

MINUTES OF RECONVENED MEETING OF THE
BOARD OF TRUSTEES
OF
AUBURN UNIVERSITY

NOVEMBER 22, 2019

RECONVENED MEETING AGENDA

AUBURN UNIVERSITY BOARD OF TRUSTEES

1. Approval of the September 13, 2019 Reconvened Meeting and the October 28, 2019 Special Called Meeting Minutes
2. Awarding of Degrees
3. AUM Chancellor's Report
4. President's Report
5. Action Items and Committee Reports

A. Property and Facilities Committee | Chairperson DeMaioribus

1. Leach Science Center Basement Build-Out, Project Initiation and Architect Selection
2. Village Residence Hall Refurbishment, Project Approval and Authorization to Execute the Work
3. Quad Residence Hall Complex Fire Alarm System Upgrades, Phase I: Project Approval and Authorization to Execute the Work
4. Auburn University Hotel and Conference Center Room Refurbishment: Project Approval and Authorization to Execute the Work
5. Jordan-Hare Stadium Field Lighting Replacement, Project Approval and Authorization to Execute the Work
6. North College Street and Farmville Road Improvements, Conveyance
7. Sky Drive, Property Exchange
8. Authority to Engage a Real Estate Broker to Market University Land in Shorter, Alabama

B. Finance Committee | Chairperson Harbert

1. Proposed Auburn University Housing Main Campus Rates for 2020-2021 Academic Year
2. Proposed Tuition Increase for Auburn University Main Campus and AUM

C. Joint Academic Affairs and AUM Committee | Chairperson Newton

1. Proposed Changes to the AUM Faculty Personnel Policies

D. Executive Committee | Chairperson McCrary

1. Undergraduate Enrollment Policy for the Auburn University Main Campus
2. Proposed Awards and Namings

6. Recess Meeting

MINUTES OF A RECONVENED MEETING OF THE
AUBURN UNIVERSITY BOARD OF TRUSTEES
FRIDAY, NOVEMBER 22, 2019
LEGACY BALLROOM
THE HOTEL AT AUBURN UNIVERSITY AND DIXON CONFERENCE CENTER

The Board of Trustees of Auburn University convened its meeting at 8:00 a.m., Friday, November 22, 2019, in the Legacy Ballroom of the Hotel at Auburn University and Dixon Conference Center. *President Pro Tempore* Wayne Smith asked for the roll call by Board Secretary Jon Waggoner. The following named members of the Board of Trustees were deemed to be in attendance: Lloyd Austin, Mike DeMaioribus, Bob Dumas, Raymond Harbert, Elizabeth Huntley, Gaines Lanier, Charles McCrary, Sarah Newton, James Pratt, Jimmy Rane, Quintin Riggins, B.T. Roberts, Clark Sahlie, Jimmy Sanford, and Wayne Smith.

Governor Kay Ivey was absent from the meeting. The individuals listed above represent all persons recognized as members of the Board of Trustees of Auburn University at the time of the meeting. Also sitting with the Board were Michael Baginsky, Faculty Advisor for Auburn University; Aaron Cobb, Faculty Advisor for AUM; Mary Margaret Turton, Auburn University Student Government Association President; and Ciara Freeman, AUM Student Government Association President. Jon Waggoner attended as Secretary to the Board of Trustees.

Other persons in attendance at the meeting included the following: Jay Gogue, Interim President; Bill Hardgrave, Provost and Senior Vice President for Academic Affairs; Carl Stockton, Chancellor of AUM; Mrinal Varma, Provost of AUM; Jamie Hammer, General Counsel; Bobby Woodard, Senior Vice President for Student Affairs; Kelli Shomaker, Vice President for Business and Finance and Chief Financial Officer; Brian Keeter, Director of Public Affairs; Jared White, Executive Director of Governmental Affairs; Allen Greene, Director of Athletics; Dan King, Associate Vice President for Facilities; Larry Filmer, Executive Director of External Engagement and Support; Steve Pelham, Associate Vice President for Economic Development; Mike Clardy, Assistant Vice President for Communications and Marketing; Cheryl Casey, Vice Chair of the Auburn University Foundation Board of Directors and Chair of its Investment Committee; Van Henly, President of the Auburn Alumni Association; Nedret Billor, Chair of the Auburn University Senate and Auburn University Faculty Representative, Academic Affairs Committee; Andrew McLelland, Auburn University Faculty Representative, Finance Committee; Kim Brackett, AUM Faculty Representative, Finance Committee; Ana Franco-Watkins, Auburn University Faculty Representative, Institutional Advancement Committee; David Hinson, Auburn University Faculty Representative, Property and Facilities Committee; Karley Rife, Auburn University Faculty Representative, Student Affairs Committee; Mark Bransby, Chair of the Auburn University Administrative and Professional Assembly; Kim Brumbeloe, Chair-Elect of the Auburn University Staff Council; and Audrey Shaw, President of the AUM Staff Council.

At 9 a.m., *President Pro Tempore* Smith asked General Counsel Hammer if there were matters to be discussed in Executive Session. General Counsel Hammer indicated that there were pending or potential litigation matters to discuss. A motion was received from *President Pro Tempore* Smith to move into Executive Session. The motion was seconded by Ms. Huntley, and the Board of Trustees unanimously approved the motion to enter Executive Session and reconvene in approximately thirty minutes.

After reconvening the meeting at 9:30 a.m., *President Pro Tempore* Smith asked for a motion to adopt the minutes from the September 13, 2019 Reconvened Meeting and from the October 28, 2019 Special Called Meeting. A motion was received from Mr. Rane. The motion was seconded by Mr. Sahlie, and the Board of Trustees unanimously approved the following minutes:

RESOLUTION

APPROVAL OF MINUTES

WHEREAS, copies of the minutes of the Reconvened Meeting on Friday, September 13, 2019, and the Special Called Meeting on October 28, 2019 have been distributed to all members of the Board of Trustees for review; and

WHEREAS, the members have reviewed the minutes and determined that they constitute a true and correct recitation of the business of such a meeting.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees that the minutes of its Friday, September 13, 2019 and Monday, October 28, 2019 meetings are hereby approved and distributed.

President Pro Tempore Smith then asked for a motion to adopt the resolution of Awarding Degrees for the Auburn University main campus and AUM for the Fall 2019 semester. A motion was received from Mr. Rane. The motion was seconded by Ms. Huntley, and the Board of Trustees unanimously approved the following resolution:

RESOLUTION

AWARDING OF DEGREES

WHEREAS, Auburn University confers appropriate degrees upon those individuals who have completed requirements previously approved by this Board of Trustees and stated in University Catalogs.

NOW, THEREFORE, BE IT RESOLVED as follows:

1. That all degrees to be awarded by the faculty of Auburn University and Auburn University at Montgomery at the end of Fall Semester 2019, complying with the requirements heretofore established by the Board of Trustees, be and the same are hereby approved.
2. That a list of the degrees awarded be filed in the Book of Exhibits and made a part of this resolution of the minutes.

President Pro Tempore Smith called upon Dr. Stockton for the AUM Chancellor's Report. Dr. Stockton reported on the following: Notice from the U.S. Department of Education that AUM received a Title II grant that enhances persistence through early engagement; notice that AUM received a grant from Governor Kay Ivey for continued education for law enforcement training; welcoming of Dr. Josh Roberts as the new Dean of Student Affairs, Diversity and Inclusion; NCAA Division II membership; exceptional seasons for the men's cross country team, men's soccer team, and volleyball team. Dr. Stockton concluded by reporting that two student-athletes, Summer Rhea and Alexander Wagner, were selected to the 2019 Academic All-District Team that is selected by the Sports Information Directors.

President Pro Tempore Smith called upon Dr. Gogue for the President's Report. Dr. Gogue presented several updated items from the Auburn University main campus that included discussions of innovative and transformative scientific research.

After the President's Report, Mr. McCrary asked the Board of Trustees to consider removing the Interim title from Dr. Gogue's title and to name him President. Mr. McCrary indicated that removing interim from his title would allow the Board ample opportunity to meet with Auburn constituents so they could share their views of the idea of removing "Interim" from Dr. Gogue's title, and to hear their thoughts on how best to complete the Strategic Plan that is currently in place. Dr. Gogue indicated that it would be important that the Board adheres to the appropriate policies and procedures of the "Academy". *President Pro Tempore* Smith agreed and indicated that he did want to follow proper procedure and to appropriately consult faculty to consider their input. *President Pro Tempore* Smith thanked Dr. Stockton and Dr. Gogue for their reports.

President Pro Tempore Smith then moved to Committee Reports as follows:

Property and Facilities Committee

Chairperson DeMaioribus indicated that the Property and Facilities Committee met earlier in the day and discussed numerous items. Chairperson DeMaioribus moved for adoption of a unanimous consent agenda. The motion was seconded by Ms. Huntley, and the resolutions were approved by voice vote with one abstention as follows: Mr. Riggins abstained from voting on item number five, Jordan-Hare Stadium Field Lighting Replacement, Project Approval and Authorization to Execute the Work. The following resolutions were approved in the unanimous consent agenda:

RESOLUTION

LEACH SCIENCE CENTER BASEMENT BUILD-OUT APPROVAL OF PROJECT INITIATION AND ARCHITECT SELECTION

WHEREAS, the Dean for the College of Sciences and Mathematics (COSAM) proposes a project to build out the shell space located in the Leach Science Center basement; and

WHEREAS, the proposed project will provide additional research space for the Physics Department, to include two laser laboratories, a biophysics laboratory, laboratory support areas, and the relocation of the College's Information Technology Department to the Leach Science Center basement; and

WHEREAS, the Provost supports the Leach Science Center Basement Build-Out project; and

WHEREAS, it is anticipated that the Leach Science Center Basement Build-Out project will be financed by the College of Sciences and Mathematics funds; and

WHEREAS, to facilitate an accelerated design process, the University Architect recommends the approval of the firm Stacy Norman Architects of Auburn, Alabama, as the architect for the project; and

WHEREAS, pursuant to the Board of Trustees policy "D-3, Capital Projects Approval," the final approval of the project must be submitted to the Auburn University Board of Trustees through the Property and Facilities Committee.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the initiation of the Leach Science Center Basement Build-Out project is approved and Jay Gogue, Interim President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to perform the following tasks:

1. Engage Stacy Norman Architects of Auburn, Alabama, as project architect to consult in the development of the facility program and project design; and
2. Limit the project planning and design development to the schematic design phase until such time as the program requirements, budget, funding plan, and site are approved by the Board.

