

ERA @ Auburn

Project Overview and COI Demonstration

10-24-2023

Kristin Roberts

Milly Tye

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Estimated Timeline (2.5 years)

<u>Module</u>	<u>Est Start</u>
• COI – 7-8 mths	6-5-2023
• IRB – 9-10 mths	8-21-2023
• Proposals & Agreements – 11-12 mths	10-30- 2023
• IACUC – 7-8 mths	TBD
• Animal operations – 11-12 mths	TBD
• IBC – 7-8 mths	TBD

Conflict of Interest & Conflict of Commitment

Dashboard

COI

IRB

Page for John Benton

Help

Create ▾

Recently Viewed

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Pinned

DP00000238: Disclosure
P... John Benton

My Inbox

My Reviews

COI Dashboard

My Inbox

Filter by ?

ID

Enter text to search



+ Add Filter

✕ Clear All



ID

Name

Date Created

Date Modified

State

Coordinator



EXTUPDATE00000004 Update #2 for Multisite external IRB study 9/11/2023 11:17 AM 9/11/2023 11:17 AM Updating Study



DP00000238 Disclosure Profile for John Benton 7/12/2023 1:26 PM 8/4/2023 2:00 AM Action Required John Benton

2 items

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Dashboard

COI

IRB

Disclosures

Requests

Certifications

Plans

Triggering Events

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Meetings

Help Center

COI > Disclosures > Disclosure Profile for John Benton

Action Required

Request Pre-Approval

☒ Complete Disclosure Profile Update Assign Proxy

Disclosure Profile for John Benton

Instruction Center

Action Required

Review disclosure information in the disclosure profile. When all disclosure information is current and accurate, click on the Complete Disclosure Profile Update button.

Review disclosure information in the disclosure profile. When all disclosure information is current and accurate, click on the Complete Disclosure Profile Update button.

Reason

The disclosure profile is r and has never been completed

A category associated with the discloser requires renewal

Edit Disclosure Profile

 Help[Navigate the Disclosure Profile Workspace](#)[Update Disclosures](#)[Respond to a Clarification Request](#)[Review and Accept Plans](#)[Submit a Pre-Approval Request](#)[Assign a Proxy](#)[Complete the Proxy Update](#)[Print Projects](#)

Disclosures

Training

History

 You have not disclosed any interests. Reference the Instruction Center to take the appropriate steps of completing a disclosure update.

Request
Information

Creating New: Pre-Approval Request

[Go to forms menu](#)[Help](#)

1. Requestor:

John Benton

2. * Request name/title: ?

3. * Type of request: ?

Name	Description
☐ Outside Academic Appointment	Academic and/or research appointments at an entity other than the institution (e.g., adjunct faculty)
☐ Other Appointment	Non-teaching appointments at an entity other than the University (e.g., honorary appointments, emeritus appointments, etc.)
☐ Assuming Management or Fiduciary Responsibilities (other than Board Service)	Assuming an executive or management position for a third party entity (e.g., President, Chief Scientific Officer, CEO, etc.)
☐ Board Service	Service on the Board of Trustees for an outside nonprofit or for profit organization
☐ Expert Witness	Serving as a witness or reviewing documents for a legal matter
☐ Consulting Activity and other Professional Services	Provision of professional expertise to an outside entity in a consulting or other professional services capacity
☐ Scientific Advisory Board	Provision of scientific expertise to outside entity (e.g., pharmaceutical or device manufacturer)
☐ Providing Continuing Education	Presenting or creating educational material for continuing education programs (e.g., seminars, one time class, educational series, lectures, etc.)
☐ Foreign Talent Program Participation	Participation in a talent program sponsored by a foreign government or organization
☐ Other Outside Employment	Employment with an outside entity other than the activities specified above
☐ Foreign Travel	Travel outside of the U.S. for

[Clear](#)[Exit](#)[Save](#)[Continue](#)

Dashboard

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Help Center

COI > Disclosures > Disclosure Profile for John Benton

 Help

Action Required

Request Pre-Approval

☒ Complete Disclosure Profile Update Assign Proxy

Disclosure Profile for John Benton

Instruction Center

Action Required

Review disclosure information in the disclosure profile. When all disclosure information is current and accurate, click on the Complete Disclosure Profile Update button.

Review disclosure information in the disclosure profile. When all disclosure information is current and accurate, click on the Complete Disclosure Profile Update button.

Reason

The disclosure profile is new and has never been completed

A category associated with the discloser requires renewal

Edit Disclosure Profile

Disclosures

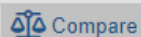
Training

History

 You have not disclosed any interests. Reference the Instruction Center to take the appropriate steps of completing a disclosure update.



Validate



Compare

**Instructions and Policies**

Entity Disclosure Information

Complete Disclosure Profile

Editing: DP00000238

[◀ Go to forms menu](#)[Print ▾](#)[? Help](#)

Instructions and Policies

These are the default instructions and policies provided by the solution.

Training and Education

This institution requires you to complete a few simple steps in order to be compliant with its policy and federal regulations. The following steps may be completed through the Conflict of Interest management system.

