 BUDGET

BE IT RESOLVED by the Board of Trustees of Auburn University that:

Section 1. The operating budget for Auburn University covering current operating funds and auxiliary funds for the fiscal year beginning October 1, 2016, and ending September 30, 2017, as presented by the President and approved by the Finance Committee of the Board of Trustees, be, and the same is hereby approved.

Section 2. Nothing in said budget shall be accepted or construed to be legal obligations or liabilities against Auburn University. The amounts fixed in the components of the budget for the year 2016-2017 shall be understood to be the relative amounts to be paid or expended for those

components in relationship to the funds and/or income of the University available for the support and maintenance of the University.

Section 3. The proposed expenditure amounts as set out in the budget are hereby approved and adopted and the President is authorized and empowered to enact such budget on October 1, 2016. The President is further authorized and empowered to effect routine adjustments to this budget as deemed necessary and appropriate.

Section 4. The Finance Committee of the Board of Trustees is requested and authorized to approve material adjustments in this budget as may be determined necessary and presented by the President.

Section 5. In order to manage the University's opportunities to refinance its outstanding General Fee Revenue Bonds and thereby reduce the University's overall debt service obligations as and when possible, the Authorization attached as Exhibit A is hereby approved and adopted as if set forth in full in this resolution.

EXHIBIT A

AUTHORIZATION FOR THE ISSUANCE OF REVENUE REFUNDING BONDS OF AUBURN UNIVERSITY

WHEREAS, it is desirable and appropriate for Auburn University (the "University") to issue its General Fee Revenue Bonds from time to time for the purpose of refunding and paying certain of its prior outstanding bonds and thereby achieving an overall interest rate expense savings to the University; and

WHEREAS, in order to manage the University's refunding opportunities in the most efficient manner possible and to permit the University to take advantage of changing market conditions, it is desirable and appropriate to authorize the officers of the University to arrange for the issuance of refunding bonds without further approval of the Board of Trustees, subject to the limitations expressed in this authorization.

THEREFORE, by virtue of the Resolution to which this Authorization is attached, the Board of Trustees hereby and thereby authorizes the following:

Section 1. Findings. The following bonds of the University are currently outstanding:

- (i) General Fee Revenue Bonds, Series 2007-A dated November 1, 2007 and not previously refunded, issued under the Twelfth Supplemental General Fee Indenture dated as of December 1, 2007;
- (ii) General Fee Revenue Bonds, Series 2008, dated September 1, 2008 and not previously refunded, issued under the Thirteenth Supplemental General Fee Indenture dated as of September 1, 2008;
- (iii) General Fee Revenue Bonds, Series 2009, dated December 29, 2009, issued under the Fourteenth Supplemental General Fee Indenture dated as of December 1, 2009;
- (iv) General Fee Revenue Bonds, Series 2011-A, dated May 1, 2011 and not previously refunded, issued under the Fifteenth Supplemental General Fee Indenture dated as of May 1, 2011;

- (v) General Fee Revenue Bonds, Series 2012-A and Series 2012-B (Taxable), dated March 27, 2012, issued under the Sixteenth Supplemental General Fee Indenture dated as of March 1, 2012;
- (vi) General Fee Revenue Bonds, Series 2014-A, dated July 1, 2014, issued under the Seventeenth Supplemental General Fee Indenture dated as of July 1, 2014;
- (vii) General Fee Revenue Bonds, Series 2015-A, dated March 18, 2015, issued under the Eighteenth Supplemental General Fee Indenture dated as of March 1, 2015;
- (viii) General Fee Revenue Refunding Bonds, Series 2016-A, dated August 17, 2016, issued under the Twentieth Supplemental General Fee Indenture dated as of August 1, 2016;
- (ix) Athletic Revenue Bonds, Series 2001-A, dated December 1, 2001; and
- (x) Dormitory Revenue Bonds, Series 1978.

The bonds described in (i) – (x) above are herein referred to as the “Outstanding Bonds.”

Section 2. Authorization of Bonds. The University is hereby authorized to issue its revenue bonds for the purpose of refunding any or all of the Outstanding Bonds. The said bonds shall be issued under the terms, conditions and provisions set out in the General Fee Revenue Trust Indenture dated as of June 1, 1985 between the University and The Bank of New York Mellon Trust Company, N.A., as successor trustee (herein called the “Trustee”), as heretofore supplemented, and as further supplemented by the Supplemental General Fee Revenue Indentures (the “Supplemental General Fee Indentures”) provided for in Section 6 of this authorization (the original General Fee Revenue Trust Indenture, as so supplemented being herein referred to as the “General Fee Revenue Indenture”). The bonds herein authorized (the “Bonds”) may be issued at such time or times and in such series as may be most advantageous to the University, subject to the provisions of Section 12 of this authorization. The Outstanding Bonds to be refunded by the Bonds are hereinafter referred to as the “Refunded Bonds.”

All the provisions of the General Fee Revenue Indenture, as applicable to the Bonds, are hereby adopted as a part of this authorization as if set out at length herein.

Section 3. Bonds to be Issued as Additional Parity Bonds; Special Findings. The Bonds shall be issued as additional parity bonds under Article VIII of the General Fee Revenue Indenture.

In accordance with the provisions of Section 8.2(b) of the General Fee Revenue Indenture, the Board hereby finds and declares as follows:

(a) The University is not now in default under the General Fee Revenue Indenture and no such default is imminent.

(b) Bonds the interest on which is excludable from gross income for purposes of federal income taxation shall be designated "General Fee Revenue Refunding Bonds, Series ____". If it is necessary and appropriate to issue a portion of the Bonds as bonds the interest on which is taxable for purposes of federal income taxation, such bonds shall be designated "General Fee Revenue Refunding Bonds, Series ____ (Taxable)". The series designation shall be completed to reflect the calendar year in which the Bonds are issued and to provide any further identification of the Bonds as is appropriate.

(c) The persons to whom the Bonds are to be delivered are set forth in Sections 7 and 9 hereof.

(d) All of the Bonds are to be issued by sale in accordance with Section 7 hereof.

(e) The sale price of the Bonds shall be as set forth in Sections 7 and 12 hereof.

(f) The only parity bonds that have previously been issued by the University under the General Fee Revenue Indenture and that are currently outstanding are those bonds listed in (i) – (viii) of Section 1 above.

(g) The Refunded Bonds are to be refunded from proceeds of the Bonds, subject to the determinations and conditions set forth in Sections 11 and 12 hereof.

The Trustee is hereby requested to authenticate and deliver the Bonds to the purchaser specified in Section 7 hereof upon payment of the purchase price designated therein.

Section 4. Source of Payment of the Bonds. The principal of and the interest on the Bonds shall be payable from (i) the gross revenues from those general tuition fees levied against students at the Auburn, Alabama campus and the Montgomery, Alabama campus of the University that are more particularly described and referred to as "General Fees" in the General Fee Indenture; (ii) the gross revenues derived by the University from the operation of its housing and dining facilities located on the Auburn campus and on the Montgomery campus that are more particularly described and referred to as "Housing and Dining Revenues" in the General Fee Indenture; (iii) the gross revenues derived by the University from the operation of its athletics programs that are more particularly described and referred to as "Athletic Fee Revenues" in the General Fee Indenture; and (iv) the several student fees levied against students at the Auburn campus and the Montgomery campus that are more particularly described and referred to as the "Pledged Student Fees" in the General Fee Indenture. The said General Fees, Housing and Dining Revenues, Athletic Fee Revenues, and Pledged Student Fees are referred to herein as the "Pledged Revenues."