RESOLUTION

VILLAGE RESIDENCE HALL REFURBISHMENT PROJECT APPROVAL AND AUTHORIZATION TO EXECUTE THE WORK

WHEREAS, Student Affairs proposes to commence a multi-phase, multi-year refurbishment of the Village Residence Hall complex; and

WHEREAS, this project will refurbish 484 suites throughout the complex by replacing existing flooring, casework, countertops, vanities, and bathroom fixtures of each suite as well as repainting the suites and hallways; and

WHEREAS, the intent of this project is to refurbish all suites in all buildings in a phased approach over several years, with much of the work being done over the summer; and

WHEREAS, the overall cost of the project is estimated to be between \$15.0 and \$16.0 million to be financed by Student Affairs.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Village Residence Hall Refurbishment project is approved and that Jay Gogue, Interim President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to execute the project.

RESOLUTION

QUAD RESIDENCE HALL COMPLEX: FIRE ALARM SYSTEM UPGRADE PHASE I PROJECT APPROVAL AND AUTHORIZATION TO EXECUTE THE WORK

WHEREAS, Student Affairs proposes to commence a multi-phase upgrade to the fire alarm system in the Quad Residence Hall complex; and

WHEREAS, the existing zone fire alarm system will be removed and replaced with an addressable fire alarm system that meets current Auburn University standards; and

WHEREAS, the first phase will upgrade the systems in two residence halls in the Upper Quad and two residence halls in the Lower Quad, with the intent to complete the Phase I upgrade during the summer of 2020; and

WHEREAS, the overall cost of the project is estimated to be between \$1.1 and \$1.3 million, to be financed by Student Affairs.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Quad Residence Hall Complex: Fire Alarm System Upgrade Phase I project is approved and that Jay Gogue, Interim President, or such other person as may be acting as president, be and the same is hereby authorized and empowered to execute the project.

RESOLUTION

AUBURN UNIVERSITY HOTEL AND CONFERENCE CENTER GUEST ROOM REFURBISHMENT PROJECT APPROVAL AND AUTHORIZATION TO EXECUTE THE WORK

WHEREAS, Auburn University Hotel and Conference Center proposes to commence a project to refurbish its guest rooms; and

WHEREAS, this project will upgrade 236 guest rooms, which were last refurbished in 2008; and

WHEREAS, the scope of work for the project calls for replacing the furniture and soft goods in each guest room, as well as replacing the existing flooring, wall coverings, cabinets, closet units, vanities, and bathroom fixtures of each room and repainting both the rooms and hallways; and

WHEREAS, the intent of this project is to refurbish all guest rooms in a phased approach, with the work commencing in the summer of 2020; and

WHEREAS, the cost of the project is estimated to be between \$8.0 and \$9.0 million to be financed by Hotel and Conference Center revenues.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Auburn University Hotel and Conference Center Guest Room Refurbishment project is approved and that Jay Gogue, Interim President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to execute the project.

RESOLUTION

JORDAN-HARE STADIUM FIELD LIGHTING REPLACEMENT PROJECT APPROVAL AND AUTHORIZATION TO EXECUTE THE WORK

WHEREAS, the Athletics Department proposes to replace the existing field lighting fixtures in the stadium with LED fixtures as well as the associated light poles; and

WHEREAS, the existing metal halide field lighting system at Jordan-Hare Stadium has exceeded its life expectancy and the current bulbs are no longer being manufactured, thus necessitating replacement; and

WHEREAS, the new LED field lighting system will also improve the level of illumination within the stadium for televised night games; and

WHEREAS, the schedule for this project is to complete the lighting replacement prior to the 2020 football season; and

WHEREAS, the overall cost of the project is estimated to be between \$3.75 million and \$4.25 million, to be financed by the Athletics Department.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the Jordan-Hare Stadium Field Lighting Replacement project is approved and that Jay Gogue, Interim President, or such other person as may be acting as President, be and the same is hereby authorized and empowered to execute the project.

RESOLUTION

NORTH COLLEGE STREET AND FARMVILLE ROAD IMPROVEMENTS CONVEYANCE

WHEREAS, the Alabama Department of Transportation (ALDOT) has proposed a \$2.5 million project to construct a traffic circle and make related improvements to the North College Street intersection at Farmville Road, intended to improve safety and traffic flow; and

WHEREAS, ALDOT requests that Auburn University convey to it approximately 0.51 acres of land (Parcel), which would facilitate construction of the North College Street and Farmville Road Improvements project; and

WHEREAS, the 0.51 acre Parcel has an appraised value of \$20,400; and

WHEREAS, conveyance of the Parcel to ALDOT is exempt from statutory bidding requirements; and

WHEREAS, given the traffic and safety enhancements that will result, it is in the best interest of Auburn University to support the North College Street and Farmville Road Improvements project by conveying the Parcel to ALDOT.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, Interim President, or such person as may be acting as President, be and the same is hereby authorized and empowered to convey the Parcel described in Exhibit 1 to ALDOT for the price of \$20,400, to facilitate ALDOT's improvements to North College Street. All documents consummating the conveyance shall be reviewed as to form by legal counsel for Auburn University.

Exhibit 1

North College Street and Farmville Road Improvements Conveyance



RESOLUTION

SKYWAY DRIVE, PROPERTY EXCHANGE

WHEREAS, Auburn University owns certain real property located at 3410 Skyway Drive, which consists of approximately 12.63 acres located in the City of Auburn, as depicted in Exhibit 1; and

WHEREAS, Scott Land Company, Inc. (Scott), owns property immediately west of the University's property and seeks to construct a new building on its land; and

WHEREAS, Scott has proposed to exchange a 2.9-acre portion of its property (labeled Parcel B on Exhibit 1 hereto) for a 0.33-acre portion of the University's property (labeled as Parcel A on Exhibit 1 hereto), in order to facilitate Scott's development of the building; and

WHEREAS, Board of Trustees Policy D-8, Real Property Exchange Policy, allows the exchange of real property when the transaction is in the best interest of the University, and the existing University property is no longer required to carry out its mission, so long as the value of the property to be acquired by the University is equal to or exceeds the value of the property to be conveyed; and

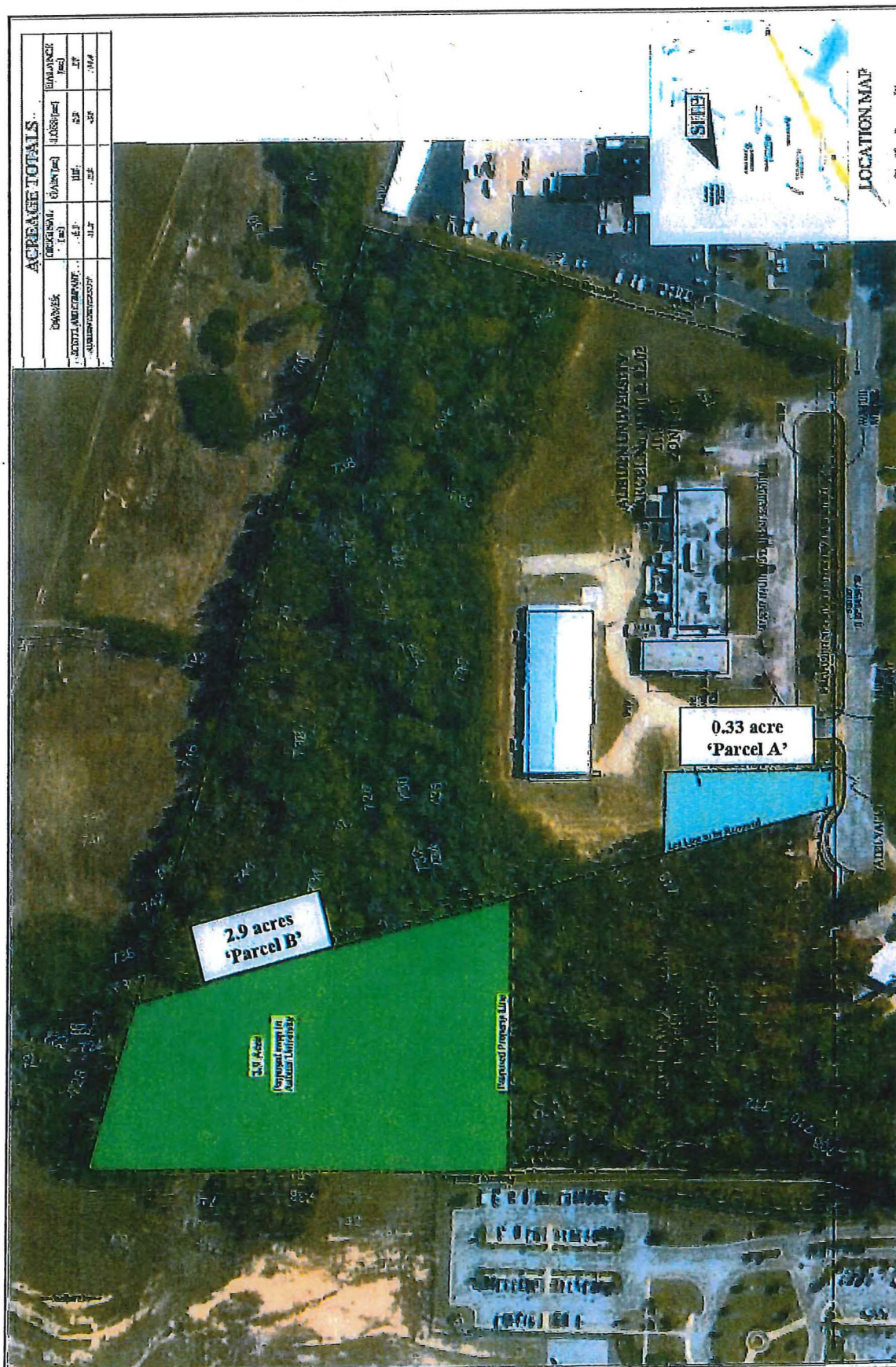
WHEREAS, the University has no current or future intended use of the 0.33-acre portion of its property, and acquisition of the 2.9-acre parcel would be in the best interests of the University by expanding a buffer zone between the Auburn University Regional Airport and surrounding property; and

