



AUBURN UNIVERSITY

OFFICE OF THE VICE PRESIDENT FOR
RESEARCH AND ECONOMIC DEVELOPMENT

OFFICE OF RESEARCH COMPLIANCE

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RESEARCH INTEGRITY MANAGER

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FY22 COI Disclosure – Opens 15 July 2021

Relevant University Policies

- ▶ **Conflict of Interests Policy** – adopted March 2019
- ▶ **AU FCOI Policy for Research and Related Activities** – adopted January 2015
- ▶ **Auburn University Nepotism Policy** – revised October 2019
- ▶ **Nepotism Policy for Research and Sponsored Activities** – adopted February 2020

FY22 COI Disclosure – Opens 15 July 2021

- ▶ **All FTEs** – disclose annually and within 30 days of changes in interests
- ▶ **Non FTEs** - Can be added if they need to disclose per funder requirements
- ▶ **COI Management Plans** –
 - ▶ Do not inhibit research
 - ▶ Provide a road map for a compliant way forward
 - ▶ AU and several federal agencies **require** COIs to be managed
 - ▶ Should be in place ASAP after COI(s) are identified

FY22 COI Disclosure – Opens 15 July 2021

Examples – Potential Need for COI Management Plan

- ▶ PI has interest in start-up company funding SBIR/STTR research at AU
- ▶ PI has relationship with private company funding research or related activities at AU
- ▶ Related individuals collaborate on research or related activities

FY22 COI Disclosure – Opens 15 July 2021

What does this mean for AUSPAN members?

- ▶ COI disclosure and management plans should be in place ASAP
- ▶ A COI Disclosure Report is available
- ▶ A COI Management Plan Report is being considered
- ▶ Contact Research Integrity for questions about disclosures or plans

CITI COI Training

What does this mean for AUSPAN members?

- ▶ Current CITI COI training is required for all PHS funded Investigators
- ▶ A COI Training Report is available
- ▶ CITI COI training is valid for 4 years
- ▶ CITI COI training should be completed prior to engaging in research related to any PHS-funded contract

RCR Compliance Training Matrix

Funding Source	Career Stage				
	Undergraduate	Master's	Doctoral	Post Doc	Faculty and Staff
NSF (notice)	CITI – RCR in subject area	<i>Encouraged but not required</i>			
NIH (policy)	CITI and 8 hours in Person	<i>Encouraged but not required</i>			
USDA-NIFA (notice)	CITI – RCR Biomedical Sciences course recommended				

Responsible Conduct of Research Training

What does this mean for AUSPAN members?

- ▶ **NSF/NIH:** Check RCR training before adding **student** to project
 - ▶ **USDA – NIFA:** Check RCR training before adding **anyone** to project
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- ▶ An RCR training report is available
- ▶ RCR training should be complete before being added to a relevant project
- ▶ CITI RCR training is valid for 5 years
- ▶ Updated RCR resources at **<https://aub.ie/RCR>**



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