- Complete this "Disclosure Profile" training when you begin employment and as required by institutional policy.
- Disclose any Significant Financial Interests within 30 days of acquiring or discovering the interest, by completing the financial disclosure form.
- Provide all necessary disclosure information annually. Annual disclosures will be in addition to any disclosures within 30 days of new interests being acquired or discovered.
- Provide any additional information requested as your Disclosure Profile is reviewed.
- If a Management Plan is required, your agreement to the plan must be documented prior to any funding related to your SFI(s) being released. If a Management Plan is in place, you are responsible for all its terms, conditions, and actions.
- You must respond to all requests for information and/or meetings regarding the institution's responsibility to monitor compliance with the plan.
- Even if you have no outside organizations or companies with which you or an immediate family member have a financial relationship you still need to complete the annual disclosure.

Training documents:

There are no items to display

Date that you completed your COI Training:

1. * I certify that I have read and understood the education materials presented to me: ? ☒

[✕ Exit](#)[Save](#)[Continue →](#)

Instructions and
Policies

Entity Disclosure
Information

Complete Disclosure
Profile

Entity Disclosure Information

1. * Do you or your immediate family (self, spouse, & dependent child) have any of the following financial interests and/or outside activities involving any entities that are related to your institutional responsibilities, do business with the institution, or sponsor research at the institution?
- **Equity** (Ownership Interest, Stock, or Stock Options, or Ownership Interest)
 - **Consulting or Other Professional Services** (Advisory, scientific advisory board, or expert witness services)
 - **Board Service** (Board of Directors, Board of Trustees, Board Member)
 - **Outside Employment or Activity** (Employment or professional activity external to your institution, compensated or uncompensated)
 - **Entity Doing Business with the institution** (You (your family member) serve as an officer, partner, director, proprietor, have ownership interest or contractual relationship with, or receive compensation/support from an Entity that sells goods/services to the institution, purchases good/services from the institution, or has/will have research or technology transfer agreement with the institution.)
 - **Authored Works Used in Classroom** (Authored or co-authored works required for students from which the individual may derive personal financial value.)
 - **Editorial Services** (Journal services, scientific editor services)
 - **Intellectual Property Rights** (Patent, Copyright, License, or Royalties paid directly to individual that are reasonably related to your institutional responsibilities).
 - **Divestiture or Cash Pay Out** (Sale of equity).
 - **Paid Travel** (Travel paid on your behalf or reimbursed to you (not paid to you through Auburn University) that could reasonably appear to be related to your institutional responsibilities)
 - **Other Appointments** (Positions, appointments, fellowships, or talent programs (Compensated or Uncompensated))
 - **Gifts and Honoraria** (Speaking compensation or monetary awards over \$1000, or any gifts from vendors or sponsors)
 - **Foreign Interests** (any of the following affiliations with foreign institutions of higher education, foreign governments, foreign companies, or foreign nationals)



☒ Yes ☐ No [Clear](#)



✕ Exit

💾 Save

Continue →



Entity Disclosure Information

▼ General Information

1. Entity: ?

Abbot Laboratories

or

If you cannot find the entity in the above list, enter the details here:

[None]

2. * Relation to discloser: ?

- ☐ Self
- ☐ Spouse
- ☐ Dependent Child

3. * Disclosure types:

Name	Description
☐ Equity	Stock, Stock Options, or Ownership Interest
☒ Consulting or Other Professional Services	Advisory, scientific advisory board, or expert witness services
☐ Editorial Services	Journal services, scientific editor services
☐ Intellectual Property Rights	Patent, Copyright, License, or Royalties paid directly to individual
☐ Outside Employment or Activity	Employment or professional activity external to your institution, compensated or uncompensated
☐ Entity Doing Business with the Institution	Serve as an officer, partner, director, proprietor, have ownership interest or contractual relationship with, or receive compensation/support from an Entity that sells goods/services to the institution, purchases good/services from the institution, or has/will have research or technology transfer

* Required

OK

OK and Add Another

Cancel

- **Entity Doing Business with the institution** (You (your family member) serve as an officer, partner, director, proprietor, have ownership interest or contractual relationship with, or receive compensation/support from an Entity that sells goods/services to the institution, purchases good/services from the institution, or has/will have research or technology transfer agreement with the institution.)
- **Authored Works Used in Classroom** (Authored or co-authored works required for students from which the individual may derive personal financial value.)
- **Editorial Services** (Journal services, scientific editor services)
- **Intellectual Property Rights** (Patent, Copyright, License, or Royalties paid directly to individual that are reasonably related to your institutional responsibilities).
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- **Foreign Interests** (any of the following affiliations with foreign institutions of higher education, foreign governments, foreign companies, or foreign nationals)



☒ Yes ☐ No [Clear](#)

2. Entity disclosures:

+ Add							
Entity	Foreign Entity?	Relation to Discloser	Disclosure Types	Total Value	Total Time Commitment (Days)	Last Modified	
Abbott Laboratories	No	Self	Consulting or Other Professional Services	\$5,000.00	10	10/2/2023	
Update							