WHEREAS, as determined by MAI appraisal, the fair market values of the two parcels to be exchanged are equivalent, with no cash to be exchanged between the parties.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that the real property exchange described herein is approved, upon the terms stated above, and that Jay Gogue, Interim President, or such person as may be acting as President, is hereby authorized and empowered to execute such agreements and other documents as are necessary to effectuate the exchange of the University's 0.33 acres (Parcel A) for Scott Land Company, Inc.'s 2.9 acres (Parcel B), with the understanding that all instruments required for consummation of this transaction be reviewed as to form by legal counsel for Auburn University.

Exhibit 1

Skyway Drive Property Exchange



RESOLUTION

AUTHORITY TO ENGAGE A REAL ESTATE BROKER TO MARKET UNIVERSITY LAND IN SHORTER, ALABAMA

WHEREAS, the Auburn University E. V. Smith Research Center ('Research Center'), located in Shorter, Alabama, engages in a comprehensive program of agricultural research and related educational activities, in support of the University's missions of extension and outreach; and

WHEREAS, the Research Center's campus includes approximately fifty-five acres of vacant University-owned land located at the US Interstate 85 and Alabama Highway 229 (depicted as Highway Site East and Highway Site West in Exhibit 1) intersection; and

WHEREAS, neither the University nor the Research Center currently utilizes the Highway Site East or the Highway Site West for their own operations, nor do they intend to do so in the foreseeable future; and

WHEREAS, leasing of all or portions of the two Highway Sites to appropriate parties may generate revenue and other benefits that could support Research Center operations;

WHEREAS, Alabama law permits the University to offer real property for lease by listing it with a duly-licensed real estate broker, provided that the institution determines that this procedure is economically justified and in the best interest of the University; and

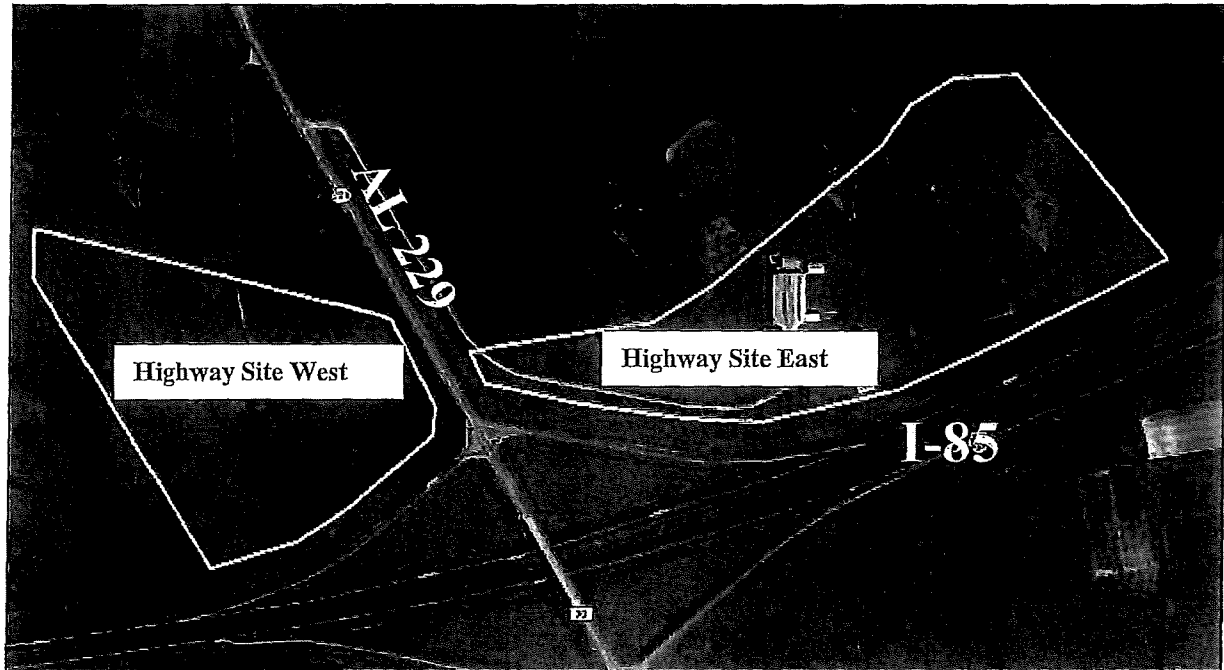
WHEREAS, the University proposes to hire a real estate broker to market these sites since it is likely that marketing this property through an appropriate broker will result in better lease opportunities than if advertised through an ordinary auction or bid process; and

WHEREAS, any proposed lease arrangements resulting from the broker engagement shall be presented to the Board of Trustees for its review and approval prior to execution.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of University that, given the unique location of the Highway Sites and possible commercial and retail opportunities there, it is economically justified and in the best interest of the University to engage a duly-licensed real estate broker to market and identify opportunities to lease the two Highway Sites.

BE IT FURTHER RESOLVED that Jay Gogue, Interim President, or such person as may be acting as President, or his designee, is hereby authorized and empowered to engage a duly-licensed real estate broker to market and solicit lease offers for all or portions of the two Highway Sites, consistent with customary broker practices and Alabama law, provided that any proposed lease arrangements must be reviewed and approved by the Board of Trustees prior to execution of the leases.

Exhibit 1
E.V. Smith Research Center



Finance Committee

Chairperson Harbert indicated a discussion of two items during the committee meeting earlier that morning. Chairperson Harbert moved for adoption of a unanimous consent agenda. The motion was seconded by Mr. Lanier, and the resolutions were approved by voice votes with two abstentions as follows: Mr. Rane and Ms. Huntley abstained from voting on the consent agenda. The following resolutions were approved in the unanimous consent agenda:

RESOLUTION

PROPOSED AUBURN UNIVERSITY HOUSING MAIN CAMPUS RATES FOR 2020-2021 ACADEMIC YEAR

WHEREAS, residence halls, operated by Auburn University Housing (AUH), are provided on the Auburn University main campus as a convenience and service to our undergraduate students; and

WHEREAS, the administration regularly reviews housing operations to assure that it is responsive to student needs and that it continues to be fiscally sound and self-supporting; and

WHEREAS, AUH needs to increase a reserve for deferred maintenance, increased debt retirement commitments, rising operational costs, anticipated renovations, programming, new construction, and non-recurring expenditures; and

WHEREAS, AUH leadership has proposed a housing rate increase in response to those demands and these recommendations are endorsed by the President.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Dr. Jay Gogue, Interim President, or such person as may be acting as President, be and the same is hereby authorized to affect the proposed student housing rates for Auburn University main campus, as displayed on Attachment A to this resolution, with the new rates becoming effective with the 2020 fall semester.



UNIVERSITY HOUSING STUDENT AFFAIRS

Proposed Semester Rental Rates for Academic Year 2020-2021

Area	Room Type	# of Rental Beds	Current 2019-20	Proposed 2020-21
Cambridge	Single	0	\$ 4,440	\$ 4,530
	Double	298	\$ 3,620	\$ 3,690
Cambridge Total		298		
Hill	Single	23	\$ 3,830	\$ 3,910
	Double	1426	\$ 3,060	\$ 3,120
Hill Total		1449		
Quad	Single	31	\$ 4,440	\$ 4,530
	Double	902	\$ 3,620	\$ 3,690
Quad Total		933		
South Donahue	Single	4	\$ 5,920	\$ 6,040
	Double	378	\$ 5,810	\$ 5,930
	Quad	36	\$ 5,810	\$ 5,930
South Donahue Total		418		
Village	Single	27	\$ 4,900	\$ 5,000
	Double	90	\$ 4,900	\$ 5,000
	Triple	63	\$ 4,690	\$ 4,780
	Quad	1478	\$ 4,690	\$ 4,780
Village Total		1658		
AUH Rentable Bed Total		4756		
Semester Average Cost			\$ 4,595	\$ 4,687

*Summer Rate: (fall rate + spring rate)/3

**Mini-semester Rate: (summer rate)/2

RESOLUTION

PROPOSED TUITION AND FEES AUBURN UNIVERSITY AND AUBURN UNIVERSITY AT MONTGOMERY

WHEREAS, student tuition and fees are the primary sources of unrestricted revenue for support of University programs and operations; and

WHEREAS, projected state appropriations per student and other income sources are inadequate to maintain the standards of quality in instruction and other activities long associated with Auburn University and to cope with the erosive impact of inflation; and

WHEREAS, one of the University's institutional goals is to maintain rates consistent with regional averages for peer institutions.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of Auburn University that Jay Gogue, President, or such person as may be serving as President, be and the same is hereby authorized, with the 2020 Fall Semester, to establish tuition and fee rates for Auburn University and Auburn University at Montgomery as shown in Exhibit 1 and Auburn University at Montgomery in Exhibit 2.