Nothing contained in this authorization, in the Bonds, in the General Fee Revenue Indenture, or in the supplemental indentures hereinafter authorized shall be deemed to impose any obligations on the University to pay the principal of or the interest on the Bonds except from the Pledged Revenues. Neither the Bonds, nor the pledge or any agreement contained in the General Fee Revenue Indenture, in any supplemental indenture or in this authorization shall be or constitute an obligation of any nature whatsoever of the State of Alabama, and neither the Bonds nor any obligation arising from the aforesaid pledge or agreements shall be payable out of any moneys appropriated to the University by the State of Alabama. The agreements, covenants or representations contained in this authorization, in the Bonds, in the General Fee Revenue Indenture, and in any supplemental indenture do not and shall never constitute or give rise to any personal or pecuniary liability or charge against the general credit of the University, and in the event of a breach of any such agreement, covenant or representation, no personal or pecuniary liability or charge payable directly or indirectly from the general revenues of the University shall arise therefrom. Nothing contained in this section shall, however, relieve the University from the observance and performance of the several covenants and agreements on its part herein contained.

Section 5. Bonds Payable at Par. All remittances of principal of and interest on the Bonds to the holders thereof shall be made at par without any deduction for exchange or other costs, fees or expenses. The bank or banks at which the Bonds shall at any time be payable shall be considered by acceptance of their duties hereunder to have agreed that they will make or cause to be made remittances of principal of and interest on the Bonds, out of the moneys provided for that purpose, in bankable funds at par without any deduction for exchange or other cost, fees or expenses. The University will pay to such bank or banks all reasonable charges made and expenses incurred by them in making such remittances in bankable funds at par.

Section 6. Authorization of Supplemental Indentures. The Board does hereby authorize and direct the President of the University to approve, execute and deliver, for and in the name and behalf of the University, to the Trustee, a Supplemental General Fee Revenue Indenture with respect to each series of the Bonds, and does hereby authorize and direct the Secretary of the Board to affix the official seal of the University to said Supplemental General Fee Revenue Indenture and to attest the same.

Section 7. Sale of the Bonds. The Board does hereby authorize and direct the President of the University to approve, execute and deliver, for and in the name and behalf of the University, one or more Bond Purchase Agreements with respect to each series of Bonds between the University and an underwriter or underwriters (the "Underwriter") approved under Section 12 of this authorization,

Section 8. Authorization of Official Statements. The Board does hereby authorize and direct the Underwriter to prepare and distribute, for and in the name and on behalf of the University, a Preliminary Official Statement and a final Official Statement with respect to each series of Bonds issued under this authorization. The Board does hereby further authorize and direct the President or the Executive Vice President of the University to execute and deliver, for and on behalf of the University, such final Official Statement(s) and does hereby declare that the Official Statement(s) so executed by the President or the Executive Vice President of the University shall

be the Official Statement(s) of the University with respect to the Bonds covered by such Official Statement(s).

Section 9. Execution and Delivery of Bonds. The Board does hereby authorize and direct the President of the University to execute the Bonds, in the name and on behalf of the University, by causing his signature or a facsimile thereof to be placed or imprinted on the Bonds, and does hereby authorize and direct the Secretary of the Board to cause a facsimile of the official seal of the University to be imprinted on the Bonds and to attest the same by causing his signature or a facsimile thereof to be placed or imprinted on the Bonds, all in the manner provided in the General Fee Revenue Indenture. The President of the University is hereby further authorized and directed to deliver the Bonds, subsequent to their execution as provided herein to the Trustee, and to direct the Trustee to authenticate all the Bonds and to deliver them to the Underwriter, upon payment to the University of the purchase price therefor in accordance with the provisions of Sections 7 and 12 hereof.

Section 10. Application of Proceeds. The entire proceeds derived by the University from the sale of the Bonds shall be paid to the Trustee under the General Fee Revenue Indenture. The Trustee is thereupon authorized and directed to apply and disburse such moneys for the purposes and in the order specified in the Supplemental General Fee Indentures.

Section 11. Redemption of Refunded Bonds; Authorization of Escrow Trust Agreement. Any series of Outstanding Bonds to be refunded by the Bonds or any series of the Bonds shall be called for redemption on the first date permitted for the call and redemption of such Outstanding Bonds subsequent to the date of issuance of the Bonds, at and for a redemption price equal to 100% of the principal amount of each bond so redeemed, plus accrued interest. The President and the Executive Vice President of the University are separately authorized to direct the Trustee to mail and/or publish notice of such redemption as required under the terms of the General Fee Revenue Indenture. Any such redemption notice mailed or published prior to the date of issuance of the Bonds shall provide that the call of the affected Refunded Bonds for redemption is contingent upon the issuance and sale of the Bonds.

Pursuant to Section 6.1(a) of the General Fee Revenue Indenture, the Board hereby confirms that the University is not in default under said indenture.

The President of the University is hereby authorized to approve, execute and deliver in the name and on behalf of the University an Escrow Trust Agreement or Agreements, between the University and the Trustee, if necessary or desirable, with respect to each series of Refunded Bonds to provide for the escrow and investment of proceeds of the Bonds until the redemption date of the Refunded Bonds.

Section 12. Authorization to Approve Certain Matters. The Board has determined that it is in the best interest of the University to authorize the issuance of the Bonds for the purposes described in this authorization and subject to the limitations of this authorization without a further meeting or approval of the Board. Dr. Donald Large, the Executive Vice President of the University and the Chairman of the Finance Committee of the Board are hereby authorized:

(a) to determine when and if any Bonds shall be issued and to approve the schedule of issuance for each series of Bonds; provided that no Bonds shall be issued under the authority of this authorization after December 31, 2017;

(b) to approve the principal amount of the Bonds to be issued in each series and the designation of the Bonds as tax-exempt or taxable Bonds; provided that the aggregate principal amount of each series of Bonds shall not exceed the amount necessary to pay the principal and interest on the Refunded Bonds (taking into account any original issue premium or discount) and the costs of issuing the Bonds;

(c) to determine which of the Refunded Bonds are to be refunded and redeemed by the Bonds; provided that any such refunding shall result in a minimum net present value savings of at least 3%;

(d) to approve the forms of Supplemental General Fee Indenture(s), Bond Purchase Contract(s), Preliminary Official Statement(s), Official Statement(s) and Escrow Agreement(s) to be delivered in connection with each series of Bonds;

(e) to approve the final form and pricing details of each series of Bonds, including the interest rates to be borne by such Bonds, the principal maturities thereof and any original issue discount or premium with respect to the Bonds; provided that the net interest cost of any series of Bonds shall not exceed 6%;

(f) to approve the expenses of issuing the Bonds; and

(g) to take such other steps and to execute and approve such other documents as may be necessary or appropriate to cause the Bonds to be issued, sold and delivered consistent with the provisions of this authorization and the General Fee Revenue Indenture.

The final approval by the Executive Vice President of the University and the Chairman of the Finance Committee of the Board of the items listed above may be conclusively evidenced by a certificate signed by each of them and delivered at the time of issuance of the Bonds.

Section 13. Severability. The various provisions of this authorization are hereby declared to be severable. If any provision hereof shall be held invalid by a court of competent jurisdiction, such invalidity shall not affect any other portion of this authorization.

Section 14. Designation of Professionals. The retention of Public Financial Management, Inc. as financial advisor to the University, and the law firm of Balch & Bingham LLP as bond counsel to the University for the issuance of the Bonds are hereby authorized.

Section 15. General Authorization. The President of the University, the Executive Vice President of the University and the Secretary of the Board are hereby authorized to execute such

further certifications or other documents and to take such other action as any of them may deem appropriate or necessary for the consummation of the matters covered by this authorization, to the end that the Bonds may be executed and delivered at the times and on the terms most advantageous to the University.