Exit

Save

Continue

▼ General Information

If you cannot find the entity in the above list, enter the details here:



☐ Self

☐ Spouse

☐ Dependent Child

Elsevier

☒ Yes ☐ No [Clear](#)

Name
☐ Equity
☐ Consulting or Other Professional Services
☐ Editorial Services
☒ Intellectual Property Rights
☐ Outside Employment or Activity
☐ Entity Doing Business with the Institution

☒ Yes ☐ No [Clear](#)

Patent, Copyright, License, or Royalties paid directly to individual

Serve as an officer, partner, director, proprietor, have ownership interest or contractual relationship with, or receive compensation/support from an Entity that sells goods/services to the institution, purchases good/services from the institution, or has/will have research or technology transfer

OK OK and Add Another Cancel

Cancel

Entity Disclosure Information

Complete Disclosure Profile

- **Authored Works Used in Classroom** (Authored or co-authored works required for students from which the individual may derive personal financial value.)
- **Editorial Services** (Journal services, scientific editor services)
- **Intellectual Property Rights** (Patent, Copyright, License, or Royalties paid directly to individual that are reasonably related to your institutional responsibilities).
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- **Foreign Interests** (any of the following affiliations with foreign institutions of higher education, foreign governments, foreign companies, or foreign nationals)

 ☒ Yes ☐ No [Clear](#)

2. Entity disclosures:

+ Add

Entity	Foreign Entity?	Relation to Discloser	Disclosure Types	Total Value	Total Time Commitment (Days)	Last Modified
 Update Elsevier	Yes	Self	Intellectual Property Rights	\$1,000.00	0	10/2/2023 
 Update Abbott Laboratories	No	Self	Consulting or Other Professional Services	\$5,000.00	10	10/2/2023 



Validate



Compare

[Instructions and Policies](#)**AU Family Disclosure Information**[Complete Disclosure Profile](#)

Editing: DP00000238

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Print ▾

Help

* Do you have any Family Members that you know of working for Auburn University, Auburn University at Montgomery, the Alabama Cooperative Extension System, or the Alabama Agricultural Experiment Station?

☒ Yes ☐ No [Clear](#)



[None]



1. Name

2. Department

Do you conduct research or other university activities with this individual?

☐ Yes ☒ No [Clear](#)

Exit

Save

Continue





Validate

Compare



Instructions and
Policies

Entity Disclosure
Information

**Complete
Disclosure Profile**

Editing: DP00000238

Complete Disclosure Profile

Click the Complete Disclosure Profile Update button to satisfy the following:
The disclosure profile is new and has never been completed
A category associated with the discloser requires renewal

Complete Disclosure Profile Update



Click “Complete
Disclosure Profile
Update” to SUBMIT!

✕ Exit

💾 Save

Finish

Dashboard

COI

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Help Center

COI > Disclosures > Disclosure Profile for John Benton

? Help

**No Action
Required****Disclosure profile last
completed:**
10/2/2023

Request Pre-Approval

Assign Proxy

Disclosure Profile for John Benton

Instruction Center

Action Required

No actions need to be taken at this time

Reason

Discloser's disclosure profile is up-to-date and requires no action

Edit Disclosure Profile

Disclosures

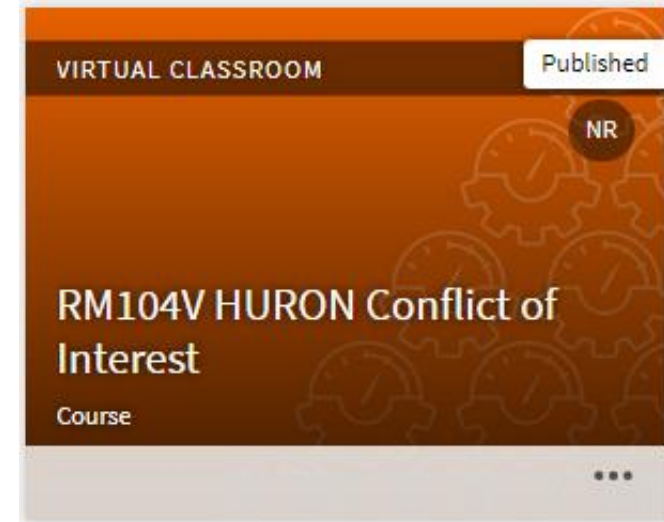
Training

History

	Entity	Foreign Entity?	Relation to Discloser	Disclosure Types	Total Value	Total Time Commitment (Days)	Last Modified
View	Elsevier	Yes	Self	Intellectual Property Rights	\$1,000.00	0	10/2/2023
View	Abbott Laboratories	No	Self	Consulting or Other Professional Services	\$5,000.00	10	10/2/2023

Upcoming HRD Zoom trainings

- October 18, 2:00 – 4:00 pm
- November 13, 9:00 – 11:00 am



Register for RM104V in **ELEVATED** in AU Access