EXHIBIT 1

AUBURN UNIVERSITY TUITION STRUCTURE PROPOSED FALL 2020

	Fall 2019	Fall 2019	Fall 2020 <i>PROPOSED</i>	Fall 2020 <i>PROPOSED</i>
Tuition and Student Services Fee	RESIDENT	NON-RESIDENT	RESIDENT	NON-RESIDENT
Credit Hour Tuition - Undergraduate to 12 hours*	\$ 409.00	\$ 1,227.00	\$ 420.00	\$ 1,260.00
Credit Hour Tuition - Graduate/Professional to 9 hours*	\$ 546.00	\$ 1,638.00	\$ 560.00	\$ 1,680.00
Student Services Fee	\$ 838.00	\$ 838.00	\$ 858.00 ¹	\$ 858.00
Student Services Fee - GRA/GTA	\$ 680.00	\$ 680.00	\$ 700.00 ²	\$ 700.00

Non-Credit/Specialty Fees

Auburn Abroad Fee	\$ 691.00	\$ 691.00	\$ 708.00 ³	\$ 708.00
Auditing Fee/Special Course Fee - Undergraduate per course	\$ 409.00	\$ 1,227.00	\$ 420.00 ³	\$ 1,260.00
Auditing Fee - Graduate/Professional per course	\$ 546.00	\$ 1,638.00	\$ 560.00 ³	\$ 1,680.00
Clearing for Graduation	\$ 450.00	\$ 450.00	\$ 450.00	\$ 450.00
College of Veterinary Medicine Clinical Rotation Fee	\$ 691.00	\$ 691.00	\$ 708.00 ³	\$ 708.00
Continuous Enrollment Fee - Graduate	\$ 450.00	\$ 450.00	\$ 450.00	\$ 450.00
International Student Fee	\$ 130.00	\$ 130.00	\$ 130.00	\$ 130.00
Music Fee (full hour lessons)	\$ 246.00	\$ 246.00	\$ 246.00	\$ 246.00
Music Fee (half-hour lessons)	\$ 163.00	\$ 163.00	\$ 163.00	\$ 163.00

Professional Fees, Program Fees, Differential Tuition**

College of Architecture, Design, & Construction (per semester)	\$ 2,160.00	\$ 2,160.00	\$ 2,160.00	\$ 2,160.00
College of Business FR/SO (per semester)	\$ 260.00	\$ 260.00	\$ 260.00	\$ 260.00
College of Business FR/SO Summer (per semester)	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00
College of Business JR/SR (per semester)	\$ 560.00	\$ 560.00	\$ 560.00	\$ 560.00
College of Business JR/SR Summer (per semester)	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00
College of Business Graduate - per credit hour	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00
College of Engineering FR (per semester)	\$ 420.00	\$ 420.00	\$ 431.00 ³	\$ 431.00
College of Engineering SO/JR/SR (per semester)	\$ 735.00	\$ 735.00	\$ 753.00 ³	\$ 753.00
College of Human Sciences - Interior Design (per semester)	\$ 2,040.00	\$ 2,040.00	\$ 2,091.00 ³	\$ 2,091.00
College of Veterinary Medicine (per semester)	\$ 4,542.00	\$ 8,542.00	\$ 5,542.00	\$ 8,542.00
Honors College (per semester) ⁴	\$ 250.00	\$ 250.00	\$ 250.00	\$ 250.00
School of Nursing - per clinical credit hour	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00
School of Pharmacy (per semester)	\$ 5,283.00	\$ 5,283.00	\$ 5,283.00	\$ 5,283.00

* No additional charge for credit hours exceeding 12/9 hours

** Professional fees, program fees, differential tuition in addition to regular credit hour tuition

¹ All portions of the student services fee, with the exception of the Student Center bond and proration fee, are indexed with the rate of the tuition increase for resident students.

² Includes the same components as the student services fee with the exception of the proration fee.

³ Indexed with the rate of the tuition increase for resident students.

⁴ Honors College Fee is being amended for Fall of 2019 to be the same amount for all 4 years.

EXHIBIT 1

AUBURN UNIVERSITY STUDENT SERVICES FEE PROPOSED FALL 2020

Student Services Fee	19-20	20-21	Change
Special Building Fund	\$ 16.00	\$ 17.00 ¹	\$ 1.00
Student Activity	\$ 52.00	\$ 54.00 ¹	\$ 2.00
Mental Health	\$ 35.00	\$ 36.00 ¹	\$ 1.00
Student Swim Complex	\$ 13.00	\$ 14.00 ¹	\$ 1.00
Student Center Bond	\$ 85.00	\$ 85.00	\$ -
Student Center Operation	\$ 8.00	\$ 9.00 ¹	\$ 1.00
Transit Fee	\$ 161.00	\$ 166.00 ¹	\$ 5.00
Athletics Fee	\$ 98.00	\$ 101.00 ¹	\$ 3.00
Proration Fee	\$ 158.00	\$ 158.00	\$ -
Campus Rec & Wellness	\$ 212.00	\$ 218.00 ¹	\$ 6.00
Total	<u>\$ 838.00</u>	<u>\$ 858.00</u>	<u>\$ 20.00</u>

Student Services Fee - GRA/GTA	19-20	20-21	Change
Special Building Fund	\$ 16.00	\$ 17.00 ¹	\$ 1.00
Student Activity	\$ 52.00	\$ 54.00 ¹	\$ 2.00
Mental Health	\$ 35.00	\$ 36.00 ¹	\$ 1.00
Student Swim Complex	\$ 13.00	\$ 14.00 ¹	\$ 1.00
Student Center Bond	\$ 85.00	\$ 85.00	\$ -
Student Center Operation	\$ 8.00	\$ 9.00 ¹	\$ 1.00
Transit Fee	\$ 161.00	\$ 166.00 ¹	\$ 5.00
Athletics Fee	\$ 98.00	\$ 101.00 ¹	\$ 3.00
Campus Rec & Wellness	\$ 212.00	\$ 218.00 ¹	\$ 6.00
Total	<u>\$ 680.00</u>	<u>\$ 700.00</u>	<u>\$ 20.00</u>

¹ All portions of the student services fee, with the exception of the Student Center bond and proration fee, are indexed with the rate of tuition.

EXHIBIT 2

AUBURN UNIVERSITY AT MONTGOMERY TUITION STRUCTURE PROPOSED FALL 2020

	Fall 2019		Fall 2019		Fall 2020 <i>PROPOSED</i>		Fall 2020 <i>PROPOSED</i>	
Tuition and Student Services Fee	RESIDENT		NON-RESIDENT		RESIDENT		NON-RESIDENT	
Credit Hour Tuition - Undergraduate	\$	323.00	\$	726.00	\$	333.00	\$	748.00
Credit Hour Tuition - Graduate	\$	409.00	\$	919.00	\$	421.00	\$	947.00
Administrative Service Fee	\$	35.00	\$	35.00	\$	35.00	\$	35.00
Student Activity Fee	\$	170.00	\$	170.00	\$	170.00	\$	170.00
Student Health Fee	\$	25.00	\$	25.00	\$	25.00	\$	25.00
Technology Fee **	\$	10.00	\$	10.00	\$	10.00	\$	10.00
First-Time Student Orientation Fee	\$	125.00	\$	125.00	\$	125.00	\$	125.00
Athletic *	\$	7.00	\$	7.00	\$	7.00	\$	7.00

Non-Credit/Specialty Fees/Professional Fees

Biology Fees **	\$	8.00	\$	8.00	\$	8.00	\$	8.00
Clinical Laboratory Fees **	\$	8.00	\$	8.00	\$	8.00	\$	8.00
Fine Arts Fees **	\$	8.00	\$	8.00	\$	8.00	\$	8.00
Geographic Information Systems Fees **	\$	8.00	\$	8.00	\$	8.00	\$	8.00
Physical Science/Chemistry Fees **	\$	8.00	\$	8.00	\$	8.00	\$	8.00
Speech & Hearing Fees **	\$	8.00	\$	8.00	\$	8.00	\$	8.00
Nursing Clinical Fees (per clinical hour)	\$	200.00	\$	200.00	\$	200.00	\$	200.00
Hybrid Course Fee **	\$	20.00	\$	20.00	\$	20.00	\$	20.00
Online Course Fee **	\$	40.00	\$	40.00	\$	40.00	\$	40.00
University Affiliation Fee	\$	135.00	\$	135.00	\$	135.00	\$	135.00
Graduation Fee (Undergraduate)	\$	85.00	\$	85.00	\$	85.00	\$	85.00
Graduation Fee (Undergraduate)	\$	98.00	\$	98.00	\$	98.00	\$	98.00
Dept. of Communication Disorders Professional Fee	\$	200.00	\$	200.00	\$	200.00	\$	200.00
Dept. of Medical Laboratory Science Professional Fee	\$	200.00	\$	200.00	\$	200.00	\$	200.00
School of Nursing Graduate Professional Fee	\$	200.00	\$	200.00	\$	200.00	\$	200.00

* First 12 hours undergraduate and 9 hours graduate

** Fees are charged on a per credit hour basis

Joint Academic Affairs and AUM Committee

Chairperson Newton indicated a discussion of numerous items during the committee meeting earlier that morning. Chairperson Newton moved for adoption of a unanimous consent agenda. The motion was seconded by Mr. Rane, and the resolution was approved by voice votes. The following resolution was approved in the unanimous consent agenda:

RESOLUTION

PROPOSED CHANGES TO THE AUM FACULTY PERSONNEL POLICIES

WHEREAS, the Auburn University at Montgomery Faculty Handbook includes personnel policies applicable to its faculty; and

WHEREAS, pursuant to its By-Laws, the Board defines policies related to appointment, promotion, tenure, and dismissal of faculty, while the Chancellor is responsible for development and approval of procedures to implement those policies; and

WHEREAS, as part of a robust process of shared governance, the AUM Faculty Senate, Faculty Council, and the Provost have recommended, and the Chancellor has approved, changes to AUM's faculty personnel policies that are reflected in Exhibit A attached hereto; and

WHEREAS, the proposed changes reflect appropriate modifications and clarifications regarding faculty appointments, promotion and tenure requirements, and other faculty personnel matters.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of Auburn University approves the revised faculty personnel policies and procedures of the AUM Faculty Handbook, as reflected in Exhibit A to this resolution.

