

Auburn University

Athletics Compliance Program

Overall Philosophy

- **Be solution conscious, not problem oriented.**
 - First and most importantly – Represent the good of the university
 - Compliance is an educational area
 - Compliance is a service area
 - Share the Department's Vision
 - Maintain a balance – sometimes have conflicting roles
 - Educator, Defense attorney, Prosecutor, Judge, Jury

Five Major Components of Auburn's Compliance Program

- Education
- Monitoring
- Enforcement
- Structural Integrity
- Communication

Institutional Control

- It is the institution's responsibility to control its athletics department!
 - President has ultimate responsibility and final authority.
 - Committee on Intercollegiate athletics
 - AD
 - FAR
 - Compliance Staff
 - We are all part of the TEAM!

NCAA Bylaw (10.1): Academic Fraud

- Unethical conduct by a prospective or enrolled student-athlete or a current or former institutional staff member (e.g., coach, professor, tutor, teaching assistant, student manager, student trainer) may include, but is not limited to, the following:
- (a) Refusal to furnish information relevant to an investigation of a possible violation of an NCAA regulation when requested to do so by the NCAA or the individual's institution;
- **(b) Knowing involvement in arranging for fraudulent academic credit or false transcripts for a prospective or an enrolled student-athlete;**
- (c) Knowing involvement in offering or providing a prospective or an enrolled student-athlete an improper inducement or extra benefit or improper financial aid;
- (d) Knowingly furnishing the NCAA or the individual's institution false or misleading information concerning the individual's involvement in or knowledge of matters relevant to a possible violation of an NCAA regulation;
- (e) Receipt of benefits by an institutional staff member for facilitating or arranging a meeting between a student-athlete and an agent, financial advisor or a representative of an agent or advisor (e.g., "runner");
- (f) Knowing involvement in providing a banned substance or impermissible supplement to student-athletes, or knowingly providing medications to student-athletes contrary to medical licensure, commonly accepted standards of care in sports medicine practice, or state and federal law;
- (g) Failure to provide complete and accurate information to the NCAA or institution's admissions office regarding an individual's academic record (e.g., schools attended, completion of coursework, grades and test scores);
- (h) Fraudulence or misconduct in connection with entrance or placement examinations; or
- (i) Engaging in any athletics competition under an assumed name or with intent to otherwise deceive.

Academic Standards (Credits)

- Eligibility for competition shall be determined based on satisfactory completion of at least:
 - (a) Twenty-four-semester or 36-quarter hours of academic credit prior to start of the student-athlete's second year of collegiate enrollment (i.e., third semester, fourth quarter);
 - (b) Eighteen-semester or 27-quarter hours of academic credit since the beginning of the previous fall term or since the beginning of the certifying institution's preceding regular two semesters or three quarters (hours earned during the summer may not be used to fulfill this requirement); and
 - (c) Six-semester or six-quarter hours of academic credit the preceding regular academic term (e.g., fall semester, winter quarter) in which the student-athlete has been enrolled full time at any collegiate institution.

Academic Standards (Percentage of Degree)

- A student-athlete who is entering his or her third year of collegiate enrollment shall have completed successfully at least **40** percent of the course requirements in the student's specific degree program.
- A student-athlete who is entering his or her fourth year of collegiate enrollment shall have completed successfully at least **60** percent of the course requirements in the student's specific degree program.
- A student-athlete who is entering his or her fifth year of collegiate enrollment shall have completed successfully at least **80** percent of the course requirements in the student's specific degree program.
- The course requirements must be in the student's specific degree program.

Benefits

- Extra Benefit: It is not permissible to provide a benefit to or make arrangements for a student-athlete, that is not available to the general student body (or segment of the population).

Prohibited Benefits

- Long distance telephone calls
- Typing/ word processing/ editing services
- Copy machines, fax machines and Internet
- Course supplies such as calculators, art supplies, computer disks, magazine subscriptions that are not required as part of the course syllabus

Best Practices

- Ticket Requests
- Autographs
- Birthday cake and gifts

QUESTIONS