Property and Facilities Committee - - Chairperson B.T. Roberts indicated that the Property and Facilities Committee had met earlier and asked for a motion to approve the consent agenda. Before receiving the motion and second on the agenda, Mr. Harbert and Mr. Roberts reminded everyone that they would recuse themselves from voting on the unanimous consent agenda. A motion was received from Mr. McCrary, seconded by Mr. Smith, and the following resolutions were approved:

RESOLUTION

GRADUATE BUSINESS EDUCATION BUILDING FINAL PROJECT APPROVAL

WHEREAS, at its meeting on February 7, 2014, the Board of Trustees approved the initiation of the Graduate Business Education Building project, and at its meeting on April 11, 2014, the Board of Trustees approved the project architect selection; and

WHEREAS, the project will support the growing graduate education programs of the Raymond J. Harbert College of Business, as well as build upon the existing undergraduate program; and

WHEREAS, the estimated total project cost for the Graduate Business Education Building project is \$30 million, to be financed by gift and University general funds; and

WHEREAS, the schematic design of the Graduate Business Education Building project has been determined by the University architect to be consistent with the "Comprehensive Master Plan" the "Image and Character of Auburn University," the facility program and the project budget.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Graduate Business Education Building project is approved and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Establish a budget for the Graduate Business Education Building project in the amount of \$30 million, to be financed by gift and University general funds.
 2. Direct the consultants to complete the required plans for the project.
 3. Solicit bids and award a contract for construction conditioned upon the lowest responsible and responsive bid being consistent with the project budget.
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RESOLUTION

HALEY CENTER QUAD RENOVATION APPROVAL OF PROJECT ARCHITECT SELECTION

WHEREAS, the Board of Trustees, at the meeting held on June 10, 2016 approved the initiation of the Haley Center Quad Renovation project and authorized the commencement of the process to select a project architect; and

WHEREAS, the Haley Center Quad Renovation is an important enabling project in support of the academic classroom and laboratory complex that will relocate the Department of Mathematics and Statistics from Parker Hall; and

WHEREAS, the University architect, after conducting interviews with four (4) candidate firms, determined the architect Houser Walker Architecture, of Atlanta, Georgia, was best qualified to provide architectural services on this project.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage Houser Walker Architecture, of Atlanta, Georgia, as project architect to consult in the development of the facility program and project design.
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

RESOLUTION

RECREATION AND WELLNESS BASEMENT BUILD-OUT APPROVAL OF PROJECT ARCHITECT SELECTION

WHEREAS, the Board of Trustees at the meeting held on June 10, 2016 approved the initiation of the Recreation and Wellness Basement Build-Out project and authorized the commencement of the process to select a project architect; and

WHEREAS, the University architect, after conducting interviews with four (4) candidate firms, determined Hufft Projects, of Kansas City, Missouri, is best qualified to provide architectural services on this project. This firm is recommended based upon the individual personnel within the company having previous experience on the existing building.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

1. Engage Hufft Projects, of Kansas City, Missouri, as project architect to consult in the development of the facility program and project design.
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

RESOLUTION

NEW STUDENT HOUSING APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE PROJECT ARCHITECT AND CONSTRUCTION MANAGER SELECTION PROCESSES

WHEREAS, the 2013-2018 Strategic Plan established a goal to offer on-campus student housing to all incoming first-year students interested in requesting on-campus housing; and

WHEREAS, the Campus Master Plan determined that approximately 1,000 additional beds would be needed to meet this strategic plan goal; and

WHEREAS, the University is working on a comprehensive long range (10 year) plan to construct additional residence halls to meet this requirement as well as replace or renovate the Hill Residence Hall complex; and

WHEREAS, the proposed New Student Housing project will begin the process of increasing the on-campus inventory by constructing two residence halls totaling approximately 500 beds during 2017-2019 timeframe; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the New Student Housing project is approved and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the architect and construction manager selection processes.

RESOLUTION

CULINARY ARTS BUILDING

APPROVAL OF PROJECT INITIATION AND AUTHORIZATION TO COMMENCE THE PROJECT ARCHITECT AND CONSTRUCTION MANAGER SELECTION PROCESSES

WHEREAS, the College of Human Sciences and the Department of Nutrition, Dietetics, and Hospitality Management, have gained both national and international renown for the quality and innovative nature of their academic programs; and

WHEREAS, the College of Human Sciences has proposed the construction of an academic facility related to the culinary arts and other aspects of the departmental curriculum; and

WHEREAS, the proposed facility is anticipated to have a strong connection to the Auburn University Hotel and Conference Center and would be financed by a combination of gift funds and revenues generated from the project; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the new Culinary Arts Building project is approved; and Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to commence the project architect and construction manager selection processes.

RESOLUTION

AIRPORT MAINTENANCE HANGAR APPROVAL OF PROJECT INITIATION AND PROJECT ENGINEER SELECTION

WHEREAS, Auxiliary Services proposes a project to build a new Airport Maintenance Hangar at the Auburn University Regional Airport south ramp, to facilitate the relocation of the Taxiway A; and

WHEREAS, the University Architect recommends the use of the Board of Trustees' previously approved Airport Engineer, Garver, LLC, of Hunstville, Alabama to design the new maintenance hangar; and

WHEREAS, this project will be financed by Auxiliary Services funds; and

WHEREAS, the proposed project is expected to cost in excess of \$1,000,000 and Board of Trustees policy stipulates that all construction and renovation/adaptation, infrastructure or outdoor facility projects with budgets of \$1,000,000 or more, must be submitted to the Board through the Property and Facilities Committee for action.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Airport Maintenance Hangar project is approved and that Jay Gogue, President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to:

- 1 Engage Garver, LLC, of Hunstville, Alabama as project engineer to consult in the development of the facility program and project design.
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

RESOLUTION

REAL ESTATE ACQUISITION T.K. BULLOCK PROPERTY, SOLON DIXON FORESTRY EDUCATION CENTER COVINGTON COUNTY, ALABAMA

WHEREAS, Auburn University owns and operates the Solon Dixon Forestry Education Center in Covington County, Alabama; and

WHEREAS, approximately 80 acres of vacant timber land located adjacent to the Solon Dixon Forestry Education Center in Covington County, as shown in Attachment 1, have been offered for sale to the University by the heirs of T.K. Bullock; and

WHEREAS, the additional property will contribute to the mission and operation of the Solon Dixon Forestry Education Center; and

WHEREAS, an appraisal of the property will be completed, and the purchase price will not exceed the appraised value.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such person as may be acting as President, be and the same is hereby authorized and empowered to take such action as is necessary and desirable to purchase approximately 80 acres of land located in Covington County, Alabama at a price not to exceed the appraised value. All documents consummating the purchase of the property shall be reviewed, as to form, by legal counsel for Auburn University.

(See Attachment 1 to the back of these minutes)

RESOLUTION

OUTLYING AUBURN UNIVERSITY PARCELS OF LAND
AUTHORITY TO EXECUTE LEASE AGREEMENTS

WHEREAS, the School of Forestry and Wildlife Sciences and the Alabama Agricultural Experiment Station currently manage in excess of 28,000 acres of land owned by Auburn University across the State of Alabama and provide the operation and maintenance of those properties; and

WHEREAS, the Auburn University property is used for education, research, and outreach in support of the mission of Auburn University; and

WHEREAS, certain parcels are not used for the primary purpose of the unit and are available to be leased to third parties for row crops, hay fields, pasture land, hunting leases, office/storage, etc. and the revenue used to offset the cost of the operation and maintenance of the property; and

WHEREAS, the School of Forestry and Wildlife Sciences and the Alabama Agricultural Experiment Station propose to authorize the President to execute revenue producing auxiliary lease agreements for a term not to exceed five (5) years on outlying Auburn University parcels of land that do not interfere with the primary purpose of the property and without further action of the Board.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the future lease of these parcels is approved and that Jay Gogue, President, or such other person as may be acting as President, is hereby authorized and empowered to execute said leases which will be entered into in accordance with the Board of Trustees policies and consistent with Alabama state law. All documents shall be approved, subject to form, by legal counsel for Auburn University.