Exhibit A

AUM Part IV

Faculty Personnel Policies and Procedures

Page 1

A. KINDS OF APPOINTMENT

"Each member of the faculty employed at Auburn University at Montgomery is appointed in one of ~~four~~ three classifications: Temporary, Non-tenure Track, Probationary Tenure-track, and ~~or~~ Tenured. (Throughout Part IV, references to "Department Chair" shall refer to individuals acting in the capacity of unit heads.)"

2. Non-tenured Track

These appointments are on an annual basis. These appointments are renewable depending upon availability of funds, the need for services, and satisfactory performance. Individuals in these appointments are not eligible for tenure or professional improvement leave with pay. These individuals may also be assigned to participate in other academic activities, such as advising, or service on committees in the division, department, college, and university. They are under the supervision of the department chair and have all of the benefits and privileges of faculty appointment except tenure and professional improvement leave. These privileges include membership in the Faculty Council. These positions are not intended as substitutes for resident, post-doctoral, or regular faculty positions. Neither are they used for extending the time frames associated with temporary, probationary, or tenured positions or as alternatives should regular appointment to such positions be inappropriate for whatever reason. Movement from a non-tenure track to tenure-track faculty may only occur through normal, faculty approved, Department Chair and Dean approved, Provost approved, and AA/EEO approved tenure-track recruitment.

Page 2

4. Tenured Appointments

Tenured appointments normally are assigned to faculty who have completed an appropriate Probationary period and who, following recommendation and review in accordance with University policy and approval by the Chancellor ~~President~~, have been awarded tenure. Only tenured faculty are eligible for professional improvement leave with pay. The Chancellor ~~University President~~ may offer tenure status to a faculty member at the time of initial appointment to Auburn University at Montgomery provided that:

- a. Tenure has been earned at another institution; and
- b. Such appointment is made at the rank of Associate Professor or Professor; and
- c. Tenure status has received approval through the ~~usual~~ tenure review process as set forth in this handbook. (The time of this review process may be altered from the normal deliberations on tenure.)

A. APPOINTMENT TO THE FACULTY

Recommendations for faculty appointments shall be made by the Department ~~Chair, Head or equivalent. (The term "or equivalent" will apply whenever reference is made to Department Head.)~~ When making a recommendation for any appointment to the full-time faculty, the Department ~~Chair/Head~~ shall conduct a poll of all members of the department holding professorial rank, seeking from each a written statement either supporting or not supporting a recommendation for appointment. The written comments of the faculty, along with the recommendation of the Department Chair, shall be transmitted to the Dean.

Pages 7-8

D. TENURABLE PROFESSORIAL RANKS

The following general considerations shall apply to appointment or promotion to academic ranks of assistant professor, associate professor, and professor, and Librarian I, II, III, IV. Academic administrators must have had significant non-administrative involvement in the instructional or research programs of a university to qualify for academic rank. Once tenure and academic rank are earned by a faculty member, both will be retained if he or she subsequently assumes a full-time administrative position. Tenure does not apply to the administrative appointment.

1. **Assistant Professor:** Appointment or promotion to the rank of Assistant Professor should be based upon demonstrated academic ability and potential for professional growth. The candidate should have completed a terminal degree appropriate for the discipline or have the equivalent in training and experience. ("Terminal degree" refers to the highest degree awarded in a discipline. The doctorate is the terminal degree for most disciplines represented at Auburn University at Montgomery, although exceptions may be made.)
2. **Associate Professor:** Appointment or promotion to the rank of Associate Professor is based upon actual performance as well as demonstrated potential for further development. Above all, there must be objective evidence that the individual is growing professionally and is contributing to his/her field. Associate Professor is a high academic rank, and promotion to this rank, in and of itself, carries no presumption of future promotion. For a faculty member to reach the Associate Professor rank, he or she ~~must~~should have the terminal degree appropriate to the discipline. ~~In exceptional cases, an Assistant Professor without a terminal degree who has gained distinction as a publishing scholar or creative artist may be promoted to the rank of Associate Professor.~~

Pages 16-18

Application for Promotion: Faculty members who believe they have met the criteria for promotion in rank shall submit a letter of request for promotion, which includes the names of individuals qualified to offer external review to their respective Department ~~Chair~~ **Head** by May 15. ~~In that letter the candidate may request that review by a qualified external referee be arranged by the Department Head~~ or Dean by May 15. The candidate's dossier shall be presented by October 1 to the Department ~~Chair~~ **Head** who will submit it to the department review committee composed of all faculty holding academic rank higher than that of the candidate for promotion. No administrator in the direct supervisory chain (i.e., who has recommending responsibilities at subsequent steps in the promotion process, such as the candidate's Department ~~Chair~~ **Head** or Dean, or the Provost) shall be a member of the Department review committee.— Other tenure track/tenured faculty holding administrative positions and having a significant and continuing involvement in the instructional or research programs of the department shall be members of the department review committee. In cases where at least three faculty with the appropriate rank are not available in the department, the Dean of the ~~College School~~ will establish a committee composed in whole or in part, as necessary, of faculty holding the appropriate rank in other departments. —Recommendations about documentation will be specified in annual workshops provided by the Office of the Provost.

A committee recommendation and the results of a vote conducted by secret ballot will be forwarded to the Department ~~Chair~~ **Head**. The committee report will include reasons for the positive or negative recommendation. Additionally, any member of the departmental review committee may submit a letter to the Department ~~Chair~~ **Head** relating the candidate's ability and performance of the criteria for promotion listed above. The letter will be included in the promotion dossier. The Department ~~Chair~~ **Head** will notify the candidate of the Department's and his/her recommendation including a summary of reasons for the positive or negative recommendation by December 1. A candidate may respond in writing within five business days and any response will become part of the dossier that is forwarded to the Dean. **The response may include new documentation that enhances the application for promotion.** The Department ~~Chair~~ **Head** will forward all documents and letters, together with his or her evaluation and recommendation for action, to the Dean of the College. If the candidate is a Department ~~Chair~~ **Head**, normal procedures will be followed except that his/her Dean shall ask for participation of all faculty in the department who hold the rank being sought or higher rank; each such faculty member shall submit to the Dean his/her positive or negative recommendation on promotion.

The Dean will forward all materials, including his/her letter of recommendation for action, to the Provost. The Dean's letter will include reasons for the positive or negative recommendation. By the date of his or her transmission of positive or negative recommendations to the Provost, the Dean will notify the candidate of his/her recommendation including reasons. A candidate may

respond in writing within five business days; this response will become part of the dossier that is forwarded to the Provost. **The response may include new documentation that enhances an application for promotion.** The Dean will forward all documents and letters, together with his or her evaluation and recommendation for action, to the Provost.

The Provost shall transmit the materials to the University Committee on Promotion and Tenure.

By the date of his or her transmission of positive or negative recommendations to the Provost, the Chairperson of the University Committee on Promotion and Tenure will notify the candidate of the Committee's recommendation. A candidate may respond in writing within five business days; this response will become part of the dossier that is forwarded to the Provost. **The response may not include new documentation that enhances the application for promotion.** The Chairperson of the University Committee on Promotion and Tenure will forward all documents and letters, together with the committee's recommendation for action, to the Provost.

The Provost recommends to the Chancellor, ~~and the Chancellor is responsible for making recommendations on promotion to the President.~~ By the date of his or her transmission of positive or negative recommendations to the Chancellor, the Provost will notify the candidate of his or her recommendation. A candidate may respond in writing within five business days, and any response will become part of ~~the his/her~~ dossier that is forwarded to the Chancellor. The response may not include new documentation that enhances their application for promotion. The Provost will forward all documents and letters, together with his or her recommendation for action, to the Chancellor.

Candidates shall be notified in writing of the ~~Chancellor's~~ President's decision by March 15. If promotion is not approved, the candidate will be notified promptly in writing by the Chancellor of the ~~reason(s)~~ reasons for the denial.

At any time during the process prior to their notification on March 15, candidates for promotion ~~to professor and/or tenure~~ may withdraw their applications from consideration. The Chancellor's decision is final.

Pages 19-20

1. **Eligibility for Tenure:** The normal progression to tenured status of a faculty member is from a ~~probationary tenure-track~~ Probationary appointment. —Faculty members given ~~probationary~~ Probationary appointments with the rank of ~~Assistant Professor~~ Instructor or higher become eligible for tenure consideration after a probationary period of meritorious performance. ~~However, faculty holding the instructor rank must be promoted or approved for promotion to Assistant Professor to be eligible for tenure.~~

The total length of the probationary period shall not exceed seven years of full-time service, where one year equals two full semesters, excluding summers. ~~—~~Years of full-time temporary appointment at Auburn University at Montgomery, regardless of rank, count toward tenure

eligibility just as do years of full-time probationary appointment.— Time spent away from the University except when the individual is on a special assignment for the benefit of the University and paid by the University shall not be counted toward fulfillment of eligibility for tenure.

In addition, a faculty member's previous full-time service in another institution of higher education may be a significant factor in determining the length of the probationary period at Auburn University at Montgomery. Faculty members with more than three years of probationary or tenured service at one or more other institutions of higher education may receive credit for not more than three years of such service toward the probationary period at Auburn University at Montgomery. Written notification of the policies set forth in this paragraph will be provided by the appropriate Dean to each candidate at the time an offer of employment is extended. Such notification shall specify the credit allowed for prior service.

