Graduate Faculty Appointment and Reappointment Criteria and Standards November 12, 2003

Department: Biological Sciences

College: Sciences and Mathematics

Date Approved by the Department: November 18, 2003

Date Approved by the Graduate Council: March 17, 2004

Dean, Graduate Sche

I. Levels of Membership

The Department will recognize 2 levels of membership on the Graduate Faculty.

Level 1: The faculty member may teach at 1000-6000 level, may serve on both masters and doctoral committees and may direct masters theses.

Level 2: The faculty member may participate in the activities delineated for Level 1 and may also teach at the 7000-8000 levels & may direct doctoral students.

II. Criteria and Standards for Initial Appointment and Reappointment

Level 1

Initial Appointment

- A. Terminal Degree Recognized by the Department
- B. Faculty Appointment at the Rank of assistant Professor or Above

Reappointment

- A. Prior Service at Either Level 1 or 2
- B. Candidate has during the term of appointment contributed to the graduate program through:

1. Maintaining a level of teaching quality commensurate with departmental peers in graduate courses as evidenced by relevant student and peer teaching evaluations.

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2. Contributing in an active and positive fashion to graduate advisory committees served upon

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3. Providing sound and competent direction to students directed at the masters level

Level 2

Initial Appointment

- A. The candidate should hold the highest degree commonly awarded in the field (normally the doctorate). If the candidate does not have this degree, exceptional achievement is expected.
- B. The candidate must hold a faculty rank of assistant professor or above.
- C. If the candidate holds the Ph.D. degree and has no further experience, either as a post doctoral fellow or as a faculty member at another institution, he/she will be required to meet the following criteria: The candidate should have served on at least three (3) examining committees, or demonstrated the ability to guide a student through a doctoral program as evidenced by co-authorship with graduate students on at least 3 publications from graduate work at Auburn University or Co-Principle Investigator with graduate students on 3 grant proposals (fellowships) or any combination leading to a total of 3 and a letter of support from the candidate's department chair certifying the accomplishment.
- D. If the candidate holds the Ph.D. degree and has one or more years of postdoctoral (or faculty) experience in which the candidate: (1) pursued his/her own research project(s), (2) showed scholarly achievement through a combination of the publication of research articles in peer-reviewed journals and the writing of grant proposals, and (3) possessed supervisory or advisory experience guiding graduate student research, the candidate will be deemed qualified for Level 2. Appointment and the requirements listed above in section "C" will be waived.

- E. The candidate shall have a record of scholarly publication. The publication requirement will be satisfied typically by publication of at least three (3) full-length research articles in reputable refereed journals. ("Reputable journals" are those identified as such by faculty in the nominee's department or academic area.) The research methodology in these articles should reflect methodology appropriate to the nominee's field. The nominee must be the senior author on at least one of these publications. The publication of a book or research monograph can satisfy this requirement completely or in part if it makes a scholarly contribution to the author's field of specialization. Such publications must clearly demonstrate the author's research competence. In those areas where publication is not customarily the end result of scholarly and creative activity, evidence of comparable achievement suitable for establishing professional standing must be presented.
- F. The candidate should demonstrate other significant professional scholarly commitment. Evidence of other significant professional scholarly commitment involving any one, or any combination of such activities as (a) reading papers before learned and professional organizations; (b) writing book reviews, scientific and industrial reports, short notes, popular articles, or similar materials, (c) rendering any type of consulting service which provides evidence of the scholar's professional standing and competence in his/her special field; (d) participating in the activities of appropriate scholarly scientific and professional organizations by holding offices or serving in other responsible capacities; (e) performing significant administrative duties connected with the graduate program of a department or of the university, or (f) attainment of extramural support that is evidence of professional standing.

Reappointment

During the present term of appointment, the candidate shall have met the criteria and minimal standards outlined under sections E and F, Criteria and Standards for Initial Appointment.

III. Term of Appointment

Level 1: The term of appointment shall be 7 years.

Level 2: The term of appointment shall be 7 years.

Note: A faculty member appointed to Level 1 may be nominated for Level 2 status at any time. Faculty members serving at Level 2 can be nominated for reappointment at Level 1 or Level 2 during the last 6 months of their current term.

IV. Procedures for Nomination: Initial Appointment and Reappointment

Level 1: The Department Head (Chair) shall nominate candidates for initial appointment or for reappointment. The nomination dossier should contain a copy of the nominee's updated tenure and promotion document (as outlined in the Faculty Handbook, Information to be supplied by Candidate) or a current cv which contains equivalent material. The Department Head (Chair) shall also complete a standard nomination form that outlines the department's criteria and standards and how the nominee has specifically met those criteria and standards. If online procedures are on the AU network, they can be used in lieu of paper forms. The Department Head (Chair) in signing the nomination form or signifying approval online certifies the accuracy of information contained in the nomination package, and that the procedures criteria and standards contained within the approved departmental plan have been followed and met. The Department Head (Chair) will forward the nomination to the Graduate School for review and action by the Graduate Dean.

Level 2: Procedures shall be the same as for Level 1 with one additional step. Prior to forwarding the nomination to the Graduate school, nomination materials shall be reviewed by all Level 2 graduate faculty members within the department. Following that review, the Department head (Chair) shall call for a vote by all Level 2 graduate faculty by secret ballot relative to support or non-support of the nomination. The results of the vote as well as the number of Level 2 graduate faculty within the unit shall be transmitted with the nomination materials to the Graduate School for review and action by the Graduate Dean, or a similar online procedure will be followed.

Note: Nominees shall have the support of a majority of the current level 2 graduate faculty within the unit.