BE IT FURTHER RESOLVED that the funds generated from the leases be used for operations, management, use, and support of the School of Forestry and Wildlife Sciences and the Alabama Agricultural Experiment Station.

RESOLUTION

AUBURN UNIVERSITY EDUCATIONAL COMPLEX GULF SHORES, ALABAMA APPROVAL OF LEASE

WHEREAS, Auburn University is committed to its statewide mission of providing quality Academic Programs, Research and Outreach, and having a presence in the southern part of the State will further enhance the University's public engagement as a Land-Grant Institution; and

WHEREAS, the city of Gulf Shores has proposed a strategic plan, *Small Town, Big Beach Vision 2025 For Sustainability* and has Invited Auburn University to have a presence in gulf shores; and

WHEREAS, an Auburn University presence in Gulf Shores is of particular interest to the College of Veterinary Medicine, the College of Agriculture, the Alabama Cooperative Extension System, the Office of Research and Economic Development, and Auburn's Aviation Center; and

WHEREAS, a possible site for the proposed Auburn University Educational Complex has been located on the Foley Beach Express adjacent to the proposed Coastal Alabama Center for Education Excellence; and

WHEREAS, the Gulf Shores Public Education Finance Authority has offered to purchase the property, build a suitable structure, and lease the facility to Auburn University for Auburn's needs at a price not to exceed fair market value.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such person as may be acting as President be and the same is hereby authorized and empowered to take such action as is necessary and desirable to complete the design, construction, and leasing of a suitable facility in Gulf Shores, Alabama for the Auburn University Educational Complex. All documents consummating the agreement and lease with the City of Gulf Shores shall be reviewed, as to form, by legal counsel for Auburn University.

Executive Committee - - Chairperson Mike DeMaioribus. Chairperson DeMaioribus advised everyone that the Executive Committee met earlier and approved the awarding of a Posthumous Degree of the Bachelor of Science Degree to Nicholas A. Huntley and moved for approval. A second was received from Ms. Huntley, and the Board unanimously approved the following resolution:

RESOLUTION

POSTHUMOUS AWARDING OF THE BACHELOR OF SCIENCE DEGREE TO NICHOLAS A. HUNTLEY

WHEREAS, Nicholas A. Huntley, an undergraduate student in Human Development and Family Studies at Auburn University, passed away on May 20, 2016; and

WHEREAS, Nicholas A. Huntley passed away before he could complete the requirements necessary for a Bachelor of Science in Human Development and Family Studies; and

WHEREAS, faculty in the College, the Dean of the College of Human Sciences, the Provost, and the President recommend that the Bachelor of Science in Human Development and Family Studies be awarded posthumously.

NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that the degree of Bachelor of Science in Human Development and Family Studies is hereby granted posthumously to Nicholas A. Huntley in recognition of his academic achievements as a student at Auburn University.

BE IT FURTHER RESOLVED that the Board hereby expresses its sympathy and condolences to the family of Nicholas A. Huntley in this period of sadness and that a copy of this resolution be presented to them so that they will know of this action and the University's deep loss.

Chairperson DeMaioribus then advised everyone that the Executive Committee met earlier and approved the posthumous awarding of Master of Liberal Arts Degree from Auburn University Montgomery to Elizabeth Renee Boroughs, and moved for approval. A second was received from Mr. Roberts, and the Board unanimously approved the following resolution:

RESOLUTION

POSTHUMOUS AWARDING OF THE MASTER OF LIBERAL ARTS FROM AUBURN UNIVERSITY AT MONTGOMERY TO ELIZABETH RENEE BOROUGHS

WHEREAS, Elizabeth Renee Boroughs, a graduate student at Auburn University at Montgomery, passed away on January 16, 2016; and

WHEREAS, Elizabeth Renee Boroughs would have completed the requirements necessary for the Master of Liberal Arts; and

WHEREAS, the Master of Liberal Arts Director; the Dean of the College of Arts and Sciences; the Provost; and the Chancellor recommend that the degree of Master of Liberal Arts be awarded posthumously.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the degree of Master of Liberal Arts is hereby granted posthumously to Elizabeth Renee Boroughs in recognition of this academic achievement as a graduate student at Auburn University at Montgomery.

BE IT FURTHER RESOLVED that the Board hereby expresses its sympathy and condolences to the family of Elizabeth Renee Boroughs in this period of sadness and that a copy of this resolution be presented to them so they will know of this action and the University's deep loss.

Next was reporting of the Presidential Assessment, which was conducted by Trustee McCrary, Trustee Newton, and Trustee Rane. Mr. DeMaioribus called upon Mr. McCrary who thanked President Gogue for another outstanding year of leadership. Mrs. Newton also thanked President Gogue on another exceptional year of service and indicated the Board appreciates so much his leadership as Auburn University's President and his ability to assist in reaching Billion Dollar Goal for the "*Because this is Auburn*" campaign. She also indicated that the Board appreciates his making Auburn the sound University it is today. She also thanked Mrs. Gogue for her longstanding service and dedication to Auburn University and said that together they make a great team and the Board is very appreciative of their service. She then informed everyone that the assessment is now complete and approved and she thanked Trustees McCrary and Rane for their assistance in facilitating the process. She informed everyone present that copies will be made available following the meeting Secretary Davis. Mr. McCrary thanked Ms. Newton for her comments and report.

(The Assessment is attached to the back of these minutes as Attachment A.)

Mr. DeMaioribus then opened the floor for nominations of two members to serve a three-year term on the Alumni Lifetime Achievement Awards Committee. Mr. Dumas nominated Ms. Newton and Mr. Sahlie. There being no other nominations, Mr. DeMaioribus moved to approve Ms. Newton and Mr. Sahlie to the Alumni Lifetime Achievement Award Committee. A second was received from Mr. Lanier, and the Board unanimously approved.

Mr. DeMaioribus then opened the floor for selection of two representatives for the McCrary Institute Advisory Council. A nomination of General Ron Burgess and Trustee Mike DeMaioribus was received from Mr. Pratt. There being no other nominations, Mr. Pratt closed the floor for nominations and moved to approve General Ron Burgess and Mr. DeMaioribus as representatives to the McCrary Institute Advisory Council. A second was received from Mr. Roberts. Mr. McCrary and Mr. DeMaioribus both abstained from voting on the approval of

representatives. The remaining Board members all agree to accept General Burgess and Mr. DeMaioribus as the representatives.

Mr. DeMaioribus then indicated that the Executive Committee met and reviewed 11 namings and moved for approval. A second was received from Mr. Roberts, and the following resolutions were approved:

RESOLUTION

NAMING THE RAYMOND H. AND DIANE B. KOART FOREST

WHEREAS, Mr. Raymond H. Koart graduated from Auburn University with a Bachelor of Science in engineering in 1969, was awarded a master of science in business administration in 1972, and a bachelor of science in accountancy in 1973; and

WHEREAS, Mrs. Diane B. Koart graduated from Auburn University with a bachelor of science in secondary education/mathematics in 1968; and

WHEREAS, Raymond and Diane chose to gift a 730 acre timberland tract in Talbot County, Georgia, appraised in July 2015, at \$2,138,838, to Auburn University upon their deaths, with the property to be retained or sold by Auburn University for the benefit of the Harbert College of Business; and

WHEREAS, the legacy of the Koart family will provide significant resources to the Harbert College in perpetuity.