Although a minimum probationary period of ~~five~~^{four} complete academic years ~~shall~~^{should} ~~usually~~ be observed prior to nomination for tenure consideration, a decision on the awarding of tenure must be made within three years if the faculty member has received credit for three years of experience at another institution. —Faculty members without more than three years of full-time service at another institution ~~shall normally~~^{should} be considered for tenure during their ~~sixth~~^{fifth} year of full-time service at Auburn University at Montgomery.

~~Consideration for tenure can take place in the seventh year if the candidate signs a proviso acknowledging termination effective at the end of that year if tenure is not achieved.~~

Members of the faculty and academic staff who hold administrative positions can earn tenure only in their faculty classifications. For such administrators, eligibility for tenure requires a significant non-administrative involvement in the instructional or research programs of Auburn University at Montgomery.

Pages 21-24

1. **De Facto Tenure:** Should a seven—year period of full-time service in a probationary tenure-track appointment— including prior service credited in the manner specified above, be exceeded in the case of a faculty member on probationary tenure track appointment, tenure shall be awarded by the ~~Chancellor.~~^{President.} A faculty member who contends that he/she has served full time in an academic rank for more than seven—~~or more~~ years may appeal through the normal administrative chain to achieve consideration of the claim. —However, tenure gained in this way will be forfeited if it is established that the faculty member's not being recommended for tenure consideration was a deliberate attempt to avoid the formal tenure process by the faculty member and/or Department Chair. If employment begins during a spring semester, the first semester will be counted towards de facto tenure service period.~~Head or unit head.~~

2. **Factors Affecting Tenure:** Faculty and Department ~~Chairs~~Heads should remember that decisions on tenure are different in kind from those on promotion.- As with promotion, the tenure nominee will be evaluated on (1) teaching, (2) research, and (3) service. -Other important elements affecting tenure nominations and recommendations are those factors that are directly related to the prospect of the nominee's assuming what may well be an appointment of ten, twenty, or thirty years in the departmental unit. -Such considerations may include the nominee's abilities to support the departmental mission and its long-term goals; the nominee's exhibited ability and willingness to engage in the shared tasks that a departmental group must often perform; and the nominee's willingness to participate knowledgeably and reasonably in discussions germane to departmental policies and programs. -In addition, each Department or ~~College School~~ has developed benchmarks for tenure and promotion ~~and tenure~~ considerations, and these must be made available to all faculty members at the time of their appointment. Because the standards for tenure are more exacting than those for promotion within the junior ranks, a faculty member holding the rank of assistant professor recommended for tenure must also be promoted to associate professor.

3. **Application for Tenure:** Faculty members who believe they have met the criteria for tenure consideration shall submit a letter of request for tenure, which includes the names of individuals qualified to offer external review, consideration to their respective Department ~~Chair or Head~~ and Dean by before May 15. ~~In that letter the faculty member may request that review by a qualified external referee be arranged by the Department Head or Dean.~~—It is the joint responsibility of the faculty member and the ~~Department Chair~~department head to assure that the tenure review process occurs no later than in the sixth ~~year~~ of full-time service or as specified in the appointment ~~within three years~~ if credit for previous service at another institution has been given. The candidate's dossier must be presented to the Department ~~Chair~~Head by October 1. The credentials to be included in the dossier are a current vita and supporting documentation of the candidate's performance in teaching, research, and service. Recommendations about documentation are specified in annual workshops provided by the Office of the Provost.

After ~~receiving the application for tenure consideration,~~ ~~the Department Chair~~Head shall ~~notify tenured members of the departmental faculty of their responsibility to review the candidate's credentials.~~ -In cases where there are fewer than three tenured faculty in the department, the Dean of the ~~College School~~ will ask for participation of tenured faculty in other departments. —The tenured members ~~of the faculty may meet to discuss each applicant's materials; whether tenured faculty meet or not, each will submit to the Department Chair~~Head (or appropriate Dean if the candidate is a Department ~~Chair~~Head) a written statement of his/her positive or negative vote on tenure, together with a justification of that vote.

No administrator in the direct supervisory chain (i.e., with recommending responsibilities at subsequent steps in the tenure process, such as the candidate's Department ~~Chair~~Head or

Dean, or the Provost) shall be included in the departmental review process.— Other eligible faculty holding administrative positions and having a significant and continuing involvement in the instructional or research programs of the department shall participate. These statements and the Department ~~Chair's~~^{Head's} written recommendation on tenure, including reasons for the positive or negative recommendations, will be sent forward with the candidate's resume and supporting material to the appropriate Dean by December 1. A candidate may respond in writing within five business days, and any response will become part of ~~the his/her~~ dossier that is forwarded to the Dean. ~~The response may include new documentation that enhances~~ If the candidate wants to continue the application for tenure. ~~The process, the~~ Department ~~Chair~~^{Head} will forward all documents and letters, together with his/her evaluation and recommendation for action, to the Dean of the College~~School~~.

If the candidate is a Department ~~Head~~^{Chair}, normal procedures will be followed except that his/her Dean shall ask for participation of all tenured faculty in the department; each tenured faculty member shall submit to the Dean his or her positive or negative recommendation on tenure.—The Dean will notify him or her of the Department's recommendation, and ~~he or~~^{she} may respond in writing within five working days, and any response will become part of ~~the his/her~~ dossier that is forwarded to the Provost. The response may include new documentation that enhances the application for tenure.

The Dean will forward all materials, including his/her letter of recommendation for or against tenure, to the Provost. The Dean's letter will include reasons for the positive or negative recommendation. By the date of his or her transmission of positive or negative recommendations to the Provost, the Dean will notify the candidate of his/her recommendation including reasons. A candidate may respond in writing within five business days; this response will become part of ~~the his/her~~ dossier that is forwarded to the Provost. The response may include new documentation that enhances ~~If the candidate wants to continue~~ the application for tenure. ~~The process, the~~ Dean will forward all documents and letters, together with his/her evaluation and recommendation for action, to the Provost. The Provost shall transmit the materials to the University Committee on Promotion and Tenure.

By the date of his or her transmission of positive or negative recommendations to the Provost, the Chairperson of the University Committee on Promotion and Tenure will notify the candidate of ~~the~~ Committee's ~~recommendation~~. —The ~~Committee's~~ ~~letter~~ will include ~~reasons for~~ the positive or negative recommendations. A candidate may respond in writing within five business days; this response will become part of ~~the his/her~~ dossier that is forwarded to the Provost. The response may not include new documentation that enhances ~~If the candidate wants to continue~~ the application for tenure. ~~The process, the~~ Chairperson of the University Committee on Promotion and Tenure will forward all documents and letters, together with the committee's recommendation for action, to the Provost.

The Provost recommends to the Chancellor. By the date of his or her transmission of positive or negative recommendations to the Chancellor, the Provost will notify the candidate of his/her recommendation. A candidate may respond in writing within five business days, and any response will become part of the dossier that is forwarded to the Chancellor. **The response may not include new documentation that enhances the application for tenure.**

Candidates shall be notified in writing of the ~~Chancellor's~~ **President's** decision by March 15. When a nomination for tenure is not approved, the candidate will be notified promptly by the Chancellor of the reasons for the denial. The Chancellor's decision is final.

Tenured faculty members who resign from the University and are later re-employed in a faculty position similar to the one they previously held may have their tenure reinstated on the recommendation of the tenured faculty of the affected Department and on the approval of the Department ~~Chair/Head~~, Dean, Provost, and the Chancellor. ~~and the President.~~ A tenured faculty member in a department at Auburn University who accepts a faculty appointment on the Auburn University at Montgomery campus may be awarded tenure at the time of appointment only through the usual tenure process.

Confidentiality and the right of tenured faculty members to express their viewpoints openly without fear of retaliation should be hallmarks of the tenure decision process. —All documents, letters, and other materials shall be held in strict confidence at all levels of the review process. Should confidential discussions, documents, notes and communications become the subject of legal discovery, those who participated in the review process may be guided by University Counsel.

Pages 24-25

LIBRARIAN APPOINTMENTS

The Dean of the Library recommends to the Provost the appointment with appropriate rank.

1. Kinds of Appointment of Librarians: Each professional librarian at Auburn University at Montgomery is appointed in one of three classifications: Temporary, Probationary, or Tenured.
 - a. Temporary appointments, as the term implies, are assigned to librarians whose services are required only for a certain specified period of time, usually one year or less, which is identified on the appointment paper. Those on Temporary appointments are not eligible for tenure and promotion or for the insurance, medical, retirement, and various fringe benefits available to other librarians unless the employment period is for at least a full year (twelve months).
 - b. Probationary appointments are assigned to librarians who, because of scholarly or professional achievement, have demonstrated their aptitude for becoming tenured members of the faculty.

- c. Tenured appointments are assigned to librarians who have completed an appropriate probationary period and who, following recommendation and review in accordance with University policy and approval by the Chancellor, have been awarded tenure. Only tenured librarians are eligible for professional improvement leave with pay.
2. Definition of Librarian Academic Ranks: Librarians shall be accorded faculty status with academic appointments in three ranks with titles equivalent to the standard academic ranks and titles. These ranks are:
- a. Librarian II (equivalent to Assistant Professor): This is a professional level characterized by assignments with some degree of independent responsibility and action. Appointment or promotion to the rank of Librarian II should be based on demonstrated ability and potential for growth. The candidate should have a strong academic record and shall have completed a master's degree in library science from an American Library Association accredited library school or equivalent.
 - b. Librarian III (equivalent to Associate Professor): This is an advanced professional level characterized by considerable independent responsibility and action. It should provide for depth in areas of librarianship and may include other subject fields as well. Promotion to this rank shall require evidence of substantial professional contributions to the library and to the University; attainment of a high level of professional effectiveness in research and other professional endeavors, and the ability to handle successfully increased responsibility, including supervision of library personnel. Librarian III is a high professional rank and requires the master's degree in library science from an American Library Association accredited school or equivalent. Promotion to this rank, in and of itself, carries no presumption of further promotion.
 - c. Librarian IV (equivalent to Professor): This is the highest professional level and is characterized by administrative responsibility for general library operations at the divisional level or above and by demonstrated ability in a specialized field or fields of librarianship. A master's degree in library science from an American Library Association accredited school or equivalent and a doctoral degree in library services or in another discipline is a customary requirement. Exceptional and advanced training, research and publication, and/or evidence of exceptional ability in librarianship may be substituted for the doctorate. Demonstrated ability in providing leadership in professional and university organizations is required.

