DoD Grant Information Notice
(September 25, 2024)

Requiring Common Disclosure Forms on Assistance Awards for Research and Development and Collection of Digital Persistent Identifiers

Grant Information Notice Number: GIN 24-01

PUPROSE: This notice requires the use of a common form for the disclosure of professional biographical (biosketch) information and current and pending (other) support information from key personnel on proposals for assistance awards for research and development (R&D). Additionally, this notice requires the collection of digital persistent identifiers (DPI) from key personnel on proposals for assistance awards for R&D.

BACKGROUND: In January 2022, the National Science and Technology Council (NSTC) released implementation guidance for National Security Presidential Memorandum – 33 (NSPM-33) that requires Federal agencies to use standardized forms for the disclosure of biosketch and current and pending (other) support information from key personnel on proposals for R&D assistance awards. In addition, the implementation guidance requires Federal agencies to establish policies to collect DPI from these key personnel. The implementation guidance requires that DPIs meet a set of common or core standards (see pages 9-10 of the implementation guidance for the complete list). At present, these DPI common or core standards are only met by one DPI provider, the Open Researcher and Contributor IDentifier (ORCID).

In November 2023, the National Science Foundation received approval from the Office of Management and Budget (OMB) to use common disclosure forms developed by the NSTC for

the collection of biosketch and current and pending (other) support information. In addition, OMB approved a set of definitions defining terms used in these common disclosure forms. On February 14, 2024, the White House Office of Science and Technology Policy (OSTP) issued a memorandum requiring that all Federal research funding agencies use the common forms developed by the NSTC for the disclosure of the biosketch and the current and pending (other) support portions of funding application packages for grants and cooperative agreements for R&D. The OSTP memorandum also directed Federal research funding agencies using the common forms to ensure that proposals provide a DPI for proposed key personnel. On May 22, 2024, OMB approved the use of these common forms by the Department of Defense (DoD) (OMB Control Number 3145-0279).

GUIDANCE: Not later than November 1, 2024, all notices of funding opportunity for assistance awards for the purpose of R&D must require the use of the common forms (located at https://www.nsf.gov/bfa/dias/policy/nstc_disclosure.jsp) for the collection of biosketch and current and pending (other) support information associated with the Standard Form 424 (Research and Related) family of forms. Specifically, these common forms are to be used with the Research and Related Senior or Key Person Profile (Expanded) form (OMB Control Number 4040-0001). This form has inputs for both "biosk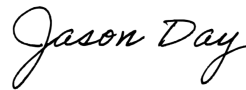etch" and "current and pending support." These common forms are to be used to supply the inputs to the Research and Related Senior or Key Person Profile (Expanded) form.

The common forms require a "persistent identifier" for each senior or key person included in a proposal. DoD will use DPIs for these persistent identifiers, and DoD will require proposers to include the ORCID number for each senior or key person listed in a proposal for an assistance award for R&D beginning on April 1, 2025. ORCID numbers will be used since ORCID is

currently the only DPI provider that meets the requirements for DPI common or core standards in the NSTC NSPM-33 implementation guidance. The Department will revisit this decision periodically to determine if any providers other than ORCID are able to meet the DPI common or core standards.

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Signed

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