NOW, THEREFORE, be it resolved that the timberland located in Talbot County, Georgia be named the Raymond H. and Diane B. Koart Forest in honor of the generous support of the Koart family to Auburn University.

RESOLUTION

NAMING THE AUBURN UNIVERSITY MARCHING BAND WOMEN'S DRESSING ROOM

WHEREAS, the Auburn University Bands have enjoyed tremendous regional, national and international acclaim and have experienced record-setting participation by student-musicians; and

WHEREAS, such acclaim and swelling membership levels have necessitated a facility that provides contemporary and adequate rehearsal and storage space; and

WHEREAS, the College of Liberal Arts has initiated a \$4.5 million capital campaign to construct such a facility; and

WHEREAS, Mr. & Mrs. Richard H. Frederick have responded to that call for philanthropic support, in recognition of their love and appreciation for the Auburn University Marching Band, by making a generous gift in honor of their niece, Blair M. Smith Husted; and

WHEREAS, Richard is a second generation Auburn University alumnus. He attended Auburn from 1971-1975, earning his Bachelor of Science in Pre-Law Economics; and

WHEREAS, Richard's father, Howard W. Frederick, was a member of the API class of 1950 with a degree in Industrial Management. He grew up in Fayette County and attended API following his discharge from the Army at the end of WWII. Richard's mother, Nell F. Frederick, worked in President Draughon's office as a secretary; and

WHEREAS, Richard, having been raised as an Auburn man, believes in Auburn and loves it and passed this on to his niece.

NOW, THEREFORE, BE IT RESOLVED that the Band Practice Facility's Women's Dressing Room in the College of Liberal Arts, Department of Music be named the Blair M. Smith Husted Women's Dressing Room in recognition of her service to the Marching Band from 2009 through 2013 and her loyalty to Auburn University and the Auburn Creed.

RESOLUTION

NAMING A CONFERENCE ROOM IN THE WILFORD AND KATE BAILEY SMALL ANIMAL TEACHING HOSPITAL IN HONOR OF DR. AND MRS. LOYCE W. TURNER

WHEREAS, Loyce W. Turner has made significant contributions to the field of veterinary medicine; and

WHEREAS, he provided financial support to the Auburn University College of Veterinary Medicine for the Wilford and Kate Bailey Small Animal Teaching Hospital; and

WHEREAS, he served twenty-four (24) years in the Georgia State Senate; and

WHEREAS, he served in the Korean War and Army Veterinary Corps, entering as a lieutenant in 1951 and being discharged as a captain in 1953; and

WHEREAS, Dr. Turner served the State of Georgia and the Department of Natural Resources as a member of the board for over thirteen (13) years; and

WHEREAS, Dr. Turner has been recognized for his contributions to banking, tax reduction and the higher education system; and

WHEREAS, he established the Annette Howell Turner Center for Arts in Valdosta, Georgia, which opened in 2003; and

WHEREAS, he was instrumental in founding First State Bank and Trust in Valdosta, Georgia.

NOW, THEREFORE, BE IT RESOLVED that a conference room in the Wilford and Kate Bailey Small Animal Teaching Hospital at the College of Veterinary Medicine bear a plaque in honor of Dr. and Mrs. Loyce W. Turner in recognition of their generosity.

RESOLUTION

NAMING THE ALICE J. MALLORY DANCE, FLAG AND MAJORETT TEAM ROOM

WHEREAS, the Auburn University Bands have enjoyed tremendous regional, national and international acclaim and have experienced record-setting participation by student-musicians; and

WHEREAS, such acclaim and swelling membership levels have necessitated a facility that provides contemporary and adequate rehearsal and storage space; and

WHEREAS, the College of Liberal Arts has initiated a \$4.5 million capital campaign to construct such a facility; and

WHEREAS, Alice J. Mallory has made a generous philanthropic gift as a symbol of her love for and appreciation of the opportunity to participate as a majorette and musician in the Auburn University Marching Band; and

WHEREAS, Alice attended Auburn University from 1962 to 1966, earning a Bachelor of Science in Elementary Education, during which time she entertained football fans not only as a French horn musician but also as a majorette; and

WHEREAS, Alice believed in Auburn and loved it, as did her husband, the late Major General Theodore F. Mallory, III, a 1965 Graduate of the College of Business with a degree in business administration, who was a member of the drumline in the Marching Band from 1961-1965 and enrolled their children at Auburn University.

NOW THEREFORE, BE IT RESOLVED that the Marching Band Practice Facility's Dance, Flag and Majorette Team Room in the College of Liberal Arts, Department of Music be named the Alice J. Mallory Dance, Flag and Majorette Team Room in recognition of her service to the Marching Band and her loyalty to Auburn University and the Auburn Creed.

RESOLUTION

NAMING THE DR. O. DAVID TAUNTON, JR. MEN'S DRESSING ROOM

WHEREAS, the Auburn University Bands have enjoyed tremendous regional, national and international acclaim and have experienced record-setting participation by student-musicians; and

WHEREAS, such acclaim and swelling membership levels have necessitated a facility that provides contemporary and adequate rehearsal and storage space; and

WHEREAS, the College of Liberal Arts has initiated a \$4.5 million capital campaign to construct such a facility; and

WHEREAS, Dr. O. David Taunton, Jr. has made a generous philanthropic gift as a symbol of his love for and appreciation of the opportunity to participate as a trombone player in the Auburn University Marching Band; and

WHEREAS, David attended Auburn University from 1981-1985, earning a Bachelor of Science in Pre-Med Chemistry, during which time he entertained football fans as a trombone player; and

WHEREAS, David believes in Auburn and loves it, as does his father, O. David Taunton, Sr., MD '59, his sister, Amy Taunton DeFries, DVM '93, and his son, Jacob D. Taunton '15, Pre-Medicine.

NOW, THEREFORE, BE IT RESOLVED that the Marching Band Practice Facility's Men's Dressing Room in the College of Liberal Arts, Department of Music be named the Dr. O. David Taunton, Jr. Men's Dressing Room in recognition of his service to the Marching Band and his loyalty to Auburn University and the Auburn Creed.

RESOLUTION

NAMING THE DR. JULIAN DAVIDSON STUDENT PAVILION

WHEREAS, Julian Davidson earned a bachelor degree in electrical engineering from Auburn University in 1950, and later received an honorary doctorate of science from Southeastern Institute of Technology that recognized his 15-year federal government career, which culminated with his appointment as the first director of the Advanced Ballistic Missile Defense Agency; and

WHEREAS, Dorothy Davidson earned a bachelor degree in mathematics in 1956, and then took a position as a research mathematician with the U.S. Air Force at the Pentagon before beginning her career as a systems engineer, working for several U.S. and German companies in Europe; and

WHEREAS, Julian and Dorothy were married in 1981, and in 1992 moved from Virginia to Huntsville before co-founding Davidson Technologies, a company providing innovative engineering, technical and management solutions for our nation's defense and aerospace industries; and

WHEREAS, throughout his career, Julian was considered a legend in missile defense engineering, and was named to the panel that evaluated President Ronald Reagan's Strategic Defense Initiative and determined its feasibility; and

WHEREAS, he worked with the Department of Defense to ascertain the Patriot missile's effectiveness during Operation Iraqi Freedom; and

WHEREAS, after his death in 2013, Dorothy stepped in to run Davidson Technologies, and her business acumen and engineering background provided a seamless transition; and

WHEREAS, Julian demonstrated a strong commitment to Auburn University and the Samuel Ginn College of Engineering, in addition to being recognized with the Electrical and Computer Engineering Outstanding Alumni Award, Distinguished Auburn Engineer, and induction into the State of Alabama Engineering Hall of Fame in 2007; and

WHEREAS, Dorothy has committed a significant gift in honor of Julian to support the educational needs of our students, the research efforts of our faculty and the technological advances of our engineering facilities.