Candidates for appointment to the position of Dean of the Library must meet the requirements of Librarian III or IV.

Page 30.

MI. APPEALS PROCEDURES FOR PROMOTION AND TENURE DECISIONS

Grounds for appeal of a promotion or tenure decision exist when the candidate believes that:

- a. The denial resulted from a significant deviation from University policies and/or from procedural irregularities, including material inconsistencies between basis of denial and annual performance reviews.
- b. Tenure or promotion procedures of the Department, College, or University were not properly followed.
- c. The denial resulted from discrimination with respect to race, sex, religion, national origin, age, physical handicap, marital status, or sexual orientation.

A faculty member who has been denied either promotion or tenure may choose to discuss the reasons for the denial and the appeal process with the Provost. If a faculty member chooses to appeal the denial that intention should be expressed in writing to the Chancellor within thirty days of the notification of the negative decision and should be accompanied by all supporting evidence. The Chancellor shall respond to the faculty member's request for an appeal by appointing an appeals committee within fifteen days of receipt of the request.

The Appeals Committee shall consist of the Provost (non-voting chairperson), an academic Dean (other than the appellant's), and four members of the tenured faculty appointed by the Chancellor from eight names submitted by the Faculty Senate. This committee shall make its recommendation to the Chancellor within fifteen days of being notified by the Chancellor of the creation of the committee. The Chancellor within fifteen days of receipt of the recommendation will make a decision. The Chancellor's decision is final.

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Chancellor's Decision and Appeal:

The Chancellor's decision shall be rendered within thirty (30) working days after receipt of the Hearing Committee's report.— A copy of the decision shall be forwarded to the chair of the Hearing Committee and the faculty member. ~~The Chancellor's If the Hearing Committee recommends dismissal and the Chancellor sustains this recommendation, the faculty member may, by written request to the Chancellor, submitted within five (5) working days of the date of notice of the Chancellor's action, appeal the decision to President. The Chancellor on receipt of the appeal will transmit to the President of Auburn University, the record of the case. The President's decision will be final. Following notification to if no such request for an appeal is received from the faculty member, and the Chancellor sustains the Hearing Committee's recommendation, he/she will so notify the Committee and faculty member, and the case will be considered closed.~~

If the Hearing Committee concludes that adequate cause for dismissal has not been established, and the Chancellor does not sustain the report, he/she will state his/her reasons, in writing, to the Committee and to the faculty member. The ~~faculty member may appeal the Chancellor's decision to the President within five (5) working days of the date of notice of the Chancellor's action. Procedures noted above~~ will be final followed.

~~Review by the President or a designated committee will be based on the record of the Hearing Committee and it will provide opportunity for argument, oral or written or both, by the principals or their representatives at the hearing. The decision of the President will be final.~~

If the Hearing Committee concludes that adequate cause for dismissal has been established, and the Chancellor does not sustain this recommendation, he/she will state his/her reasons, in writing, to the Committee and to the faculty member. The decision of the Chancellor will be final.

Executive Committee

Chairperson McCrary indicated a discussion of numerous items during the committee meeting earlier that morning. Chairperson McCrary moved for adoption of a unanimous consent agenda. The motion was seconded by Mr. Pratt, and the resolutions were approved by voice votes. The following resolutions were approved in the unanimous consent agenda:

RESOLUTION

UNDERGRADUATE ENROLLMENT POLICY FOR THE AUBURN CAMPUS

WHEREAS, the University's Strategic Plan includes updating and improving the accessibility and utility of certain university policies as prescribed by the Board of Trustees; and

WHEREAS, this Board has adopted certain policies published in the Board of Trustees Policy Manual which provide guidance in administering the university; and

WHEREAS, a review of those policies in conjunction with the Strategic Plan has led to a recommendation to replace the Undergraduate Enrollment Policy for the Auburn Campus with that attached hereto as Attachment A.

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees adopts the revised Undergraduate Enrollment Policy for the Auburn Campus as set forth in Attachment A.

ATTACHMENT A

**C-2. UNDERGRADUATE ENROLLMENT POLICY
FOR THE AUBURN CAMPUS**

Over the years, the main campus of Auburn University has experienced greater demand for admission than its facilities and resources could support effectively. Accordingly, it has been possible to manage growth, to be selective in the admissions process, and to win recognition for enrolling students of outstanding quality and providing them with an exceptional student experience.

While growth may sometimes produce economies of scale, sound enrollment policy begins with a commitment to maintain high levels of quality in all programs offered. Enrollments should never exceed resources required to provide students with excellent faculty, facilities, libraries, support services, and the like. Further, Auburn has long been known for its personable, warm campus atmosphere, welcoming not only Alabama residents but also many students from the rest of the nation and the world.

Given these considerations, the following policy for undergraduate enrollment on the Auburn campus of Auburn University is adopted:

1. That total undergraduate enrollment be maintained at approximately 25,000 students;
2. That each new freshman cohort comprise not more than approximately 5,000 students;
3. That Alabama residents compose approximately 60% of each new freshman cohort;
4. That qualified undergraduate transfer students continue to be recruited and admitted; and
5. That when space is not available at the Auburn campus, undergraduate applicants be invited to consider Auburn University at Montgomery.

ADOPTED: August 18, 1989

REVISED: June 19, 2009

REVISED: November 22, 2019

RESOLUTION

NAMING THE FIELD AT THE BASEBALL COMPLEX AT AUBURN UNIVERSITY AT MONTGOMERY AS THE Q.V. LOWE FIELD

WHEREAS, Q.V. Lowe lettered at Auburn University for two seasons, posted a 23-3 career record, and helped the Tigers to the 1967 NCAA College World Series; and

WHEREAS, Q.V. Lowe was selected in the 1967 Major League Baseball Draft by the Chicago Cubs and spent eight seasons with the organization; and

WHEREAS, Q.V. Lowe was inducted into the Auburn University Tiger Walk Hall of Fame in 1998; the Alabama Baseball Coaches Association Hall of Fame in 2005; the NAIA Hall of Fame in 2011; and the Wiregrass Sports Hall of Fame in 2014; and

WHEREAS, Q.V. Lowe began the AUM baseball program in 1986 and in 28 seasons led the Warhawks to 1,063 victories; and

WHEREAS, Q.V. Lowe coached 79 all-conference athletes, 40 all-area or all-region selections, and 33 NAIA All-Americans; and

WHEREAS, Q.V. Lowe led the program to the NAIA World Series in 1990, 1992, and 2006; and

WHEREAS, Q.V. Lowe was named NAIA Coach of the Year in 1990 and GACC Coach of the year in 2000; and

WHEREAS, Q.V. Lowe retired from Auburn University at Montgomery in 2013.

NOW, THEREFORE, BE IT RESOLVED that Auburn University recognizes the influence of Q.V. Lowe by naming the playing field at the Auburn University at Montgomery Baseball Complex as The Q.V. Lowe Field.

RESOLUTION

NAMING THE CLINICAL SCIENCES LABORATORY IN THE SCIENCES CENTER LABORATORY BUILDING AS THE KAT MILLY-WEST LABORATORY

WHEREAS, the Sciences Center Laboratory Building houses chemistry and biology instructional laboratories; and

WHEREAS, Kat Milly-West served the Clinical Laboratory Sciences program for nearly three decades as its director; and

WHEREAS, Ms. Milly-West, who received a bachelor of science degree from Auburn University in 1982, and a Master of Science degree in 1990; and

WHEREAS, Ms. Milly-West has provided instruction to hundreds of future scientists in order to prepare them for successful careers in clinical labs throughout the country; and

WHEREAS, Ms. Milly-West has been recognized by Auburn University, the College of Sciences and Mathematics, and the National Academic Advising Association for her outstanding achievements; and

WHEREAS, her former students have made generous contributions to the Clinical Laboratory Sciences program in her honor.

NOW, THEREFORE, BE IT RESOLVED that the clinical sciences laboratory in the Sciences Center Laboratory Building be named as The Kat Milly-West Laboratory.

RESOLUTION

NAMING A CONFERENCE ROOM IN HORTON-HARDGRAVE HALL AS THE EMBA CLASS OF 2017 CONFERENCE ROOM IN MEMORY OF ADAM STURGEON

WHEREAS, the Executive MBA (EMBA) Class of 2017, joined together in making this significant contribution to Auburn and the Harbert College of Business; and

WHEREAS, the son of a classmate passed away, and the EMBA Class of 2017 wishes to name a room in Horton-Hardgrave Hall in his memory; and

WHEREAS, the classmates, friends and other alumni contributed to this commitment to name a room in memory of Adam Sturgeon.

NOW, THEREFORE, BE IT RESOLVED that one of the conference rooms on the fourth floor of Horton-Hardgrave Hall be named The EMBA Class of 2017 Conference Room in Memory of Adam Sturgeon, in recognition of the EMBA Class of 2017's generosity and ongoing support of the Harbert College of Business and Auburn University.

RESOLUTION

NAMING AN OFFICE IN HORTON-HARDGRAVE HALL AS THE MBA CLASSES OF 2009 OFFICE

WHEREAS, the MBA Classes of 2009 joined together in making a significant contribution to Auburn and the Harbert College of Business; and

WHEREAS, the MBA Classes of 2009 consist of the Master of Business Administration (MBA) Class of 2009; the Executive MBA (EMBA) Class of 2009; and the Physicians Executive MBA (PEMBA) Class of 2009; and

WHEREAS, their advisory council members, classmates, and other alumni contributed to this commitment to name an office in Horton-Hardgrave Hall.

