

AUSPAN

October 19, 2015

Resources Available on the ORC Financial Conflict of Interest Website*
For the Auburn University Financial Conflict of Interest (FCOI) Policy for Research and Related Activities, PHS FCOI Policy and NSF FCOI Procedures

Who Must Comply with FCOI Requirements at Auburn University?

- Guides for PIs to Identify Who is Subject to FCOI Compliance on their Sponsored Research Projects:
 - 1) AU FCOI Policy “Affected Employees”*
 - 2) [PHS “Investigators”](#)
 - 3) NSF “Investigators”*
- Guide to Self-Identify as an “Affected Employee” Engaged in Technology Commercialization Activities under the AU FCOI Policy*
- Guide to Self-Identify as an “Affected Employee” Engaged in Related Activities under the AU FCOI Policy*

How and When are FCOI Disclosure Questionnaires Filed Regardless of Sponsor?

- [Quick Reference Card](#) to [Requesting a COI-SMART account](#), Logging In and Completing a Disclosure Questionnaire
- Single Sign On to COI-SMART in AU Access under My Funding
- Detailed [Guide to Submitting FCOI Disclosure Questionnaires](#)
- [Step-by-Step Guide to Recertifying/Revising a Disclosure Questionnaire](#)

How is FCOI Training Completed by PHS Investigators? (FCOI Training is not required by AU Policy or NSF but strongly encouraged for all AU researchers, regardless of funding source)

- [Guide to Registering, Enrolling and Completing the online CITI Training Courses](#)
- Specialized [Guide for Taking FCOI Training](#)

*Some of the listed Resources will become available on the AU ORC FCOI Website upon implementation of the AU FCOI Policy.
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