NOW, THEREFORE, BE IT RESOLVED that the pavilion located on the first and second floors of Broun Hall be named the Dr. Julian Davidson Student Pavilion in honor of their generous support and ongoing dedication.

RESOLUTION

NAMING THE DONALD F. AND MARY HELEN SMITH QUIET STUDY AREA

WHEREAS, Donald F. Smith earned a bachelor degree in chemical engineering from Auburn University, or Alabama Polytechnic Institute as it was known in 1956; and

WHEREAS, Mary Helen worked to help Don earn his degree and has been vital to the family's success; and

WHEREAS, in 1956 Don went to work in Louisiana for Kaiser Aluminum. Don moved to California to work at the headquarters, where he became the Vice President and General Manager of the Chemical Division as well as Corporate Vice President; and

WHEREAS, Don left Kaiser Aluminum after more than thirty years and became COO of GSX, which was the third largest garbage company. GSX was sold and Don and Mary Helen moved to Texas; and

WHEREAS, Don became the CEO and majority stockholder of GS Roofing Products. GS Roofing Products was bought out on June 9, 1999. Don left and he and Mary Helen relocated to Florida; and

WHEREAS, prior to leaving GSX, Don and Mary Helen established a Charitable Remainder Trust, which funded higher education for their six children and twelve grandchildren; and

WHEREAS, Don was the first person in his family to attend college, and has a clear vision of how Auburn looked when he attended and how much it has improved today; and

WHEREAS, Don and Mary Helen are proud of Auburn University and would like to give back to enhance the institution even more; and

WHEREAS, the Smith Family have committed a significant gift to support the renovation of study spaces that meet the needs of Auburn students.

NOW, THEREFORE, BE IT RESOLVED that a portion of the fourth floor study area in Ralph Brown Draughon Library be named the Donald F. and Mary Helen Smith Quiet Study Area in honor of the family's generous support of Auburn University Libraries

RESOLUTION

NAMING THE ROBERT COLEMAN GIBBS INFORMATION LITERACY LAB

WHEREAS, Robert Coleman Gibbs served Auburn University Libraries in multiple positions from 1968 – 1992; and

WHEREAS, he retired as Assistant University Librarian and Librarian III *emeritus* as awarded by then-President William Muse; and

WHEREAS, Robert's commitment to the collections at Auburn are a testament to his belief in library services that strive to support research and learning for students, faculty, and staff; and

WHEREAS, he passed away on April 28, 2015; and

WHEREAS, the Gibbs Family have committed a significant gift in his memory to support the educational needs of our students and the research efforts of our faculty through library collections.

NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that the second floor lab in the Ralph Brown Draughton Library be named the Robert Coleman Gibbs Information Literacy Lab in honor of the family's generous support and the dedication of Mr. Gibbs to Auburn University Libraries.

RESOLUTION

NAMING THE BOB JORDAN WELLNESS KITCHEN BOARDROOM

WHEREAS, Mr. Robert (Bob) Kane Jordan was born the day of the 1959 Iron Bowl, graduated from Auburn University with a bachelor of science degree in public administration in 1982; and

WHEREAS, Mr. Jordan, recognized early as a leader, was named student council president of Fort Payne High School; earned his Eagle Scout badge; held leadership positions in Kappa Alpha Order; and served as an Auburn Plainsman; and

WHEREAS, Mr. Jordan, who received his Juris Doctorate from the Cumberland School of Law, established the law firm Robert K. Jordan, PC in 1999. Mr. Jordan, a dedicated civic leader, served his community as area chairman of Ducks Unlimited; board director of the Fort Payne Chamber of Commerce; member of the State Conservation Advisory Board; volunteer with the DeKalb County chapter of the American Red Cross; board member of the DeKalb County Economic Development Authority; and representative of the 9th Judicial Circuit for the Alabama State Board Commission; and

WHEREAS, Mr. Jordan, a life member of the Auburn Alumni Association, served as a two-term president of the DeKalb County Auburn Club. Mr. Jordan's passions were his family, community, and "all things Auburn;" and

WHEREAS, Mr. Jim Gorrie, a friend and fraternity brother, has made a generous gift to Auburn University in memory of Robert (Bob) Kane Jordan.

NOW, THEREFORE, BE IT RESOLVED, that the Auburn University Board of Trustees, meeting in session on this 16th day of September, 2016, hereby declares and makes known that, henceforth, the Wellness Kitchen boardroom is named the Bob Jordan Wellness Kitchen Boardroom.

BE IT FURTHER RESOLVED, that this resolution be transmitted to the family and friends of Bob Jordan, and to the community at large.

RESOLUTION

NAMING THE AUBURN OAKS AT SAMFORD PARK

WHEREAS, for more than eighty (80) years, the Auburn Oaks at Toomer's Corner majestically welcomed visitors and offered the Auburn Family a place to congregate and celebrate; and

WHEREAS, in 2001, Dr. Scott Enebak, a faculty member in the School of Forestry and Wildlife Sciences, initiated a program to ensure the Auburn Oaks' legacy continued by having Forestry Club and Wildlife Society members cultivate acorns from the original trees; and

WHEREAS, in addition to these descendant trees being planted all over the world, including the U.S. Capitol, some were reserved for later planting on Auburn's campus; and as part of the redevelopment of Samford Park, ten (10) of these descendant trees were planted in March 2016; and

WHEREAS, philanthropic gifts made in honor of these trees established the Auburn Oaks at Samford Park Endowed Fund for Excellence.

NOW, THEREFORE, BE IT RESOLVED by the Auburn University Board of Trustees that these descendants of the original Auburn Oaks be named, in accordance with the terms outlined in the Auburn Oaks at Samford Park Endowed Fund for Excellence Agreement, as requested by the individuals and organizations whose support will advance the School's vision. The names of these donors, the amounts and conditions of their gifts, their naming requests, and the location of each tree that will bear these namings are a part of this resolution.

Donors

Contribution Naming Request

Brooke Peebles Walker Willard Peebles	\$50,000	In Recognition of President William Walker
Beverly Danley Jim Danley	\$50,000	In Honor of Mayford D. Williams '54
Walker R. Bickerstaff	\$50,000	In Recognition of Walker R. Bickerstaff '70 and Family
Curt W. LeVan	\$50,000	In Recognition of Curt W. Levan '80
Darryl & Diana Rosser	\$50,000	In Recognition of the Rosser Family Darryl, Diane, Christina