NOW, THEREFORE, BE IT RESOLVED that one of the offices on the fourth floor of Horton-Hardgrave Hall be named The MBA Classes of 2009 Office, in recognition of the classmates' generosity and ongoing support of the Harbert College of Business and Auburn University.

RESOLUTION

NAMING CONFERENCE ROOM 1110 IN THE ADVANCED STRUCTURAL TESTING LABORATORY AS THE FIGG BRIDGE CONFERENCE ROOM

WHEREAS, FIGG Bridge Group owner Linda Figg graduated from Auburn University with a bachelor's degree in civil engineering in 1981; and

WHEREAS, she was named one of Engineering News Record's Top 22 Newsmakers in 1998, and was named by Concrete Construction magazine as one of the 13 most influential people in the concrete industry in 2007; and

WHEREAS, she was inducted into the State of Alabama Engineering Hall of Fame in 2010, and was elected to the National Academy of Construction in 2011; and

WHEREAS, Linda served as chair of the Construction Industry Round Table, an advocacy group comprised of 100 CEO's of America's leading engineering, architectural, and construction companies; and

WHEREAS, she was awarded the Ethel S. Birchland Lifetime Achievement Award by the American Road & Transportation Builders Association and served as president of the American Segmental Bridge Institute from 2012-2014; and

WHEREAS, Linda has remained committed to Auburn University and the Samuel Ginn College of Engineering, acting as the featured keynote speaker for 100+ Women Strong's April 2019 Leadership and Development Conference; and

WHEREAS, FIGG Bridge Group has received 403 awards for customers, recognizing economy, innovation, sustainability, and aesthetics, including three Presidential Awards through the National Endowment for the Arts; and

WHEREAS, FIGG Bridge Group has demonstrated loyalty to the college through previous major gifts to the Keystone Society and to the college's 100+ Women Strong program.

NOW, THEREFORE, BE IT RESOLVED that Conference Room 1110 in the Advanced Structural Testing Laboratory be named The FIGG Bridge Conference Room in honor of Linda's generous support and ongoing dedication.

RESOLUTION

NAMING CONFERENCE ROOM 332 OF THE GAVIN ENGINEERING RESEARCH LABORATORY AS THE AMY N. GODDARD CONFERENCE ROOM

WHEREAS, Amy N. Goddard graduated from Auburn University in 1994, with a bachelor's degree in textile engineering, and in 1996 with an MBA; and

WHEREAS, she began her career with PPG Industries as a texturizing and packaging manager, transitioned to CAS Incorporated in 1998, as a trainer for the warfighter during simulation exercises, systems administrator, and engineer; and

WHEREAS, she served Intergraph Corporation as a test and certification manager, and in 2006, acted as the director of geospatial training at the U.S. Space and Rocket Center; and

WHEREAS, she led a school safety initiative, serving as the coordinator and data acquisition specialist for the Virtual Alabama program (Alabama Department of Homeland Security), which was awarded the Google Enterprise Superstar Award for innovation; and

WHEREAS, she managed the planning of the University of Tennessee Knoxville Homeland Security School of Nursing inaugural capstone exercise; coordinated in the Governor's Office of Faith-Based and Community Initiative Be Ready Camps 2006-2007; and inaugural Be Ready Day 2006; and

WHEREAS, she was the government agency liaison for the National Education Partnership Alliance-Redstone at PeopleTec Incorporated; a system architect on the GMD and Integrated Air and Missile Defense Battle Command System programs at Schafer Corporation; and now works with Northrop Grumman in Engineering Systems; and

WHEREAS, Amy demonstrates her commitment to Auburn University and the Samuel Ginn College of Engineering through her gifts to the Polymer and Fiber Engineering Department and as a Life Member of the Auburn Alumni Association.

NOW, THEREFORE, BE IT RESOLVED that Conference Room 332 of the Gavin Engineering Research Laboratory be named The Amy N. Goddard Conference Room in honor of her generous support and ongoing dedication.

RESOLUTION

NAMING THE CAFÉ IN THE BROWN-KOPEL ENGINEERING STUDENT ACHIEVEMENT CENTER AS CAFÉ 25

WHEREAS, Carol and Gary Godfrey each received a bachelor's degree in industrial engineering from the Samuel Ginn College of Engineering at Auburn University in 1986; and

WHEREAS, Carol serves as the senior vice president of Markets and Product for Southwire, and has worked for leading national organizations including Southwire Company, Hubbell Incorporated, Alcan Aluminum Corporation, Ralston Purina Company, and Tampa Electric Company; and

WHEREAS, Gary is retired after 25 years in management consulting with Accenture and Ernest & Young and was employed with Frito-Lay for eight years prior to his work in consulting; and
WHEREAS, the Godfreys have demonstrated a strong commitment to Auburn University and the Samuel Ginn College of Engineering throughout their careers, having both served on and chaired the Industrial and Systems Engineering Advisory Board; and

WHEREAS, both Carol and Gary serve on the Auburn Engineering Alumni Council, and Carol was a founding member, served as the first chair, and remains an active member of 100+ Women Strong program; and

WHEREAS, the Godfreys have remained committed to the Samuel Ginn College of Engineering by helping to establish the Dr. Saeed Maghsoodloo Annual Assistantship in the Department of Industrial and Systems Engineering, and have generously supported the college through Keystone, the largest college annual giving program.

NOW, THEREFORE, BE IT RESOLVED that the Café in the Brown-Kopel Engineering Student Achievement Center be named Café 25 in honor of their generous support and ongoing dedication.

RESOLUTION

NAMING WORKSHOPS 1215-1225 IN THE ADVANCED STRUCTURAL TESTING LABORATORY AS THE DARREN AND LIZ JOHNSON WORKSHOPS

WHEREAS, Darren and Liz Johnson each graduated from Auburn University with a bachelor's degree in civil engineering in 2011, and each received a master's degree in the same discipline in 2013; and

WHEREAS, Liz has worked since 2013, as a transportation engineer in the Transportation Planning and Traffic Operations division for Kimley-Horn and Associates, and Darren has started employment with LBYD Engineers after five years as a project manager for Ellinwood and Machado, LLC; and

WHEREAS, Liz has been a member of the 100+ Women Strong program since 2016, and serves on the Engineering Young Alumni Council Board for Auburn University; and

WHEREAS, Darren and Liz have demonstrated their commitment to Auburn University and the Samuel Ginn College of Engineering.

NOW, THEREFORE, BE IT RESOLVED that Workshops 1215-1225 in the Advanced Structural Testing Laboratory be named The Darren and Liz Johnson Workshops in honor of Liz and Darren's generous support and ongoing dedication.

RESOLUTION

RECOGNITION OF WAR EAGLE VII UPON HIS RETIREMENT

WHEREAS, War Eagle VII, Nova, has become a symbol of wildlife conservation, education, and awareness since arriving at Auburn University in 1999; and

WHEREAS, golden eagles have represented Auburn University since the late 1800s, symbolizing our institution's values of strength, power, courage, and freedom; and

WHEREAS, Nova has served as War Eagle VII since 2006 and completed 58 unforgettable pregame flights above Jordan-Hare Stadium prior to home football games from 2004 to 2016, creating one of the greatest traditions in the history of college sports; and

WHEREAS, Nova continues to support wildlife educational programs and helps educate more than 30,000 students and other members of the public each year as part of the College of Veterinary Medicine's Southeastern Raptor Center; and

WHEREAS, Nova remains an outstanding representative of the U.S. Fish and Wildlife Service and has played a vital role in golden eagle conservation efforts, exemplified in 2016 by his participation in wind turbine research in Colorado.

NOW, THEREFORE, BE IT RESOLVED that War Eagle VII, Nova, be recognized as an institutional icon upon his retirement this Twenty Second Day of November 2019, and that he is hereby designated War Eagle Emeritus.

RESOLUTION

DESIGNATION OF AUREA AS “WAR EAGLE VIII”

WHEREAS, golden eagles have represented Auburn University since the late 1800s, symbolizing our institution’s values of strength, power, courage, and freedom; and

WHEREAS, Aurea, a five-year-old golden eagle, has served as an ambassador of wildlife conservation, education, and awareness at the College of Veterinary Medicine’s Southeastern Raptor Center since 2017; and

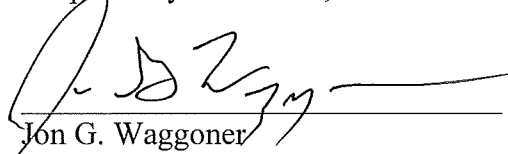
WHEREAS, 2019 marks Aurea’s second season of completing pregame flights above Jordan-Hare Stadium prior to home football games, continuing one of the greatest traditions in the history of college sports.

NOW, THEREFORE, BE IT RESOLVED that Aurea is hereby named “War Eagle VIII” this Twenty Second Day of November 2019 and will take her place among the heralded golden eagles to bear the official name “War Eagle.”

Chairperson McCrary then indicated two final items of recognition. He announced that the two recognitions on the unanimous consent agenda that were approved were recognitions of a retirement for the beloved War Eagle, Nova "War Eagle 7", and official designation of Aurea as "War Eagle 8". Dean Johnson was asked to introduce Aurea to those present and he welcomed Andrew Hopkins of the Southeastern Raptor Center to join him. Upon reading of the resolutions, Interim President Gogue and *President Pro Tempore* Smith provided them with framed copies of the resolutions. Chairperson McCrary thanked Dean Johnson and congratulated him and the Southeastern Raptor Center for this outstanding recognition and that the Auburn Family is very proud of its work.

President Pro Tempore Smith agreed with Chairperson McCrary and then indicated with there being no further items for review, the meeting was recessed at 10:45 a.m.

Respectfully Submitted,



Jon G. Waggoner
Secretary to the Board of Trustees