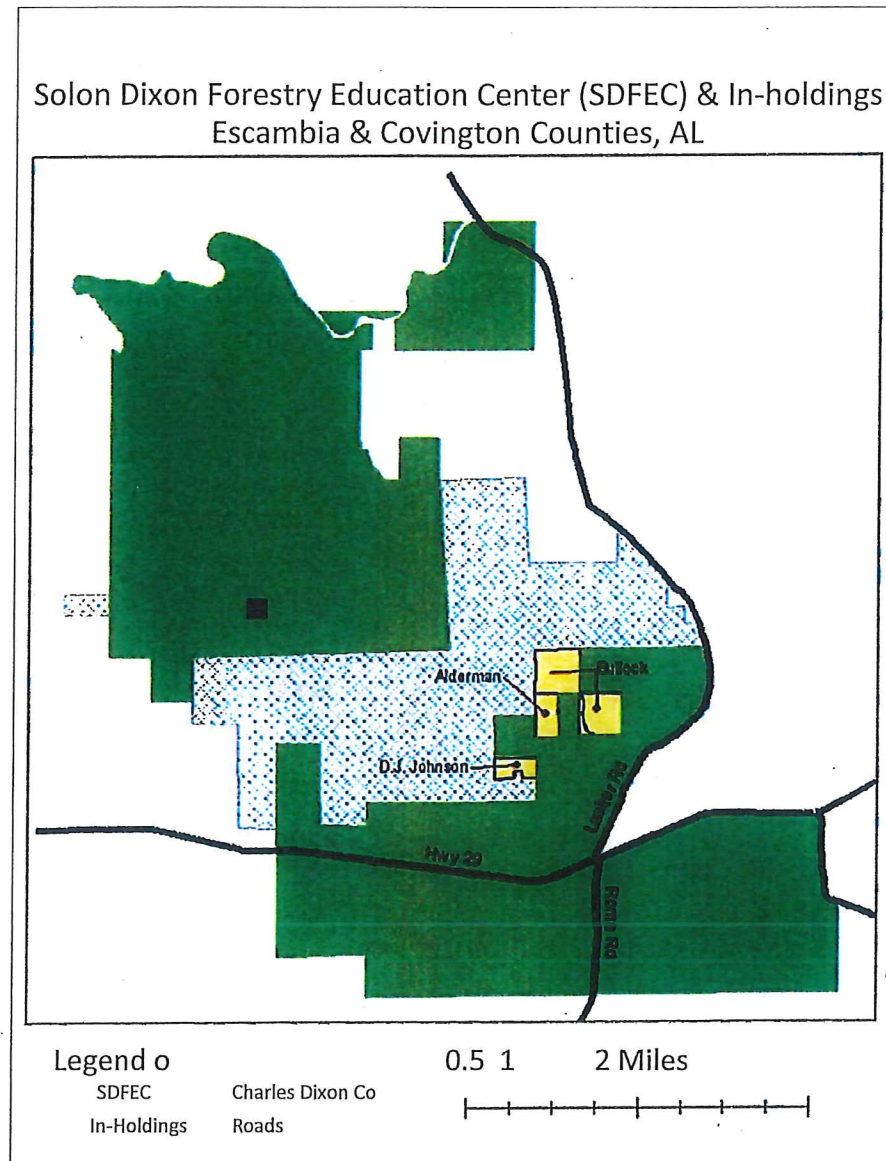
There being no other items for discussion, President Pro Tempore McCrary recessed the meeting at 11:45 a.m.

Grant Davis



Secretary to the Board of Trustees

Attachment 1
REAL ESTATE ACQUISITION: T.K. BULLOCK PROPERTY, SOLON DIXON FORESTRY EDUCATION
CENTER, COVINGTON COUNTY, ALABAMA
Real Estate Map



AUBURN UNIVERSITY
ANNUAL PRESIDENTIAL ASSESSMENT

The Board of Trustees should perform annually a Presidential Performance Assessment, typically on or before the Annual Board Meeting.

Primary Purposes

- To assess overall performance in a manner which enables the Board to assess the President and strengthen Presidential performance.
- To enable the Board and President to assess current goals and set mutually agreed upon goals.

Presidential Self-Assessment

The President, in consultation with the President Pro Tempore, and prior to the Performance Assessment, must submit a report regarding the Assessment period including, but not limited to the following:

- Summarize performance in addressing previously established goals and objectives.
- Highlight particularly significant accomplishments during the Assessment period.
- Identify continuing problems the President is facing and recommend how the Board and President might work together to address these problems.
- Describe three major challenges and three opportunities the University will face in the upcoming year and what role the President will play in addressing them.
- Briefly discuss the strengths and overall performance of the Senior Administrative Staff.
- Provide a brief summary of goals and objectives for the University for the year ahead as well as longer range.
- Provide recommendations concerning timing of a Presidential Search and Appointment.

Assessment Criteria

General Administrative Effectiveness

- Management of human, fiscal, and physical resources. Including planning, decision making, solving problems, leading change, linking plans and actions, developing a management team, and delegating responsibility.
- Establishment and supervision of an effective administrative team.
- Development, maintenance, and renewal of plans to meet long-term needs.
- Provision of leadership, direction, and support for periodic Assessment of academic and co-curricular programs and accomplishments which stimulate teaching, learning, research, scholarship, and professional development.

Communications

- Encouragement and support for open lines of communication throughout the University.
- Consultation within, participation in, and promotion of a shared vision within the University community.
- Encouragement of community involvement and shared vision within the University.
- Facilitation of regular communication with Board members concerning pertinent University issues.

Advancement

- Demonstrated evidence of community understanding and support for University advancement.
- Establishment and maintenance of constructive relations with federal, state, and local governments.
- Establishment and maintenance of constructive relations with the media.
- Effective leadership and support for institutional advancement and development programs.
- Successful enhancement of the University's local, regional, and national reputation.

Personal Attributes

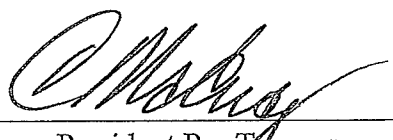
The President:

- Effectively articulates concepts and initiatives.
- Efficiently and innovatively solves problems.
- Considers the public relations and political implications of his actions.
- Manages multiple problems and events simultaneously.
- Withstands criticism and directs opposition into productive channels.
- Understands issues and facts before making decisions.
- Identifies and addresses central issues in complex problems.
- Promotes coordinated and efficient programs and operations.
- Is readily available to consult with necessary University constituents and board members.

Comments: See Attached Sheet



President



President Pro Tempore



AUBURN UNIVERSITY

OFFICE OF THE SECRETARY
TO THE BOARD OF TRUSTEES

Memorandum

To: President Jay Gogue *sn*
From: Trustees Sarah Newton, Charles McCrary and Jimmy Rane *CSM*
Re: Annual Assessment of the President *JR*
Date: August 29, 2016

Board policies base the annual presidential assessment on six areas critical to the life of the institution. We have reviewed your self-assessment and unanimously agree that you continue to perform at an exceptional level in all six categories.

We commend and thank you for another outstanding year of leadership for Auburn and the Auburn family. In addition, as we have in the past, we recognize and appreciate the invaluable role played by Susie. The importance of her contribution to promoting Auburn and its mission cannot be overstated.

Additional information on the six assessment criteria is provided below.

1. Summarize performance in addressing previously established goals and objectives

Strategic plan: We applaud you and the many others who are responsible for making strides in implementing the strategic plan. The updated five-year plan is aggressive, actionable and measurable, characteristics that many in industry and academia miss when setting strategic priorities. We ask that you express the Board's appreciation to faculty and staff for their diligence and hard work in maintaining forward momentum, even in the face of sharp reductions in state support.

State gun law: You highlighted the law as a potential campus issue to be closely monitored. Please continue to keep us apprised as it develops.

Athletics: Likewise, we ask that you continue to keep us informed on changes in the Athletics Department. Progress, especially internal to the Department, is clearly being made. We're particularly pleased with the continued emphasis on academic success for student-athletes.

Student Affairs: The vice president's position is key to student life on campus. We appreciate your leadership in conducting a timely national search.

2. Highlight particularly significant accomplishments during the assessment period

Accreditation reaffirmation: SACS reaffirmation is a long, deliberate and detailed process, and we know your leadership was key to its successful outcome. Please express our appreciation to Drew Clark.

Medical school: We agree that the Edward Via College of Osteopathic Medicine will be a long-term beneficial partner for Auburn. It's also a positive development for the local community and state. Thanks to you, Tim Boosinger, Michael Goodlett and others for the vision and efforts to make it a reality.

Economic development: GE Aviation's announcement is one more sign that Auburn is well positioned to serve as an engine of economic growth. We encourage you to continue looking for opportunities where Auburn can improve the economic well being of the community and state. Please express our appreciation to John Mason and Chris Roberts.

SEC Network: In just the short time since it went live, the SEC Network has generated much attention and enthusiasm. We are pleased to learn that Jay Jacobs was integral to its launch and that significant revenue is expected. Video is clearly the preferred medium by today's sports fans, and we look forward to seeing what Jay's team will produce. Please let him know of our appreciation.

3. Identify continuing problems that the President is facing and recommend how the Board and the President might work together to address these problems

As noted last year, Trustees saw improved marketing and communications for the university, and we're pleased the trend continues. We look forward to continued success and ask that you keep us updated.

4. Describe three major challenges and three opportunities the university will face in the upcoming year and what role the president will play in them

We agree with your assessment of major challenges facing the university – decreased state support, faculty to student ratio in light of a large freshmen class, and new federal laws impacting higher education. As you see opportunities for Trustees to assist, we ask that you let us know.

The opportunities you listed are each exciting in their own way. We encourage you to maximize each to its fullest potential and ask that you inform the Board if we can assist.

5. Briefly discuss the strengths and overall performance of the senior administrative staff

The Board is pleased to learn of your continued satisfaction with senior administrative staff. Succession planning is a good business practice, and we're pleased it's now part of your evaluation process.



AUBURN UNIVERSITY
OFFICE OF THE PRESIDENT

August 24, 2016

MEMORANDUM TO: Presidential Assessment Committee
FROM: Jay Gogue 
RE: Annual Self-Assessment (2015-2016)

Based on Auburn Board of Trustees' policy, I am required to address seven areas in my annual self-assessment. Each area and my thoughts are outlined below.

1) Summarize Performance In Addressing Previously Established Goals and Objectives

As in years past, the overarching direction of the University comes from the strategic plan that is currently concluding year three. Internally, each target has measurable criteria, allowing us to evaluate progress several times per year. Each task in the plan is assigned to an individual who is expected to provide the needed leadership to achieve the goal or objective. We periodically provide the Board of Trustees with progress reports at Board of Trustee meetings and, in general, all elements of the plan are being addressed, and significant progress continues to be made. However, the one exception is the goal to achieve 18 percent Pell Grant students, and we are still around 12 percent.

2) Highlight Particularly Significant Accomplishments During The 2015-2016 Assessment Period

A complete summary of accomplishments for the year will be provided at the September Board meeting, but I highlight a few that I believe are particularly noteworthy:

- Six-year graduation rates have increased to 74 percent. The four-year graduation rate is 50 percent. These numbers are impressive compared to national norms of our peers.
- Our freshmen retention rate stands at about 90 percent. Again, this is an impressive figure considering that 40 percent of our students are non-resident.
- More than 11,000 students are participating in electronic learning courses.

- ▣ Several national media outlets ranked Auburn and Auburn Programs highly. These include online graduate programs in business, engineering, and education from U.S. News & World Report; best University in the state in both Forbes and Money Magazine; and the online MBA program from CEO Magazine.
- ▣ We added about 100 tenured or tenure-tracked faculty this fall, making it the largest number of new faculty in Auburn history.
- ▣ Good progress is being made in increasing the ratio of advisors to students. We are also increasing the number of mental health counselors. Both increases are essential for student success.
- ▣ More than 6,000 students, faculty, and staff have completed training in the "Green Dot" Program, which is an effort to increase campus safety.
- ▣ An increasing number of students are winning prestigious scholarships for graduate study, which was rare just a few years ago. Successful competition in these scholarship programs generates reputational benefits for the Institution and aids our recruitment of high-achieving prospective students.
- ▣ The academic facility needs of the campus are being addressed in a professional manner and will serve the institution for decades to come.

3) Identify Continuing Problems The President Is Facing And Recommend How The Board Of Trustees And The President Might Work Together To Address These Problems

- ▣ Yearly there is some discussion in Alabama about a unified state budget, whereby the General Fund and the Educational Trust Fund are merged into a single budget. Such a change would damage K-12 and higher education. Proponents are correct in that there is not enough money to meet the state's obligations from the General Fund and that most if not all states have a unified budget. Our fear is that higher education would be the biggest loser with such a change.
- ▣ In the past 10 to 15 years, there has been an effort to create on-campus childcare facilities. However, when the campus community discusses benefits, this topic hasn't received the support for us to take action. I believe that employee satisfaction, as well as future recruitment of a different workforce in the years to come, has to make this a priority.
- ▣ Data indicates that Auburn spends about \$80 million on compliance issues. This cost is not out of line regarding expenditures, but it is a cost that is necessary to have clean audits from all the different entities that review our work. On campus, these costs and associated positions often lead to faculty discussing the increase

of non-academic positions relative to new faculty positions. While I think such costs are unreasonable, it is the cost of openness and transparency.

For each of these problems, it is important for the Board of Trustees and the President to indicate a level of understanding of the issues and to understand the implications of change.

4) Describe Three Major Challenges And Three Opportunities The University Will Face In The Upcoming Year And What Role The President Will Play In Addressing Them

Challenges

- a) Auburn has an important constitutional change that is up for consideration by the public in November 2016. The change would add two additional Trustee members in at-large positions, allowing the Board of Trustees to ensure diversity that is more reflective of the State.
- b) The most recent data shows that Alabama has cut higher education since 2008 more than all states except Louisiana. We receive \$3,526 (in today's dollars) less per student today than we did nine years ago. It would be beneficial for the general public and State officials to fully understand the relationship between the level of state support and the level of tuition.
- c) In the past year, several universities have become embroiled in issues of diversity and inclusion. We will continue to work across campus to do all that we can to treat people with dignity, civility, and fairness.

Opportunities

- a) Before the September Board meeting, I expect us to exceed our campaign goal of \$1 billion, one year before the campaign's end. With success, there will be opportunities to advance even more, including an increase in Alumni participation rates.
- b) At the Federal level, we understand the realities of the post-earmark era. The change allows us to position Auburn better moving forward relative to our peers.
- c) The City of Auburn and Lee County continue to be among the top 4 communities in Alabama regarding economic growth by the usual measurements of economic development, i.e. new jobs, salaries, capital investment, etc. Good K-12 schools and good local leadership along with the University are keys to future opportunities.

5) Briefly Discuss The Strengths And Overall Performance Of The Senior Administrative Staff

All annual reviews of those who report to me are completed, and I am happy to share my assessment of each of them with you. Also, Athletics Director Jay Jacobs and I review each head coach. In general, we have an excellent senior staff that

understands Auburn and performs well. While it is a pleasure to work with each member of the staff, I would call attention to Mrs. Jane D. Parker. Jane has provided the needed leadership for a successful capital campaign. She has performed in a professional manner and has certainly moved the bar for Auburn regarding stewardship events.

6) Provide A Brief Summary Of Goals And Objectives For The University For The Year Ahead As Well As Longer Range

We have two more years on our current strategic plan, and that should be the focus of our efforts in the near term. From a long-term perspective, we need to conclude the current comprehensive campaign, make some visible progress in diversity and inclusion, and begin to plan for the next long-range strategic plan.

7) Provide Recommendations Concerning Timing Of A Presidential Search And Appointment

I suggest that the Board form a search committee in the fall of 2016 to proceed with an appointment of a new president in 2017.

In conclusion, Susie and I continue to enjoy our roles at Auburn, and we greatly appreciate being here. We have a lot of fun, and the work is exciting and rewarding. As you conduct this review, I only ask that my salary not be increased should you be so inclined. If there are specific questions that arise during the review, I would be delighted to respond.

